

# **Psychosis and schizophrenia in adults: treatment and management**

## **NICE guideline**

### **Draft for consultation, August 2013**

If you wish to comment on this version of the guideline, please be aware that all the supporting information and evidence for the 2014 recommendations is contained in the full version of the 2014 guideline. Evidence for the 2009 recommendations is in the full version of the 2009 guideline.

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## Introduction

This guideline covers the treatment and management of psychosis and schizophrenia and related disorders in adults (18 years and older) with psychosis or schizophrenia with onset before age 60 years. The term 'psychosis' is used in this guideline to refer to the group of psychotic disorders that includes schizophrenia, schizoaffective disorder, schizophreniform disorder and delusional disorder. The guideline does not address the specific treatment of young people under the age of 18 years, except for those who are receiving treatment and support from early intervention in psychosis services. The recognition, treatment and management of psychosis and schizophrenia in children and young people, and of affective psychoses (such as bipolar disorder or unipolar psychotic depression), are covered by other NICE guidelines.

Psychosis and the specific diagnosis of schizophrenia represent a major psychiatric disorder (or cluster of disorders) that alters a person's perception, thoughts, mood and behaviour. The symptoms of psychosis and schizophrenia are usually divided into 'positive symptoms', including hallucinations (perception in the absence of any stimulus) and delusions (fixed or falsely held beliefs), and 'negative symptoms' (such as emotional apathy, lack of drive, poverty of speech, social withdrawal and self-neglect). Each person will have a unique combination of symptoms and experiences.

Typically there is a prodromal period often characterised by some deterioration in personal functioning. Changes include the emergence of transient and/or attenuated psychotic symptoms, memory and concentration problems, unusual behaviour and ideas, disturbed communication and affect, and social withdrawal, apathy and reduced interest in daily activities. The prodromal period is usually followed by an acute episode marked by hallucinations, delusions and behavioural disturbances, usually accompanied by agitation and distress. Following resolution of the acute episode, usually after pharmacological, psychological and other interventions, symptoms diminish and often disappear for many people, although sometimes a number of negative symptoms remain. This phase, which can last for many years,

may be interrupted by recurrent acute episodes that may need additional pharmacological, psychological and other interventions, as in previous episodes.

Although this is a common pattern, the course of schizophrenia varies considerably. Some people may have positive symptoms very briefly; others may experience them for many years. Others have no prodromal period, with the disorder beginning suddenly with an acute episode.

Over a lifetime, about 1% of the population will develop psychosis and schizophrenia. The first symptoms tend to start in young adulthood, at a time when a person would usually make the transition to independent living, but can occur at any age. The symptoms and behaviour associated with psychosis and schizophrenia can have a distressing impact on family and friends.

Psychosis and schizophrenia are associated with considerable stigma, fear and limited public understanding. The first few years after onset can be particularly upsetting and chaotic, and there is an increased risk of suicide. Once an acute episode is over, there are often other problems such as social exclusion, with reduced opportunities to get back to work or study, and problems forming new relationships.

In the last decade, there has been a new emphasis on services for early detection and intervention, and a focus on long-term recovery and promoting people's choices about the management of their condition. There is evidence that most people will recover, although some will have persisting difficulties or remain vulnerable to future episodes. Not everyone will accept help from statutory services. In the longer term, most people will find ways to manage acute problems, and compensate for any remaining difficulties.

Carers, relatives and friends of people with psychosis and schizophrenia are important both in the process of assessment and engagement, and in the long-term successful delivery of effective treatments. This guideline uses the term 'carer' to apply to everyone who has regular close contact with people

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with psychosis and schizophrenia, including advocates, friends or family members, although some family members may choose not to be carers.

Psychosis and schizophrenia are commonly associated with a number of other conditions, such as depression, anxiety, post-traumatic stress disorder, personality disorder and substance misuse. This guideline does not cover these conditions. NICE has produced separate guidance on the management of these conditions.

The guideline will assume that prescribers will use a drug's summary of product characteristics to inform decisions made with individual patients.

## Patient-centred care

This guideline offers best practice advice on the care of adults with psychosis and schizophrenia.

Patients and healthcare professionals have rights and responsibilities as set out in the [NHS Constitution for England](#) – all NICE guidance is written to reflect these. Treatment and care should take into account individual needs and preferences; in Wales services have a legal duty to meet these through the Mental Health Measure. Patients should have the opportunity to make informed decisions about their care and treatment, in partnership with their healthcare professionals. If someone does not have the capacity to make decisions, healthcare professionals should follow the [Department of Health's advice on consent](#), the [code of practice that accompanies the Mental Capacity Act](#) and the supplementary [code of practice on deprivation of liberty safeguards](#). In Wales, healthcare professionals should follow [advice on consent from the Welsh Government](#).

NICE has produced guidance on the components of good patient experience in adult NHS services. All healthcare professionals should follow the recommendations in [Patient experience in adult NHS services](#).

NICE has also produced guidance on the components of good service user experience. All health and social care providers working with people using adult NHS mental health services should follow the recommendations in [Service user experience in adult mental health](#).

## **Strength of recommendations**

Some recommendations can be made with more certainty than others. The Guideline Development Group makes a recommendation based on the trade-off between the benefits and harms of an intervention, taking into account the quality of the underpinning evidence. For some interventions, the Guideline Development Group is confident that, given the information it has looked at, most patients would choose the intervention. The wording used in the recommendations in this guideline denotes the certainty with which the recommendation is made (the strength of the recommendation).

For all recommendations, NICE expects that there is discussion with the patient about the risks and benefits of the interventions, and their values and preferences. This discussion aims to help them to reach a fully informed decision (see also 'Patient-centred care').

### ***Interventions that must (or must not) be used***

We usually use 'must' or 'must not' only if there is a legal duty to apply the recommendation. Occasionally we use 'must' (or 'must not') if the consequences of not following the recommendation could be extremely serious or potentially life threatening.

### ***Interventions that should (or should not) be used – a 'strong' recommendation***

We use 'offer' (and similar words such as 'refer' or 'advise') when we are confident that, for the vast majority of patients, an intervention will do more good than harm, and be cost effective. We use similar forms of words (for example, 'Do not offer...') when we are confident that an intervention will not be of benefit for most patients.

### ***Interventions that could be used***

We use 'consider' when we are confident that an intervention will do more good than harm for most patients, and be cost effective, but other options may be similarly cost effective. The choice of intervention, and whether or not to have the intervention at all, is more likely to depend on the patient's values

and preferences than for a strong recommendation, and so the healthcare professional should spend more time considering and discussing the options with the patient.

### ***Recommendation wording in guideline updates***

NICE began using this approach to denote the strength of recommendations in guidelines that started development after publication of the 2009 version of 'The guidelines manual' (January 2009). This does not apply to any recommendations shaded in grey and ending [2009] (see 'Update information' box below for details about how recommendations are labelled). In particular, for recommendations labelled [2009], the word 'consider' may not necessarily be used to denote the strength of the recommendation.

### ***Update information***

This guidance is an update of NICE clinical guideline 82 (published March 2009) and will replace it.

New recommendations have been added for the treatment and management of psychosis and schizophrenia.

You are invited to comment on the new and updated recommendations in this guideline. These are marked as **[2014]** if the evidence has been reviewed but no change has been made to the recommendation, or **[new 2014]** if the evidence has been reviewed and the recommendation has been added or updated.

You are also invited to comment on recommendations that NICE proposes to delete from the 2009 guideline, because either the evidence has been reviewed and the recommendations have been updated, or NICE has updated other relevant guidance and has replaced the original recommendations.

Appendix A sets out these recommendations and includes details of replacement recommendations. Where there is no replacement recommendation, an explanation for the proposed deletion is given.

Where recommendations are shaded in grey and end **[2009]**, the evidence has not been reviewed since the original guideline. We will not be able to accept comments on these recommendations. Yellow shading in these recommendations indicates where wording changes have been made for the purposes of clarification only.

Where recommendations end **[2009, amended 2014]**, the evidence has not been reviewed but changes have been made to the recommendation wording that change the meaning (for example, because of equalities duties or a change in the availability of drugs, or incorporated guidance has been updated). Explanations of the reasons for the changes are given in appendix A. For recommendations that are shaded in grey, changes to the recommendation wording are marked with yellow shading, and we will not be

able to accept comments on these recommendations. However, we will accept comments for all unshaded recommendations.

The original NICE guideline and supporting documents are available [here](#).

## Key priorities for implementation

The following recommendations have been identified as priorities for implementation.

### Preventing psychosis

- If a person is considered to be at increased risk of developing psychosis (as described in 1.2.1.1):
  - offer individual cognitive behavioural therapy (CBT) with or without family intervention (delivered as described in recommendations 1.3.7.1 and 1.3.7.2) and
  - offer treatments recommended in NICE guidance for people with any of the anxiety disorders, depression, emerging personality disorder or substance misuse. **[new 2014] [1.2.3.1]**

### First episode psychosis

- Early intervention in psychosis services should be accessible to all people with a first episode or first presentation of psychosis, irrespective of the person's age or the duration of untreated psychosis. **[new 2014] [1.3.1.1]**
- Assess for post-traumatic stress disorder and other reactions to trauma because people with psychosis or schizophrenia are likely to have experienced previous adverse events or trauma associated with the development of the psychosis or as a result of the psychosis itself. For people who show signs of post-traumatic stress, follow [Post-traumatic stress disorder](#) (NICE clinical guideline 26). **[new 2014] [1.3.3.2]**
- The choice of antipsychotic medication should be made by the service user and healthcare professional together, taking into account the views of the carer if the service user agrees. Provide information and discuss the likely benefits and possible side effects of each drug, including:
  - metabolic (including weight gain and diabetes)
  - extrapyramidal (including akathisia, dyskinesia and dystonia)
  - cardiovascular (including prolonging the QT interval)
  - hormonal (including increasing plasma prolactin)

- other (including unpleasant subjective experiences). **[2009; amended 2014] [1.3.5.1]**
- Do not initiate regular combined antipsychotic medication, except for short periods (for example, when changing medication). **[2009] [1.3.6.9]**

### **Subsequent acute episodes of psychosis or schizophrenia and referral in crisis**

- Offer CBT to all people with psychosis or schizophrenia (delivered as described in recommendation 1.3.7.1). This can be started either during the acute phase or later, including in inpatient settings. **[2009] [1.4.4.1]**
- Offer family intervention to all families of people with psychosis or schizophrenia who live with or are in close contact with the service user (delivered as described in recommendation 1.3.7.2). This can be started either during the acute phase or later, including in inpatient settings. **[2009] [1.4.4.2]**

### **Promoting recovery and possible future care**

- GPs and other primary healthcare professionals should monitor the physical health of people with psychosis or schizophrenia when responsibility for monitoring is transferred from secondary care, and then at least once a year. The health check should be comprehensive, focusing on physical health problems that are common in people with psychosis and schizophrenia such as cardiovascular disease, diabetes, obesity and respiratory disease. Include all the checks recommended in 1.3.6.1 and refer to relevant NICE guidelines for monitoring. A copy of the results should be sent to the care coordinator and psychiatrist, and put in the secondary care notes. **[new 2014] [1.5.3.2]**
- Offer clozapine to people with schizophrenia whose illness has not responded adequately to treatment despite the sequential use of adequate doses of at least 2 different antipsychotic drugs. At least 1 of the drugs should be a non-clozapine second-generation antipsychotic. **[2009] [1.5.7.2]**

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- Offer supported employment programmes to people with psychosis or schizophrenia who wish to return to work or gain employment. Consider other occupational or educational activities, including pre-vocational training, for people who are unable to work or unsuccessful in finding employment. **[new 2014] [1.5.8.1]**

# 1 Recommendations

The following guidance is based on the best available evidence. The [full guideline](#) [\[hyperlink to be added for final publication\]](#) gives details of the methods and the evidence used to develop the guidance.

## 1.1 Care across all phases

### 1.1.1 Service user experience

1.1.1.1 Use this guideline in conjunction with [Service user experience in adult mental health](#) (NICE clinical guidance 136) for improving the experience of care for people with psychosis or schizophrenia using mental health services. [new 2014]

### 1.1.2 Race, culture and ethnicity

1.1.2.1 Healthcare professionals inexperienced in working with people with psychosis or schizophrenia from diverse ethnic and cultural backgrounds should seek advice and supervision from healthcare professionals who are experienced in working transculturally. [2009]

1.1.2.2 Healthcare professionals working with people with psychosis or schizophrenia should ensure they are competent in:

- assessment skills for people from diverse ethnic and cultural backgrounds
- using explanatory models of illness for people from diverse ethnic and cultural backgrounds
- explaining the causes of psychosis or schizophrenia and treatment options
- addressing cultural and ethnic differences in treatment expectations and adherence
- addressing cultural and ethnic differences in beliefs regarding biological, social and family influences on the causes of abnormal mental states

- negotiating skills for working with families of people with psychosis or schizophrenia
- conflict management and conflict resolution. [2009]

1.1.2.3 Mental health services should work with local voluntary black, Asian and minority ethnic groups to jointly ensure that culturally appropriate psychological and psychosocial treatment, consistent with this guideline and delivered by competent practitioners, is provided to people from diverse ethnic and cultural backgrounds. [2009]

### 1.1.3 Physical health

1.1.3.1 Offer people with psychosis or schizophrenia, especially those taking antipsychotics, a combined healthy eating and physical activity programme as part of routine health and social care. [new 2014]

1.1.3.2 If a person has rapid or excessive weight gain, lipid disturbance or problems with blood sugar management, offer additional interventions in line with [Obesity](#) (NICE clinical guideline 43), [Lipid modification](#) (NICE clinical guideline 67) and/or the NICE pathway for [diabetes](#). [new 2014]

1.1.3.3 Offer people with psychosis or schizophrenia who smoke help to stop smoking, even if previous attempts have been unsuccessful. Offer:

- nicotine replacement therapy products (usually a combination of transdermal patches with a short-acting product such as an inhalator, gum, lozenges or spray) or
- bupropion. [new 2014]

1.1.3.4 For people with psychosis or schizophrenia in inpatient settings who do not want to stop smoking, offer nicotine replacement therapy to help them to reduce or temporarily stop smoking. [new 2014]

- 1.1.3.5 Do not offer varenicline for smoking cessation to people with psychosis and schizophrenia because of the increased risk of adverse neuropsychiatric symptoms. [new 2014]
- 1.1.3.6 Clinical teams should ensure that body mass, cardiovascular and metabolic indicators of morbidity in people with psychosis or schizophrenia are monitored and reported annually in the team report. [new 2014]
- 1.1.3.7 Trusts should ensure compliance with standards on the monitoring and treatment of cardiovascular and metabolic disease in people with psychosis or schizophrenia through board-level performance indicators. [new 2014]

#### **1.1.4 Comprehensive services provision**

- 1.1.4.1 All teams providing services for people with psychosis or schizophrenia should offer a comprehensive range of interventions consistent with this guideline. [2009]

#### **1.1.5 Support for carers**

- 1.1.5.1 Offer carers of people with psychosis or schizophrenia an assessment (provided by mental health services) of their own needs and discuss with them their strengths and views. Develop a care plan to address any identified needs and give a copy to the carer and their GP. [new 2014]
- 1.1.5.2 Routinely advise carers about their statutory right to a formal carer's assessment provided by social care services and explain how to access this. [new 2014]
- 1.1.5.3 When working with carers provide written and verbal information in an accessible format about:
- diagnosis and management of psychosis and schizophrenia
  - positive outcomes and recovery
  - types of support for carers

- how information will be shared between carers, service users, professionals and agencies
- getting help in a crisis. [new 2014]

1.1.5.4 As early as possible negotiate with service users and carers about how information about the service user will be shared. When discussing rights to confidentiality, emphasise the importance of sharing information about risks and the need for carers to understand the service user's perspective. Foster a collaborative approach that supports both service users and carers, and respects their individual needs and interdependence. [new 2014]

1.1.5.5 Review regularly how information is shared, especially if there are difficulties in communication and collaboration between the service user and carer. [new 2014]

1.1.5.6 Include carers in decision-making if the service user agrees. [new 2014]

1.1.5.7 Offer a carer-focused intervention such as an education and support programme, which may be part of a family intervention for psychosis and schizophrenia, as early as possible to all carers. The intervention should:

- be available as needed
- have a positive recovery message. [new 2014]

## **1.1.6 Peer support and self-management**

1.1.6.1 Consider peer support for people with psychosis or schizophrenia to help improve service user experience and quality of life. Peer support should be delivered by a trained peer support worker who has recovered from psychosis or schizophrenia and remains stable. Peer support workers should receive support from the whole team and support and mentorship from experienced peer workers. [new 2014]

1.1.6.2 Consider a manualised self-management programme delivered face-to-face with service users, as part of the treatment and management of psychosis or schizophrenia. [new 2014]

1.1.6.3 Peer support and self-management programmes should include information and advice about:

- psychosis and schizophrenia
- effective use of medication
- identifying and managing symptoms
- accessing mental health and other support services
- coping with stress and other problems
- what to do in a crisis
- building a social support network
- preventing relapse and setting personal recovery goals. [new 2014]

## **1.2 *Preventing psychosis***

### **1.2.1 Referral from primary care**

1.2.1.1 If a person is distressed, has a decline in social functioning and has:

- transient or attenuated psychotic symptoms or
- other experiences suggestive of possible psychosis or
- a first degree relative with psychosis or schizophrenia

1.2.1.2 refer them for assessment without delay to a specialist mental health service or an early intervention in psychosis service because they may be at increased risk of developing psychosis. [new 2014]

### **1.2.2 Specialist assessment**

1.2.2.1 Carry out an assessment ensuring that it involves a consultant psychiatrist or a trained specialist with experience in at-risk mental states. [new 2014]

### **1.2.3 Treatment options to prevent psychosis**

1.2.3.1 If a person is considered to be at increased risk of developing psychosis (as described in 1.2.1.1):

- offer individual cognitive behavioural therapy (CBT) with or without family intervention (delivered as described in recommendations 1.3.7.1 and 1.3.7.2) and
- offer treatments recommended in NICE guidance for people with any of the anxiety disorders, depression, emerging personality disorder or substance misuse. [new 2014]

1.2.3.2 Do not offer antipsychotic medication:

- for people considered to be at increased risk of developing psychosis (as described in 1.2.1.1) or
- with the aim of decreasing the risk of or preventing psychosis [new 2014]

### **1.2.4 Monitoring and follow-up**

1.2.4.1 If, after treatment (as described in 1.2.3.1), the person continues to have symptoms, impaired functioning or is distressed, but a clear diagnosis of psychosis cannot be made, monitor the person regularly for changes in symptoms and functioning for up to 3 years using a structured and validated assessment tool. Determine the frequency and duration of monitoring by the:

- severity and frequency of symptoms
- level of impairment and/or distress and
- degree of family disruption or concern. [new 2014]

1.2.4.2 If a person requests discharge from the service, offer follow-up appointments and the option to self-refer at a later date. Ask the GP to continue monitoring changes in their mental state. [new 2014]

### **1.3 *First episode psychosis***

#### **1.3.1 Early intervention in psychosis services**

- 1.3.1.1 Early intervention in psychosis services should be accessible to all people with a first episode or first presentation of psychosis, irrespective of the person's age or the duration of untreated psychosis. [new 2014]
- 1.3.1.2 People presenting to early intervention in psychosis services should be assessed without delay. Where the service cannot provide urgent intervention for people in a crisis, refer the person to a crisis resolution and home treatment team (with support from early intervention in psychosis services). Referral may be from primary or secondary care (including other community services) or a self- or carer-referral. [new 2014]
- 1.3.1.3 Early intervention in psychosis services should aim to provide a full range of relevant pharmacological, psychological, social, occupational and educational interventions for people with psychosis, consistent with this guideline. [2014]
- 1.3.1.4 Consider extending the availability of early intervention in psychosis services beyond 3 years if the person has not made a stable recovery from psychosis or schizophrenia. [new 2014]

#### **1.3.2 Primary care**

- 1.3.2.1 Antipsychotic medication for a first presentation of sustained psychotic symptoms should not be started in primary care unless it is done in consultation with a consultant psychiatrist. [2009; amended 2014]

#### **1.3.3 Assessment and care planning in early intervention services**

- 1.3.3.1 Carry out a comprehensive multidisciplinary assessment of people with psychotic symptoms in secondary care. This should include

assessment by a psychiatrist, a psychologist or a professional with expertise in the psychological treatment of people with psychosis or schizophrenia. The assessment should address the following domains:

- psychiatric (mental health problems, risk of harm to self or others, alcohol consumption and prescribed and non-prescribed drug history)
- medical, including medical history and full physical examination to identify physical illness (including organic brain disorders) and prescribed drug treatments that may result in psychosis
- physical health and wellbeing (including weight, smoking, nutrition, physical activity and sexual health)
- psychological and psychosocial, including social networks, relationships and history of trauma
- developmental (social, cognitive and motor development and skills, including coexisting neurodevelopmental conditions)
- social (accommodation, culture and ethnicity, leisure activities and recreation, and responsibilities for children or as a carer)
- occupational and educational (attendance at college, educational attainment, employment and activities of daily living)
- quality of life
- economic status. [2009; amended 2014]

1.3.3.2 Assess for post-traumatic stress disorder and other reactions to trauma because people with psychosis or schizophrenia are likely to have experienced previous adverse events or trauma associated with the development of the psychosis or as a result of the psychosis itself. For people who show signs of post-traumatic stress, follow [Post-traumatic stress disorder](#) (NICE clinical guideline 26). [new 2014]

- 1.3.3.3 Routinely monitor for other coexisting conditions, including depression, anxiety and substance misuse particularly in the early phases of treatment. [2009; amended 2014]
- 1.3.3.4 Write a care plan in collaboration with the service user as soon as possible following assessment, based on a psychiatric and psychological formulation. Send a copy of the care plan to the primary healthcare professional who made the referral and the service user. [2009; amended 2014]
- 1.3.3.5 For people who are unable to attend mainstream education, training or work, facilitate alternative educational or occupational input in line with their capacity to engage with educational or occupational activities and according to their individual needs, with an ultimate goal of returning to mainstream education, training or employment. [new 2014]

#### **1.3.4 Treatment options**

- 1.3.4.1 For people with first episode psychosis offer:
- oral antipsychotic medication (see recommendations 1.3.5.1–1.3.6.10) in conjunction with
  - psychological interventions (family intervention and individual CBT, delivered as described in recommendations 1.3.7.1 and 1.3.7.2). [new 2014]
- 1.3.4.2 If the person wishes to try psychological interventions (family intervention and individual CBT) alone without antipsychotic medication, advise that psychological interventions are more effective when delivered in conjunction with antipsychotic medication. If the person still wishes to try psychological interventions alone, then offer family intervention and CBT. Agree a time (1 month or less) for reviewing treatment options, including introducing antipsychotic medication. Continue to monitor symptoms, level of distress, impairment and level of functioning

(including education, training and employment) regularly. [new 2014]

- 1.3.4.3 If the person shows symptoms and behaviour sufficient for a diagnosis of an affective psychosis or disorder, including bipolar disorder and unipolar psychotic depression, follow the recommendations in [Bipolar disorder](#) (NICE clinical guideline 38) or [Depression in adults](#) (NICE clinical guideline 90). [new 2014]

### **1.3.5 Choice of antipsychotic medication**

- 1.3.5.1 The choice of antipsychotic medication should be made by the service user and healthcare professional together, taking into account the views of the carer if the service user agrees. Provide information and discuss the likely benefits and possible side effects of each drug, including:

- metabolic (including weight gain and diabetes)
- extrapyramidal (including akathisia, dyskinesia and dystonia)
- cardiovascular (including prolonging the QT interval)
- hormonal (including increasing plasma prolactin)
- other (including unpleasant subjective experiences). [2009; amended 2014]

### **1.3.6 How to use antipsychotic medication**

- 1.3.6.1 Before starting antipsychotic medication, undertake and record the following baseline investigations:

- weight (plotted on a chart)
- waist circumference
- pulse and blood pressure
- fasting blood glucose, glycosylated haemoglobin (HbA<sub>1c</sub>), blood lipid profile and prolactin levels
- assessment of any movement disorders
- assessment of nutritional status, diet and level of physical activity. [new 2014]

- 1.3.6.2 Before starting antipsychotic medication, offer the person with psychosis or schizophrenia an electrocardiogram (ECG) if:
- specified in the summary of product characteristics (SPC)
  - a physical examination has identified specific cardiovascular risk (such as diagnosis of high blood pressure)
  - there is a personal history of cardiovascular disease or
  - the service user is being admitted as an inpatient. [2009]
- 1.3.6.3 Treatment with antipsychotic medication should be considered an explicit individual therapeutic trial. Include the following:
- Discuss and record the side effects that the person is most willing to tolerate.
  - Record the indications and expected benefits and risks of oral antipsychotic medication, and the expected time for a change in symptoms and appearance of side effects.
  - At the start of treatment give a dose at the lower end of the licensed range and slowly titrate upwards within the dose range given in the British national formulary (BNF) or SPC.
  - Justify and record reasons for dosages outside the range given in the BNF or SPC.
  - Record the rationale for continuing, changing or stopping medication, and the effects of such changes.
  - Carry out a trial of the medication at optimum dosage for 4–6 weeks. [2009; amended 2014]
- 1.3.6.4 Monitor and record the following regularly and systematically throughout treatment, but especially during titration:
- efficacy, including changes in symptoms and behaviour
  - side effects of treatment, taking into account overlap between certain side effects and clinical features of schizophrenia (for example, the overlap between akathisia and agitation or anxiety) and impact on functioning

- the emergence of movement disorders
- weight, weekly for the first 6 weeks, then at 12 weeks, at 1 year and then annually (plotted on a chart)
- waist circumference annually (plotted on a chart)
- pulse and blood pressure at 12 weeks, at 1 year and then annually
- fasting blood glucose, HbA<sub>1c</sub> and blood lipid levels at 12 weeks, at 1 year and then annually thereafter
- adherence
- overall physical health.

The secondary care team should maintain responsibility for monitoring service users' physical health and the effects of antipsychotic medication for at least the first 12 months or until the person's condition has stabilised, whichever is longer. Thereafter, the responsibility for this monitoring may be transferred to primary care under shared care arrangements. [new 2014]

1.3.6.5 Discuss any non-prescribed therapies the service user wishes to use (including complementary therapies) with the service user, and carer if appropriate. Discuss the safety and efficacy of the therapies, and possible interference with the therapeutic effects of prescribed medication and psychological treatments. [2009]

1.3.6.6 Discuss the use of alcohol, tobacco, prescription and non-prescription medication and illicit drugs with the service user, and carer if appropriate. Discuss their possible interference with the therapeutic effects of prescribed medication and psychological treatments. [2009]

1.3.6.7 'As required' (p.r.n.) prescriptions of antipsychotic medication should be made as described in recommendation 1.3.6.3. Review clinical indications, frequency of administration, therapeutic benefits and side effects each week or as appropriate. Check whether

'p.r.n.' prescriptions have led to a dosage above the maximum specified in the BNF or SPC. [2009]

1.3.6.8 Do not use a loading dose of antipsychotic medication (often referred to as 'rapid neuroleptisation'). [2009]

1.3.6.9 Do not initiate regular combined antipsychotic medication, except for short periods (for example, when changing medication). [2009]

1.3.6.10 If prescribing chlorpromazine, warn of its potential to cause skin photosensitivity. Advise using sunscreen if necessary. [2009]

### 1.3.7 How to deliver psychological interventions

1.3.7.1 CBT should be delivered on a one-to-one basis over at least 16 planned sessions and:

- follow a treatment manual<sup>1</sup> so that:
  - people can establish links between their thoughts, feelings or actions and their current or past symptoms, and/or functioning
  - the re-evaluation of people's perceptions, beliefs or reasoning relates to the target symptoms
- also include at least one of the following components:
  - people monitoring their own thoughts, feelings or behaviours with respect to their symptoms or recurrence of symptoms
  - promoting alternative ways of coping with the target symptom
  - reducing distress
  - improving functioning. [2009]

1.3.7.2 Family intervention should:

- include the person with psychosis or schizophrenia if practical
- be carried out for between 3 months and 1 year
- include at least 10 planned sessions

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<sup>1</sup> Treatment manuals that have evidence for their efficacy from clinical trials are preferred.

- take account of the whole family's preference for either single-family intervention or multi-family group intervention
- take account of the relationship between the main carer and the person with psychosis or schizophrenia
- have a specific supportive, educational or treatment function and include negotiated problem solving or crisis management work. [2009]

### **1.3.8 Monitoring and reviewing psychological interventions**

1.3.8.1 When providing psychological interventions, routinely and systematically monitor a range of outcomes across relevant areas, including service user satisfaction and, if appropriate, carer satisfaction. [2009]

1.3.8.2 Healthcare teams working with people with psychosis or schizophrenia should identify a lead healthcare professional within the team whose responsibility is to monitor and review:

- access to and engagement with psychological interventions
- decisions to offer psychological interventions and equality of access across different ethnic groups. [2009]

### **1.3.9 Competencies for delivering psychological interventions**

1.3.9.1 Healthcare professionals providing psychological interventions should:

- have an appropriate level of competence in delivering the intervention to people with psychosis or schizophrenia
- be regularly supervised during psychological therapy by a competent therapist and supervisor. [2009]

1.3.9.2 Trusts should provide access to training that equips healthcare professionals with the competencies required to deliver the psychological therapy interventions recommended in this guideline. [2009]

## **1.4      *Subsequent acute episodes of psychosis or schizophrenia and referral in crisis***

### **1.4.1      Service-level interventions**

- 1.4.1.1      Consider crisis resolution and home treatment teams as a first-line treatment to support people with psychosis or schizophrenia during an acute episode in the community if the severity of the episode, or the level of risk to self or others, exceeds the capacity of the early intervention in psychosis services or other community teams to effectively manage it. [new 2014]
- 1.4.1.2      Crisis resolution and home treatment teams should be the single point of entry to all other acute services in the community and in hospitals. [new 2014]
- 1.4.1.3      Treatment and management of a crisis in a person with psychosis or schizophrenia in the community should be undertaken by crisis resolution and home treatment teams supported by acute day care, crisis houses or other facilities depending on the person's preference. [new 2014]
- 1.4.1.4      Consider acute community treatment within crisis resolution and home treatment teams, acute day care facilities or crisis houses before admission to an inpatient unit and as a means to enable timely discharge from inpatient units. [new 2014]
- 1.4.1.5      Consider intensive case management for people with psychosis or schizophrenia who are likely to disengage from treatment. [new 2014]
- 1.4.1.6      If a person with psychosis or schizophrenia needs hospital care, think about the impact on the person, their carers and other family members, especially if the inpatient unit is a long way from where they live. If hospital admission is unavoidable, ensure that the setting is suitable for the person's age and level of vulnerability, support their carers and follow the recommendations in [Service](#)

[user experience in adult mental health](#) (NICE clinical guidance 136). [new 2014]

## **1.4.2 Treatment options**

1.4.2.1 For people with an acute exacerbation or recurrence of psychosis or schizophrenia, offer:

- oral antipsychotic medication in conjunction with
- psychological interventions (family intervention and individual CBT). [new 2014]

## **1.4.3 Pharmacological interventions**

1.4.3.1 For people with an acute exacerbation or recurrence of psychosis or schizophrenia, offer oral antipsychotic medication or review existing medication. The choice of drug should be influenced by the same criteria recommended for starting treatment (see 1.3.5.1-1.3.6.10). Take into account the clinical response and side effects of the service user's current and previous medication. [2009; amended 2014]

## **1.4.4 Psychological and psychosocial interventions**

1.4.4.1 Offer CBT to all people with psychosis or schizophrenia (delivered as described in recommendation 1.3.7.1). This can be started either during the acute phase or later, including in inpatient settings. [2009]

1.4.4.2 Offer family intervention to all families of people with psychosis or schizophrenia who live with or are in close contact with the service user (delivered as described in recommendation 1.3.7.2). This can be started either during the acute phase or later, including in inpatient settings. [2009]

1.4.4.3 Consider offering arts therapies to all people with psychosis or schizophrenia, particularly for the alleviation of negative symptoms.

This can be started either during the acute phase or later, including in inpatient settings. [2009]

1.4.4.4 Arts therapies should be provided by a Health and Care Professions Council registered arts therapist with previous experience of working with people with psychosis or schizophrenia. The intervention should be provided in groups unless difficulties with acceptability and access and engagement indicate otherwise. Arts therapies should combine psychotherapeutic techniques with activity aimed at promoting creative expression, which is often unstructured and led by the service user. Aims of arts therapies should include:

- enabling people with psychosis or schizophrenia to experience themselves differently and to develop new ways of relating to others
- helping people to express themselves and to organise their experience into a satisfying aesthetic form
- helping people to accept and understand feelings that may have emerged during the creative process (including, in some cases, how they came to have these feelings) at a pace suited to the person. [2009]

1.4.4.5 When psychological treatments, including arts therapies, are started in the acute phase (including in inpatient settings), the full course should be continued after discharge without unnecessary interruption. [2009]

1.4.4.6 Do not routinely offer counselling and supportive psychotherapy (as specific interventions) to people with psychosis or schizophrenia. However, take service user preferences into account, especially if other more efficacious psychological treatments, such as CBT, family intervention and arts therapies, are not available locally. [2009]

1.4.4.7 Do not offer adherence therapy (as a specific intervention) to people with psychosis or schizophrenia. [2009]

1.4.4.8 Do not routinely offer social skills training (as a specific intervention) to people with psychosis or schizophrenia. [2009]

## 1.4.5 Behaviour that challenges

1.4.5.1 Occasionally people with psychosis or schizophrenia pose an immediate risk to themselves or others during an acute episode and may need rapid tranquillisation. The management of immediate risk should follow the relevant NICE guidelines (see recommendations 1.4.5.2 and 1.4.5.5). [2009]

1.4.5.2 Follow the recommendations in [Violence](#) (NICE clinical guideline 25) when facing imminent violence or when considering rapid tranquillisation. [2009]

1.4.5.3 After rapid tranquillisation, offer the person with psychosis or schizophrenia the opportunity to discuss their experiences. Provide them with a clear explanation of the decision to use urgent sedation. Record this in their notes. [2009]

1.4.5.4 Ensure that the person with psychosis or schizophrenia has the opportunity to write an account of their experience of rapid tranquillisation in their notes. [2009]

1.4.5.5 Follow the recommendations in [Self-harm](#) (NICE clinical guideline 16) when managing acts of self-harm in people with psychosis or schizophrenia. [2009]

## 1.4.6 Early post-acute period

1.4.6.1 After each acute episode, encourage people with psychosis or schizophrenia to write an account of their illness in their notes. [2009]

1.4.6.2 Healthcare professionals may consider using psychoanalytic and psychodynamic principles to help them understand the experiences of people with psychosis or schizophrenia and their interpersonal relationships. [2009]

1.4.6.3 Inform the service user that there is a high risk of relapse if they stop medication in the next 1–2 years. [2009]

1.4.6.4 If withdrawing antipsychotic medication, undertake gradually and monitor regularly for signs and symptoms of relapse. [2009]

1.4.6.5 After withdrawal from antipsychotic medication, continue monitoring for signs and symptoms of relapse for at least 2 years. [2009]

## **1.5 Promoting recovery and possible future care**

### **1.5.1 General principles**

1.5.1.1 Continue treatment and care in early intervention in psychosis services or refer the person to a specialist integrated community-based team. This team should:

- offer the full range of psychological, pharmacological, social and occupational interventions recommended in this guideline
- be competent to provide all interventions offered
- place emphasis on engagement rather than risk management
- provide treatment and care in the least restrictive and stigmatising environment possible and in an atmosphere of hope and optimism in line with [Service user experience in adult mental health](#) (NICE clinical guidance 136). [new 2014]

1.5.1.2 Review antipsychotic medication annually, including observed benefits and any side effects. [new 2014].

### **1.5.2 Return to primary care**

1.5.2.1 Offer people with psychosis or schizophrenia whose symptoms have responded effectively to treatment and remain stable the

option to return to primary care for further management. If a service user wishes to do this, record this in their notes and coordinate transfer of responsibilities through the care programme approach. [2009]

### 1.5.3 Primary care

#### *Monitoring physical health in primary care*

1.5.3.1 Develop and use practice case registers to monitor the physical and mental health of people with psychosis or schizophrenia in primary care. [2009]

1.5.3.2 GPs and other primary healthcare professionals should monitor the physical health of people with psychosis or schizophrenia when responsibility for monitoring is transferred from secondary care, and then at least once a year. The health check should be comprehensive, focusing on physical health problems that are common in people with psychosis and schizophrenia such as cardiovascular disease, diabetes, obesity and respiratory disease. Include all the checks recommended in 1.3.6.1 and refer to relevant NICE guidelines for monitoring. A copy of the results should be sent to the care coordinator and psychiatrist, and put in the secondary care notes. [new 2014]

1.5.3.3 Identify people with psychosis or schizophrenia who smoke, have high blood pressure, abnormal lipid levels or increased waist measurement, or are physically inactive, at the earliest opportunity and follow NICE guidance on prevention of cardiovascular disease and diabetes<sup>2</sup>. [new 2014]

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<sup>2</sup> See [Lipid modification](#) (NICE clinical guideline 67), [Type 1 diabetes](#) (NICE clinical guideline 15) [Type 2 diabetes](#) (NICE clinical guideline 66), Type 2 diabetes – newer agents (NICE clinical guideline 87) and Physical activity (NICE public health guidance 44), Further guidance about treating cardiovascular disease and diabetes is available from [www.nice.org.uk](http://www.nice.org.uk).

1.5.3.4 Treat people with psychosis or schizophrenia who have diabetes or cardiovascular disease in primary care according to the appropriate NICE guidance<sup>4</sup>. [2009]

1.5.3.5 Healthcare professionals in secondary care should ensure, as part of the care programme approach, that people with psychosis or schizophrenia receive physical healthcare from primary care as described in recommendations 1.5.3.1–1.5.3.4. [2009]

### ***Relapse and re-referral to secondary care***

1.5.3.6 When a person with an established diagnosis of psychosis or schizophrenia presents with a suspected relapse (for example, with increased psychotic symptoms or a significant increase in the use of alcohol or other substances), primary healthcare professionals should refer to the crisis section of the care plan. Consider referral to the key clinician or care coordinator identified in the crisis plan. [2009]

1.5.3.7 For a person with psychosis or schizophrenia being cared for in primary care, consider referral to secondary care again if there is:

- poor response to treatment
- non-adherence to medication
- intolerable side effects from medication
- comorbid substance misuse
- risk to self or others. [2009]

1.5.3.8 When re-referring people with psychosis or schizophrenia to mental health services, take account of service user and carer requests, especially for:

- review of the side effects of existing treatments

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<sup>4</sup> See [Lipid modification](#) (NICE clinical guideline 67), [Type 1 diabetes](#) (NICE clinical guideline 15) [Type 2 diabetes](#) (NICE clinical guideline 66), Type 2 diabetes – newer agents (NICE clinical guideline 87) and Physical activity (NICE public health guidance 44), Further guidance about preventing and treating cardiovascular disease and diabetes is available from [www.nice.org.uk](http://www.nice.org.uk).

- psychological treatments or other interventions. [2009]

### **Transfer**

1.5.3.9 When a person with psychosis or schizophrenia is planning to move to the catchment area of a different NHS trust, a meeting should be arranged between the services involved and the service user to agree a transition plan before transfer. The person's current care plan should be sent to the new secondary care and primary care providers. [2009]

## **1.5.4 Psychological interventions**

1.5.4.1 Offer CBT to assist in promoting recovery in people with persisting positive and negative symptoms and for people in remission. Deliver CBT as described in recommendation 1.3.7.1. [2009]

1.5.4.2 Offer family intervention to families of people with psychosis or schizophrenia who live with or are in close contact with the service user. Deliver family intervention as described in recommendation 1.3.7.2. [2009]

1.5.4.3 Family intervention may be particularly useful for families of people with psychosis or schizophrenia who have:

- recently relapsed or are at risk of relapse
- persisting symptoms. [2009]

1.5.4.4 Consider offering arts therapies to assist in promoting recovery, particularly in people with negative symptoms. [2009]

## **1.5.5 Pharmacological interventions**

1.5.5.1 The choice of drug should be influenced by the same criteria recommended for starting treatment (see section 1.3.5-1.3.6). [2009]

1.5.5.2 Do not use targeted, intermittent dosage maintenance strategies<sup>3</sup> routinely. However, consider them for people with psychosis or schizophrenia who are unwilling to accept a continuous maintenance regimen or if there is another contraindication to maintenance therapy, such as side-effect sensitivity. [2009]

1.5.5.3 Consider offering depot /long-acting injectable antipsychotic medication to people with psychosis or schizophrenia:

- who would prefer such treatment after an acute episode
- where avoiding covert non-adherence (either intentional or unintentional) to antipsychotic medication is a clinical priority within the treatment plan. [2009]

## 1.5.6 Using depot/long-acting injectable antipsychotic medication

1.5.6.1 When initiating depot/long-acting injectable antipsychotic medication:

- take into account the service user's preferences and attitudes towards the mode of administration (regular intramuscular injections) and organisational procedures (for example, home visits and location of clinics)
- take into account the same criteria recommended for the use of oral antipsychotic medication (see section 1.3.5-1.3.6), particularly in relation to the risks and benefits of the drug regimen
- initially use a small test dose as set out in the BNF or SPC. [2009]

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<sup>3</sup> Defined as the use of antipsychotic medication only during periods of incipient relapse or symptom exacerbation rather than continuously.

## **1.5.7 Interventions for people whose illness has not responded adequately to treatment**

**1.5.7.1** For people with schizophrenia whose illness has not responded adequately to pharmacological or psychological treatment:

- Review the diagnosis.
- Establish that there has been adherence to antipsychotic medication, prescribed at an adequate dose and for the correct duration.
- Review engagement with and use of psychological treatments and ensure that these have been offered according to this guideline. If family intervention has been undertaken suggest CBT; if CBT has been undertaken suggest family intervention for people in close contact with their families.
- Consider other causes of non-response, such as comorbid substance misuse (including alcohol), the concurrent use of other prescribed medication or physical illness. [2009]

**1.5.7.2** Offer clozapine to people with schizophrenia whose illness has not responded adequately to treatment despite the sequential use of adequate doses of at least 2 different antipsychotic drugs. At least 1 of the drugs should be a non-clozapine second-generation antipsychotic. [2009]

**1.5.7.3** For people with schizophrenia whose illness has not responded adequately to clozapine at an optimised dose, healthcare professionals should consider recommendation 1.5.7.1 (including measuring therapeutic drug levels) before adding a second antipsychotic to augment treatment with clozapine. An adequate trial of such an augmentation may need to be up to 8–10 weeks. Choose a drug that does not compound the common side effects of clozapine. [2009]

## **1.5.8 Employment, education and occupational activities**

- 1.5.8.1 Offer supported employment programmes to people with psychosis or schizophrenia who wish to return to work or gain employment. Consider other occupational or educational activities, including pre-vocational training, for people who are unable to work or unsuccessful in finding employment. [new 2014]
- 1.5.8.2 Mental health services should work in partnership with local stakeholders, including those representing black, Asian and minority ethnic groups, to enable people with mental health problems, including psychosis or schizophrenia, to stay in work or education and to access new employment (including self-employment), volunteering and educational opportunities. [2009; amended 2014]
- 1.5.8.3 Routinely record the daytime activities of people with psychosis or schizophrenia in their care plans, including occupational outcomes. [2009]

## **2 Research recommendations**

The Guideline Development Group has made the following recommendations for research, based on its review of evidence, to improve NICE guidance and patient care in the future. The Guideline Development Group's full set of research recommendations is detailed in the full guideline.

### **2.1 *Peer support interventions***

What is the clinical and cost effectiveness of peer support interventions in people with psychosis and schizophrenia?

#### **Why this is important**

Service users have supported the development of peer support interventions, which have recently proliferated in the UK, but current evidence for these interventions in people with psychotic disorders is not strong and the studies are mainly of very low quality. Moreover the content of the programmes has

varied considerably, some using structured interventions, others providing more informal support. There is therefore an urgent need for high-quality evidence in this area.

The programme of research would be in several stages. First, there should be development work to establish what specifically service users want from peer support workers, as opposed to what they want from professionals; and what are the conditions for optimal delivery of the intervention. This development work should be co-produced by exploring the views of service users, experienced peer support workers and developers of peer support interventions, and suitable outcome measures should be identified reflecting the aims of peer support. Second, the intervention, delivered as far as possible under the optimal conditions, should be tested in a high-quality trial. Further research should test structured and manualised formats versus unstructured formats (in which service user and peer decide together what to cover in the session). Benefits and adverse effects experienced by peer support workers should also be measured.

## **2.2      *People who choose not to take antipsychotic medication***

What is the clinical and cost effectiveness of psychological intervention alone, compared with treatment as usual, in people with psychosis or schizophrenia who choose not to take antipsychotic medication?

### **Why this is important**

The development of alternative treatment strategies is important for the high proportion of people with psychosis and schizophrenia who choose not to take antipsychotic medication, or discontinue it due to adverse effects or lack of efficacy. There is evidence that psychological interventions (CBT and family intervention) as an adjunct to antipsychotic medication are effective in the treatment of psychosis and schizophrenia and are cost saving. However, there is little evidence for family intervention or CBT alone, without antipsychotic medication.

The programme of research should compare the clinical and cost effectiveness of psychological intervention alone (CBT and/or family intervention) with treatment as usual for people with psychosis or schizophrenia who choose not to take antipsychotic medication, using an adequately powered study with a randomised controlled design. Key outcomes should include symptoms, relapse rates, quality of life, treatment acceptability, social functioning and the cost effectiveness of the interventions.

### **2.3      *The benefits and risks of discontinuing antipsychotic medication***

What are the short- and long-term benefits and risks of guided medication discontinuation and/or reduction in first episode psychosis and can this be achieved without risk of serious relapse?

#### **Why this is important**

There is growing concern about the long-term health risks, increased mortality and cortical grey matter loss linked to cumulative neuroleptic exposure in people with psychosis. The majority of young adults discontinue their medication in an unplanned way due to these risks. A Dutch moderately-sized open trial has reported successful discontinuation of medication in 20% of people without serious relapse; at 7-year follow-up there was continuous benefit for guided reduction in terms of side effects, functioning and employment, with no long-term risks. If replicated, this would mark a significant breakthrough in reducing the long-term health risks associated with antipsychotic treatment and improving outcomes.

The programme of research should use an adequately powered, multicentre, double-blind, randomised controlled design to test the benefits, risks and costs of discontinuing or reducing antipsychotic medication among young adults with first episode psychosis who have achieved remission. The primary outcome should be quality of life; secondary outcomes should include side effects, such as metabolic disorders and weight gain, serious relapse, acceptability and user preference.

## **2.4      *Maintaining the benefits of early intervention in psychosis services after discharge***

How can the benefits of early intervention in psychosis services be maintained once service users are discharged after 3 years?

### **Why this is important**

Early intervention in psychosis services deliver evidence-based interventions in a positive, youth-friendly setting, improve outcomes, are cost effective and have high service user acceptability and engagement. Once people are transferred to primary care or community mental health services these gains are diminished. The guideline recommends that trusts consider extending these services. However, the extent to which gains would be maintained and who would benefit most is not known. The successful element of early intervention in psychosis services might be incorporated into mainstream services for psychosis, but how this would function, and its cost effectiveness, needs to be determined.

The suggested programme of research should use an adequately powered, multi-centre randomised trial comparing extending early intervention in psychosis services (for example, for 2 years) versus providing augmented (step-down) care in community mental health services versus treatment as usual to determine whether the gains of early intervention can be maintained and which service users would benefit most under each condition. The primary outcome should be treatment/service engagement and secondary outcomes should include relapse, readmission, functioning and user preference.

## **2.5      *Interventions for PTSD symptoms in people with psychosis and schizophrenia***

What is the benefit of a CBT-based trauma reprocessing intervention on PTSD symptoms in people with psychosis and schizophrenia?

### **Why this is important**

PTSD symptoms have been documented in approximately one-third of people with psychosis and schizophrenia. PTSD in this context predicts worse mental health outcomes, greater service use, and poorer life satisfaction. Two-thirds of the traumatic intrusions, observed in first episode and established psychosis, relate to symptoms of psychosis and its treatment (including detention). One study has demonstrated proof-of-principle in first episode psychosis for trauma reprocessing, focusing on psychosis-related intrusions. Replication of the study will fill a major gap in treatment for this population and may have other benefits on psychotic symptoms and service use.

The suggested programme of research would use an adequately powered, multi-centre randomised trial to test whether a CBT-based trauma reprocessing intervention can reduce PTSD symptoms and related distress in people with psychosis and schizophrenia. The trial should be targeted at those with high levels of PTSD symptoms, particularly traumatic intrusions, following first episode psychosis. The follow-up should be up to 2 years and the intervention should include 'booster' elements (which are extra sessions of CBT-based trauma reprocessing interventions) and a health economic evaluation.

## **3 Other information**

### **3.1 *Scope and how this guideline was developed***

NICE guidelines are developed in accordance with a [scope](#) that defines what the guideline will and will not cover.

#### **Key issues that will be covered**

- a) Low intensity interventions specifically for people with psychosis and schizophrenia, for example, befriending, peer support, exercise and diet, smoking cessation interventions, interventions for anxiety and depression, self-management and hearing voices self-help groups.
  
- b) All the range of teams and service level interventions currently used in the treatment of people with psychosis and schizophrenia, including assertive

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community treatment teams, early intervention teams, crisis resolution and home treatment teams, community mental health teams, case management, acute day care and crisis houses.

c) Key aspects of teams delivering interventions that are associated with good outcomes.

d) Interventions that improve the ability of people to undertake employment, including supported employment and pre-vocational training.

e) Cognitive remediation, in particular its combination with vocational rehabilitation.

f) The psychological management of previous trauma.

### **Key issues that will not be covered**

a) Psychological (with the exception of cognitive remediation) and pharmacological interventions.

b) Specific interventions that are delivered in primary care services.

c) Rapid tranquillisation.

d) The specific management of affective disorders.

e) The treatment of people with schizophrenia whose illness has not responded adequately to pharmacological or psychological treatment.

f) The management of coexisting learning disabilities, significant physical or sensory difficulties, or substance misuse.

### **How this guideline was developed**

NICE commissioned the National Collaborating Centre for Mental Health to develop this guideline. The Centre established a Guideline Development Group (see section 4), which reviewed the evidence and developed the recommendations.

The methods and processes for developing NICE clinical guidelines are described in [The guidelines manual](#).

### **3.2 Related NICE guidance**

Details are correct at the time of consultation on the guideline (August 2013). Further information is available on [the NICE website](#).

#### **Published**

- [Social anxiety disorder](#). NICE clinical guideline 159 (2013).
- [Psychosis and schizophrenia in children and young people](#). NICE clinical guideline 155 (2013)
- [Patient experience in adult NHS services](#). NICE clinical guidance 138 (2012).
- [Service user experience in adult mental health](#). NICE clinical guidance 136 (2011).
- [Self-harm: longer term management](#). NICE clinical guideline 133 (2011).
- [Common mental health disorders](#). NICE clinical guideline 123 (2011).
- [Psychosis with coexisting substance misuse](#). NICE clinical guideline 120 (2011).
- [Alcohol-use disorders: diagnosis, assessment and management of harmful drinking and alcohol dependence](#). NICE clinical guideline 115 (2011).
- [Generalised anxiety disorder and panic disorder \(with or without agoraphobia\) in adults](#). NICE clinical guideline 113 (2011)
- [Depression in adults with a chronic physical health problem](#). NICE clinical guideline 91 (2009).
- [Depression in adults](#). NICE clinical guideline 90 (2009).

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- [Borderline personality disorder](#). NICE clinical guideline 78. (2009)
- [Antisocial personality disorder](#). NICE clinical guideline 77 (2009).
- [Medicines adherence](#). NICE clinical guideline 76 (2009).
- [Promoting mental wellbeing at work](#). NICE public health guidance 22 (2009).
- [Managing long-term sickness and incapacity for work](#). NICE public health guidance 19 (2009).
- [Smoking cessation services](#). Public health guidance 10 (2008).
- [Lipid modification](#). NICE clinical guideline 67 (2008).
- [Type 2 diabetes](#). NICE clinical guideline 66 (2008).
- [Drug misuse: opioid detoxification](#). NICE clinical guideline 52 (2007).
- [Drug misuse: psychosocial interventions](#). NICE clinical guideline 51 (2007).
- [Obesity](#). NICE clinical guideline 43 (2006).
- [Bipolar disorder](#). NICE clinical guideline 38 (2006).
- [Statins for the prevention of cardiovascular events](#). NICE technology appraisal guidance 94 (2006).
- [Obsessive-compulsive disorder and body dysmorphic disorder](#). NICE clinical guideline 31 (2005).
- [Post-traumatic stress disorder](#). NICE clinical guideline 26 (2005).
- [Violence](#). NICE clinical guideline 25 (2005).
- [Self-harm](#). NICE clinical guideline 16 (2004).
- [Type 1 diabetes](#). NICE clinical guideline 15 (2004).
- [Eating disorders](#). NICE clinical guideline 9 (2004).
- [Guidance on the use of electroconvulsive therapy](#). NICE technology appraisal guidance 59 (2003).

### **Under development**

NICE is developing the following guidance (details available from [the NICE website](#)):

- Bipolar disorders (update). NICE clinical guideline. Publication expected September 2014.

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- Violence and aggression. NICE clinical guideline. Publication expected December 2014.

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## **Appendix A: Recommendations from NICE clinical guideline 82 (2009) that have been deleted or changed**

### ***Recommendations to be deleted***

The table shows recommendations from 2009 that NICE proposes deleting in the 2014 update. The right-hand column gives the replacement recommendation, or explains the reason for the deletion if there is no replacement recommendation.

<b>Recommendation in 2009 guideline</b>	<b>Comment</b>
Work in partnership with people with schizophrenia and their carers. Offer help, treatment and care in an atmosphere of hope and optimism. Take time to build supportive and empathic relationships as an essential part of care. [1.1.1.1]	This recommendation has been replaced by 'Service user experience in adult mental health' (NICE clinical guidance 136).
When working with people with schizophrenia and their carers: <ul style="list-style-type: none"> <li>• avoid using clinical language, or keep it to a minimum</li> <li>• ensure that comprehensive written information is available in the appropriate language and in audio format if possible</li> <li>• provide and work proficiently with interpreters if needed</li> <li>• offer a list of local education providers who can provide English language teaching for people who have difficulties speaking and understanding English.</li> </ul> [1.1.2.1]	This recommendation has been replaced by 'Service user experience in adult mental health' (NICE clinical guidance 136).
Healthcare professionals should facilitate access as soon as possible to assessment and treatment, and promote early access throughout all phases of care. [1.1.3.1]	This recommendation has been replaced by 'Service user experience in adult mental health' (NICE clinical guidance 136).
All teams providing services for people with schizophrenia should offer social, group and physical activities to people with schizophrenia (including in inpatient settings) and record arrangements in their care plan. [1.1.5.2]	This recommendation has been replaced by 'Service user experience in adult mental health' (NICE clinical guidance 136).
When working with carers of people with schizophrenia: <ul style="list-style-type: none"> <li>• provide written and verbal information on schizophrenia and its management, including how families and carers can help through all phases of treatment</li> <li>• offer them a carer's assessment</li> <li>• provide information about local carer and family support groups and voluntary organisations, and help carers to access these</li> <li>• negotiate confidentiality and information sharing between the service user and their carers, if appropriate</li> <li>• assess the needs of any children in the family, including young carers. [1.1.6.1]</li> </ul>	The evidence has been reviewed and a new set of recommendations have been drafted. See section 1.1.5.

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<p>Before each treatment decision is taken, healthcare professionals should ensure that they:</p> <ul style="list-style-type: none"> <li>• provide service users and carers with full, patient-specific information in the appropriate format about schizophrenia and its management, to ensure informed consent before starting treatment</li> <li>• understand and apply the principles underpinning the Mental Capacity Act, and are aware that mental capacity is decision specific (that is, if there is doubt about mental capacity, assessment of mental capacity should be made in relation to each decision)</li> <li>• can assess mental capacity, if this is in doubt, using the test set out in the Mental Capacity Act.</li> </ul> <p>These principles should apply whether or not people are being detained or treated under the Mental Health Act and are especially important for people from BME groups. [1.1.7.1]</p>	<p>This recommendation has been replaced by 'Service user experience in adult mental health' (NICE clinical guidance 136).</p>
<p>When the Mental Health Act is used, inform service users of their right to appeal to a first-tier tribunal (mental health). Support service users who choose to appeal. [1.1.7.2]</p>	<p>This recommendation has been replaced by 'Service user experience in adult mental health' (NICE clinical guidance 136).</p>
<p>Advance decisions and advance statements should be developed collaboratively with people with schizophrenia, especially if their illness is severe and they have been treated under the Mental Health Act. Record the decisions and statements and include copies in the care plan in primary and secondary care. Give copies to the service user and their care coordinator, and their carer if the service user agrees.[1.1.8.1]</p>	<p>This recommendation has been replaced by 'Service user experience in adult mental health' (NICE clinical guidance 136).</p>
<p>Advance decisions and advance statements should be honoured in accordance with the Mental Capacity Act. Although decisions can be overridden using the Mental Health Act, healthcare professionals should endeavour to honour advance decisions and statements wherever possible. [1.1.8.2]</p>	<p>This recommendation has been replaced by 'Service user experience in adult mental health' (NICE clinical guidance 136).</p>
<p>A decision by the service user, and carer where appropriate, to seek a second opinion on the diagnosis should be</p>	<p>This recommendation has been replaced by 'Service user experience in adult mental health' (NICE clinical guidance</p>

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supported, particularly in view of the considerable personal and social consequences of being diagnosed with schizophrenia. [1.1.9.1]	136).
Discuss transfer from one service to another in advance with the service user, and carer if appropriate. Use the care programme approach (CPA) to help ensure effective collaboration with other care providers during transfer. Include details of how to access services in times of crisis.[1.1.10.1]	This recommendation has been replaced by 'Service user experience in adult mental health' (NICE clinical guidance 136).
Urgently refer all people with first presentation of psychotic symptoms in primary care to a local community-based secondary mental health service (for example, crisis resolution and home treatment team, early intervention service, community mental health team). Referral to early intervention services may be from primary or secondary care. The choice of team should be determined by the stage and severity of illness and the local context.[1.2.1.1]	The evidence for services has been reviewed and the recommendation replaced (see 1.3.1.1 and 1.3.1.2).
Include a crisis plan in the care plan, based on a full risk assessment. The crisis plan should define the role of primary and secondary care and identify the key clinical contacts in the event of an emergency or impending crisis. [1.2.1.3]	The GDG judged that this recommendation was superseded by new recommendations in section 1.3.3.
Offer early intervention services to all people with a first episode or first presentation of psychosis, irrespective of the person's age or the duration of untreated psychosis. Referral to early intervention services may be from primary or secondary care. [1.2.2.1]	The evidence for service-level interventions has been reviewed and the recommendation replaced (see recommendation 1.3.1.1).
Consider community mental health teams alongside other community-based teams as a way of providing services for people with schizophrenia. [1.3.1.1]	The evidence for service-level interventions has been reviewed and this recommendation has been replaced (see section 1.4.1).
Crisis resolution and home treatment teams should be used to support people with schizophrenia during an acute episode in the community. Teams should pay particular attention to risk monitoring as a high-priority routine activity. [1.3.1.2]	The evidence for service-level interventions has been reviewed and this recommendation has been replaced (see section 1.4.1).
Crisis resolution and home treatment teams should be considered for people with schizophrenia who may benefit from early discharge from hospital following a	The evidence for service-level interventions has been reviewed and this recommendation has been replaced (see section 1.4.1).

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period of inpatient care. [1.3.1.3]	
Acute day hospitals should be considered alongside crisis resolution and home treatment teams as an alternative to acute admission to inpatient care and to help early discharge from inpatient care.[1.3.1.4]	The evidence for service-level interventions has been reviewed and this recommendation has been replaced (see section 1.4.1).
GPs and other primary healthcare professionals should monitor the physical health of people with schizophrenia at least once a year. Focus on cardiovascular disease risk assessment as described in 'Lipid modification' (NICE clinical guideline 67) but bear in mind that people with schizophrenia are at higher risk of cardiovascular disease than the general population. A copy of the results should be sent to the care coordinator and/or psychiatrist, and put in the secondary care notes. [1.4.1.2]	The evidence for physical health has been reviewed and this recommendation has been replaced (see recommendation 1.5.3.2).
People with schizophrenia at increased risk of developing cardiovascular disease and/or diabetes (for example, with elevated blood pressure, raised lipid levels, smokers, increased waist measurement) should be identified at the earliest opportunity. Their care should be managed using the appropriate NICE guidance for prevention of these conditions. [1.4.1.3]	The evidence for physical health has been reviewed and this recommendation has been replaced (see recommendation 1.5.3.3).
Supported employment programmes should be provided for those people with schizophrenia who wish to return to work or gain employment. However, they should not be the only work-related activity offered when individuals are unable to work or are unsuccessful in their attempts to find employment. [1.4.7.1]	The evidence for supported employment programmes has been reviewed and this recommendation has been replaced (see recommendation 1.5.8.1).
Assertive outreach teams should be provided for people with serious mental disorders, including for people with schizophrenia, who make high use of inpatient services and who have a history of poor engagement with services leading to frequent relapse and/or social breakdown (as manifest by homelessness or seriously inadequate accommodation). [1.4.2.1]	The evidence for service-level interventions has been reviewed and this recommendation has been replaced (see section 1.4.1).

**Amended recommendation wording (change to meaning)**

Recommendations are labelled [2009, amended 2014] if the evidence has not been reviewed but changes have been made to the recommendation wording (indicated by highlighted text) that change the meaning.

Recommendation in 2009 guideline	Recommendation in current guideline	Reason for change
<p>Ensure that people with schizophrenia receive a comprehensive multidisciplinary assessment, including a psychiatric, psychological and physical health assessment. The assessment should also address the following:</p> <ul style="list-style-type: none"> <li>• accommodation</li> <li>• culture and ethnicity</li> <li>• economic status</li> <li>• occupation and education (including employment and functional activity)</li> <li>• prescribed and non-prescribed drug history</li> <li>• quality of life</li> <li>• responsibility for children</li> <li>• risk of harm to self and others</li> <li>• sexual health</li> <li>• social networks. [1.1.4.1]</li> </ul>	<p>Carry out a comprehensive multidisciplinary assessment of people with psychotic symptoms in secondary care. This should include assessment by a psychiatrist, a psychologist or a professional with expertise in the psychological treatment of people with psychosis or schizophrenia. The assessment should address the following domains:</p> <ul style="list-style-type: none"> <li>• psychiatric (mental health problems, risk of harm to self or others, alcohol consumption and prescribed and non-prescribed drug history)</li> <li>• medical, including medical history and full physical examination to identify physical illness (including organic brain disorders) and prescribed drug treatments that may result in psychosis</li> <li>• physical health and wellbeing (including weight and information about smoking, nutrition, physical activity and sexual health)</li> <li>• psychological and psychosocial, including social networks, relationships and history of trauma</li> <li>• developmental (social, cognitive and motor development and skills,</li> </ul>	<p>The GDG amended the recommendation in line with 'Psychosis and schizophrenia in children and young people' (NICE clinical guideline 155) to ensure that the comprehensive assessment is better tailored to the needs of people with psychosis or schizophrenia and better designed to developing a care plan.</p> <p>The GDG considered it important to align this guideline and 'Psychosis and schizophrenia in children and young people' because the latter promotes early intervention in psychosis services (as an alternative to child and adolescent mental health services) for people aged 15 and older, some of whom (over 18) will be covered by this guideline. The GDG therefore wished to ensure that both guidelines were consistent in their key aspects.</p>

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	<p>including coexisting neurodevelopmental conditions)</p> <ul style="list-style-type: none"> <li>• social (accommodation, culture and ethnicity, leisure activities and recreation and responsibilities for children or as a carer)</li> <li>• occupational and educational (attendance at college, educational attainment, employment and activities of daily living)</li> <li>• quality of life</li> <li>• economic status. [2009; amended 2014] [1.3.3.1]</li> </ul>	
<p>Routinely monitor for other coexisting conditions, including depression and anxiety, particularly in the early phases of treatment.[1.1.4.2]</p>	<p>Routinely monitor for other coexisting conditions, including depression, anxiety and substance misuse particularly in the early phases of treatment. [2009; amended 2014] [1.3.3.3]</p>	<p>The GDG judged that substance misuse should be added to the recommendation because of its prevalence in people with psychosis and schizophrenia.</p>
<p>Carry out a full assessment of people with psychotic symptoms in secondary care, including an assessment by a psychiatrist. Write a care plan in collaboration with the service user as soon as possible. Send a copy to the primary healthcare professional who made the referral and the service user.[1.2.1.2]</p>	<p>Write a care plan in collaboration with the service user as soon as possible following assessment, based on a psychiatric and psychological formulation. Send a copy of the care plan to the primary healthcare professional who made the referral and the service user. [2009; amended 2014] [1.3.3.4]</p>	<p>The first sentence has been deleted and replaced by the assessment recommendations in Sections 1.2.2 and 1.3.3. The second sentence was amended to reflect best practice as defined by the GDG.</p>
<p>If it is necessary for a GP to start antipsychotic medication, they should have experience in treating and managing schizophrenia. Antipsychotic medication should be given as described in section 1.2.4. [1.2.3.1]</p>	<p>Antipsychotic medication for a first presentation of sustained psychotic symptoms should not be started in primary care unless it is done in consultation with a consultant psychiatrist. [2009; amended 2014] [1.3.2.1]</p>	<p>The GDG judged that the context in which the 2009 recommendation had been made had changed, and that it was important to emphasise that antipsychotics should not be initiated in primary care unless done with supervision from a consultant.</p>

		<p>The GDG considered it important to align this guideline and ‘Psychosis and schizophrenia in children and young people’ because the latter promotes early intervention in psychosis services (as an alternative to child and adolescent mental health services) for people aged 15 and older, some of whom (over 18) will be covered by this guideline. The GDG therefore wished to ensure that both guidelines were consistent in their key aspects.</p>
<p>For people with newly diagnosed schizophrenia, offer oral antipsychotic medication. Provide information and discuss the benefits and side-effect profile of each drug with the service user. The choice of drug should be made by the service user and healthcare professional together, considering:</p> <ul style="list-style-type: none"> <li>• the relative potential of individual antipsychotic drugs to cause extrapyramidal side effects (including akathisia), metabolic side effects (including weight gain) and other side effects (including unpleasant subjective experiences)</li> <li>• the views of the carer if the service user agrees.</li> </ul> <p>[1.2.4.1]</p>	<p>The choice of antipsychotic medication should be made by the service user and healthcare professional together, taking into account the views of the carer if the service user agrees. Provide information and discuss the likely benefits and possible side effects of each drug, including:</p> <ul style="list-style-type: none"> <li>• metabolic (including weight gain and diabetes)</li> <li>• extrapyramidal (including akathisia, dyskinesia and dystonia)</li> <li>• cardiovascular (including prolonging the QT interval)</li> <li>• hormonal (including increasing plasma prolactin)</li> <li>• other (including unpleasant subjective experiences).</li> </ul> <p>[2009; updated 2014] [1.3.5.1]</p>	<p>This recommendation was amended in line with ‘Psychosis and schizophrenia in children and young people’ (NICE clinical guideline 155)</p> <p>The GDG considered it important to align this guideline and ‘Psychosis and schizophrenia in children and young people’ because the latter promotes early intervention in psychosis services (as an alternative to child and adolescent mental health services) for people aged 15 and older, some of whom (over 18) will be covered by this guideline. The GDG therefore wished to ensure that both guidelines were consistent in their key aspects.</p>
<p>Treatment with antipsychotic medication should be considered an explicit individual therapeutic trial. Include</p>	<p>Treatment with antipsychotic medication should be considered an explicit individual therapeutic trial. Include</p>	<p>This recommendation was amended because the GDG wished to make a separate recommendation about monitoring, in line with</p>

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<p>the following:</p> <ul style="list-style-type: none"> <li>• Record the indications and expected benefits and risks of oral antipsychotic medication, and the expected time for a change in symptoms and appearance of side effects.</li> <li>• At the start of treatment give a dose at the lower end of the licensed range and slowly titrate upwards within the dose range given in the British National Formulary (BNF) or SPC.</li> <li>• Justify and record reasons for dosages outside the range given in the BNF or SPC.</li> <li>• Monitor and record the following regularly and systematically throughout treatment, but especially during titration: <ul style="list-style-type: none"> <li>– efficacy, including changes in symptoms and behaviour</li> <li>– side effects of treatment, taking into account overlap between certain side effects and clinical features of schizophrenia, for example the overlap between akathisia and agitation or anxiety</li> <li>– adherence</li> <li>– physical health.</li> </ul> </li> <li>• Record the rationale for continuing, changing or stopping medication, and the effects of such changes.</li> <li>• Carry out a trial of the medication at optimum dosage for 4–6 weeks. [1.2.4.3]</li> </ul>	<p>the following:</p> <ul style="list-style-type: none"> <li>• Discuss and record the side effects that the person is most willing to tolerate.</li> <li>• Record the indications and expected benefits and risks of oral antipsychotic medication, and the expected time for a change in symptoms and appearance of side effects.</li> <li>• At the start of treatment give a dose at the lower end of the licensed range and slowly titrate upwards within the dose range given in the British national formulary (BNF) or SPC.</li> <li>• Justify and record reasons for dosages outside the range given in the BNF or SPC.</li> <li>• Record the rationale for continuing, changing or stopping medication, and the effects of such changes.</li> <li>• Carry out a trial of the medication at optimum dosage for 4–6 weeks. [2009; amended 2014] [1.3.6.3]</li> </ul>	<p>‘Psychosis and schizophrenia in children and young people’ (NICE clinical guideline 155). Therefore the 4<sup>th</sup> bullet point of the original recommendation was used as the basis of a new recommendation (see 1.3.6.4).</p> <p>The GDG considered it important to align this guideline and ‘Psychosis and schizophrenia in children and young people’ because the latter promotes early intervention in psychosis services (as an alternative to child and adolescent mental health services) for people aged 15 and older, some of whom (over 18) will be covered by this guideline. The GDG therefore wished to ensure that both guidelines were consistent in their key aspects.</p>
<p>For people with an acute exacerbation or recurrence of schizophrenia, offer oral antipsychotic medication. The choice of drug should</p>	<p>For people with an acute exacerbation or recurrence of psychosis or schizophrenia, offer oral antipsychotic medication or</p>	<p>This recommendation was amended in line with ‘Psychosis and schizophrenia in children and young people’ (NICE</p>

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<p>be influenced by the same criteria recommended for starting treatment (see section 1.2.4). Take into account the clinical response and side effects of the service user's current and previous medication.[1.3.2.1]</p>	<p>review existing medication. The choice of drug should be influenced by the same criteria recommended for starting treatment (see 1.3.5.1-1.3.6.10). Take into account the clinical response and side effects of the service user's current and previous medication. [2009; amended 2014] [1.4.3.1]</p>	<p>clinical guideline 155), to state that existing medication should be reviewed.</p> <p>The GDG considered it important to align this guideline and 'Psychosis and schizophrenia in children and young people' because the latter promotes early intervention in psychosis services (as an alternative to child and adolescent mental health services) for people aged 15 and older, some of whom (over 18) will be covered by this guideline. The GDG therefore wished to ensure that both guidelines were consistent in their key aspects.</p>
<p>Mental health services should work in partnership with local stakeholders, including those representing BME groups, to enable people with mental health problems, including schizophrenia, to access local employment and educational opportunities. This should be sensitive to the person's needs and skill level and is likely to involve working with agencies such as Jobcentre Plus, disability employment advisers and non-statutory providers. [1.4.7.2]</p>	<p>Mental health services should work in partnership with local stakeholders, including those representing black, Asian and minority ethnic groups, to enable people with mental health problems, including psychosis or schizophrenia, to stay in work or education and to access new employment (including self-employment), volunteering and educational opportunities. [2009; amended 2014] [1.5.8.2]</p>	<p>The recommendation has been amended to reflect recent terminology relating to ethnic groups and to remove reference to specific agencies in order to ensure that the recommendation remains current for as long as possible. The GDG also wished to challenge that assumption that people with psychosis and schizophrenia are not already in employment by stating that they should be enabled to 'stay in work or education'.</p>

***Changes to recommendation wording for clarification only (no change to meaning)***

<b>Recommendation numbers in current guideline</b>	<b>Comment</b>
1.1.2.1, 1.1.2.2, 1.1.4.1, 1.3.6.2, 1.3.7.2, 1.3.8.2, 1.3.9.1, 1.4.4.1, 1.4.4.2, 1.4.4.3, 1.4.4.4, 1.4.4.6, 1.4.4.7, 1.4.4.8, 1.4.5.1, 1.4.5.3, 1.4.5.4, 1.4.5.5, 1.4.6.1, 1.4.6.2, 1.5.3.1, 1.5.3.4, 1.5.3.5, 1.5.3.6, 1.5.3.7, 1.5.3.8, 1.5.3.9, 1.5.4.2, 1.5.4.3, 1.5.5.2, 1.5.5.3, 1.5.8.3	'Psychosis' has been added to reflect the population addressed by this guideline.
1.4.4.1, 1.4.4.2	Cross references to recommendations on how to deliver psychological interventions that were in footnotes in the 2009 guideline have been added to the body of the recommendations to fit with current NICE style, and the footnotes have been deleted.
1.5.7.1	'With schizophrenia' has been added so that it is clear for whom the recommendation is intended.