## NATIONAL INSTITUTE FOR HEALTH AND CARE EXCELLENCE

## Interventional Procedures Programme

Procedure Name:		Percutaneous intradiscal radiofrequency thermocoagulation of the disc nucleus for lower back pain and sciatica (181/2)			
Nam	ne of Specialist Advisor:	Dr Antony Hammond			
Specialist Society:		British Society of Interventional Radiologists			
Please complete and return to:		azeem.madari@nice.org.uk OR sally.compton@nice.org.uk			
1	Do you have adequate knowledge of this procedure to provide advice?				
$\boxtimes$	Yes.				
	No – please return the form/answer no more questions.				
1.1	.1 Does the title used above describe the procedure adequately?				
$\boxtimes$	Yes.				
	No. If no, please enter any other titles below.				
Comments:					
2	Your involvement in the	he procedure			
2.1	Is this procedure relevant to	your specialty?			
$\boxtimes$	Yes.				
	Is there any kind of inter-spe	ecialty controversy over the procedure?			
	No. If no, then answer no moyou can about who is likely t	ore questions, but please give any information o be doing the procedure.			
Cam	omments:				

The next two questions are about whether you carry out the procedure, or refer patients for it. If you are in a specialty that normally carries out the procedure please answer question 2.2.1. If you are in a specialty that normally selects or refers patients for the procedure please answer question 2.2.2.

2.2.1	If you are in a specialty which does this procedure, please indicate your experience with it:
	I have never performed this procedure.
	I have performed this procedure at least once.
	I perform this procedure regularly.
Comn	nents:
(to my disc n	reatment of the disc nucleus ( <u>as opposed to annulus</u> ) is not currently practiced knowledge). The evidence of efficacy was negative. <u>However</u> , pulse RF of ucleus is used. I have therefore never used heat RF but do use the analogous reatment.
2.2.2	If your specialty is involved in patient selection or referral to another specialty for this procedure, please indicate your experience with it.
	I have never taken part in the selection or referral of a patient for this procedure.
	I have taken part in patient selection or referred a patient for this procedure at least once.
	I take part in patient selection or refer patients for this procedure regularly.
Comn	nents: see above
	Please indicate your research experience relating to this procedure (please choose one or more if relevant):
	I have undertaken bibliographic research on this procedure.
	I have undertaken research on this procedure in laboratory settings (e.g. device-related research).
	I have undertaken clinical research on this procedure involving patients or healthy volunteers.
	I have had no involvement in research on this procedure.
	Other (please comment)

3	Status of the procedure		
3.1	Which of the following best describes the procedure (choose one):		
	Established practice and no longer new.		
	A minor variation on an existing procedure, which is unlikely to alter that procedure's safety and efficacy.		
	Definitely novel and of uncertain safety and efficacy.		
	The first in a new class of procedure.		
Con	nments:		
3.2	What would be the comparator (standard practice) to this procedure?		
	servative care often with high does opiate drug therapy al fusion or disc replacement surgery		
A direct comparator is pulse RF of the disc nucleus. Here PRF neuromodulation rather than localised heating is used. Whereas RF heat in the nucleus has been seen to be ineffective, PRF is reported to be about 50 -60% effective (ie producing appreciable benefit in that proportion of cases)  An alternative comparator would be coblation nucleoplasty where discogenic pain or particularly small contained protrusion is treated by Coblation (High frequency RF tuned to vaporise tissue in contact with the catheter) to remove an small volume of the disc nucleus and reduce intradiscal pressure. In my own work and reported literature, this is about 65% successful.			
3.3	Please estimate the proportion of doctors in your specialty who are performing this procedure (choose one):		
	More than 50% of specialists engaged in this area of work.		
	10% to 50% of specialists engaged in this area of work.		
	Fewer than 10% of specialists engaged in this area of work.		
	Cannot give an estimate.		

**Comments:** 

**Comments:** 

### 4 Safety and efficacy

#### 4.1 What are the adverse effects of the procedure?

Please list adverse events and major risks (even if uncommon) and, if possible, estimate their incidence, as follows:

- 1. Theoretical adverse events
  - Discitis (disc infection) estimate of risk 1 in 2-4000, usually managed by intravenous/intradiscal antibiotics.
  - Nerve injury by needle 'en route'.
  - Post procedure pain
  - Misadventure, needle misplaced through disc to retroperitoneum or behind to the dura or spinal canal
  - Technical failure at L5/S1 due to difficult access
  - Possibly late disc protrusion (rare)
  - Hospital admission for pain control or assessment of discitis
- 2. Anecdotal adverse events (known from experience)

Post procedure pain

Discitis - 1 case

3. Adverse events reported in the literature (if possible please cite literature)

As above

#### 4.2 What are the key efficacy outcomes for this procedure?

Reduction of back and leg pain, disability, work and domestic productivity

In my own practice I use -

Pain area on a grid

VAS back pain Average and worst

VAS leg pain average and worst

VAS patient global improvement

Oswestry disability (RMDQ could be used)

There are numerous standardised spinal scoring and disability inventories

# 4.3 Are there uncertainties or concerns about the *efficacy* of this procedure? If so, what are they?

From historical literature efficacy is questionable. To my knowledge simple RF heating for the disc nucleus is not currently used.

4.4 What training and facilities are required to undertake this procedure safely?

#### Training:

- Disc access under fluoroscopic guidance
- Use of RF devices
- Sterile technique

#### Facilities:

- Theatre, fluoroscopy
- Day case facilities
- Preprocedure MRI

4.5 Are there any major trials or registries of this procedure currently in progress? If so, please list.

None

4.6 Are you aware of any abstracts that have been *recently* presented/ published on this procedure that may not be listed in a standard literature search, e.g. PUBMED? (This can include your own work). If yes, please list.

I haven't looked.

4.7 Is there controversy, or important uncertainty, about any aspect of the way in which this procedure is currently being done or disseminated?

Yes. The literature has not supported this technique and it is not widely used (if at all). However, the Pulse RF variant (the same technique but applying PRF energy to neuromodulate pain) has some support in the literature and continues to be used. MY own experience of the latter is cautiously positive. It does not have a high success rate but is simple, suitable for minimally disrupted discs and can be a durable treatment. It is however, a palliative and would expect to have to be repeated.

#### 5 Audit Criteria

Please suggest a minimum dataset of criteria by which this procedure could be audited.

- 5.1 Outcome measures of benefit (including commonly used clinical outcomes both short and long-term; and quality of life measures):
  - VAS back pain 'Average'
  - VAS back pain 'Worst'
  - Oswestry or RMDQ
  - N° of days in last 28 with pain
  - N° of hours/day with pain
  - Work loss
  - 'Productivity' at home
- 5.2 Adverse outcomes (including potential early and late complications):
  - Post procedure pain
  - Discitis
  - New Sciatica
  - New disc protrusion after event (3-6/12)
  - Subsequent surgery
  - Subsequent readmission to hospital for pain or discitis assessment

### 6 Trajectory of the procedure

- 6.1 In your opinion, what is the likely speed of diffusion of this procedure?
  - Will not increase
  - The pulse RF variant however, if used is simple and could be widely deployed.
- 6.2 This procedure, if safe and efficacious, is likely to be carried out in (choose one):

$\boxtimes$	Most or all district general hospitals.

	A minority of hospitals, but at least 10 in the UK.					
	Fewer than 10 specialist centres in the UK.					
	Cannot predict at present.					
Comm	Comments:					
6.3 of pati	The potential impact of this procedure on the NHS, in terms of numbers ients eligible for treatment and use of resources, is:					
	Major.					
	Moderate.					
	Minor.					
Comments:						

#### 7 Other information

# 7.1 Is there any other information about this procedure that might assist NICE in assessing the possible need to investigate its use?

It is my understanding that disc nucleus heating is not effective and is not used. However, Disc Pulse RF has modest evidence and utility in personal experience and could readily replace heat lesioning, particularly in minimally deranged discs or in younger patients as a palliative treatment. It is simple and relatively inexpensive.

### 8 Data protection and conflicts of interest

#### 8.1 Data protection statement

The Institute is committed to transparency. As part of this commitment your name and specialist society will be placed in the public domain, in future publications and on our website (<a href="www.nice.org.uk">www.nice.org.uk</a>) and therefore viewable worldwide. This information may be passed to third parties connected with the work on interventional procedures.

A copy of the completed Specialist Adviser advice will be sent to the Specialist Society who nominated the Specialist Adviser.

Specialist Advisers should be aware that full implementation of the Freedom of Information Act 2000 may oblige us to release Specialist Advice from 2005. The Freedom of Information Act 2000 favours the disclosure of information however requests will be considered on a case by case basis. If information is made available, personal information will be removed in accordance with the Data Protection Act 1998. In light of this please ensure that you have not named or identified individuals in your comments.

# 8.2 Declarations of interest by Specialist Advisers advising the NICE Interventional Procedures Advisory Committee

Please state any potential conflicts of interest, or any involvements in disputes or complaints, relevant to this procedure. Please use the "Conflicts of Interest for Specialist Advisers" policy (attached) as a guide when declaring any conflicts of interest. Specialist Advisers should seek advice if required from the Associate Director – Interventional Procedures.

Do you or a member of your family have a **personal pecuniary** interest? The main examples are as follows:

<sup>1</sup> 'Family members' refers to a spouse or partner living in the same residence as the member or employee, children for whom the member or employee is legally responsible, and adults for

<b>Consultancies or directorships</b> attracting regular or occasional payments in cash or kind			YES NO	
Fee-paid work – any work commissioned by the healthcare industry – this includes income earned in the course of private practice			YES NO	
Shareholdings – any shareholding, or other beneficial interessions of the healthcare industry	st, in [		YES NO	
Expenses and hospitality – any expenses provided by a healthcare industry company beyond those reasonably require accommodation, meals and travel to attend meetings and	ed for		YES NO	
conferences  Investments – any funds which include investments in the healthcare industry	] ]		YES NO	
Do you have a <b>personal non-pecuniary</b> interest – eg have you made a public statement about the topic or do you hold an office in a professional organisation or advocacy group with a direct interest			YES	
in the topic?  Do you have a <b>non-personal</b> interest? The main examples a		⊠ lows	NO s:	
Fellowships endowed by the healthcare industry			YES	
		$\boxtimes$	NO	
Support by the healthcare industry or NICE that benefits his/her position or department, eg grants, sponsorship of posts			YES NO	
If you have answered YES to any of the above statements please describe the nature of the conflict(s) below.				
Comments:				
<ul> <li>£5,000 of shares in Allokysis Life Sciences (Biological Pharma).</li> <li>I own my own RF generator currently leased to KIMS Hospital</li> </ul>				
Thank you very much for your help.				
Professor Bruce Campbell, Chairman, Interventional Procedures Advisory Committee  Professor Carole Longson, Direct Centre for Health Technology Evaluation.			or,	
February 2010				

## **Conflicts of Interest for Specialist Advisers**

- 1 Declarations of interest by Specialist Advisers advising the NICE Interventional Procedures Advisory Committee
- 1.1 Any conflicts of interest set out below should be declared on the questionnaire the Specialist Adviser completes for the procedure.
- 1.2 Specialist Advisers should seek advice if required from the Associate Director Interventional Procedures.

#### 2 Personal pecuniary interests

- 2.1 A personal pecuniary interest involves a current personal payment to a Specialist Adviser, which may either relate to the manufacturer or owner of a product or service being evaluated, in which case it is regarded as 'specific' or to the industry or sector from which the product or service comes, in which case it is regarded as 'non-specific'. The main examples are as follows.
- 2.1.1 **Consultancies** any consultancy, directorship, position in or work for the healthcare industry that attracts regular or occasional payments in cash or kind (this includes both those which have been undertaken in the 12 months preceding the point at which the declaration is made and which are planned but have not taken place).
- 2.1.2 **Fee-paid work** any work commissioned by the healthcare industry for which the member is paid in cash or in kind (this includes both those which have been undertaken in the 12 months preceding the point at which the declaration is made and which are planned but have not taken place).
- 2.1.3 **Shareholdings** any shareholding, or other beneficial interest, in shares of the healthcare industry that are either held by the individual or for which the individual has legal responsibility (for example, children, or relatives whose full Power of Attorney is held by the individual). This does not include shareholdings through unit trusts, pensions funds, or other similar arrangements where the member has no influence on financial management.
- 2.1.4 Expenses and hospitality any expenses provided by a healthcare industry company beyond that reasonably required for accommodation, meals and travel to attend meetings and conferences (this includes both those which have been undertaken in the 12 months preceding the point at which the declaration is made and which are planned but have not taken place.
- 2.1.5 **Investments** any funds which include investments in the healthcare industry that are held in a portfolio over which individuals have the ability to instruct the fund manager as to the composition of the fund.
- 2.2 No personal interest exists in the case of:
- 2.2.1 assets over which individuals have no financial control (for example, wide portfolio unit trusts and occupational pension funds) and where

- the fund manager has full discretion as to its composition (for example, the Universities Superannuation Scheme)
- 2.2.2 accrued pension rights from earlier employment in the healthcare industry.

#### 3 **Personal family interest**

- 3.1 This relates to the personal interests of a family member and involves a **current payment** to the family member of the Specialist Adviser. The interest may relate to the manufacturer or owner of a product or service being evaluated, in which case it is regarded as '**specific**', or to the industry or sector from which the product or service comes, in which case it is regarded as '**non-specific**'. The main examples include the following.
- 3.1.1 Any consultancy, directorship, position in or work for a healthcare industry that attracts regular or occasional payments in cash or in kind.
- 3.1.2 Any fee-paid work commissioned by a healthcare industry for which the member is paid in cash or in kind.
- 3.1.3 Any shareholdings, or other beneficial interests, in a healthcare industry which are either held by the family member or for which an individual covered by this Code has legal responsibility (for example, children, or adults whose full Power of Attorney is held by the individual).
- 3.1.4 Expenses and hospitality provided by a healthcare industry company (except where they are provided to a general class of people such as attendees at an open conference)
- 3.1.5 Funds which include investments in the healthcare industry that are held in a portfolio over which individuals have the ability to instruct the fund manager as to the composition of the fund.
- 3.2 No personal family interest exists in the case of:
- 3.2.1 assets over which individuals have no financial control (for example, wide portfolio unit trusts and occupational pension funds) and where the fund manager has full discretion as to its composition (for example, the Universities Superannuation Scheme)
- 3.2.2 accrued pension rights from earlier employment in the healthcare industry.

#### 4 Personal non-pecuniary interests

These might include, but are not limited to:

- 4.1 a clear opinion, reached as the conclusion of a research project, about the clinical and/or cost effectiveness of an intervention under review
- 4.2 a public statement in which an individual covered by this Code has expressed a clear opinion about the matter under consideration, which could reasonably be interpreted as prejudicial to an objective interpretation of the evidence

- 4.3 holding office in a professional organisation or advocacy group with a direct interest in the matter under consideration
- 4.4 other reputational risks in relation to an intervention under review.

#### 5 Non-personal interests

- 5.1 A non-personal interest involves payment that benefits a department or organisation for which a Specialist Advisor is responsible, but that is not received by the Specialist Advisor personally. This may either relate to the product or service being evaluated, in which case it is regarded as 'specific,' or to the manufacturer or owner of the product or service, but is unrelated to the matter under consideration, in which case it is regarded as 'non-specific'. The main examples are as follows.
- 5.1.1 **Fellowships** the holding of a fellowship endowed by the healthcare industry.
- 5.1.2 **Support by the healthcare industry or NICE** any payment, or other support by the healthcare industry or by NICE that does not convey any pecuniary or material benefit to a member personally but that does benefit his/her position or department. For example:
- a grant from a company for the running of a unit or department for which a Specialist Advisor is responsible
- a grant, fellowship or other payment to sponsor a post or member of staff in the unit for which a Specialist Adviser is responsible. This does not include financial assistance for students
- the commissioning of research or other work by, or advice from, staff who work in a unit for which the specialist advisor is responsible
- one or more contracts with, or grants from, NICE.
- 5.2 Specialist Advisers are under no obligation to seek out knowledge of work done for, or on behalf of, the healthcare industry within departments for which they are responsible if they would not normally expect to be informed.

This applies Egually to 1-235/2 - 181/2

## NATIONAL INSTITUTE FOR HEALTH AND CARE EXCELLENCE

## Interventional Procedures Programme

	Prod	edure Name:	Percutaneous coblation of the intervertebral disc for lower back pain and sciatica (235/2)
	Nam	ne of Specialist Advisor:	George Verghese
	Spe	cialist Society:	British Association of Spinal Surgeons
	Plea	se complete and return to:	azeem.madari@nice.org.uk OR sally.compton@nice.org.uk
Cobla		Yes.  No – please return the form/ Does the title used above de  Yes.  No. If no, please enter any other ments:  Your involvement in the form/ Is this procedure relevant to  Yes.  Is there any kind of inter-special contents and the form/  Yes.	ner titles below.  May through the procedure of the proce
Perfon	Com	you can about who is likely to siments:  Main y by	o be doing the procedure.

The next two questions are about whether you carry out the procedure, or refer patients for it. If you are in a specialty that normally carries out the procedure please answer question 2.2.1. If you are in a specialty that normally selects or refers patients for the procedure please answer question 2.2.2.

2.2.1 If you are in a specialty which does this procedure, please indicate your

		experience with it:				
		I have never performed this procedure.				
		I have performed this procedure at least once.				
		I perform this procedure regularly.				
1. Ch	Comm	nents:  Let Sen School and John of the a  Cal role  If your specialty is involved in patient selection or referral to another  specialty for this procedure, please indicate your experience with it.				
		I have never taken part in the selection or referral of a patient for this procedure.				
		I have taken part in patient selection or referred a patient for this procedure at least once.				
		I take part in patient selection or refer patients for this procedure regularly.				
Comments:						
2.3 Please indicate your research experience relating to this procedure (please choose one or more if relevant):						
		I have undertaken bibliographic research on this procedure.				
		I have undertaken research on this procedure in laboratory settings (e.g. device-related research).				
		I have undertaken clinical research on this procedure involving patients or healthy volunteers.				
	9	I have had no involvement in research on this procedure.				
		Other (please comment)				
	Comn	nents:				

# 3 Status of the procedure Which of the following best describes the procedure (choose one): Established practice and no longer new. A minor variation on an existing procedure, which is unlikely to alter that procedure's safety and efficacy. Definitely novel and of uncertain safety and efficacy. The first in a new class of procedure. Comments: What would be the comparator (standard practice) to this procedure? Please estimate the proportion of doctors in your specialty who are performing this procedure (choose one): More than 50% of specialists engaged in this area of work. 10% to 50% of specialists engaged in this area of work. Fewer than 10% of specialists engaged in this area of work. Cannot give an estimate. Comments: Safety and efficacy What are the adverse effects of the procedure? Please list adverse events and major risks (even if uncommon) and, if possible, estimate their incidence, as follows: 1. Theoretical adverse events

2. Anecdotal adverse events (known from experience)

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4.7 Is there controversy, or important uncertainty, about any aspect of the way in which this procedure is currently being done or disseminated?
No looky tems to se clear as to
Exactly Low this works or if this is beef than exists of
5 Audit Criteria  Please suggest a minimum dataset of criteria by which this procedure could be audited.
5.1 Outcome measures of benefit (including commonly used clinical outcomes – both short and long-term; and quality of life measures):
- VAG /ODI/ERSB
- VAJ JODI JERSB - Pat this has to compare 2 comparable & Rindry Broups of Ph
conjuine of killings.
5.2 Adverse outcomes (including potential early and late complications):
- Any possible complicate that a
- Any possible Complicate that a spinal Lucius can be Swed for must be and that.
- Spenfically - post & wowopa The Pan
5

4.6 Are you aware of any abstracts that have been *recently* presented/ published on this procedure that may not be listed in a standard literature search, e.g. PUBMED? (This can include your own work). If yes, please

list.

NA

6.1	In your opinion, what is the likely speed of diffusion of this procedure?
	Slow
6.2 (choos	This procedure, if safe and efficacious, is likely to be carried out in se one):
	Most or all district general hospitals.
	A minority of hospitals, but at least 10 in the UK.
Ū/	Fewer than 10 specialist centres in the UK.
	Cannot predict at present.
Comm	nents:
ON Stalos	In a this be allowed.  In p: In this Cost Effects?
6.3 of pati	The potential impact of this procedure on the NHS, in terms of numbers ients eligible for treatment and use of resources, is:
	Major
	Moderate.
	Minor.
Comp	nents: Cost V. Efficients.
Low	men be Scoth lara Ada
	- Metal-on-Netal this replant.
	Volar places of Dist Rechial #'s (DRAFT Study)
	ete, etc (DRAFT stray)

Trajectory of the procedure

6

#### 7 Other information

7.1 Is there any other information about this procedure that might assist NICE in assessing the possible need to investigate its use?

1.1 + 6.3.

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consultancies or directorships attracting regular or occasional bayments in cash or kind			
Fee-paid work – any work commission industry – this includes income earne practice	•		YES NO
<b>Shareholdings</b> – any shareholding, or shares of the healthcare industry	other beneficial interest, in		YES NO
<b>Expenses and hospitality</b> – any expering healthcare industry company beyond the accommodation, meals and travel to attaconferences	ose reasonably required for		YES NO
Investments – any funds which include healthcare industry	e investments in the		MES NO
Do you have a <b>personal non-pecuniar</b> made a public statement about the topic a professional organisation or advocacy in the topic?	c or do you hold an office in		YES NO
Do you have a <b>non-personal</b> interest?	The main examples are as fo	ollows	<b>s</b> :
Fellowships endowed by the healthcar	re industry		YES NO
Support by the healthcare industry of position or department, eg grants, spon			YES NO
If you have answered YES to any of the describe the nature of the conflict(s)		e	
Comments:  Thank you very much for your help.  Professor Bruce Campbell, Chairman, Interventional Procedures Advisory Committee  February 2010	Professor Carole Longson, I Centre for Health Technolog Evaluation.		tor,

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- 5.1.2 Support by the healthcare industry or NICE any payment, or other support by the healthcare industry or by NICE that does not convey any pecuniary or material benefit to a member personally but that does benefit his/her position or department. For example:
- a grant from a company for the running of a unit or department for which a Specialist Advisor is responsible
- a grant, fellowship or other payment to sponsor a post or member of staff in the unit for which a Specialist Adviser is responsible. This does not include financial assistance for students
- the commissioning of research or other work by, or advice from, staff who work in a unit for which the specialist advisor is responsible
- one or more contracts with, or grants from, NICE.
- 5.2 Specialist Advisers are under no obligation to seek out knowledge of work done for, or on behalf of, the healthcare industry within departments for which they are responsible if they would not normally expect to be informed.

## NATIONAL INSTITUTE FOR HEALTH AND CARE EXCELLENCE

## Interventional Procedures Programme

Procedure Name:		Percutaneous intradiscal radiofrequency thermocoagulation of the disc nucleus for lower back pain and sciatica (181/2)			
Name of Specialist Advisor:		Dr Sam Stuart			
Specialist Society:		British Society of Interventional Radiologists			
Please complete and return to:		azeem.madari@nice.org.uk OR sally.compton@nice.org.uk			
1	Do you have adequate knowledge of this procedure to provide advice?				
X	Yes.				
	No – please return the form/s	answer no more questions.			
1.1	Does the title used above describe the procedure adequately?				
X	Yes.				
	No. If no, please enter any oth	ner titles below.			
Comments:					
2	Your involvement in the	ne procedure			
2.1	Is this procedure relevant to	your specialty?			
X	Yes.				
	Is there any kind of inter-spe	cialty controversy over the procedure?			
	No. If no, then answer no mo	ore questions, but please give any information be doing the procedure.			
Com	ments:				

The next two questions are about whether you carry out the procedure, or refer patients for it. If you are in a specialty that normally carries out the procedure please answer question 2.2.1. If you are in a specialty that normally selects or refers patients for the procedure please answer question 2.2.2.

2.2.1 If you are in a specialty which does this procedure, please indicate your

	experience with it:		
X	I have never performed this procedure.		
	I have performed this procedure at least once.		
	I perform this procedure regularly.		
Comr	ments:		
2.2.2	If your specialty is involved in patient selection or referral to another specialty for this procedure, please indicate your experience with it.		
X	I have never taken part in the selection or referral of a patient for this procedure.		
	I have taken part in patient selection or referred a patient for this procedure at least once.		
	I take part in patient selection or refer patients for this procedure regularly.		
Comr	ments:		
	Please indicate your research experience relating to this procedure (please choose one or more if relevant):		
	I have undertaken bibliographic research on this procedure.		
	I have undertaken research on this procedure in laboratory settings (e.g. device-related research).		
	I have undertaken clinical research on this procedure involving patients or healthy volunteers.		
x	I have had no involvement in research on this procedure.		
	Other (please comment)		
Comr	ments:		

## 3 Status of the procedure 3.1 Which of the following best describes the procedure (choose one): Established practice and no longer new. A minor variation on an existing procedure, which is unlikely to alter that procedure's safety and efficacy. X Definitely novel and of uncertain safety and efficacy. The first in a new class of procedure. **Comments:** What would be the comparator (standard practice) to this procedure? Surgery Medication (analgesia Please estimate the proportion of doctors in your specialty who are performing this procedure (choose one): More than 50% of specialists engaged in this area of work. 10% to 50% of specialists engaged in this area of work. **X**Fewer than 10% of specialists engaged in this area of work. Cannot give an estimate. Comments: 4 Safety and efficacy What are the adverse effects of the procedure? Please list adverse events and major risks (even if uncommon) and, if possible, estimate their incidence, as follows: 1. Theoretical adverse events 2. discitis. Infection

3. instability,

4. increased back pain,

- 5. reherniation.
- 6. Epidural fibrosis
- 7. Nerve damage
- 8. Bleeding
- Anecdotal adverse events (known from experience)N/A
- 10. Adverse events reported in the literature (if possible please cite literature)

  Little I could find in the literature in terms of actual complications. Lack of efficacy is a concern
  - A randomized double-blind controlled trial of intra-annular radiofrequency thermal disc...

by Kvarstein, Gunnvald; Måwe, Leif; Indahl, Aage; more...

Pain, 2009, Volume 145, Issue 3

#### 4.2 What are the key efficacy outcomes for this procedure?

Pain relief

# 4.3 Are there uncertainties or concerns about the *efficacy* of this procedure? If so, what are they?

Yes – two RCTs didn't show the procedure to be significantly more efficacious than conventional treatment.

Ercelen et al – no significant improvement at 6m

Webster et al – not effective at reducing chornic discogenic lower back pain

4.4	What training and facilities are required to undertake this procedure safely?
Expe	ing in safe use of x-rays rience of percutaneous image guided procedures. oment – fluoroscopy or CT equipment, Radiofrequency generator and probe.
<b>4.5</b>	Are there any major trials or registries of this procedure currently in progress? If so, please list.
<b>4.6</b>	Are you aware of any abstracts that have been <i>recently</i> presented/ published on this procedure that may not be listed in a standard literature search, e.g. PUBMED? (This can include your own work). If yes, please list.
<b>4.7</b> Unce	Is there controversy, or important uncertainty, about any aspect of the way in which this procedure is currently being done or disseminated?

5 Please audite	Audit Criteria suggest a minimum dataset of criteria by which this procedure could be d.				
5.1 outcor	Outcome measures of benefit (including commonly used clinical comes – both short and long-term; and quality of life measures):				
Quality	y of life measures short and long term. Pain scores				
	Adverse outcomes (including potential early and late complications):  ng on (discitis) damage				
6	Trajectory of the procedure				
6.1	In your opinion, what is the likely speed of diffusion of this procedure?				
Slow d	ue to lack of strong evidence				
6.2 (choos	This procedure, if safe and efficacious, is likely to be carried out in se one):				
X	Most or all district general hospitals.				
	A minority of hospitals, but at least 10 in the UK.				

	Fewer than 10 specialist centres in the UK.			
	Cannot predict at present.			
Comn	nents:			
6.3 of pat	The potential impact of this procedure on the NHS, in terms of numbers ients eligible for treatment and use of resources, is:			
X	Major.			
	Moderate.			
	Minor.			
Comments:				

#### 7 Other information

# 7.1 Is there any other information about this procedure that might assist NICE in assessing the possible need to investigate its use?

Lack of evidence for efficacy, invasive and not cheap.

### 8 Data protection and conflicts of interest

#### 8.1 Data protection statement

The Institute is committed to transparency. As part of this commitment your name and specialist society will be placed in the public domain, in future publications and on our website (<a href="www.nice.org.uk">www.nice.org.uk</a>) and therefore viewable worldwide. This information may be passed to third parties connected with the work on interventional procedures.

A copy of the completed Specialist Adviser advice will be sent to the Specialist Society who nominated the Specialist Adviser.

Specialist Advisers should be aware that full implementation of the Freedom of Information Act 2000 may oblige us to release Specialist Advice from 2005. The Freedom of Information Act 2000 favours the disclosure of information however requests will be considered on a case by case basis. If information is made available, personal information will be removed in accordance with the Data Protection Act 1998. In light of this please ensure that you have not named or identified individuals in your comments.

# 8.2 Declarations of interest by Specialist Advisers advising the NICE Interventional Procedures Advisory Committee

Please state any potential conflicts of interest, or any involvements in disputes or complaints, relevant to this procedure. Please use the "Conflicts of Interest for Specialist Advisers" policy (attached) as a guide when declaring any conflicts of interest. Specialist Advisers should seek advice if required from the Associate Director – Interventional Procedures.

Do you or a member of your family have a **personal pecuniary** interest? The main examples are as follows:

<sup>1</sup> 'Family members' refers to a spouse or partner living in the same residence as the member or employee, children for whom the member or employee is legally responsible, and adults for whom the member or employee is legally responsible (for example, an adult whose full power of attorney is held by the individual).

Consultancies or directorships attracting regular or occasional payments in cash or kind				
Fee-paid work – any work commissioned by the healthcare industry – this includes income earned in the course of private practice				
Shareholdings – any shareholding, or other beneficial interest, in shares of the healthcare industry	□ x	YES NO		
<b>Expenses and hospitality</b> – any expenses provided by a healthcare industry company beyond those reasonably required for accommodation, meals and travel to attend meetings and				
conferences  Investments – any funds which include investments in the healthcare industry	□ x	NO YES NO		
Do you have a <b>personal non-pecuniary</b> interest – eg have you made a public statement about the topic or do you hold an office in a professional organisation or advocacy group with a direct interest				
in the topic?  Do you have a <b>non-personal</b> interest? The main examples are as for <b>Fellowships</b> endowed by the healthcare industry				
Support by the healthcare industry or NICE that benefits his/her position or department, eg grants, sponsorship of posts				
If you have answered YES to any of the above statements pleas describe the nature of the conflict(s) below.	x e	NO		
Comments:				
Thank you very much for your help.				
Professor Bruce Campbell, Chairman, Interventional Procedures Advisory Committee  Professor Carole Longson, Control Centre for Health Technology Evaluation.		or,		
February 2010				

## **Conflicts of Interest for Specialist Advisers**

- 1 Declarations of interest by Specialist Advisers advising the NICE Interventional Procedures Advisory Committee
- 1.1 Any conflicts of interest set out below should be declared on the questionnaire the Specialist Adviser completes for the procedure.
- 1.2 Specialist Advisers should seek advice if required from the Associate Director Interventional Procedures.

#### 2 Personal pecuniary interests

- 2.1 A personal pecuniary interest involves a current personal payment to a Specialist Adviser, which may either relate to the manufacturer or owner of a product or service being evaluated, in which case it is regarded as 'specific' or to the industry or sector from which the product or service comes, in which case it is regarded as 'non-specific'. The main examples are as follows.
- 2.1.1 **Consultancies** any consultancy, directorship, position in or work for the healthcare industry that attracts regular or occasional payments in cash or kind (this includes both those which have been undertaken in the 12 months preceding the point at which the declaration is made and which are planned but have not taken place).
- 2.1.2 **Fee-paid work** any work commissioned by the healthcare industry for which the member is paid in cash or in kind (this includes both those which have been undertaken in the 12 months preceding the point at which the declaration is made and which are planned but have not taken place).
- 2.1.3 **Shareholdings** any shareholding, or other beneficial interest, in shares of the healthcare industry that are either held by the individual or for which the individual has legal responsibility (for example, children, or relatives whose full Power of Attorney is held by the individual). This does not include shareholdings through unit trusts, pensions funds, or other similar arrangements where the member has no influence on financial management.
- 2.1.4 Expenses and hospitality any expenses provided by a healthcare industry company beyond that reasonably required for accommodation, meals and travel to attend meetings and conferences (this includes both those which have been undertaken in the 12 months preceding the point at which the declaration is made and which are planned but have not taken place.
- 2.1.5 **Investments** any funds which include investments in the healthcare industry that are held in a portfolio over which individuals have the ability to instruct the fund manager as to the composition of the fund.
- 2.2 No personal interest exists in the case of:
- 2.2.1 assets over which individuals have no financial control (for example, wide portfolio unit trusts and occupational pension funds) and where

- the fund manager has full discretion as to its composition (for example, the Universities Superannuation Scheme)
- 2.2.2 accrued pension rights from earlier employment in the healthcare industry.

#### 3 **Personal family interest**

- 3.1 This relates to the personal interests of a family member and involves a current payment to the family member of the Specialist Adviser. The interest may relate to the manufacturer or owner of a product or service being evaluated, in which case it is regarded as 'specific', or to the industry or sector from which the product or service comes, in which case it is regarded as 'non-specific'. The main examples include the following.
- 3.1.1 Any consultancy, directorship, position in or work for a healthcare industry that attracts regular or occasional payments in cash or in kind.
- 3.1.2 Any fee-paid work commissioned by a healthcare industry for which the member is paid in cash or in kind.
- 3.1.3 Any shareholdings, or other beneficial interests, in a healthcare industry which are either held by the family member or for which an individual covered by this Code has legal responsibility (for example, children, or adults whose full Power of Attorney is held by the individual).
- 3.1.4 Expenses and hospitality provided by a healthcare industry company (except where they are provided to a general class of people such as attendees at an open conference)
- 3.1.5 Funds which include investments in the healthcare industry that are held in a portfolio over which individuals have the ability to instruct the fund manager as to the composition of the fund.
- 3.2 No personal family interest exists in the case of:
- 3.2.1 assets over which individuals have no financial control (for example, wide portfolio unit trusts and occupational pension funds) and where the fund manager has full discretion as to its composition (for example, the Universities Superannuation Scheme)
- 3.2.2 accrued pension rights from earlier employment in the healthcare industry.

#### 4 Personal non-pecuniary interests

These might include, but are not limited to:

- 4.1 a clear opinion, reached as the conclusion of a research project, about the clinical and/or cost effectiveness of an intervention under review
- 4.2 a public statement in which an individual covered by this Code has expressed a clear opinion about the matter under consideration, which could reasonably be interpreted as prejudicial to an objective interpretation of the evidence

- 4.3 holding office in a professional organisation or advocacy group with a direct interest in the matter under consideration
- 4.4 other reputational risks in relation to an intervention under review.

#### 5 Non-personal interests

- 5.1 A non-personal interest involves payment that benefits a department or organisation for which a Specialist Advisor is responsible, but that is not received by the Specialist Advisor personally. This may either relate to the product or service being evaluated, in which case it is regarded as 'specific,' or to the manufacturer or owner of the product or service, but is unrelated to the matter under consideration, in which case it is regarded as 'non-specific'. The main examples are as follows.
- 5.1.1 **Fellowships** the holding of a fellowship endowed by the healthcare industry.
- 5.1.2 **Support by the healthcare industry or NICE** any payment, or other support by the healthcare industry or by NICE that does not convey any pecuniary or material benefit to a member personally but that does benefit his/her position or department. For example:
- a grant from a company for the running of a unit or department for which a Specialist Advisor is responsible
- a grant, fellowship or other payment to sponsor a post or member of staff in the unit for which a Specialist Adviser is responsible. This does not include financial assistance for students
- the commissioning of research or other work by, or advice from, staff who work in a unit for which the specialist advisor is responsible
- one or more contracts with, or grants from, NICE.
- 5.2 Specialist Advisers are under no obligation to seek out knowledge of work done for, or on behalf of, the healthcare industry within departments for which they are responsible if they would not normally expect to be informed.