NATIONAL INSTITUTE FOR HEALTH AND CARE EXCELLENCE

Interventional Procedures Programme

Specialist Adviser questionnaire

Before completing this questionnaire, please read Conflicts of Interest for Specialist Advisers. Certain conflicts exclude you from offering advice, however, please return the questionnaire to us incomplete for our records.

Please respond in the boxes provided.

Plea	ise complete and return to: az	ad.hussain@nice.org.uk and IPSA@nice.org.uk	
1 100	are sompress and retain to.	adaddain.cg.mod.org.an.	
Pro	cedure Name:	Collagen paste for closing an anal fistula	
Nam	ne of Specialist Advisor:	Simon Gonsalves	
Spe	cialist Society:	Royal College of Surgeons of Edinburgh	
1	Do you have adequate kno	wledge of this procedure to provide advice?	
\boxtimes	Yes.		
	No – please return the form	n/answer no more questions.	
1.1	Does the title used above d	escribe the procedure adequately?	
\boxtimes	Yes.		
	No. If no, please enter any other titles below.		
Con	nments:		
	for closure of anal fistula		
2	Your involvement in the pr	ocedure	
2.1	Is this procedure relevant t	o your specialty?	
\boxtimes	Yes.		
\boxtimes	Is there any kind of inter-sp	ecialty controversy over the procedure?	
	No. If no, then answer no myou can about who is likely	nore questions, but please give any information to be doing the procedure.	

_				
			ts	

Procedure used by Colorectal Subspecialty Surgeons or other General Surgeons with a specialist interest in Coloproctology.

Some debate within the specialty as to its role and place in the definitive management of fistula in ano in comparison with other techniques

The next 2 questions are about whether you carry out the procedure, or refer patients for it. If you are in a specialty that normally carries out the procedure please answer question 2.2.1. If you are in a specialty that normally selects or refers patients for the procedure, please answer question 2.2.2.

2.2.1	If you are in a specialty that does this procedure, please indicate your experience with it:
\boxtimes	I have never done this procedure.
	I have done this procedure at least once.
	I do this procedure regularly.
Com	ments:
used regul techr Ligat	e not performed the procedure as described above for fistulas. Though have permacol paste for incontinence in over 50 patients. It does not feature in my ar colorectal practice, as the current evidence is sparse. I tend to favour other hiques which offer patients greater success such as fistula collagen plugs, ion of the intesphincteric tract, Mucosal advancement flaps and loose setons, istulotomy. It is not a procedure that I would be averse to trying.
2.2.2	If your specialty is involved in patient selection or referral to another specialty for this procedure, please indicate your experience with it.
	I have never taken part in the selection or referral of a patient for this procedure.
	I have taken part in patient selection or referred a patient for this procedure at least once.
\boxtimes	I take part in patient selection or refer patients for this procedure regularly.
Com	ments:
Routi	nely evaluate and select patients for fistula surgery.
2.3	Please indicate your research experience relating to this procedure (please choose one or more if relevant):
	I have done bibliographic research on this procedure.
	2

	I have done research on this procedure in laboratory settings (e.g. device-related research).
	I have done clinical research on this procedure involving patients or healthy volunteers.
	I have had no involvement in research on this procedure.
\boxtimes	Other (please comment)
Com	ments:
	re not published on this specific area of colorectal practice but have published on use of collagen fistula plugs previously.
3	Status of the procedure
3.1	Which of the following best describes the procedure (choose one):
	Established practice and no longer new.
	A minor variation on an existing procedure, which is unlikely to alter the procedure's safety and efficacy.
\boxtimes	Definitely novel and of uncertain safety and efficacy.
	The first in a new class of procedure.
Com	ments:
	el, of uncertain efficacy and cost effectiveness. No obvious concerns with safety orbidity from this procedure.
3.2	What would be the comparator (standard practice) to this procedure?
(cutti	tion of intersphincteric fistula tract, collagen fistula plugs, seton (loose or tight ing)), Mucosal advancement flaps, endocutaneous advancement flaps, otomy.
3.3	Please estimate the proportion of doctors in your specialty who are doing this procedure (choose one):
	More than 50% of specialists engaged in this area of work.
	10% to 50% of specialists engaged in this area of work.
\boxtimes	Fewer than 10% of specialists engaged in this area of work.
	Cannot give an estimate.

Comments:

Not widely practiced.

4 Safety and efficacy

4.1 What is the potential harm of the procedure?

Please list adverse events and major risks (even if uncommon) and, if possible, estimate their incidence, as follows:

- Adverse events reported in the literature (if possible please cite literature)
 Nil to my knowledge
- 2. Anecdotal adverse events (known from experience)

Unable to answer with respect to this procedure. But nil concerns regarding permacol use for incontinecne

3. Theoretical adverse events

Failure to heal tract. Abscess formation / perianal sepsis allowing new fistula tract formation

4.2 What are the key efficacy outcomes for this procedure?

Healing rates of fistulas. Absence of complication or morbidity

4.3 Are there uncertainties or concerns about the *efficacy* of this procedure? If so, what are they?

Notoriously, fistula plugs / glues have variable reporting of success rates in the literature. Some studies in this field are driven by pharmaceutical companies (Cook and Medtronic).

4.4 What training and facilities are needed to do this procedure safely?

Specialist Coloproctologist in a theatre environment with general anaesthesia

4.5 Are there any major trials or registries of this procedure currently in progress? If so, please list.

UK feasibility trial NCT02686580 Maerati 100 multicentre study NCT01624350 4.6 Are you aware of any abstracts that have been *recently* presented/ published on this procedure that may not be listed in a standard literature search, for example PUBMED? (This can include your own work). If yes, please list.

Please note that NICE will do a literature search: we are only asking you for any very recent or potentially obscure abstracts and papers. Please do not feel the need to supply a comprehensive reference list (but you may list any that you think are particularly important if you wish).

Nil recent

4.7 Is there controversy, or important uncertainty, about any aspect of the way in which this procedure is currently being done or disseminated?

No

5 Audit Criteria

Please suggest a minimum dataset of criteria by which this procedure could be audited.

Demographics (age, sex, comorbidity, presumed cause of fistula (cyrptoglandular, Crohn's disease) smoking, immunosupression status, Morhphology of fistula (high / low, transphincteric, length of tract – long or short)

Procedure time
Healing rate
Recurrence
Complication

5.1 Outcome measures of benefit (including commonly used clinical outcomes, both short and long - term; and quality-of-life measures). Please suggest the most appropriate method of measurement for each:

Healing rates, recurrence and morbidity

Quality of life pre and post procedure short tem 6 weeks longer term 6 months

- 5.2 Adverse outcomes (including potential early and late complications). Please state timescales for measurement e.g. bleeding complications up to 1 month post-procedure:
- 6 Trajectory of the procedure
- 6.1 In your opinion, how quickly do you think use of this procedure will spread?

slowly

6.2 (choo	This procedure, if safe and efficacious, is likely to be carried out in se one):
\boxtimes	Most or all district general hospitals.
	A minority of hospitals, but at least 10 in the UK.
	Fewer than 10 specialist centres in the UK.
	Cannot predict at present.
Comn	nents:
6.3 of pat	The potential impact of this procedure on the NHS, in terms of numbers ients eligible for treatment and use of resources, is:
	Major.
	Moderate.
\boxtimes	Minor.
Comn	nents:
7	Other information
7.1 NICE	Is there any other information about this procedure that might assist in assessing the possible need to investigate its use?
8	Data protection and conflicts of interest
8. Dat	a protection, freedom of information and conflicts of interest
8.1 Da	ata Protection
its adv	formation you submit on this form will be retained and used by the NICE and visers for the purpose of developing its guidance and may be passed to other wed third parties. Your name and specialist society will be published in NICE ations and on the NICE website. The specialist advice questionnaire will be hed in accordance with our guidance development processes and a copy will

6.2

be sent to the nominating Specialist Society. Please avoid identifying any individual

in your comments.

xI have read and understood this statement and accept that personal information sent to us will be retained and used for the purposes and in the manner specified above and in accordance with the Data Protection Act 1998.

8.2 Declarations of interest by Specialist Advisers advising the NICE Interventional Procedures Advisory Committee

Nothing in your submission shall restrict any disclosure of information by NICE that is required by law (including in particular, but without limitation, the Freedom of Information Act 2000).

Please submit a conflicts of interest declaration form listing any potential conflicts of interest including any involvement you may have in disputes or complaints relating to this procedure.

Please use the "Conflicts of Interest for Specialist Advisers" policy as a guide when declaring any conflicts of interest. Specialist Advisers should seek advice if needed from the Associate Director – Interventional Procedures.

Do you or a member of your family¹ have a **personal pecuniary** interest? The main examples are as follows:

Consultancies or directorships attracting regular or occasional		YES
payments in cash or kind		NO
Fee-paid work – any work commissioned by the healthcare industry –		YES
this includes income earned in the course of private practice		NO
Shareholdings – any shareholding, or other beneficial interest, in shares		YES
of the healthcare industry		NO
Expenses and hospitality – any expenses provided by a healthcare industry company beyond those reasonably required for accommodation,		YES
meals and travel to attend meetings and conferences		NO
Investments – any funds that include investments in the healthcare		YES
industry		NO
Do you have a personal non-pecuniary interest – for example have you made a public statement about the topic or do you hold an office in a		YES
professional organisation or advocacy group with a direct interest in the topic?	\boxtimes	NO
Do you have a non-personal interest? The main examples are as follows:		
Fellowships endowed by the healthcare industry		YES
	\boxtimes	NO

¹ 'Family members' refers to a spouse or partner living in the same residence as the member or employee, children for whom the member or employee is legally responsible, and adults for whom the member or employee is legally responsible (for example, an adult whose full power of attorney is held by the individual).

Support by the healthcare industry or NICE that benefits his/her position or department, eg grants, sponsorship of posts			YES
		\boxtimes	NO
If you have answered YES to any of the above statements, please describe the nature of the conflict(s) below.			
Comments:			
Thank you very much for your help.			
Dr Tom Clutton-Brock, Interventional Procedures Advisory Committee Chair	Mark Campbell Acting Programme Director Devices and Diagnostics		
June 2018			

Conflicts of Interest for Specialist Advisers

- 1 Declarations of interest by Specialist Advisers advising the NICE Interventional Procedures Advisory Committee
- 1.1 Any conflicts of interest set out below should be declared on the questionnaire the Specialist Adviser completes for the procedure.
- 1.2 Specialist Advisers should seek advice if required from the Associate Director Interventional Procedures.

2 Personal pecuniary interests

- 2.1 A personal pecuniary interest involves a current personal payment to a Specialist Adviser, which may either relate to the manufacturer or owner of a product or service being evaluated, in which case it is regarded as 'specific' or to the industry or sector from which the product or service comes, in which case it is regarded as 'non-specific'. The main examples are as follows.
- 2.1.1 **Consultancies** any consultancy, directorship, position in or work for the healthcare industry that attracts regular or occasional payments in cash or kind (this includes both those which have been undertaken in the 12 months preceding the point at which the declaration is made and which are planned but have not taken place).
- 2.1.2 **Fee-paid work** any work commissioned by the healthcare industry for which the member is paid in cash or in kind (this includes both those which have been undertaken in the 12 months preceding the point at which the declaration is made and which are planned but have not taken place).
- 2.1.3 Shareholdings any shareholding, or other beneficial interest, in shares of the healthcare industry that are either held by the individual or for which the individual has legal responsibility (for example, children, or relatives whose full Power of Attorney is held by the individual). This does not include shareholdings through unit trusts, pensions funds, or other similar arrangements where the member has no influence on financial management.
- 2.1.4 **Expenses and hospitality** any expenses provided by a healthcare industry company beyond that reasonably required for accommodation, meals and travel to attend meetings and conferences (this includes both those which have been undertaken in the 12 months preceding the point at which the declaration is made and which are planned but have not taken place.
- 2.1.5 **Investments** any funds which include investments in the healthcare industry that are held in a portfolio over which individuals have the ability to instruct the fund manager as to the composition of the fund.
- 2.2 No personal interest exists in the case of:
- 2.2.1 assets over which individuals have no financial control (for example, wide portfolio unit trusts and occupational pension funds) and where the fund manager has full discretion as to its composition (for example, the Universities Superannuation Scheme)
- 2.2.2 accrued pension rights from earlier employment in the healthcare industry.

3 Personal family interest

- 3.1 This relates to the personal interests of a family member and involves a **current payment** to the family member of the Specialist Adviser. The interest may relate to the manufacturer or owner of a product or service being evaluated, in which case it is regarded as '**specific**', or to the industry or sector from which the product or service comes, in which case it is regarded as '**non-specific**'. The main examples include the following.
- 3.1.1 Any consultancy, directorship, position in or work for a healthcare industry that attracts regular or occasional payments in cash or in kind.
- 3.1.2 Any fee-paid work commissioned by a healthcare industry for which the member is paid in cash or in kind.
- 3.1.3 Any shareholdings, or other beneficial interests, in a healthcare industry which are either held by the family member or for which an individual covered by this Code has legal responsibility (for example, children, or adults whose full Power of Attorney is held by the individual).
- 3.1.4 Expenses and hospitality provided by a healthcare industry company (except where they are provided to a general class of people such as attendees at an open conference)
- 3.1.5 Funds which include investments in the healthcare industry that are held in a portfolio over which individuals have the ability to instruct the fund manager as to the composition of the fund.
- 3.2 No personal family interest exists in the case of:
- 3.2.1 assets over which individuals have no financial control (for example, wide portfolio unit trusts and occupational pension funds) and where the fund manager has full discretion as to its composition (for example, the Universities Superannuation Scheme)
- 3.2.2 accrued pension rights from earlier employment in the healthcare industry.

4 Personal non-pecuniary interests

These might include, but are not limited to:

- 4.1 a clear opinion, reached as the conclusion of a research project, about the clinical and/or cost effectiveness of an intervention under review
- 4.2 a public statement in which an individual covered by this Code has expressed a clear opinion about the matter under consideration, which could reasonably be interpreted as prejudicial to an objective interpretation of the evidence
- 4.3 holding office in a professional organisation or advocacy group with a direct interest in the matter under consideration
- 4.4 other reputational risks in relation to an intervention under review.

5 Non-personal interests

5.1 A non-personal interest involves payment that benefits a department or organisation for which a Specialist Advisor is responsible, but that is not received by the Specialist Advisor personally. This may either relate to the product or service being evaluated, in which case it is regarded as 'specific,' or to the manufacturer or owner of the product or service, but is unrelated to the matter under consideration, in which case it is regarded as 'non-specific'. The main examples are as follows.

- 5.1.1 **Fellowships** the holding of a fellowship endowed by the healthcare industry.
- 5.1.2 **Support by the healthcare industry or NICE** any payment, or other support by the healthcare industry or by NICE that does not convey any pecuniary or material benefit to a member personally but that does benefit his/her position or department. For example:
- a grant from a company for the running of a unit or department for which a Specialist Advisor is responsible
- a grant, fellowship or other payment to sponsor a post or member of staff in the unit for which a Specialist Adviser is responsible. This does not include financial assistance for students
- the commissioning of research or other work by, or advice from, staff who work in a unit for which the specialist advisor is responsible
- one or more contracts with, or grants from, NICE.
- 5.2 Specialist Advisers are under no obligation to seek out knowledge of work done for, or on behalf of, the healthcare industry within departments for which they are responsible if they would not normally expect to be informed.

NATIONAL INSTITUTE FOR HEALTH AND CARE EXCELLENCE

Interventional Procedures Programme

Specialist Adviser questionnaire

Before completing this questionnaire, please read <u>Conflicts of Interest for Specialist Advisers</u>. Certain conflicts exclude you from offering advice, however, please return the questionnaire to us incomplete for our records.

Please respond in the boxes provided.

Plea	Please complete and return to: <u>azad.hussain@nice.org.uk</u> and <u>IPSA@nice.org.uk</u>		
Prod	cedure Name:	Collagen paste for closing an anal fistula	
Nam	ne of Specialist Advisor:	Toby Hammond	
Specialist Society:		Association of Coloproctology of Great Britain and Ireland	
1	Do you have adequate kno	owledge of this procedure to provide advice?	
$\sqrt{}$	Yes.		
	No – please return the form	m/answer no more questions.	
1.1	Does the title used above	describe the procedure adequately?	
	Yes.		
$\sqrt{}$	No. If no, please enter any	other titles below.	
Con	nments:		
Coll	agen paste for the treatmen	nt of anal fistula	
2	Your involvement in the p	rocedure	
2.1	Is this procedure relevant	to your specialty?	
$\sqrt{}$	Yes.		
П	Is there any kind of inter-s	pecialty controversy over the procedure?	

	No. If no, then answer no more questions, but please give any information you can about who is likely to be doing the procedure.		
Comr	ments:		
patiei pleas	The next 2 questions are about whether you carry out the procedure, or refer patients for it. If you are in a specialty that normally carries out the procedure please answer question 2.2.1. If you are in a specialty that normally selects or refers patients for the procedure, please answer question 2.2.2.		
2.2.1	If you are in a specialty that does this procedure, please indicate your experience with it:		
	I have never done this procedure.		
$\sqrt{}$	I have done this procedure at least once.		
	I do this procedure regularly.		
Comr	ments:		
2.2.2	If your specialty is involved in patient selection or referral to another specialty for this procedure, please indicate your experience with it.		
2.2.2			
2.2.2 □ √	specialty for this procedure, please indicate your experience with it. I have never taken part in the selection or referral of a patient for this		
	specialty for this procedure, please indicate your experience with it. I have never taken part in the selection or referral of a patient for this procedure. I have taken part in patient selection or referred a patient for this procedure at		
□√□	specialty for this procedure, please indicate your experience with it. I have never taken part in the selection or referral of a patient for this procedure. I have taken part in patient selection or referred a patient for this procedure at least once.		
□√□	specialty for this procedure, please indicate your experience with it. I have never taken part in the selection or referral of a patient for this procedure. I have taken part in patient selection or referred a patient for this procedure at least once. I take part in patient selection or refer patients for this procedure regularly.		
□	specialty for this procedure, please indicate your experience with it. I have never taken part in the selection or referral of a patient for this procedure. I have taken part in patient selection or referred a patient for this procedure at least once. I take part in patient selection or refer patients for this procedure regularly.		
□	specialty for this procedure, please indicate your experience with it. I have never taken part in the selection or referral of a patient for this procedure. I have taken part in patient selection or referred a patient for this procedure at least once. I take part in patient selection or refer patients for this procedure regularly. ments: Please indicate your research experience relating to this procedure		
□	specialty for this procedure, please indicate your experience with it. I have never taken part in the selection or referral of a patient for this procedure. I have taken part in patient selection or referred a patient for this procedure at least once. I take part in patient selection or refer patients for this procedure regularly. ments: Please indicate your research experience relating to this procedure (please choose one or more if relevant):		

	I have had no involvement in research on this procedure.		
	Other (please comment)		
Con	Comments:		
3	Status of the procedure		
3.1	Which of the following best describes the procedure (choose one):		
\checkmark	Established practice and no longer new.		
	A minor variation on an existing procedure, which is unlikely to alter the procedure's safety and efficacy.		
	Definitely novel and of uncertain safety and efficacy.		
	The first in a new class of procedure.		
Con	nments:		
fistu in th	re have relatively recently been 4 reported studies on collagen paste for anal las (1 prospective cohort & 3 retrospective) which have helped establish its place e armamentarium of techniques used to treat anal fistulas, but I would not call procedure established or new.		
3.2	What would be the comparator (standard practice) to this procedure?		
	er more established sphincter conserving treatments such as the mucosal anal ancement flap or LIFT procedure		
3.3	Please estimate the proportion of doctors in your specialty who are doing this procedure (choose one):		
	More than 50% of specialists engaged in this area of work.		
	10% to 50% of specialists engaged in this area of work.		
	Fewer than 10% of specialists engaged in this area of work.		
\checkmark	Cannot give an estimate.		
Con	nments:		
4	Safety and efficacy		

4.1 What is the potential harm of the procedure?

Please list adverse events and major risks (even if uncommon) and, if possible, estimate their incidence, as follows:

1. Adverse events reported in the literature (if possible please cite literature) Infection including abscess formation

Pain

Bleeding

(Giordano P, Sileri P, Buntzen S, Stuto A, Nunoo-Mensah J, Lenisa L, Singh B, Thorlacius-Ussing O, Griffiths B, Ziyaie D. Final results of a European, multicentre, prospective, observational study of Permacol collagen paste injection for the treatment of anal fistula. Colorectal Disease 2017. 20 (3): 243–251)

- 2. Anecdotal adverse events (known from experience)
- 3. Theoretical adverse events
- 4.2 What are the key efficacy outcomes for this procedure?

Fistula healing Quality of life (QoL) data

4.3 Are there uncertainties or concerns about the *efficacy* of this procedure? If so, what are they?

QoL data unknown

4.4 What training and facilities are needed to do this procedure safely?

Minimal training.

Facilities: Operating theatre & Collagen Paste kit

4.5 Are there any major trials or registries of this procedure currently in progress? If so, please list.

Not that I'm aware of

4.6 Are you aware of any abstracts that have been *recently* presented/ published on this procedure that may not be listed in a standard literature search, for example PUBMED? (This can include your own work). If yes, please list.

Please note that NICE will do a literature search: we are only asking you for any very recent or potentially obscure abstracts and papers. Please do not feel the need to supply a comprehensive reference list (but you may list any that you think are particularly important if you wish).

No

4.7 Is there controversy, or important uncertainty, about any aspect of the way in which this procedure is currently being done or disseminated?

No

5 **Audit Criteria**

Please suggest a minimum dataset of criteria by which this procedure could be audited.

There is no national or regional standards to compare the outcomes of this procedure.

Fistula healing could be audited against the outcomes for the fistula surgeons standard practice for anal fistulas

5.1 Outcome measures of benefit (including commonly used clinical outcomes, both short and long - term; and quality-of-life measures). Please suggest the most appropriate method of measurement for each:

Patient reported quality of life Fistula healing Continence disturbance

Adverse outcomes (including potential early and late complications). Please state timescales for measurement e.g. bleeding complications up to 1 month post-procedure:

The most important significant adverse outcomes are:

- Failure of fistula to heal up to 2 years post operatively
- Anorectal sepsis up to 3 months post operatively
- Bleeding up to 4 weeks post operatively
- Pain (not related to infection) up to 4 weeks post-operatively
- 6 Trajectory of the procedure
- In your opinion, how quickly do you think use of this procedure will 6.1 spread?

I do not think it's use will become widespread

6.2 (choo	This procedure, if safe and efficacious, is likely to be carried out in ose one):
	Most or all district general hospitals.
	5

	A minority of hospitals, but at least 10 in the UK.	
	Fewer than 10 specialist centres in the UK.	
$\sqrt{}$	Cannot predict at present.	
Comm	nents:	
6.3 of pati	The potential impact of this procedure on the NHS, in terms of numbers ients eligible for treatment and use of resources, is:	
	Major.	
	Moderate.	
$\sqrt{}$	Minor.	
Comments: The role for collagen paste is likely to be for patients with very high anal fistulas in whom other sphincter preserving procedures have failed or not feasible. This is likely to be <10% of all cases		
7	Other information	
7.1 Is there any other information about this procedure that might assist NICE in assessing the possible need to investigate its use?		
Not tha	at I'm aware of	
8	Data protection and conflicts of interest	

- 8. Data protection, freedom of information and conflicts of interest
- 8.1 Data Protection

The information you submit on this form will be retained and used by the NICE and its advisers for the purpose of developing its guidance and may be passed to other approved third parties. Your name and specialist society will be published in NICE publications and on the NICE website. The specialist advice questionnaire will be published in accordance with our guidance development processes and a copy will be sent to the nominating Specialist Society. Please avoid identifying any individual in your comments.

I have read and understood this statement and accept that personal information sent to us will be retained and used for the purposes and in the manner specified above and in accordance with the Data Protection Act 1998. Declarations of interest by Specialist Advisers advising the NICE 8.2 **Interventional Procedures Advisory Committee** Nothing in your submission shall restrict any disclosure of information by NICE that is required by law (including in particular, but without limitation, the Freedom of Information Act 2000). Please submit a conflicts of interest declaration form listing any potential conflicts of interest including any involvement you may have in disputes or complaints relating to this procedure. Please use the "Conflicts of Interest for Specialist Advisers" policy as a guide when declaring any conflicts of interest. Specialist Advisers should seek advice if needed from the Associate Director – Interventional Procedures. Do you or a member of your family have a **personal pecuniary** interest? The main examples are as follows:

Consultancies or directorships attracting regular or occasional payments in cash or kind	NO
Fee-paid work – any work commissioned by the healthcare industry – this includes income earned in the course of private practice	NO
Shareholdings – any shareholding, or other beneficial interest, in shares of the healthcare industry	NO
Expenses and hospitality – any expenses provided by a healthcare industry company beyond those reasonably required for accommodation, meals and travel to attend meetings and conferences	
Investments – any funds that include investments in the healthcare industry	NO
Do you have a personal non-pecuniary interest – for example have you	NO
made a public statement about the topic or do you hold an office in a professional organisation or advocacy group with a direct interest in the topic?	NO
Do you have a non-personal interest? The main examples are as follows:	
	NO

¹ 'Family members' refers to a spouse or partner living in the same residence as the member or employee, children for whom the member or employee is legally responsible, and adults for whom the member or employee is legally responsible (for example, an adult whose full power of attorney is held by the individual).

Support by the healthcare industry or NICE that benefits his/her position or department, eg grants, sponsorship of posts					
			NO		
If you have answered YES to any of the above statements, please describe the nature of the conflict(s) below.					
Comments:					
Thank you very much for your help.					
Dr Tom Clutton-Brock, Interventional Procedures Advisory Committee Chair	Mark Campbell Acting Programme Director Devices and Diagnostics				
luna 2019					

Conflicts of Interest for Specialist Advisers

- 1 Declarations of interest by Specialist Advisers advising the NICE Interventional Procedures Advisory Committee
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- 2.2 No personal interest exists in the case of:
- 2.2.1 assets over which individuals have no financial control (for example, wide portfolio unit trusts and occupational pension funds) and where the fund manager has full discretion as to its composition (for example, the Universities Superannuation Scheme)
- 2.2.2 accrued pension rights from earlier employment in the healthcare industry.

3 Personal family interest

- 3.1 This relates to the personal interests of a family member and involves a **current payment** to the family member of the Specialist Adviser. The interest may relate to the manufacturer or owner of a product or service being evaluated, in which case it is regarded as '**specific**', or to the industry or sector from which the product or service comes, in which case it is regarded as '**non-specific**'. The main examples include the following.
- 3.1.1 Any consultancy, directorship, position in or work for a healthcare industry that attracts regular or occasional payments in cash or in kind.
- 3.1.2 Any fee-paid work commissioned by a healthcare industry for which the member is paid in cash or in kind.
- 3.1.3 Any shareholdings, or other beneficial interests, in a healthcare industry which are either held by the family member or for which an individual covered by this Code has legal responsibility (for example, children, or adults whose full Power of Attorney is held by the individual).
- 3.1.4 Expenses and hospitality provided by a healthcare industry company (except where they are provided to a general class of people such as attendees at an open conference)
- 3.1.5 Funds which include investments in the healthcare industry that are held in a portfolio over which individuals have the ability to instruct the fund manager as to the composition of the fund.
- 3.2 No personal family interest exists in the case of:
- 3.2.1 assets over which individuals have no financial control (for example, wide portfolio unit trusts and occupational pension funds) and where the fund manager has full discretion as to its composition (for example, the Universities Superannuation Scheme)
- 3.2.2 accrued pension rights from earlier employment in the healthcare industry.

4 Personal non-pecuniary interests

These might include, but are not limited to:

- 4.1 a clear opinion, reached as the conclusion of a research project, about the clinical and/or cost effectiveness of an intervention under review
- 4.2 a public statement in which an individual covered by this Code has expressed a clear opinion about the matter under consideration, which could reasonably be interpreted as prejudicial to an objective interpretation of the evidence
- 4.3 holding office in a professional organisation or advocacy group with a direct interest in the matter under consideration
- 4.4 other reputational risks in relation to an intervention under review.

5 Non-personal interests

5.1 A non-personal interest involves payment that benefits a department or organisation for which a Specialist Advisor is responsible, but that is not received by the Specialist Advisor personally. This may either relate to the product or service being evaluated, in which case it is regarded as 'specific,' or to the manufacturer or owner of the product or service, but is unrelated to the matter under consideration, in which case it is regarded as 'non-specific'. The main examples are as follows.

- 5.1.1 **Fellowships** the holding of a fellowship endowed by the healthcare industry.
- 5.1.2 **Support by the healthcare industry or NICE** any payment, or other support by the healthcare industry or by NICE that does not convey any pecuniary or material benefit to a member personally but that does benefit his/her position or department. For example:
- a grant from a company for the running of a unit or department for which a Specialist Advisor is responsible
- a grant, fellowship or other payment to sponsor a post or member of staff in the unit for which a Specialist Adviser is responsible. This does not include financial assistance for students
- the commissioning of research or other work by, or advice from, staff who work in a unit for which the specialist advisor is responsible
- one or more contracts with, or grants from, NICE.
- 5.2 Specialist Advisers are under no obligation to seek out knowledge of work done for, or on behalf of, the healthcare industry within departments for which they are responsible if they would not normally expect to be informed.