Department of Health

NICE National Institute for Health and Care Excellence

Framework Agreement between the Department of Health and the National Institute for Health and Care Excellence

Annex A: Wider guidance

Wider Guidance

1. The following general guidance documents and instructions apply to NICE. The Department may require NICE to provide additional management information on an ad hoc basis. Where this is the case, the Department will provide NICE with clear reasons for the request and will allow as much time as possible to comply with the request.

General

- Appropriate adaptations of sections of *Corporate Governance in Central Government Departments: Code of Good Practice* and its related guidance
  https://www.gov.uk/government/publications/corporate-governance-code-for-central-government-departments
- *Managing Public Money*
  https://www.gov.uk/government/publications/managing-public-money
- *Code of Conduct for Board Members of Public Bodies*
  http://www.civilservice.gov.uk/wp-content/uploads/2011/09/code-of-conduct_tcm6-38901.pdf
- *Code of Practice for Ministerial Appointments to Public Bodies*
  http://publicappointmentscommissioner.independent.gov.uk/wp-content/uploads/2012/02/Code-of-Practice-2012.pdf
- *The Parliamentary and Health Service Ombudsman's Principles of Good Administration*
  http://www.ombudsman.org.uk/improving-public-service/ombudsmansprinciples/principles-of-good-administration
- Consolidation Officer Memorandum, and relevant DCO letters
- *The NHS Records Management code of practice*
  https://www.gov.uk/government/publications/records-management-nhs-code-of-practice
- Other relevant guidance and instructions issued by HM Treasury in respect of Whole of Government Accounts
- Other relevant instructions and guidance issued by the central departments
- Any statutory duties that are applicable to NICE
- Specific instructions and guidance issued by the Department, including requests for information
- Any departmental plans to ensure continuity of services
- Recommendations made by the Public Accounts Committee, or by other Parliamentary authority, that have been accepted by the Government and relevant to NICE.

Audit and Risk

- *Public Sector Internal Audit Standards (PSIAS)*
  https://www.gov.uk/government/publications/public-sector-internal-audit-standards-good-practice-guidance
- *Management of Risk: Principles and Concepts*
  http://webarchive.nationalarchives.gov.uk/20130129110402/http://www.hm-treasury.gov.uk/psr_managing_risk_of_fraud.htm

Finance

- *Government Financial Reporting Manual* (FReM)
  https://www.gov.uk/government/publications/government-financial-reporting-manual
- Fees and Charges Guide, Chapter 6 of *Managing Public Money*
- Departmental Banking: *A Manual for Government Departments*, Annex 5.7 of *Managing Public Money*
- Relevant *Dear Accounting Officer letters*;
- *Regularity, Propriety and Value for Money*
  http://webarchive.nationalarchives.gov.uk/20130129110402/http://www.hm-treasury.gov.uk/d/Reg_Prop_and_VfM-November04.pdf
- *Improving spending control*
  https://www.gov.uk/government/publications/improving-spending-control

HR

- Model *Code for Staff of Executive Non-departmental Public Bodies* (Cabinet Office)
  http://www.civilservice.gov.uk/wp-content/uploads/2011/09/5_public_body_staffv2_tcm6-2484.pdf
- *DH Pay Framework for Very Senior Managers in Arms-Length*
  https://www.gov.uk/government/publications/pay-framework-for-very-senior-managers
- *The Good medical practice framework for appraisal and revalidation*
  http://www.gmc-uk.org/static/documents/content/GMC_Revalidation_A4_Guidance_GMP_Framework_04.pdf

FOI

- Relevant Freedom of Information Act guidance and instructions (Ministry of Justice)

Estates and Sustainability

- *Greening Government Commitments*
  http://sd.defra.gov.uk/documents/Greening-Government-commitments.pdf
- Government Property Unit *National Property Controls and standards for office accommodation* (available from DH)
- *The Department of Health's Property Asset Management procedures* (available from DH)

Information Governance and Security

- *The NHS Information Governance Toolkit*
  https://nww.igt.hscic.gov.uk/

- HMG IA Standard No. 6: *Protecting Personal Data and Managing Information Risk* (available from DH)
- *HM Government's Security Policy Framework*
  https://www.gov.uk/government/publications/security-policy-framework
- *Information Security Management: NHS Code of Practice*
  https://www.gov.uk/government/publications/information-security-management-nhs-code-of-practice
- *Confidentiality: NHS Code of Practice*
  https://www.gov.uk/government/publications/confidentiality-nhs-code-of-practice

Transparency

- *The Prime Minister's commitments on transparency*
  http://webarchive.nationalarchives.gov.uk/20130109092234/http://number10.gov.uk/news/letter-to-government-departments-on-opening-up-data/