National Institute for Health and Care Excellence

Surveillance programme

Surveillance proposal consultation document

Antimicrobial stewardship: systems and processes for effective antimicrobial medicine use NICE guideline NG15 – 2-year surveillance review

Background information

Guideline issue date: August 2015

No previous surveillance has been conducted for this guideline.

Surveillance proposal for consultation

We propose to not update the guideline on <u>antimicrobial stewardship</u> at this time.

During surveillance editorial or factual amendments were identified. Details are included in appendix A: summary of evidence from surveillance.

Reason for the proposal

Assessing the evidence

For this guideline, we checked any policy or other guidance documents that had been issued or updated since NG15 was published. We also checked any Cochrane reviews related to the guideline – this included any updates to the 4 Cochrane reviews used to inform the recommendations during development of the guideline, as well as any new Cochrane reviews published since October 2014 when the original search took place. We additionally checked for any relevant National Institute for Health Research (NIHR) Signals. Each piece of evidence was checked against the guideline recommendations to assess any potential impact (see appendix A).

Surveillance proposal consultation document November 2017 – Antimicrobial stewardship (2015) NICE guideline NG15

As the policy, Cochrane reviews and NIHR Signals we examined did not indicate a need to update the guideline, we did not undertake a formal evidence review. Furthermore, members of the original guideline committee were in agreement that there had been no substantial changes to the evidence base that would affect the guideline at this point (see views of topic experts).

We also checked for any relevant ongoing studies and the impact of any publications arising from these in future will be monitored.

Views of topic experts

We considered the views of 5 topic experts, 4 of whom were members of the original guideline committee, and 1 who was a member of the committee for the quality standard associated with this guideline. Four of the topic experts agreed that the guideline does not need updating. One member noted that the guideline may need reviewing in the light of ongoing development of NICE antimicrobial prescribing guidance for <u>managing common infections</u>. However it is expected that the common infections guidance will complement the antimicrobial stewardship guideline and no update is currently needed.

Experts also noted that there have been issues with implementation of the guideline. Implementation is outside the scope of the surveillance process, however comments have been passed to the relevant NICE system engagement teams for consideration.

Experts additionally raised that there has been much discussion about antimicrobial course length, and that there was insufficient evidence when the guideline was developed to inform recommendations in this area. However they were not aware of any new evidence that would be sufficient to update recommendations.

Equalities

No equalities issues were identified during the surveillance process.

Overall proposed decision

After considering the evidence and views of topic experts, we propose to not update this guideline.

Further information

See <u>appendix A</u>: summary of evidence from surveillance below for further information.

For details of the process and update decisions that are available, see <u>ensuring that published guidelines are current and accurate</u> in developing NICE guidelines: the manual.

Appendix A: summary of evidence from surveillance

Cochrane systematic reviews

Study	Related review question/ guideline section	Status	Key findings	Impact on guideline recommendations
Schuetz P, Wirz Y, Sager R et al. (2017) Procalcitonin to initiate or discontinue antibiotics in acute respiratory tract infections	6.2 What interventions, systems and processes are effective and cost effective in changing health and social care practitioners' decision-making to ensure appropriate antimicrobial stewardship?	Updates a Cochrane review from 2013 which was included in the original guideline.	The review found 32 randomised controlled trials (RCTs) including 18 new trials for the 2017 update, and individual participant data were obtained from 26 trials (n=6,708). Procalcitonin to guide initiation and duration of antibiotic treatment resulted in lower risks of mortality, lower antibiotic consumption, and lower risk for antibiotic-related side effects. Results were similar for different clinical settings and types of acute respiratory infections.	The conclusion of the updated review is similar to the conclusion of the original review which was considered by NG15. Therefore the evidence is unlikely to impact NG15. Procalcitonin was discussed by the guideline committee (see NG15 full guideline) who agreed with the conclusion in the full version of the NICE Pneumonia guideline (CG191) that 'C-reactive protein was considered to be cheaper than procalcitonin and more clinically useful' which resulted in a recommendation in CG191 to consider a point of care C-reactive protein test. NG15 links to CG191 in recommendation 1.1.30 'Consider point-of-care testing in primary care for patients with suspected lower respiratory tract infections as described in the NICE guideline on pneumonia.'

Tonkin-Crine SK, Tan PS, van Hecke O et al. (2017) Clinician-targeted interventions to influence antibiotic prescribing behaviour for acute respiratory infections in primary care: an overview of systematic reviews	6.2 What interventions, systems and processes are effective and cost effective in changing health and social care practitioners' decision-making to ensure appropriate antimicrobial stewardship? 7.2 What interventions, systems and processes are effective and cost effective in overcoming the barriers to decision-making by health and social care practitioners when ensuring appropriate antimicrobial stewardship?	First published in 2017 therefore not considered by NG15.	This review of 8 reviews (5 Cochrane Reviews [33 included trials] and 3 non-Cochrane reviews [11 included trials]) found that shared decision making, C-reactive protein testing, and procalcitonin-guided management reduced antibiotic prescribing for patients with acute respiratory infections in primary care.	Shared decision making is already recommended by NG15 recommendation 1.1.31 'Prescribers should take time to discuss with the patient and/or their family members or carers (as appropriate)' so the Cochrane review agrees with the guideline. Procalcitonin and C-reactive protein were discussed by the guideline committee (see NG15 full guideline) who agreed with the conclusion in the full version of the NICE Pneumonia guideline (CG191) that 'C-reactive protein was considered to be cheaper than procalcitonin and more clinically useful' which resulted in a recommendation in CG191 to consider a point of care C-reactive protein test. NG15 links to CG191 in recommendation 1.1.30 'Consider point-of-care testing in primary care for patients with suspected lower respiratory tract infections as described in the NICE guideline on pneumonia.'

Spurling GK, Del Mar CB, Dooley L et al. (2017) <u>Delayed</u> <u>antibiotic</u> <u>prescriptions for</u> <u>respiratory infections</u>	6.2 What interventions, systems and processes are effective and cost effective in changing health and social care practitioners' decision-making to ensure appropriate antimicrobial stewardship?	Updates a Cochrane review from 2013 which was included in the original guideline.	The review added 1 new RCT (n=405). Overall, it included 11 studies (n=3,555). Delayed antibiotics resulted in a significant reduction in antibiotic use compared to immediate antibiotics prescription.	NG15 already recommends that where immediate prescribing is not the most appropriate option, delayed antibiotics should be discussed as an option for patients. No impact is expected.
Davey P, Marwick CA, Scott CL (2017) Interventions to improve antibiotic prescribing practices for hospital inpatients	5.2 What interventions, systems and processes are effective and cost effective in reducing antimicrobial resistance without causing harm to patients?	Updates a Cochrane review from 2013 which was included in the original guideline.	The 2013 review included 89 studies. The 2017 review of 221 studies (58 RCTs, and 163 non-RCTs) examined interventions that fell broadly into 2 categories: restrictive techniques (rules to make physicians prescribe properly), and enablement techniques (advice or feedback to help physicians prescribe properly). There was high-certainty evidence that interventions increased compliance with antibiotic policy and reduced duration of antibiotic treatment. Lower use of antibiotics probably does not increase mortality and likely reduces length of stay. Enablement consistently increased the effect of interventions, including those with a restrictive component, and feedback further increased intervention effect.	NG15 already recommends restrictive and enablement techniques to improve antibiotic stewardship. No impact is expected.

This review of 2 RCTs (both at high-risk of 5.2 What interventions. O'Sullivan JW. First published NG15 recommendation 1.1.31 Harvey RT, Glasziou in 2016 bias; n=827 children with acute upper systems and processes are states 'Prescribers should take PP (2016) Written effective and cost effective in therefore not respiratory tract infections: 558 from time to discuss with the patient information for reducing antimicrobial considered by 61 general practices in England and Wales; and/or their family members or patients (or parents resistance without causing NG15. and 269 from primary care doctors in the carers (as appropriate)... USA) found moderate quality evidence from of child patients) to harm to patients? whether they need any written reduce the use of 1 study that, versus usual care, trained GPs information about their medicines providing written information to parents can antibiotics for acute and any possible outcomes.' so reduce the number of antibiotics used by upper respiratory the Cochrane review broadly tract infections in patients without any negative impact on agrees with the guideline. As the reconsultation rates or parental satisfaction primary care evidence is from 2 trials of with consultation. Versus usual care, low moderate/low quality with high quality evidence from 2 studies showed that risk of bias, no impact is providing written information reduced anticipated. antibiotic prescribing, but low quality evidence also showed that when doctors were also given feedback on their antibiotic prescribing along with providing written information to parents, antibiotic prescribing increased.

Coxeter P, Del Mar CB, McGregor L (2015) <u>Interventions</u> to facilitate shared decision making to address antibiotic use for acute respiratory infections in primary care	7.2 What interventions, systems and processes are effective and cost effective in overcoming the barriers to decision-making by health and social care practitioners when ensuring appropriate antimicrobial stewardship?	First published in 2015 therefore not considered by NG15.	This review examined 10 published reports of 9 original RCTs in over 1,100 primary care doctors and around 492,000 patients. There is moderate quality evidence that interventions to facilitate shared decision making reduced antibiotic use for acute respiratory infections in primary care (immediately after or within 6 weeks of the consultation), compared with usual care. Reduction in antibiotic prescribing occurred without an increase in patient-initiated reconsultations or a decrease in patient satisfaction with the consultation. Effects on longer-term rates of prescribing are uncertain.	NG15 recommendation 1.1.31 already encourages shared decision making and states 'Prescribers should take time to discuss with the patient and/or their family members or carers (as appropriate)' so no impact is expected on the guideline.
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Policy and guidance

Document	Related review question	Status	Details	Impact on guideline recommendations
Antimicrobial resistance: resource handbook, Public Health England 2016 (updated with new version of handbook 2017)	No specific related review question.	Updated April 2017	This handbook is a collation of national antimicrobial resistance, antimicrobial stewardship and infection prevention and control resources which are relevant for an array of care settings. The handbook includes supporting materials relating to: • strategy and national guidance • policy and recommendations • education and training • guidance and tools • surveillance • international resources	This document links out to an array of other documents (some of which are discussed below) and as such does not directly impact NG15. This resource will be highlighted to the relevant NICE system engagement teams for consideration.

Progress report on the UK 5 year AMR strategy 2015, Department of Health, 2016	No specific related review question.	Published 2016	The first progress report for 2014 was referred to in the full version of NG15. The second annual progress report describes what was achieved in the second year of implementation, including a number of significant achievements on the international stage. The UK 5 year antimicrobial resistance (AMR) strategy 2013 to 2018 represents an ambitious programme to slow the development and spread of AMR taking a "One-Health" approach spanning people, animals, agriculture and the wider environment. This is published on behalf of the high level steering group responsible for driving delivery of the UK AMR strategy. This progress report notes 'Other activity in support of improved prescribing of antibiotics includes the NICE guidance on Medicines Optimisation in March and on Antimicrobial Stewardship (i.e. NG15) in August 2015.' The report notes 7 key action areas: 1. Improving Infection Prevention and Control (IPC) practices in human and animal health 2. Optimising prescribing practice	Action areas 1, 4, 6 and 7 are out of scope of NG15. Action areas 2, 3 and 5 are aligned with NG15. No impact is expected.
			(IPC) practices in human and animal health	
			Optimising prescribing practice Improved education, training and public engagement	
			Developing new drugs, treatments and diagnostics	
			Better access to and use of surveillance in human and animal sectors	

			Better identification and prioritisation of AMR research Strengthened international collaboration working	
Global action plan on antimicrobial resistance. World Health Organization, 2015	No specific related review question.	Published 2015	The May 2015 World Health Assembly adopted a global action plan on antimicrobial resistance, which outlines 5 objectives: 1. to improve awareness and understanding of antimicrobial resistance through effective communication, education and training; 2. to strengthen the knowledge and evidence base through surveillance and research; 3. to reduce the incidence of infection through effective sanitation, hygiene and infection prevention measures; 4. to optimise the use of antimicrobial medicines in human and animal health; and 5. to develop the economic case for sustainable investment that takes account of the needs of all countries and to increase investment in new medicines, diagnostic tools, vaccines and other interventions.	Objectives 3 and 5 are out of scope of NG15. NG15 is broadly aligned with the other objectives, particularly objective 4 which states that Member States should 'Develop and implement comprehensive action plans on antimicrobial resistance that incorporate the following elements: [] provision of stewardship programmes that monitor and promote optimisation of antimicrobial use at national and local levels in accordance with international standards in order to ensure the correct choice of medicine at the right dose on the basis of evidence.' No impact is expected.

Antibacterial agents in clinical development: analysis of the antibacterial clinical development pipeline, including tuberculosis, World Health Organization 2017	No specific related review question.	Published 2017	WHO reviewed the publically available information on the current clinical development pipeline of antibacterial agents to assess the extent to which the drug candidates act against priority pathogens, Mycobacterium tuberculosis, and Clostridium difficile.	The scope of NG15 excludes research for new antimicrobials therefore this report is unlikely to affect the guideline.
			The report states that 'New antibiotics alone will not be sufficient to mitigate the threat of antimicrobial resistance. Their development should go hand in hand with infection prevention and control activities and fostering of appropriate use of existing and future antibiotics through stewardship measures.'	NG15 is aligned with the statement about the need for stewardship measures.

English surveillance programme for antimicrobial utilisation and resistance (ESPAUR), Public Health England, 2014 (updated annually – 2016 and 2017 reports now available)	No specific related review question.	Updated 2016 and 2017	2016 report: The 2016 report makes a number of recommendations. None of these are directly targeted at NICE. However NICE is mentioned in the recommendations in a number of places: • 'Public Health England staff should ensure they are able to direct organisations and individuals to the resources for antimicrobial stewardship (AMS) guidance available for primary care and secondary care from NICE [] including [] the NICE Antimicrobial Stewardship Guidance.'	The 2016 report makes no recommendations directly targeted at NICE, but recommends implementing NG15, and notes that the 2016 Foundation Doctors curricula includes antimicrobial resistance in line with recommendations in NG15 on education and training. Overall, the report aligns with NG15. No impact is expected.
			targeted at NICE.	
1			However NICE is mentioned in the	
			·	
			(AMS) guidance available for primary	
				No impact is expected.
			'Directors of public health should	Similarly, no impact is
			support the development of local AMS	expected with the 2017
			collaboratives in line with NICE	report.
			Antimicrobial Stewardship Guidance (NG15).'	
			'All healthcare organisations (both	
			community and hospital) should perform	
			a self-assessment of their organisation's	
			antimicrobial stewardship practice	
			against the NICE Antimicrobial Stewardship Guidance (NG15), and use	
			the toolkit to develop an organisation	
			focussed action plan.'	
			The second also makes that the 2040 Face defice	
			The report also notes that the 2016 Foundation Doctors curricula for the first time include	
			antimicrobial resistance (AMR) as part of the	
			training outcomes descriptors:	

'Prescribes and administers oxygen, fluids and antimicrobials as appropriate eg in accordance with NICE guidance on antimicrobial stewardship and sepsis' The report also has a specific section about NICE: 'NICE continues to provide guidance and advice to support the wider AMS including a new programme of work to develop a suite of prescribing guidelines for the management of common infections in primary and secondary care. These guidelines will primarily be aimed at prescribers but will be valuable to other health professionals and commissioners. In support of this work, the BNF section on antimicrobials will be reviewed to include links to information about regional resistance levels. 'In addition to the NICE guideline on AMS systems for effective antimicrobial use (NG15). NICE is currently developing a complementary guideline: AMS changing risk-related behaviours in the general population. To further improve effective antimicrobial stewardship a quality standard (QS121) was published in April 2016, which aims to reduce the emergence of AMR (loss of effectiveness of antimicrobials). 'The NICE Key Therapeutic Topics work includes AMS as a topic. Prescribing data from the comparators developed by NHS Digital are also included to allow organisations to benchmark and assess the degree of variation in key areas of AMR.'

2017 report:
The report has a specific section about NICE. Key points are that:
NICE is working with Public Health England on antimicrobial prescribing guidelines. The first 3 topics are planned to publish in the 2017/18 business year with sinusitis (acute) due for publication in October 2017
In January 2017, NICE published a guideline Antimicrobial stewardship (AMS): changing risk- related behaviours in the general population (NG63), complementary to the NICE guideline on Antimicrobial stewardship: systems and processes for effective antimicrobial medicine use (NG15)
NICE is also developing Antimicrobial Prescribing Advice to support the appropriate use and stewardship of new antimicrobials. The first advice is on Ceftazidime-avibactam (Zavicefta) and is due to be published in October 2017.
NICE's current Medtech innovation briefings are developed to support the use of innovative medical devices, diagnostics and digital technologies, including those that relate to the antimicrobial stewardship agenda. Over the past year, MIBs were published on FebriDx for C-reactive protein and Myxovirus resistance protein A testing in primary care (July 2017), eazyplex SuperBug kits for detecting carbapenemase-producing organisms (Feb 2017), QuikRead go for C-reactive protein
testing in primary care (Sept 2016) and Alere

Afinion CRP for C-reactive protein testing in primary care (Sept 2016).
NICE is also collaborating with the Department of Health (DH) on a research project exploring the assessment of new antimicrobials with high potential to address unmet need.
The NICE Key Therapeutic Topics work includes Antimicrobial Stewardship as a topic

UK one health report: antibiotics use in humans and animals, Public Health	No specific related review question.	Published 2015	Joint report on human and animal antibiotic use, sales and resistance in the UK in 2013	Recommendations 4, 6, 7, 8 and 10 are out of scope of NG15.
England & Veterinary Medicines Directorate, 2015			The report makes 10 recommendations: 1. All Salmonella species are sent to the	Recommendations 1, 2, 3, 5 and 9 are broadly
			relevant reference laboratories for speciation and antimicrobial susceptibility testing.	aligned with NG15.
			Development of a national sentinel surveillance system for Campylobacter.	The report is unlikely to affect NG15.
			A single standardised nationally agreed methodology for routine antibiotic testing.	
			Develop guidance related to recommended antibiotic and bacterial combinations.	
			Harmonised monitoring of antimicrobial resistance in Salmonella and Campylobacter.	
			Explore data available on human sales of antibiotics.	
			7. Veterinary Medicines Directorate (VMD) will conduct carbapenem resistance monitoring.	
			8. VMD will collect farm level data from the pig sector; and collect antibiotic consumption data at an individual farm level.	
			9. Activities should be enhanced through engagement with the European Antibiotic Awareness Day campaign and aligning training programmes for human and animal health professionals.	
			10. Produce a further report in 2 years on the burden of antimicrobial resistance in imported food animals.	

Tackling drug-resistant infections globally: final	No specific related review question.	Published 2016	The final report from the Review on Antimicrobial Resistance.	Recommendations 1, 2, 3, 6, 7, 8, 9 and 10 are
report and				out of scope of NG15.
recommendations, Review			Following 19 months of consultation and 8	Recommendations 4 and
on Antimicrobial Resistance			interim papers, this report sets out the Review	5 are broadly aligned
(O'Neill Review Team), 2016			on Antimicrobial Resistance's final	with NG15.
			recommendations to tackle antimicrobial	
			resistance.	The report is unlikely to
			The report makes 10 main recommendations:	affect NG15.
			A massive global public awareness	
			campaign	
			2. Improve hygiene and prevent the spread	
			of infection	
			Reduce unnecessary use of	
			antimicrobials in agriculture and their	
			dissemination into the environment	
			Improve global surveillance of drug	
			resistance in humans and animals	
			5. Promote new, rapid diagnostics to cut	
			unnecessary use of antibiotics	
			Promote the development and use of vaccines and alternatives	
			7. Improve the numbers, pay and	
			recognition of people working in	
			infectious disease	
			8. Establish a Global Innovation Fund for	
			early-stage and non-commercial	
			research	
			9. Better incentives to promote investment	
			for new drugs and improving existing	
			ones	
			Build a global coalition for real action –	
			via the G20 and the United Nations	

Government response to the Review on antimicrobial Resistance, Department of Health, September 2016	No specific related review question.	Published 2016	Government response to the above report. NICE is mentioned in relation to recommendation 5 of the above report: 'The Government is already working to promote point of care diagnostics as a key project in the UK antimicrobial resistance implementation plan, but recognises that a step change is necessary to accelerate this work. We will therefore work with relevant bodies, including Public Health England, NHS Improvement, NHS	There is no direct implication for NG15 and no impact is expected.
			England and the National Institute for Health and Care Excellence (NICE), in 2016/17 to explore the feasibility of assessing the effectiveness of existing diagnostics'	
Antimicrobial resistance local indicators, Public Health England	No specific related review question.	Last updated to add new indicators in October 2017	Local antimicrobial resistance data covering England which is refine-able and searchable. Antimicrobial resistance local indicators are publically available data intended to raise awareness of antibiotic prescribing; and to facilitate the development of local action plans. The data published in this tool may be used by healthcare staff, commissioners, directors of public health, academics and the public to compare the situation in their local area to the national picture.	A link to this tool will be added to NG15.

NIHR Signals

Document	Related review question	Status	Details	Impact on guideline recommendations
Carefully managed	No specific related review	Published	Stewardship programmes appear to halve the	This review is aligned with
antibiotic use could	question.	October 2017	incidence of multi-drug resistant gram-negative	NG15 in that
halve antibiotic-			bacteria, reduce the incidence of extended	commissioners should
resistant infections			spectrum beta-lactamase producing gram-negative	establish antibiotic
Based on: Baur D,			bacteria such as Escherichia coli and Clostridium difficile, and may reduce overall antibiotic	stewardship programmes in hospitals and primary
Gladstone BP,			resistance.	care.
Burkert F, et al.				
Effect of antibiotic stewardship on the				
incidence of infection				
and colonisation with				
antibiotic-resistant				
bacteria and				
Clostridium difficile				
infection: a meta-				
analysis. Lancet				
Infect Dis.				
2017;17(9):990-				
1001.				

Education targeted at both parents and GPs reduces antibiotic prescribing for children Based on: Hu Y, Walley J, Chou R, et al. Interventions to reduce childhood antibiotic prescribing for upper respiratory infections: metanalysis. J Epidemiol Community Health. 2016. [Epub ahead or print].	5.2 What interventions, systems and processes are effective and cost effective in reducing antimicrobial resistance without causing harm to patients?	Published August 2016	The interventions examined included a training session, prescribing feedback for clinicians, and leaflets and posters for parents. Interventions were associated with lower rates of antibiotic prescribing when compared to usual care.	The evidence is aligned with NG15 which already recommends training and feedback for prescribers, and information for patients.
Shared decision making in primary care can reduce antibiotic prescribing Based on: Coxeter P, Del Mar CB, McGregor L, et al. Interventions to facilitate shared decision making to address antibiotic use for acute respiratory infections in primary care. Cochrane Database Syst Rev. 2015;(11):CD010907	7.2 What interventions, systems and processes are effective and cost effective in overcoming the barriers to decision-making by health and social care practitioners when ensuring appropriate antimicrobial stewardship?	Published January 2016	This Signal is based on the Cochrane review by Coxeter et al. 2015, which is discussed in the table of Cochrane reviews above.	No impact on NG15 is expected.

Editorial and factual amendments

During surveillance of the guideline we identified the following issues that should be amended:

- In the box at the start of section 1 of the NICE version 'Recommendations', the following sentence will be added: 'This guideline should be read in conjunction with NICE's guideline on <u>antimicrobial stewardship: changing risk-related behaviours in the general population</u> and NICE guidance on <u>managing common infections</u>'.
- In recommendation 1.2.12, the hyperlinks to the <u>British National Formulary</u> (BNF) and <u>British National Formulary for</u>
 <u>Children</u> (BNFC) are broken and need correcting [correct pages <u>here</u> and <u>here</u> respectively]
- In section 2 of the NICE version 'Implementation: getting started':
 - The hyperlink to the TARGET toolkit is broken in 2 places and will be fixed [correct page now here]
 - A link will be added to the following resource: <u>Antimicrobial resistance local indicators</u> (Public Health England)
- In the <u>full guideline</u>, the following amends will be made:
 - The <u>Antimicrobial resistance local indicators</u> (Public Health England) will be added as an additional resource link under national prescribing data (page 11)
 - Reference to the Health and Social Care Information Centre (HSCIC) will be changed to NHS Digital (pages 11 and 94)