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2 **Sedation in children and young people**

3

1 Foreword

2 Advances in medicine over the last 20 years have increased the demand for invasive
3 investigations and procedures. The type of procedure can range from painless imaging that
4 requires immobility to painful or uncomfortable minor surgery. Whereas adults can cope with
5 these procedures, children often need more than simple reassurance and pain relief; they
6 need either sedation or anaesthesia. The problem with sedation is its unpredictability. If
7 managed well, it can be effective but sometimes it is not effective enough unless the doses
8 are increased and this risks causing unconsciousness and suppression of vital protective
9 reflexes leading to potentially dangerous hypoxia. If however, sedation is inadequate, the
10 distress can be remembered for a lifetime and make any subsequent procedure much more
11 difficult. There is a dilemma therefore between giving too much and too little. Anaesthesia, in
12 comparison, is reliable but involves specialist skills and facilities, and may not always be an
13 appropriate use of resources.

14 There is evidence that large numbers of children in the UK undergo single or repeated
15 procedures and the perception is that there is considerable variation in the services that are
16 provided. The common question asked is “What drugs are safe and effective?” and the
17 Scottish Guideline Network guideline published in 2007 reviewed the evidence and drew
18 useful conclusions. However, at the stakeholder meeting at the inception of this NICE guideline
19 a different concern was raised – “Healthcare practitioners need to be trained to use sedation
20 safely”. In other words, it became clear that the problem was less “What drugs?” but more
21 “Who can administer them?”. Indeed, if it can be agreed that a chosen drug technique is
22 effective, people need to know who can use it safely.

23 In consequence we have had two broad aims. Our first was to review the evidence of
24 efficacy and safety of common drug techniques, and our second was to form a consensus view
25 on what resources are necessary. This included not only the facilities, the equipment and the
26 staff, but also the training of staff to ensure that they have adequate knowledge, skills and
27 judgment.

28 Our Guideline Development Group (GDG) included doctors, nurses, dentists, radiographers,
29 anaesthetists and a psychologist, as well as the public, who were all expert and experienced
30 in working with children. We are especially grateful to our dentists who have been pioneers
31 in this field and to our parent representatives who made sure we considered the patient’s
32 perspective. In our discussions we soon realised that we would be unable to review and
33 advise on all aspects of sedation and we decided to limit our searches for evidence that
34 would help guide 90% of scenarios. Nevertheless we wanted to make clear statements of
35 principle that will be applicable and relevant to all situations.

36 We began by identifying key questions. We wanted to advise on how patients should be
37 assessed, prepared and managed, and to specify the necessary resources. The psychological
38 needs and behavioural management have also been considered. All these were tackled by
39 consensus methods. Other questions related to whether sedation drugs are effective and safe,

1 and we hoped that these could be answered from published evidence. There is a long list of
2 potentially useful drugs but we decided to choose drugs that were in common use in the UK,
3 and those that could be applied to the “90% of scenarios”. In particular we chose not to
4 review evidence for analgesia alone except for those that have a sedative component or
5 those that are commonly used in combination with another sedative.

6 When considering the safety of sedation the concepts of “consciousness”, “margin of safety”
7 and “target depth” are important. The ideal safe sedation technique is one that can be relied
8 upon to not cause sedation deeper than the target depth of moderate sedation (also known
9 as conscious sedation). At this level the patient responds to stimuli and vital reflexes are
10 active. Drugs with a wide margin of safety have a large difference between the doses that
11 cause moderate sedation and those that depress vital reflexes.

12 Propofol and sevoflurane are potent anaesthetic drugs that can be administered in small
13 doses to achieve short acting and controlled moderate sedation. It is debatable whether these
14 drugs can reliably sedate rather than stray unintentionally beyond the target depth into
15 anaesthesia. The truth probably depends upon the dose and the pain of the procedure, and
16 we decided to consider published evidence about these drugs provided the authors had the
17 intention of causing sedation.

18 Our technical team found surprisingly few high quality published reports and clinical trials.
19 This perhaps was due to the practical difficulties of enrolling sufficient numbers of children
20 into adequately controlled and blinded protocols. We have only considered efficacy data
21 from RCTs but used both cohort studies and RCTs for safety data.

22 Different procedures need different sedation techniques and we wanted to develop a
23 practical algorithm to facilitate effective and safe decisions. We limited ourselves to four
24 common scenarios and these are: short painful procedures in the emergency department,
25 gastrointestinal endoscopy, dental procedures and painless imaging. We are confident that
26 guidance for these can be applied to 90% of scenarios.

27 The cost-effectiveness of sedation has to be compared with anaesthesia. The “quality of
28 patient experience” is rarely published in clinical trials and when it did it was difficult to
29 interpret. The cost was the more measurable factor and was the cost of the healthcare
30 practitioners involved. However there was disagreement about whether or not the data
31 described the true “everyday” situation. If sedation fails, its cost must take into account the
32 cost of anaesthesia, and therefore we needed to take account of the failure rate that would
33 make the investment of an anaesthesia service worthwhile.

34 A change in sedation services to children has become necessary because demand has
35 increased and change is within our grasp if healthcare professionals work together to
36 improve standards. My GDG colleagues and I have been privileged to develop this guideline
37 and it is our sincerest hope that it will make a significant contribution to making diagnostic
38 and therapeutic procedures less distressing and safer for children and young people.

39 Mike Sury

40 Chair, Guideline Development Group

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28 PLEASE NOTE THE APPENDICES ARE AVAILABLE AS SEPARATE FILES.

29

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 2 **acknowledgments**

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1 **Guideline Review Panel**

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3 The Guideline Review Panel is an independent panel that oversees the development of the
4 guideline and takes responsibility for monitoring concordance to NICE guideline development
5 processes. In particular, the panel ensures that stakeholder comments have been adequately
6 considered and responded to. The panel includes members from the following perspectives.

7

1 Stakeholder Involvement

2

3 To be added after consultation

1 Abbreviations

AE	Adverse Event
A&E	Accident and Emergency
AGREE	Appraisal of Guidelines Research and Evaluation
ALS	Advanced Life Support
ANCOVA	Analysis of covariance
ASA	American Society of Anaesthesiologists
BNF	British National Formulary
BLS	Basic Life Support
CCA	Cost-consequences analysis
CEA	Cost-effectiveness analysis
CH	Chloral hydrate
CI	Confidence interval
CPR	Cardiopulmonary Resuscitation
CT	Computerised Tomography
CUA	Cost-utility analysis
DH	Department of Health
ED	Emergency Department
F	Fentanyl
GA	General Anaesthesia
GDG	Guideline Development Group
GI	Gastrointestinal
GP	General Practitioner
GRADE	Grading of Recommendations Assessment, Development and Evaluation
GRP	Guideline Review Panel
HRQL	Health-related quality of life
HTA	Health technology assessment
I	Isoflurane
ICC	Intraclass correlation coefficient
ICER	Incremental cost-effectiveness ratio
ILS	Intermediate Life Support

IM	Intramuscular
IN	Intranasal
INB	Incremental net benefit
Inh	Inhaled
IQR	Inter-quartile range
ITT	Intention to treat
IV	Intravenous
K	Ketamine
LA	Local anaesthesia
LOS	Length of Stay
LY	Life-year
M	Midazolam
MD	Mean Difference
MHRA	Medicines and Healthcare Products Regulatory Agency
MRI	Magnetic Resonance Imaging
MTC	Mixed-treatment comparisons
NCGC	National Clinical Guidelines Centre
NHS	National Health Service
NICE	National Institute for Health and Clinical Excellence
NNT	Number needed to treat
N2O	Nitrous Oxide
N2O+O2	Nitrous oxide and oxygen
O	Opioids
OGD	Oesophago-Gastro Duodenoscopy
OR	Odds ratio
P	Propofol
PASA	NHS Purchasing and Supply Agency
PICO	Framework incorporating patients, interventions, comparison and outcome
PIIP	Patient and Public Involvement Programme
PSA	Probabilistic sensitivity analysis
QALY	Quality-adjusted life year
RCA	Royal College of Anaesthetists
RCN	Royal College of Nursing
RCT	Randomised controlled trial
RR	Relative risk
RT	Radiotherapy
S	Sevoflurane
SD	Standard deviation

SR Systematic review
TS Triclofos sodium
vs. Versus

1 Glossary of Terms

Absolute risk reduction (Risk difference)	The difference in the risk of an event between two groups (one subtracted from the other) in a comparative study.
Abstract	Summary of a study, which may be published alone or as an introduction to a full scientific paper.
Adherence	The extent to which the patient's behaviour matches the prescriber's recommendations. Adherence emphasises the need for agreement and that the patient is free to decide whether or not to adhere to the doctor's recommendation ¹⁰⁶ .
Adjustment	A statistical procedure in which the effects of differences in composition of the populations being compared (or treatment given at the same time) have been minimised by statistical methods.
Administration of sedation	Administration of sedation refers to the administration (for example injection) of a sedation drug to a patient
Advanced Life Support	Advanced Life Support is the management of the child or young person who is deteriorating, in respiratory arrest or in cardiac arrest. Senior healthcare professionals (doctors, nurses, paramedics) work together in a structured team environment in managing the child or young person, with advanced skills in airway management and ventilation, chest compression, administration of life support drugs and support to the child or young person's family/carers.
Algorithm (in guidelines)	A flow chart of the clinical decision pathway described in the guideline, where decision points are represented with boxes, linked with arrows.
Allocation concealment	The process used to prevent advance knowledge of group assignment in a RCT. The allocation process should be impervious to any influence by the individual making the allocation, by being administered by someone who is not responsible for recruiting participants.
Alternative (dental) sedation techniques	Term used in dentistry to describe sedation techniques other than standard dental sedation techniques (for example, nitrous oxide alone or benzodiazepine) where a drug or drug combinations are used with the intention of producing conscious sedation only. These techniques should carry a margin of safety wide enough for the unintended loss of consciousness to be unlikely.

Anaesthetic agent	A drug used to cause general anaesthesia. Anaesthetic agents are potent and reliably cause anaesthesia but they may be given in low or "sub-anaesthetic" doses to cause sedation. Sedation techniques using anaesthetic agents have been called "narrow margin of safety" techniques because the difference between the sedation dose and the anaesthesia dose is small.
Applicability	The degree to which the results of an observation, study or review are likely to hold true in a particular clinical practice setting.
Appraisal of Guidelines Research and Evaluation (AGREE)	An international collaboration of researchers and policy makers whose aim is to improve the quality and effectiveness of clinical practice guidelines (http://www.agreecollaboration.org). The AGREE instrument, developed by the group, is designed to assess the quality of clinical guidelines.
Arm (of a clinical study)	Sub-section of individuals within a study who receive one particular intervention, for example placebo arm
Association	Statistical relationship between two or more events, characteristics or other variables. The relationship may or may not be causal.
Audit	See 'Clinical audit'.
Baseline	The initial set of measurements at the beginning of a study (after the run-in period where applicable), with which subsequent results are compared.
Basic Life Support	Basic Life Support (in hospital) is the maintenance of a child or young person's airway and support of breathing and the circulation using mask ventilation, simple airway devices or pocket mask. A combination of expired air ventilation (rescue breathing) and chest compression is known as cardiopulmonary resuscitation (CPR).
Bias	Systematic (as opposed to random) deviation of the results of a study from the 'true' results that is caused by the way the study is designed or conducted.
Blinding (masking)	Keeping the study participants, caregivers, researchers and outcome assessors unaware about the interventions to which the participants have been allocated in a study.
Capital costs	Costs of purchasing major capital assets (usually land, buildings or equipment). Capital costs represent investments at one point in time.
Carer (caregiver)	Someone other than a health professional who is involved in caring for a person with a medical condition.
Case-control study	Comparative observational study in which the investigator selects individuals who have experienced an event (For example, developed a disease) and others who have not (controls), and then collects data to determine previous exposure to a possible cause.
Case series	Report of a number of cases of a given disease, usually covering the course of the disease and the response to treatment. There is no comparison (control) group of patients.

Clinical audit	A quality improvement process that seeks to improve patient care and outcomes through systematic review of care against explicit criteria and the implementation of change.
Clinical efficacy	The extent to which an intervention is active when studied under controlled research conditions.
Clinical effectiveness	The extent to which an intervention produces an overall health benefit in routine clinical practice.
Clinical impact	The effect that a guideline recommendation is likely to have on the treatment or treatment outcomes of the target population.
Clinical question	In guideline development, this term refers to the questions about treatment and care that are formulated to guide the development of evidence-based recommendations.
Clinician	A healthcare professional providing direct patient care, for example doctor, nurse or physiotherapist.
Cluster	A closely grouped series of events or cases of a disease or other related health phenomena with well-defined distribution patterns, in relation to time or place or both. Alternatively, a grouped unit for randomisation.
Cochrane Library	A regularly updated electronic collection of evidence-based medicine databases including the Cochrane Database of Systematic Reviews.
Cochrane Review	A systematic review of the evidence from randomised controlled trials relating to a particular health problem or healthcare intervention, produced by the Cochrane Collaboration. Available electronically as part of the Cochrane Library.
Cohort study	A retrospective or prospective follow-up study. Groups of individuals to be followed up are defined on the basis of presence or absence of exposure to a suspected risk factor or intervention. A cohort study can be comparative, in which case two or more groups are selected on the basis of differences in their exposure to the agent of interest.
Co-morbidity	Co-existence of more than one disease or an additional disease (other than that being studied or treated) in an individual.
Comparability	Similarity of the groups in characteristics likely to affect the study results (such as health status or age).
Compliance	The extent to which a person adheres to the health advice agreed with healthcare professionals. May also be referred to as 'adherence' or 'concordance'.
Concordance	This is a recent term whose meaning has changed. It was initially applied to the consultation process in which doctor and patient agree therapeutic decisions that incorporate their respective views, but now includes patient support in medicine taking as well as prescribing communication. Concordance reflects social values but does not address medicine-taking and may not lead to improved adherence.

Conference proceedings	Compilation of papers presented at a conference.
Confidence interval (CI)	A range of values for an unknown population parameter with a stated 'confidence' (conventionally 95%) that it contains the true value. The interval is calculated from sample data, and generally straddles the sample estimate. The 'confidence' value means that if the method used to calculate the interval is repeated many times, then that proportion of intervals will actually contain the true value.
Confounding	In a study, confounding occurs when the effect of an intervention on an outcome is distorted as a result of an association between the population or intervention or outcome and another factor (the 'confounding variable') that can influence the outcome independently of the intervention under study.
Conscious sedation	Drug-induced depression of consciousness, similar to moderate sedation, except that verbal contact is always maintained with the patient. This term is used commonly in dentistry. See Moderate sedation-
Consensus methods	Techniques that aim to reach an agreement on a particular issue. Formal consensus methods include Delphi and nominal group techniques, and consensus development conferences. In the development of clinical guidelines, consensus methods may be used where there is a lack of strong research evidence on a particular topic. Expert consensus methods will aim to reach agreement between experts in a particular field.
Control group	A group of patients recruited into a study that receives no treatment, a treatment of known effect, or a placebo (dummy treatment), in order to provide a comparison for a group receiving an experimental treatment, such as a new drug.
Controlled clinical trial (CCT)	A study testing a specific drug or other treatment involving two (or more) groups of patients with the same disease. One (the experimental group) receives the treatment that is being tested, and the other (the comparison or control group) receives an alternative treatment, a placebo (dummy treatment) or no treatment. The two groups are followed up to compare differences in outcomes to see how effective the experimental treatment was. A CCT where patients are randomly allocated to treatment and comparison groups is called a randomised controlled trial.
Cost benefit analysis	A type of economic evaluation where both costs and benefits of healthcare treatment are measured in the same monetary units. If benefits exceed costs, the evaluation would recommend providing the treatment.
Cost-consequences analysis (CCA)	A type of economic evaluation where various health outcomes are reported in addition to cost for each intervention, but there is no overall measure of health gain.

Cost-effectiveness analysis (CEA)	An economic study design in which consequences of different interventions are measured using a single outcome, usually in 'natural' units (For example, life-years gained, deaths avoided, heart attacks avoided, cases detected). Alternative interventions are then compared in terms of cost per unit of effectiveness.
Cost-effectiveness model	An explicit mathematical framework, which is used to represent clinical decision problems and incorporate evidence from a variety of sources in order to estimate the costs and health outcomes.
Cost-utility analysis (CUA)	A form of cost-effectiveness analysis in which the units of effectiveness are quality-adjusted life-years (QALYs).
Credible interval	The Bayesian equivalent of a confidence interval.
Decision analysis	An explicit quantitative approach to decision making under uncertainty, based on evidence from research. This evidence is translated into probabilities, and then into diagrams or decision trees which direct the clinician through a succession of possible scenarios, actions and outcomes.
Decision problem	A clear specification of the interventions, patient populations and outcome measures and perspective adopted in an evaluation, with an explicit justification, relating these to the decision which the analysis is to inform.
Deep sedation	Drug-induced depression of consciousness during which patients are asleep and cannot be easily roused but do respond purposefully to repeated or painful stimulation. The ability to maintain ventilatory function independently may be impaired. Patients may require assistance in maintaining a patent airway. Spontaneous ventilation may be inadequate. Cardiovascular function is usually maintained.
Delivery of sedation	Delivery of sedation refers to an health care professional or team of health care professionals involved in the direct care of a sedated patient (it includes assisting in the administration of sedation and also monitoring and recovery)
Discounting	Costs and perhaps benefits incurred today have a higher value than costs and benefits occurring in the future. Discounting health benefits reflects individual preference for benefits to be experienced in the present rather than the future. Discounting costs reflects individual preference for costs to be experienced in the future rather than the present.
Dissociative sedation	A trance-like cataleptic state, with profound analgesia, sedation and amnesia, immobility, preservation of airway reflexes, and (generally) spontaneous respiration and cardiovascular stability.
Dominance	An intervention is said to be dominated if there is an alternative intervention that is both less costly and more effective.

Dosage	The prescribed amount of a drug to be taken, including the size and timing of the doses.
Double blind/masked study	A study in which neither the subject (patient) nor the observer (investigator/clinician) is aware of which treatment nor intervention the subject is receiving. The purpose of blinding/masking is to protect against bias.
Drop-out	A participant who withdraws from a clinical trial before the end.
Economic evaluation	Comparative analysis of alternative health strategies (interventions or programmes) in terms of both their costs and consequences.
Effect (as in effect measure, treatment effect, estimate of effect, effect size)	The observed association between interventions and outcomes or a statistic to summarise the strength of the observed association.
Effectiveness	See 'Clinical effectiveness'.
Efficacy	See 'Clinical efficacy'.
Epidemiological study	The study of a disease within a population, defining its incidence and prevalence and examining the roles of external influences (for example, infection, diet) and interventions.
Equity	Fair distribution of resources or benefits.
Evidence	Information on which a decision or guidance is based. Evidence is obtained from a range of sources including randomised controlled trials, observational studies, expert opinion (of clinical professionals and/or patients).
Evidence table	A table summarising the results of a collection of studies which, taken together, represent the evidence supporting a particular recommendation or series of recommendations in a guideline.
Exclusion criteria (literature review)	Explicit standards used to decide which studies should be excluded from consideration as potential sources of evidence.
Exclusion criteria (clinical study)	Criteria that define who is not eligible to participate in a clinical study.
Expert consensus	See 'Consensus methods'.
Extended dominance	If Option A is both more clinically effective than Option B and has a lower cost per unit of effect, when both are compared with a do-nothing alternative then Option A is said to have extended dominance over Option B. Option A is therefore more efficient and should be preferred, other things remaining equal.
Extrapolation	In data analysis, predicting the value of a parameter outside the range of observed values.

Follow up	Observation over a period of time of an individual, group or initially defined population whose appropriate characteristics have been assessed in order to observe changes in health status or health-related variables.
General anaesthesia	Drug-induced loss of consciousness during which patients are not rousable, even by painful stimulation. Patients often require assistance in maintaining a patent airway. Ventilatory function is often impaired. Positive pressure ventilation may be required because of depressed spontaneous ventilation or drug-induced depression of neuromuscular function. Cardiovascular function may be impaired.
Generalisability	The extent to which the results of a study based on measurement in a particular patient population and/or a specific context hold true for another population and/or in a different context. In this instance, this is the degree to which the guideline recommendation is applicable across both geographical and contextual settings. For instance, guidelines that suggest substituting one form of labour for another should acknowledge that these costs might vary across the country.
Gold standard	See 'Reference standard'.
Goodness-of-fit	How well a statistical model or distribution compares with the observed data.
Grey literature	Reports that are unpublished or have limited distribution, and are not included in the common bibliographic retrieval systems.
Harms	Adverse effects of an intervention.
Healthcare professional	For the purposes of this guideline the term 'healthcare professional' refers to a trained, registered and licensed to practice in the UK and is an individual involved in the care of a sedated patient; this includes doctors, dentists or nurses.
Healthcare professional trained in delivering anaesthetic agents	A healthcare professional with an appropriate skill set who has undertaken specific training in the use of one of more anaesthetic agents to be used for sedation.
Health economics	The study of the allocation of scarce resources among alternative healthcare treatments. Health economists are concerned with both increasing the average level of health in the population and improving the distribution of health.
Health-related quality of life	A combination of an individual's physical, mental and social well-being; not merely the absence of disease.

Heterogeneity	Or lack of homogeneity. The term is used in meta-analyses and systematic reviews when the results or estimates of effects of treatment from separate studies seem to be very different – in terms of the size of treatment effects or even to the extent that some indicate beneficial and others suggest adverse treatment effects. Such results may occur as a result of differences between studies in terms of the patient populations, outcome measures, definition of variables or duration of follow-up.
Homogeneity	This means that the results of studies included in a systematic review or meta-analysis are similar and there is no evidence of heterogeneity. Results are usually regarded as homogeneous when differences between studies could reasonably be expected to occur by chance.
Hypothesis	A supposition made as a starting point for further investigation.
Inclusion criteria (literature review)	Explicit criteria used to decide which studies should be considered as potential sources of evidence.
Incremental analysis	The analysis of additional costs and additional clinical outcomes with different interventions.
Incremental cost	The mean cost per patient associated with an intervention minus the mean cost per patient associated with a comparator intervention.
Incremental cost effectiveness ratio (ICER)	The difference in the mean costs in the population of interest divided by the differences in the mean outcomes in the population of interest for one treatment compared with another. $ICER = (Cost_A - Cost_B) / (Effectiveness_A - Effectiveness_B)$.
Incremental net benefit (INB)	The value (usually in monetary terms) of an intervention net of its cost compared with a comparator intervention. The INB can be calculated for a given cost-effectiveness (willingness to pay) threshold. If the threshold is £20,000 per QALY gained then the INB is calculated as: (£20,000 x QALYs gained) – Incremental cost.
Index	In epidemiology and related sciences, this word usually means a rating scale, for example, a set of numbers derived from a series of observations of specified variables. Examples include the various health status indices, and scoring systems for severity or stage of cancer.
Indication (specific)	The defined use of a technology as licensed by the Medicines and Healthcare products Regulatory Agency (MHRA).
Intention-to-treat analysis (ITT analysis)	An analysis of the results of a clinical study in which the data are analysed for all study participants as if they had remained in the group to which they were randomised, regardless of whether or not they remained in the study until the end, crossed over to another treatment or received an alternative intervention.

Intermediate Life Support	Intermediate Life Support is the initiation of cardio-pulmonary resuscitation in the clinical setting, including effective chest compressions and ventilation and early safe defibrillation. Those healthcare professionals with intermediate life support skills are able to utilise a wider range of life support adjuncts (such as the laryngeal mask) and should also recognise the child or young person who is at risk of deterioration, therefore preventing cardiac arrest.
Intermediate outcomes	Outcomes that are related to the outcome of interest but may be more easily assessed within the context of a clinical study: for example, intraocular pressure reduction is related to the risk of conversion to COAG or COAG progression.
Internal validity	The degree to which the results of a study are likely to approximate the 'truth' for the participants recruited in a study (that is, are the results free of bias?). It refers to the integrity of the design and is a prerequisite for applicability (external validity) of a study's findings. See 'External validity'.
Intervention	Healthcare action intended to benefit the patient, for example, drug treatment, surgical procedure, psychological therapy.
Intraoperative	The period of time during a surgical procedure.
Kappa statistic	An index which compares the agreement against that which might be expected by chance
Length of stay	The total number of days a participant stays in hospital.
Licence	See 'Product licence'.
Life-years gained	Mean average years of life gained per person as a result of the intervention compared with an alternative intervention.
Literature review	An article that summarises the evidence contained in a number of different individual studies and draws conclusions about their findings. It may or may not be systematically researched and developed.
Margin of safety	A term used to describe the difference in the dose of a sedation drug, or combination of drugs, that causes moderate sedation as opposed to deep sedation or anaesthesia.
Markov model	A method for estimating long term costs and effects for recurrent or chronic conditions, based on health states and the probability of transition between them within a given time period (cycle).
Medical devices	All products, except medicines, used in healthcare for the diagnosis, prevention, monitoring or treatment of illness or handicap.
Medicines and Healthcare Products Regulatory Agency (MHRA)	The Executive Agency of the Department of Health protecting and promoting public health and patient safety by ensuring that medicines, healthcare products and medical equipment meet appropriate standards of safety, quality, performance and effectiveness, and are used safely.

Meta-analysis	A statistical technique for combining (pooling) the results of a number of studies that address the same question and report on the same outcomes to produce a summary result. The aim is to derive more precise and clear information from a large data pool. It is generally more reliably likely to confirm or refute a hypothesis than the individual trials.
Minimal sedation	A drug-induced state during which patients are awake and calm, and respond normally to verbal commands. Although cognitive function and coordination may be impaired, ventilatory and cardiovascular functions are unaffected.
Moderate sedation	Drug-induced depression of consciousness during which patients are sleepy but respond purposefully to verbal commands (known as conscious sedation in dentistry), or light tactile stimulation (reflex withdrawal from a painful stimulus is not a purposeful response). No interventions are required to maintain a patent airway. Spontaneous ventilation is adequate. Cardiovascular function is usually maintained.
Multivariate model	A statistical model for analysis of the relationship between two or more predictor (independent) variables and the outcome (dependent) variable.
Narrative summary	Summary of findings given as a written description.
Number needed to treat (NNT)	The number of patients that who on average must be treated to prevent a single occurrence of the outcome of interest.
Observational study	Retrospective or prospective study in which the investigator observes the natural course of events with or without control groups; for example, cohort studies and case–control studies.
Odds ratio	A measure of treatment effectiveness. The odds of an event happening in the treatment group, expressed as a proportion of the odds of it happening in the control group. The 'odds' is the ratio of events to non-events.
Off-label	A drug or device used treat a condition or disease for which it is not specifically licensed.
Older people	People over the age of 65 years.
Operating costs	Ongoing costs of carrying out an intervention, excluding capital costs.
Opportunity cost	The opportunity cost of investing in a healthcare intervention is the loss of other healthcare programmes that are displaced by its introduction. This may be best measured by the health benefits that could have been achieved had the money been spent on the next best alternative healthcare intervention.
Outcome	Measure of the possible results that may stem from exposure to a preventive or therapeutic intervention. Outcome measures may be intermediate endpoints or they can be final endpoints. See 'Intermediate outcome'.

P value	The probability that an observed difference could have occurred by chance, assuming that there is in fact no underlying difference between the means of the observations. If the probability is less than 1 in 20, the P value is less than 0.05; a result with a P value of less than 0.05 is conventionally considered to be 'statistically significant'.
Peer review	A process where research is scrutinised by experts that have not been involved in the design or execution of the studies.
Perioperative	The period from admission through surgery until discharge, encompassing preoperative and post-operative periods.
Placebo	An inactive and physically identical medication or procedure used as a comparator in controlled clinical trials.
Placebo effect	A beneficial (or adverse) effect produced by a <i>placebo</i> and not due to any property of the <i>placebo</i> itself.
Postoperative	Pertaining to the period after patients leave the operating theatre, following surgery.
Preoperative	Pertaining to the period before surgery commences.
Primary care	Healthcare delivered to patients outside hospitals. Primary care covers a range of services provided by GPs, nurses and other healthcare professionals, dentists, pharmacists and opticians.
Primary research	Study generating original data rather than analysing data from existing studies (which is called secondary research).
Product licence	An authorisation from the MHRA to market a medicinal product.
Prognosis	A probable course or outcome of a disease. Prognostic factors are patient or disease characteristics that influence the course. Good prognosis is associated with low rate of undesirable outcomes; poor prognosis is associated with a high rate of undesirable outcomes.
Prospective study	A study in which people are entered into the research and then followed up over a period of time with future events recorded as they happen. This contrasts with studies that are <i>retrospective</i> .
Qualitative research	Research concerned with subjective outcomes relating to social, emotional and experiential phenomena in health and social care.
Quality of life	See 'Health-related quality of life'.
Quality-adjusted life year (QALY)	An index of survival that is adjusted to account for the patient's quality of life during this time. QALYs have the advantage of incorporating changes in both quantity (longevity/mortality) and quality (morbidity, psychological, functional, social and other factors) of life. Used to measure benefits in cost-utility analysis. The QALYs gained are the mean QALYs associated with one treatment minus the mean QALYs associated with an alternative treatment.

Quantitative research	Research that generates numerical data or data that can be converted into numbers, for example clinical trials or the national Census which counts people and households.
Quick Reference Guide	An abridged version of NICE guidance, which presents the key priorities for implementation and summarises the recommendations for the core clinical audience.
Randomisation	Allocation of participants in a research study to two or more alternative groups using a chance procedure, such as computer-generated random numbers. This approach is used in an attempt to ensure there is an even distribution of participants with different characteristics between groups and thus reduce sources of bias.
Randomised controlled trial (RCT)	A comparative study in which participants are randomly allocated to intervention and control groups and followed up to examine differences in outcomes between the groups.
RCT	See 'Randomised controlled trial'.
Reference standard	The test that is considered to be the best available method to establish the presence or absence of the outcome – this may not be the one that is routinely used in practice.
Relative risk (RR)	The number of times more likely or less likely an event is to happen in one group compared with another (calculated as the risk of the event in group A/the risk of the event in group B).
Remit	The brief given by the Department of Health and Welsh Assembly Government at the beginning of the guideline development process. This defines core areas of care that the guideline needs to address.
Resource implication	The likely impact in terms of finance, workforce or other NHS resources.
Retrospective study	A retrospective study deals with the present/ past and does not involve studying future events. This contrasts with studies that are <i>prospective</i> .
Secondary benefits	Benefits resulting from a treatment in addition to the primary, intended outcome.
Sedation	Sedation is a state of depressed consciousness. There are depths or levels of sedation that range from minor to major depression of consciousness. Whereas depression of consciousness is a continuum, with no clear boundaries between levels, three levels of sedation have been defined and are in common use: minimal, moderate and deep sedation; they are recommended internationally ^{1,6,44,196} . The target level of sedation is the level that is intended for the patient. The level of sedation can vary according to the drug, the dose, the patient and the stimulus of the procedure. The level of sedation varies over time due to two main factors: the change in the concentration of the sedation drug within the patient and the variation in the stimulation that opposes sedation.

Sedation, administration of	See 'administration of sedation'.
Sedation, delivery of	See 'delivery of sedation'.
Sedation team	A team of health care professionals who are trained to administer sedation drugs and deliver sedation care.
Sedation nurse	A registered nurse trained to both deliver sedation and manage the sedated patient.
Sedationist	A healthcare professional who is trained to both deliver sedation and manage the sedated patient.
Sedative	A drug that causes minimal, moderate or deep sedation. All sedation drugs have a variable effect on conscious level. Some sedation drugs may either not be effective enough or cause sedation deeper than the intended target level. High or excessive doses of drugs may cause unintended deep sedation or anaesthesia. Sedation drugs or techniques that are unlikely to cause anaesthesia have been called drugs with a "wide margin of safety" because they are unlikely to cause appreciable depression of airway reflexes or breathing.
Selection bias (also allocation bias)	A systematic bias in selecting participants for study groups, so that the groups have differences in prognosis and/or therapeutic sensitivities at baseline. Randomisation (with concealed allocation) of patients protects against this bias.
Selection criteria	Explicit standards used by guideline development groups to decide which studies should be included and excluded from consideration as potential sources of evidence.
Sensitivity	Sensitivity or recall rate is the proportion of true positives which are correctly identified as such. For example in diagnostic testing it is the proportion of true cases that the test detects. See the related term 'Specificity'.

Sensitivity analysis	<p>A means of representing uncertainty in the results of economic evaluations. Uncertainty may arise from missing data, imprecise estimates or methodological controversy. Sensitivity analysis also allows for exploring the generalisability of results to other settings. The analysis is repeated using different assumptions to examine the effect on the results.</p> <p>One-way simple sensitivity analysis (univariate analysis): each parameter is varied individually in order to isolate the consequences of each parameter on the results of the study.</p> <p>Multi-way simple sensitivity analysis (scenario analysis): two or more parameters are varied at the same time and the overall effect on the results is evaluated.</p> <p>Threshold sensitivity analysis: the critical value of parameters above or below which the conclusions of the study will change are identified.</p> <p>Probabilistic sensitivity analysis: probability distributions are assigned to the uncertain parameters and are incorporated into evaluation models based on decision analytical techniques (For example, Monte Carlo simulation).</p>
Specialist in Sedation	<p>Healthcare professional trained and experienced in delivering sedation using alternative or complex sedation techniques and/or in children and young people with more complex medical conditions.</p>
Specialist sedation techniques	<p>Sedation techniques that have a reduced margin of safety and increased risk of unintended deep sedation or anaesthesia, accompanied by airway obstruction and/or inadequate spontaneous ventilation. Healthcare professionals using specialist sedation techniques need to be trained to administer sedation drugs safely, to monitor the effects of the drug and to use equipment to maintain a patent airway and adequate respiration.</p>
Specialist sedation team	<p>A sedation team trained to administer complex or alternative sedation techniques and/or delivering sedation in children and young people with more complex medical conditions.</p>
Specificity	<p>The proportion of true negatives that a correctly identified as such. For example in diagnostic testing the specificity is the proportion of non-cases incorrectly diagnosed as cases.</p> <p>See related term 'Sensitivity'.</p> <p>In terms of literature searching a highly specific search is generally narrow and aimed at picking up the key papers in a field and avoiding a wide range of papers.</p>
Stakeholder	<p>Those with an interest in the use of the guideline. Stakeholders include manufacturers, sponsors, healthcare professionals, and patient and carer groups.</p>
Standard sedation techniques	<p>Sedation techniques that have a wide margin of safety and therefore are unlikely to cause deep sedation.</p>

Statistical power	The ability to demonstrate an association when one exists. Power is related to sample size; the larger the sample size, the greater the power and the lower the risk that a possible association could be missed.
Synthesis of evidence	A generic term to describe methods used for summarising (comparing and contrasting) evidence into a clinically meaningful conclusion in order to answer a defined clinical question. This can include systematic review (with or without meta-analysis), qualitative and narrative summaries.
Systematic review	Research that summarises the evidence on a clearly formulated question according to a pre-defined protocol using systematic and explicit methods to identify, select and appraise relevant studies, and to extract, collate and report their findings. It may or may not use statistical meta-analysis.
Time horizon	The time span used in the NICE appraisal which reflects the period over which the main differences between interventions in health effects and use of healthcare resources are expected to be experienced, and taking into account the limitations of supportive evidence.
Trained Psychosocial professionals	This is a generic term used to refer to health care professionals, such as play specialists, paediatric nurses, health psychologists, child life specialists (USA only) that are utilised as part of the health care team in a variety of different health care settings. Their training will include knowledge and skills in child development, preparation for sedation, anaesthesia and medical procedures. The list of professionals here is indicative not exhaustive, and training covers key areas relevant to this guideline only.
Treatment allocation	Assigning a participant to a particular arm of the trial.
Treatment options	The choices of intervention available.
Utility	A measure of the strength of an individual's preference for a specific health state in relation to alternative health states. The utility scale assigns numerical values on a scale from 0 (death) to 1 (optimal or 'perfect' health). Health states can be considered worse than death and thus have a negative value.

1 Introduction

2 1.1 What is a guideline?

3 Our clinical guidelines are recommendations for the care of individuals in specific clinical
4 conditions or circumstances within the National Health Service (NHS) – from prevention
5 and self-care through primary and secondary care to more specialised services. We
6 base our clinical guidelines on the best available research evidence, with the aim of
7 improving the quality of health care. We use predetermined and systematic methods to
8 identify and evaluate the evidence relating to specific clinical questions.

9 Clinical guidelines can:

- 10 • provide recommendations for the treatment and care of people by health
11 professionals
- 12 • be used to develop standards to assess the clinical practice of individual health
13 professionals
- 14 • be used in the education and training of health professionals
- 15 • help patients to make informed decisions
- 16 • improve communication between patient and health professional

17 While guidelines assist the practice of healthcare professionals, they do not replace their
18 knowledge and skills.

19 We produce our guidelines using the following steps:

- 20 • Guideline topic is referred to the National Institute for Health and Clinical
21 Excellence (NICE) from the Department of Health
- 22 • Stakeholders register an interest in the guideline and are consulted throughout
23 the development process.
- 24 • The scope is prepared by the National Clinical Guideline Centre (NCGC)
- 25 • The NCGC establish a guideline development group

- 1 • A draft guideline is produced after the group assesses the available evidence
- 2 and makes recommendations
- 3 • There is a consultation on the draft guideline.
- 4 • The final guideline is produced.

5 The National Clinical Guideline Centre and NICE produce a number of versions of this
6 guideline:

- 7 • the **full guideline** contains all the recommendations, plus details of the methods
- 8 used and the underpinning evidence
- 9 • the **NICE guideline** presents the recommendations from the full version in a
- 10 format suited to implementation by health professionals and NHS bodies
- 11 • the **quick reference guide** presents recommendations in a suitable format for
- 12 health professionals
- 13 • information for the public (**'understanding NICE guidance'**) is written using
- 14 suitable language for people without specialist medical knowledge.

15 This version is the full version. The other versions are available from NICE
16 www.NICE.org.uk.

17

18 **1.2 The need for this guideline**

19 Many children present to hospitals and dental clinics needing effective sedation or
20 anaesthesia for painful or distressing diagnostic or therapeutic procedures. There are
21 many sedation techniques available but there is insufficient guidance on which techniques
22 are effective and what resources are required to deliver them safely. Sedation is not
23 always effective enough and will occasionally require the procedure to be delayed until
24 the child can be anaesthetised perhaps in another healthcare setting or on another day.
25 Consequently sedation failure is both distressing for the child and has major NHS cost
26 implications. Excessive doses of sedation can cause unintended loss of consciousness and
27 dangerous hypoxia. In comparison, planned anaesthesia is effective, but may have
28 resource implications. The need for sedation or anaesthesia will depend upon the type of
29 procedure. Some types of procedures are very common and healthcare providers and
30 practitioners need to understand whether sedation or anaesthesia is the most cost
31 effective method of managing them

32

33 **1.3 The National Clinical Guideline Centre**

34 This guideline was commissioned by NICE and developed by the NCGC. The NCGC is
35 one of four national collaborating centres (Cancer, Women and Children's Health,
36 Mental Health and the NCGC) funded by NICE and comprises a partnership between a
37 variety of academic, professional and patient-based organisations. As a multidisciplinary
38 centre we draw upon the expertise of the healthcare professions and academics and
39 ensure the involvement of patients in our work.

1

2 **1.4 Remit**

3 The following remit was received by the NCGC from the Department of Health in March
4 2008 as part of NICE's 18th wave programme of work.

5 The Department of Health asked NICE:

6 **“To prepare a clinical guideline on sedation for diagnostic and therapeutic
7 procedures in infants, children and young people up to the age of 19.”**

8 **1.5 What the guideline covers**9 **Clinical need for the guideline:**

- 10
- 11 • In adults, many procedures can be undertaken with local anaesthesia and
12 reassurance. In children and young people this is often not possible because the
13 procedures are too frightening, too painful and need to be carried out in children
14 who may be ill, or in pain or have behavioural problems. Therefore special
15 consideration is necessary for children and young people undergoing procedures
that may cause distress.

 - 16 • It is estimated that more than 2 million children and young people are taken to
17 emergency departments each year following accidental injury. Many of these
18 children and young people will undergo procedures that require sedation. For
19 example, in 2005–6 there were 866 children aged 14 and younger who
20 required a closed reduction of a dislocated joint. Sedation is also frequently used
21 for invasive diagnostic procedures such as lumbar punctures, bone marrow
22 biopsies and endoscopies. In 2005–6 there were 4700 gastroscopies, 9000
23 diagnostic spinal punctures and 2100 bone marrow biopsies carried out on
24 children aged 14 and younger. Sedation is also commonly used in dental
25 practice where the use of general anaesthesia is now restricted to the hospital
26 setting.

 - 27 • Sedation is only one of the management options available for children and
28 young people undergoing therapeutic or diagnostic procedures. Non-
29 pharmacological techniques may also be useful in reducing anxiety and
30 managing behaviour, and analgesia may be used to provide pain control. These
31 techniques may be used in combination with sedation or as an alternative to
32 sedation. Another alternative to using sedation for diagnostic or therapeutic
33 procedures is to carry out the procedure under general anaesthesia, in which
34 case the usual standards of care for patients undergoing anaesthesia must be
35 met.

 - 36 • Sedation is a drug-induced depression of consciousness. The aims of sedation
37 during diagnostic or therapeutic procedures may include reducing fear and
38 anxiety, providing pain control and minimising movement. The importance of each
39 of these aims will vary depending on the nature of the procedure and the
40 characteristics of the patient. For example, in younger children sedation may be
41 necessary to ensure that movement is minimised during non-painful procedures
42 such as a magnetic resonance imaging (MRI) scan; in older children sedation may

- 1 be necessary to minimise the physical and psychological consequences of a
 2 painful procedure such as a lumbar puncture.
- 3 • The effect of sedation drugs on consciousness level is a continuum ranging from
 4 the awake state, through progressively deeper levels of sedation to anaesthesia.
 5 Anaesthesia is an unresponsive state in which vital airway and breathing reflexes
 6 are likely to be suppressed. The American Society of Anesthesiologists (ASA) has
 7 published useful definitions of sedation levels, classifying them as ‘minimal’,
 8 ‘moderate’ and ‘deep’. Minimal sedation equates to anxiolysis and has no
 9 appreciable effect on vital reflexes. In a state of moderate sedation the patient
 10 is able to breathe adequately without assistance and responds purposefully to
 11 verbal stimulus or tactile stimulation. This is often referred to as conscious
 12 sedation. During deep sedation, the patient cannot be roused easily but will
 13 respond purposefully to repeated or painful stimuli and may require assistance
 14 with their airway or breathing. The level of sedation that is appropriate will
 15 depend on the nature of the procedure and the needs of the individual. Deeper
 16 levels of sedation require more advanced management because the patient’s
 17 protective reflexes are affected and they have the potential to progress to
 18 anaesthesia.
 - 19 • The level of sedation achieved depends on the drug used and the dose at which
 20 it is given. When choosing between sedation techniques, healthcare professionals
 21 must consider the effectiveness of the drug in achieving the required level of
 22 sedation, the duration of that effect, and the margin of safety between the dose
 23 required to achieve sedation and the dose that is likely to cause anaesthesia.
 - 24 • There may be serious adverse effects if the level of sedation is greater than
 25 intended. If breathing is unintentionally depressed and this complication is not
 26 recognised and managed appropriately, then this may lead to hypoxic brain
 27 injury or death. Sedation drugs may also have other unexpected adverse effects
 28 such as prolonged emergence, paradoxical excitement or post-sedation nausea
 29 and vomiting.
 - 30 • If sedation is unsuccessful, this can result in a painful and traumatic experience for
 31 the child. It may be necessary to complete the procedure under general
 32 anaesthesia or the procedure may need to be abandoned and rescheduled. If
 33 the child becomes distressed due to a failure to provide adequate sedation, their
 34 parent or carer may choose to refuse consent for further procedures. A
 35 distressing experience may also have long-term psychological consequences for
 36 the patient, especially if they are required to undergo repeated procedures.
 - 37 • There is significant variation in practice across the NHS, with sedation being
 38 carried out by a variety of healthcare professionals using a wide range of
 39 techniques, within different clinical settings. The Scottish Intercollegiate Guidelines
 40 Network (SIGN) published a guideline on this topic in 2004. This covered
 41 moderate sedation but not deep sedation, and the evidence base it considered
 42 has not been updated since 2002. The aim of this guideline is to provide
 43 recommendations to both improve the effectiveness and safety of all types of
 44 procedural sedation and to reduce current variations in standards of care.
- 45
 46

1 **Groups that will be covered:**

- 2 • Infants, children and young people (under 19 years) receiving sedation by any
3 technique for painful or non-painful diagnostic or therapeutic procedures.
- 4 • The GDG will consider whether different recommendations are required for
5 different age groups in the population.

6 **Healthcare setting:**

- 7 • Hospital settings, including inpatients, outpatients, radiology and emergency
8 departments.
- 9 • Primary care, including dental and medical general practice settings.

10 **Clinical management**

- 11 • Assessment of the patient to determine whether sedation is appropriate.
- 12 • Clear communication, in a child-friendly manner, of information relating to the
13 preparation required for the procedure or investigation, and related sedation
14 technique. This will include the needs of the patient and their parents or carers,
15 ensuring that implications (sedation safety and efficacy) are clearly understood
16 by both the patient and their parent or carer prior to informed consent.
- 17 • Preparation required for the procedure or investigation and related sedation
18 technique.
- 19 • The clinical environment, including the availability of equipment, facilities and
20 staff.
- 21 • Patient monitoring during and after sedation and criteria for discharge following
22 sedation.
- 23 • The effectiveness, safety and limitations of sedation techniques. This will include
24 the use of sedation in combination with non-pharmacological techniques and in
25 combination with analgesia. Note that guideline recommendations will normally
26 fall within licensed indications. Where clearly supported by evidence, use outside
27 a licensed indication may be recommended. The guideline will assume that
28 prescribers will use a drug's summary of product characteristics and the 'British
29 National Formulary for Children' to inform their decisions for individual patients.
- 30 • The Guideline Development Group (GDG) will take reasonable steps to identify
31 ineffective interventions and approaches to care. If robust and credible
32 recommendations for re-positioning the intervention for optimal use, or changing
33 the approach to care to make more efficient use of resources, can be made, they
34 will be clearly stated. If the resources released are substantial, consideration will
35 be given to listing such recommendations in the 'Key priorities for implementation'
36 section of the guideline.

37

38

1 **Training and competence:**

- 2 • Training for practitioners involved in procedural sedation, irrespective of
 3 specialty background, that will be relevant to the sedation techniques and the
 4 clinical environment.
- 5 • Training that enables practitioners to be competent in the practical aspects of
 6 effective and safe delivery of sedation techniques relevant to the clinical
 7 situation, and the management of adverse events (for example, airway
 8 management skill in the inadvertently anaesthetised patient).

9

10 **1.6 What the guideline does not cover**

11 Groups that will not be covered

- 12 • Patients requiring sedation for purposes other than for diagnostic or therapeutic
 13 procedures including:
- 14 ○ sedation in critically ill patients requiring mechanical ventilation
- 15 ○ sedation in palliative care
- 16 ○ sedation in the treatment of mental health conditions
- 17 ○ sedation given as premedication for general anaesthesia or as
 18 postoperative analgesia
- 19 ○ night sedation
- 20 • Patients having diagnostic or therapeutic procedures under general anaesthesia.

21

22 **1.7 Who developed this guideline?**

23 A multidisciplinary GDG comprising professional group members and consumer
 24 representatives of the main stakeholders developed this guideline (see section on
 25 Guideline Development Group Membership and acknowledgements).

26 NICE funds the NCGC and thus supported the development of this guideline. The GDG
 27 was convened by the NCGC and chaired by Dr Mike Sury in accordance with guidance
 28 from NICE.

29 The group met every 6-8 weeks during the development of the guideline. At the start of
 30 the guideline development process all GDG members declared interests including
 31 consultancies, fee-paid work, share-holdings, fellowships and support from the healthcare
 32 industry. At all subsequent GDG meetings, members declared arising conflicts of interest,
 33 which were also recorded (Appendix B).

34 Members are either required to withdraw completely or for part of the discussion if their
 35 declared interest makes it appropriate, however this was not deemed necessary for any
 36 group members on this guideline.

1 **2 Methodology**

2 This guideline was commissioned by NICE and developed in accordance with the
3 guideline development process outlined in 'The guidelines manual' (NICE 2009)¹⁷².

4

5 **2.1 Developing the clinical questions**

6 Clinical questions were developed to guide the literature searching process and to
7 facilitate the development of recommendations by the guideline development group
8 (GDG). They were drafted by the review team and refined and validated by the GDG.
9 The questions were based on the scope (Appendix A).

10 The full list of clinical questions addressed by the guideline is summarised in the table
11 below.

12

1 **Full list of clinical questions:**

Question	Relevant chapter	Method used to formulate recommendations
Pre-sedation assessment, communication, patient information and consent		
For children and young people under the age of 19 undergoing diagnostic and therapeutic procedures under sedation techniques - what factors should be assessed to justify the use of sedation rather than no sedation or general anaesthesia?	4	Consensus*
For children and young people under the age of 19 undergoing diagnostic and therapeutic procedures under sedation techniques - what validated tools should be used to support assessment?	4	Consensus (as no relevant papers were identified for review)
For children and young people under the age of 19 undergoing diagnostic and therapeutic procedures under sedation techniques - who should make the assessment and how should the assessment be recorded?	4	Consensus*
For children and young people under the age of 19 undergoing diagnostic and therapeutic procedures under sedation techniques - how should consent be obtained for sedation?	4	Consensus*
Fasting		
In children and young people under the age of 19 undergoing sedation techniques - should fasting versus no fasting be implemented to prevent adverse outcomes?	4	Evidence based (literature review)
Psychological preparation		
For children and young people under the age of 19 undergoing diagnostic and therapeutic procedures under sedation techniques - what standard psychological preparation, coping skills and strategies should be used?	4	Evidence based (literature review)
Personnel and training		
For children and young people under the age of 19 undergoing diagnostic and therapeutic procedures under sedation - what generic and specific skills are required for different team members and for different levels of sedation?	4	Consensus*
For personnel involved in delivering sedation to children and young people under the age of 19 undergoing diagnostic and therapeutic procedures - what training and competences are required?	4	Consensus*
Clinical environment and monitoring		

* Questions denoted with * were agreed with NICE as consensus style questions *a priori*. These questions were based upon stakeholder desire to include these aspects even though routine care. The GDG felt that there would be limited evidence in these areas and as such they were background questions that were not congruent with the style of a full and systematic evidence based approach

Question	Relevant chapter	Method used to formulate recommendations
For children and young people under the age of 19 undergoing diagnostic and therapeutic procedures under moderate or deep sedation techniques - what monitoring and equipment is required to reduce the risk of complications?	4	Consensus*
When should monitoring stop for children and young people under the age of 19 undergoing diagnostic and therapeutic procedures under sedation techniques?	4	Consensus*
Discharge criteria		
For children and young people under the age of 19 after diagnostic and therapeutic procedures under moderate or deep sedation techniques - what discharge criteria are required?	4	Consensus*
Efficacy and safety of midazolam		
For children and young people under the age of 19 undergoing diagnostic or therapeutic procedures - is midazolam (with or without: analgesia, another drug or psychological techniques) effective for sedation (at minimal, moderate, and deep levels) in comparison with usual care, with analgesia alone, with another sedation drug, with psychological techniques or with general anaesthesia?	6	Evidence based (literature review)
For children and young people under the age of 19 undergoing diagnostic or therapeutic procedures - is midazolam (with or without: analgesia, another drug or psychological techniques) safe for sedation (at mild, moderate, and deep levels) in different settings?	6	Evidence based (literature review)
Efficacy and safety of ketamine		
For children and young people under the age of 19 undergoing diagnostic or therapeutic procedures - is ketamine (with or without: analgesia, another drug or psychological techniques) effective for sedation (at minimal, moderate, and deep levels) in comparison with usual care, with analgesia alone, with another sedation drug, with psychological techniques or with general anaesthesia?	6	Evidence based (literature review)
For children and young people under the age of 19 undergoing diagnostic or therapeutic procedures - is ketamine (with or without: analgesia, another drug or psychological techniques) safe for sedation (at mild, moderate, and deep levels) in different settings?	6	Evidence based (literature review)
Efficacy and safety of chloral hydrate		
For children and young people under the age of 19 undergoing diagnostic or therapeutic procedures - is chloral hydrate (with or without: analgesia, another drug or psychological techniques) effective for sedation (at minimal, moderate, and deep levels) in comparison with usual care, with analgesia alone, with another sedation drug, with psychological techniques or with general anaesthesia?	6	Evidence based (literature review)

* Questions denoted with * were agreed with NICE as consensus style questions *a priori*. These questions were based upon stakeholder desire to include these aspects even though routine care. The GDG felt that there would be limited evidence in these areas and as such they were background questions that were not congruent with the style of a full and systematic evidence based approach.

Question	Relevant chapter	Method used to formulate recommendations
For children and young people under the age of 19 undergoing diagnostic or therapeutic procedures - is chloral hydrate (with or without: analgesia, another drug or psychological techniques) safe for sedation (at mild, moderate, and deep levels) in different settings?	6	Evidence based (literature review)
Efficacy and safety of nitrous oxide		
For children and young people under the age of 19 undergoing diagnostic or therapeutic procedures - is nitrous oxide (with or without: analgesia, another drug or psychological techniques) effective for sedation (at minimal, moderate, and deep levels) in comparison with usual care, with analgesia alone, with another sedation drug, with psychological techniques or with general anaesthesia?	6	Evidence based (literature review)
For children and young people under the age of 19 undergoing diagnostic or therapeutic procedures - is nitrous oxide (with or without: analgesia, another drug or psychological techniques) safe for sedation (at mild, moderate, and deep levels) in different settings?	6	Evidence based (literature review)
Efficacy and safety of opioids		
For children and young people under the age of 19 undergoing diagnostic or therapeutic procedures - are opioids (with or without: analgesia, another drug or psychological techniques) effective for sedation (at minimal, moderate, and deep levels) in comparison with usual care, with analgesia alone, with another sedation drug, with psychological techniques or with general anaesthesia?	6	Evidence based (literature review)
For children and young people under the age of 19 undergoing diagnostic or therapeutic procedures - are opioids (with or without: analgesia, another drug or psychological techniques) safe for sedation (at mild, moderate, and deep levels) in different settings?	6	Evidence based (literature review)
Efficacy and safety of propofol		
For children and young people under the age of 19 undergoing diagnostic or therapeutic procedures - is propofol (with or without: analgesia, another drug or psychological techniques) effective for sedation (at minimal, moderate, and deep levels) in comparison with usual care, with analgesia alone, with another sedation drug, with psychological techniques or with general anaesthesia?	6	Evidence based (literature review)
For children and young people under the age of 19 undergoing diagnostic or therapeutic procedures - is propofol (with or without: analgesia, another drug or psychological techniques) safe for sedation (at mild, moderate, and deep levels) in different settings?	6	Evidence based (literature review)
Efficacy and safety of sevoflurane		
For children and young people under the age of 19 undergoing diagnostic or therapeutic procedures - is sevoflurane (with or without: analgesia, another drug or psychological techniques) effective for sedation (at minimal, moderate, and deep levels) in comparison with usual care, with analgesia alone, with another sedation drug, with psychological techniques or with general anaesthesia?	6	Evidence based (literature review)
For children and young people under the age of 19 undergoing diagnostic or therapeutic procedures - is sevoflurane (with or without: analgesia, another drug or psychological techniques) safe for sedation (at mild, moderate, and deep levels) in different settings?	6	Evidence based (literature review)

Question	Relevant chapter	Method used to formulate recommendations
Efficacy and safety of triclofos sodium		
For children and young people under the age of 19 undergoing diagnostic or therapeutic procedures - is triclofos sodium (with or without: analgesia, another drug or psychological techniques) effective for sedation (at minimal, moderate, and deep levels) in comparison with usual care, with analgesia alone, with another sedation drug, with psychological techniques or with general anaesthesia?	6	Evidence based (literature review)
For children and young people under the age of 19 undergoing diagnostic or therapeutic procedures - is triclofos sodium (with or without: analgesia, another drug or psychological techniques) safe for sedation (at mild, moderate, and deep levels) in different settings?	6	Evidence based (literature review)
Sedation sparing		
For children and young people under the age of 19 undergoing diagnostic or therapeutic procedures - does a combination of psychological techniques and sedation drugs lead to sedation sparing ?	6	Evidence based (literature review)

1

2 From these clinical questions, the technical team produced review questions and protocols
3 to address these questions. The protocols are reported in appendix H.

4

5 **2.2 Searching the literature**

6 **2.2.1 Clinical literature search**

7 The search strategies and the databases searched are presented in detail in Appendix
8 C. All searches were conducted on the following databases with no date restrictions.

9

1

Database	Interface	Date searched from
Medline	OVID	1950
Embase	OVID	1980
Cinahl	EBSCO	1982
The Cochrane Library (to 2009 Issue 4)	www.thecochranelibrary.com	All dates searched: 1996 for Cochrane Reviews 1995 for DARE 1898 for CENTRAL 1904 for Methods Studies 1995 for HTA and NHSEED

2 Databases were searched using relevant subject headings and free-text terms. Where
3 appropriate, study design filters were applied. Non-English language studies and
4 abstracts were not reviewed.

5 All searches were updated to 18th January 2010. Hand-searching was not undertaken
6 following NICE advice that exhaustive searching on every guideline review topic is not
7 practical or efficient¹⁷². Reference lists of articles were checked for studies of potential
8 relevance.

9

10 2.2.2 Sifting process

11 Once the search had been completed, the following sifting process took place:

- 12 • 1st sift: one reviewer sifted the title/abstract for articles that potentially met the
13 eligibility criteria; this was checked where necessary by a second reviewer.
- 14 • 2nd sift: full papers were ordered that appeared relevant and eligible or where
15 relevance/eligibility was not clear from the abstract.
- 16 • 3rd sift: full papers were appraised that meet eligibility criteria. Generally, one
17 reviewer appraised the papers using an inclusion criteria form, and this was
18 checked where necessary by a second reviewer.

19 Once individual papers were retrieved, the articles were checked for methodological
20 rigour (see section 2.4), applicability to the UK and clinical significance. Assessment of
21 study quality concentrated on dimensions of internal validity and external validity. At this
22 stage, some studies were excluded if the interventions were not licensed for use in the UK
23 or they were not regularly used in the UK. Studies in which the interventions were
24 obsolete were also excluded.

25

1 **2.2.3 Economic literature search**

2 Economic evidence was obtained from systematic searches of the following databases in
 3 accordance with the NICE Guidelines Manual: Medline, Embase, the Health Technology
 4 Appraisals (HTA) database and the NHS Economic Evaluations Database (NHSEED. The
 5 latter two databases were searched via The Cochrane Library. Health economics
 6 searches were restricted by date on Medline and Embase to studies published since
 7 2006.

8 Detailed search strategies can be found in Appendix C.

9

10 **2.3 Clinical effectiveness review methods**

11 This section describes the methods of reviewing that are common to all reviews of
 12 intervention studies. Further specific details are given in the individual reviews and in
 13 Appendix H. Details on consensus chapters are given in section 2.4.4

14 References identified by the systematic literature search were screened for
 15 appropriateness by title and abstract by an information scientist and systematic
 16 reviewer. Studies were selected that reported one or more of the outcomes listed in
 17 section 2.3.2. Selected studies were ordered and assessed in full by the NCGC team
 18 using agreed inclusion/exclusion criteria specific to the guideline topic, and using NICE
 19 methodology quality assessment checklists appropriate to the study design. Further
 20 references suggested by the GDG were assessed in the same way. Not enough data
 21 was available from RCTs for serious adverse events related to pharmacological
 22 interventions. Consequently, an additional literature review of observational data was
 23 performed to supplement the RCT evidence.

24

25 **2.3.1 Patients covered by this guideline**

26 Infants, children and young people (under 19 years) receiving sedation by any technique
 27 for painful or non-painful diagnostic or therapeutic procedures including dental surgery
 28 and minor operations carried out under local anaesthesia.

29 This guideline will not cover:

- 30 • Patients requiring sedation for purposes other than for diagnostic or therapeutic
 31 procedures including:
 - 32 ○ sedation in critically ill patients requiring mechanical ventilation
 - 33 ○ sedation in palliative care
 - 34 ○ sedation in the treatment of mental health conditions
 - 35 ○ sedation given as premedication for general anaesthesia or as
 36 postoperative analgesia
 - 37 ○ night sedation.

- 1 • Patients having diagnostic or therapeutic procedures under general anaesthesia.

2

3 **2.3.2 Outcome measures**

4 The following outcomes were considered.

5 **Primary outcome:**

- 6 • Successful completion of diagnostic or therapeutic procedure
- 7 ○ measured as the number of patients for whom the diagnostic or
- 8 therapeutic procedure was carried out and completed.

9 **Secondary outcomes:**

- 10 • Behavioural ratings including:
- 11 ○ pain as assessed by the patient or parent or other observer using
- 12 validated pain scales e.g. Visual Analogue Scale (VAS), Children's
- 13 Hospital of Eastern Ontario Pain Scale (CHEOPS), Faces Pain Scale (FPS).
- 14 ○ distress and/or anxiety as assessed by the patient or parent or other
- 15 observer using validated scales e.g. Visual Analogue Scale (VAS),
- 16 Observation Scale of Behavioral Distress (OSBD).
- 17 ○ patient or parent satisfaction including preference
- 18 • Sedation timing including
- 19 ○ length of induction: time from administration of sedation drug to initiation
- 20 of procedure
- 21 ○ recovery: time from completion of procedure to recovery criteria being
- 22 met or recovery to pre-sedation state
- 23 ○ duration of procedure
- 24 ○ total: time from administration of intervention to when patient has been
- 25 transferred to the recovery area or has been discharged

26 **Adverse events:**

- 27 • Aspiration
- 28 • Respiratory intervention, including:
- 29 ○ oral-pharyngeal airway
- 30 ○ endotracheal intubation
- 31 ○ assisted ventilation

- 1 • Cardiac arrest requiring either/or:
- 2 ○ external cardiac massage
- 3 ○ defibrillation
- 4 • Oxygen desaturation <90%
- 5 • Vomiting

6

7 **2.4 Appraising the evidence**

8 **2.4.1 Appraisal of methodological quality of 'treatment' studies**

9 **Procedure adopted**

- 10 • For each clinical question, the randomised control trial evidence was sought. If
11 RCT evidence was not available, observational data was also reviewed.
- 12 • Randomised control trials (RCTs) were reviewed for drug efficacy and safety
13 outcomes. Only RCTs of $N \geq 20$ in each arm were included. The largest available
14 cohort studies were also included for drug safety reviews.
- 15 • Studies were appraised for methodological quality using the GRADE[#] scheme.
16 Studies were downgraded or upgraded depending upon their risk of bias using
17 GRADE criteria (see section 2.4.3). As the RCT evidence for this guideline was
18 characterised by small sample sizes, the standard 'default calculations for
19 precision were applied. Rational has been provided when studies were
20 downgraded.
- 21 • Meta-analysis of RCT results was performed if the data was sufficiently
22 homogeneous (see section 2.4.2).

23

24 **2.4.2 Data synthesis for treatment studies**

25 Where possible, meta-analyses were conducted to combine the results of studies for
26 each clinical question using Cochrane Review Manager software. Fixed-effects (Mantel-
27 Haenszel) techniques were used to calculate risk ratios (relative risk) for the binary
28 outcomes: number of adverse events, and the continuous outcome for endpoint or change
29 from baseline IPSS score, QOL question from IPSS score and Qmax was analysed using
30 an inverse variance method for pooling weighted mean differences. Statistical
31 heterogeneity was assessed by considering the chi-squared test for significance at $p < 0.1$
32 and an I-squared of $\geq 50\%$ to indicate significant heterogeneity.

[#] GRADE – Grading of Recommendations Assessment, Development and Evaluation

1 Where significant heterogeneity was present we explored a number of possible
 2 predefined differences including the severity or main symptoms experienced by the
 3 participants recruited into the study, study design (open label or masked), and length of
 4 follow-up by doing subgroup analyses. Assessments of potential differences in effect
 5 between subgroups were based on the chi-squared tests for heterogeneity statistics
 6 between subgroups. If no sensitivity analysis was found to completely resolve statistical
 7 heterogeneity then a random effects (DerSimonian and Laird) model was employed to
 8 provide a more conservative estimate of the effect.

9 We looked for all outcomes of interest in each paper that was included in the evidence
 10 reviews. Where a primary or important decision-making outcome was not reported by a
 11 paper, these were not included in the evidence statements or GRADE profiles, in order to
 12 highlight an 'absence of evidence'. Where studies reported there were 'no events' for an
 13 outcome, this has been denoted in the review evidence statements or GRADE profiles as
 14 '0' patients, '0%' or 'no events'.

16 2.4.3 Grading evidence

17 The GRADE scheme (GRADE working group 2004) was used to assess the quality of the
 18 evidence for each outcome not each study, using the approach described below.

19 The following features were assessed for the evidence found for each relevant outcome
 20 from a systematic review:

- 21 • study design (as a proxy for bias)
- 22 • limitations in the methodological quality of the study (mainly allocation
 23 concealment, blinding and loss to follow-up)
- 24 • consistency of an effect across studies
- 25 • directness (the degree to which the results directly address the question posed or,
 26 for example, are for a somewhat different population).

27 Other considerations:

- 28 • imprecision*
- 29 • likelihood of reporting bias

* Precision requires the GDG to decide what are clinically important harms and benefits for that outcome measure. For dichotomous outcomes we used a relative risk reduction of 25% (RR of 1.25 or 0.75) to indicate the clinically important threshold. For positive outcomes, the upper clinically important threshold used depended on the control group rate. When this rate was less than 80% a value of 1.25 was used. When the control group rate was more than 80%, the clinically important threshold was calculated assuming an intervention group rate of 100% and a control group rate based on the median rate where there was more than one study.

- 1 • strength of association
- 2 • evidence of a dose–response relationship
- 3 • expected effect of plausible confounders.

4 Evidence summaries (evidence profiles) were produced for each outcome

5 The procedure adopted when using GRADE was:

- 6 • A quality rating was assigned, based on the study design.
- 7 • This rating was up- or down-graded according to specified criteria: study
- 8 quality, consistency, directness, preciseness and reporting bias. Criteria were
- 9 given a downgrade mark of –1 or –2 depending on the severity of the
- 10 limitations.
- 11 • The downgrade/upgrade marks were then summed and the quality rating
- 12 revised. For example, a decrease of –2 points for an RCT would result in a rating
- 13 of ‘low’. Reasoning was explained for the downgrade marks.

14 According to GRADE quality assessments, the evidence is classified as follows:

- 15 • High: further research is very unlikely to change our confidence in the estimate of
- 16 effect
- 17 • Moderate: further research is likely to have an important impact on our
- 18 confidence in the estimate of effect and may change the estimate
- 19 • Low: further research is very likely to have an important impact on our confidence
- 20 in the estimate of effect and is likely to change the estimate
- 21 • Very low: any estimate of effect is very uncertain.

22

23 The GRADE scheme was only used to assess the quality of evidence for RCTs. Full
 24 evidence profiles for efficacy and safety were produced and are contained on the
 25 relevant drug section.

26 The GDG recognised that research from non RCT observational studies is subject to the
 27 usual limitations of observational work, including dependence on the quality of medical
 28 record documentation and potential for bias secondary to non randomisation, and un-
 29 blinded participants. In these studies, there were no interventions or comparisons but
 30 merely data collection of adverse events. The datasets were generally large, and were
 31 expected to provide more information on a range of adverse events than the small RCTs
 32 available for review. Due to these limitations, we only assigned quality rating (‘very low’
 33 quality) based on the GRADE scheme. It was considered more comprehensive to present
 34 separately this supplementary observational data in the form of concise, customised
 35 summary tables which also contain the GRADE ratings.

36

1 2.5 Consensus

2 There are generally three main methods reported for developing consensus. These are
3 Delphi, consensus development panels and nominal group processes³³. The nominal group
4 technique (NGT) was originally developed by Delbecq et al⁵² as an organisational
5 planning tool. The methodology varies from the Delphi process, which by design allows
6 individuals to work in the presence of others, but verbal interaction is discouraged and
7 facilitated through sequential questionnaires or summary processes, enabling consensus to
8 be developed without the social pressures normally exerted through open dialogue²³⁸.
9 Individual ideas are shared within the group, with facilitated discussion enabling the
10 group to see how individuals are expressing their ideas. Normal practice is for the
11 facilitator to then ask the group to prioritise, with aggregated rankings recorded. NGT
12 uses this approach but with participant dialogue encouraged. This methodology works
13 extremely well in clinical guideline development for those clinical questions identified
14 and agreed as areas to be explored through consensus process, and towards the end of
15 guideline development and in particular when working with the GDG in prioritising
16 recommendations for targeted implementation.

17 The GDG in working together for a fourteen month period during development of the
18 guideline is by nature a mature working group; individuals within the group are able to
19 express their views relating to key issues in relation to clinical questions and key
20 recommendations addressed through consensus methods within a social setting (the last
21 GDG meeting). This is important for the group, who are able to use this experience and
22 the content of discussion to then go into a formal agreement of consensus
23 recommendations and formal voting as part of recommendation sign off. Developing
24 consensus through validated instruments is important in ensuring the integrity of final
25 recommendations that reflect the group as a whole, and benefit from the wealth of
26 clinical and patient experience considered. The process itself enables all constituent
27 members of the GDG to have equal weighting of opinion as their opinion moves towards
28 a consensus group position. Typically, NGT works well for small groups, with 12 to 15
29 people widely acknowledged in the literature as the maximum number of people
30 involved in this process.

31

32 2.6 Cost-effectiveness review methods

33 Economic evaluations are useful in guideline development as they assess the costs and
34 benefits of alternative courses of action which could be recommended within the
35 guideline. Relevant published economic information may be used by the GDG to
36 determine whether a particular recommendation would result in the efficient use of NHS
37 resources, but in order to do so it must provide an estimate of both the costs to the NHS
38 and the health benefits to patients. Relevant study designs are cost-effectiveness, cost-
39 utility or cost-benefit analyses. Cost-minimisation analyses are only relevant when
40 supported by evidence demonstrating that there is no difference in health outcome
41 between the alternative health care interventions. Cost studies which focus solely on the
42 cost of alternative health care interventions are not suitable for informing decisions on
43 the efficient use of NHS resources as they do not take into account any differences in the
44 benefits for patients. Studies reporting analyses in non-OECD member countries or prior
45 to 1990 were also excluded as these were felt to be less relevant to current practice in
46 the UK.

1 We have excluded analyses where the estimates of clinical effectiveness used to inform
 2 the economic evaluation are not based on evidence from randomised controlled trials
 3 (RCTs) or quasi-randomised controlled trials. This was done to minimise the potential for
 4 bias and to ensure consistency with the clinical effectiveness reviews.

5 The search strategy for existing literature is described in section 2.2.3 (Economic
 6 literature search). There were 226 papers identified by the search. After considering
 7 titles and abstracts, 24 papers were identified as potential cost or cost-effectiveness
 8 studies and all of these were ordered to cross check whether they reported both cost
 9 and health outcomes even in a disaggregated way.

10 Of the 24 full text papers considered, 7 were found to be not relevant to the review
 11 question as they were found either to report clinical outcomes only, or they compared
 12 interventions that were not relevant to the guideline, or they were in predominantly adult
 13 populations (minimum age of 16 and a mean age >45).

14 Of the 17 remaining studies, 12 were economic evaluations carried out within studies
 15 using non-RCT designs in which the estimates of clinical effectiveness were considered to
 16 be open to bias due to the trial design. These were excluded from the cost-effectiveness
 17 review. A list of the excluded studies and reasons for exclusion are listed in appendix F.
 18 Two (Martinez 2002¹⁶⁰, Iannalfi 2005⁹⁹) of the remaining 5 studies were economic
 19 evaluations carried out within RCTs and three (Lee 2000¹³⁷, Jameson 2007¹⁰¹, Pershad
 20 2006¹⁸¹) were model based evaluations. A description of the five studies is also given in
 21 appendix F. We carried out update searches up to 18th January 2010 but did not
 22 identify further useful studies.

23 None of the identified five studies was of high quality, and they provided little relevant
 24 evidence on the cost-effectiveness of sedation techniques considered in the guideline. It
 25 was therefore necessary to construct an original economic evaluation model to determine
 26 the cost-effectiveness of sedation techniques.

27

28 **2.7 Cost-effectiveness modelling**

29 The details of the economic model are described in Appendix F.

30 Cost-effectiveness information helps the GDG to weigh the balance of the cost and
 31 health benefit of applying intervention strategies in the different population groups
 32 considered in the guideline. At the early stages of the sedation guideline development,
 33 the health economist worked with the GDG to identify two high priority areas for cost-
 34 effectiveness evidence. The first area of priority was on the cost-effectiveness evidence
 35 to enable the GDG determine which sedation technique is most appropriate. The second
 36 area was on the cost-effectiveness of using a combination of non-pharmacological
 37 techniques and sedation drugs as sedation sparing technique.

38 These were classified as high priority because appropriate sedation technique should
 39 have the potential to prevent the need to abandon and reschedule procedures when
 40 sedation is unsuccessful. This will reduce the use of the National Health Services (NHS) or
 41 Personal Social Services (PSS) resources. It should minimise distress, discomfort for and
 42 risk of harm to patients as well as reduce the potential for QALY loss due to long term
 43 morbidity or mortality. There was the need to gather health economic information on
 44 different sedation strategies. As we did not identify directly applicable reports, it

1 became necessary to consider carrying out a *de novo* economic evaluation to determine
2 the cost-effectiveness of different techniques.

3 We did not construct any cost-effectiveness model for using a combination of non-
4 pharmacological techniques and sedation drugs as sedation sparing technique. The GDG
5 did not consider it worthwhile to build this model as there was no evidence that a
6 combination of non-pharmacological techniques and sedation drugs has a sedation
7 sparing effect (see 6.11). The health economic work for this guideline was therefore
8 focused on the first area of priority, the most appropriate sedation technique.

9 Cost-effectiveness was determined by comparing the cost per patient for the different
10 strategies. The technique with the lowest cost per patient is considered to be the optimal
11 strategy from a cost-effectiveness perspective on the basis that:

- 12 • for those interventions included in the model, there was no evidence that one
13 technique was safer than another; and
- 14 • we costed the whole pathway to completion of procedure.

15 The model was constructed using the best available evidence. Clinical and safety
16 evidence was taken from a systematic review (chapter 6 on clinical effectiveness and
17 safety review) and costing was based on the perspective of the NHS and personal social
18 services. When the evidence was weak or absent the GDG expert opinion was used to
19 determine the input parameters of the model. The assumptions made in the model and
20 the uncertainties in the input parameters are described explicitly. These were considered
21 by the GDG when interpreting the model results.

22 We did not do a probabilistic sensitivity analysis as the estimates for a number of key
23 input parameters were ascertained by expert opinion. However, we did conduct one-
24 way and threshold sensitivity analyses to explore parameter uncertainty. The limitations
25 of the model are discussed.

26 We have not prioritised all the clinical questions for economic evaluation. For those which
27 were not prioritised, the GDG considered the likely cost-effectiveness of available
28 options by making a qualitative judgement on the likely costs, health benefits and
29 potential harms. In particular, mild and moderate sedation was found to be considerably
30 less costly than deep sedation and general anaesthesia in appropriately selected
31 patients and this finding is reflected in the recommendations throughout the guideline.

32

33 **2.8 Developing recommendations**

34 Over the course of the guideline development process, the GDG was presented with the
35 following:

- 36 • The clinical and economic evidence reviews. All evidence tables are in
37 Appendices D, E and G.
- 38 • Forest plots of results from studies, including meta-analyses where appropriate.
- 39 • A description of the methods and results of the cost-effectiveness analysis

1 Recommendations were drafted on the basis of this evidence whenever it was available.

2 When clinical and economic evidence was poor or absent, the GDG proposed
3 recommendations based on their expert opinion.

4 The GDG also developed a care pathway algorithm according to the recommendations.

5

6 **2.9 Research recommendations**

7 When areas were identified for which good evidence was lacking, the GDG considered
8 making recommendations for future research. Decisions about inclusion were based on
9 factors such as:

- 10 • the importance to patients or the population
- 11 • national priorities
- 12 • potential impact on the NHS and future NICE guidance
- 13 • ethical and technical feasibility

14 The GDG identified four high priority research recommendations, after discussion and
15 voting (appendix G).

16

17 **2.10 Validation of the guideline**

18 The first draft of this guideline was posted on the NICE website for an 8-week
19 consultation period between 17 May and 12 July 2010, and registered stakeholders
20 were invited to comment. The GDG responded to comments and an amended version of
21 the guideline was produced.

22

23 **2.11 Disclaimer and funding**

24 Healthcare providers need to use clinical judgement, knowledge and expertise when
25 deciding whether it is appropriate to apply guidelines. The recommendations cited here
26 are a guide and may not be appropriate for use in all situations. The decision to adopt
27 any of the recommendations cited here must be made by the practitioner in light of
28 individual patient circumstances, the wishes of the patient, clinical expertise and
29 resources.

30 The National Clinical Guideline Centre disclaim any responsibility for damages arising
31 out of the use or non-use of these guidelines and the literature used in support of these
32 guidelines.

33 The Collaborating Centre for Nursing and Supportive Care (now a part of the National
34 Clinical Guideline Centre) were commissioned by the National Institute for Health and
35 Clinical Excellence to undertake the work on this guideline.

1

2 **2.12 Updating the guideline**

3 This guideline will be updated in concordance with NICE guidelines manual (NICE
4 2009)¹⁷².

5

1

2 **3 Summary of Recommendations**

3 Below are the recommendations that the GDG selected as the key priorities for
4 implementation followed by the complete list of recommendations and research
5 recommendations.

6

7 **3.1 Key priorities for implementations**

8 The GDG identified ten key priorities for implementation. The decision was made after
9 discussion and voting by the GDG. They selected recommendations that would:

- 10 • Have a high impact on outcomes that are important to patients (**A**)
- 11 • Have a high impact on reducing variation in care and outcomes (**B**)
- 12 • Lead to a more efficient use of NHS resources (**C**)
- 13 • Promote patient choice (**D**)
- 14 • Promote equalities.(**E**)

15 In doing this the GDG also considered which recommendations were particularly likely to
16 benefit from implementation support. They considered whether a recommendation:

- 17 • Relates to an intervention that is not part of routine care (**U**)
- 18 • Requires changes in service delivery (**V**)
- 19 • Requires retraining staff or the development of new skills and competencies (**W**)
- 20 • Highlights the need for practice to change (**X**)
- 21 • Affects and needs to be implemented across various agencies or settings
22 (complex interactions) (**Y**)
- 23 • May be viewed as potentially contentious, or difficult to implement for other
24 reasons (**Z**)

1 For each key recommendation listed below, the selection criteria and implementation
 2 support points are indicated by the use of the letters shown in brackets above.

3

4

5 ❖ Ensure that trained healthcare professionals (see section on personnel and
 6 training) carry out pre-sedation assessments and document the results in the
 7 healthcare record.

8 (Selection criteria: A, B, C, D, E. Implementation support: W, X, Y)

9

10 ❖ Establish suitability for sedation by assessing all of the following:

11 ○ current medical condition and any surgical problems

12 ○ weight (growth assessment)

13 ○ past medical problems (including any associated with previous sedation
 14 or anaesthesia)

15 ○ current and previous medication (including any allergies)

16 ○ physical status (including the airway)

17 ○ psychological and developmental status.

18 (Selection criteria: A, B, C, D, E. Implementation support: W, X, Y)

19

20 ❖ Seek advice from a specialist before delivering sedation:

21 ○ if there is concern about a potential airway or breathing problem

22 ○ if the child or young person is assessed as American Society of
 23 Anesthesiologists (ASA) grade 3 or greater

24 ○ for infants, including neonates.

25 (Selection criteria: A. Implementation support: W)

26

27 ❖ Ensure that both the following will be available during sedation:

28 ○ a healthcare professional and assistant trained (see section on personnel
 29 and training) in delivering and monitoring sedation in children and young
 30 people

31 ○ immediate access to resuscitation and monitoring equipment (see section
 32 on clinical environment and monitoring).

1 (Selection criteria: A, B. Implementation support: V, W, X, Y)

2

3 ❖ Choose the most suitable sedation technique based on all the following factors:

4 ○ what the procedure involves

5 ○ target level of sedation

6 ○ contraindications

7 ○ side effects

8 ○ patient (or parent or carer) preference.

9 (Selection criteria: A, B, C, D, E. Implementation support: W, X, Y)

10

11 ❖ Healthcare professionals delivering sedation should have knowledge and
12 understanding of and competency in:

13 ○ sedation drug pharmacology and applied physiology

14 ○ assessment of children and young people

15 ○ monitoring

16 ○ recovery care

17 ○ complications and their immediate management, including paediatric life
18 support.

19 (Selection criteria: A, B. Implementation support: U, W, X, Y, Z)

20

21 ❖ Healthcare professionals delivering sedation should have practical experience of:

22 ○ effectively delivering the chosen sedation technique and managing
23 complications

24 ○ observing clinical signs (for example, airway patency, breathing rate and
25 depth, pulse, pallor and cyanosis, and depth of sedation)

26 ○ using monitoring equipment.

27 (Selection criteria: A, B. Implementation support: U, W, X, Y)

28

29 ❖ Ensure that members of the sedation team have the following life support skills:

	Minimal sedation*	Moderate sedation	Deep sedation
All members	Basic	Basic	Basic
At least one member		Intermediate	Advanced

* including sedation with nitrous oxide alone (in oxygen) and conscious sedation in dentistry.
 (Selection criteria: A, B. Implementation support: U, W, X, Y, Z)

❖ Healthcare professionals delivering sedation should have documented up-to-date evidence of competency including:

- satisfactory completion of a theoretical training course covering the principles of sedation practice
- a comprehensive record of practical experience of sedation techniques, including details of:
 - sedation in children and young people performed under supervision
 - successful completion of work-based assessments.

(Selection criteria: A, B. Implementation support: U, W, X, Y, Z)

❖ For deep sedation continuously monitor, interpret and respond[#] to all of the following:

- depth of sedation
- respiration
- oxygen saturation
- heart rate
- three-lead electrocardiogram
- end tidal CO₂ (capnography)*
- blood pressure (monitor every 5 minutes)*
- pain
- coping
- distress.

[#]For deep sedation, the healthcare professional administering sedation should be involved only in continuously monitoring, interpreting and responding to all of the above.

1 * End tidal CO₂ and blood pressure should be monitored, if possible, provided that
2 monitoring does not cause the patient to awaken and so prevent completion of the
3 procedure.

4 (Selection criteria: A, B. Implementation support: U, W, X, Y, Z)

7 **3.2 Complete list of recommendations**

8 **3.2.1 Recommendations on pre-sedation assessment, communication, patient** 9 **information and consent**

- 10 1. Ensure that trained healthcare professionals (see section on personnel and
11 training) carry out pre-sedation assessments and document the results in the
12 healthcare record.
- 13
- 14 2. Establish suitability for sedation by assessing all of the following:
 - 15 ○ current medical condition and any surgical problems
 - 16 ○ weight (growth assessment)
 - 17 ○ past medical problems (including any associated with previous sedation
18 or anaesthesia)
 - 19 ○ current and previous medication (including any allergies)
 - 20 ○ physical status (including the airway)
 - 21 ○ psychological and developmental status.
- 22
- 23 3. Seek advice from a specialist before delivering sedation:
 - 24 ○ if there is concern about a potential airway or breathing problem
 - 25 ○ if the child or young person is assessed as American Society of
26 Anesthesiologists (ASA) grade 3 or greater
 - 27 ○ for infants, including neonates.
- 28
- 29 4. Ensure that both the following will be available during sedation:
 - 30 ○ a healthcare professional and assistant trained (see section on training) in
31 delivering and monitoring sedation in children and young people

- 1 ○ immediate access to resuscitation and monitoring equipment (see section
2 on monitoring).
- 3
- 4 5. Choose the most suitable sedation technique based on all the following factors:
- 5 ○ what the procedure involves
- 6 ○ target level of sedation
- 7 ○ contraindications
- 8 ○ side effects
- 9 ○ patient (or patient or carer) preference.
- 10
- 11 6. To enable the child or young person and their parents or carers to make an
12 informed decision, offer them verbal and written information on all of the
13 following:
- 14 ○ proposed sedation technique
- 15 ○ the alternatives to sedation
- 16 ○ associated risks and benefits.
- 17
- 18 7. Obtain and document informed consent for sedation.
- 19
- 20 **3.2.2 Recommendations on fasting**
- 21 8. Before starting sedation, confirm and record the time of last food and fluid
22 intake in the healthcare record.
- 23
- 24 9. Fasting is not needed for:
- 25 ○ minimal sedation
- 26 ○ sedation with nitrous oxide and oxygen
- 27 ○ moderate sedation during which the child will maintain verbal contact with
28 the healthcare professional.

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10. Apply the 2-4-6 fasting rule^a for elective procedures using any sedation technique other than those in recommendation 9 (that is, for deep sedation and moderate sedation during which the child might not maintain verbal contact with the healthcare professional).

11. For an emergency procedure in a child or young person who has not fasted, base the decision to proceed with sedation on the urgency of the procedure and the target depth of sedation.

3.2.3 Recommendations on psychological preparation

12. Ensure that the child or young person is prepared psychologically for sedation by offering information about:

- the procedure
- what the child or young person should do and what the healthcare professional will do
- the sensations associated with the procedure (for example, a sharp scratch or numbness)
- how to cope with the procedure.

13. Ensure that the information is appropriate for the developmental stage of the child or young person and check that the child or young person has understood the information.

14. Offer parents and carers the opportunity to be present during sedation if appropriate. If a parent or carer decides to be present, offer them advice about their role during the procedure.

^a Fasting times should be as for general anaesthesia:

- 2 hours for clear fluids
- 4 hours for breast milk
- 6 hours for solids.

1 15. For an elective procedure, consider referring to a mental health specialist
 2 children or young people who are severely anxious or who have a learning
 3 disability.

4

5 **3.2.4 Recommendations on personnel and training**

6 16. Healthcare professionals delivering sedation should have knowledge and
 7 understanding of and competency in:

- 8 ○ sedation drug pharmacology and applied physiology
- 9 ○ assessment of children and young people
- 10 ○ monitoring
- 11 ○ recovery care
- 12 ○ complications and their immediate management, including paediatric life
 13 support.

14

15 17. Healthcare professionals delivering sedation should have practical experience of:

- 16 ○ effectively delivering the chosen sedation technique and managing
 17 complications
- 18 ○ observing clinical signs (for example, airway patency, breathing rate and
 19 depth, pulse, pallor and cyanosis, and depth of sedation)
- 20 ○ using monitoring equipment

21

22

23 18. Ensure that members of the sedation team have the following life support skills:

	Minimal sedation*	Moderate sedation	Deep sedation
All members	Basic	Basic	Basic
At least one member		Intermediate	Advanced

24 *including sedation with nitrous oxide alone (in oxygen) and conscious sedation in
 25 dentistry.
 26
 27

1 19. Ensure that a healthcare professional trained in delivering anaesthetic agents
2 (see appendix J) is available to administer:

- 3 ○ sevoflurane^b
- 4 ○ propofol^{c, d}
- 5 ○ opioids^m combined with ketamine^{m, e}.

6
7 20. Healthcare professionals delivering sedation should have documented up-to-date
8 evidence of competency including:

- 9 ○ satisfactory completion of a theoretical training course covering the
10 principles of sedation practice
- 11 ○ a comprehensive record of practical experience of sedation techniques,
12 including details of:
 - 13 - sedation in children and young people performed under
14 supervision
 - 15 - successful completion of work-based assessments.

16
17 21. Each healthcare professional and their team delivering sedation should ensure
18 they update their knowledge and skills through programmes designed for
19 continuing professional development.

20 22. Consider referring to an anaesthesia specialist a child or young person who is not
21 able to tolerate the procedure under sedation.

22
23 **3.2.5 Recommendations on clinical environment and monitoring**

24 23. For moderate sedation excluding with nitrous oxide alone (in oxygen)
25 continuously monitor, interpret and respond to changes in all of the following:

^b Sevoflurane is used in UK clinical practice for sedation of children and young people. At the time of publication (December 2010) sevoflurane did not have UK marketing authorisation for this indication. See appendix J.

^c Propofol is used in UK clinical practice for sedation of children and young people. At the time of publication (December 2010) propofol did not have UK marketing authorisation for this age group. See appendix J.

^d At the time of publication (December 2010) the BNFC stipulated that if deep sedation is needed an anaesthetic agent (for example, propofol or ketamine), or a potent opioid (for example, fentanyl) may be used. However, they should be used only under the supervision of a specialist experienced in the use of these drugs.

^e Ketamine is a dissociative agent: the state of dissociative sedation cannot be readily categorised as either moderate or deep sedation; the drug is considered to have a wide margin of safety.

- 1 ○ depth of sedation
- 2 ○ respiration
- 3 ○ oxygen saturation
- 4 ○ heart rate
- 5 ○ pain
- 6 ○ coping
- 7 ○ distress.

8

9 24. For deep sedation continuously monitor, interpret and respond[#] to changes in all
10 of the following:

- 11 ○ depth of sedation
- 12 ○ respiration
- 13 ○ oxygen saturation
- 14 ○ heart rate
- 15 ○ three-lead electrocardiogram
- 16 ○ end tidal CO₂ (capnography)*
- 17 ○ blood pressure (monitor every 5 minutes)*
- 18 ○ pain
- 19 ○ coping
- 20 ○ distress.

21 [#]For deep sedation, the healthcare professional administering sedation should be
22 involved only in continuously monitoring, interpreting and responding to all of the above.

23 *End tidal CO₂ and blood pressure should be monitored, if possible, provided that
24 monitoring does not cause the patient to awaken and so prevent completion of the
25 procedure.

26

27 25. Ensure that data from continuous monitoring during sedation are clearly
28 documented in the healthcare record.

29

30 26. After the procedure, continue monitoring until the child or young person:

- 1 ○ has a patent airway
- 2 ○ shows protective airway and breathing reflexes
- 3 ○ is haemodynamically stable
- 4 ○ is easily roused.

5

6 **3.2.6 Recommendation on discharge criteria**

7 27. Ensure that all of the following criteria are met before the child or young person
8 is discharged:

- 9 ○ vital signs (usually body temperature, heart rate, blood pressure and
10 respiratory rate) have returned to normal levels
- 11 ○ the child or young person is awake (or returned to baseline level of
12 consciousness) and there is no risk of further reduced level of consciousness
- 13 ○ nausea, vomiting and pain have been adequately managed.

14

15

16 **3.2.7 Recommendations on painless imaging**

17 28. Do not routinely use ketamine^{m, n} or opioids^m for painless imaging procedures.

18

19 29. For children and young people who are unable to tolerate a painless procedure
20 (for example during diagnostic imaging) consider one of the following drugs,
21 which have a wide margin of safety:

- 22 ○ chloral hydrate^f for children under 15kg
- 23 ○ midazolam^g

24

^f Chloral hydrate is used in UK clinical practice for sedating children and young people for painless procedures. At the time of publication (December 2010) chloral hydrate did not have UK marketing authorisation for this indication. See appendix J

^g Midazolam is used in UK clinical practice for sedating all children and young people up to the age of 18. At the time of publication (December 2010) midazolam did not have UK marketing authorisation for children younger than 6 months or for oral or buccal administration. See appendix J.

1 30. For children and young people who are unable to tolerate painless imaging with
 2 the above drugs, consider one of the following, used in specialist techniques,
 3 which have a narrow margin of safety (see section on training):

- 4 ○ propofol^{l, m}
- 5 ○ sevoflurane^k.

6

7 **3.2.8 Recommendation on painful procedures**

8 31. In children and young people undergoing a painful procedure (for example
 9 suture laceration or orthopaedic manipulation), when the target level of sedation
 10 is minimal or moderate, consider:

- 11 ○ nitrous oxide (in oxygen) and/or
- 12 ○ midazolam^t (oral or intranasal)

13

14 32. For all children and young people undergoing a painful procedure, consider
 15 using a local anaesthetic, as well as a sedative

16 33. For children and young people undergoing a painful procedure (for example
 17 suture laceration or orthopaedic manipulation) in whom nitrous oxide (in oxygen)
 18 and/or midazolam (oral or intranasal) are unsuitable consider :

- 19 ○ ketamine^{m, n} (intravenous or intramuscular)
- 20 ○ intravenous midazolam^t with or without fentanyl^m (to achieve moderate
 21 sedation)

22

23 34. For children and young people undergoing a painful procedure (for example
 24 suture laceration or orthopaedic manipulation) in whom ketamine (intravenous or
 25 intramuscular) or intravenous midazolam with or without fentanyl (to achieve
 26 moderate sedation) are unsuitable, consider a specialist sedation technique such
 27 as propofol^l with or without fentanyl^m.

28

29 **3.2.9 Recommendation on dental procedures**

30 35. For a child or young person who cannot tolerate a dental procedure with local
 31 anaesthesia alone, to achieve conscious sedation consider:

- 32 ○ nitrous oxide and oxygen or
- 33 ○ midazolam^t.

34 If these sedation techniques are not suitable or sufficient, refer to a specialist
 35 team for an alternative sedation technique.

1 **3.2.10 Recommendations on endoscopy**

2 36. Consider intravenous midazolam[†] to achieve minimal or moderate sedation for
3 upper gastrointestinal endoscopy.

4 37. Consider fentanyl^m (or equivalent opioid) in combination with intravenous
5 midazolam[†] to achieve moderate sedation for lower gastrointestinal endoscopy.
6

7

1

2 **3.3 Algorithms**

3 See separate file [Algorithm 1]

4

1 See separate file [Algorithm 2]

2

1 **3.4 Research recommendations**

2 **3.4.1 Research recommendation on pre-sedation assessment, communication,** 3 **patient information and consent**

- 4 ➤ For children and young people under the age of 19 having diagnostic and
5 therapeutic procedures under sedation, what factors should be assessed to
6 establish the need for sedation and reduce the risk of adverse events?

7 **Why it is important**

8 Some children need sedation, some need anaesthesia, and some need behavioural
9 management alone. There is wide variation in how this choice is made. A recommended
10 standard method of assessment could reduce variation and improve both success and
11 safety when sedation is chosen. Furthermore, an assessment tool could help prevent
12 unsuitable choices and improve the overall management of procedures in children. The
13 GDG suggests an observational study to determine the important factors, followed by a
14 consensus study to develop a tool. The assessment tool should be tested by a randomised
15 comparison of children and young people who have been assessed routinely with those
16 who have been assessed using the tool. The aim is for the assessment tool to improve
17 sedation success and quality, and reduce any complications.

18

19 **3.4.2 Research recommendation on fasting**

- 20 ➤ For children and young people under the age of 19 undergoing diagnostic and
21 therapeutic procedures under sedation how long should they be fasted to
22 prevent adverse events?

23 **Why it is important**

24 Inhalation of gastric contents can be fatal. Loss of consciousness is associated with the loss
25 of vital airway reflexes and inhalation of gastric contents is possible. Consequently,
26 fasting (in order to keep the stomach empty) is standard practice before general
27 anaesthesia and has become standard before any sedation technique that may cause
28 loss of consciousness. Prolonged fasting, however, is distressing and can cause
29 dehydration and hypoglycaemia. It would be helpful to know the minimum length of time
30 necessary to fast a child before sedation in order to ensure that the stomach is empty,
31 and to know that likelihood of regurgitation or vomiting is very small.

32

33 **3.4.3 Research recommendation on psychological preparation**

- 34 ➤ For children and young people under the age of 19 undergoing diagnostic or
35 therapeutic procedures under sedation what psychological techniques can lead to
36 sedation sparing, improve patient/family satisfaction and ensure safe completion
37 of the procedure?

38 **Why it is important**

1 Psychological interventions in children and young people are used extensively in
 2 combination with pharmacological interventions for the management of painful medical
 3 procedures and for pre- and post-operative distress and pain management after
 4 anaesthesia. Similar data are lacking for children undergoing diagnostic and therapeutic
 5 procedures under sedation. However, a significant body of literature shows sedation
 6 sparing, reduced incidence of side effects and increased satisfaction in adults
 7 undergoing various procedures under sedation when combined with psychological
 8 interventions such as hypnosis. Randomised controlled trials testing the efficacy of the
 9 combination of psychological interventions with sedation versus sedation on its own will
 10 allow us to determine whether adding psychological interventions to patient management
 11 under sedation is beneficial for children and young people.

12 13 **3.4.4 Research recommendation on personnel and training**

- 14 ➤ For personnel involved in delivering sedation to children and young people under
 15 the age of 19 having diagnostic and therapeutic procedures what training is
 16 required to achieve and maintain essential skills?

17 **Why it is important**

18 Potent drugs can cause unintended airway obstruction. Anaesthetists are skilled at
 19 managing airway obstruction because they practise the skills regularly. However,
 20 anaesthetists are a scarce resource so non-anaesthetists need to learn how to manage
 21 airway obstruction. The skills that are needed have been identified but can these skills
 22 be attained and maintained by professionals who need them only occasionally? The
 23 GDG suggests that a standard teaching method and assessment tool are developed. This
 24 would involve an observational study of a cohort of trainees, who can be assessed,
 25 trained and then reassessed at intervals to determine whether the training is successful
 26 and how often it is necessary.

27 28 **3.4.5 Research recommendation on clinical environment and monitoring**

- 29 ➤ Which depth of anaesthesia monitors can be used to monitor depth of sedation in
 30 children and which is best?

31 **Why it is important**

32 Several depth of anaesthesia monitors are in use around the world. Most use processed
 33 EEG signals while some use stimulation of the brainstem by auditory stimuli. It is not yet
 34 clear whether the available monitors can follow children through different levels of
 35 sedation accurately and this study would set out to determine which monitor best tracks
 36 the transition from moderate to deep sedation in children of different ages.

37 38 **3.4.6 Research recommendations on drugs for sedation in infants, children and** 39 **young people**

- 1 ➤ For children and young people under the age of 19 having minor painful
2 procedures, what potent analgesic drugs can be combined with midazolam to
3 provide safe moderate sedation?

4 **Why it is important**

5 Midazolam has a strong safety profile in inducing either minimal or moderate sedation.
6 For painful procedures midazolam should be combined with analgesia. Ideally,
7 analgesia is achieved by local anaesthesia. Sometimes local analgesia is insufficient and
8 potent opioid analgesia is necessary. The combination of potent opioid and midazolam
9 can cause deep sedation and airway obstruction. These effects can be managed safely
10 but involve extra resources. It would be safer if a technique could be developed that
11 was both reliable and had a wide margin of safety. Prospective and retrospective audit
12 data are available to help guide the choice of opioid and the doses. A randomised
13 controlled trial is needed to test the efficacy and safety of these combinations.

14

- 15 ➤ For children and young people under the age of 19 undergoing diagnostic or
16 therapeutic procedures under sedation with ketamine, how can the vomiting be
17 reduced?

18 **Why it is important**

19 Ketamine is demonstrated to have a strong efficacy and safety profile in enabling safe
20 sedation and as an analgesic drug useful for painful procedures in children and young
21 people. Its main side effect is vomiting in approximately 10% of patients. No data is
22 available on whether antiemetic drugs prevent vomiting. The GDG suggested an RCT
23 study comparing ketamine + placebo versus ketamine with antiemetic

24

- 25 ➤ For children and young people under the age of 19 undergoing diagnostic or
26 therapeutic procedures, are procedures carried out under sedation more safe,
27 effective and cost effective than those carried out under general anaesthesia?

28 **Why it is important**

29 Anaesthesia or an “Anaesthetist led service” has the advantage over sedation because it
30 usually has faster onset and offset and is more predictable. It generally requires
31 admission to hospital; it may be more expensive and is a scarce resource. Data
32 comparing the efficiency of sedation in comparison with anaesthesia for certain
33 procedures are not available. Models of care need to be developed and studied to
34 whether anaesthesia or sedation gives the best value for money. With such data,
35 efficient services can be planned.

36

- 37 ➤ For children and young people under the age of 19 undergoing endoscopy, is
38 propofol (with or without: analgesia, another drug or psychological techniques)
39 effective, safe and cost effective for sedation (at minimal and moderate levels) in
40 comparison with midazolam (with or without opioids) or with general
41 anaesthesia?

1 **Why it is important**

2 Propofol is a short acting anaesthetic agent that can be used to achieve any target
 3 sedation level. The dose necessary for gastrointestinal endoscopy however usually has a
 4 tendency to cause anaesthesia albeit for a short period of time. It would be helpful to
 5 know the dose limitation that is unlikely to cause deep sedation because this dose may
 6 be effective and well tolerated enough. Moderate sedation with propofol could be
 7 compared with another sedation technique such as midazolam with or without opioid. It
 8 could also be compared with a general anaesthetic dose of propofol.

9

- 10 ➤ For children and young people under the age of 19 undergoing painful
 11 procedures, is propofol effective and safe for sedation in comparison with
 12 ketamine?

13 **Why it is important**

14 Both ketamine and propofol are well tolerated and effective drugs suitable for painful
 15 procedures. Propofol however has a tendency to cause deep sedation and anaesthesia
 16 in which the airway and breathing may need an intervention or support. Ketamine has
 17 few appreciable effects on the airway and breathing but has a longer recovery time
 18 than propofol and causes vomiting.

19

- 20 ➤ What are the safety and efficacy profiles of sedation techniques in current
 21 practice?

22 **Why it is important**

23 There are no data on the safety of sedation in the UK. A large prospective database of
 24 sedation cases, that includes data on drugs, procedures, the depth of sedation and
 25 complications, would help to define the safety of sedation and actively promote safe
 26 practice. The GDG suggests that a national registry for paediatric sedation is
 27 established to help create a database with sufficient data.

28

- 29 ➤ Is patient-controlled sedation with propofol feasible in adolescents and children?

30 **Why it is important**

31 Propofol in low dose is an excellent anxiolytic. Patient-controlled sedation has been
 32 validated in adults undergoing dental procedures and endoscopy for safety and
 33 efficacy. Giving the patient control of their sedation has important psychological
 34 benefits. The study would involve developing new pump technology, paediatric software
 35 and a child friendly patient-activation system. There would have to be an open pilot
 36 evaluation to establish safety and efficacy followed by a randomised-controlled trial
 37 versus IV midazolam.

38

4 Key considerations in supporting the patient's journey

The patient journey is the experience of the patient and their family or carers before, during and after sedation for a procedure. It includes key stages of management by healthcare professionals including patient assessment and preparation. Each stage of the journey has been considered by the GDG for the purpose of maximising the success and safety of sedation. It is the healthcare practitioners themselves who will ensure that sedation is managed well and therefore their training has been discussed at length.

4.1 Pre-sedation assessment, communication, patient information and consent

4.1.1 Clinical introduction

Assessment of the patient is crucial to determine their needs for the procedure. Some patients will cooperate or tolerate procedures without alteration of their conscious level. Other patients will need sedation and the target level will vary according to the patient and the procedure. For example, the target sedation level for dental procedures is conscious sedation whereas a small child having an MRI scan needs to be unconscious either by deep sedation or anaesthesia. Many patients will have medical problems that could give rise to difficulties with sedation and anaesthesia. These will need careful assessment so that the risks of any chosen sedation technique can be appreciated. Communication of all these factors to the patient and their family is important to the consenting process. The presentation of clear and relevant information is likely to help patients and their families make reasoned choices.

4.1.2 Clinical methodological introduction

CLINICAL QUESTIONS:

For children and young people under the age of 19 undergoing diagnostic and therapeutic procedures under sedation techniques:

1. What factors should be assessed to justify the use of sedation rather than no sedation or general anaesthesia?
2. What validated tools should be used to support assessment?
3. Who should make the assessment and how should the assessment be recorded?
4. How should consent be obtained for sedation?

Clinical questions 1, 3 and 4

The GDG sought to provide guidance to these questions based on their expert experience and opinion.

Clinical question 2

The literature was searched but no relevant papers were identified for review.

4.1.3 GDG discussion on pre-sedation assessment, communication, patient information and consent

Factors to consider in assessment

The GDG agreed that clear guidance should be given about the components of the assessment of a child or young person prior to sedation. These components feature in the recommendation and, although others may be important, the specified components were considered to be essential and have been arranged in order of priority.

The assessment should begin by understanding the child or young person's medical (or surgical) problem that has led them to require the procedure. Other non-related problems or illnesses, such as diabetes mellitus or an upper airway viral infection, should be identified and assessed. Measurement of the body weight is a simple method of identifying children who are not following normal growth development (or those who are obese). Growth failure may suggest that the disease is severe. Obesity is associated with other medical problems and can impair effective breathing during deep sedation. The doses of all drugs, except vapours and gases, should be calculated or adjusted according to the body weight. In obese children drug doses should be calculated according to an estimated ideal body weight.

Details of previous sedation or anaesthesia, or any medication, may identify problems that can be avoided. An assessment of the airway, breathing and circulation may find dangerous risk factors and problems that require additional equipment and technical expertise. Pulse oximetry is a reliable estimate of oxygen saturation of arterial blood and heart rate. The GDG considered that this tool should be available in the pre-sedation assessment because it is easy to use and will identify some important respiratory and cardiovascular problems.

1 Some problems are well known to increase the risk of sedation so the benefit of the
 2 intended procedure needs to be considered. Physical examination requires training and
 3 experience.

4 Access to the patient's healthcare record is essential for information about previous
 5 problems with sedation or anaesthesia.

6 Children and young people who are unable to understand or cooperate with the
 7 sedation may be identified by assessment of their psychological and developmental
 8 status. Pre-sedation assessment should establish what the patient is able to understand
 9 and appreciate. This aids communication and gains assent. It should be determined if
 10 restraint or clinical holding have been used previously and how this was managed.
 11 Guidance on the appropriate use of restraint in children has been published by the
 12 Royal College of Nursing⁷.

13 The GDG discussed assessment of sedation in the emergency situation. It was agreed
 14 that in an emergency the medical needs should take priority until the patient has been
 15 stabilized. Once the child or young person has been stabilized, they can be assessed for
 16 sedation.

17 The GDG considered that it was important to make sure that there were safe facilities
 18 available to deliver the chosen sedation technique, and this led to discussion about who
 19 should be present and what equipment was necessary. The number of required
 20 healthcare professionals and the type of equipment were discussed. The GDG
 21 emphasized that these resources are essential and need to be present during sedation.
 22 Having them nearby may not prevent a problem soon enough, so they need to be next
 23 to the patient. If there is a respiratory complication, the healthcare professional will need
 24 to react promptly. If monitoring is used effectively, most problems will be prevented and
 25 others will be identified as soon as possible. Resuscitation equipment needs to be ready
 26 at hand. This includes airway and breathing devices that may need to be inserted
 27 promptly to avoid or treat hypoxia and cardiac arrest.

28 The GDG discussed how many healthcare professionals were needed according to the
 29 type of sedation and the intended procedure. It was noted that for some procedures the
 30 professional performing the procedure could control or assist in the sedation. In other
 31 situations two professionals were needed to concentrate on the patient during sedation
 32 and could not therefore be involved in the procedure. Overall, two professionals have to
 33 be available to look after a sedated patient; one of these may be involved with
 34 procedure provided they can stop the procedure and help with any complications of
 35 sedation.

36

37 **Use of validated tools in assessment**

38 As no evidence was found to support the use of validated tools in the assessment of
 39 children prior to sedation, the recommendations are based on the specialist experience
 40 and opinion of the GDG.

41 There are no validated tools for assessment of children and young people for sedation.
 42 There is, however, a widely used American Society of Anesthesiologists (ASA)^{1,6,44} scoring
 43 tool to grade risk in patients having anaesthesia. The GDG considered that this was
 44 widely understood, simple to use and therefore should be used in describing the physical
 45 status of children and young people who need sedation. The sedation management of a

1 patient who is assessed at ASA grade 3 or 4 should be managed after discussion with a
2 specialist in sedation or anaesthesia.

4 **Who should make the assessment?**

5 Whichever professional group is involved with sedation, assessment of children and
6 young people should be sufficient to identify important factors that affect the
7 management of sedation. The importance of assessment is emphasised and should be
8 carried out by a trained healthcare professional experienced in supporting children and
9 young people undergoing sedation.

10 The assessment (and other details of sedation management) should be recorded in the
11 healthcare record so that important details are available for any subsequent sedation or
12 anaesthesia. Clear healthcare records may prevent mistakes and reduce risks.

14 **Information and consent**

15 The GDG agreed that each child or young person should be assessed concerning their
16 capacity to make decisions, taking into account their previous experiences, level of
17 maturity and cognitive development. Children and young people who have capacity to
18 consent should be encouraged to do so.

19 Valid consent should be voluntary, fully informed and the person giving consent should
20 have capacity. Besides their parents or guardians, children and young people might like
21 to know about their illnesses, investigations and treatment and what is likely to happen to
22 them. They should be involved in decisions about their care, even if they are not able to
23 make decisions on their own, and should be given the opportunity to ask questions. It is
24 important that patients are given choice about which sedation technique, if any, should
25 be used. The choice will depend upon the risks, the side effects and the patient's ability
26 to cope with discomfort or anxiety. In essence, the choice is between sedation techniques,
27 no sedation or anaesthesia. There will be local variation within the healthcare settings
28 regarding consent protocols. Department of Health guidance on obtaining consent and
29 what to expect if you are a young person, parent or carer²⁻⁵ is available online at:

30 <http://www.dh.gov.uk/en/Publichealth/Scientificdevelopmentgeneticsandbioethics/Consent/Consentgeneralinformation/index.htm>
31

32 Healthcare professionals have a duty to explain fully to the child or young person about
33 the proposed sedation technique and any alternatives. The explanation should be given
34 in a way that the patient can understand and it should be supported by illustrations, or in
35 other formats, and in the language of the patient and family. High quality patient
36 information provision is the cornerstone of good clinical care and is essential for consent
37 to be valid.

38
39 Children and young people should be provided with timely, accessible information that is
40 easy to understand and appropriate to their level of understanding and maturity.

1 Details of consent and relevant discussions should be available in the healthcare record
 2 to help any future patient management.

3

4 **4.1.4 Health economic considerations**

5 An economic analysis was not carried out. The need for assessment is the same for all the
 6 sedation techniques considered and it is expected to have a low impact on the NHS
 7 resources.

8

9 **4.1.5 Recommendations on pre-sedation assessment, communication, patient**
 10 **information and consent**

Recommendation 1 Ensure that trained healthcare professionals (see section on personnel and training) carry out pre-sedation assessments and document the results in the healthcare record.

11

Recommendation 2 Establish suitability for sedation by assessing all of the following:

- current medical condition and any surgical problems
- weight (growth assessment)
- past medical problems (including any associated with previous sedation or anaesthesia)
- current and previous medication (including any allergies)
- physical status (including the airway)
- psychological and developmental status.

12

Recommendation 3

Seek advice from a specialist before delivering sedation:

- if there is concern about a potential airway or breathing problem
- if the child or young person is assessed as American Society of Anesthesiologists (ASA) grade 3 or greater
- for infants, including neonates.

1

Recommendation 4

Ensure that both the following will be available during sedation:

- a healthcare professional and assistant trained (see section on personnel and training) in delivering and monitoring sedation in children and young people
- immediate access to resuscitation and monitoring equipment (see section on clinical environment and monitoring).

2

Recommendation 5

Choose the most suitable sedation technique based on all the following factors:

- what the procedure involves
- target level of sedation
- contraindications
- side effects
- patient (or parent or carer) preference.

3

Recommendation 6

To enable the child or young person and their parents or carers to make an informed decision, offer them verbal and written information on all of the following:

- proposed sedation technique
- the alternatives to sedation
- associated risks and benefits.

4

Recommendation 7 Obtain and document informed consent for sedation.

1

2

**4.1.6 Research recommendation on pre-sedation assessment, communication,
patient information and consent**

3

4

- For children and young people under the age of 19 undergoing diagnostic and therapeutic procedures under sedation, what factors should be assessed to both establish the need for sedation and reduce the risk of adverse events?

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Why it is important

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Some children need sedation, some need anaesthesia, and some need behavioural management alone. There is wide variation in how this choice is made and a recommended standard method of assessment may reduce variation and improve both success and safety of sedation when it is chosen. Furthermore, an assessment tool may prevent unsuitable choices and improve the overall management of procedures in children. The GDG suggest an observational study to determine the important factors, followed by a consensus study to develop a tool. The assessment tool should be tested by a randomised comparison of children and young people who have been assessed routinely with those who have been assessed using the tool. The assessment tool aims to improve sedation success and quality, and reduce any complications.

18

1 4.2 Fasting

2 4.2.1 Clinical introduction

3 The importance of safety in any clinical procedure is paramount, and in relation to
 4 sedation the question 'should a child or young person be fasted before the procedure?' is
 5 important. Currently, local policy in relation to the administration of general anaesthesia
 6 is shaped by the joint Royal College of Nursing (RCN)/Royal College of Anaesthetists
 7 (RCA) Clinical Guideline 'Perioperative Fasting in Adults and Children' (2005)⁸. However,
 8 there is acknowledged variation in practice to routine fasting (or not) when applied to
 9 the management of children and young people receiving sedation. This guideline is
 10 timely in providing standard recommendations for practice.

12 4.2.2 Clinical methodological introduction

13 **CLINICAL QUESTION:**

14 For children and young people under the age of 19 undergoing diagnostic and
 15 therapeutic procedures under sedation techniques:

16 5. Should fasting versus no fasting be implemented to prevent adverse outcomes?

17 The review for this question consisted of three evaluation processes:

- 18 1) The joint RCN/RCA guideline 'Perioperative Fasting In Adults And Children' (2005)⁸
 19 was assessed using the AGREE instrument for appraisal of clinical guidelines.
- 20 2) The searches in the joint RCN/RCA guideline 'Perioperative Fasting In Adults And
 21 Children' (2005)⁸ were updated from the last date searched in that guideline (2004)
 22 to 2009. The purpose of this search to was to identify recent publications that might
 23 impact on recommendations for fasting in paediatric anaesthesia.
- 24 3) Since the RCN/RCA guideline did not cover sedation, a full search of the literature
 25 relevant to fasting for paediatric sedation was conducted.

26 One RCT met the inclusion criteria. Six observational studies were also included in this
 27 review, owing to lack of further RCT data.

28 **Population:** Infants, children and young people under the age of 19 who are receiving
 29 sedation by any technique for diagnostic or therapeutic procedures including dental
 30 surgery and minor operations carried out under local anaesthesia.

31 **Intervention:** Fasting before sedation with one of the following drugs: midazolam,
 32 ketamine, propofol, chloral hydrate, nitrous oxide, sevoflurane, fentanyl, morphine
 33 intravenous or intramuscular, or diamorphine.

34 **Comparison:** Fasting versus no fasting.

35 **Outcomes** for adverse events as evidenced by:

- 36 • Aspiration

- 1 • Vomiting
- 2 • Oxygen saturation <90%
- 3 • Respiratory intervention, including:
- 4 ○ oral-pharyngeal airway
- 5 ○ intubation
- 6 ○ assisted ventilation.

7

8 **AGREE appraisal**

9 The AGREE instrument was used to appraise the joint RCN/RCA clinical guideline
 10 ‘Perioperative Fasting In Adults And Children’ (2005)⁸. The full instrument with reviewer’s
 11 comments is available in Appendix I. The overall assessment was as follows:

12 This guideline is recommended with the following provisos:

- 13 • Update searches for the period from 2005 to 2009 are carried out, as the
 14 guideline is scheduled for review in 2009.
- 15 • Description of consensus methodology used for any Grade D recommendations is
 16 described.
- 17 • Conflict of interest records for the GDG are summarised.

18 At the request of the GDG, an update search was carried out for review of
 19 perioperative fasting in children and young people (2004-2009). A full search was
 20 conducted for fasting for sedation in children and young people for diagnostic and
 21 therapeutic procedures.

22 **Perioperative fasting in children and young people (2004-2009)**

23 No RCTs or observational studies were identified in the update search that met the
 24 inclusion criteria for a review of fasting in this population in preparation for general
 25 anaesthesia.

26 **Fasting for sedation in children and young people (all dates)**

27 One RCT and six observational studies were identified in the search for fasting prior to
 28 sedation in this population.

29 **Fasting State and Episodes of Vomiting in Children Receiving Nitrous Oxide for
 30 Dental Treatment**¹³³.

31 This controlled crossover study was performed to determine the frequency of vomiting
 32 during nitrous oxide/oxygen administration and to assess the relationship between
 33 fasting status and vomiting. A convenience sample of children (n=113) was randomly
 34 assigned to be fasting from solids for six hours and clear liquids for two hours before the
 35 procedure and their first dental treatment and non-fasting for the second treatment or,

1 alternatively, non-fasting initially and fasting for the next visit. The treatment time was
2 under 35 minutes in all cases. The average fasting time was six hours before treatment in
3 the fasting group and one hour before treatment in the non-fasting group. Vomiting
4 occurred in only one subject, a child who was not fasting (1/113). This was a non-
5 significant result.

6 The following six studies represent observational data that records the incidence of
7 adverse events related to the fasting status of children undergoing sedation. The data is
8 summarised in Table 1.

9

1 **Table 1. Adverse events related to pre-sedation fasting status**

2

Author	Total N	Adverse events/children fasted per guidelines (%)	Adverse events/children not fasted (%)	Results
Study design Setting Drug Agrawal 2003 ¹² Prospective case series ED, USA Mixed: 47% ketamine 23% fentanyl and midazolam 24% chloral hydrate and pentobarbital	905 5 days –18 years Median age: 5.4 years	32/396 (8.1%) total adverse events	35/509 (6.9%) total adverse events	No association between fasting state and adverse events. All adverse events were minor. Emesis occurred in 15 (1.5%) patients. There were no episodes of aspiration.
Babl 2005 ²³ Prospective case series Emergency Department, Australia 50–70% nitrous oxide	218 14 months – 17 years Median age: 8 years 3 months	4/63 (6.3%) vomiting	11/155 (7.1%) vomiting	There were no serious adverse events and no episodes of aspiration. The adverse events recorded represent emesis, which occurred in 15 children in total. There was no significant association between preprocedural fasting and emesis in this series.
Heistein 2006 ⁹² Retrospective review Echocardiography, USA Chloral hydrate	1095 1 month -3 years			Multivariate analysis showed that fasting times (0.6-72 hours) were not significantly associated with adverse events (p=0.36) including apnea, airway obstruction, hypoxia, hypercarbia, hypotension, vomiting and prolonged sedation.

Author	Total N	Adverse events/children fasted per guidelines (%)	Adverse events/children not fasted (%)	Results
<p>Study design</p> <p>Setting</p> <p>Drug</p>	<p>Age range</p>			
<p>Keidan 2004¹²⁸</p> <p>Retrospective review</p> <p>Auditory brainstem response, Israel</p> <p>Chloral hydrate, 50-60 mg/kg</p>	<p>200 infants (mean age 16 months \pm 10 in Group A – fasted group and mean age 14 months \pm 13 in Group B – not fasted group)</p>	<p>3/100 transient desaturation</p> <p>25/100 prolonged sedation (>120 minutes)</p> <p>2/100 agitation</p> <p>0/100 vomiting</p> <p>21/100 failure to achieve adequate sedation with first dose</p>	<p>1/100 transient desaturation</p> <p>5/100 prolonged sedation (>120 minutes)</p> <p>0/100 agitation</p> <p>0/100 vomiting</p> <p>11/100 failure to achieve adequate sedation with first dose</p>	<p>The fasted group showed significantly higher failure rate to achieve sedation with first dose (p=0.03) and hence needed higher doses (p<0.01) and were sedated for longer periods p<0.001). No difference was found in the adverse effect rate.</p>
<p>Roback 2004¹⁹⁰</p> <p>Prospective cohort</p> <p>Emergency Department, USA</p> <p>Ketamine, midazolam and 53/2085 'other' drugs</p>	<p>2085</p> <p>19 days -18 years</p> <p>Median age: 6.7 years</p>	<p>Fasted 2-4 hours: Respiratory (apnea, laryngospasm, oxygen saturation <90%): 30/391 (7.7%) Vomiting: 40/391 (10.2%)</p> <p>Fasted 4-6 hours: Respiratory (apnea, laryngospasm, oxygen saturation <90%): 31/430 (7.2%) Vomiting: 10/150 (6.7%)</p> <p>Fasted 6-8 hours: Respiratory (apnea, laryngospasm, oxygen saturation <90%): 7/281 (9.6%) Vomiting: 18/281 (6.4%)</p> <p>Fasted >8 hours: Respiratory (apnea, laryngospasm, oxygen saturation <90%): 19/303 (6.3%) Vomiting: 27/303 (8.9%)</p>	<p>Fasted 0-2 hours: Respiratory apnea, laryngospasm, oxygen saturation <90%): 11/150 (7.3%) Vomiting: 30/430 (7.0%)</p>	<p>No significant differences were found in adverse events according to fasting times. No patients experienced clinically apparent aspiration.</p>

Author	Total N	Adverse events/children fasted per guidelines (%)	Adverse events/children not fasted (%)	Results
Study design Setting Drug	Age range			
Treston 2004 ²¹⁷	257	Longer than 3 hours: 20/127 (15.7%) vomited	2-3 hours: 14/100 (14%) vomited 1 hour: 2/30 (6.6%) vomited	There was a non-significant trend to increased incidence of vomiting with increased fasting times (p=0.08)
Prospective cohort	1-12 years			
Emergency Department, Australia				
Ketamine				

1
2
3 **Other relevant publications**

4 The Dental Clinical Guidance for conscious sedation in dentistry was published in 2006⁴⁵
5 and highlighted fasting before conscious sedation as an area requiring further high-
6 quality research.

7 Another prospective cohort study in which children were sedated for gastroscopy with
8 demerol or diazepam showed that there was no significant correlation between duration
9 of fasting from fluids and solids from 0.5 to 24 hours and either gastric volume or
10 pH¹⁰⁰.

11
12 **4.2.3 GDG discussion on fasting**

13 When considering what guidance should be provided in relation to fasting, the GDG
14 looked at a range of possible recommendations. This ranged from no fasting is necessary
15 prior to administration of sedation through to the application of standard fasting policy
16 throughout the UK shaped by the joint RCN/RCA clinical guideline ‘Perioperative Fasting
17 In Adults and Children’ (2005)⁸, known colloquially as the “2-4-6” rule, namely 2 hours
18 for clear fluid, 4 hours for breast milk and 6 hours for solids (including formula milk). This
19 guideline was positively appraised as per NICE Technical Manual (2009)¹⁷² using the
20 AGREE instrument, and the initial GDG position was to apply standard fasting policy.

21 During GDG discussion two main concerns emerged; these were that children and young
22 people undergoing sedation should not be unnecessarily fasted and the importance of
23 safety. One pharmacological intervention, nitrous oxide alone (up to 50% in oxygen),
24 was felt to have no safety concerns and on this basis the GDG accepted that
25 recommendations should reflect this. Given the publication date of the RCN/RCA
26 guideline⁸, the original search strategy was re-run to the end of 2009, with an
27 additional search applied to the target population of this guideline: children and young
28 people receiving sedation and not general anaesthesia. While a number of studies were
29 found, the quality of the evidence was weak, with the GDG choosing to apply the
30 standard fasting recommendation from the Clinical Guideline ‘Perioperative Fasting in
31 Adults and Children’ (2005)⁸. The wording of the recommendation that focuses on
32 ‘elective procedures’, reflects an important GDG discussion on administering sedation for
33 emergency procedures. Clinical decision making in this context was recognised to
34 balance the risks and benefits of sedation. The GDG noted that the fasting status of a
35 child presenting in the emergency context cannot be guaranteed and recognised the

1 importance of local clinical decision making given the clinical circumstances. It was also
2 noted by the GDG that recording pre-sedation fasting was important and should be
3 inserted into the healthcare record.

4.2.4 Health economic considerations

6 An economic analysis was not carried out. It was anticipated that fasting will not
7 significantly increase the healthcare resources required to manage a patient undergoing
8 a procedure.

4.2.5 Recommendations on fasting

Recommendation 8 Before starting sedation, confirm and record the time of last food and fluid intake in the healthcare record.

Recommendation 9 Fasting is not needed for:

- minimal sedation
- sedation with nitrous oxide and oxygen
- moderate sedation during which the child will maintain verbal contact with the healthcare professional.

Recommendation 10

Apply the 2-4-6 fasting rule^{ss} for elective procedures using any sedation technique other than those in recommendation 1.2.2 (that is, for deep sedation and moderate sedation during which the child might not maintain verbal contact with the healthcare professional).

1

Recommendation 11

For an emergency procedure in a child or young person who has not fasted, base the decision to proceed with sedation on the urgency of the procedure and the target depth of sedation.

2

4.2.6 Research recommendation on fasting

- For children and young people under the age of 19 undergoing diagnostic and therapeutic procedures under sedation how long should they be fasted to prevent adverse events?

Why it is important

Inhalation of gastric contents can be fatal. Loss of consciousness is associated with the loss of vital airway reflexes and inhalation of gastric contents is possible. Consequently, fasting (in order to keep the stomach empty) is standard practice before general anaesthesia and has become standard before any sedation technique that may cause loss of consciousness. Prolonged fasting, however, is distressing and can cause dehydration and hypoglycaemia. It would be helpful to know the minimum length of time necessary to fast a child before sedation in order to ensure that the stomach is empty, and to know that likelihood of regurgitation or vomiting is very small.

16

^{ss} Fasting times should be as for general anaesthesia:

- 2 hours for clear fluids
- 4 hours for breast milk
- 6 hours for solids.

1 4.3 Psychological preparation

2 For a full narrative review on psychological preparation see chapter 5.

3

4 4.3.1 Clinical introduction

5 A substantial body of research from different paradigms affirms that children who have
 6 been repeatedly exposed to anxiety-provoking painful medical events are at increased
 7 risk for developing adult dysfunctional cognitions and avoidant attitudes toward
 8 healthcare. In some cases, serious mental health problems, such as post-traumatic stress,
 9 can occur. The pharmacological management of acute pain and anxiety in children
 10 undergoing therapeutic and diagnostic procedures outside the operating room has
 11 developed substantially in the past 15 years and procedural sedation is frequently used
 12 for the care of children in many medical settings. Pharmacological sedation and
 13 analgesia, however, do not adequately address the emotional, cognitive, and
 14 behavioural components that are integral to the sedation experience. Consequently,
 15 effective patient management requires an interdisciplinary approach and should include
 16 psychological techniques, which can be used alone or in combination with
 17 pharmacological treatment.

18

19 4.3.2 Clinical methodological introduction

20 **CLINICAL QUESTION:**

21 For children and young people under the age of 19 undergoing diagnostic and
 22 therapeutic procedures under sedation techniques:

- 23 6. what standard psychological preparation, coping skills and strategies should be
 24 used?

25 **Population:** Infants, children and young people under the age of 19 who are receiving
 26 sedation by any technique for diagnostic or therapeutic procedures including dental
 27 surgery and minor operations carried out under local anaesthesia.

28 **Intervention:** Psychological preparation.

29 **Comparisons:**

- 30 • No intervention, usual care
- 31 • Pre-medication
- 32 • Another non-pharmacological treatment

33 **Outcomes** for efficacy of psychological preparation:

- 34 • Completion of procedure
- 35 • Behavioural ratings including:

- 1 ○ pain as assessed using validated pain scales, such as FACE or VAS
- 2 ○ children’s Hospital of Eastern Ontario Pain Scale (CHEOPS), Spielberg
- 3 State-Trait Anxiety Inventory (STAI)
- 4 ○ procedural distress as assessed by validated scales such as Observational
- 5 Scale of Behavioural Distress (OSBD)
- 6 ○ parent/patient satisfaction
- 7 • Sedation timing including:
 - 8 ○ length of induction (defined as time from administration of sedation drug
 - 9 to initiation of procedure)
 - 10 ○ Length of recovery (defined as time from completion of procedure to
 - 11 recovery criteria being met)

12 The search for psychological preparation for paediatric sedation included both
 13 quantitative and qualitative literature. Only two RCTs were identified and therefore the
 14 review for this intervention was primarily a narrative review of observational studies and
 15 randomized controlled clinical trials conducted in other relevant contexts, that is, induction
 16 for anaesthesia and medical procedures (see chapter 5).

17

18 4.3.3 Clinical evidence statements

19 **The effects of a psychological preparation program on anxiety in children and** 20 **adolescents undergoing gastrointestinal endoscopy; Mahajan 1998¹⁵⁵.**

21 This study was carried out at the Cleveland Clinic in the USA in a population of children
 22 and young people ages 6-19 years. In a sample of 60 patients, the control group
 23 received usual patient education and the intervention group received psychological
 24 preparation consisting of demonstration of materials that would be used in the
 25 procedure. A doll was used as a model, if age appropriate. A book with photographs of
 26 a child undergoing the procedure was also shown. The same child life specialist provided
 27 all of psychological preparation.

28 In this study, the outcomes of anxiety and distress were measured using validated scales.
 29 The Spielberg State-Trait Anxiety Inventory (STAI) was administered to patients after
 30 the psychological intervention but before the endoscopic procedure. The Observational
 31 Scale of Behavioural Distress (OSBD) was administered during the procedure.

32 Compared to usual care the children receiving psychological preparation had
 33 significantly less anxiety before the procedure [low quality evidence].

34 There was no significant difference between the groups in distress levels as measured by
 35 the OSBD instrument, although patients in the intervention group had a lower weighted
 36 mean score interval (1 versus 1.3).

37

Author(s): Mahajan 1998¹⁵⁵

Question: Should psychological preparation versus usual care be used for paediatric sedation?

Quality assessment							Summary of findings				Quality	Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect			
							Psychological preparation	Usual care	Relative (95% CI)	Absolute		
Anxiety (range of scores; Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	30	30	-	MD -10.10 (-13.77 to -6.43)	LOW	
Distress (range of scores; Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	30	30	-	MD -0.30 (-0.88 to 0.28)	LOW	

1 Method of randomisation and allocation not described. Blinding of assessors not described.

2 Small study with 30 participants in each group. Outcome measures dependant upon subjective perception of anxiety and distress despite validation.

Anticipatory anxiety in children visiting the dentist: lack of effect of preparatory information; Olumide 2009¹⁷⁵

This study was carried out at the Kings College Hospital paediatric dental clinic, London, in a population of children aged 8-12 years. In a sample of 50 patients, the intervention group received a preparatory leaflet and the control group received a leaflet about healthy eating. Anxiety levels were measured using the Facial Image Scale before and after children read their leaflets. Intra-group comparisons were made. No inter-group statistics were calculated

In both groups there was no significant difference in anxiety levels before or after reading the leaflets [moderate quality evidence].

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2
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5

Author(s): Olumide 2009¹⁷⁵
Question: Should preparatory leaflet be used for anxiety?
Settings: dental treatment

Quality assessment							Summary of findings				Quality	Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect			
							preparatory leaflet	control	Relative (95% CI)	Absolute		
Anxiety with preparatory leaflet (range of scores: Better indicated by less)												
1	randomised trial	no serious limitations	no serious inconsistency	no serious indirectness	serious ¹	none	25	25	-	MD 0.56 (0.08 to 1.04)	MODERATE	
Anxiety with healthy eating leaflet (range of scores: Better indicated by less)												
1	randomised trial	no serious limitations	no serious inconsistency	no serious indirectness	serious ²	none	25	25	-	MD 0.24 (-0.16 to 0.64)	MODERATE	

6
7
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9

1 Although sample size calculations were acceptable for 80% power, this remains a small study and should be repeated in larger population.
 2 No explanation was provided.

1 **4.3.4 GDG discussion on psychological preparation**

2 The GDG noted that sedation is only one of the management options available for
3 children and young people undergoing therapeutic or diagnostic procedures.
4 Psychological interventions can be used to reduce anxiety and manage behaviour in
5 combination with sedation.

6 Parental involvement in the preparation of the child and during the procedure may
7 reduce the distress caused by separation anxiety, particularly in young children.

8 The GDG believe psychological techniques (for example, information for the
9 patient/carer before, during and after sedation, cognitive behavioural therapy,
10 distraction, guided imagery, hypnosis, demonstration play therapy and music therapy)
11 form part the child/family preparation. An individualised approach to using these
12 techniques will benefit the child and minimise fear, anxiety, pain and distress.

13 In making the recommendations, the GDG agreed that healthcare professionals involved
14 in sedation should:

- 15 • have knowledge and understanding of psychological methods of patient preparation
16 and coping skills and strategies, such as the “tell-show-do” method, and simple
17 distraction techniques
- 18 • consider psychological techniques for the child and family as part of patient
19 preparation and tailor to the age, understanding and needs of the child/parent
- 20 • involve the parent/carer in the preparation of the child and during the procedure
- 21 • offer factual information about the clinical setting, the procedure itself and the
22 different steps of the procedure
- 23 • offer information and discussion about what the child may experience before, during
24 and after the procedure
- 25 • discuss coping strategies and skills with the child/family
- 26 • consider using trained psychosocial professionals for patient preparation
- 27 • modify psychological methods of preparation according to the urgency of the
28 procedure.

29

30 **4.3.5 Health economic considerations**

31 An economic analysis was not conducted. Preparation for children and young people
32 undergoing diagnostic and therapeutic procedures under sedation techniques was felt to
33 be part of routine care. Providing patients and their families with information on coping
34 strategies was felt to be part of a routine care.

35

1 **4.3.6 Recommendations on psychological preparation**

Recommendation 12 Ensure that the child or young person is prepared psychologically for sedation by offering information about:

- the procedure
- what the child or young person should do and what the healthcare professional will do
- the sensations associated with the procedure (for example, a sharp scratch or numbness)
- how to cope with the procedure.

2

Recommendation 13 Ensure that the information is appropriate for the developmental stage of the child or young person and check that the child or young person has understood the information.

3

Recommendation 14 Offer parents and carers the opportunity to be present during sedation if appropriate. If a parent or carer decides to be present, offer them advice about their role during the procedure.

4

Recommendation 15 For an elective procedure, consider referring to a mental health specialist children or young people who are severely anxious or who have a learning disability.

5

6 **4.3.7 Research recommendation on psychological preparation**

- 7 ➤ For children and young people under the age of 19 undergoing diagnostic or
 8 therapeutic procedures under sedation what psychological techniques can lead
 9 to sedation sparing, improve patient/family satisfaction and ensure safe
 10 completion of the procedure?

11 **Why it is important**

12 Psychological interventions in children and young people are used extensively in
 13 combination with pharmacological interventions for the management of painful medical
 14 procedures and for pre- and post-operative distress and pain management after

1 anaesthesia. Similar data are lacking for children undergoing diagnostic and therapeutic
2 procedures under sedation. However, a significant body of literature shows sedation
3 sparing, reduced incidence of side effects and increased satisfaction in adults
4 undergoing various procedures under sedation when combined with psychological
5 interventions such as hypnosis. Randomised controlled trials testing the efficacy of the
6 combination of psychological interventions with sedation versus sedation on its own will
7 allow us to determine whether adding psychological interventions to patient management
8 under sedation is beneficial for children and young people.

9

1 **4.4 Personnel and training**

2 **4.4.1 Clinical introduction**

3 All healthcare professionals involved in the care of sedated children and young people
 4 should be appropriately trained. The training of healthcare professionals delivering
 5 sedation currently varies by speciality. There are a number of reports that provide
 6 guidance on the types of training courses available (for example “Conscious Sedation in
 7 the Provision of Dental Care”²⁰⁸) but there remains significant variability between
 8 different healthcare providers and specialities.

9 The aim of this section is to provide clear advice on training requirements to ensure that
 10 every healthcare professional is competent in the sedation techniques they use and in the
 11 management of complications that might arise when using these techniques. This is
 12 important because there is currently no uniform requirement for assessing sedation skills,
 13 nor any consistent requirement for revalidation of skills.

14 Training may be delivered by Trusts, Universities, Royal Colleges or other independent
 15 providers but the responsibility for ensuring that healthcare professionals have
 16 undergone appropriate training should lie with the local NHS Trust providing sedation
 17 services.

18

19 **4.4.2 Clinical methodological introduction**

<p>20 CLINICAL QUESTIONS:</p> <p>21 For children and young people under the age of 19 undergoing diagnostic and 22 therapeutic procedures under sedation:</p> <p>23 7. what generic and specific skills are required for different team members and for 24 different levels of sedation?</p> <p>25 8. what training and competences are required for the personnel involved?</p> <p>26 9. what assessment and maintenance of skills is required for the personnel involved?</p>
--

27 GDG sought to provide guidance to these questions based on their expert experience
 28 and opinion.

29 **4.4.3 GDG discussion on personnel and training**

30 **Skills required for sedation**

31 The GDG agreed that sedation should be administered by a team and someone in the
 32 team should have the skills to ensure the sedation is effective and that any complications
 33 are managed successfully. Many types of skills were discussed, including pre-sedation
 34 patient assessment and communication. During sedation until the end of recovery the skills
 35 of observation and monitoring were considered to be essential for safety. These include
 36 airway patency, breathing rate and depth, pulse, pallor and cyanosis and depth of
 37 sedation. The complications of airway obstruction and respiratory arrest can be readily
 38 overcome by prompt recognition and management; if they occur, serious consequences

1 should be unlikely. These skills need to be practised regularly. The skills for the
2 management of cardiac arrest are also essential.

3 **Training and competencies**

4 The GDG agreed that all healthcare practitioners administering sedation need to be
5 trained in the practice of delivering effective sedation. Since there are a number of
6 sedation techniques, the training and competencies would need to be specific to the
7 sedation technique. Some generic skills were agreed, such as the assessment of conscious
8 level and pain. In respect to the complications of sedation, however, the GDG accepted
9 that some sedation techniques were not safe enough to be used unless healthcare
10 practitioners had specific training. They would need to be trained to manage the
11 complications of that technique. If airway or respiratory complications were considered
12 to be extremely unlikely, then some skills may be considered unnecessary. The
13 recommendations took account of the likelihood of airway and respiratory complications
14 of the sedation according to the technique and the target level of sedation.

15 Techniques with a narrow margin of safety readily cause airway obstruction and
16 apnoea. Consequently, the GDG believed that these drugs could only be recommended
17 for use by teams with special expertise. This situation applies to most anaesthetic agents
18 and also the use of some combinations of drugs with opioids. The risk of opioids relates
19 to judging the correct dose to overcome the pain. If the pain reduces (for example after
20 the extraction of a tooth) the opioid causes the respiratory depression and this is made
21 more likely if the patient is deeply sedated.

22 The GDG noted it is essential that healthcare practitioners undergo competency-based
23 assessment upon completion of training to ratify their ability to undertake sedation on
24 children and young people. Current practice varies between providers and specialities
25 and there is currently no uniform requirement for assessing sedation skills, nor any
26 consistent requirement for healthcare practitioners to revalidate their skills.

27 **Assessment and maintenance of skills**

28 The GDG pointed out that there are a number of reports that have provided guidance
29 on the nature of training but there remains variability across different healthcare
30 providers and specialities.

31 The GDG considered the following in making recommendations by consensus:

- 32 • Healthcare professionals practising sedation should have documented evidence
33 of competency. This should include:
 - 34 ○ satisfactory completion of knowledge-based learning (for example,
35 certificate confirming completion of a didactic training course covering the
36 theoretical principles of sedation practice)
 - 37 ○ log/record of satisfactory acquisition of practical and clinical skills
38 relevant to the type of sedation being used including:
 - 39 ▪ log-record of patients managed under supervision
 - 40 ▪ a record of successful completion of work-based assessments (for
41 example, Direct Observation of Procedural Skills - DOPS)

- 1 • Healthcare practitioners who have already completed specialist training should
2 attend a didactic training course to ensure up-to-date knowledge and should be
3 able to demonstrate a track record of safe sedation practice in the techniques
4 they use.

- 5 • Healthcare professionals practising sedation should keep their skills up-to-date
6 by regular practice of sedation techniques and reinforcement of theoretical and
7 practical skills, undertaken as an essential component of Continuing Professional
8 Development.

- 9 • Healthcare professionals should maintain documentary evidence of clinical
10 activity and Continuing Professional Development in sedation.

11 **Failure of sedation**

12 Sedation may not always succeed; the drugs may not be effective enough at the desired
13 target level of sedation. If a patient becomes too distressed and cannot cope or
14 cooperate with a painful procedure, increasing the doses of sedation drugs may only be
15 effective if they cause deep sedation or anaesthesia. Likewise, if sedation does not
16 cause a child to sleep during painless imaging, increasing the doses may only be
17 effective if the child becomes unconscious. Deep sedation techniques often cause a
18 prolonged recovery time and have the associated hazards of suppression of vital airway
19 and breathing reflexes. In these circumstances anaesthesia drugs are more suitable
20 because they can be given in the dose required to cause the sedation level that the
21 patient needs. Moreover, they are short-acting drugs and can be given to cause sedation
22 or anaesthesia over the period of the procedure; they do not cause prolonged recovery
23 times. If the healthcare professional is suitably trained and has the facilities for
24 anaesthesia, anaesthesia is feasible as soon as the patient needs it. Often, the skills and
25 facilities are not available and anaesthesia will need to be arranged at another time
26 and place.

27 **4.4.4 Health economic considerations**

28 An economic analysis was not carried out. The cost of training healthcare professionals is
29 not normally considered within cost-effectiveness analysis but may be included in the
30 budget impact analysis.

31

1 **4.4.5 Recommendations on personnel and training**

Recommendation 16 Healthcare professionals delivering sedation should have knowledge and understanding of and competency in:

- sedation drug pharmacology and applied physiology
- assessment of children and young people
- monitoring
- recovery care
- complications and their immediate management, including paediatric life support.

2

Recommendation 17 Healthcare professionals delivering sedation should have practical experience of:

- effectively delivering the chosen sedation technique and managing complications
- observing clinical signs (for example airway patency, breathing rate and depth, pulse, pallor and cyanosis, and depth of sedation)
- using monitoring equipment.

3

Recommendation 18 Ensure that members of the sedation team have the following life support skills:

	Minimal sedation*	Moderate sedation	Deep sedation
All members	Basic	Basic	Basic
At least one member		Intermediate	Advanced

* including sedation with nitrous oxide alone (in oxygen) and conscious sedation in dentistry.

4

Recommendation 19

Ensure that a healthcare professional trained in delivering anaesthetic agents (see appendix J) is available to administer:

- sevoflurane^{***}
- propofol^{†††††},
- opioids^{§§§} combined with ketamine^{****†††}.

1

Recommendation 20

Healthcare professionals delivering sedation should have documented up-to-date evidence of competency including:

- satisfactory completion of a theoretical training course covering the principles of sedation practice
- a comprehensive record of practical experience of sedation techniques, including details of:
 - sedation in children and young people performed under supervision
 - successful completion of work-based assessments.

2

^{***} Sevoflurane is used in UK clinical practice for sedation of children and young people. At the time of publication (December 2010) sevoflurane did not have UK marketing authorisation for this indication. See appendix J.

^{†††} Propofol is used in UK clinical practice for sedation of children and young people. At the time of publication (December 2010) propofol did not have UK marketing authorisation for this age group. See appendix D.

^{†††} At the time of publication (December 2010) the BNFC stipulated that if deep sedation is needed an anaesthetic agent (for example, propofol or ketamine), or a potent opioid (for example, fentanyl) may be used. However, they should be used only under the supervision of a specialist experienced in the use of these drugs.

^{§§§} At the time of publication (December 2010) the BNFC stipulated that if deep sedation is needed an anaesthetic agent (for example, propofol or ketamine), or a potent opioid (for example, fentanyl) may be used. However, they should be used only under the supervision of a specialist experienced in the use of these drugs.

^{****} Ketamine is a dissociative agent: the state of dissociative sedation cannot be readily categorised as either moderate or deep sedation; the drug is considered to have a wide margin of safety.

^{††††} At the time of publication (December 2010) the BNFC stipulated that if deep sedation is needed an anaesthetic agent (for example, propofol or ketamine), or a potent opioid (for example, fentanyl) may be used. However, they should be used only under the supervision of a specialist experienced in the use of these drugs.

Recommendation 21

Each healthcare professional and their team delivering sedation should ensure they update their knowledge and skills through programmes designed for continuing professional development.

1

Recommendation 22

Consider referring to an anaesthesia specialist a child or young person who is not able to tolerate the procedure under sedation.

2

4.4.6 Research recommendation on personnel and training

- For personnel involved in delivering sedation to children and young people under the age of 19 undergoing diagnostic and therapeutic procedures what training is required to both achieve and maintain essential skills?

Why it is important

Potent drugs can cause unintended airway obstruction. Anaesthetists are skilled at managing airway obstruction because they practise this regularly. However, anaesthetists are a scarce resource so non-anaesthetists need to learn how to manage airway obstruction. The skills that are needed have been identified but can these skills be attained and maintained by professionals who need them occasionally? The GDG suggests that a standard teaching method and assessment tool are developed. This would involve an observational study of a cohort of trainees, who can be assessed, trained and then reassessed at varying intervals to determine whether the training is successful and how often it is necessary.

17

1 **4.5 Clinical environment and monitoring**

2 **4.5.1 Clinical introduction**

3 Sedation of children and young people happens in a variety of clinical environments,
4 with a range of specialty staff, and a selection of different sedative agents.

5 Sedation carries a risk of serious adverse events, including hypoxia, reduced
6 consciousness, apnoea and loss of airway control. In some sedation techniques the
7 sedation level can become deep rapidly, so, in order to ensure their safety, it should be
8 possible to monitor a child or young person for a deeper level of sedation than planned.

9 Assessment of requirements for monitoring should be undertaken prior to any sedation
10 event, and monitoring should start prior to administration of any sedation agent.
11 Monitoring will depend not only on sedation technique but also the child’s tolerance, and
12 may become less intrusive as the child becomes more awake.

13 This section makes recommendations for minimum levels of monitoring for all children and
14 young people receiving sedation, to reduce the risk of adverse events, and improve
15 patient safety.

16

17 **4.5.2 Clinical methodological introduction**

18 **CLINICAL QUESTIONS:**

19 For children and young people under the age of 19 undergoing diagnostic and
20 therapeutic procedures under sedation techniques:

21 10. during moderate or deep sedation techniques, what monitoring and equipment is
22 required to reduce the risk of complications?

23 11. when should monitoring stop?

24 GDG sought to provide guidance to these questions based on their expert experience
25 and opinion.

26

27 **4.5.3 GDG discussion on clinical environment and monitoring**

28 **What monitoring is required?**

29 The GDG aimed to provide consistency in monitoring standards, to provide some
30 evidence around the use of capnography, to inform judgement and to reduce the risk of
31 adverse events to patients.

32 The GDG noted that monitoring varies across specialties. When nitrous oxide alone is
33 used, for example for dental treatment, the GDG stated that monitoring as
34 recommended for other sedative drugs is not needed. In emergency care, monitoring
35 commences prior to sedation. Vital signs are taken prior to commencement and
36 documented at intervals throughout the procedure. The healthcare team’s approach in
37 determining frequency of observation/monitoring interventions is dependant on the

1 procedure itself, the level of sedation to be achieved and child's tolerance. The GDG
2 indicated that in some sedation techniques the sedation level can become deep rapidly
3 and monitoring should be increased if patient becomes unrousable or unconscious.

4 The GDG noted that patient monitoring needs to begin prior to administration of the
5 agent(s) unless this causes unnecessary distress. The GDG described sedation monitoring
6 as a continuum from awake to anaesthesia, which becomes less intrusive as the child
7 becomes more awake.

8 The GDG raised concern about the difficulty in dealing with monitoring of children who
9 are uncooperative, distressed or anxious, as well as on the lack of understanding of the
10 potential effects/side-effects of drugs used and the risks of a changing target state. This
11 concern reflects the range of possible behaviours and compliance observed in practice
12 and the various techniques that healthcare professionals may apply in effectively
13 managing this. Factors for consideration are seen in the recommendation and provide
14 direction for the sedation team.

15 **When should monitoring stop?**

16 The GDG noted that practitioners do sometimes take their 'eye off the ball' when the
17 procedure is complete, but the child is still sedated. The GDG agreed, by general
18 consensus, that the point at which monitoring stops is not the same as discharge criteria as
19 sedation state may vary throughout the recovery period.

20 Staff and facilities should be available to manage an unconscious or an acutely sick
21 patient until either they have recovered or they can be transported to another facility
22 who can continue their care.

24 **4.5.4 Health economic considerations**

25 An economic analysis was not carried out. The appropriate monitoring will be largely
26 determined by safety considerations. If the use of a particular sedation technique
27 increases the duration and intensity of monitoring, then this should have been captured in
28 the cost estimate of that sedation technique. We have included the cost of staff and
29 consumables associated with different sedation techniques in our economic analysis.

1 **4.5.5 Recommendations on clinical environment and monitoring**

2

Recommendation 23

For moderate sedation excluding with nitrous oxide alone (in oxygen) continuously monitor, interpret and respond to changes in all of the following:

- depth of sedation
- respiration
- oxygen saturation
- heart rate
- pain
- coping
- distress.

3

Recommendation 24

For deep sedation continuously monitor, interpret and respond^a to changes in all of the following:

- depth of sedation
- respiration
- oxygen saturation
- heart rate
- three-lead electrocardiogram
- end tidal CO₂ (capnography)^b
- blood pressure (monitor every 5 minutes)^b
- pain
- coping
- distress.

^aFor deep sedation, the healthcare professional administering sedation should be involved only in continuously monitoring, interpreting and responding to all of the above

^bEnd tidal CO₂ and blood pressure should be monitored, if possible, provided that monitoring does not cause the patient to awaken and so prevent completion of the procedure

1

Recommendation 25

Ensure that data from continuous monitoring during sedation are clearly documented in the healthcare record.

2

Recommendation 26

After the procedure, continue monitoring until the child or young person:

- has a patent airway
- shows protective airway and breathing reflexes
- is haemodynamically stable
- is easily roused.

1

2

1 **4.5.6 Research recommendations on clinical environment and monitoring**

- 2 ➤ Which depth of anaesthesia monitors can be used to monitor depth of sedation
3 in children and which is best?

4 **Why it is important**

5 Several depth of anaesthesia monitors are in use around the world. Most use processed
6 EEG signals while some use stimulation of the brainstem by auditory stimuli. It is not yet
7 clear whether the available monitors can follow children through different levels of
8 sedation accurately and this study would set out to determine which monitor best tracks
9 the transition from moderate to deep sedation in children of different ages.

10

1 4.6 Discharge criteria

2 4.6.1 Clinical introduction

3 The aim of establishing discharge criteria is to ensure children go home from a sedation
4 event only when it is safe for them to do so. Recovery from sedation is a continual
5 process and some children might benefit from a longer period of less-intense observation
6 before being discharged. This is particularly important when using sedation agents that
7 have a prolonged effect and may delay a child's complete recovery, or pose the risk of
8 re-sedation.

9 4.6.2 Clinical methodological introduction

10 **CLINICAL QUESTION:**

11 For children and young people under the age of 19 after diagnostic and therapeutic
12 procedures under sedation techniques

13 12. what discharge criteria are required?

14 The GDG sought to provide guidance to this question based on their expert experience
15 and opinion.

16 4.6.3 GDG discussion on discharge criteria

17 The GDG noted that in current practice discharge criteria vary across specialties and
18 professionals. In emergency care, children will be observed/monitored until they reach a
19 'pre-sedation' state. They are discharged into the care of a responsible adult, and
20 advice is given on what to expect in the first 24 hours after sedation. Recovery from both
21 the procedure and the sedation takes a variable length of time and depends upon the
22 procedure, its length, the sedation technique and the doses used.

23 A simple checklist can be used to make sure that children have returned to their pre-
24 sedation states. However, this should also take into account the capabilities of the
25 person caring for the child following discharge, the presence of other medical problems
26 and the distance the family has to travel to obtain medical assistance. It is more
27 important to individualise the times of discharge rather insist on a minimum length of
28 stays.

29 Recovery from sedation caused by some drugs and techniques can be prolonged and
30 unpredictable and there is a risk that after discharge the patient may become re-
31 sedated. In this situation there may be a danger of respiratory depression and hypoxia.
32 Prolonged sedation may also mean that intake of drink and food may be delayed,
33 leading to dehydration and hypoglycaemia. These problems may be more common with
34 orally administered drugs because absorption can be delayed and unpredictable.

35

36 4.6.4 Health economic considerations

37 An economic analysis was not carried out. The choice of discharge criteria should be
38 based on minimizing the risk that a patient will experience an adverse event after
39 discharge. If the use of a particular sedation technique results in the patient taking longer
40 to meet the discharge criteria, and is associated with increased duration of stay, this

1 should have been accounted for in the costing of the technique. We have included the
2 cost of recovery in our costing of sedation techniques.

3

4 **4.6.5 Recommendation on discharge criteria**

5

Recommendation 27

Ensure that all of the following criteria are met before the child or young person is discharged:

- vital signs (usually body temperature, heart rate, blood pressure and respiratory rate) have returned to normal levels
- the child or young person is awake (or returned to baseline level of consciousness) and there is no risk of further reduced level of consciousness
- nausea, vomiting and pain have been adequately managed.

6

5 Psychological preparation

5.1 Narrative review

5.1.1 Introduction

This narrative review provided material to inform the GDG and to enable consensus decisions leading to recommendations on how children and young people should be prepared prior to their sedation experience. The nature of the evidence base in this area lends itself to this approach.

A full literature search was conducted for psychological preparation for sedation in children. The search was not limited by study design. The resulting 1455 studies were double sifted by the research fellow and by the reviewer for this topic. Two hundred and eight studies were ordered and quality assured by the reviewer.

The benefits of a systematic narrative review of the clinical evidence are highlighted by Oxman¹⁷⁶ and colleagues. Applying the quality assurance principles advocated by Oxman¹⁷⁶, a valid review article can provide the best possible source of information that can lay a foundation for clinical decisions to be made. There is an argument that focused narrative reviews for these important areas of preparation and assessment of the child prior to sedation are more likely to provide valid results that are useful for clinicians. Having provided the background and context for this review, we begin by defining psychological preparation and stating its aims and factors that affect its exact nature and content. This is followed by summarising the evidence for the efficacy of psychological preparation for anaesthesia induction and other medical procedures. Following this, the literature regarding parental and children's desire for information is reviewed. Next, the evidence regarding the effects of parental presence during anaesthesia induction and other medical procedures is discussed, along with the role that parents play when present. The review concludes by summarising the existing evidence and good clinical practice and making recommendations for the preparation of children and their parents for sedation.

28

5.1.2 What is psychological preparation

Psychological preparation includes specific interventions to provide information and reduce anxiety. Providing three types of information is central: (a) information is provided about the procedure itself (that is, steps that children must perform and steps that healthcare professionals will perform); (b) the sensations the patient can expect to

1 feel (for example, sharp scratch, numbness); and (c) about how to cope with the
 2 procedure¹⁴².

3 **The aim of pre-sedation and/or preprocedure psychological preparation in children**
 4 **and young people is to:**

- 5 • reduce anxiety for patients and their parents
- 6 • improve patient cooperation
- 7 • enhance patient recovery
- 8 • increase self-control for patients and their parents
- 9 • improve long-term emotional and behavioural adjustment in patients and their
 10 parents.

11 **The factors affecting pre-sedation and/or preprocedure preparation are (Kain and**
 12 **Caldwell-Andrews, 2005)¹⁰³:**

- 13 • the developmental stage of the child or young person
- 14 • previous medical experiences
- 15 • timing relative to the procedure
- 16 • temperament, current anxiety levels and coping style
- 17 • role of parents.

18 There is limited evidence regarding the best way to prepare children and young people
 19 for sedation; therefore, the extensive related literature on preparation for painful
 20 medical procedures and anaesthesia was reviewed and the results of this body of
 21 knowledge informed the present recommendations. Overall, published evidence supports
 22 the view that good preparation results in improved sedation outcomes (e.g. less distress
 23 and improved adjustment for the parent and patient^{139,158}. A number of studies have
 24 shown that adequate preprocedural preparation can also reduce anxiety and
 25 procedural pain for a range of medical events, including venipuncture¹³⁰, dental
 26 procedures¹⁶⁶, surgery¹⁰³ and voiding cystourethrography²⁰⁹.

27
 28 **5.1.3 Psychological preparation for anaesthesia induction**

29 Children have numerous concerns related to anaesthesia and surgery including fear of
 30 separation, fear of physical harm, fear of the unknown, fear of death, fear of losing
 31 control and uncertainty of the limits of acceptable behaviour^{79,195}. It has been estimated
 32 that 50% - 75% of children undergoing surgery will develop extreme anxiety and
 33 distress during the perioperative period¹²². Anxiety experienced by children at induction
 34 is associated with distress on awakening in the recovering area and with later
 35 postoperative behavioural problems²³⁰. Younger age, behavioural problems with
 36 previous healthcare attendances, longer duration of procedure, having more than five
 37 previous hospital admissions and anxious parents at induction are associated with high

1 anxiety at induction⁵⁰. Interestingly, mother's prediction of uncooperative behaviour is a
 2 good predictor of anxiety during induction¹⁵⁴. Of all children undergoing general
 3 anaesthesia and surgery, 54% exhibit new onset maladaptive behavioural responses
 4 including general anxiety, night-time crying, enuresis, separation anxiety, eating
 5 disturbances, sleep-related problems and temper tantrums at 2 weeks
 6 postoperatively^{105,113,121}.

7 Behavioural preoperative preparation has been advocated in the psychological and
 8 medical literature as a way to ameliorate children's preoperative anxiety and facilitate
 9 post procedure recovery. An estimated 78% of all major hospitals offer such
 10 programmes to children and their parents. These preparation programmes may provide
 11 narrative information, an orientation tour, role rehearsal using dolls, a puppet show, child
 12 life preparation or the teaching of coping and relaxation skills to children and their
 13 parents. Although there is a general consensus about the desirability of these
 14 programmes, recommendations regarding the content of preoperative preparation for
 15 children differ widely. O'Byrne and colleagues¹⁷⁴ asked a panel of psychological
 16 experts to rate the effectiveness of behavioural preparation programs used in the
 17 United States prior to surgery. Experts rated each program on a 1 (least effective) to 9
 18 (most effective) Likert scale. Coping skills instruction was ranked as the most effective
 19 preoperative intervention, followed by modelling, play therapy, operating theatre tours
 20 and printed materials.

21 Kain and Caldwell-Andrews¹⁰³ suggest that a number of variables are important to
 22 consider when designing a preparation programme, including child age, timing relative
 23 to surgery and the child's previous hospitalisation history. For example, participation in a
 24 preparation programme more than 5-7 days prior to surgery has been found to be most
 25 beneficial for children 6 years and older, and the least the beneficial timing was when
 26 the program is given 1 day before surgery^{115,167,193}. Previous hospitalisation history can
 27 also be a particular challenge for designing a preparation programme¹⁰³. Information
 28 about what to expect on the day of surgery does not offer new knowledge to these
 29 children⁶⁵ and it has also been demonstrated that simple modelling and play
 30 programmes are not beneficial for children with previous hospitalisations. Individualized
 31 coping skills training in combination with actual practice have been identified as
 32 strategies that are more helpful for these children¹¹⁶. Kain and Caldwell-Andrews¹⁰³
 33 suggest that the latter types of programs should be designed with the child's specific
 34 past experiences in mind.

35 36 **5.1.4 The benefit of preoperative anxiety reduction programmes – what the** 37 **evidence says**

- 38 • Kain and colleagues¹¹⁰ in an RCT compared three types of behavioural
39 preoperative preparation programmes including a tour of the OR (information
40 based), an information-based + modelling-based programme (OR tour +
41 commercially available videotape) or an information- + modelling- + coping-
42 based programme (OR tour + videotape + child life preparation) with 75
43 children aged 2-12 years. Children and parents who received child life coping
44 skills preparation exhibited less anxiety immediately following the preparation in
45 the holding area on the day of surgery and on separation to the OR than
46 children and parents who did not receive this preparation. There were no
47 significant differences in anxiety levels across the groups during anaesthetic
48 induction, in the recovery room or at 2 weeks following the operation.

- 1 • Golan, Tighe, Dobija, Perel and Keidan⁷⁸ found that the use of preoperative
2 medically trained clowns for children undergoing surgery can significantly
3 alleviate preoperative anxiety. In a randomised, controlled and blinded study
4 conducted with 3-8 year olds undergoing GA for elective outpatient surgery,
5 patients were assigned to three groups: Group 1 did not receive midazolam or
6 clown presence (N=22), Group 2 received 0.5mg/kg oral midazolam 30min
7 before surgery up to a maximum of 1.5mg (N=22), and Group 3 had two
8 specially trained clowns (N=21) present upon arrival to the preoperative holding
9 area and throughout operating theatre entrance and mask application for
10 inhalation induction of anaesthesia. The intervention lasted approximately 20
11 minutes and the clowns used developmentally appropriate techniques, such as
12 magic tricks, gags, music, games, puppets, word games and bubbles. In all
13 groups parents were present. All children in the study were videotaped in the
14 holding area until the induction of anaesthesia and blinded evaluators used the
15 tapes to rate children's anxiety. The clown group had a statistically significant
16 lower modified-Yale Preoperative Anxiety Scale score (m-YPAS; Kain, Mayes,
17 Cicchetti et al., 1997¹¹⁷) in the preoperative holding area compared to a control
18 and a midazolam group. The clowns' effect on anxiety reduction continued when
19 the children entered the operating theatre but was equal at this point to the
20 midazolam group. Upon application of the anaesthesia mask no statistically
21 significant differences were detected between groups, but the clown group had
22 the largest increase in m-YPAS score, which surpassed the other two groups' m-
23 YPAS scores.
- 24 • Kain, Caldwell-Andrews, Krivutza, Weinberg, Gaal and colleagues¹⁰⁴ compared
25 the effectiveness of an interactive music intervention and midazolam in alleviating
26 preoperative anxiety in 123 children aged 3-7 years old. The results of this
27 study suggested that interactive music therapy may be useful in alleviating
28 preoperative anxiety on separation from parents and entrance to the OR, but
29 that music therapy did not appear to alleviate children's anxiety at anaesthetic
30 induction.
- 31 • Kain and colleagues¹⁰⁸ randomly assigned 408 children and their parents to one
32 of four groups: (1) control, which received standard of care; (2) parental
33 presence, which received standard parental presence during induction of
34 anaesthesia; (3) ADVANCE: received standard-of-care treatment plus
35 multicomponent family-centred behavioural preparation (anxiety-reduction,
36 distraction, video modelling and education, adding parents, no excessive
37 reassurance, coaching, and exposure/shaping); and (4) oral midazolam. Parents
38 and children in the ADVANCE group exhibited significantly lower anxiety in the
39 holding area as compared with all three other groups (34.4 ± 16 vs. 39.7 ± 15 ;
40 $p=0.007$) and were less anxious during induction of anaesthesia as compared
41 with the control and parental presence groups (44.9 ± 22 vs. 51.6 ± 25 and
42 53.6 ± 25 , respectively; $p=0.006$). Anxiety and compliance during induction of
43 anaesthesia was similar for children in both the ADVANCE and midazolam groups
44 (44.9 ± 22 vs. 42.9 ± 24 ; $p=0.904$). Children in the ADVANCE group exhibited a
45 lower incidence of emergence delirium after surgery ($p=0.038$), required
46 significantly less analgesia in the recovery room ($p=0.016$) and were discharged
47 from the recovery room earlier ($p=0.04$) as compared with children in the three
48 other groups.

- 1 • A recent meta-analysis²³⁷ that assessed the effects of non-pharmacological
2 interventions in assisting induction of anaesthesia in children by reducing their
3 anxiety, distress or increasing their cooperation concluded that non-
4 pharmacological interventions, such as parental acupuncture, clown doctors,
5 hypnotherapy, low sensory stimulation and handheld video games are promising
6 and need to be investigated further. More specifically, six trials assessed
7 interventions for children. Preparation with a computer package improved
8 cooperation compared with parental presence³⁶. Children playing hand-held
9 video games before induction were significantly less anxious than controls or
10 premedicated children¹⁷⁹. Compared with controls, clown doctors reduced
11 anxiety in children (modified Yale Preoperative Anxiety Scale (mYPAS): mean
12 difference (MD) 30.75 95% CI 15.14 to 46.36; Vagnoli 2005²²⁰). In children
13 undergoing hypnosis, there was a non-significant trend towards reduced anxiety
14 during induction (mYPAS < 24: risk ratio (RR) 0.59 95% CI 0.33 to 1.04 - 39%
15 versus 68%: Calipel 2005³⁴) compared with midazolam. A low sensory
16 environment improved children's cooperation at induction (RR 0.66, 95% CI 0.45
17 to 0.95; Kain 2001¹²¹) and no effect on children's anxiety was found for music
18 therapy¹⁰⁴. Parental interventions were assessed in three trials. Children of
19 parents having acupuncture compared with parental sham-acupuncture²²⁸ were
20 less anxious during induction (mYPAS MD 17, 95% CI 3.49 to 30.51) and more
21 children were co-operative (RR 0.63, 95% CI 0.4 to 0.99). Parental anxiety was
22 also significantly reduced in this trial. In two trials^{162,240}, a video viewed
23 preoperatively did not show effects on child or parental outcomes.

24

25 **5.1.5 Psychological preparation/interventions for other medical procedures - what**
26 **the evidence says**

- 27 • Megel et al.¹⁶⁵ examined how parents prepared their children before preschool
28 immunisations. Five types of preprocedural preparation/discussion were
29 postulated: information sharing (what will happen), sensory information (how it
30 will feel), justifying the procedure (explaining why the procedure is necessary),
31 teaching relaxation strategies and role playing. The results suggested that
32 parents used a mixture of various types of preparation. Seventy-five percent of
33 children received informational preparation from their parents, typically involving
34 a description of the events that would occur. Of the 25% of children who
35 received no information, nine children were <3 years of age. Forty-two percent
36 of parents also used some sensory information in their description. Forty percent
37 of parents offered a rationale for receiving the injection. Relatively few parents
38 (10%) offered the children any strategies for how to cope with the procedure
39 (for example, relaxation, breathing or distraction). Unfortunately, the relationship
40 between the type of preparation and the child's subsequent distress was not
41 reported by the researchers.
- 42 • Uman et al.²¹⁹ assessed the efficacy of cognitive-behavioural psychological
43 interventions for needle-related procedural pain and distress in children and
44 young people. Only randomised controlled trials (RCTs) with at least five
45 participants in each study group comparing a psychological intervention group
46 with a control or comparison group were eligible for inclusion. Twenty-eight trials
47 with 1951 participants were included. Together, these studies included 1039
48 participants in treatment conditions and 951 in control conditions. The most
49 commonly studied needle-procedures were immunisations and injections. The

largest effect sizes for treatment improvement over control conditions exist for distraction^{37,62,186} (self-reported pain: SMD = -0.24, 95% CI = -0.45 to -0.04), hypnosis^{143,144,146,147} (self-reported pain: SMD = -1.47, 95% CI = -2.67 to -0.27; self-reported distress: SMD = -2.20, 95% CI = -3.69 to -0.71; and behavioural measures of distress: SMD = -1.07, 95% CI = -1.79 to -0.35), and combined cognitive-behavioural interventions^{29,40,41,143} (other-reported distress: SMD = -0.88, 95% CI = -1.65 to -0.12; and behavioural measures of distress: SMD = -0.67, 95% CI = -0.95 to -0.38). The authors commented that while there may be preliminary evidence to support the efficacy of information/preparation there is not enough evidence at this time to make strong conclusions. More specifically, Harrison⁸⁸ and Tak et al.²¹¹ reported that information/preparation was effective in reducing observer-reported distress (SMD = -0.77, 95% CI = -0.17 to -0.38) and pulse rates (SMD = -0.47, 95% CI = -0.87 to -0.07). Although SMDs for self-reported pain and observer-reported distress both fell in the negative range (-0.22 and -0.15), their CIs passed into the positive range, indicating that while there may be preliminary evidence to support the efficacy of information/preparation on these outcomes, there is not enough evidence at this time to make strong conclusions. Information/preparation did not appear to be effective in reducing distress as assessed by behavioural measures (SMD = 0.24, 95% CI = -0.30 to 0.78), as the SMD fell in the positive range.

- Sinha et al. (2006)²⁰⁶ assessed the effectiveness of distraction techniques in reducing the sensory and affective components of pain among paediatric patients undergoing laceration repair in the ED. In total, 240 children between 6 and 18 years of age were randomly assigned to an intervention or control group. Those assigned to the intervention group were given a choice of age-appropriate distracters during laceration repair. Quantitative measures of pain intensity, situational anxiety and pain distress (as perceived by the parent) were assessed by using the 7-point Facial Pain Scale, State Trait Anxiety Inventory for Children, and a visual analogue scale, respectively, before and after laceration repair. The State-Trait Anxiety Inventory for Children was performed in children ≥ 10 years of age. There was no difference in mean change in Facial Pain Scale scores between the control and the intervention groups in children < 10 years of age. Multivariate analysis in this same age group showed that the intervention was independently associated with a reduction in pain distress as perceived by parents based on the mean change in visual analogue scale scores. In older children, the intervention was independently associated with reduction in situational anxiety but not in pain intensity or in parental perception of pain distress.
- Haeberli et al. (2008)⁸⁶ examined whether a psychoeducational intervention might reduce the need for anaesthesia during radiotherapy (RT). A total of 223 consecutive paediatric cancer patients receiving 4141 RT fractions during 244 RT courses were studied. Whereas in 154 RT courses corresponding with 2580 RT fractions patients received no psychoeducational intervention (group A), 90 RT courses corresponding with 1561 RT fractions were accomplished by using psychoeducational intervention (group B). This tailored psychoeducational intervention in group B included a play programme and interactive support by a trained nurse according to age to get familiar with staff, equipment and the procedure of radiotherapy. Group A did not differ significantly from group B in age, gender, diagnosis, localisation of RT and positioning during RT. Whereas 33 (21.4%) patients in group A got anaesthesia, only 8 (8.9%) patients in group B

1 needed anaesthesia. The median age of cooperating patients without
 2 anaesthesia decreased from 3.2 to 2.7 years. In both uni- and multi-variate
 3 analyses the psychoeducational intervention significantly and independently
 4 reduced the need for anaesthesia.

- 5 • Train et al. (2006)²¹⁶ evaluated the effect of a psychological approach on
 6 distress and sedation rates in children undergoing dimer captosuccinic acid-
 7 labelled with technetium-99 (99mTc) (DMSA imaging). Baseline data, on a
 8 retrospective consecutive sample of children examined using DMSA over a 6-
 9 month period (n = 81), were collected via medical note search and postal
 10 questionnaire. A further consecutive sample of 40 children was recruited
 11 prospectively to the intervention, which consisted of distraction during medical
 12 procedures and environmental manipulation. In addition half of the intervention
 13 group were provided with a photo-booklet depicting a coping child model,
 14 together with a letter offering advice to parents on how to prepare their child
 15 for the procedure. Sedation rates were lower (p=0.003) and service satisfaction
 16 ratings higher (p=0.002) in the intervention group as compared with the baseline
 17 group. Within the intervention condition, children who received the photo-booklet
 18 displayed less distress before the procedure (p=0.01) than those who did not.
 19 Also, families who received the photo-booklet were more likely to attend the
 20 appointment (p=0.024).

21

22 5.1.6 Psychological preparation for dental procedures

23 In dentistry, the American Academy of Pediatric Dentistry (AAPD) recognises that, in
 24 providing oral healthcare for young patients, a continuum of both non-pharmacological
 25 and pharmacological behaviour guidance techniques may be used by dental healthcare
 26 providers and recommends behavioural guidance to be used in combination with
 27 pharmacological interventions for the management of the young dental patient¹⁵.
 28 Techniques recommended include:

- 29 • *Tell-show-do* is a technique of behaviour shaping first described by Addelston¹¹
 30 that involves verbal explanations of procedures in phrases (what, why and how a
 31 procedure will be performed) appropriate to the developmental level of the
 32 patient (tell); demonstrations for the patient of the visual, auditory, olfactory, and
 33 tactile aspects of the procedure in a carefully defined, non-threatening setting
 34 (show); and then smoothly with no break in time and without deviating from the
 35 explanation and demonstration, completion of the procedure (do). The tell-show-
 36 do technique is used with communication skills (verbal and non-verbal) and
 37 positive reinforcement^{66,95}.
- 38 • *Voice control* is a controlled alteration of voice volume, tone or pace to influence
 39 and direct the patient's behaviour.
- 40 • *Positive reinforcement* involves the reward of desired behaviours with social
 41 reinforcers, such as positive voice modulation, facial expression, verbal praise,
 42 and appropriate physical demonstrations of affection by all members of the
 43 dental team, and non-social reinforcers such as tokens and toys.

44

5.1.7 Parental desire for information

Parents are frequently dissatisfied with the lack of information they are offered and express a strong desire for perioperative information. Many healthcare professionals may withhold information because of a belief that details will induce anxiety in parents, which in turn will be communicated and increase the anxiety of children. Empirical evidence does not support this view.

- Kain et al.¹²⁰ explored parents' desire for perioperative and anaesthetic information at a pre-surgical assessment clinic visit or on the day of their children's outpatient surgery. Almost all parents (95%; n = 317) wished to receive comprehensive information concerning their child's anaesthetic, including information about all possible complications.
- Waisel and Troug²²⁷ evaluated parents' perceived understanding and anxiety related to the discussion of the general anaesthesia risks for children that occurred during the preoperative interview with the anaesthetist, immediately prior to surgery. Approximately half the sample (N=55) was most concerned about the anaesthetic aspects of surgery (N=25), and 39% (N=21) were equally concerned about anaesthesia and surgery. Over 90% (N=50) of parents reported that the discussion of anaesthetic risks was desirable and that they understood the information. Half of the sample (N=25) felt the discussion did not change their anxiety, whereas 25% (N=13) felt it decreased anxiety and 24% (N=12) felt it increased anxiety.
- Litman et al.¹⁴⁸ examined parental knowledge and desire for information regarding risk of death from anaesthesia in 115 parents of healthy children undergoing elective surgery. The majority (87%) wanted to know the chance of death after anaesthesia and over half of parents (68%) had accurate knowledge of risk of death from anaesthesia. Most parents (75%) also wanted to know all possible risks, however, this was greater for mothers than fathers. A separate group of parents (N=121) were surveyed after participating in a pre-anaesthetic discussion with the anaesthetist. In 60% of cases, risk of death from anaesthesia was mentioned or implied and the proportion of parents who said they had wanted this information was similar to the previous survey. No demographic factors influenced the responses. However, several parents did not want the risk of death discussed in front of the children, who were sometimes present during the discussions.
- Franck and Spencer⁷⁰ critically analysed the published research literature (six descriptive and five intervention studies) on providing information about children's anaesthesia to parents. The intervention studies tested different methods of providing information, including verbal, video or written modalities, and showed some improvements in knowledge, anxiety and satisfaction. The authors concluded that parents want detailed information about the specifics of anaesthetic procedures, risks and personnel roles.

5.1.8 Children's desire for information

There is widespread agreement that children should be given information prior to anaesthesia, surgery and medical procedures but continuing debate about the most

1 appropriate form and content of that information. There is little research evidence about
 2 children's concerns, fears and misconceptions about hospitals, anaesthesia and medical
 3 procedures and paucity of data regarding children's desire for perioperative
 4 information²⁰⁷.

5 Fortier et al.⁶⁸ studied the perioperative information children want to receive from the
 6 medical staff. On the day of surgery, 143 children aged 7-17 years (ASA I or II)
 7 completed a 40-item assessment of desired surgical information and the State-Trait
 8 Anxiety Inventory for Children. Parents completed a measure assessing their child's
 9 temperament (Emotionality, Activity, Sociability and Impulsivity Survey) and the State-
 10 Trait Anxiety Inventory. The vast majority of children had a desire for comprehensive
 11 information about their surgery, including information about pain and anaesthesia, and
 12 procedural information and information about potential complications. The most highly
 13 endorsed items by children involved information about pain, including whether they
 14 would experience pain, how long it would last and how bad it would be. Children who
 15 were more anxious endorsed a stronger desire for pain information and a lesser
 16 tendency to avoid information. Younger children wanted to know what the perioperative
 17 environment would look like more than adolescent children. There were no significant
 18 correlations among child age, gender, and temperament on desire for information.
 19 Interestingly, children with a history of surgery did not require less perioperative
 20 information as compared with children who never had surgery.

21

22 **5.1.9 Parental presence in anaesthesia induction**

23 Permitting parental presence during anaesthesia induction varies widely between and
 24 within hospitals and countries¹¹¹ and is surrounded in controversy. While parental
 25 presence is routine in some hospitals and actively discouraged in others, in many cases it
 26 is based on parental advocacy balanced with the preference of individual anaesthetists
 27 carrying out the induction. Supporters of parental presence during induction of
 28 anaesthesia argue that the trauma of separation is avoided, it increases child
 29 cooperation, minimises the need for premedication, decreases the child's anxiety during
 30 induction, facilitates the long term behavioural sequelae of surgery and enhances
 31 parental satisfaction. Arguments against parental presence include the potentially
 32 unpredictable response of the parent to the situation, increased parental anxiety and
 33 distress levels, the logistics of moving parents in and out of the induction area, the extra
 34 stress on the anaesthetist due to the presence of an emotionally involved observer and
 35 potential legal ramifications of having a parent present^{32,74,87,123,204,240}.

36 The question of whether parents should stay with their child during a medical procedure
 37 has been empirically studied in many contexts apart from induction of anaesthesia,
 38 including venipuncture and immunisation, dental procedures, burn debridement, lumbar
 39 puncture, bone marrow aspirations and minor emergency procedures. In all of these
 40 contexts empirical evidence is inconclusive.

- 41 • Three studies have focused on parental presence during anaesthesia induction in
 42 relation to parents' anxiety. In a prospective study, Bevan et al.²⁷ examined
 43 parents of children aged 2–10 years (ASA physical status I or II) undergoing ear,
 44 nose and throat, plastic, dental, eye or urologic surgery. Of the 134 children
 45 enrolled in the study, 67 had parents present during induction (treatment group)
 46 and 67 did not (control group). Group assignment was determined by day of
 47 surgery. Parents' in-hospital anxiety was assessed in the reception and induction

1 areas with the VAS, a 100 mm linear scale ranging from 0 to 100 (“no fear” to
 2 “great anxiety”). Parents in the treatment group had a mean VAS score of 42.8
 3 ± 32.2 in the reception area compared to 41.9 ± 28.9 in the control group. In
 4 the induction area, the treatment group had a mean VAS score of 54.1 ± 36.4
 5 compared to 52.3 ± 33.1 in the control group. Neither of these between-group
 6 differences were significant. Subgroups of “calm” and “anxious” parents were
 7 identified by a median split of their preoperative VAS scores. Children in the
 8 “calm treatment” “calm control” and “anxious control” subgroups were similarly
 9 upset at induction. Children in the “anxious-treatment” subgroup were the most
 10 disturbed at induction and significantly more than those in the “anxious control”
 11 subgroup. Preoperative parental anxiety levels also correlated with the child’s
 12 fears (measured with the Hospital Fears Inventory¹⁹⁹) and behaviours (measured
 13 with the Behavioural Questionnaire²²⁵) one week after surgery.

- 14 • Blesch and Fisher²⁸ carried out a RCT of parents of children aged 10 years or
 15 younger undergoing elective myringotomy with tube insertion, tonsillectomy
 16 and/or adenoidectomy. Of the 75 parents in the study, based on the week that
 17 their children were scheduled for surgery, 41 were assigned to be present for
 18 induction (treatment group) and 34 were not (control group). Parents’ blood
 19 pressure and pulse rates were obtained as measures of anxiety at the following
 20 intervals: after consenting to the study, after separation from their children and
 21 before discharge. The state scale of the State-Trait Anxiety Inventory (STAI) was
 22 used to measure parents’ subjective anxiety. After consent, the treatment group’s
 23 mean blood pressure was $115/76 \pm 13.7/9.8$ mmHg compared to $112/72 \pm$
 24 $13.4/8.8$ mmHg in the control group. After consent, the treatment group’s mean
 25 pulse rate was 77 ± 10.2 /min compared to 73 ± 10.5 /min in the control group.
 26 After separation from children, the treatment group’s mean blood pressure and
 27 pulse rate were $132/78 \pm 19/10.9$ mmHg and 81 ± 12.7 /min, respectively,
 28 compared to $125/80 \pm 15.4/11.5$ mmHg and 75 ± 14.9 /min, respectively, in
 29 the control group. Before discharge, the treatment group’s mean blood pressure
 30 was $118/73 \pm 12.8/11$ mmHg compared to $110/71 \pm 9.2/7.9$ mmHg in the
 31 control group. Before discharge, the treatment group’s mean pulse rate was $73 \pm$
 32 7.3 /min compared to 74 ± 12.6 /min in the control group. The only significant
 33 differences found between the treatment and control groups were between time
 34 after consent and time after separation from their children mean diastolic blood
 35 pressures (-2.49 ± 10.63 vs. -8.24 ± 11.01 , respectively; $p = 0.025$) and time
 36 after separation from their children and time before discharge mean pulse rates
 37 (7.66 ± 10.30 vs. 2.00 ± 9.07 , respectively; $p = 0.016$). Subjective anxiety was
 38 not significantly different between the treatment and control group ($39.05 \pm$
 39 11.53 vs. 44.61 ± 14.51 , respectively; $P = 0.077$).
- 40 • In a RCT Palermo et al.¹⁷⁷ assessed parents of infants aged 1-12 months (ASA
 41 class I and II), undergoing outpatient surgery. Of the 73 parents in the study, 37
 42 were present during induction and 36 were not. Parental anxiety was measured
 43 with the STAI before and after surgery. There were no significant differences in
 44 anxiety between the two groups. Before surgery, parents of accompanied
 45 children had a mean STAI score of 57.6 ± 5.4 compared to 56.9 ± 6.4 for
 46 parents of unaccompanied children. After surgery, parents of accompanied
 47 children had a mean STAI score of 47.2 ± 4.8 compared to 45.2 ± 5.2 for
 48 parents of unaccompanied children. Interestingly, parents who were present
 49 during induction demonstrated comparable healthcare attitudes (measured with
 50 the Health Care Attitudes Questionnaire⁸⁵) before and after surgery, as well as
 51 comparable levels of satisfaction with the surgical experience (measured with a

- 1 modified version of the Perception of Procedures Questionnaire¹²⁷) compared to
 2 parents who were absent during induction.
- 3 • Four studies have examined parental presence during anaesthesia induction in
 4 relation to children’s anxiety. Hickmott et al.⁹³ undertook a RCT of children aged
 5 1–9 years undergoing general anaesthesia for minor elective surgery. Of 49
 6 children in the study, 26 had their mothers present during induction and 23 did
 7 not. Allocation to each group was determined by the week in which the children’s
 8 surgery took place. A recovery room or ward nurse, not involved in the
 9 anaesthetic procedure, was responsible for observing and measuring children’s
 10 anxiety levels in the anaesthesia room. Time in the anaesthesia room was
 11 separated into the ‘waiting period’ (time from the children’s arrival until the
 12 anaesthetist arrived) and the ‘induction period’ (time from the anaesthetist’s
 13 arrival). Children’s anxiety was measured using a pre-determined scale ranging
 14 from 0 (no anxiety) to 2 (marked anxiety) during the waiting period and 0 (calm)
 15 to 4 (screaming and uncontrollable) during the induction period. During the
 16 waiting period in the mother-present group, five children scored 0 and two
 17 children scored 2; whereas, in the mother-absent group, seven children scored 0
 18 and one each scored 1 and 2. During the induction period in the mother-present
 19 group, 13 children scored 0, nine scored 1, and two each scored 2 and 3;
 20 whereas, in the mother-absent group, 15 children scored 0, four scored 1, three
 21 scored 2, and one scored 3. Children’s anxiety levels did not differ significantly
 22 between the two groups during either the waiting or the induction period (Mann–
 23 Whitney U test).
 - 24 • In a RCT, Amanor-Boadu¹⁴ assessed 118 children aged 1–12 years undergoing
 25 inguinal surgery as day cases. Children undergoing surgery were randomly
 26 assigned to be accompanied or unaccompanied. Of the 118 children in the study,
 27 52 were accompanied by a parent and 66 were not. Children were evaluated
 28 according to their age group, that is, aged 5 years or less and more than 5
 29 years. Heart rates using a stethoscope were taken both on the ward and before
 30 induction as a measure of anxiety. For children 5 years or less, unaccompanied
 31 children had a mean heart rate of 109 ± 13 /min on the ward compared to 111
 32 ± 12 /min for accompanied children. For children more than 5 years,
 33 unaccompanied children had a mean heart rate of 101 ± 11 /min on the ward
 34 compared to 100 ± 10 /min for accompanied children. These two differences
 35 were not significant. Mean heart rates before induction, for children 5 years or
 36 less, was 128 ± 20 /min for unaccompanied children compared to 118 ± 16 /min
 37 for accompanied children. For children more than 5 years, it was 108 ± 10 /min
 38 for unaccompanied children compared to 97 ± 19 /min for accompanied
 39 children. Both of these differences were significant at $p = 0.001$.
 - 40 • In a retrospective study using a multiple matched concurrent cohort, Kain et al.¹⁰⁶
 41 examined children’s anxiety in relation to parents’. The participants were
 42 selected from a database of children from a number of previous prospective and
 43 randomized studies that the authors conducted comparing parental presence with
 44 no parental presence. Of the 568 children included in the study (aged 2–12
 45 years undergoing general anaesthesia for elective outpatient surgery), 284 had
 46 their parent present during induction and 284 did not. For children, anxiety was
 47 measured with the modified Yale Preoperative Anxiety Scale (mYPAS) and
 48 children were categorized as “anxious” if they scored >40 on the mYPAS, and as
 49 “calm” if they scored <30 on the mYPAS. For parents, anxiety was measured
 50 with the STAI and parents were categorized as “anxious” if they scored in the

1 upper 50% on the STAI, and as “calm” if they scored in the lower 50% on the
 2 STAI. Four groups of child-parent pairs were then retrospectively compared for
 3 the parent-present and parent-absent groups: calm parent-calm child, anxious
 4 parent-calm child, calm parent-anxious child and anxious parent-anxious child.
 5 Anxious children with calm parents present were significantly less anxious during
 6 induction than anxious children with no calm parents present (mean mYPAS =
 7 51.9 ± 24 vs. 64.6 ± 26 , respectively; $P = 0.03$). Calm children with anxious
 8 parents present were significantly more anxious during induction than calm
 9 children with no anxious parents present (mean mYPAS = 52.4 ± 28 vs. $39.4 \pm$
 10 21 , respectively; $p = 0.002$). On the other hand, there was no significant
 11 difference in anxiety during induction between calm children with calm parents
 12 present and calm children with no calm parents present (mean mYPAS = $39.9 \pm$
 13 22 vs. 34.7 ± 20 , respectively; $p = 0.15$), and no significant difference in
 14 anxiety during induction between anxious children with anxious parents present
 15 and anxious children with no anxious parents present (mean mYPAS = 71.0 ± 23
 16 vs. 66.6 ± 27 , respectively; $p = 0.49$). The authors concluded that the presence
 17 of a calm parent does benefit an anxious child during induction of anaesthesia
 18 and the presence of an overly anxious parent has no benefit.

- 19 • In a RCT, Patel et al.¹⁷⁹ examined 112 children aged 4–12 years undergoing
 20 outpatient surgery. Children’s change in anxiety was assessed from baseline to
 21 introduction of the anaesthesia mask using the mYPAS. Children were randomly
 22 assigned to one of three groups using sealed envelopes: parental presence ($n =$
 23 36), parental presence plus 0.5 mg/kg oral midazolam ($n = 38$), or parental
 24 presence plus a hand-held video game ($n = 38$). Children who received parental
 25 presence plus a hand-held video game experienced a statistically significant
 26 decrease in anxiety from baseline to introduction of the anaesthesia mask
 27 compared to children who received parental presence alone (median change in
 28 mYPAS = -3.3 vs. $+11.8$, respectively; $p = 0.04$). Children who received
 29 parental presence plus midazolam did not experience a statistically significant
 30 change in anxiety from baseline to introduction of the anaesthesia mask
 31 compared to the other two groups (median change in mYPAS = $+7.3$).

- 32 • Seven studies examined both parents’ and children’s anxiety in relation to
 33 parental presence during anaesthesia induction. Johnston et al.¹⁰² carried out a
 34 prospective study of parents and their children aged 2–8 years undergoing day
 35 surgery. Of the 134 children in the study, 67 had their parent present and 67
 36 did not. Parents and children were assigned to each group based on the day of
 37 the week that surgery was scheduled. Anxiety was measured before induction.
 38 For parents, the VAS, a 10 cm line ranging from 0 (“no anxiety”) to 10 (“most
 39 anxiety”), was used to measure anxiety. For children, the Global Mood Scale
 40 (GMS), an observation scale ranging from 1 (child attentive and happily active)
 41 to 7 (child screaming), was used. Overall, there were no differences in parents’ or
 42 children’s anxiety between parent-present and parent-absent groups. To conduct
 43 further analysis, the authors separated parents into low-anxiety and high-anxiety
 44 groups based on their VAS scores; that is, those who scored ≤ 3 on the VAS were
 45 considered low-anxiety, and those who scored ≥ 6 on the VAS were considered
 46 high-anxiety. The authors found that high-anxiety parents who were present for
 47 induction were more anxious than high-anxiety parents who were not present for
 48 induction. Low-anxiety parents who were present for induction were less anxious
 49 than low-anxiety parents who were not present for induction. Children with high-
 50 anxiety parents who were present were more anxious than children with high-
 51 anxiety parents who were not present. Children with low-anxiety parents

- 1 experienced the same level of anxiety whether they were in the parent-present
 2 or parent-absent group.
- 3 • In a non-randomised prospective study Cameron et al.³⁵ assessed 74 parents and
 4 their children aged 1–8 years undergoing day surgery. Parents were only
 5 allowed to be present for induction if the anaesthetist carrying out the induction
 6 granted them permission. The treatment group consisted of 38 parents who were
 7 granted permission and decided to be present. The control group consisted of 36
 8 parents who were either not permitted or decided not to be present. In the
 9 control group, 22 parents chose to separate from their children in the theatre
 10 holding bay area and 14 parents chose to separate from their children in the
 11 day surgery ward. Parents' anxiety was measured immediately upon separation
 12 from their children using a VAS with scores ranging from 1 ("no anxiety at all") to
 13 10 ("most anxiety anyone could have"). A five-point scale with scores ranging
 14 from 1 (cheerful and attentive) to 5 (very distressed and uncontrollable) was used
 15 by parents to assess their children's anxiety right before separation from them.
 16 Parents in the treatment group were significantly less anxious, as measured by
 17 the VAS, than parents in the control group (mean = 3.4 ± 1.6 vs. 6.5 ± 2.2 ,
 18 respectively; $p < 0.001$). Parents who were present for induction reported their
 19 children to be significantly less anxious than parents who were not present for
 20 induction (mean = 1.9 ± 1.1 vs. 2.8 ± 1.1 , respectively; $p < 0.001$).

 - 21 • In a RCT, Kain et al.¹¹⁶ examined parents and their children aged 1–6 years
 22 undergoing general anaesthesia for elective outpatient surgery. Of the 84
 23 children in the study, using a random numbers table generated by a computer,
 24 43 were randomised to have their parent present during induction (intervention
 25 group) and 41 did not (control group). For children, anxiety was measured with
 26 the Yale Preoperative Anxiety Scale (YPAS), Clinical Anxiety Rating Scale
 27 (CARS), VAS and cortisol. For parents, anxiety was measured with the STAI, VAS,
 28 heart rates and blood pressure. The VAS, a 100-mm line ranging from 0 ("not
 29 anxious") to 100 ("extremely anxious"), was used as an observational measure
 30 for children and a self-report measure for parents. Using these measures, no
 31 significant differences were found between the two groups for either children's or
 32 parents' anxiety. For children, anxiety was reported as medians and 25–75%
 33 interquartile ranges for the holding area, induction 1 (entering the induction
 34 room) and/or induction 2 (introduction of anaesthesia mask). On the VAS,
 35 children in the control group compared to those in the intervention group scored
 36 the following: holding area = 11 (0–28) vs. 6 (0–33), respectively; induction 1 =
 37 38 (0–89) vs. 37 (0–82), respectively; and induction 2 = 43 (5–78) vs. 45 (8–
 38 86), respectively. On the YPAS, children in the control group compared to those in
 39 the intervention group scored the following: induction 1 = 34 (24–41) vs. 30 (25–
 40 41), respectively, and induction 2 = 38 (24–65) vs. 42 (30–62), respectively. On
 41 the CARS, children in the control group compared to those in the intervention
 42 group scored the following: induction 1 = 0 (0–1) vs. 0 (0–1), respectively, and
 43 induction 2 = 1 (0–4) vs. 1 (0–4), respectively. With respect to cortisol ($\mu\text{g}/\text{ml}$)
 44 for induction 2, the results for children in the control group compared to those in
 45 the intervention group were 73 (51–100) vs. 76 (48–91), respectively. For
 46 parents, anxiety was reported as means and standard deviations or as medians
 47 and 25–75% interquartile ranges for the holding area and/or post-induction
 48 (after parents left their children). State-Trait Anxiety Inventory scores for the
 49 control and intervention group parents were 46 ± 12 vs. 43 ± 12 , respectively,
 50 post-induction. VAS scores for the control group parents compared to the
 51 intervention group parents were 43 (20–58) vs. 38 (13–49), respectively, in the

1 holding area and $49 (18-73)$ vs. $41 (5-66)$, respectively, post-induction. Systolic
 2 blood pressure (mmHg) for the control group parents compared to the
 3 intervention group parents was 114 ± 11 vs. 116 ± 17 , respectively, in the
 4 holding area and 122 ± 12 vs. 121 ± 13 , respectively, post-induction. Diastolic
 5 blood pressure (mmHg) for the control group parents compared to the
 6 intervention group parents was 71 ± 8 vs. 67 ± 10 , respectively, in the holding
 7 area and 77 ± 9 vs. 75 ± 7 , respectively, post-induction. Heart rates
 8 (beats/minute) for the control group parents compared to the intervention group
 9 parents were 81 ± 9 vs. 78 ± 8 , respectively, in the holding area and 85 ± 10
 10 vs. 84 ± 8 , respectively, post-induction. The authors concluded that only children
 11 who were older than 4 years, had a parent with a low trait anxiety level or a
 12 low baseline level of activity as assessed by temperament ratings benefited from
 13 parental presence during induction of anaesthesia. In contrast, there was a trend
 14 among children younger than 4 years to be more anxious during induction in the
 15 presence of their parent.

- 16 • Kain et al.¹¹⁸ in a RCT studied 88 parents and their children aged 2–8 years
 17 undergoing general anaesthesia for elective outpatient surgery. The children
 18 were randomized into one of three groups according to a random numbers table:
 19 (a) parental presence ($n = 29$); (b) premedication with 0.5 mg/kg oral
 20 midazolam mixed in 10 mg/kg acetaminophine syrup at least 20 minutes before
 21 surgery ($n = 33$); (c) no parental presence and no sedative premedication ($n =$
 22 26). Anxiety was measured for parents with the STAI and for children with the
 23 Procedural Behavior Rating Scale (PBR¹²⁶). There were no significant differences
 24 between the three groups regarding children's anxiety in the preoperative
 25 holding area. Upon separation from their parents, children in the midazolam
 26 group were significantly less anxious than children in the other two groups (PBR
 27 $= 0 (0-1)$ vs. $4 (0-5)$; $p = 0.02$). Children in the midazolam group were also
 28 significantly less anxious than children in the other two groups at both entrance to
 29 the operating room ($p = 0.0171$) and introduction of the anaesthesia mask ($p =$
 30 0.0176). Parents in the midazolam group were significantly less anxious after
 31 separation than parents in the parental presence group and parents in the
 32 control group (mean STAI score $= 43 \pm 12$ vs. 50 ± 10 vs. 47 ± 10 ,
 33 respectively; $p = 0.048$). The percentage of inductions in which compliance of the
 34 child was poor was significantly greater in the control group compared with the
 35 parental presence and midazolam groups (25% vs. 17% vs 0% ; $p = 0.013$)
- 36 • Kain et al.¹¹⁹ in a RCT assessed 103 parents and their children aged 2–8 years.
 37 Parents and their children were randomly assigned to each group using a
 38 random numbers table. The intervention group had parental presence and
 39 received premedication with oral midazolam syrup (0.5 mg/kg at least 20
 40 minutes before surgery. The control group received premedication with oral
 41 midazolam syrup (0.5 mg/kg) at least 20 minutes before surgery only. Anxiety
 42 was measured for children with the mYPAS and for parents with the STAI.
 43 Children's anxiety was not significantly different between the two study groups
 44 ($p = 0.49$). Parents' anxiety, on the other hand, was significantly lower after
 45 separation for those who were present compared to those who were not present
 46 (mean $= 43 \pm 11$ vs. 48 ± 12 , respectively; $p = 0.037$). Parental satisfaction
 47 with the overall care provided and with the separation process was significantly
 48 higher among the premedication and parental presence group compared with
 49 the premedication only group.

- 1 • Kain et al.¹⁰⁷ undertook a RCT of parents and their children undergoing general
2 anaesthesia and elective outpatient surgery. Of the 80 children in the study, 29
3 had their parent present, 27 had their parent present and received oral
4 midazolam (0.5 mg/ kg) about 30 minutes before induction, and 24 did not have
5 their parent present (control group). They were randomly assigned to the three
6 groups based on a random number table. For children, anxiety was measured
7 with the mYPAS and for parents with the STAI. Heart rates, skin conductance
8 levels (SCL) and blood pressure levels were also used to measure parents'
9 anxiety. Children in the parental presence plus midazolam group were less
10 anxious than children in either the control group or the parental presence only
11 group ($p = 0.023$). At different time points, parents in both parental presence
12 groups had higher anxiety, as measured by heart rates, than the control group (p
13 < 0.05). However, there was no significant difference in heart rates between the
14 parental presence and parental presence plus midazolam groups. Skin
15 conductance level was higher in the two parental presence groups than in the
16 control group ($p < 0.05$). However, there was no significant difference in SCL
17 between the two parental presence groups. The SCLs were not provided by the
18 authors. There were no significant differences between the parental presence,
19 parental presence plus midazolam and control groups with regards to systolic
20 blood pressure (123 ± 21 vs. 128 ± 16 vs. 126 ± 19 , respectively; $p = 0.59$)
21 and diastolic blood pressure (82 ± 14 vs. 85 ± 13 vs. 81 ± 15 , respectively; p
22 $= 0.88$) after induction. In addition, there were no significant differences in
23 parents' self-reported anxiety, as measured by the STAI, between the three
24 groups (STAI scores and p values were not provided).
- 25 • Kain et al.¹⁰⁹ undertook a prospective study of parents and their children (mean
26 age = 4.9 years) who were part of a previous investigation by the authors at
27 their initial surgery and were undergoing a subsequent surgery. At their initial
28 surgery, the children had been assigned to the following preoperative
29 intervention: parental presence ($n = 27$), oral midazolam ($n = 13$), parental
30 presence plus oral midazolam ($n = 10$) and no intervention ($n = 33$). The authors
31 allowed parents to choose their preoperative intervention group at the
32 subsequent surgery. The parents of the 83 children in the study chose the
33 following preoperative intervention: parental presence ($n = 46$), oral midazolam
34 ($n = 8$), parental presence plus oral midazolam ($n = 21$) and no intervention ($n =$
35 8). Anxiety was measured for children with the mYPAS and for parents with the
36 STAI. There were no significant differences between the groups regarding
37 children's anxiety upon entering the operating room (median mYPAS score
38 [range]: parental presence = 45.8 [22.9–91.7], oral midazolam = 54.2 [22.9–
39 95.8], parental presence plus oral midazolam = 35.4 [22.9–100.0], and no
40 intervention = 23.2 [22.9–45.8; $p = 0.31$) or during induction (median mYPAS
41 score [range]: parental presence = 45.8 [22.9–100.0], oral midazolam = 65.5
42 [22.9–95.8], parental presence plus oral midazolam = 34.2 [22.9–100.0], and
43 no intervention = 24.5 [22.9–50.0]; $p = 0.15$). There was also no significant
44 difference in parents' anxiety at separation (mean STAI score: parental presence
45 = 42.8 ± 11.1 , oral midazolam = 49 ± 6.5 , parental presence plus oral
46 midazolam = 43.3 ± 13.0 and no intervention = 37.8 ± 6.5 ; $p = 0.28$). Children
47 in the midazolam group experienced significantly higher anxiety in the
48 preoperative holding area than children in the other groups (median mYPAS
49 score [range]: parental presence = 23.3 [23.3–70.0], oral midazolam = 37.5
50 [23.3–68.8], parental presence plus oral midazolam = 45.8 [23.3–96.7], and no
51 intervention = 23.3 [23.3–55.0]; $p = 0.03$). Parents of children in the midazolam
52 group were also significantly more anxious than parents of children in the other

1 groups in the preoperative holding area (mean STAI score: parental presence =
 2 38.6 ± 9.1 , oral midazolam = 47.3 ± 8.4 , parental presence plus oral
 3 midazolam = 42.5 ± 12.2 and no intervention = 36.8 ± 5.1 ; $p = 0.09$).
 4 Interestingly, of parents whose children received parental presence at the initial
 5 surgery, 70% chose to be present during induction again. In contrast, only 23%
 6 of the patients who received midazolam at the initial surgery requested
 7 midazolam at the subsequent surgery and only 15% of the patients who received
 8 no intervention at the initial surgery requested no intervention at the subsequent
 9 surgery. Parents' intervention preferences at the subsequent surgery were
 10 influenced by children's anxiety at the initial surgery.

- 11 • Arai et al.¹⁸, in 22 pairs of mothers and children (1-3 years old) scheduled for
 12 minor plastic surgery under general anaesthesia found that higher parental
 13 anxiety pre-surgery, as indicated by higher amounts of maternal salivary
 14 amylase activity, was significantly correlated with higher children's anxiety
 15 during induction ($r_s = -0.667$, $p < 0.0001$) and severer children's emergence
 16 agitation ($r_s = 0.705$, $p < 0.0001$). Both children's anxiety and agitation were
 17 rated by a blind observer.
- 18 • In another study¹⁷ the same authors randomised, using computer-generated
 19 random numbers, 58 children, aged 1-3 years, classified as ASA I, undergoing
 20 minor plastic surgery under general anaesthesia to one of three groups: (a) a
 21 sedative group (0.5 mg/kg oral midazolam) ($n = 19$); (b) parental presence (20);
 22 (c) a sedative and parental presence (19). Children in the midazolam group
 23 showed a better quality of mask induction compared with those on the parental
 24 presence group but the addition of parental presence to oral midazolam did not
 25 provide additional improvement of mask induction. In contrast, the children in the
 26 midazolam and parental presence group were less agitated than those in the
 27 other groups at emergence from anaesthesia.
- 28 • A recent meta-analysis²³⁷ that assessed the effects of non-pharmacological
 29 interventions in assisting induction of anaesthesia in children by reducing their
 30 anxiety, distress or increasing their cooperation concluded that the presence of
 31 parents during induction of general anaesthesia does not reduce their child's
 32 anxiety. However, the authors commented further that calm parents may be
 33 helpful and parental presence should be considered on an individual basis.

34 Taken in combination the results of the above randomised studies indicate that current
 35 evidence shows that there is no apparent benefit of parental presence during
 36 anaesthesia induction in relation to decreasing parents' and children's anxiety³⁹. In many
 37 cases, midazolam or distraction techniques appear to be a suitable substitute. Overall,
 38 positive effects for parental presence, including lower levels of child anxiety and
 39 distress, have been reported in studies in which parents were not randomly assigned to
 40 condition but were permitted to self-select presence or absence. In terms of child
 41 characteristics, a prospective cohort study has demonstrated that children who benefit
 42 from parental presence are older, have lower levels of activity in their temperament and
 43 have parents who are calmer and who value preparation and coping skills for medical
 44 situations¹¹⁴.

5.1.10 Parental presence during medical procedures

Piira et al.¹⁸³ conducted a systematic review, of controlled studies investigating parental presence in the paediatric treatment room at the time of their child's medical procedure. A total of 28 studies met the inclusion criteria, which were as follows: the studies evaluated the effects of parental presence on child, parent or health professional outcomes; concurrent control groups were used; only primary data were used to avoid bias resulting from the use of duplicate results. The age of the children participating in the studies ranged from 2 weeks to 18 years. 1256 children had a parent present and 1025 children did not have a parent present. The medical experiences included routine immunisations, venipunctures, dental procedures, lumbar punctures, burns treatments, intubation, central line placement, chest tube placement and anaesthesia induction, with some studies including a number of different painful contexts. There were mixed findings regarding the effect of parental presence on measures of child distress and affect; however, studies of lower levels of evidence were more likely to report significant results. Parents who were present during their child's medical intervention were either better off or no different from parents who were absent with regard to their levels of distress and satisfaction. There was no evidence of increased technical complications nor elevated staff anxiety for health professionals attending to children with a parent present as compared to attending to children without their parents.

5.1.11 The role of the parents during medical procedures and/or anaesthesia induction

In the paediatric pain literature a number of studies point to the role that parents play in shaping their child's pain perception and distress response. Certain parental behaviours are associated with child coping and others with child distress when children undergo painful medical procedures. Parenting behaviours such as agitation, provision of reassurance, empathic comments, giving control, excessive explanations and apologies to their children have been shown to be associated with (and indeed precede) elevated distress and increased pain intensity during medical procedures^{30,31,48}. Humour, commands to use coping strategies and non-procedural talk are associated with increases in child's coping. Dahlquist and colleagues⁴⁷ demonstrated the influence of speech function on pain distress. Their results showed that vague commands by caregivers were positively associated with child distress during painful procedures. Lioffi and colleagues¹⁴⁵ showed that parental expectancies are highly predictive of experienced pain in children undergoing lumbar punctures.

Parents are often anxious not only about their child's distress but also about their own ability to support and comfort their child through a painful experience. Thus, parents need to be included in interventions and helped to control their own anxiety, which in turn will ensure less anxiety being communicated to the child. Simple educational leaflets can give useful information and more extensive training programmes can teach parents what to do¹⁸⁵.

5.1.12 Summary - preparation for sedation

In summary, current evidence from the literature dealing with patient preparation, that is, preparation for anaesthesia and medical procedures suggests:

- 1 • that preparation for sedation is important for young people and their parents
- 2 • there is some helpful direction informing what this should and should not include
- 3 and how it is performed.

4
5 For children, the extensiveness and style of preparation should be guided by each child's
6 age and developmental level

7 In general, specific discussion about the sedation and procedure has more relevance for
8 children >2 years of age. The outcome from this narrative review suggests that
9 preparation should have at least three components, namely:

- 10 • what will happen (where, how long it will last and what will be done)
- 11 • how it will feel (pressure, temperature and level of discomfort to be expected)
- 12 • strategies to cope with the stressor (which may be related to the sedation
- 13 technique and/or procedure^{57,182,210}.)

14 Given this, children can be asked what strategies they think will help them to cope and, if
15 possible, those strategies should be incorporated into the sedation administration. In
16 addition, given the strong data supporting distraction, distraction techniques should be
17 used during the induction of sedation. Evidence supporting the use of behavioural
18 strategies, such as teaching children coping techniques to alleviate their preoperative
19 anxiety, has emerged throughout the literature²³⁶. Teaching children coping skills allows
20 them to learn how to calm themselves in times of stress and thus may be useful not just at
21 the time of the procedure in question but at subsequent procedures as well.
22

23 For parents, there is inconclusive evidence indicating whether parents should be
24 encouraged or discouraged to be present at their child's induction. The offer to be
25 present is therefore based on negotiation with the care team. Although parental
26 presence may not have a clear, direct influence on child distress and behavioural
27 outcomes, there are potential advantages for parents and children; offering the option
28 of parental presence is clearly in line with a paradigm shift to family-centred care
29 during hospitalisation¹¹². Parental inclusion in supporting interventions may also help their
30 own anxiety, lessening the potential for this to be communicated to their child.

31

1 **6 Drugs for sedation in infants, children and** 2 **young people**

3 **6.1 General clinical introduction: drugs for sedation in infants, children and** 4 **young people**

5 The Guideline Development Group (GDG) considered that many potentially useful
6 sedation drugs could be reviewed. The GDG decided to limit the literature searches and
7 discussions to sedative drugs that were both currently available and in common use in the
8 UK. All commonly used routes of administration of the chosen drugs, for example by
9 injection, by mouth or by inhalation, were considered.

10 The GDG was mindful of the fact that some classes of sedative drugs may be used for
11 analgesia, pre-operative or pre-induction medication and in some situations, may cause
12 general anaesthesia. Evidence for sedation was considered only if the studies reviewed
13 specifically intended to assess the sedative effects of the drug. The GDG made a
14 judgment on whether the doses used were likely to cause anaesthesia.

15 The GDG reviewed evidence on the following drugs:

- 16 • Midazolam: Oral, IV, rectal, transmucosal
- 17 • Ketamine: IV, IM
- 18 • Chloral Hydrate: Oral
- 19 • Triclofos sodium: Oral
- 20 • Nitrous oxide: Inhalation
- 21 • Sevoflurane: Inhalation
- 22 • Propofol: IV
- 23 • Opioids: IV Fentanyl, IV Morphine and intranasal (IN) Diamorphine

24 Midazolam is a short acting benzodiazepine with a short half life. It has anxiolytic,
25 amnestic, hypnotic and anticonvulsant properties. It can be administered by several
26 different routes and is often given in combination with other sedative agents.

1 Ketamine is an N-methyl d-aspartate (NMDA) receptor antagonist which causes a trance-
2 like sedation with few appreciable effects on the respiratory and cardiovascular
3 systems. Its analgesic effect is a major advantage. Administered intravenously it can be
4 titrated. A single intramuscular dose is predictable and effective whenever venous access
5 is impractical.

6 Chloral hydrate was the first synthetic drug employed for its sedative-hypnotic effect.
7 Unlike opioids, it produces sedation without significant adverse effects on cardiovascular
8 or respiratory function at therapeutic doses. In children it is orally administered for
9 painless imaging.

10 Nitrous oxide gas, delivered with oxygen, also acts as an NMDA receptor antagonist. It
11 has a rapid anxiolytic/sedative/analgesic effect and is delivered by inhalation. Doses
12 may be titrated to achieve target effect.

13 Opioid drugs can be used as sedatives for painful procedures however it is important to
14 separate the use of opioids used as sedation from when they are used specifically for
15 analgesia alone. Intravenous morphine and fentanyl are commonly used opioids whose
16 sedative action can be improved by the addition of another drug such as midazolam.
17 Intranasal diamorphine has been considered in the review because it has the potential to
18 be rapidly effective and easily administered.

19 Propofol is a short acting hypnotic agent that can be given in low doses to achieve short
20 acting and controlled sedation. Propofol is not considered an analgesic, so opioids such
21 as fentanyl may be combined with propofol to alleviate pain. Propofol is administered
22 intravenously.

23 Sevoflurane is a fluorinated isopropyl ether which has a rapid induction and quick
24 elimination effect. It is delivered by inhalation and may be titrated for sedative effect.

25 Triclofos is a sedative-hypnotic drug, similar to chloral hydrate but with less gastric
26 irritation. It is orally administered for painless imaging.

27 The GDG reviewed evidence on sedative drugs with the following comparisons:

- 28 • Placebo; non-pharmacological treatment
- 29 • Head to head
- 30 • Combination (including analgesia and general anaesthesia)
- 31 • Route of administration
- 32 • Dose

33 In some settings, the use of local anaesthesia was included because the effect of
34 analgesia is likely to be crucial to the success of any sedation for painful procedures.

35 In general, for the purposes of categorisation of RCTs, a drug combination is defined as
36 two or more drugs that have sedative potential. In some RCTs, single sedation drugs have
37 been combined with interventions that do not cause sedation such as local anaesthesia,
38 mild analgesics (such as paracetamol) or a non-pharmacological intervention. For the
39 purposes of categorisation of the RCTs, these additional interventions are not considered

1 to be part of a sedation drug combination when they have been applied equally to both
 2 groups. For example in a RCT in which one group receives sedation drug A and the other
 3 has sedation drug B, but both groups receive local anaesthesia, the RCT is categorised as
 4 a single drug comparison. However if local anaesthesia had been used only in one group
 5 the RCT would be categorised as a comparison of a drug combination.

6 After reviewing and assessing the evidence for each drug, this chapter evaluates the
 7 specific clinical settings in which they are used (Section 6.1.2). The GDG sought to group
 8 the evidence and the recommendations according to the following type of procedure:

- 9 • painless imaging
- 10 • painful procedures
- 11 • dental procedures
- 12 • endoscopy

13 The GDG believe this classification covers the majority (more than 90%) of common
 14 procedures.

15

16 **6.2 General methodological introduction: drugs for sedation in infants,** 17 **children and young people**

18 Efficacy outcome data for this review was taken from RCTs alone. Each outcome was
 19 quality assessed using a GRADE evidence profile. The outcome measures for drug
 20 efficacy that were considered by the GDG were as follows:

21 **Primary outcome:**

- 22 • Successful completion of diagnostic or therapeutic procedure
 - 23 ○ measured as the number of patients for whom the diagnostic or
 - 24 therapeutic procedure was carried out and completed.

25 **Secondary outcomes:**

- 26 • Behavioural ratings including:
 - 27 ○ pain as assessed by the patient or parent or other observer using
 - 28 validated pain scales for example Visual Analogue Scale (VAS),
 - 29 Children's Hospital of Eastern Ontario Pain Scale (CHEOPS), Faces Pain
 - 30 Scale (FPS)
 - 31 ○ procedural distress and/or anxiety as assessed by the patient or parent
 - 32 or other observer using validated scales e.g. Visual Analogue Scale
 - 33 (VAS), Observation Scale of Behavioral Distress (OSBD)
 - 34 ○ patient or parent satisfaction including preference

- 1 • Sedation timing including
- 2 ○ length of induction: time from administration of sedation drug to initiation
- 3 ○ of procedure
- 4 ○ recovery: time from completion of procedure to recovery criteria being
- 5 ○ met or recovery to pre-sedation state
- 6 ○ duration of procedure
- 7 ○ total: time from administration of intervention to when patient has been
- 8 ○ transferred to the recovery area or has been discharged

9 Evidence of safety was sought from both RCTs and non RCT observational studies. The
 10 outcomes of interest in each RCT were evaluated using a GRADE evidence profile. The
 11 GDG recognised that research from non RCT observational studies is subject to the usual
 12 limitations of observational work, including dependence on the quality of medical record
 13 documentation and potential for bias secondary to non randomisation, and un-blinded
 14 participants. In these studies, there were no interventions or comparisons but merely data
 15 collection of adverse events. The datasets were generally large, and were expected to
 16 provide more information on a range of adverse events than the small RCTs available
 17 for review. Due to these limitations, we only assigned quality rating ('very low' quality)
 18 based on the GRADE scheme. It was considered more comprehensive to present
 19 separately this supplementary observational data in the form of concise, customised
 20 summary tables which also contain the GRADE ratings.

21 The outcomes measures for safety were limited to short term effects. Long term effects
 22 of sedation drugs were considered to be too rare for inclusion in this review. The
 23 outcome measures for drug safety and adverse effects that were considered by the
 24 GDG are as follows:

- 25 • Aspiration
- 26 • Respiratory intervention, including:
 - 27 ○ oral-pharyngeal airway
 - 28 ○ endotracheal intubation
 - 29 ○ assisted ventilation
- 30 • Cardiac arrest requiring either/or:
 - 31 ○ external cardiac massage
 - 32 ○ defibrillation
- 33 • Oxygen desaturation <90%
- 34 • Vomiting

35 A decrease in oxygen saturation to below 90% was chosen as a safety outcome.
 36 Oxygen saturation often fluctuates during recovery from anaesthesia, especially if

1 supplemental oxygen is not administered; The GDG agreed however that desaturation
2 less than 90% is concerning.

3 The GDG agreed that the dose of drugs was an important consideration. Matching the
4 dose to the target sedation level is essential and when robust data has been published, it
5 has been quoted. Yet the dose *question* is not straightforward. When a drug is given by
6 mouth, only a single dose is practical because its absorption, and therefore its maximum
7 effect, can take a variable time. In contrast, intravenous drugs can be titrated to achieve
8 the target level of sedation although it must be appreciated that there is considerable
9 variation and the practitioner will need to continually assess the conscious level and
10 adjust the dose accordingly. Prolonged recovery is a hazard that can be avoided if the
11 lengths of action of the sedation drugs match the length of the procedure. This is a
12 notoriously dangerous problem following painful procedures when pain has subsided, for
13 example after a dental extraction, because the sedation is no longer opposed by the
14 stimulation of painful procedure.

15

1 **6.3 Midazolam**

Matrix of midazolam comparators			
Key:			
Chloral hydrate = CH Fentanyl = F Morphine = Mo Meperidine = Me Isoflurane = I Ketamine=K Local anaesthesia = LA Topical anaesthesia = TA Midazolam = M Nitrous oxide = N ₂ O Nitrous oxide and oxygen = N ₂ O+O ₂ Opioids = O Propofol= P Sevoflurane = S Triclofos sodium = TS			
Midazolam vs.			
	Reference	Tables	Evidence statements page
Placebo			
	Liacouras, 1998 ¹⁴⁰ Mortazavi, 2009 ¹⁷⁰	Table 2	172
	Fatovich 1995 ⁶³ , Luhman 2001 ¹⁵²	Table 3	176 173
	Kapur 2004 ¹²⁵	Table 4	173
	Fishbein 1997 ⁶⁷	Table 5	176
	Ljungman 2000 ¹⁴⁹ Theroux 1993 ²¹²	Table 6	173 174
Head to head			
M vs TS	Singh 2002 ²⁰⁵	Table 7	174
M vs CH	Layangool 2008 ¹³⁶	Table 8	174
M + non-pharma vs N ₂ O + pharma	Zier 2008 ²³⁹	Table 9	175
Combinations			
M vs M + N ₂ O+O ₂	Al-zahrani 2009 ¹³	Table 10	175
M + N ₂ O vs N ₂ O	Luhman 2001 ¹⁵²	Table 11	176

M + P vs P	Paspatis 2006 ¹⁷⁸ Disma 2005 ⁵⁶	Table 12 Table 13	176 176
M + Morphine vs Propofol + Morphine	Havel 1999 ⁹¹	Table 15	177
M + Meperidine vs Meperidine	Fishbein 1997 ⁶⁷	Table 14	176
M + F vs F	Antmen 2005 ¹⁶	Table 16	177
M + Remifentanil vs Remifentanil	Antmen 2005 ¹⁶	Table 17	178
M + K vs K + placebo	Sherwin 2000 ²⁰³ Wathen 2000 ²²⁹ Dilli 2008 ⁵⁵	Table 18	178
Safety			
RCTs	Liacouras 1998 ¹⁴⁰ Luhmann 2001 ¹⁵² Ljungman 2000 ¹⁴⁹ Layangool 2008 ¹³⁶ Zier 2008 ²³⁹ Disma 2005 ⁵⁶ Havel 1999 ⁹¹ Antmen 2005 ¹⁶ Wathen 2000 ²²⁹ Sherwin 2000 ²⁰³ Dilli 2009 ⁵⁴	Table 19 Table 24	
Aspiration	Luhmann 2001 ¹⁵² Havel 1999 ⁹¹ Wathen 2000 ²²⁹ Sherwin 2000 ²⁰³		181
Desaturation	Liacouras 1998 ¹⁴⁰ Disma 2005 ⁵⁶ Havel 1999 ⁹¹ Antmen 2005 ¹⁶ Wathen 2000 ²²⁹ Sherwin 2000 ²⁰³ Hartgraves 1994 ⁹⁰ Needleman 1995 ¹⁷³	Table 26 Table 27	181
Respiratory intervention	Luhmann 2001 ¹⁵² Disma 2005 ⁵⁶ Havel 1999 ⁹¹ Wathen 2000 ²²⁹ Sherwin 2000 ²⁰³ Needleman 1995 ¹⁷³ Kanegaye 2003 ¹²⁴	Table 26 Table 27	181
Vomiting	Luhmann 2001 ¹⁵² Ljungman 2000 ¹⁴⁹ Layangool 2008 ¹³⁶	Table 26 Table 27	181

	Zier 2008 ²³⁹ Antmen 2005 ¹⁶ Wathen 2000 ²²⁹ Sherwin 2000 ²⁰³ Everitt 2002 ⁶⁰ Shashikiran 2006 ²⁰² Fuks 1994 ⁷¹ Needleman 1995 ¹⁷³ Kanegaye 2003 ¹²⁴		
Observational	Peña 1999 ¹⁸⁰ Hulland 2002 ⁹⁸ Pitetti 2003 ¹⁸⁴ Roback 2005 ¹⁹¹ Mamula 2007 ¹⁵⁷ Sacchetti 2007 ¹⁹⁷ Lightdale 2009 ¹⁴¹	Table 26 Table 27	181
Route of administration			
Oral / intranasal	Connors 1994 ⁴² Everitt 2002 ⁶⁰ Hartgraves 1994 ⁹⁰ Lightdale 2009 ¹⁴¹	Table 20 Table 21	179
Intranasal / IM	Shashikiran 2006 ²⁰²	Table 22	180
Dose			
	Fuks 1994 ⁷¹ Fukuta 1994 ⁷² Kanegaye 2003 ¹²⁴	Table 23 Table 25	181

1 **6.3.1 Clinical methodological introduction for midazolam**

2 **CLINICAL QUESTIONS**

3 For children and young people under the age of 19 undergoing diagnostic or
 4 therapeutic procedures, is midazolam (with or without: analgesia, another drug or
 5 psychological techniques):

6 - effective for sedation (at minimal, moderate, and deep levels) in comparison with usual
 7 care, with analgesia alone, with another sedation drug, with psychological techniques or
 8 with general anaesthesia?

9 - safe for sedation (at mild, moderate, and deep levels) in different settings?

10 The literature was searched for systematic reviews and RCTs for the clinical efficacy of
 11 midazolam. The search was expanded to include non-RCT observational studies for the
 12 safety of midazolam.

13 There were no systematic reviews identified for the use of midazolam in paediatric
 14 sedation.

15 Twenty seven RCTs comparing midazolam in any route with other sedative drugs were
 16 assessed for efficacy and safety.

17 Seven non-RCTs observational studies in 5,412 patients assessed the safety of
 18 midazolam.

19 Crossover trials were treated separately from parallel armed trials unless there was
 20 sufficient data to allow their combination.

21 Meta-analyses for RCTs were performed where drug interventions and comparisons and
 22 outcomes were sufficiently homogenous and studies were combined regardless of dose,
 23 duration of intervention, procedure (within painful and non-painful groups), setting (e.g.
 24 dentistry, accidents and emergencies) and age.

25

26 **6.3.2 Evidence profiles for midazolam**

27 **6.3.2.1 RCT evidence profiles for efficacy and safety for midazolam**

28 Study characteristics and methodological quality of the study are provided in Appendix
 29 D. GRADE tables for quality assessment of study outcomes and summary of findings are
 30 provided below.

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PLACEBO COMPARISONS OR NON-DRUG TREATMENT

Table 2: Oral midazolam vs. placebo/no drug treatment; Liacouras 1998¹⁴⁰, Mortazavi 2009¹⁷⁰

Question: Should oral midazolam vs. placebo be used in children and young people under 19 years of age undergoing diagnostic and therapeutic procedures?

Settings: gastroenterology and outpatients (dental postgraduate paediatric clinic)

Bibliography: Liacouras 1998¹⁴⁰ (intravenous placement); Mortazavi 2009¹⁷⁰ (dental extractions, teeth restorations, pulpotomies)

Quality assessment							Summary of findings				Quality	Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect			
							oral midazolam ⁶	placebo	Relative (95% CI)	Absolute		
Completion of procedure (Mortazavi 2009¹⁷⁰)												
1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision	none	9/20 (45%)	20/20 (100%)	RR 2.16 (1.34 to 3.47) ²	0 more per 1,000	LOW	
Completion of procedure (Liacouras 1998¹⁴⁰)												
1	randomised trial	serious ³	no serious inconsistency	no serious indirectness	no serious imprecision	none	59/62 (95.2%)	47/61 (77%)	RR 1.24 (1.07 to 1.43) ⁴	185 more per 1000 (from 54 more to 331 more)	MODERATE	
										0 more per 1,000		
Adverse events: Oxygen desaturation <90% (Mortazavi 2009¹⁷⁰)												
1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision ⁵	none	0/20 (0%) ^{2,5}	0/20 (0%)	not pooled	-	LOW	

¹ Mortazavi 2009¹⁷⁰: double blind study however partial allocation concealment and unclear blinding of outcome assessor and unclear ITT and N=20 (small study)

² p=0.002

³ Liacouras 1998¹⁴⁰: unclear if ITT analysis was done; also large loss to follow up (>20%) for the outcome of patients satisfaction: for 32/123 (26%) patients, data was not available and this was greater in the control group (18/61=30%) compared to the intervention group (14/62=23%)

⁴ p=0.005

⁵ Mortazavi 2009¹⁷⁰: study stated that all patients remained close to 100% oxygen desaturation during procedure

⁶ For two RCTs, there was highly significant heterogeneity (I²=83%; p=0.02). Thus, the studies are presented individually.

Note: The Mortazavi study¹⁷⁰ used the Houpt scale to evaluate overall behaviour. One of the six ratings within this scale is called 'aborted', defined as 'no treatment rendered', so we used those data to calculate the number of patients who completed the procedure in each group.

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1 **Table 3: Oral midazolam vs. placebo; Fatovich 1995⁶³, Luhman 2001¹⁵²**

2 **Question:** Should oral midazolam vs. placebo (with local anaesthesia in both groups) be used in children and young people under 19 years of age undergoing diagnostic and therapeutic
 3 procedures?
 4 **Settings:** A & E
 5 **Bibliography:** Luhman 2001¹⁵² (suturing for laceration repair) Fatovich 1995⁶³ a) (suturing for laceration repair) Fatovich 1995⁶³ b) (suturing for laceration repair)

Quality assessment							Summary of findings				Quality	Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect			
							oral midazolam	placebo	Relative (95% CI)	Absolute		
Completion of procedure (Luhman 2001¹⁵²)												
1	randomised trial	serious ⁶	no serious inconsistency	no serious indirectness	no serious imprecision	none	51/52 (98.1%)	50/50 (100%) ¹	RR 0.98 (0.93 to 1.04)	20 fewer per 1000 (from 70 fewer to 40 more)	MODERATE	
Anxiety – child assessed by observers using a validated scale (Herbert-Michaelinees-Venham scale) (Fatovich 1995⁶³)												
1	randomised trial	no serious limitations ²	no serious inconsistency	no serious indirectness	serious ³	none	33/57 (57.9%)	32/50 (64%) ⁴	RR 0.89 (0.66 to 1.21)	70 fewer per 1000 (from 218 fewer to 134 more)	MODERATE	
Distress – child assessed by parents using a validated scale (measured with: Visual Analogue Scale (VAS); Better indicated by less) (Fatovich 1995⁶³)												
1	randomised trial	no serious limitations ²	no serious inconsistency	no serious indirectness	serious ³	none	57	50	-	MD -1.6 (-2.81 to -0.39) ⁵	MODERATE	
Adverse events: Aspiration (Luhman 2001¹⁵²)												
1	randomised trial	serious ⁶	no serious inconsistency	no serious indirectness	no serious imprecision ⁷	none	0/51 (0%) ⁷	0/50 (0%)	not pooled	-	MODERATE	
Adverse events: Respiratory intervention (Luhman 2001¹⁵²)												
1	randomised trial	serious ⁶	no serious inconsistency	no serious indirectness	no serious imprecision ⁸	none	0/51 (0%) ⁸	0/50 (0%)	not pooled	-	MODERATE	
Adverse events: Vomiting (Luhman 2001¹⁵²)												
1	randomised trial	serious ⁶	no serious inconsistency	no serious indirectness	no serious imprecision ⁹	none	0/51 (0%) ⁹	0/52 (0%)	not pooled	-	MODERATE	

¹ Luhman 2001¹⁵²: p=0.49

² Fatovich 1995⁶³: unclear ITT and unclear drop out rate; otherwise adequate allocation concealment and double blind

³ wide confidence intervals

⁴ Fatovich 1995⁶³: p=0.47

⁵ Fatovich 1995⁶³: p=0.009

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⁶ Luhman 2001¹⁵²: adequate concealment and low loss of follow up (1 patient in the midazolam group); however single blind study (only assessors were blind) and ITT was not performed - per protocol analysis instead

⁷ Luhman 2001¹⁵²: stated that not clinically apparent aspiration occurred in any patient

⁸ Luhman 2001¹⁵²: stated that no cardio respiratory adverse events occurred in any patient at any time

⁹ Luhman 2001¹⁵²: no incidents of vomiting in any patient in either group were observed

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Table 4: Oral midazolam + non-pharmacological* vs. placebo + non-pharmacological*; Kapur 2004¹²⁵

*Love care, Tell show do techniques, physical restrain

Question: Should oral Midazolam plus non-pharmacological technique vs. placebo plus non-pharmacological technique be used in children and young people under 19 years of age undergoing diagnostic and therapeutic procedures?

Settings: dental hospital

Bibliography: Kapur 2004¹²⁵ (dental: restorations)

Quality assessment							Summary of findings				Quality	Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect			
							oral Midazolam plus non-pharmacological technique	placebo plus non-pharmacological technique	Relative (95% CI)	Absolute		
Completion of procedure												
1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision	none	18/20 (90%)	7/20 (35%)	RR 2.57 (1.39 to 4.76) ²	549 more per 1000 (from 136 more to 1000 more)	LOW	
Duration of procedure (Better indicated by less)												
1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	serious ³	none	20	20	-	MD -9.83 (-17.22 to -2.44) ⁴	VERY LOW	

¹ Kapur 2004¹²⁵: assessors and patients blinded; however unclear allocation concealment, unclear if ITT was performed and dropouts not stated

² Kapur 2004¹²⁵: p=0.003

³ Kapur 2004¹²⁵: wide confidence intervals

⁴ Kapur 2004¹²⁵: p=0.009

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1 **Table 5: Intranasal midazolam vs. placebo; Fishbein 1997⁶⁷**

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Question: Should intranasal midazolam vs. placebo be used in children and young people under 19 years of age undergoing diagnostic and therapeutic procedures?

Settings: gastroenterology

Bibliography: Fishbein 1997⁶⁷ (Venipuncture)

Quality assessment							Summary of findings				Quality	Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect			
							intranasal midazolam	placebo	Relative (95% CI)	Absolute		
Distress – child assessed by an observer using a validated scale (OBRs)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	15/19 (78.9%)	16/19 (84.2%)	RR 0.94 (0.69 to 1.27) ³	51 fewer per 1000 (from 261 fewer to 227 more)	LOW	
										0 fewer per 1,000		

¹ Fishbein 1997⁶⁷: unclear allocation concealment; not true ITT performed -available case analysis only; otherwise double blind and low dropout (<20%) (venipuncture was not performed in 1 patient in each group but reasons not stated)

² Fishbein 1997⁶⁷: wide confidence intervals

³ Fishbein 1997⁶⁷: p=0.68

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Table 6: Intranasal midazolam vs. placebo; Ljungman 2000¹⁴⁹, Theroux 1993²¹²

Question: Should intranasal midazolam vs. placebo (with local anaesthesia in both arms) be used in children and young people under 19 years of age undergoing diagnostic and therapeutic procedures?

Settings: A & E and oncology

Bibliography: Theroux 1993²¹² (suturing for laceration repair) Ljungman 2000¹⁴⁹ (cross over) (needle insertion)

Quality assessment							Summary of findings				Quality	Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect			
							intranasal midazolam plus analgesia	placebo plus analgesia	Relative (95% CI)	Absolute		
Parent satisfaction (Theroux 1993²¹²)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	15/22 (68.2%)	9/27 (33.3%)	RR 2.05 (1.12 to 3.75) ³	350 more per 1000 (from 40 more to 916 more)	0 more per 1,000	LOW
Patients' preference (Ljungman 2000¹⁴⁹)												
1	randomised trial	very serious ⁴	no serious inconsistency	no serious indirectness	very serious ⁵	none	3/15 (20%) ⁶	0/10 (0%)	RR 4.81 (0.28 to 84.2) ⁷	0 more per 1000 (from 0 fewer to 0 more)	0 more per 1,000	VERY LOW
Parents' preference (Ljungman 2000¹⁴⁹)												
1	randomised trial	very serious ⁴	no serious inconsistency	no serious indirectness	no serious imprecision ⁵	none	13/27 (48.1%)	0/22 (0%)	RR 22.18 (1.39 to 353.32) ⁸	0 more per 1000 (from 0 more to 0 more)	0 more per 1,000	LOW
Pain - assessed by parents using a validated scale (measured with: Visual analogue scale; range of scores: 1-100; Better indicated by less) (Ljungman 2000¹⁴⁹)												
1	randomised trial	serious ⁴	no serious inconsistency	no serious indirectness	serious ^{9,10}	none	22	27	-	not pooled		LOW
Pain - assessed by patients using a validated scale (measured with: Visual analogue scale; range of scores: 1-100; Better indicated by less) (Ljungman 2000¹⁴⁹)												
1	randomised trial	serious ⁴	no serious inconsistency	no serious indirectness	serious ^{10,11}	none	22	27	-	not pooled		LOW
Adverse events: Vomiting after discharge (Theroux 1993²¹²)												
1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision ¹²	none	0/22 (0%) ¹²	0/27 (0%)	not pooled	-		LOW

¹ Theroux 1993²¹²: ITT appeared to have been performed and no dropouts were reported; however unclear allocation concealment and blinding of patients and assessors was partially possible only: the control group received no treatment while intervention and placebo groups blinded

² Theroux 1993²¹²: wide confidence intervals

³ Theroux 1993²¹²: p=0.02

⁴ Ljungman 2000¹⁴⁹: patients and assessors blinded; however, unclear allocation concealment, ITT not performed -available case analysis for the outcomes of pain and patient's preference-

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and large amount (>20%) of loss of follow up at interview questionnaires for the outcomes of pain and preference; >35% of parents (25/74) and children (49/74) not contacted for the outcome of preference; for the outcome of pain, 38% (25/74) of children and 3% (2/74) of parents were not contacted

⁵ Ljungman 2000¹⁴⁹: very wide confidence intervals

⁶ Ljungman 2000¹⁴⁹: information/data available from only 25 parents/children; 15 in the first visit and 10 in the second visit

⁷ Ljungman 2000¹⁴⁹: p=0.28

⁸ Ljungman 2000¹⁴⁹: p=0.03

⁹ Ljungman 2000¹⁴⁹: point estimate not possible to calculate based on reported data. Study stated that pain assessed by parents was significantly less in the placebo group (median 81, IQR 46.7 to 92) than the intranasal midazolam (median 90, IQR 76.3 to 98; p=0.39)

¹⁰ Ljungman 2000¹⁴⁹: median and IQR indicatives of skewed data

¹¹ Ljungman 2000¹⁴⁹: point estimate not possible to calculate based on reported data. Study stated that pain assessed by patients was no significant between groups; placebo group (median 87, IQR 41 to 97), intranasal midazolam (median 87.5, IQR 78.3 to 100; p=0.625)

¹² Theroux 1993²¹²: there was no evidence of any children having vomited after discharge; vomiting was included as part of the follow up data collected from parents by telephone interview

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HEAD TO HEAD COMPARISON

Table 7: Oral midazolam vs. oral triclofos sodium; Singh 2002²⁰⁵

Question: Should oral midazolam vs. oral triclofos sodium be used in children and young people undergoing diagnostic and therapeutic procedures?

Settings: dental hospital

Bibliography: Singh 2002²⁰⁵

Quality assessment							Summary of findings					
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect		Quality	Importance
							oral midazolam	oral triclofos sodium	Relative (95% CI)	Absolute		
Completion of procedure												
1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision	none	30/30 (100%) ²	30/30 (100%)	RR=1	1000 fewer per 1000 (from 1000 fewer to 1000 fewer)	LOW	
Recovery (when the patient was able to sit or stand alone with minimal assistance; Better indicated by less)												
1	randomised trial	very serious	no serious inconsistency	no serious indirectness	no serious imprecision	none	30	30	-	MD -38.23 (-44.94 to -31.52)	LOW	
Length of induction (Better indicated by less)												
1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision	none	30	30	-	MD -16.10 (-18.11 to -14.09)	LOW	

¹ Singh 2002²⁰⁵: patients and outcome assessors blinded however concealment, ITT and attrition details not stated

² Singh 2002²⁰⁵: all completed - ease of treatment completion rated as 1-excellent, 2-difficult and 3-impossible; study stated that treatment was most convenient for midazolam group than for triclofos group. Difficulty in treatment was significantly more for group of promethazine than for midazolam (p<0.01) and for triclofos (p<0.05)

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Table 8: Sublingual midazolam vs. oral chloral hydrate; Layangool 2008¹³⁶

Question: Should sublingual midazolam vs. oral chloral hydrate be used in children and young people under 19 years of age undergoing diagnostic and therapeutic procedures?

Settings: Outpatients' cardiology unit

Bibliography: Layangool 2008¹³⁶ (echocardiogram)

Quality assessment							Summary of findings				Quality	Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect			
							sublingual midazolam	oral chloral hydrate	Relative (95% CI)	Absolute		
Completion of procedure (number of patients)												
1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	127/132 (96.2%)	131/132 (99.2%)	RR 0.97 (0.93 to 1.01) ³	30 fewer per 1000 (from 69 fewer to 10 more)	VERY LOW	
Induction time (Better indicated by less)												
1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision	none	131	131	-	MD -13.80 (-17.56 to -10.04) ⁴	LOW	
Duration of procedure (Better indicated by less)												
1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision	none	131	131	-	MD -0.40 (-1.59 to 0.79) ⁵	LOW	
Total time (Better indicated by less) Total time covered from administration to recovery in full, determined by vital signs, oxygen saturation and conscious level which were monitored until the child status showed full recovery.												
1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision	none	131	131	-	MD 38.80 (33.18 to 44.42) ⁶	LOW	
Adverse events: Vomiting												
1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision ⁷	none	1/132 (0.8%)	14/132 (10.6%)	RR 0.07 (0.01 to 0.54) ⁸	99 fewer per 1000 (from 49 fewer to 105 fewer)	LOW	
							0.8%	7 fewer per 1,000				

¹ Layangool 2008¹³⁶: stated as double blinded study; however, partial allocation concealment and ITT not performed, available case analysis instead for children who both completed procedure in full plus children who completed procedure partially; and <20% lost of follow up

² Layangool 2008¹³⁶: crosses left precision limit

³ Layangool 2008¹³⁶: p=0.10

⁴ Layangool 2008¹³⁶: P<0.00001

⁵ Layangool 2008¹³⁶: p=0.51

⁶ Layangool 2008¹³⁶: p<0.00001

⁷ Layangool 2008¹³⁶: precise

⁸ Layangool 2008¹³⁶: p=0.01

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Note: For Layangool¹³⁶, the ability to complete the procedure was described in four different levels. Level 0 was defined as 'unable to perform the study'; level 1 was stated as 'important part of the study accomplished, but study shortened'; level 2 defined a 'complete study possible with coaxing'; and level 3 was defined as 'complete study easily accomplished'. Furthermore, the RCT stated that procedure was incompletely performed in four cases in the midazolam group and it was failed in one case in each group. Thus we dichotomised the four levels into procedure completely performed (level 2 + level 3) and procedure not or partly performed (level 0 and level 1).

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**Table 9: Rectal midazolam + non-pharmacological intervention* versus nitrous oxide (70%) + non-pharmacological intervention*;
Zier 2008²³⁹**

*distraction: storytelling, soothing discourse

Question: Should rectal midazolam vs. nitrous oxide (with topical anaesthesia in both arms) be used in children and young people under 19 years of age undergoing diagnostic and therapeutic procedures?

Settings: gastroenterology

Bibliography: Zier 2008²³⁹ (injections for spasticity)

Quality assessment							Summary of findings					Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect		Quality	
							rectal midazolam plus placebo plus topical anaesthesia plus non-pharmacological intervention	nitrous oxide plus placebo plus topical anaesthesia plus non-pharmacological intervention	Relative (95% CI)	Absolute		
Pain - number of patients - assessed by a trained observer using a validated scale (Face, Legs, Activity, Cry, Consolability (FLACC))												
1	randomised trial	no serious limitations ¹	no serious inconsistency	no serious indirectness	serious ²	none	24	25	-	not pooled ²	MODERATE	
Parents satisfaction assessed on a 1 to 10 scale (measured with: arbitrary scale; range of scores: 1-10; Better indicated by less)												
1	randomised trial	no serious limitations ¹	no serious inconsistency	no serious indirectness	serious ³	none	22	25	-	not pooled ⁴	MODERATE	
Total time (Better indicated by less)												
1	randomised trial	no serious limitations ¹	no serious inconsistency	no serious indirectness	serious ⁵	none	24	25	-	not pooled ⁵	MODERATE	
Adverse events: Vomiting during drug nitrous oxide administration												
1	randomised trial	no serious limitations ¹	no serious inconsistency	no serious indirectness	serious ^{2,6}	none	0/25 (0%)	4/25 (16%)	RR 0.11 (0.01 to 1.96) ⁷	142 fewer per 1000 (from 158 fewer to 154 more) 0 fewer per 1,000	MODERATE	

¹ Zier 2008²³⁹: adequate concealment, ITT appeared to be performed and there were no loss of follow up reported, adequate allocation concealment and both patients and outcome assessors were blind

² Zier 2008²³⁹: reported p-value=0.010; sample size small; median scores were 6 for the midazolam group and 4 for the nitrous oxide group

³ Zier 2008²³⁹: reported satisfaction was no significant between groups; p=0.10; assessed on a 1 to 10 arbitrary scale where 1=satisfaction and 10=dissatisfaction; median scores were 2 for the midazolam group and 1 for the nitrous oxide group; small study

⁴ Zier 2008²³⁹: reported p=0.10

⁵ Zier 2008²³⁹: stated that there was no difference between groups regarding the time each group stayed in the clinic, did not report p-value; small study

⁶ Zier 2008²³⁹: very wide confidence intervals

⁷ Zier 2008²³⁹: p=0.13

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COMBINATION COMPARISONS

Table 10: Oral midazolam vs. oral midazolam + nitrous oxide; Al-Zahrani 2009¹³

Question: Should oral midazolam vs. oral midazolam plus nitrous oxide/oxygen (with topical and local anaesthesia in both arms) be used in children and young people under 19 years of age undergoing diagnostic and therapeutic procedures?

Settings: dental hospital

Bibliography: Al-Zahrani 2009¹³ (dental restorative procedures)

Quality assessment							Summary of findings					Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect		Quality	
							oral midazolam plus topical anaesthesia plus local anaesthesia	oral midazolam plus nitrous oxide/oxygen plus topical anaesthesia plus local anaesthesia	Relative (95% CI)	Absolute		
Completion of procedure (number of patients)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ^{2,3}	none	30/30 (100%)	30/30 (100%)	RR=1	-	LOW	
Induction time (measured with: minutes; Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	30	30	-	MD -0.70 (-2.59 to 1.19) ⁴	LOW	
Duration of procedure (time from bringing the patient to the operating room until the planned dental procedures were completed Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	very serious ⁵	none	30	30	-	MD 0.10 (-2.79 to 2.99) ⁶	VERY LOW	

¹ Al-Zahrani 2009¹³: cross-over trial, unclear concealment, unclear blinding of outcome assessors but all patients completed the trial and all patients appeared to be included in analyses

² Al-Zahrani 2009¹³: imprecise as crosses left precision limit; small sample

³ Al-Zahrani 2009: small sample

⁴ Al-Zahrani 2009¹³: p=0.47

⁵ Al-Zahrani 2009¹³: imprecise, crosses right precision limit and very wide confidence intervals; small sample

⁶ Al-Zahrani 2009¹³: p=0.95

Note: For Alzahrani (2009), the completion of procedure was based on assessment of overall behaviour using the Houpt scoring system (sleep, crying, movement, behaviour), most of the patients movement did not interrupt dental treatment on both visits and most of the patients showed good or very good behaviour in both groups; with no poor behaviour or treatment aborted.

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Table 11: Oral midazolam + nitrous oxide vs. nitrous oxide + placebo; Luhman 2001¹⁵²

Question: Should oral midazolam plus nitrous oxide vs. nitrous oxide plus placebo (with local anaesthesia in both arms) be used in children and young people under 19 years of age undergoing diagnostic and therapeutic procedures?

Settings: accidents and emergencies

Bibliography: Luhman 2001¹⁵² (suturing and laceration repairs)

Quality assessment							Summary of findings					Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect		Quality	
							oral midazolam plus nitrous oxide plus analgesia	nitrous oxide plus placebo plus analgesia	Relative (95% CI)	Absolute		
Completion of procedure												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision ²	none	52/52 (100%)	51/51 (100%)	RR=1	1000 fewer per 1000 (from 1000 fewer to 1000 fewer)	MODERATE	
										0 fewer per 1,000		
Adverse events: Aspiration												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision	none	0/52 (0%) ³	0/51 (0%)	not pooled	-	MODERATE	
Adverse events: Respiratory intervention												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision	none	0/52 (0%) ⁴	0/51 (0%)	not pooled	-	MODERATE	
Adverse events: Vomiting												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ⁵	none	1/52 (1.9%)	5/51 (9.8%)	RR 0.20 (0.02 to 1.62) ⁶	78 fewer per 1000 (from 96 fewer to 61 more)	LOW	
										0 fewer per 1,000		

¹ Luhman 2001¹⁵²: adequate concealment and low loss of follow up; however single blind study (only assessors were blind) and ITT was not performed

² Luhman 2001¹⁵²: not estimable, all patients completed the procedure

³ Luhman 2001¹⁵²: stated that not clinically apparent aspiration occurred in any patient

⁴ Luhman 2001¹⁵²: stated that no cardio respiratory adverse events occurred in any patient at any time

⁵ Luhman 2001¹⁵²: wide confidence intervals

⁶ Luhman 2001¹⁵²: p=0.13

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1 **Table 12: Oral midazolam + intravenous propofol vs. intravenous propofol; Paspatis 2006¹⁷⁸**

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Question: Should oral midazolam plus intravenous propofol vs. intravenous propofol (with local anaesthesia in both arms) be used in children and young people under 19 years of age undergoing diagnostic and therapeutic procedures?

Settings: gastroenterology

Bibliography: Paspatis 2006¹⁷⁸ (endoscopy)

Quality assessment							Summary of findings				Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect		
							oral midazolam plus intravenous propofol	intravenous propofol	Relative (95% CI)	Absolute	
Duration of procedure (Better indicated by less)											
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	26	28	-	MD 0.10 (-2.5 to 2.7) ³	LOW
Recovery from completion of procedure to recovery/discharge criteria met (measured with: REACT score; range of scores: 0-10; Better indicated by more)											
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision	none	26	28	-	MD 18.20 (16.14 to 20.26) ⁴	MODERATE

¹ Paspatis 2006¹⁷⁸: ITT appeared to have been performed and no loss of follow up were reported; however, allocation concealment and blinding of patients were not stated and blinding of outcome assessors was not clear

² Paspatis 2006¹⁷⁸: wide confidence intervals

³ P=0.94

⁴ P<0.00001

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Table 13: Intravenous midazolam + intravenous propofol vs. intravenous propofol; Disma 2005⁵⁶

Question: Should intravenous midazolam plus intravenous propofol vs. intravenous propofol be used in children and young people under 19 years of age undergoing diagnostic and therapeutic procedures?

Settings: gastroenterology

Bibliography: Disma 2005⁵⁶ (Endoscopy)

Quality assessment							Summary of findings				Quality	Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect			
							intravenous midazolam plus intravenous propofol	intravenous propofol	Relative (95% CI)	Absolute		
Completion of procedure (number of patients)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision ²	none	78/78 (100%)	80/80 (100%)	RR=1	-	MODERATE	
Duration of procedure (Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision	none	78	80	-	MD -0.20 (-0.98 to 0.58) ⁴	MODERATE	
Recovery from completion of procedure to recovery/discharge criteria met (better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	78	80	-	MD 2.50 (-0.4 to 5.4) ⁵	LOW	
Adverse events: Assisted ventilation (bag-valve mask)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ⁶	none	0/78 (0%)	5/80 (6.3%)	RR 0.09 (0.01 to 1.66) ⁷	57 fewer per 1000 (from 62 fewer to 42 more) 0 fewer per 1,000	LOW	
Adverse events: Oxygen desaturation <90%												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ⁶	none	2/78 (2.6%)	3/80 (3.8%)	RR 0.68 (0.12 to 3.98) ³	12 fewer per 1000 (from 33 fewer to 113 more)	LOW	

¹ Disma 2005⁵⁶: ITT appeared to be performed and there were no loss of follow up reported; however, allocation concealment and blinding of patients were not stated and blinding of outcome assessors was not clear

² Disma 2005⁵⁶: wide confidence intervals

³ P=0.67

⁴ P=0.62

⁵ P=0.09

⁶ Disma 2005⁵⁶: wide confidence intervals

⁷ P=0.11

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1 **Table 14: Intravenous midazolam + intravenous meperidine vs. intravenous meperidine; Fishbein 1997⁶⁷**

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Question: Should intravenous midazolam plus intravenous meperidine vs. intravenous meperidine be used in children and young people under 19 years of age undergoing diagnostic and therapeutic procedures?

Settings: gastroenterology

Bibliography: Fishbein 1997⁶⁷ (esophagogastroduodenoscopy)

Quality assessment							Summary of findings					Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect		Quality	
							intravenous midazolam plus intravenous meperidine	placebo plus intravenous meperidine	Relative (95% CI)	Absolute		
Distress assessed by an observer using a validated scale (Observational Behaviour Rating Scale (OBRS) - data for major behaviours)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision ²	none	18/20 (90%)	19/20 (95%)	RR 0.95 (0.79 to 1.13) ³	48 fewer per 1000 (from 199 fewer to 123 more)	MODERATE	
									0 fewer per 1,000			
Duration of procedure (Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ⁴	none	20	20	-	MD 0.40 (-1.22 to 2.02) ⁵	LOW	

¹ Fishbein 1997⁶⁷: unclear allocation concealment; not true ITT performed -available case analysis only; otherwise double blind and low dropout (<20%) (venipuncture was not performed in 1 patient in each group but reasons not stated)

² Fishbein 1997⁶⁷: precise

³ Fishbein 1997⁶⁷: p=0.55

⁴ Fishbein 1997⁶⁷: imprecise

⁵ Fishbein 1997⁶⁷: p=0.63

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1 **Table 15: Intravenous midazolam + intravenous morphine vs. intravenous propofol + intravenous morphine + local anaesthesia;**
 2 **Havel 1999⁹¹**

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Question: Should intravenous midazolam plus intravenous morphine vs. intravenous propofol plus intravenous morphine plus local anaesthesia (with placebo in both groups) be used in children and young people under 19 years of age undergoing diagnostic and therapeutic procedures?

Settings: accidents and emergencies

Bibliography: Havel 1999⁹¹ (fractures of the forearm, humerus, femur, lower leg, or hand, hip dislocation)

Quality assessment							Summary of findings					Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect		Quality	
							intravenous midazolam plus intravenous morphine plus placebo	intravenous propofol plus intravenous morphine plus placebo plus lidocaine	Relative (95% CI)	Absolute		
Completion of procedure												
1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision	none	46/46 (100%)	43/43 (100%)	not estimable 0 (0 to 0) ²	1000 fewer per 1000 (from 1000 fewer to 1000 fewer)	LOW	
Induction time (better indicated by less)												
1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision	none	46	53	-	MD 0.20 (-1.89 to 2.29) ³	LOW	
Duration of procedure (better indicated by less)												
1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision	none	46	43	-	MD 0.70 (-5.34 to 6.74) ⁴	LOW	
Pain (number of patients who reported pain)												
1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	very serious ⁵	none	2/46 (4.3%)	3/43 (0%)	RR 0.61 (0.1 to 3.82) ⁶	0 fewer per 1,000	VERY LOW	
Recovery time (better indicated by less)												
1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision	none	46	43	-	MD 46.80 (40.76 to 52.84) ⁷	LOW	
Total time (from admission until having been discharged from the clinic; Better indicated by less)												
1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision	none	46	43	-	MD 23.80 (0.93 to 46.67)	LOW	
Adverse events: Aspiration												
1	randomised trial	very	no serious	no serious	no serious	none	0/46 (0%) ⁸	0/43 (0%)	RR=1	not pooled ⁸ -		

	trial	serious ¹	inconsistency	indirectness	imprecision ⁸					-	LOW	
Adverse events: Assisted ventilation												
1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision ⁹	none	0/46 (0%) ⁹	0/43 (0%)	RR=1	not pooled ⁹	LOW	
Adverse events: Endotracheal intubation												
1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision	none	0/46 (0%)	0/43 (0%)	RR=1	not pooled	LOW	
										not pooled		
								0/43 (0%)	RR=1	not pooled ⁸ -	VERY LOW	
										-		

¹ Havel 1999⁹¹: patients and outcome assessors were blind and low loss of follow-up; however, inadequate allocation concealment, the sedationist knew medications, infusion tubing and intravenous site and ITT was not performed -per protocol analysis instead

² Havel 1999⁹¹: not estimable; all patients completed the procedure

³ Havel 1999⁹¹: p=0.85

⁴ Havel 1999⁹¹: p=0.82

⁵ Havel 1999⁹¹: crosses both confidence limits

⁶ Havel 1999⁹¹: p=0.59

⁷ Havel 1999⁹¹: p<0.00001

⁸ Havel 1999⁹¹: stated that not clinically apparent aspiration occurred in any patient in either sedation group

⁹ Havel 1999⁹¹: no patient in either sedation group required assisted ventilation

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1 **Table 16: Intravenous midazolam + intravenous afentanil vs. intravenous afentanil; Antmen 2005¹⁶**

Question: Should intravenous midazolam plus intravenous afentanil vs. intravenous afentanil be used in children and young people under 19 years of age undergoing diagnostic and therapeutic procedures?

Settings: paediatric haematology outpatients

Bibliography: Antmen 2005¹⁶ (bone marrow aspiration)

Quality assessment							Summary of findings					Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect		Quality	
							intravenous midazolam plus intravenous afentanil	intravenous afentanil	Relative (95% CI)	Absolute		
Completion of procedure												
1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision	none	20/20 (100%)	20/20 (100%)	not estimable	-	LOW	
Pain assessed by the anaesthetist using a validated scale - 2 (measured with: Children's Hospital of Eastern Ontario Pain Scale (CHEOPS); range of scores: 0-13; Better indicated by less)												
1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	20	20	-	MD -0.15 (-1.05 to 0.75)	VERY LOW	
Pain assessed by the anaesthetist using a validated scale - 1 (measured with: Visual analogue scale (VAS); range of scores: 0-10; Better indicated by less)												
1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	20	20	-	MD -0.30 (-1.8 to 1.2)	VERY LOW	
Adverse events: Oxygen desaturation <90%												
1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision	none	0/20 (0%)	0/20 (0%)	RR=1	not pooled -	LOW	
Adverse events: Vomiting												
1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision	none	0/20 (0%)	0/20 (0%)	RR=1	not pooled -	LOW	

¹ Antmen 2005¹⁶: ITT appeared to be performed and there were no loss of follow up reported; however, allocation concealment and blinding of patients were not stated and blinding of outcome assessors was not clear; small study N=20

² Antmen 2005¹⁶: confidence intervals cross precision limits

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1 **Table 17: Intravenous midazolam + intravenous remifentanyl vs. intravenous remifentanyl; Antmen 2005¹⁶**

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Question: Should intravenous midazolam plus intravenous remifentanyl vs. intravenous remifentanyl be used in children and young people under 19 years of age undergoing diagnostic and therapeutic procedures?

Settings: paediatric haematology outpatients

Bibliography: Antmen 2005¹⁶ (bone marrow aspiration)

Quality assessment							Summary of findings					Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect		Quality	
							intravenous midazolam plus intravenous remifentanyl	intravenous remifentanyl	Relative (95% CI)	Absolute		
Completion of procedure												
1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision	none	20/20 (100%)	20/20 (100%)	RR=1	-	LOW	
Pain assessed by the anaesthetist using a validated scale - 2 (measured with: Children's Hospital of Eastern Ontario Pain Scale (CHEOPS); range of scores: 0-13; Better indicated by less)												
1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	20	20	-	MD -0.05 (-0.68 to 0.58) ³	VERY LOW	
Pain assessed by the anaesthetist using a validated scale - 1 (measured with: Visual Analogue Scale (VAS); range of scores: 0-10; Better indicated by less)												
1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	20	20	-	MD -0.05 (-0.86 to 0.76)	VERY LOW	
Adverse events: Oxygen desaturation <90%												
1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision	none	0/20 (0%)	0/20 (0%)	RR=1	- not pooled	LOW	
Adverse events: Vomiting												
1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision	none	0/20 (0%)	0/20 (0%)	RR=1	not pooled -	LOW	

¹ Antmen 2005¹⁶: ITT appeared to be performed and there were no loss of follow up reported; however, allocation concealment and blinding of patients were not stated and blinding of outcome assessors was not clear; small study N=20

² Antmen 2005¹⁶: confidence intervals cross precision limits

³ Antmen 2005¹⁶: p=0.88

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1 **Table 18: Intravenous midazolam + intravenous ketamine vs. intravenous ketamine + placebo;**
 2 **Sherwin 2000²⁰³; Wathen 2000²²⁹; Dilli 2008⁵⁵**

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Question: Should intravenous midazolam plus intravenous ketamine vs. intravenous ketamine plus placebo be used in children and young people under 19 years of age undergoing diagnostic and therapeutic procedures?

Settings: A & E and hospital outpatients

Bibliography: Wathen 2000²²⁹ (fractures, lacerations, other including joint aspiration, abscess drainage, vaginal laceration, dog bite, wound care, chest tube placement, nail bed injury, vaginal foreign body removal, inguinal hernia, urologic procedures); Sherwin 2000²⁰³ (intravenous catheter insertion for orthopaedic, wound or thermal, other procedures) Dilli 2008⁵⁵ (lumbar puncture)

Quality assessment							Summary of findings					Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect		Quality	
							efficacy of intravenous midazolam plus intravenous ketamine	intravenous ketamine plus placebo	Relative (95% CI)	Absolute		
Completion of procedure (Sherwin 2000²⁰³; Wathen 2000²²⁹)												
2	randomised trial	serious ^{1,2}	no serious inconsistency	no serious indirectness	no serious imprecision ^{3,4}	none	190/190 (100%)	180/180 (0%)	not estimable	-	MODERATE	
Induction time (Better indicated by less) (Dilli 2008⁵⁵)												
1	randomised trial	very serious ⁵	no serious inconsistency	no serious indirectness	serious ⁶	none	48	51	-	MD -0.80 (-1.36 to -0.24)	VERY LOW	
Parents' satisfaction (Dilli 2008⁵⁵)												
1	randomised trial	very serious ⁵	no serious inconsistency	no serious indirectness	no serious imprecision ⁷	none	-	-	p=0.001 ⁷	-	LOW	
Recovery time (Better indicated by less) (Dilli 2008⁵⁵)												
1	randomised trial	very serious ⁵	no serious inconsistency	no serious indirectness	serious ⁸	none	48	51	-	MD 2.20 (-0.79 to 5.19)	VERY LOW	
Parents' satisfaction (Wathen 2000²²⁹)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision	none	112/137 (81.8%)	115/129 (89.1%)	RR 0.92 (0.83 to 1.01)	71 fewer per 1000 (from 151 fewer to 9 more) 0 fewer per 1,000	MODERATE	
Duration of procedure (Better indicated by less) (Wathen 2000²²⁹)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ⁹	none	112	115	-	MD -1 (IQR, 95% CI -5 to 1)	LOW	

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- ¹ Wathen 2000²²⁹: adequate allocation concealment and patients and assessors were blinded; however, ITT was not performed -per protocol analysis instead; low amount of loss of follow up: 3 randomised patients dropped out, 2 in the intervention and 1 in the control group had protocol violation and received intramuscular vial instead of intravenous
- ² Sherwin 2000²⁰³: adequate allocation concealment and patients and were blinded, ITT appeared to have been performed and there were no loss of follow up reported
- ³ Wathen 2000²²⁹: not estimable, all patients completed the procedure
- ⁴ Sherwin 2000²⁰³: not estimable, all patients completed the procedure
- ⁵ Dilli 2008⁵⁵: adequate allocation concealment, and outcome blinded; however ITT was not performed -per protocol analysis instead: 104 randomised but 99 analysed: midazolam+ketamine=48, ketamine=51; loss of follow up: midazolam+ketamine group: 4%(2/50) one patient did not received allocated intervention and one was lost to follow-up; 6%(3/54) one patient did not received allocated intervention and two were lost to follow-up; patients were not blind
- ⁶ Dilli 2008⁵⁵: crosses left confidence limit
- ⁷ Dilli 2008⁵⁵: stated that parental satisfaction was significantly higher in patients in the midazolam group, p=0.001
- ⁸ Dilli 2008⁵⁵: crosses right confidence limit
- ⁹ Wathen 2000²²⁹: the study stated that the difference between ketamine+midazolam versus ketamine plus placebo was no significant; mean difference -1 minute (IQR, 95% CI-5 to 1)
- *Note: Dilli⁵⁵, also stated that patients were discharged two hours after procedure and after being awake, coherent and able to tolerate oral food

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Table 19: Safety of intravenous midazolam + intravenous ketamine vs. intravenous ketamine + placebo

Question: What is the safety of intravenous midazolam plus intravenous ketamine vs. intravenous ketamine plus placebo in children and young people under 19 years of age undergoing diagnostic and therapeutic procedures?

Settings: A & E and hospital outpatients

Bibliography: Wathen 2000²²⁹ (fractures, lacerations, other including joint aspiration, abscess drainage, vaginal laceration, dog bite, wound care, chest tube placement, nail bed injury, vaginal foreign body removal, inguinal hernia, urologic procedures); Sherwin 2000²⁰³ (intravenous catheter insertion for orthopaedic, wound, thermal injury or other procedures) Dilli 2008⁵⁵ (lumbar puncture)

Quality assessment							Summary of findings				Quality	Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect			
							adverse events of intravenous midazolam plus intravenous ketamine	intravenous ketamine plus placebo	Relative (95% CI)	Absolute		
Adverse events: Vomiting (during visit and at home 12 hrs after discharge and well into recovery) (Wathen 2000²²⁹; Sherwin 2000²⁰³)												
2	randomised trial	serious ^{1,2}	no serious inconsistency	no serious indirectness	serious ^{3,4}	none	14/190 (7.4%)	31/180 (17.2%)	RR 0.43 (0.24 to 0.77) ⁵	98 fewer per 1000 (from 40 fewer to 131 fewer)	LOW	
										0 fewer per 1,000		
Adverse events: Assisted ventilation (bag mask) (Sherwin 2000²⁰³; Wathen 2000²²⁹)												
2	randomised trial	no serious limitations ²	no serious inconsistency	no serious indirectness	serious imprecision ⁶	none	1/190 (0.5%)	1/180 (0.6%)	RR 0.94 (0.06 to 14.9) ⁹	0 fewer per 1000 (from 0 fewer to 0 fewer)	LOW	
										0 fewer per 1,000		
Adverse events: Aspiration (Wathen 2000; Sherwin 2000²⁰³)												
2	randomised trial	serious ^{1,2}	no serious inconsistency	no serious indirectness	no serious imprecision ⁷	none	0/190 (100%) ⁷	0/180 (100%) ⁷	RR=1	not pooled -	MODERATE	
Adverse events: Endotracheal intubation (Wathen 2000²²⁹)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision ⁸	none	0/137 (100%) ⁸	0/129 (100%) ⁸	RR=1	- not pooled	MODERATE	
Adverse events: Oxygen desaturation 90% (Wathen 2000²²⁹; Sherwin 2000²⁰³; Dilli 2008⁵⁵)												
3	randomised trial	very serious ^{1,2,10} serious ^{1,2,10}	no serious inconsistency ¹¹	no serious indirectness	no serious imprecision	none	14/238 (5.9%)	3/231 (1.3%)	RR 4.01 (1.27 to 12.68) ¹²	39 more per 1000 (from 4 more to 152 more)	LOW	
										0 more per 1,000		

¹ Wathen 2000²²⁹: adequate allocation concealment and patients and assessors were blinded; however, ITT was not performed -per protocol analysis instead; low amount of loss of follow up: 3 randomised patients dropped out, 2 in the intervention and 1 in the control group had protocol violation and received intramuscular vial instead of intravenous

² Sherwin 2000²⁰³: adequate allocation concealment and patients and assessors were blinded, ITT appeared to have been performed and there were no loss of follow up reported

³ Wathen 2000²²⁹: very wide confidence intervals

⁴ imprecise: cross left precision limits

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⁵ Wathen 2000²²⁹ and Sherwin 2000²⁰³: p=0.005

⁶ Sherwin 2000²⁰³; Wathen 2000²²⁹: imprecise, wide confidence intervals; no assisted ventilation was required in any patients in either group in the study by Sherwin²⁰³ while one patient in each group required assisted ventilation in the study by Wathen²²⁹

⁷ Wathen 2000²²⁹ and Sherwin 2000²⁰³: there was no incidence of aspiration in any patient in either group

⁸ Wathen 2000²²⁹: stated that endotracheal intubation was not performed in any patient

⁹ Wathen 2000²²⁹: p=0.97

¹⁰ Dilli 2008⁵⁵: adequate allocation concealment, and outcome assessors blinded; however ITT was not performed -per protocol analysis instead: 104 randomised but 99 analysed: midazolam+ketamine=48, ketamine=51; loss of follow up: midazolam+ketamine group: 4%(2/50) one patient did not received allocated intervention and one was lost to follow-up; 6%(3/54) one patient did not received allocated intervention and two were lost to follow-up; patients were not blind

¹¹ Wathen 2000²²⁹, Sherwin 2000²⁰³ and Dilli 2008⁵⁵: not significant heterogeneity=0%, p=0.53

¹² Wathen 2000²²⁹, Sherwin 2000²⁰³ and Dilli 2008⁵⁵: p=0.02

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ROUTE OF ADMINISTRATION COMPARISONS

Table 20: Oral midazolam vs. intranasal midazolam; Connors 1994⁴²; Everitt 2002⁶⁰

Question: Should oral midazolam vs. intranasal midazolam be used in children and young people under 19 years of age undergoing diagnostic and therapeutic procedures?

Settings: accidents and emergencies

Bibliography: Connors 1994⁴² (suturing for laceration repair) Everitt 2002⁶⁰ (suturing for laceration repair)

Quality assessment							Summary of findings				Quality	Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect			
							oral midazolam	intranasal midazolam	Relative (95% CI)	Absolute		
Completion of procedure (Connors 1994⁴²)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision	none	26/26 (100%)	28/28 (100%)	RR=1	-	MODERATE	
Distress assessed by an observer using a validated scale (measured with VAS; range of scores: 1-100; Better indicated by less) (Everitt 2002⁶⁰)												
1	randomised trial	very serious ²	no serious inconsistency	no serious indirectness	serious ³	none	45	42	-	MD -13 (-25.83 to -0.17) ⁴	VERY LOW	
Total time: administration to recovery area/discharge criteria (measured with: minutes; Better indicated by less) (Connors 1994⁴²; Everitt 2002⁶⁰)												
1	randomised trial	very serious ^{1,2}	no serious inconsistency	no serious indirectness	serious ³	none	71	70	-	MD 3 (-1.44 to 7.44) ⁵	VERY LOW	

¹ Connors 1994⁴²: double blind (patients and outcome assessors), double placebo trial with low loss of follow up: 7% (4/58) of patients were excluded from analyses (2 in each group had protocol violation and for 2 data collection was not available); however, allocation concealment was not stated and ITT was not performed -per protocol analysis instead; small study

² Everitt 2002⁶⁰: unclear allocation concealment, outcome assessors partially blinded (assessors: staff participating were unaware of sedative being given but parents who also assessed children for anxiety were aware of sedative given), ITT and amount of loss of follow up were unclear or not stated; also, study stated to have obtained data on parents' satisfaction after discharge but results data were not reported (selective outcome reporting)

³ Everitt 2002⁶⁰: imprecise; wide confidence intervals

⁴ Everitt 2002⁶⁰: p=0.05

⁵ Everitt 2002⁶⁰: p=1.00

⁶ Everitt 2002⁶⁰: selective

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1 **Table 21: Oral midazolam + nitrous oxide (40/45%) vs. intranasal midazolam+ nitrous oxide (40/45%);**
 2 **Hartgraves 1994⁹⁰; Lee-Kim 2004¹³⁸**

3 **Question:** Should oral midazolam plus nitrous oxide vs. intranasal midazolam plus nitrous oxide (with local anaesthesia in both groups) be used in children and young people under 19 years of age
 4 undergoing diagnostic and therapeutic procedures?
 5 **Settings:** dental hospital
 6 **Bibliography:** Hartgraves 1994⁹⁰; Lee-Kim 2004¹³⁸

Quality assessment							Summary of findings				Quality	Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect			
							oral midazolam plus nitrous oxide plus lidocaine	intranasal midazolam plus nitrous oxide plus lidocaine	Relative (95% CI)	Absolute		
Completion of procedure (Hartgraves 1994⁹⁰)												
1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision ²	none	45/50 (90%)	47/50 (94%)	RR 0.96 (0.85 to 1.08) ³	38 fewer per 1000 (from 141 fewer to 75 more)	LOW	
									0 fewer per 1,000			
Induction time (Better indicated by less) (Lee-Kim 2004¹³⁸)												
1	randomised trial	serious ⁴	no serious inconsistency	no serious indirectness	no serious imprecision ⁵	none	20	20	-	MD 9.95 (7.56 to 12.34) ⁶	MODERATE	
Total time (from administration to recovery area/discharge criteria -defined as drugs working time) (Lee-Kim 2004¹³⁸)												
1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	serious ⁷	none	20	20	-	MD 8.80 (2.73 to 14.87) ⁹	LOW	
Adverse events: Oxygen desaturation <90% (Hartgraves 1994⁹⁰)												
1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	serious ⁸	none	2/50 (4%)	1/50 (2%)	RR 2 (0.19 to 21.36) ¹⁰	20 more per 1000 (from 16 fewer to 407 more)	VERY LOW	
									0 more per 1,000			

7 ¹ Hartgraves 1994⁹⁰: allocation concealment not stated, blinding of assessors was unclear and patients not blinded; also, it was unclear whether ITT was performed and unclear loss of follow up
 8 ² Hartgraves 1994⁹⁰: precise
 9 ³ Hartgraves 1994⁹⁰: p=0.46
 10 ⁴ Lee-Kim 2004¹³⁸: assessors were blinded, ITT appeared to have been performed and no loss of follow up were reported; however, unclear allocation concealment and patients were not blinded
 11 ⁵ Lee-Kim 2004¹³⁸: precise
 12 ⁶ Lee-Kim 2004¹³⁸: p<0.00001
 13 ⁷ Lee-Kim 2004¹³⁸: imprecise, wide confidence intervals
 14 ⁸ Hartgraves 1994⁹⁰: imprecise, very wide confidence intervals

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⁹ Lee-Kim 2004¹³⁸; p=0.005
¹⁰ Hartgraves 1994⁹⁰; p=0.57

1 **Table 22: Intranasal midazolam vs. intramuscular midazolam; Shashikiran 2006²⁰²**

2 **Question:** Should intranasal midazolam vs. intramuscular midazolam (with analgesia in both groups) be used in children and young people under 19 years of age undergoing diagnostic and
 3 therapeutic procedures?
 4 **Settings:** dental hospital
 5 **Bibliography:** Shashikiran 2006²⁰² (dental)

Quality assessment							Summary of findings					Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect		Quality	
							intranasal midazolam plus analgesia	intramuscular midazolam plus analgesia	Relative (95% CI)	Absolute		
Induction time (Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision ²	none	20	20	-	MD -4.90 (-6.14 to -3.66) ³	MODERATE	
Recovery from completion of procedure to recovery/discharge criteria met (better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision ²	none	20	20	-	MD -24.40 (-26.48 to -22.32) ^{3,4}	MODERATE	
Adverse events: Vomiting												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision ⁵	none	0/20 (0%) ⁵	0/20 (0%)	RR=1	- not pooled	MODERATE	

¹ Shashikiran 2006²⁰²: ITT appeared to have been performed and no loss of follow up were reported; however, unclear allocation concealment, blinding of outcomes assessors was unclear and patients were not blinded

² Shashikiran 2006²⁰²: precise

³ Shashikiran 2006²⁰²: p<00001

⁴ Shashikiran 2006²⁰²: p<00001

⁵ Shashikiran 2006²⁰²: stated that there was not a single incidence of vomiting

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1 **DOSE COMPARISONS**

2 **Table 23: Intranasal midazolam 0.3mg/kg + nitrous oxide vs. intranasal midazolam 0.2 mg/kg + nitrous oxide;**
 3 **Fuks 1994⁷¹; Fukuta 1994⁷²**

4 **Question:** Should intranasal midazolam 0.3 mg/kg plus nitrous oxide vs. intranasal midazolam 0.2 mg/kg plus nitrous oxide be used in children and young people under 19 years of age undergoing
 5 diagnostic and therapeutic procedures?
 6 **Settings:** dental hospital

7 **Bibliography:** Fuks 1994⁷¹ (dental restorations); Fukuta 1994⁷² (dental restorations)

Quality assessment							Summary of findings				Quality	Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect			
							efficacy of intranasal midazolam 0.3 mg/kg plus nitrous oxide	intranasal midazolam 0.2 mg/kg plus nitrous oxide	Relative (95% CI)	Absolute		
Completion of Procedure (Fuks 1994⁷¹ - cross over)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision ²	none	30/30 (100%)	30/30 (100%)	RR=1	-	MODERATE	
Completion of Procedure (Fukuta 1994⁷² - parallel)												
1	randomised trial	serious	no serious inconsistency	no serious indirectness	serious	none	20/21 (95.2%)	16/22 (72.7%)	RR 1.31 (1 to 1.72)	225 more per 1000 (from 0 more to 523 more)	LOW	
										0 more per 1,000		
Duration of procedure (Better indicated by more)(Fukuta 1994⁷²)												
1	randomised trial	serious ³	no serious inconsistency	no serious indirectness	serious ^{4,5}	none	20	22	-	MD 0.60 (-7.23 to 8.43) ^{6,7}	LOW	

¹ Fuks 1994⁷¹: assessors were blind, ITT appeared to be performed and no loss of follow up were reported; however, allocation concealment was unclear and blinding of patients was not stated

² Fuks 1994⁷¹: not estimable, all patients completed the procedure

³ Fukuta 1994⁷²: patients and assessors were blind, ITT appeared to have been performed and no loss of follow up were reported; however, allocation concealment was not stated

⁴ Fukuta 1994⁷²: imprecise

⁵ Fukuta 1994⁷²: imprecise; very wide confidence intervals

⁶ Fukuta 1994⁷²: p=0.05

⁷ Fukuta 1994⁷²: p=0.88

1 **Table 24: Safety of intranasal midazolam 0.3 mg/kg plus nitrous oxide vs. intranasal midazolam 0.2 mg/kg plus nitrous oxide**

2 **Question:** What is the safety of intranasal midazolam 0.3 mg/kg plus nitrous oxide vs. intranasal midazolam 0.2 mg/kg plus nitrous oxide in children and young people under 19 years of age
 3 undergoing diagnostic and therapeutic procedures?
 4 **Settings:** dental hospital
 5 **Bibliography:** Fuks 1994⁷¹ (dental restorations); Fukuta 1994⁷² (dental restorations)

Quality assessment							Summary of findings					Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect		Quality	
							adverse events of intranasal midazolam 0.3 mg/kg plus nitrous oxide	intranasal midazolam 0.2 mg/kg plus nitrous oxide	Relative (95% CI)	Absolute		
Adverse events: Vomiting - (Fuks 1994⁷¹)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision ²	none	0/30 (0%) ²	0/30 (0%) ²	RR=1	Not pooled-	MODERATE	
Adverse events: Oxygen desaturation <90% (Fukuta 1994⁷²)												
1	randomised trial	serious ³	no serious inconsistency	no serious indirectness	very serious ⁴	none	1/21 (4.8%)	0/22 (0%)	RR 3.14 (0.13 to 72.96) ⁵	0 more per 1000 (from 0 fewer to 0 more)	VERY LOW	
										0 more per 1,000		
Adverse events: Assisted respiration (during and post dental treatment) (Fukuta 1994⁷²)												
1	randomised trial	serious ³	no serious inconsistency	no serious indirectness	no serious imprecision ⁶	none	0/21 (0%) ²	0/22 (0%)	not pooled	-	MODERATE	
Adverse events: Vomiting during dental procedure (Fukuta 1994⁷²)												
1	randomised trial	serious ³	no serious inconsistency	no serious indirectness	very serious ⁴	none	1/21 (4.8%)	0/22 (0%)	RR 3.14 (0.13 to 72.96) ⁵	0 more per 1000 (from 0 fewer to 0 more)	VERY LOW	
Adverse events: Vomiting post dental procedure (Fukuta 1994⁷²)												
1	randomised trial	serious ³	no serious inconsistency	no serious indirectness	no serious imprecision ⁷	none	0/21 (0%) ⁷	0/22 (0%)	RR=1	Not pooled-	MODERATE	

¹ Fuks 1994⁷¹: assessors were blind, ITT appeared to have been performed and no loss of follow up were reported; however, allocation concealment was unclear and blinding of patients was not stated

² Fuks 1994⁷¹: no adverse events such as vomiting were observed

³ Fukuta 1994⁷²: patients and assessors were blind, ITT appeared to have been performed and no loss of follow up were reported; however, allocation concealment was not stated

⁴ Fukuta 1994⁷²: too wide confidence intervals

⁵ Fukuta 1994⁷²: p=0.48

⁶ Fukuta 1994⁷²: stated that no patients needed assisted respiration during and post dental treatment

⁷ Fukuta 1994⁷²: there were no incidents of vomiting post dental procedure in any patient in either group

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1 **Table 25: Rectal midazolam 2mg/kg vs. rectal midazolam 1mg/kg; Kanegaye, 2003¹²⁴**

2 **Question:** Should rectal midazolam 2mg/kg vs. rectal midazolam 1mg/kg (with local anaesthesia in both groups) be used in children and young people under 19 years of age undergoing diagnostic
 3 and therapeutic procedures?
 4 **Settings:** accidents and emergencies
 5 **Bibliography:** Kanegaye 2003¹²⁴ (suturing for laceration repair)

Quality assessment							Summary of findings					Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect		Quality	
							rectal midazolam 2mg/kg plus lidocaine	rectal midazolam 1mg/kg plus lidocaine	Relative (95% CI)	Absolute		
Parents' satisfaction												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	24/28 (85.7%)	18/26 (69.2%)	RR 1.24 (0.92 to 1.67) ³	166 more per 1000 (from 55 fewer to 464 more)	LOW	
										0 more per 1,000		
Total time: from administration to recovery/discharge criteria (Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ⁴	none	33	32	-	MD 6 (-9.35 to 21.35) ⁵	LOW	
Recovery (total recovery time from completion of procedure to recovery/discharge criteria met; Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ⁴	none	33	32	-	MD -1 (-15.21 to 13.21) ⁶	LOW	
Adverse events: Cardio respiratory complications												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision ⁷	none	0/28 (0%) ⁷	0/26 (0%)	RR-1	-not pooled	MODERATE	

¹ Kanegaye 2003¹²⁴: adequate allocation concealment, assessors were blind and some patients were blind; ITT appeared to have been performed for the outcome of recovery and total time; however for the outcome of parents' satisfaction, case analysis was available although loss of follow up was 17% (11/65: 5 in the intervention and 6 in the control groups) due lack of data collection

² Kanegaye 2003¹²⁴: imprecise

³ Kanegaye 2003¹²⁴: p=0.16

⁴ Kanegaye 2003¹²⁴: imprecise; wide confidence intervals

⁵ Kanegaye 2003¹²⁴: p=0.44

⁶ Kanegaye 2003¹²⁴: p=0.89

⁷ Kanegaye 2003¹²⁴: stated that no cardio respiratory complications occurred in any patient

⁸ Kanegaye 2003¹²⁴: selective outcome reporting: vomiting was part of the outcome data collected but results were not reported

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1 **6.3.2.2 Non RCT evidence profiles for safety for midazolam**

2 Seven non RCT observational studies (n=5,412) assessed the safety of
3 midazolam^{98,141,157,180,184,190,197}. There were six prospective studies, and one
4 retrospective study conducted for the following procedures: dental (1), imaging
5 procedures (1), accident and emergencies procedures (4) as well for GI procedures (1).

6 The non RCT study characteristics for midazolam are presented in Table 26.

7 The non RCT adverse event table for midazolam is presented in Table 27.

8

1 **NON-RCT OBSERVATIONAL STUDIES FOR MIDAZOLAM**

2 **Table 26: Midazolam Non RCT Study Characteristics Safety Review**

Study	Setting	ASA	Sedation type	Gender, % male	Drug (doses)	Fasting
Peña 1999, USA ¹⁸⁰	paediatric emergency department for diagnostic imaging, oral and rectal sedation and analgesia. IM and IV in radiology suite	ASA I-II	described as procedural sedation and analgesia (depressed level of consciousness)	62% (733/1188)	IM ketamine+midazolam: 0.01-0.05 mg/kg IV keatmine+midazolam: 0.025-0.05 mg/kg IM or IV atropine 0.02 mg/kg	Not stated
Hulland 2002, Canada ⁹⁸	Paediatric outpatients	ASA I-III	Conscious sedation	N2O 53% (126/240) Midazolam 54% (310/579)	Oral midazolam: 0.5 mg/kg max 10 mg per appointment. mean 8.6 mg/kg Nitrous oxide/Oxygen: no higher than 70% concentration	Not stated
Pitetti 2003, USA ¹⁸⁴	Accidents and emergencies	81% were Class I; 17% were class II; 1.3% were class III and 0.1% were class IV.	Procedural sedation	65.1% boys in total sample (791)	IV fentanyl citrate + midazolam & IV morphine sulphate + midazolam and IV midazolam Mean fentanyl dose: 2.7 mcg /kg Midazolam 0.1 mg/kg; Morphine not stated	Mean fasting 5.0 + 2.8 hours before sedation.
Roback 2005, USA ¹⁹¹ (*update of	Accidents and emergencies	ASA I-II	described as procedural sedation and analgesia	Iv/im midazolam: 52.7% (137/260)	iv or im midazolam iv or im midazolam (0.1 mg/kg) +	Based upon a population of 2085 children from previous reports, (Roback

Study	Setting	ASA	Sedation type	Gender, % male	Drug (doses)	Fasting
Roback 2004 & follow-up from Wathen 2000)				Iv/im midazolam + ketamine + glycopyrrolate: 56.9% (170/299) Iv/im midazolam + fentanyl 56.8% (191/336) Iv or im ketamine 63.1 (941/1492)	ketamine (1 mg/kg) iv or im midazolam + fentanyl Iv or im ketamine (1mg/kg) (where stated doses were obtained from previous reports*)	2004 and Wathen 2000) up to 8 hrs in 60% more than 8 hrs in 14.5% not documented in 25.4%
Mamula 2007, USA ¹⁵⁷	Operating Room	ASA I-III	Intravenous or general anaesthesia	55% (674/1226)	IV midazolam (2 mg/2mL) & fentanyl (100 mcg/2mL) during 1 minute. Midazolam 0.05 to 0.1 mg/kg max 2 mg; fentanyl 1 mcg/kg max 75 mcg Oral midazolam for anxious patients; IV diphenhydramine as additional drug	3 hours
Sacchetti 2007, USA ¹⁹⁷	Accidents and emergencies prospective observational database	94.1% of total cohort Class I, 5.3% class II and 0.6% class III.	Procedural sedation	Not stated	Fentanyl & Morphine	Not stated
Lightdale, 2009 USA ¹⁴¹	outside operating room retrospective analysis of a database of clinical and adverse events records of all procedures requiring	Not stated	described as procedural sedation	56% (2,825/5,045)	IV midazolam (N=1,059) IV fentanyl (N=762) Chloral hydrate (N=604) Ketamine (N=513) Meperidine (N=21) Pentobarbital (N=2959)	Not stated

Study	Setting	ASA	Sedation type	Gender, % male	Drug (doses)	Fasting
	sedation occurring outside of an operating room at a large tertiary care hospital 82% of patients had underlying medical conditions clinical and adverse events recorded by institutionally credentialed nurses				20% (1017/5045) had two drugs in combination	

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2 **Table 27: Midazolam Safety: Non RCTs**

Study type, reference, country	Drug / Comparison	Procedure	Age	Total N	ADVERSE EVENTS, rate: % (n)							GRADE PROFILE		
					Aspiration				Cardiac arrest requiring either/or		vomiting		oxygen desaturation <90%	EVIDENCE QUALITY
						oral-pharyngeal airway	endotracheal intubation	assisted ventilation	external cardiac massage	defibrillation				
Peña, 1999 USA ¹⁸⁰	IV midazolam + fentanyl	laceration repairs, fracture	range (of 1,188 patients): 1 mo-21 y median: 48 mo	391			0%	0.51% (2/391) bag and mask			1.02% (4/391)	2.56% (10/391)	VERY LOW	
	IM midazolam + ketamine + atropine	reduction, CT, abscess drainage laceration repairs		180			0%	0.55% (1/180) bag and mask			0.55% (1/180)	1.11% (2/180)	VERY LOW	
	IV midazolam + ketamine + atropine	fracture reduction, lumbar puncture, bone marrow aspiration, foreign body removal, hernia reduction, arthrocentesis		40			0%				2.5% (1/40)		VERY LOW	
	IN midazolam + sufentanyl			25			0%					4% (1/25)	VERY LOW	
	inh nitrous oxide			168			0%	0.60% (1/168) bag and mask				0.60% (1/168)	VERY LOW	
	IV fentanyl			21			0%						VERY LOW	
	IV midazolam + morphine			1			0%						VERY LOW	
	oral midazolam			62			0%						VERY LOW	

	IN midazolam			3			0%						VERY LOW
	IV midazolam			67			0%						VERY LOW
Hulland, 2002 Canada ⁹⁸	oral midazolam	dental	range: 0.9-10.5y mean: 5.4 y	579								1.55% (9/579)	VERY LOW
	Inh nitrous oxide	dental	range: 3-14y mean: 10.8y	240 (326 sedations)								1.54% (5/326)	VERY LOW
Pltetti 2003, USA ¹⁸⁴	IV fentanyl citrate + midazolam hydrochloride vs. midazolam alone	A & E	0-21 years (of 1244 patients, mean age:6.9 (SD4.5)	686 vs 65 Total adverse events: 23.5% vs. 1.5%			0%						VERY LOW
	IV morphine sulphate + midazolam vs. IV midazolam	A & E	0-21 years (of 1244 patients, mean age:6.9 (SD4.5)	48 vs. 65 Total adverse events: 16.7% vs. 1.5%			0%						VERY LOW
Roback et al, 2005 ¹⁹¹	IV or IM midazolam	fracture reduction, laceration repair, lumbar puncture, imaging, other	range: 42d-32y median: 4.91y	260								0.8% (2/260)	VERY LOW

	IV or IM midazolam + ketamine + glycopyrrolate	fracture reduction, laceration repair, lumbar puncture, imaging, other	range: 4.8mo-18y median: 6.21 y	299							5.4% (16/299)		VERY LOW
	IV or IM midazolam + fentanyl	fracture reduction, laceration repair, lumbar puncture, imaging, other	range: 19d-28y mean: 7.84 y	336							1.8% (6/336)		VERY LOW
	IV or IM ketamine	fracture reduction, laceration repair, lumbar puncture, imaging, other	range 39 days-22 y median 6.85y	1492							10.1% (151/1492)		VERY LOW
Mamula, 2007 USA ¹⁵⁷	IV midazolam/fenta/only when needed: oral Mid for anxious children & diphenhydramine to reach desired effect	oesophagogastro duodenoscopies colonoscopies and combined	range: 0.1-34 y 4%(55/1226) were ≥18 years median: 10 y mean: 9.05 y (SD 5.8)	1226	0% (pulmonary aspiration)		0%	0.16% (2/1226) (bag/mask ventilation)	0% (0/1226) (cardiac arrest)		5.2% (64/1226) (during recovery)		VERY LOW

Sacchetti 2007, USA ¹⁹⁷	Fentanyl	A & E	0-20 years	51/977 *episode of apnea with fentanyl and etomidat e which required reversal was only adverse event reported .									VERY LOW
Lightdale, 2009 USA ¹⁴¹	IV midazolam (N=1,059) IV fentanyl (N=762) Chloral hydrate (N=604) Ketamine (N=513) Meperidine (N=21) Pentobarbital (N=2959) 20% (1017/5045) had two sedatives in combination	Mixed procedures* 81% (4072/5045) underwent sedation for imaging procedures 48% (2408/5045) underwent MRI; 969 non- imaging procedures were painful and 34 nonpainful	≤30 years old Median age: 3.3 years (IQR 1.4, 6.4) with 75% of children ≤6.4 years old	5,045 &There were 329 adverse events in total 97 AE defined as serious 232 AE defined as minor	0% (serious AE)				0.02% (1/5045) (serious AE) (cardiova scular complicati ons)	0.04% (2/5045) (serious AE) (need for resuscitati on)	0.8% (42/504 5) (minor AE)		VERY LOW

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6.3.3 Evidence statements for midazolam

6.3.3.1 RCT efficacy and safety for midazolam

PLACEBO COMPARISONS or NON-DRUG TREATMENT

Oral midazolam vs. placebo/no drug treatment

For the outcome of completion of procedure, we found evidence of highly significant heterogeneity ($I^2=83\%$; $p=0.02$) between two RCTs^{140,170}. Possible sources of heterogeneity could be attributed to the differences between the studies in procedure performed (dental versus venous placement) and length of procedure (dental is likely to be longer), setting (outpatients versus gastroenterology) and dose [0.25 mg/kg (dental) versus 0.5 mg/kg (for intravenous placement)]. We therefore felt it was not appropriate to pool the RCTs together in a meta-analysis and the studies are presented separately for this outcome.

*Mortazavi 2009*¹⁷⁰

Compared with placebo/no drug treatment, the oral midazolam group had significantly:

- More completed procedures [low evidence quality]

There were no events of:

- Oxygen desaturation <90% [low evidence quality]

*Liacouras 1998*¹⁴⁰

Compared with placebo/no drug treatment, the oral midazolam group had significantly

- More completed procedures [moderate quality evidence]

There was no significant difference in:

- Duration of procedure [the study stated that and time to discharge were not significant (data was not shown)].

Oral midazolam vs. placebo

*Luhman 2001*¹⁵²

There were no events of:

- Aspiration [moderate quality evidence]

- 1 • Respiratory intervention [moderate quality evidence]
- 2 • Vomiting during procedure and recovery [moderate quality evidence]

3 There was no significant difference in:

- 4 • Completion of procedure [moderate quality evidence]

5

6 *Fatovich 1995*⁶³

7 Compared with placebo + analgesia, the oral midazolam + analgesia group were
8 significantly:

- 9 • Less distressed (assessed by parents, VAS) [moderate quality evidence]

10 There was no significant difference in:

- 11 • The level of anxiety (Herbertt-Michaelinnees-Venham scale) [moderate quality
12 evidence]

13

14 **Oral midazolam plus non-pharmacological vs. placebo plus non-pharmacological**

15 *Kapur 2004*¹²⁵

16 Compared with placebo + non-pharmacological intervention, the oral midazolam + non-
17 pharmacological intervention had significantly:

- 18 • More completed procedures [low quality evidence]
- 19 • Shorter duration of procedure [very low quality evidence]

20

21 **Intranasal midazolam vs. placebo**

22 *Fishbein 1997*⁶⁷

23 There was no significant difference in:

- 24 • Distress (Observational Behaviour Rating Scale) (OBRS) [low quality evidence]

25

26 **Intranasal midazolam vs. placebo**

27 *Ljungman 2000*¹⁴⁹

28 Compared with placebo + analgesia, the intranasal midazolam + analgesia group had
29 significantly:

- 1 • More parents who preferred midazolam + analgesia [low quality evidence]

2 There was no significant difference in:

- 3 • Patients' preference [very low quality evidence]

4

5 **Pain assessment:**

6 It was not possible to calculate the point estimate for this outcome based on the data
7 provided. The study gave the median and interquartile ranges with the corresponding p-
8 values indicating a source of bias (spread of skewed or non-normally-distributed data).

- 9 • Pain assessed by parents (VAS) [low quality evidence]

- 10 • Pain assessed by patients (VAS) [low quality evidence]

11

12 *Theroux 1993*²¹²

13 Compared with placebo + analgesia, the intranasal midazolam + analgesia group had
14 significantly:

- 15 • More parents who felt satisfied with the treatment [very low quality evidence]

16 There were no events of:

- 17 • Vomiting after discharge [low quality evidence]

18

19 **HEAD to HEAD COMPARISON**

20 **Oral midazolam vs. oral triclofos sodium**

21 *Singh 2002*²⁰⁵

- 22 • All patients in both groups completed the procedure [low quality evidence]

23 Compared with triclofos sodium group, the oral midazolam group had significantly:

- 24 • Shorted induction time [low quality evidence]

- 25 • Faster recovery time [low quality evidence]

26

27 **Sublingual midazolam vs. oral chloral hydrate**

28 *Layangool 2008*¹³⁶

29 Compared to oral choral hydrate, the sublingual midazolam group had significantly:

1 • Shorter induction time [low quality evidence]

2 • Longer total time [low quality evidence]

3 • Less vomiting [low quality evidence]

4 There was no significant difference in:

5 • Completion of procedure [very low quality evidence]

6 • Duration of procedure [low quality evidence]

7

8 **Enteral[#] midazolam vs. nitrous oxide (70%)**

9 *Zier 2008*²³⁹

10 Based on the data provided, it was not possible to calculate the point estimate for the
11 outcomes of parental satisfaction and total time.

12 Compared to nitrous oxide + placebo + topical anaesthesia + non-pharma intervention
13 (distraction), the midazolam + placebo + topical anaesthesia + non-pharma intervention
14 (distraction) group had significantly:

15 • More pain (FLACC); reported $p=0.010$ [moderate quality evidence]

16 There was no significant difference in:

17 • Vomiting during drug nitrous oxide administration [moderate quality evidence]

18

19 **COMBINATION COMPARISONS**

20 **Oral midazolam vs. oral midazolam + nitrous oxide/oxygen**

21 *Al-zahrani 2009*¹³

22 • All patients completed the procedure [low quality evidence]

23 There was no significant difference in:

24 • Induction time [low quality evidence]

25 • Duration of procedure [very low quality evidence]

26

[#] Enteral refers to any gastrointestinal route

1 **Oral midazolam + nitrous oxide vs. nitrous oxide + placebo**

2 *Luhman 2001*¹⁵²

- 3 • All patients completed the procedure [moderate quality evidence]

4 There were no events of:

- 5 • Aspiration [moderate quality evidence]
6 • Respiratory intervention [moderate quality evidence]

7 There was no significant difference in:

- 8 • Vomiting during visit (during procedure and after the last suture was placed) [low
9 quality evidence]

10

11 **Oral midazolam + IV propofol vs. IV propofol**

12 *Paspatis 2006*¹⁷⁸

13 Compared with intravenous propofol + lidocaine, the oral midazolam + intravenous
14 propofol + lidocaine group had significantly:

- 15 • Slower recovery time [moderate quality evidence]

16 There was no significant difference in:

- 17 • Duration of procedure [low quality evidence]

18

19 **IV midazolam + IV meperidine vs. placebo + IV meperidine**

20 *Fishbein 1997*⁶⁷

21 There was no significant difference in:

- 22 • Distress with major negative behaviours as assessed by an observer using the
23 Observational Behaviour Rating Scale (OBRS) [moderate quality evidence]
24 • Duration of procedure [low quality evidence]

25

26 **IV midazolam + IV propofol vs. IV propofol**

27 *Disma 2005*⁵⁶

- 28 • All patients completed the endoscopy procedure [moderate quality evidence]

29 There was no significant difference in:

1 • The duration of procedure [moderate quality evidence]

2 • The recovery time [low quality evidence]

3 • Assisted ventilation (bag-mask) [low quality evidence]

4 • Oxygen desaturation < 90% [low quality evidence]

5

6 **IV midazolam + IV morphine vs. IV propofol + IV morphine + local anaesthesia**

7 *Havel 1999*⁹¹

8 • All patients completed the procedure [low quality evidence]

9 Compared to children in the intravenous propofol group, children in the intravenous
10 midazolam group had significantly:

11 • Slower recovery time [low quality evidence]

12 • Longer total time [low quality evidence]

13 There were no events of:

14 • Aspiration [low quality evidence]

15 • Assisted ventilation [low quality evidence]

16 • Endotracheal intubation [low quality evidence]

17 There was no significant difference in:

18 • Induction time [low quality evidence]

19 • Duration of procedure [low quality evidence]

20 • Pain (number of patients) [very low quality evidence]

21

22 **IV midazolam + IV fentanyl (analgesic) vs. IV fentanyl (analgesic)**

23 *Antmen 2005*¹⁶

24 • All patients completed the procedure [low quality evidence]

25 There were no events of:

26 • Oxygen desaturation < 90% [low quality evidence]

27 • Vomiting [low quality evidence]

1 There was no significant different in:

- 2 • Pain (CHEOPS) [very low quality evidence]
- 3 • Pain (VAS) [very low quality evidence]

4

5 **IV midazolam + IV remifentanil (analgesic) IV remifentanil (analgesic)**

6 *Antmen 2005*¹⁶

- 7 • All patients completed the procedure [low quality evidence]

8 There were no events of:

- 9 • Oxygen desaturation < 90% [low quality evidence]
- 10 • Vomiting

11 There was no significant different in:

- 12 • Pain (CHEOPS) [low quality evidence][very low quality evidence]
- 13 • Pain (VAS) [very low quality evidence]

14

15 **IV midazolam + IV ketamine vs. IV ketamine + placebo**

16 *Sherwin 2000*²⁰³; *Wathen 2000*²²⁹

- 17 • All patients completed the procedures [moderate quality evidence]

18 Compared with intravenous ketamine + placebo, the intravenous midazolam +
19 intravenous ketamine group had significantly:

- 20 • Less vomiting* [low quality evidence]

21 *during visit and at home 12 hrs after discharge²²⁹ and well into recovery²⁰³

22 There was no significant difference in:

- 23 • Assisted ventilation (bag mask) [low evidence quality]

24 There were no events of:

- 25 • Aspiration [moderate quality evidence]

26

27 *Dilli 2008*⁵⁵

1 Compared with intravenous ketamine + placebo, the intravenous midazolam +
2 intravenous ketamine group had significantly:

- 3 • Shorter induction time [very low quality evidence]
- 4 • More satisfied parents [low quality evidence]

5 There was no significant difference in:

- 6 • Recovery time [very low quality evidence]

7

8 *Wathen 2000*²²⁹

9 There was no significant difference in:

- 10 • Parents' satisfaction [moderate quality evidence]
- 11 • Duration of procedure* [low evidence quality]

12 *As stated in the study. It was not possible to calculate the point estimate for this outcome
13 based on the information reported in the study.

14 There were no events of:

- 15 • Endotracheal intubation [moderate quality evidence]

16

17 *Sherwin 2000*²⁰³; *Wathen 2000*²²⁹; *Dilli 2008*⁵⁵

18 Compared with intravenous ketamine + placebo, the intravenous midazolam +
19 intravenous ketamine group had significantly:

- 20 • More oxygen desaturation < 90% [low quality evidence]

21

22 **ROUTE OF ADMINISTRATION COMPARISONS**

23 **Oral midazolam vs. intranasal midazolam**

24 *Connors 1994*⁴²

- 25 • All patients completed the suturing procedure [moderate quality evidence]

26

27 *Everitt 2002*⁶⁰

28 Compared with intranasal midazolam, the oral midazolam group had significantly:

- 29 • Lower distress scores (VAS) [very low quality evidence]

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*Connors 1994*⁴²; *Everitt 2002*⁶⁰

There was no significant difference in:

- Total time from administration to recovery area/discharge criteria being met [very low quality evidence]

Oral midazolam + nitrous oxide (40-45%) vs. intranasal midazolam+ nitrous oxide (40-45%)

*Hartgraves 1994*⁹⁰

There was no significant difference in:

- The completion of procedure [low quality evidence]
- Oxygen desaturation < 90% [very low quality evidence]

*Lee-Kim 2004*¹³⁸

Compared with intranasal midazolam, the oral midazolam group had significantly:

- Longer induction time [moderate quality evidence]
- Longer total time [low quality evidence]

Intranasal midazolam vs. intramuscular midazolam

*Shashikiran 2006*²⁰²

Compared with intramuscular midazolam, the intranasal midazolam group had significantly:

- Shorter induction time [moderate quality evidence]
- Shorter recovery time [moderate quality evidence]

There were no events of:

- Vomiting in either sedation group [moderate quality evidence]

DOSE COMPARISONS

1 **Intranasal midazolam 0.3mg/kg + nitrous oxide vs. intranasal midazolam 0.2**
 2 **mg/kg + nitrous oxide**

3 *Fuks 1994*⁷¹

- 4 • All patients completed the procedure [moderate quality evidence]

5 There were no events of:

- 6 • Vomiting [moderate quality evidence]

7

8 *Fukuta 1994*⁷²

9 There were no events of:

- 10 • Assisted respiration during and post dental treatment [moderate quality
 11 evidence]

- 12 • Vomiting post dental procedure [moderate quality evidence]

13 There was no significant difference in:

- 14 • The completion of procedure [low quality evidence]

- 15 • The duration of procedure [low quality evidence]

- 16 • Oxygen desaturation <90% [very low quality evidence]

- 17 • Vomiting during dental procedure [very low quality evidence]

18

19 **Rectal midazolam 2mg/kg vs. rectal midazolam 1 mg/kg**

20 *Kanegaye 2003*¹²⁴

21 There were no events of:

- 22 • Cardiorespiratory complications [moderate quality evidence]

23 There was no significant difference in:

- 24 • Parents' satisfaction [low quality evidence]

- 25 • Total time [low quality evidence]

- 26 • Recovery time [low quality evidence]

27

28 **6.3.3.2 Non RCT safety (adverse events)**

1 For the characteristics of studies and outcome data on midazolam refer to Table 26 and
2 Table 27.

3 Two studies reported rates of aspiration: 0%^{141,157*}

- 4 • Three studies reported rates of endotracheal intubation: 0%^{157,180,184}
- 5 • Two studies reported rates of assisted ventilation: from 0.16% to 0.60%^{157,180}
- 6 • Three studies reported rates of external cardiac massage: from 0%^{157,198} to
7 0.02%^{141*}
- 8 • Two studies reported rates of defibrillation: from 0%¹⁹⁸ to 0.04%^{141*}
- 9 • Five studies reported rates for vomiting: from 0.55% to 5.4%^{98,141,157,180,191*}).
- 10 • Three studies reported rates for oxygen desaturation <90%: from 0.60% to
11 4%^{98,157,180}
- 12 • One study reported two case episodes of apnoea with fentanyl and etomidate
13 which required reversal¹⁹⁷

14 *Lightdale 2009¹⁴¹: reported adverse events were based on a total sample of 5045
15 patients who received treatment as follows:

- 16 • IV midazolam (N=1,059); IV fentanyl (N=762); Chloral hydrate (N=604);
17 Ketamine (N=513); Meperidine (N=21); Pentobarbital (N=2959)
- 18 • 20% (1017/5045) had two drugs in combination

20 6.3.4 GDG discussion of the evidence for midazolam

21 In clinical practice the GDG felt that midazolam is the most common sedative drug used
22 however there was agreement that midazolam was probably not an effective sedative
23 drug on its own apart from achieving mildly sedative effects and anxiolysis. Midazolam
24 can be combined with various drugs including fentanyl, ketamine, propofol or nitrous
25 oxide and evidence was found for these combinations. Overall the GDG felt that
26 midazolam is a useful sedation drug and, based upon the evidence reviewed, that it is
27 best used in combination with other drugs chosen to suit the needs of the clinical situation.

28 The studies reviewed by the GDG had been conducted for a variety of different
29 reasons. The data derived from the studies were based upon different routes of
30 administration together with differing drug combinations and doses. The sample sizes
31 were small and the quality of the data was judged to be low.

32 Concerning the route of administration the GDG noted that oral and intranasal routes
33 achieved a similar effect. There was no evidence comparing intravenous (IV)
34 administration to other routes. The GDG agreed that IV drug administration acts more
35 quickly than oral administration and once IV access is established further doses require
36 little further cooperation unlike further doses via the intranasal or oral routes. However
37 gaining IV access may cause distress. Overall the GDG agreed that Midazolam

1 administered by any route helped to calm children prior to minor procedures or before
2 the administration of more potent sedative drugs for painful procedures.

3 The GDG acknowledged that the safety data derived from both RCT data and
4 observational studies showed that midazolam used on its own has a good safety profile
5 provided that doses are limited. The GDG were aware of cases of paradoxical
6 excitement.

7 When considering midazolam in combination with other drugs the GDG noted that
8 evidence was available for ketamine, opioids, nitrous oxide (N₂O) and propofol.

9 In combination with ketamine the GDG felt that the evidence demonstrated no more of
10 an effect than for ketamine alone.

11 The GDG agreed that the evidence suggested that midazolam in combination with either
12 opioids or nitrous oxide was effective for painful procedures. However when midazolam
13 is used in combination with propofol it does not seem to result in any additional
14 improvement in efficacy and the GDG agreed that midazolam is not necessary when
15 using propofol.

16 The GDG debated vomiting as a side effect result of drug administration. For the
17 combination of midazolam with opioids observational data suggested that vomiting was
18 increased by approximately 5% however the GDG felt that an antiemetic may be
19 effective with this drug combination. No evidence was available to determine if
20 antiemetics were effective with this drug combination. It was noted that vomiting seems
21 to be significantly decreased when midazolam is combined with ketamine^{203,229}.

22 When midazolam is combined with either ketamine, opioids or nitrous oxide deep
23 sedation can result and the harms of using a combined drug approach for achieving
24 sedation in children should be weighed against benefits of relieving the pain of the
25 procedure.

26 Combination sedation with ketamine, opioids or nitrous oxide all risk possible oxygen
27 desaturation and the need for airway intervention. The GDG noted the small numbers
28 when looking at the adverse event data for assisted ventilation resulting from midazolam
29 used in combination with other sedative drugs. When combined with ketamine one case
30 (out of 180 children) of assisted ventilation was noted, for opioids 2/391 and
31 2/1226¹⁸⁰ and for nitrous oxide one case out of 168 children resulted in assisted
32 ventilation. The GDG noted that there was more desaturation with the of midazolam
33 ketamine combination than with ketamine alone.

34 The general principle agreed by the GDG is that only sedation techniques commonly
35 available in the NHS should be included in the economic analysis. Economic analysis was
36 conducted for six broad groups (dental procedure in children, dental procedure in
37 adolescents, short painful procedures, painless imaging, oesophago-gastroscopy and
38 colonoscopy). Midazolam combined with fentanyl was felt to be a strategy commonly
39 used in colonoscopy, and there is some evidence that it is effective and well tolerated.
40 The GDG therefore agreed that this strategy should be included in the economic
41 analysis. Details of the considerations of cost-effectiveness with respect to using this
42 combination strategy in this population group are given in section 6.12.3.2.

43 The GDG also felt that the use of midazolam alone in dental procedures in adolescents
44 and in oesophago-gastroscopy is common, and there is some evidence on the
45 effectiveness and safety of using midazolam alone. The GDG agreed that an economic

1 analysis should be done on the use of midazolam alone in dental procedures in
2 adolescents, and in children undergoing oesophago-gastroscopy. The details of the
3 considerations of the cost-effectiveness for using this strategy in the two population
4 groups are given in sections 6.12.4.2. and 6.12.3.2 respectively.

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1 **6.4 Ketamine**

Matrix of ketamine comparators			
<p>Key:</p> <p>Chloral hydrate = CH Fentanyl = F Isoflurane = I Ketamine=K Local anaesthesia = LA Midazolam = M Nitrous oxide = N₂O Nitrous oxide and oxygen = N₂O+O₂ Opioids = O Propofol= P Sevoflurane = S Triclofos sodium = TS</p>			
Ketamine vs			
	Reference	Tables	Evidence statements page
Placebo			
Nil			
Head to head			
Nil			
Combinations			
K + M vs M + F	Kennedy 1998 ¹²⁹ Lucas Da Silva 2007 ¹⁵¹ Tosun 2007 ²¹⁵	Table 28 Table 29	210
K + M vs M	Acworth 2001 ¹⁰	Table 30	211
K + P vs P + F	Tosun 2007 ²¹⁵	Table 31	211
K + M vs P + F	Godambe 2003 ⁷⁷	Table 32	212
K + M vs regional block	Kriwanek 2006 ¹³²	Table 33	212
K + M vs N ₂ O+haematoma block	Luhmann 2006 ¹⁵³	Table 34	212
P + F + K vs P + F	Erden 2009 ⁵⁹	Table 35	213

Safety			
RCTs			
Desaturation	Kennedy 1998 ¹²⁹ Lucas Da Silva 2007 ¹⁵¹ Acworth 2001 ¹⁰ Tosun 2007 ²¹⁵ Godambe 2003 ⁷⁷ Erden 2009 ⁵⁹ Roback 2006 ¹⁹²	Table 37 Table 38	213
Vomiting	Kennedy 1998 ¹²⁹ Acworth 2001 ¹⁰ Tosun 2007 ²¹⁵ Godambe 2003 ⁷⁷ Luhmann 2006 ¹⁵³ Roback 2006 ¹⁹²	Table 37 Table 38	213
Observational studies	McGlone 2004 ¹⁶³ Sacchetti 2007 ¹⁹⁷ Roback 2005 ¹⁹¹ Green 1998 ⁸² Green 1998 ⁸¹ Green 2001 ⁸⁰ Gilger 2004 McQueen 2009 ¹⁶⁴ Ramaswamy 2009 ¹⁸⁸ Thorp 2009 ²¹⁴ Treston 2009 ²¹⁸	Table 37 Table 38	213
Route of administration			
IV / IM	Roback 2006 ¹⁹²	Table 36	213
Dose			
Nil			

1 **6.4.1 Clinical methodological introduction for ketamine**

2 **CLINICAL QUESTIONS:**

3 For children and young people under the age of 19 undergoing diagnostic or
4 therapeutic procedures, is ketamine (with or without: analgesia, another drug or
5 psychological techniques):

6 - effective for sedation (at minimal, moderate, and deep levels) in comparison with usual
7 care, with analgesia alone, with another sedation drug, with psychological techniques or
8 with general anaesthesia?

9 - safe for sedation (at mild, moderate, and deep levels) in different settings?

10 The literature was searched for systematic reviews and RCTs for the clinical efficacy and
11 safety of ketamine. The search was expanded to include non RCT observational studies
12 for the safety of ketamine.

13 There were no systematic reviews identified for the use of ketamine in paediatric
14 sedation.

15 There were no placebo controlled studies identified.

16 Nine RCTs comparing IV/IM ketamine with other sedative drugs and with regional
17 anaesthesia were assessed for efficacy.

18 Seven RCTs met the inclusion criteria for the review of the safety of ketamine.

19 Meta-analysis was not performed as there were no studies in which comparisons and
20 outcome measures were sufficiently homogenous to calculate a meaningful summary
21 statistic.

22 Eleven non RCT observational studies assessed the safety of ketamine in a total of 6892
23 patients.

24

25 **6.4.2 Evidence profiles for ketamine**

26 **6.4.2.1 RCT evidence profiles for efficacy and safety for ketamine**

27 Study characteristics and methodological quality of the study are provided in Appendix
28 D. GRADE tables for quality assessment of study outcomes and summary of findings are
29 provided below.

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DRUG COMBINATION COMPARISONS

Table 28: Ketamine/midazolam vs. midazolam/fentanyl; Kennedy 1998¹²⁹

Author(s): Kennedy 1998¹²⁹
Question: Should ketamine/midazolam IV vs. fentanyl/midazolam be used for pediatric orthopedic emergencies?
Settings: A & E

Quality assessment							Summary of findings				Quality	Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect			
							Ketamine/midazolam IV	Fentanyl/Midazolam	Relative (95% CI)	Absolute		
Completion of procedure (follow-up mean 121 minutes)												
1	randomised trial	serious ^{1,2}	no serious inconsistency	no serious indirectness	serious ³	none	129/130 (99.2%)	127/130 (97.7%)	RR 1.02 (0.95 to 1.02)	20 more per 1000 (from -49 fewer to 20 more)	LOW	
								0%		0 more per 1,000		
Distress score - assessed by observer: VALIDATED scales (follow-up mean not stated minutes; measured with: OSBD-R score; range of scores: 0-23.5; Better indicated by less)												
1	randomised trial	serious ^{1,2}	no serious inconsistency	no serious indirectness	serious ^{3,4}	none	130	130	-	MD -1.62 (-2.04 to -1.2)	LOW	
Pain score- assessed by parent: VALIDATED scales (follow-up Not stated minutes; measured with: 10 point VAS; higher scores indicate greater pain; range of scores: 0-10; Better indicated by less)												
1	randomised trial	serious ^{1,2}	no serious inconsistency	no serious indirectness	serious ^{3,5}	none	130	130	-	MD 1.34 (-2.15 to -0.53)	LOW	
Anxiety score - assessed by parent: VALIDATED scales (follow-up Not stated minutes; measured with: 10 point VAS; higher scores indicate greater anxiety; range of scores: 0-10; Better indicated by less)												
1	randomised trial	serious ^{1,2}	no serious inconsistency	no serious indirectness	serious ^{3,5}	none	130	130	-	MD -1.01 (-1.8 to -0.22)	LOW	
Induction time -Time in minutes between first midazolam dose and first orthopedic manipulation (follow-up mean 13 minutes; measured with: minutes; range of scores: Better indicated by less)												
1	randomised trial	serious ^{1,2}	no serious inconsistency	no serious indirectness	serious ³	none	130	130	-	MD -0.30 (-3.1 to 2.5)	LOW	
Total time: time from administration of intervention to when patient has been transferred to the recovery area (follow-up mean 120 minutes; measured with: minutes; range of scores: Better indicated by less)												
1	randomised trial	serious ^{1,2}	no serious inconsistency	no serious indirectness	serious ³	none	130	130	-	MD 13.90 (2.34 to 25.46)	LOW	
Adverse event: oxygen saturation <90% (follow-up throughout sedation minutes; Pulse oximetry)												
1	randomised trial	serious ^{1,2}	no serious inconsistency	no serious indirectness	serious ³	none	8/130 (6.2%)	31/130 (23.8%)	RR 0.26	176 fewer per		

	trial		inconsistency	indirectness					(0.11 to 0.55)	1000 (from 107 fewer to -212 fewer)	LOW	
Adverse event: vomiting during sedation and recovery (follow-up during sedation and recovery minutes)												
1	randomised trial	serious ^{1,2}	no serious inconsistency	no serious indirectness	serious ³	none	9/130 (6.9%)	2/130 (1.5%)	RR 4.51 (1.01 to 17)	53 more per 1000 (from 0 more to 240 more)	LOW	

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¹ The study was quasi randomised. Subjects were stratified according to initial parental choice to remain in the room or not during reduction. Subjects were then randomly assigned in blocks of 20 within strata to receive fentanyl or ketamine. A random number generator used.

² The study was not fully blinded. Two trained observers were blinded to study purpose and design reviewed the videotape of each study. Unable to blind sedators. Blinding of patients and parents was not described.

³ Small sample size

⁴ OBSD-R may be biased by subjectivity of observer

⁵ Parental observations may be subjective and therefore biased

1 **Table 29: Ketamine/midazolam vs. fentanyl/midazolam; Lucas Da Silva 2007¹⁵¹**

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Author(s): Lucas Da Silva 2007¹⁵¹

Question: Should ketamine/midazolam IV vs. fentanyl/midazolam be used for procedural sedation for insertion of CV catheter?

Settings: In hospital CV catheter insertion

Quality assessment							Summary of findings				Quality	Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect			
							Midazolam/ketamine IV	Midazolam/fentanyl	Relative (95% CI)	Absolute		
Completion of procedure (follow-up mean 101 minutes)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	29/29 (100%)	28/28 (100%)	RR 1 (0 to 0)	0 fewer per 1000 (from 1000 fewer to 1000 fewer)	LOW	
								0%				
Recovery time: Time elapsed from end of procedure to awakening (follow-up median 20 minutes; measured with: minutes; range of scores: Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	29	28	-	-5.0 (-15 to 7.9)	LOW	
Total time: Time elapsed from initial sedative administration to spontaneous eye opening (follow-up median 101 minutes; measured with: minutes; range of scores: Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	29	28	-	6.5 (-19 to 33)	LOW	
Induction time: Time elapsed from initial sedative administration to onset of the procedure (follow-up median 7.5 minutes; measured with: minutes; range of scores: Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	29	28	-	2 (-0.002 to 5.998)	LOW	
Adverse event: oxygen saturation <90% (follow-up median 101 minutes; Pulse oximeter)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	2/29 (6.9%)	0/28 (0%)	RR 4.83 (0.24, 96.42)	0 more per 1000 (from 0 fewer to 0 more)	LOW	

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¹ Double blinding was deemed impractical because of different dosing algorithms of the drugs used and because medications used present clinically distinguishable effects.

² Small sample size

³ Recovery time, induction time and total times were reported as median differences

1 **Table 30: Ketamine + midazolam vs. intranasal midazolam; Acworth 2001¹⁰**

2 **Author(s):** Acworth 2001¹⁰

3 **Question:** Should intravenous ketamine plus midazolam vs. intranasal midazolam be used for emergency paediatric procedural sedation?

4 **Settings:** A & E

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Quality assessment							Summary of findings				Quality	Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect			
							IV ketamine plus midazolam	intranasal midazolam	Relative (95% CI)	Absolute		
Completion of procedure (follow-up mean 88 minutes)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious	none	26/26 (100%)	26/26 (100%) 0%	RR 1.07 (0.81 to 1.08)	70 more per 1000 (from -190 fewer to 80 more) 0 more per 1,000	LOW	
Induction time (time from administration of sedation until sedation score reached 3 or less) (follow-up mean 5 minutes; measured with: minutes; range of scores: Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	26	26	-	MD 5.32 (3.2 to 7.4)	LOW	
Total time: timing - total: time from administration of intervention to when patient met all the criteria for discharge (follow-up mean 88 minutes; measured with: minutes; range of scores: -; Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	26	26	-	MD -18.9 (-33.4 to -4.4)	LOW	
Adverse events: oxygen saturation <09% (follow-up mean 88 months)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	1/26 (3.8%)	0/26 (0%)	RR 3.12 (0.12 to 80.12)	0 more per 1000 (from -0 fewer to 0 more)	LOW	
Adverse event: vomiting during procedure												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	0/26 (0%)	1/26 (3.8%)	RR 0.33 (0.01 to 6.46)	25 fewer per 1000 (from 38 fewer to 207 more)	LOW	

8 ¹ Drug route precluded double blinding and allocation concealment but the doctor and nurse responsible for scoring sedation level were not present during drug administration and were blinded to allocation by use of dummy armboard applied to children receiving the intranasal medication

9 ² The sample size was only 26 in each group

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1 **Table 31: Ketamine + propofol vs. propofol + fentanyl; Tosun 2007²¹⁵**

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Author(s): Tosun 2007²¹⁵

Question: Should intravenous ketamine plus propofol vs. propofol plus intravenous fentanyl be used in children undergoing upper GI endoscopy?

Settings: Gastroenterology

Quality assessment							Summary of findings				Quality	Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect			
							ketamine IV plus propofol	propofol plus fentanyl IV	Relative (95% CI)	Absolute		
Completion of procedure (follow-up mean 116 minutes (time to discharge))												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision	none	46/46 (100%)	44/44 (100%)	RR 1 (0 to 0)	0 fewer per 1000 (from 1000 fewer to 1000 fewer)	MODERATE	
Pain (Number of patients who needed additional propofol during induction as evidenced by discomfort/moving during procedure (follow-up 0-1 minute after induction))												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	8/46 (17.4%)	22/44 (50%)	RR 0.35 (0.17 to 0.7)	325 fewer per 1000 (from 150 fewer to 415 fewer)	LOW	
Pain (Number of patients who needed additional propofol during as evidenced by discomfort/moving during procedure)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	32/46 (69.6%)	41/44 (93.2%)	RR 0.75 (0.61 to 0.92)	233 fewer per 1000 (from 75 fewer to 363 fewer)	LOW	
Recovery time (time from completion of procedure to recovery/discharge criteria being met) (follow-up mean 4.5 minutes; range of scores: Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	46	44	-	MD 1.60 (-0.42 to 3.62)	LOW	
Adverse events: oxygen saturation <90% (follow-up mean 116 minutes; Pulse oximetry)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	3/46 (6.5%)	4/44 (9.1%)	RR 0.75 (0.16 to 2.83)	23 fewer per 1000 (from 76 fewer to 167 more)	LOW	
								25%		62 fewer per 1,000		
Adverse events: vomiting (follow-up mean 116 minutes; observation)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	7/46 (15.2%)	0/44 (0%)	RR 16.9 (0.93 to 305.47)	0 more per 1000 (from -0 fewer to 0 more)	LOW	

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- ¹ Unclear allocation concealment; small trial, total n=90; no loss to follow up; double blind
² Wide confidence interval; few events

1 **Table 32: Ketamine/midazolam vs. propofol/fentanyl; Godambe 2003⁷⁷**

2 **Author(s):** Godambe 2003⁷⁷
 3 **Question:** Should ketamine/midazolam vs. Propofol/Fentanyl be used for Procedural Sedation ?
 4 **Settings:** Pediatric Emergency Department
 5

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Quality assessment							Summary of findings				Quality	Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect			
							Ketamine/Midazolam	Propofol/Fentanyl	Relative (95% CI)	Absolute		
Completion of procedure												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	50/54 (92.6%)	53/59 (89.8%)	RR 1.03 (0.86 to 1.09)	27 more per 1000 (from -126 fewer to 81 more)	LOW	
Recovery time: last dose of medication to return to baseline (follow-up time to return to baseline minutes; measured with: minutes; range of scores: Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	54	59	-	MD 33.4 (26.1 to 40.8)	LOW	
Total time: from first dose of medication to return to baseline (follow-up Total time from beginning of sedation to recovery minutes; measured with: minutes; range of scores: Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	54	59	-	MD 23.2 (15.4 to 30.4)	LOW	
Adverse events: vomiting (follow-up Immediate adverse effects minutes)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	2/54 (3.7%)	0/59 (0%)	RR 5.67 (0.27 to 120.73)	0 more per 1000 (from -0 fewer to 0 more)	LOW	
Adverse outcome: oxygen saturation <90% (follow-up Any amount of time during procedure and recovery minutes)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	4/54 (7.4%)	18/59 (30.5%)	RR 0.24 (0.08 to 0.67)	232 fewer per 1000 (from 101 fewer to -281 fewer)	LOW	
Pain score - assessed by parent: VALIDATED scales (measured with: VAS score; range of scores: 0mm-100mm; Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ^{2,3}	none	30	38	-	MD 4.30 (-5.28 to 13.88)	LOW	
Distress score- assessed by observer - VALIDATED scales (follow-up Video tapes /OSBD score assessed after procedure minutes; range of scores: 0-23.5; Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ^{2,4}	none	54	59	-	MD -0.19 (-0.39 to 0)	LOW	

7 ¹ Quasi randomised - Odd or even day assignment

8 ² Small sample size

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³ Assessment by parents may be subjective and therefore biased

⁴ There is potential for the OSBD to be subjective and therefore biased

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Table 33: Ketamine/midazolam vs. axillary block regional anesthesia (intra arterial block); Kriwanek 2006¹³²

Author(s): Kriwanek 2006¹³²

Question: Should ketamine plus midazolam vs. axillary (brachial plexus) block regional anesthesia be used for forearm fracture in children?

Settings: Pediatric Emergency Department

Quality assessment							Summary of findings				Quality	Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect			
							Ketamine + midazolam	Axillary (brachial plexus) block regional anesthesia(ABRA)	Relative (95% CI)	Absolute		
Completion of procedure												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	21/21 (100%)	18/20 (90%)	RR 1.09 (0.78 to 1.11)	81 more per 1000 (from -198 fewer to 99 more)	LOW	
Pain -score - assessed by patient: VALIDATED scales (measured with: FPS-R ; range not provided; range of scores: Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ^{2,3}	none	21	20	-	MD 0.90 (-0.27 to 2.07)	LOW	
Pain -score - assessed by observer: VALIDATED scales (measured with: CHEOPS during fracture reduction; range of scores: 4-13; Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ^{2,3}	none	21	20	-	MD 1.10 (-0.31 to 2.51)		

¹ Blinding not possible and allocation concealment not described.

² Small sample size

³ Pain scales have potential for subjective interpretation and therefore bias

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1 **Table 34: Ketamine + midazolam vs. nitrous oxide + haematoma block; Luhmann 2006¹⁵³**

2 **Author(s):** Luhmann 2006¹⁵³

3 **Question:** Should ketamine plus midazolam vs. nitrous oxide plus haematoma block be used for forearm fracture reduction in children?

4 **Settings:** Emergency Department

5

Quality assessment							Summary of findings				Quality	Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect			
							Ketamine +Midazolam	Nitrous Oxide + Hematoma Block	Relative (95% CI)	Absolute		
Completion of procedure (follow-up mean 50 minutes)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	55/55 (100%)	47/47 (100%)	RR 1 (0 to 0)	0 fewer per 1000 (from 1000 fewer to 1000 fewer)	LOW	
										0 fewer per 1,000		
Adverse event: vomiting (follow-up mean 50 months)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	13/55 (23.6%)	12/47 (25.5%)	RR 0.92 (0.44 to 1.7)	20 fewer per 1000 (from 143 fewer to 179 more)	LOW	
										0 fewer per 1,000		
Recovery time (follow-up mean 49.5 minutes; measured with: P value reported for mean difference of 83 minutes for KM group and 16 minutes for NO/HB group: p<0.0001; range of scores: -; Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	55	47	-	MD 0 (0 to 0)	LOW	
Distress during procedure (measured with: OR of MD reported: OR 0.9 (95% CI 0.5-2.1); range of scores: 5-25; Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ^{2,3}	none	55	47	-	MD 0 (0 to 0)	LOW	
Pain - reported by patient (follow-up mean 49.5 minutes; measured with: OR of mean difference in VAS scores reported: OR 1.1 (95% CI 0.0-2.1); range of scores: 1-10; Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ^{2,4}	none	55	47	-	MD 0 (0 to 0)	LOW	
Pain - reported by parent during procedure (follow-up mean 49.5 minutes; measured with: OR of mean difference in VAS scores reported: OR 1.6 (95% CI 0.6-2.6); range of scores: Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ^{2,5}	none	55	47	-	MD 0 (0 to 0)	LOW	

¹ Single blinding only

² Small sample size

³ Distress scale has potential for subjectivity and therefore bias

⁴ Pain as assessed by patient is inherently subjective and therefore subject to bias

⁵ Pain as assessed by parent is inherently subjective and therefore subject to bias

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1 **Table 35: Ketamine + Propofol-fentanyl vs. propofol-fentanyl; Erden 2009⁵⁹**

2 **Author(s):** Erden 2009⁵⁹
 3 **Question:** Should ketamine plus propofol-fentanyl vs. propofol-fentanyl be used in paediatric sedation?
 4 **Settings:** Interventional radiology
 5

Quality assessment							Summary of findings				Quality	Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect			
							ketamine + propofol-fentanyl	propofol-fentanyl	Relative (95% CI)	Absolute		
Oxygen saturation <90%												
1	randomised trial	no serious limitations	no serious inconsistency	no serious indirectness	serious ¹	none	3/30 (10%)	9/30 (30%)	RR 0.33 (0.10 to 1.11)	201 fewer per 1000 (from 270 fewer to 33 more)	MODERATE	
										0 fewer per 1,000		

6 ¹ Sample size small and characterised as 'about' 30 patients for each group would be sufficient to detect a fall from 30% to 5%

1 **ROUTE OF ADMINISTRATION COMPARISONS**

2 **Table 36: Intravenous ketamine vs. intramuscular ketamine; Roback 2006¹⁹²**

3 **Author(s):** Roback 2006¹⁹²

4 **Question:** Should intravenous ketamine vs. intramuscular ketamine be used for sedation of pediatric patients?

5 **Settings:** Emergency Department Orthopedic Procedures

6

Quality assessment							Summary of findings				Quality	Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients	Effect				
							IV ketamine	IM ketamine	Relative (95% CI)	Absolute		
Completion of procedure (follow-up median 13.0 minutes)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	101/109 (92.7%)	95/99 (96%)	RR 0.97 (0.82 to 1.02)	29 fewer per 1000 (from 173 fewer to 19 more)	LOW	
Adverse events: oxygen saturation <90% (Pulse oximetry)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	9/109 (8.3%)	4/99 (4%)	RR 2.05 (0.65 to 5.75)	42 more per 1000 (from -14 fewer to 190 more)	LOW	
Adverse event: vomiting												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	13/109 (11.9%)	26/99 (26.3%)	RR 0.39 (0.23 to 0.84)	160 fewer per 1000 (from 42 fewer to -203 fewer)	LOW	
Pain score - number of patients - assessed by patient: VALIDATED scales												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ^{2,3}	none	49/84 (58.3%)	57/70 (81.4%)	RR 0.72 (0.49 to 0.92)	228 fewer per 1000 (from 65 fewer to -415 fewer)	LOW	
Distress - score - assessed by observer during procedure: VALIDATED scales (range of scores: -; Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ^{2,4}	none	97	93	-	MD 0.47 (0.13 to 0.82)	LOW	
Timing - total: time from administration of intervention to when patient has been transferred to the recovery area (follow-up median 104.5 minutes; measured with: Reported as range: 27-210 minutes IV; 55-365 minutes IM; p<.001; range of scores: 27-365; Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	109	99	-	0 (0 to 0)	LOW	

¹ Single blinding only

² Small sample size

³ The FACES scale is a subjective measurement and is subject to bias

⁴ OBSD scale has potential to be subjective and therefore biased

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1 **6.4.2.2 Non RCT evidence profiles for safety for ketamine**

2 Eleven non RCT observational studies in 6892 patients assessed the safety of
3 ketamine^{76,80-82,163,164,188,191,197,214,218}. There were six prospective reviews and five
4 retrospective studies conducted primarily for emergency procedures (9) as well as
5 studies of ketamine for gastrointestinal (GI) procedures.

6 The non RCT study characteristics for ketamine are presented in Table 37.

7 The non RCT adverse event table for ketamine is presented in Table 38.

8

1 **Table 37: Ketamine Non RCT study characteristics safety review**

Study	Setting	ASA	Sedation type	Gender, % male	Drug (doses)	Fasting
Prospective Cohort						
McGlone et al, 2004 ¹⁶³ UK	Lancaster Royal Infirmary, Lancaster, UK Accident and emergency department		IM ketamine sedation for minor painful procedures		IM ketamine: 301 children received 2.0 mg/kg and 191 received 2.5 mg/kg; 26 children received a second dose.	
Sacchetti et al, 2007 ¹⁹⁷ USA Results from ProSCED Registry for Ketamine	14 community emergency departments	321 (94.1%) were ASA I, 18 were ASA class II (5.3%) and 2 were ASA class III (0.6%)	41.3% received ketamine – route of delivery not described			
McQueen et al, 2009 ¹⁶⁴	A children's hospital emergency department, USA		66% (363) received ketamine alone; 19% (106) received ketamine/midazolam; 15% (85) received non-ketamine drugs	62% (341) were male; 38% (213) were female		

Study	Setting	ASA	Sedation type	Gender, % male	Drug (doses)	Fasting
Ramaswamy et al, 2008 ¹⁸⁸	Royal Children's Hospital Melbourne, Australia ED		Ketamine IM or IV	138 male (60.3%)	Ketamine 3-4 mg/kg IM or 1-1.5 mg/kg IV	
Thorp et al, 2009 ²¹⁴	Pediatric Emergency Department, Loma Linda University Medical Center and Children's Hospital, Loma Linda, California USA	ASA I 93% (959); ASA II 6% (66); ASA III 1% (14)	Ketamine	62% (649) male	Ketamine initial dose (0.2-2.4 mg/kg) and total dose (0.3 to 23.8 mg/kg)	
Treston et al, 2009 ²¹⁸	Redcliffe Hospital Brisbane, Australia		Ketamine for minor procedures or		Ketamine from 0.23 to 3.8 mg/kg (mean 1.15)	

Study	Setting	ASA	Sedation type	Gender, % male	Drug (doses)	Fasting
	ED		examination		mg/kg). Titrated IV ketamine used in 691 cases and IM in 54 cases	
Roback et al, 2005 ¹⁹¹ USA	Pædiatric Emergency Department		IV or IM procedural sedation		Ketamine alone; ketamine/midazolam	
Green et al, 1998 ⁸² USA	Emergency Department		IM Ketamine		Ketamine 4 mg/kg combined with atropine .01 mg/kg IM; repeat ketamine dose (2-4 mg/kg) without atropine if required	Children who had eaten a full meal within 3 hours were excluded but not those with lesser degrees of oral intake
Green et al, 1998 ⁸¹ USA	Emergency Department		IV Ketamine		The mean loading dose of ketamine was 1.5 + 0.5 mg/kg and was then titrated as necessary. The total mean dose used was 2.5 + 1.6 mg/kg.	Children who had eaten a full meal within 3 hours were excluded but not those with lesser degrees of oral intake
Green et al, 2001 ⁸⁰ USA	University medical centre - Department of Gastroenterology	Ketamine administered at all levels of ASA stratification	IV Ketamine: 98.3% of patients and IM Ketamine: 1.7% of patients Concurrent midazolam was administered in 97% (614) of patients	54.4%	The median IV loading dose of ketamine was 1.00 mg/kg and titrated if necessary. The median total IV dose was 1.34 mg/kg.	

Study	Setting	ASA	Sedation type	Gender, % male	Drug (doses)	Fasting
Gilger et al, 2004 ⁷⁶ USA	Children's Hospital: endoscopy		Ketamine + midazolam; Ketamine + midazolam + meperidine	48% male in ketamine/midazolam group; 63% male in the Ketamine + midazolam + meperidine group	Ketamine 0.75-2.0 mg/kg dose	

1

1 **Table 38: Ketamine Safety: Non RCTs**

Study type, reference, country	Drug / Comparison	Procedure	Age	Total N	ADVERSE EVENTS, rate: % (n)									GRADE PROFILE	
					Aspiration	Respiratory intervention			Cardiac arrest requiring either/or		vomiting	oxygen desaturation <90%	Recovery agitation		Evidence quality
						oral-pharyngeal airway	endotracheal intubation	assisted ventilation	external cardiac massage	defibrillation					
Prospective Cohort studies															
McGlone et al, 2004 ¹⁶³ UK	IM Ketamine	Injuries in A&E requiring wound toilet and suturing, minor surgery such as nail bed repair, and removal of foreign bodies	Not stated	501							17% (in recovery or at home) (85/501)	.5% (3/501)	Mild: 15% (71/501) Moderate: 3% 16/501 Pronounced: 0.8% (4/501)	VERY LOW	
Sacchetti et al, 2007 ¹⁹⁷ USA Results from ProSCED Registry for Ketamine	Ketamine	Minor trauma including laceration repairs, foreign body removal, fracture care, join relocation and also lumbar puncture, radiology, tube thoracostomy and cardioversion	Ages 0-20 years	This registry reports a total of 1028 procedural sedations. 141 children received ketamine										VERY LOW	
McQueen et	Ketamine	Emergency	3 months	422							25/422			VERY	

Study type, reference,	Drug / Comparison	Procedure	Age	Total N	ADVERSE EVENTS, rate: % (n)								GRADE PROFILE		
al, 2009 ¹⁶⁴		Department procedures	-18 years									(5.9%) Before discharge			LOW
	Ketamine/ midazolam			123								13/123 (10.5%) before discharge			VERY LOW
Ramaswamy et al, 2008 ¹⁸⁸	IM Ketamine vs. IV ketamine	Emergency Department procedures	1.8-4.3 years	229 total; IM, n= 110; IV, n= 119 .								IM: 17.3% (95% CI = 10.7% to 25.7%) vs. IV: 11.8% (95% CI = 6.6% to 18.9%); P=0.24	IM: 4.5% (95% CI= 1.5% to 10.3%) vs. IV 4.2%, (95% CI= 1.4% to 9.5%); p=0.9		VERY LOW
Thorp et al, 2009 ²¹⁴	Ketamine	Emergency Department procedures	No emesis: 6.1 years median age; With emesis 9.8 years median age	1039								Rate of emesis was 7.0% when the total dose was 7 mg/kg or less and 11.1% when greater than 7 mg/kg			VERY LOW
Treston et al, 2009 ²¹⁸	Ketamine	Emergency Department procedures	12 months – 13 years	745										16/745 (2,1%)	VERY LOW
Retrospective															
Roback et al, 2005 ¹⁹¹	Ketamine	Fracture reduction;	39 days to 22	1,492										6.1% 91/1492	VERY LOW

Study type, reference,	Drug / Comparison	Procedure	Age	Total N	ADVERSE EVENTS, rate: % (n)								GRADE PROFILE	
USA		laceration repair; lumbar puncture; imaging; other dental	years; median age 6.58 years									Includes oxygen saturation >90% and laryngospasm		
	Ketamine/midazolam		4.8 mo to 18 y; median age 6.21 years	299								10%30/299 Includes oxygen saturation >90% and laryngospasm	VERY LOW	
Green et al, 1998 ⁸² USA	IM Ketamine	Emergency procedures including wound and dermal repair, orthopaedic, GU, GI eye procedures and line placement, lumbar puncture, CT scan chest tube and ET tube placement	0-15 years	1,022				.4% (5/1022) Bag mask ventilation			6.7% (68/1022)	.9% (9/1022)	Total events by chart documentation and assessed by physician: 19.3% (197/1022) Moderate to severe: 1.6% (16/1022)	VERY LOW
Green et al, 1998 ⁸¹ USA	IV Ketamine 31% received	Emergency procedures including	0-15 years	156				.6% (1/156) Bag mask			3.8% (6/156) 1 while	.6% (1/156)	Total events by chart	VERY LOW

Study type, reference,	Drug / Comparison	Procedure	Age	Total N	ADVERSE EVENTS, rate: % (n)								GRADE PROFILE	
	concurrent midazolam	wound and dermal repair, orthopaedic, GU, GI eye procedures and line placement, lumbar puncture, CT scan chest tube and ET tube placement						ventilation			sedated and 5 in recovery		documentation: Mild:1.3% (2/156) Moderate to severe: 0	
Green et al, 2001 ⁸⁰ USA	IV Ketamine: 98.3% of patients and IM Ketamine: 1.7% of patients Concurrent midazolam was administered in 97% (614) of patients 15% of patients received other sedatives: meperidine (n=90), diazepam (n=4) and morphine	GI procedures	Median age 5.2 years	636 46% of patients had severe underlying illness (ASA >3)				3% (19/636) Bag mask ventilation			4.1% (26/636)		1.4% (9/636) mild .9% Moderate to severe	VERY LOW

Study type, reference,	Drug / Comparison	Procedure	Age	Total N	ADVERSE EVENTS, rate: % (n)								GRADE PROFILE
	(n=3)												
Gilger et al, 2004 ⁷⁶ USA	Ketamine + midazolam	GI endoscopy	5.9 years mean age (SD 4.77)	128	0					0	*data recorded was oxygen saturation <95%	0	VERY LOW
	Ketamine + midazolam + meperidine		7.68 years mean age (SD 4.22)	82				1.2% (1/82)			0	*data recorded was oxygen saturation <95%	0

1 IV= intravenous; IN= intranasal; IM= intramuscular; INH= inhaled

2

1 **6.4.3 Evidence statements for ketamine**

2 **6.4.3.1 RCT efficacy and safety for ketamine**

3 **DRUG COMBINATION COMPARISONS**

4 **Ketamine/midazolam vs. fentanyl/midazolam**

5 *Kennedy 1998*¹²⁹

6 Compared with midazolam + fentanyl, the midazolam + ketamine group had
7 significantly:

- 8 • Less distress on Observation Scale of Behavioral Distress (OSBD) [low quality
9 evidence]
- 10 • Less anxiety as reported by parent on Visual Analogue Scale (VAS) after
11 procedure [low quality evidence]
- 12 • Less pain as reported by parent on VAS after procedure [low quality evidence]
- 13 • Longer total time [low quality evidence]
- 14 • Less oxygen desaturation (O₂ saturation <90%) [low quality evidence]
- 15 • More vomiting during recovery; p=.03 [low quality evidence]

16 There was no significant difference in:

- 17 • Completion of procedure [low quality evidence]
- 18 • Length of induction [low quality evidence]

19

20 **Ketamine/midazolam vs. fentanyl/midazolam**

21 *Lucas Da Silva 2007*¹⁵¹

22 Median results were reported on this RCT. It was not possible to combine these results
23 with other studies for meta-analysis.

24 Compared with midazolam + fentanyl, the midazolam + ketamine group had
25 significantly:

- 26 • Shorter induction time [low quality evidence]

27 There was no significant difference in:

- 28 • Completion of procedure – all procedures were completed [low quality evidence]
- 29 • Recovery time [low quality evidence]

- 1 • Total sedation time [low quality evidence]

- 2 • Oxygen saturation <90% [low quality evidence]

3 It was stated that neither cardiac rhythm abnormalities nor increase in cardiac rate were
4 detected.

5

6 **Ketamine + midazolam + vs. intranasal midazolam**

7 *Acworth 2001*¹⁰

8 Compared with midazolam + ketamine, the intranasal midazolam group had
9 significantly:

- 10 • Shorter induction time [low quality evidence]

- 11 • Longer total time [low quality evidence]

12 There was no significant difference in:

- 13 • Completion of procedure – all procedures were completed [low quality evidence]

- 14 • Oxygen saturation <90% [low quality evidence]

- 15 • Vomiting [low quality evidence]

16

17 **Ketamine-propofol vs. fentanyl-propofol**

18 *Tosun 2007*²¹⁵

- 19 • All patients completed the procedure [Moderate quality evidence]

20 Compared with Propofol-fentanyl, the propofol-ketamine group had significantly:

- 21 • Less pain as measured by the number of patients requiring additional propofol in
22 the first minute after induction [low quality evidence]

- 23 • More vomiting [Low quality evidence]

24 There was no significant difference in:

- 25 • Recovery time [low quality evidence]

- 26 • Oxygen saturation <90% [low quality evidence]

27

28 **Ketamine + midazolam vs. propofol + fentanyl**

1 *Godambe 2003*⁷⁷

2 Compared with propofol + fentanyl, the midazolam + ketamine group had significantly:

- 3 • Less oxygen desaturation (O₂ saturation <90%) [low quality evidence]
- 4 • More vomiting during recovery [low quality evidence]
- 5 • Longer total time [low quality evidence]

6 There was no significant difference in:

- 7 • Completion of procedure – all procedures were completed [low quality evidence]
- 8 • Length of induction [low quality evidence]
- 9 • Less distress on OSBD (Observational Scale of Behavioural Distress) [low quality
10 evidence]
- 11 • Less pain as reported by parent on VAS after procedure [low quality evidence]

12
13 **Ketamine + midazolam vs. axillary block regional anaesthesia**

14 *Kriwanek 2006*¹³²

15 There was no significant difference in:

- 16 • Completion of procedure – all procedures were completed [low quality evidence]
- 17 • Pain assessed by patient using FPS-R [low quality evidence]
- 18 • Distress during the procedure as measured by CHEOPS scale, [low quality
19 evidence]

20
21 **Ketamine + midazolam vs. nitrous oxide + haematoma block**

22 *Luhmann 2006*¹⁵³

23 Compared with nitrous oxide + haematoma block, the ketamine + midazolam group had
24 significantly:

- 25 • Longer recovery time (from cast moulding to Aldrete score of 10) [low quality
26 evidence]

27 There was no significant difference in:

- 28 • Completion of procedure – all procedures were completed [low quality evidence]
- 29 • Distress as assessed by PBCL score [low quality evidence]

- 1 • Pain assessed by patient using VAS [low quality evidence]
- 2 • Pain assessed by parent using VAS [low quality evidence]
- 3 • Vomiting [low quality evidence]

4

5 **Propofol-fentanyl vs. propofol-fentanyl-ketamine**

6 *Erden 2009*⁵⁹

7 Compared with Propofol-fentanyl, the propofol-fentanyl-ketamine group required
8 significantly:

- 9 • Less supplemental propofol [moderate quality evidence]

10 There was no significant difference in:

- 11 • Oxygen saturation <90% [moderate quality evidence]

12

13 **ROUTE OF ADMINISTRATION COMPARISONS**

14 **Intravenous ketamine vs. intramuscular ketamine**

15 *Roback 2006*¹⁹²

16 Compared with ketamine IM, the ketamine IV group had significantly:

- 17 • Less distress during the procedure as measured by CHEOPS scale [low quality
18 evidence]
- 19 • Less total time [low quality evidence]
- 20 • Less vomiting [low quality evidence]

21 There was no significant difference in:

- 22 • Completion of procedure [low quality evidence]
- 23 • Oxygen saturation <90% [low quality evidence]
- 24 • Pain assessed by patient using FPS-R [low quality evidence]
- 25 • Parental satisfaction assessed on 7 point Likert scale [low quality evidence]

26

27 **6.4.3.2NON-RCT safety (adverse events)**

1 For the characteristics of studies and outcome data on ketamine refer to Table 37 and
2 Table 38.

- 3 • Four studies reported rates of assisted ventilation: 1.2%⁷⁶ ; 0.6%⁸²; 0.4%⁸¹;
4 3%⁸⁰
- 5 • There were no cardiac events reported in 11 studies.
- 6 • Vomiting was reported in nine studies^{76,80-82,163,164,188,214,218}. and rates ranged
7 from 0%⁷⁶, to 17%¹⁶³. The mean vomiting rate for the nine studies was 7.9%. A
8 dose response effect was noted in one study²¹⁴ where the rate of emesis was
9 7.0% when the total dose was 7 mg/kg or less and 11.1% when greater than 7
10 mg/kg. A non significant difference was noted between IV and IM routes¹⁸⁸.
- 11 • Oxygen saturation <90% was reported in five studies^{81,82,131,163,188,191}. and
12 rates ranged from 0.5%¹⁶³ to 10%¹⁹¹. The mean desaturation rate for five
13 studies was 3.8%.
- 14 • Recovery agitation was reported in seven studies and was classified as mild,
15 moderate and severe. Definitions of these classifications were not standardised.
16 Mild recovery agitation ranged from 1.3⁸¹, -15%¹⁶³; moderate to severe
17 recovery agitation ranged from 0%⁸¹, to 1.6%⁸¹.

18 19 **6.4.4 GDG discussion of the evidence for ketamine**

20 The GDG noted that out of 16 studies considered 11 were in patients undergoing
21 painful procedures in the Emergency Department (ED) setting. One study was in children
22 undergoing painful insertion of central intravenous catheters and the remainder were in
23 children undergoing gastrointestinal endoscopy.

24 The GDG discussed four studies^{82,163,192,197} in which ketamine was used alone in the ED
25 setting. Only one of these studies¹⁹² was an RCT and it compared IV with IM ketamine.
26 The quality of the evidence was low yet, together with the three large non-RCTs^{82,163,197},
27 the GDG agreed that there was much evidence to show that ketamine was effective over
28 a wide range of painful procedures.

29 Discussions highlighted the difficulty of research in this area. The main problem was that
30 any sedation technique being compared with ketamine would need to be of a similar
31 efficacy. That there were so few studies may indicate that few sedation techniques are
32 as effective as ketamine. The GDG thought that combinations of drugs such as
33 midazolam and fentanyl were potentially as effective.

34 In the RCT comparing intravenous versus intramuscular ketamine¹⁹² the GDG noted that
35 the evidence of efficacy was limited to the successful outcome of the procedure. There
36 were no data about the level of sedation achieved. The GDG agreed that the level of
37 sedation achieved by ketamine alone was dependent on dose but that the sedation level
38 was often uncertain because ketamine induces a sedated state in which the patient is not
39 responsive but has their eyes open. In this state, known as dissociative sedation, vital
40 reflexes remain intact to maintain breathing and prevent aspiration. The GDG discussed
41 whether or not some of the patients were anaesthetised rather than sedated and it was
42 appreciated that high doses could cause anaesthesia in which vital reflexes may be

1 obtunded. It was agreed that it was not possible to be certain about what dose was
2 compatible with sedation rather than anaesthesia.

3 Evidence showed that intravenous and intramuscular administrations were equally
4 effective for painful procedures in the emergency department setting and the GDG
5 discussed the advantages and disadvantages of both methods. Intravenous
6 administration facilitates the titration of smaller doses of ketamine and therefore reduces
7 the chance of sedation outlasting the intended procedure. The GDG agreed that
8 intramuscular is a painful route of administration and should be reserved for situations
9 when intravenous administration is impractical. However it was noted that despite local
10 anaesthesia skin preparation intravenous cannulation may be painful and attempts may
11 need to be repeated if initially unsuccessful. Consequently it may be reasonable to offer
12 a single intramuscular injection rather than wait for local anaesthesia to be applied to
13 the skin and become effective in a child in whom venous access may prove to be difficult.

14 The GDG considered the evidence for ketamine combined with other drugs. There were
15 five RCTs^{10,77,129,151,215} in which a combination of ketamine and midazolam had been
16 compared with other drugs. All were low quality evidence. In four studies^{77,129,132,153} the
17 authors stated that the target level of sedation was deep. The main efficacy outcome
18 was completion of procedure and all procedures were completed in these RCTs. In
19 comparison with a midazolam fentanyl combination the ketamine midazolam combination
20 was associated with lower pain and distress scores. In comparison with propofol and
21 fentanyl combination the ketamine midazolam combination was also associated with
22 lower pain and distress scores although the recovery time was longer. In both
23 comparisons ketamine midazolam combinations were associated with less oxygen
24 desaturations. The GDG agreed that this was likely to be for two reasons. First, it may
25 be more difficult to titrate a combination of midazolam and fentanyl than ketamine and
26 midazolam; second, fentanyl causes more respiratory depression than ketamine.

27 Two studies^{132,153} compared the ketamine midazolam combination with techniques
28 involving local anaesthesia for reduction of forearm fractures; the local anaesthesia was
29 supplemented by midazolam alone in one and nitrous oxide in the other, and all
30 techniques seemed equally effective.

31 The GDG discussed the problems of designing a RCT to determine the effect of
32 combining ketamine with other drugs. For example in order to determine the effect of
33 combining ketamine with midazolam it would be necessary to have a comparator group
34 receiving midazolam alone. This however would not be possible because midazolam
35 alone would not be effective for painful procedures. If a ketamine was compared with a
36 ketamine midazolam combination the results would indicate the effect of midazolam.
37 Nevertheless, if it was assumed that ketamine was effective it would be reasonable to
38 consider such a study as evidence of how ketamine alone compared with the
39 combination. The GDG reconsidered two RCTs^{203,229} that compared ketamine alone with
40 ketamine combined with midazolam that had already been reviewed in the midazolam
41 evidence to recommendation discussions. It was agreed that the addition of midazolam
42 conferred no significant advantage and was associated with more oxygen desaturation.

43 The GDG discussion focused on airway and breathing effects of ketamine. In some
44 studies 10-15% of children had oxygen desaturation after ketamine but the GDG
45 recognised that these events were usually brief and easily managed with oxygen and
46 simple airway support. The level of desaturation may have been related to the skills of
47 the healthcare practitioner. Nevertheless, evidence showed that potentially dangerous
48 airway effects could occur after ketamine by either route. The need for the use of "bag

1 and mask ventilation” was estimated to be approximately 1-2% but was less than this in
2 some large cohort studies. Laryngospasm was the usual cause of airway obstruction
3 although apnoea is known to be a potential hazard also. The GDG agreed that airway
4 management skills and equipment are essential for this drug.

5 The GDG discussed the three studies^{76,80,215} of ketamine combined with various drugs for
6 endoscopy procedures. A study comparing the ketamine midazolam combination with a
7 propofol fentanyl combination showed that ketamine was associated with more
8 laryngospasm during gastroscopy. The GDG considered that ketamine causes more
9 salivation than propofol and that the combination of pharyngeal secretions during
10 gastroscopy²¹⁵ is likely to lead to laryngospasm.

11 The problem of fasting before ketamine was also discussed in the emergency
12 department setting. It was agreed that the fasting status of a child in the emergency
13 setting is often uncertain and that the stomach emptying is often delayed after trauma.
14 The GDG felt that in the emergency setting, when sedation is required for an emergency
15 procedure, there was a good trade-off between the benefit of prompt sedation with
16 ketamine and the hazard of vomiting and aspiration. The GDG agreed that ketamine
17 has a safe reputation for use in children who may not be fasted although the quality of
18 evidence for risk of aspiration was very low. In order to prove that ketamine was well
19 tolerated in unfasted children, it was recognised that large numbers of children would
20 need to be studied, some of whom were fasted and others not fasted, before this safety
21 question could be answered with confidence.

22 Other side effects were also discussed. Vomiting was a common minor side effect but
23 there was no evidence to show that any intervention prevented it. The GDG agreed that
24 there should be research into methods of reducing vomiting with ketamine. Emergence
25 phenomena including hallucinations are a recognised complication of the use of
26 ketamine; the GDG noted that these are uncommon and not reduced by routine
27 administration of midazolam, although if distressing can be effectively treated with
28 intravenous midazolam.

29 Discussions led to how ketamine sedation compared with anaesthesia in the setting of a
30 painful procedure in an emergency department. The GDG could find no evidence to
31 confirm which approach is best but GDG members knew that the issue has been debated
32 recently in the Emergency Medicine professional journals. It was agreed that there were
33 potential economic advantages to providing sedation within a few hours of admission
34 rather than waiting for the services of an anaesthesia team that may involve overnight
35 admission. The GDG recognised that this was a common dilemma. However in many
36 hospitals emergency department staff are currently not trained to administer ketamine.
37 Training of a team to deliver ketamine sedation was considered to be essential if
38 ketamine was to be used safely.

39 The agreement by the GDG is that economic analysis should be conducted only for
40 sedation techniques commonly available in the NHS. Economic analysis was conducted for
41 six broad groups (dental procedure in children, dental procedure in adolescents, short
42 painful procedures, painless imaging, oesophago-gastroscopy and colonoscopy). The
43 GDG felt there is some evidence that ketamine alone is effective and well tolerated. It is
44 commonly used in short painful procedure in the NHS, and it was therefore agreed that
45 this strategy should be compared to other relevant strategies in the economic analysis
46 conducted for this population group. Details of the considerations of cost-effectiveness
47 with respect to using ketamine alone in short painful procedures are given in section
48 6.12.1.2.

1

2 **6.5 Chloral hydrate**

Matrix of chloral hydrate comparators			
<p>Key:</p> <p>Chloral hydrate = CH Fentanyl = F Isoflurane = I Ketamine=K Local anaesthesia = LA Midazolam = M Nitrous oxide = N₂O Nitrous oxide and oxygen = N₂O+O₂ Opioids = O Propofol= P Sevoflurane = S Triclofos sodium = TS</p>			
Chloral hydrate vs			
	Reference	Tables	Evidence statements page
Placebo			
	Houpt 1989 ⁹⁶	Table 39	233
Head to head			
M	Dallman 2001 ⁴⁹	Table 40	233
General Anaesthetic (GA)	Thompson 1982 ²¹³	Table 41	233
Music	Loewy 2005 ¹⁵⁰	Table 42	233
Combinations			
CH + hydroxyzine vs M + acetaminophen	Dallman 2001 ⁴⁹ Reeves, 1996 ¹⁸⁹	Table 43	234
Safety			
RCTs	Marti-Bonmati 1995 ¹⁵⁹	Table 45	234
Vomiting	Houpt 1989 ⁹⁶	Table 46 Table 48	235

Observational studies	Ronchera-Oms 1994 ¹⁹⁴ Napoli 1996 ¹⁷¹ Greenberg 1991 ⁸³ Greenberg 1993 ⁸⁴ Malviya 2000 ¹⁵⁶ Fox 1990 ⁶⁹ Heistein 2006 ⁹² Cortellazzi 2007 ⁴³ Needleman 1995 ¹⁷³	Table 46 Table 48	235
Route of administration			
Nil			
Dose			
CH high dose vs CH low dose	Haupt 1985 ⁹⁷	Table 44	233
CH intermediate dose vs CH high dose	Marti-Bonmati 1995 ¹⁵⁹	Table 45	234

1 **6.5.1 Clinical methodological introduction for chloral hydrate**

2 **CLINICAL QUESTIONS:**

3 For children and young people under the age of 19 undergoing diagnostic or
4 therapeutic procedures, is chloral hydrate (with or without: analgesia, another drug or
5 psychological techniques):

6 - effective for sedation (at minimal, moderate, and deep levels) in comparison with usual
7 care, with analgesia alone, with another sedation drug, with psychological techniques or
8 with general anaesthesia?

9 The literature was searched for systematic reviews and RCTs for the clinical efficacy and
10 safety of chloral hydrate. The search was expanded to include observational studies for
11 the safety of chloral hydrate.

12 No systematic reviews were identified for the use of chloral hydrate in paediatric
13 sedation.

14 Seven RCTs met the inclusion criteria for the review of the efficacy of chloral hydrate.

15 Two RCTs met the inclusion criteria for the review of the safety of chloral hydrate.

16 Meta-analysis was not performed as there were no studies in which comparisons and
17 outcome measures were sufficiently homogenous to calculate a meaningful summary
18 statistic.

19 Nine non RCTs assessed the safety of chloral hydrate in a total of 5,188 patients.

20

21 **6.5.2 Evidence profiles for chloral hydrate**

22 ***6.5.2.1 RCT evidence profiles for efficacy and safety for chloral hydrate***

23 Study characteristics and methodological quality of the study are provided in Appendix
24 D. GRADE tables for quality assessment of study outcomes and summary of findings are
25 provided below.

1 **PLACEBO COMPARISONS**

2 **Table 39: Chloral hydrate vs. placebo; Houpt 1989⁹⁶**

3 **Author(s):** Houpt 1989⁹⁶
 4 **Question:** Should chloral hydrate vs. placebo be used in children also receiving nitrous oxide?
 5 **Settings:** Dental
 6

Quality assessment							Summary of findings				Importance	
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect			Quality
							Chloral Hydrate	Placebo	Relative (95% CI)	Absolute		
Vomiting												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	2/19 (10.5%)	1/19 (5.3%)	RR 2.00 (0.2 to 20.24)	53 more per 1000 (from 42 fewer to 1000 more)	LOW	

7 ¹ Small sample size and wide confidence levels for relative effect

8 ² Generation code and allocation concealment not described

1 **HEAD TO HEAD COMPARISONS**

2 **Table 40: Chloral hydrate vs. intranasal midazolam; Dallman 2001⁴⁹**

3 **Author(s):** Dallman 2001⁴⁹

4 **Question:** Should chloral hydrate vs. intranasal midazolam be used for paediatric sedation?

5 **Settings:** Dental

6

Quality assessment							Summary of findings				Quality	Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect			
							Chloral hydrate	intranasal midazolam	Relative (95% CI)	Absolute		
Recovery Time												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	24/31 (77.4%)	30/31 (96.8%)	RR 49.00 (3.11 to 771.67)	1000 more per 1000 (from 1000 more to 1000 more)	LOW	

1 **Table 41: Chloral hydrate vs. general anaesthesia; Thompson 1982** ²¹³

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Author(s): Thompson 1982²¹³

Question: Should chloral hydrate vs. GA be used in paediatric sedation?

Settings: CT

Quality assessment							Summary of findings				Quality	Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect			
							Chloral hydrate	GA	Relative (95% CI)	Absolute		
Complete procedure												
1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision	none	85/101 (84.2%)	101/101 (100%) 0%	RR 0.84 (0.77 to 0.92)	160 fewer per 1000 (from 80 fewer to 230 fewer) 0 fewer per 1,000	LOW	
Induction time (range of scores: 25-55; Better indicated by less)												
1	randomised trial	very serious ²	no serious inconsistency	no serious indirectness	no serious imprecision	none	101	101	³	MD 30 ³	LOW	
Duration of procedure (range of scores: 48-80; Better indicated by less)												
1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision	none	101	101	⁴	MD 32 ⁴	LOW	

¹ Inadequate randomisation, allocation concealment. No blinding. Distribution of ages not equal: 203 infants 0-1month, 82 children ages 1-2 years and remaining equally divided between years 2-0 years.

² No explanation was provided

³ -Reported mean per group: 55 minutes vs. 25 minutes

⁴ Reported mean per group: 48 minutes vs. 80 minutes

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1 **Table 42: Chloral hydrate vs. music therapy; Lowey 2005¹⁵⁰**

2 **Author(s):** Loewy 2005¹⁵⁰
 3 **Question:** Should chloral hydrate vs. music therapy be used in paediatric sedation?
 4 **Settings:** EEG
 5

Quality assessment							Summary of findings					Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect		Quality	
							Chloral hydrate	music therapy	Relative (95% CI)	Absolute		
Complete procedure												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	12/24 (50%)	33/34 (97.1%)	RR 0.52 (0.34 to 0.77)	466 fewer per 1000 (from 223 more to 1000 fewer)	LOW	
Induction time(measured with: minutes; range of scores: 23-32; Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	12	33	³	MD 9.0 ³	LOW	
Total time (measured with: minutes; range of scores: 66-226; Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	12	33	⁴	MD 160 ⁴	LOW	

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7
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¹ Generation code and allocation concealment not described. Study was unblinded.
² Small sample size
³ Reported mean per group: 23 minutes vs. 32 minutes
⁴ Reported p<0.001; mean per group: 66 minutes vs. 226 minutes

1 **COMBINATION COMPARISONS**

2 **Table 43: Chloral hydrate/hydroxyzine vs. midazolam/acetaminophen; Reeves 1996^{49,189}**

3 **Author(s):** Reeves, 1996¹⁸⁹
 4 **Question:** Should chloral hydrate/hydroxyzine vs. midazolam/acetaminophen be used in paediatric sedation?
 5 **Settings:** Dental
 6

Quality assessment							Summary of findings				Quality	Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect			
							Chloral hydrate/hydroxyzine	Midazolam /acetaminophen	Relative (95% CI)	Absolute		
Distress by Houpt score (range of scores: -; Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	20	20	-	MD -0.10 (-0.83 to 0.63)		

7 ¹ Generation code and allocation concealment not described
 8 ² Small sample size. Assessment has elements of subjectivity.

1 **DOSE COMPARISONS**

2 **Table 44: High dose vs. low dose chloral hydrate; Houpt 1985⁹⁷**

3

4 **Author(s):** Houpt 1985⁹⁷
 5 **Question:** Should High dose chloral hydrate vs. Low dose chloral hydrate be used for sedation in children?
 6 **Settings:** Dental
 7

Quality assessment							Summary of findings				Quality	Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect			
							High dose chloral hydrate	Low dose chloral hydrate	Relative (95% CI)	Absolute		
Completion of procedure												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	13/17 (76.5%)	16/17 (94.1%)	RR 0.81 (0.61 to 1.09)	179 fewer per 1000 (from 367 fewer to 85 more)	LOW	
								0%		0 fewer per 1,000		
Induction time (measured with: minutes; range of scores: 9-24; Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	17	17	-	MD 15 (0 to 0)	LOW	

¹ Randomisation and allocation concealment not described.

² Small sample size (<20 patients per group)

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1 **Table 45: Intermediate dose chloral hydrate vs. high dose chloral hydrate; Marti-Bonmati 1995¹⁵⁹**

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Author(s): Marti-Bonmati et al, 1995¹⁵⁹

Question: Should intermediate dose chloral hydrate vs. high dose chloral hydrate be used for sedation in children?

Settings: MRI

Quality assessment							Summary of findings					Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect		Quality	
							Intermediate dose chloral hydrate	High dose chloral hydrate	Relative (95% CI)	Absolute		
Completion of procedure												
1	randomised trial	serious	no serious inconsistency	no serious indirectness	no serious imprecision	none	46/50 (92%)	47/47 (100%)	RR 0.92 (0.84 to 1.01)	80 fewer per 1000 (from 160 fewer to 10 more)	MODERATE	
Length of induction time (measured with: minutes; range of scores: -; Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision	none	50	47	-	MD 7 (6.38 to 7.62)	MODERATE	
Recovery time (measured with: minutes; range of scores: -; Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision	none	50	47	-	MD -8.00 (-10.2 to -5.8)	MODERATE	
All adverse events												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision	none	10/50 (20%)	10/47 (21.3%)	RR 0.94 (0.43 to 2.05)	13 fewer per 1000 (from 121 fewer to 224 more)	MODERATE	

¹ Method of randomisation and allocation concealment not adequately described.

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7
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1 **6.5.2.2 Non RCT safety(adverse events) for chloral hydrate**

2 Nine non RCT observational studies with greater than 300 subjects (total n= 5,188)
3 assessed the safety of chloral hydrate¹⁹⁴. There were six prospective reviews and three
4 retrospective studies conducted primarily for imaging procedures (7) as well as one
5 dental and one ophthalmic study.

6 The non RCT study characteristics for chloral hydrate are presented in Table 46.

7 The non RCT adverse event data for chloral hydrate is presented in Table 48.

8

1 **Table 46: Chloral hydrate Non RCT study characteristics safety review**

Study	Setting	ASA	Sedation type	Gender, % male	Drug (doses)	Fasting
<i>Prospective Cohort</i>						
Ronchera-Oms et al ¹⁹⁴ Spain	MRI	Not stated	Chloral hydrate for imaging	55% male	Chloral hydrate syrup 70 mg/ml	Permitted oral fluids before examination
Napoli et al ¹⁷¹ USA	Echocardiography	Not stated	Chloral hydrate for imaging	Not stated	Median dose of chloral hydrate was 77 mg/kg	Not stated
Greenberg et al ⁸³ USA	CT	Not stated	Chloral hydrate for imaging	63% male	100 mg/kg in a single dose with maximum of 2 grams	Not stated
Greenberg et al ⁸⁴ USA	MRI	Not stated	Chloral hydrate for imaging	Not stated	100 mg/kg	Not stated

Study	Setting	ASA	Sedation type	Gender, % male	Drug (doses)	Fasting
Malviya et al ¹⁵⁶ USA	MRI	72% ASA I; 27% ASA II and 1% as ASA III.	Sedation to facilitate outpatient diagnostic imaging procedures	53% male	64 ± 13 mg/kg chloral hydrate	Not stated
Fox et al ⁶⁹ USA	Ophthalmic examination	Not stated	Chloral hydrate for ophthalmic procedures in infants and young children	Not stated	80-100 mg/kg chloral hydrate not to exceed 3 g.	NPO for 4 hours prior to administration of chloral hydrate
Retrospective Studies					100 mg/kg chloral hydrate	
Heistein et al ⁹² USA*	Echocardiography	7.3% ASA I; 54.4% ASA II; 37.4% ASA III and 0.8% ASA IV	Chloral hydrate sedation for echocardiography	Not stated	Oral chloral hydrate (80 mg/kg, maximum 1 g)	Infants less than 6 months could receive formula and solids for up to 6 hours, breast milk for up to 4 hours and clear liquids for up to hours before sedation. Children 6 months or older could receive solids and liquids for up to 6 hours and clear liquids for up to 2 hours before sedation
Cortellazzi ⁴³ Italy	MRI	Not stated	Level 3 on Skeie Scale – asleep but easily aroused	61% male	50 – 100 mg/kg to a maximum dose of 1.5 g/kg	Determined according to the ASA recommendations

Study	Setting	ASA	Sedation type	Gender, % male	Drug (doses)	Fasting
Needleman et al ¹⁷³ USA	Dental	ASA I or I	Conscious sedation	56% male	Average dose of chloral hydrate 776 mg (55 mg/kg)	'Pre-operative dietary restrictions'

1 *In this study potential risk factors were assessed for their association with adverse events. Univariate analysis identified age younger than
 2 6 months, cyanotic heart disease and hospitalization at the time of the study as significant risk factors. Multivariate analysis identified only
 3 age younger than 6 months as a significant independent risk factor for the occurrence of an adverse event.

1 **Table 47:** Chloral hydrate safety: RCTs and Non RCTs (n = >300 patients)

Study type, reference, country	Drug / Comparison	Procedure	Age	Total N	ADVERSE EVENTS, rate: % (n)								GRADE PROFILE
					Aspiration	Respiratory intervention			Cardiac arrest requiring either/or		vomiting	oxygen saturation <90%	EVIDENCE QUALITY
						oral-pharyngeal airway	endotracheal intubation	assisted ventilation	external cardiac massage	defibrillation			
Prospective Cohort studies													
Ronchera-Oms et al ¹⁹⁴ Spain	Chloral hydrate	MRI	Mean age 41 ± 30 months	596							6.9% (41)	0	VERY LOW
Napoli et al ¹⁷¹ USA	Chloral hydrate	Echocardiography	3 weeks to 14 years; median age 13 months	405							6% (23)	6% (24) defined as greater than 5% drop from baseline in these children with heart disease	VERY LOW
Greenberg et al ⁸³ USA	Chloral Hydrate: high dose of 80-100 mg/kg	CT	Mean age 2.18 years	326	1 aspiration of secretions by child with severe mental retardation		2 due to obstruction of the airway by the tongue. One child was profoundly retarded.				4.3% (14)		VERY LOW
Greenberg et al ⁶⁴ USA	Chloral hydrate	MRI	1 month – 11 years	300							4% (12)		VERY LOW
Malviya et al ¹⁵⁶ USA	Chloral hydrate	MRI/CT	3.8 ± 3.4 years	336							3% (8) has 'GI effects' in hospital; 26% (78) had 'GI' effects at home		VERY LOW
Fox et al ⁶⁹	Chloral hydrate	Ophthalmic exam-	1 month - 5	302							0	0	VERY LOW

Study type, reference, country	Drug / Comparison	Procedure	Age	Total N	ADVERSE EVENTS, rate: % (n)								GRADE PROFILE
					Aspiration	Respiratory intervention			Cardiac arrest requiring either/or		vomiting	oxygen saturation <90%	EVIDENCE QUALITY
						oral-pharyngeal airway	endotracheal intubation	assisted ventilation	external cardiac massage	defibrillation			
USA		ination	years										
Retrospective Studies													
Heistein et al ⁹² USA*	Chloral hydrate	Echocardiography	Birth to 64 months	1095 38% were ASA 3 or 4; 88% had detectable heart disease; 78% received a single agent and 22% received >1 medication	0	0.3% (3) required oral or nasal suctioning	0.1% (1) required intubation	0.1% (1) required bag-mask ventilation			0.4% (4)	5.9% (65) defined as greater than 10% drop from baseline in these children with heart disease	VERY LOW
Cortellazzi et al ⁴³ Italy	Chloral hydrate	MRI	Mean age 28.2 ± 18.1 months	888 procedures using chloral hydrate alone for MRI in neurologically impaired children			0	0			0.2% (n=2)	0.5% (n=4)	VERY LOW
Needleman et al ¹⁶⁹ USA	Chloral hydrate	Dental	Mean age 2.6 years	336							8.1%(27)		VERY LOW

1 *In this study potential risk factors were assessed for their association with adverse events. Univariate analysis identified age younger than
2 6 months, cyanotic heart disease and hospitalization at the time of the study as significant risk factors. Multivariate analysis identified only
3 age younger than 6 months as a significant independent risk factor for the occurrence of an adverse event.

1 **6.5.3 Evidence statements for chloral hydrate**

2 **6.5.3.1 RCT efficacy and safety for chloral hydrate**

3 **PLACEBO COMPARISONS**

4 **Chloral hydrate vs. placebo**

5 *Haupt 1989*⁹⁶

6 No efficacy outcomes of interest were reported in this study. One adverse event
7 outcome of interest was reported in this study.

8 There was no significant difference in:

- 9 • Number of children who vomited [low quality evidence]

11 **HEAD TO HEAD COMPARISONS**

12 **Chloral hydrate vs. intranasal midazolam**

13 *Dallman 2001*⁴⁹

14 Compared to intranasal midazolam, the chloral hydrate group had significantly:

- 15 • Longer recovery time [low quality evidence]

17 **Chloral hydrate vs. GA**

18 *Thompson 1982*²¹³

19 Compared to GA, the chloral hydrate group had significantly:

- 20 • Fewer completed procedures [low quality evidence]
- 21 • Longer induction time [low quality evidence]
- 22 • Shorter procedure time; [low quality evidence]

24 **Chloral hydrate vs. music therapy**

25 *Loewy 2005*¹⁵⁰

26 Compared to music therapy, the chloral hydrate group had significantly:

- 27 • Fewer completed procedures [low quality evidence]
- 28 • Longer total time asleep [low quality evidence]

1 There was no significant difference in:

- 2 • Induction time [low quality evidence]

3

4 **COMBINED COMPARISONS**

5 **Chloral hydrate + hydroxyzine vs. midazolam + acetaminophen**

6 *Reeves 1996^{49,189}*

7 There was no significant difference in:

- 8 • Distress scores [low quality evidence]

9

10 **DOSE COMPARISONS**

11 **High dose vs. low dose chloral hydrate**

12 *Haupt 1985⁹⁷*

13 Compared to low dose chloral hydrate (mean 708 mg), the high dose chloral hydrate
14 (mean 1062 mg) group had significantly:

- 15 • Fewer procedure failures [low quality evidence]
- 16 • Shorter induction time [low quality evidence]

17

18 **Intermediate dose chloral hydrate vs. high dose chloral hydrate**

19 *Marti-Bonmati 1995¹⁵⁹*

20 In this study, sedation was judged a failure if the MRI imaging study could not be
21 completed, if additional sedation other than chloral hydrate was required for completion
22 of the study or if fewer than 95% of the images were acceptable.

23 Compared to intermediate dose chloral hydrate (70 mg/kg), the high dose chloral
24 hydrate (96 mg/kg) group had significantly:

- 25 • Less induction time [moderate quality evidence]

26 There was no significant difference in:

- 27
- 28 • Completion of MRI [moderate quality evidence]
- 29 • Recovery time [moderate quality evidence]

- 1 • Total adverse events (events were not reported individually) [moderate quality
2 evidence]

3

4 **6.5.3.2NON-RCT safety (adverse events) for chloral hydrate**

5 For the characteristics of studies and outcome data on chloral hydrate refer to Table
6 46and Table 48.

- 7 • One prospective study⁸³ of high dose chloral hydrate reported 1 aspiration of
8 secretions by child with severe mental retardation and 2 endotracheal intubations
9 due to obstruction of the airway by the tongue. One child was profoundly
10 retarded.
- 11 • One retrospective study⁹² reported that 0.3% of children receiving chloral
12 hydrate required oral or nasal suctioning, 0.1% required intubation and 0.1%
13 required bag-mask ventilation.
- 14 • No respiratory events were reported in seven studies.
- 15 • No cardiac events were reported in nine studies.
- 16 • The mean vomiting rate for 9 non RCT observational studies of chloral hydrate
17 was 4.1%^{43,69,83,84,92,156,171,173,194}. One study¹⁵⁶ reported that 26% (78) of
18 patients had 'GI' effects at home.
- 19 • One study¹⁷¹ reported oxygen saturation drop greater than 5% from baseline in
20 6% of patients.
- 21 • One study⁹² reported oxygen saturation drop greater than 10% from baseline in
22 5.9% of patients.
- 23 • One study⁴³ reported 0.5% rate of oxygen saturation <90%.

24

25 **6.5.4 GDG discussion of the evidence for chloral hydrate**

26 Chloral hydrate is an oral drug and unfortunately causes nausea and vomiting when
27 large volumes of the drug are used. The GDG agreed that chloral hydrate is therefore
28 likely to be less successful in larger children. Some GDG members thought more than 1g
29 of chloral hydrate may be vomited and hence be unsuccessful. This may explain why
30 choral is thought to be more effective in smaller children.

31 The GDG considered 14 studies^{43,49,69,83,84,92,97,150,156,159,171,189,194,213} of chloral hydrate
32 used alone; two others^{96,173} were of chloral hydrate combined with other drugs. Ten of
33 these studies were in children undergoing painless procedures; five for dental
34 treatment^{49,96,97,173,189} and one for ophthalmic examination⁶⁹. Of the painless procedure
35 studies, five were for MRI^{43,84,156,159,194} and two for CT imaging^{83,213}.

36 Two RCTs^{159,213} were found for painless imaging. One study¹⁵⁹ showed that high dose
37 chloral hydrate was not more effective than low dose for MRI but that high dose chloral

1 hydrate caused shorter onset of sedation (the evidence level was moderate). The other
2 study²¹³ showed that anaesthesia was more effective than chloral hydrate for CT
3 imaging (the evidence level was low). The other studies were non-RCT.

4 The GDG concluded that uncooperative children needed to be asleep for imaging and
5 that high doses of chloral hydrate were successful in approximately 90% of children
6 under 15kg. High doses were likely to be more reliable than low doses.

7 The GDG debated as to what sedation level was achieved by chloral hydrate in the
8 painless imaging setting. The GDG noted that the doses of chloral hydrate used caused
9 the children to sleep and, because the success of the scanning required them to be
10 immobile and undisturbed, the true sedation level achieved was uncertain. The GDG
11 members appreciated that all children in the evidence studies were likely to be either
12 moderately or deeply sedated. Nevertheless the GDG agreed that unconsciousness was
13 possible and that appreciable airway and breathing effects could be caused in a small
14 percentage of children. These problems were uncommon but were reported. In one
15 cohort study⁸³ a child with severe mental retardation suffered pulmonary aspiration
16 during sedation.

17 The disadvantages of chloral hydrate are that it is administered as a single oral dose,
18 that it cannot therefore be titrated, and that its effect is variable in terms of depth of
19 sedation, and its onset and recovery times. However there are potential economic
20 advantages of chloral hydrate if its success rate is high enough because anaesthesia
21 resources may be saved (both techniques are equally safe).

22 There was evidence of chloral hydrate being used in other settings. Chloral hydrate
23 combined with nitrous oxide was shown in one study⁹⁶ to be more effective than nitrous
24 oxide alone in young children having dental treatment. This combination however was
25 associated with vomiting in 10% of cases.

26 Chloral hydrate was also useful for calming small irritable children for echocardiography
27 and in this setting the GDG appreciated that anaesthesia would not usually be
28 appropriate.^{92,171}

29 The GDG noted that small children could be sedated successfully with chloral hydrate for
30 eye examination. In another study¹⁵⁰ the GDG noted that children could be calmed for
31 EEG studies more effectively by music rather than chloral hydrate however the GDG
32 thought that this was an unusual setting and that children having EEG are not required to
33 be immobile

34 The GDG agreed that economic analysis should be conducted only for sedation
35 techniques commonly available in the NHS. Economic analysis was conducted for six
36 broad groups (dental procedure in children, dental procedure in adolescents, short
37 painful procedures, painless imaging, oesophago-gastroscopy and colonoscopy). Chloral
38 hydrate was felt to be effective and safe. It is commonly used in painless imaging in the
39 NHS. The GDG therefore agreed that this strategy should be included in the economic
40 analysis conducted for patients undergoing painless imaging. Details of the
41 considerations of cost-effectiveness with respect to using chloral hydrate in painless
42 imaging are given in section 6.1.2.2.2.

1

2 **6.6 Triclofos sodium**

Matrix of triclofos sodium comparators			
<p>Key:</p> <p>Chloral hydrate = CH Fentanyl = F Isoflurane = I Ketamine=K Local anaesthesia = LA Midazolam = M Nitrous oxide = N₂O Nitrous oxide and oxygen = N₂O+O₂ Opioids = O Propofol= P Sevoflurane = S Triclofos sodium = TS</p>			
Triclofos sodium vs			
	Reference	Tables	Evidence statements page
Placebo			
Nil			
Head to head			
TS vs M	Singh 2002 ²⁰⁵	Table 48	240
Combinations			
Nil			
Safety			
RCTs	-		
Observational studies	Nil		
Route of administration			
Nil			
Dose			
Nil			

1 **6.6.1 Clinical methodological introduction for triclofos sodium**

2 **CLINICAL QUESTIONS:**

3 For children and young people under the age of 19 undergoing diagnostic or therapeutic
4 procedures, is triclofos sodium (with or without: analgesia, another drug or psychological
5 techniques):

6 - Effective for sedation (at minimal, moderate, and deep levels) in comparison with usual care,
7 with analgesia alone, with another sedation drug, with psychological techniques or with
8 general anaesthesia?

9 - Safe for sedation (at mild, moderate, and deep levels) in different settings?

10 The literature was searched for systematic reviews RCTs for the clinical efficacy of
11 triclofos sodium. The search was expanded to include non RCT observational studies for
12 the safety of triclofos sodium.

13 There were no systematic reviews identified for the use of triclofos sodium in paediatric
14 sedation.

15 One RCT was found that compared triclofos sodium with midazolam. Whilst efficacy
16 data was reported safety data was not. There were no non-RCT observational studies
17 assessing the safety of triclofos sodium.

18 Meta-analyses were not performed as there was only one RCT.

19

20 **6.6.2 Evidence profiles**

21 ***6.6.2.1 RCT evidence profiles for efficacy and safety***

22 Study characteristics and methodological quality of the study are provided in Appendix
23 D. GRADE tables for quality assessment of study outcomes and summary of findings are
24 provided below.

25

1 **HEAD to HEAD COMPARISONS**

2 **Table 48: Oral triclofos sodium vs. oral midazolam; Singh 2002²⁰⁵**

3
4 **Question:** Should oral triclofos sodium vs. oral midazolam be used in children and young people undergoing diagnostic and therapeutic procedures?
5 **Settings:** Dental
6 **Bibliography:** Singh 2002²⁰⁵

Quality assessment							Summary of findings				Quality	Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect			
							oral triclofos sodium	oral midazolam	Relative (95% CI)	Absolute		
Completion of procedure												
1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision	none	30/30 (100%) ²	30/30 (100%)	not estimable	-	LOW	
Induction time (Better indicated by less)												
1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision	none	30	30	-	MD 16.10 (14.09 to 18.11) ³	LOW	
Recovery time: when the patient was able to sit or stand alone with minimal assistance (Better indicated by less)												
1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision	none	30	30	-	MD 38.23 (31.52 to 44.94) ³	LOW	

¹ Singh 2002²⁰⁵: patients and outcome assessors blinded however concealment, ITT and attrition details not stated; small study

² Singh 2002²⁰⁵: ease of treatment completion rated as 1-excellent, 2-difficult and 3-impossible; study stated that treatment was most convenient for midazolam group than for triclofos group. Difficulty in treatment was significantly more for the group of promethazine than for midazolam (p<0.01) and for triclofos (p<0.05)

³ Singh 2002²⁰⁵: p<0.00001

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1 **6.6.2.2 Non RCT evidence profiles for safety for triclofos sodium**

2 There were no non RCT observational studies of triclofos sodium.

3

4 **6.6.3 Evidence statements for triclofos sodium**

5 **RCT efficacy and safety for triclofos sodium**

6 **HEAD to HEAD COMPARISONS**

7 **Oral triclofos sodium vs. oral midazolam**

8 *Singh 2002*²⁰⁵

- 9
 - All patients completed the procedure [low quality evidence]

10 Compared with the oral midazolam group, the oral triclofos sodium group had
11 significantly:

- 12
 - Longer induction time [low quality evidence]
 - Slower recovery time [low quality evidence]

14

15 **Non RCT safety (adverse events) for triclofos sodium**

16 There were no non RCT observational studies of triclofos sodium.

17

18 **6.6.4 GDG discussion of the evidence for triclofos sodium**

19 Only one study²⁰⁵ of triclofos was found and it compared triclofos with midazolam for
20 dental procedures. The GDG noted that triclofos was not effective in this setting and also
21 that the quality of evidence was very low.

22 The GDG noted that the properties of triclofos and chloral hydrate were similar and that
23 triclofos may cause less gastric irritation. The GDG discussed the potential advantages of
24 triclofos but without evidence this drug could not be recommended as more effective than
25 chloral hydrate.

26 The GDG felt that triclofos sodium is not among the sedation drugs commonly used in the
27 NHS, and decided that it should not be included in the economic analysis.

28

1 **6.7 Nitrous Oxide**

Matrix of nitrous oxide comparators			
<p>Key:</p> <p>Chloral hydrate = CH Fentanyl = F Isoflurane = I Ketamine=K Local anaesthesia = LA Midazolam = M Nitrous oxide = N₂O Nitrous oxide and oxygen = N₂O+O₂ Opioids = O Propofol= P Sevoflurane = S Triclofos sodium = TS</p>			
Nitrous oxide vs			
	Reference	Tables	Evidence statements page
Placebo			
N ₂ O vs Oxygen	McCann 1996 ¹⁶¹ Primosch 1999 ¹⁸⁷	Table 49 Table 50	258 258
N ₂ O vs nitrogen and oxygen	Fauroux 2004 ⁶⁴	Table 51	Error! Bookmark not defined.
Head to head			
N ₂ O vs Behavioural management	Veerkamp 1993 ²²⁴ Veerkamp 1995 ²²²	Table 52	258
N ₂ O vs Midazolam	Wilson 2007 ²³⁵ Wilson 2003 ²³¹ Wilson 2006 ²³² Wilson 2002 ²³³ Wilson 2002 ²³⁴	Table 53 Table 54 Table 55	259 259 259
N ₂ O + EMLA vs EMLA	Ekbom 2005 ⁵⁸	Table 56	260
Combinations			
N ₂ O + M vs air + M	Averley 2004 ²⁰	Table 57	260
N ₂ O + M vs S + N ₂ O + M	Averley 2004 ²⁰	Table 58	261
N ₂ O + M + S vs air +	Averley 2004 ²⁰	Table 59	261

M			
Safety			
RCTs			
Desaturation	Primosch 1999 ¹⁸⁷	Table 60 Table 61	261
Observational studies	Babl 2008 ²¹ Gall 2001 ⁷³ Faddy 2005 ⁶¹	Table 60 Table 61	261
Route of administration			
Nil			
Dose			
Nil			

1 **6.7.1 Clinical methodological introduction for nitrous oxide**

2 **CLINICAL QUESTIONS:**

3 For children and young people under the age of 19 undergoing diagnostic or
 4 therapeutic procedures, is nitrous oxide (with or without: analgesia, another drug or
 5 psychological techniques):

6 - effective for sedation (at minimal, moderate, and deep levels) in comparison with usual
 7 care, with analgesia alone, with another sedation drug, with psychological techniques or
 8 with general anaesthesia?

9 - safe for sedation (at mild, moderate, and deep levels) in different settings?

10 The literature was searched for systematic reviews and RCTs for the clinical efficacy and
 11 safety of nitrous oxide. The search was expanded to include observational studies for
 12 the safety of nitrous oxide.

13 No systematic reviews were identified for the use of nitrous oxide in paediatric sedation.

14 There were no placebo controlled trials identified.

15 Twelve RCTs met the inclusion criteria for the review of the efficacy of nitrous oxide.

16 Four RCTs met the inclusion criteria for the review of the safety of nitrous oxide.

17 Three non RCTs assessed the safety of nitrous oxide in a total of 8,220 patients.

18 Meta-analysis were performed if comparisons and outcome measures were sufficiently
 19 homogenous to calculate a meaningful summary statistic^{222,224,232-234}.

20

21

22 **6.7.2 Evidence profiles for nitrous oxide**

23 ***6.7.2.1 RCT evidence profiles for efficacy and safety for nitrous oxide***

24 Study characteristics and methodological quality of the study are provided in Appendix
 25 D. GRADE tables for quality assessment of study outcomes and summary of findings are
 26 provided below.

27

PLACEBO COMPARISONS

Table 49: Nitrous oxide vs. oxygen; McCann 1996¹⁶¹

Author(s): McCann 1996¹⁶¹

Question: 50% nitrous oxide vs. 100% oxygen for sedation in children

Settings: Dental

Quality assessment							Summary of findings				Quality	Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect			
							50% nitrous oxide	100% oxygen	Relative (95% CI)	Absolute		
Quiet behaviour on OSUBRS												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	19/20 (95%)	15/20 (75%)	RR 1.27 (0.96 to 1.66)	202 more per 1,000	LOW	

¹ Randomisation and allocation concealment not described

Table 50: Nitrous oxide vs. oxygen; Primosch 1999¹⁸⁷

Author(s): Primosch 1999¹⁸⁷

Question: 40% nitrous oxide vs. 100% oxygen for sedation in children

Settings: Dental

Quality assessment							Summary of findings				Quality	Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect			
							40% nitrous oxide	100% oxygen	Relative (95% CI)	Absolute		
Quiet behaviour on OSUBRS (measured with: OSBU ordinal scale; range of scores: -; Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious	none	22	22	³	not pooled ³	LOW	
Oxygen saturation (range of scores: -; Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	22 ⁴	22	⁴	MD 0.00 (-0.01 to 0.01) ⁴	LOW	

¹ Randomisation and allocation concealment not described.

² Small sample size.

³ RR behaviour scores not estimable due to use of an ordinal scale and incomplete statistical information; reported scores: 713 for N2O group and 630 for O2 group; reported p<0.001.

⁴ Values in the two groups were exactly the same, 99+ 0.01.

1 **Table 51: Nitrous oxide vs. nitrogen and oxygen; Fauroux 2004⁶⁴**

2 **Author(s):** Fauroux 2004⁶⁴
 3 **Question:** 50% nitrous oxide vs. 50% nitrogen & oxygen for sedation in children
 4 **Settings:** Broncoscopy
 5

Quality assessment							Summary of findings				Quality	Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect			
							50% nitrous oxide	50% nitrogen & oxygen	Relative (95% CI)	Absolute		
Completion of procedure												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	11/53 (20.8%)	32/52 (61.5%) 61.5%	RR 0.34 (0.19 to 0.6)	406 fewer per 1000 (from 246 fewer to 498 fewer) 405 fewer per 1,000	LOW	
Pain score: CHEOPS (range of scores; better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	53	53	-	MD -1.3 (-2.09 to -0.51)	LOW	
Pain: VAS for children >6 years (range of scores: Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	24	13	-	MD -28 (-34.44 to -21.56)	LOW	

¹ Randomisation and allocation concealment not described.

² Small sample size not adequate to achieve power calculation of 90%

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1 **HEAD TO HEAD COMPARISONS**

2 **Table 52: Nitrous oxide vs. behavioural management; Veerkamp 1993²²⁴; Veerkamp 1995²²²**

3 **Author(s):** Veerkamp 1993²²⁴; Veerkamp 1995²²²
 4 **Question:** Nitrous oxide vs. behavioural management for sedation in children
 5 **Setting:** Dental

Quality assessment							Summary of findings				Importance	
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect			Quality
							nitrous oxide	behavioural management	Relative (95% CI)	Absolute		
Anxiety (range of scores: -, Better indicated by less)												
2	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	50	51	-	MD -0.54 (-0.88 to -0.2)	VERY LOW	

¹ Randomisation method and allocation concealment not described. There was only partial blinding

² Two studies by same investigator with small sample sizes

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Table 53: Nitrous oxide vs. transmucosal midazolam; Wilson 2007²³⁵

Author(s): Wilson 2007²³⁵

Question: Nitrous oxide vs. transmucosal (buccal) midazolam for sedation in children

Settings: Dental

Quality assessment							Summary of findings				Quality	Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect			
							Nitrous oxide	transmucosal (buccal) midazolam	Relative (95% CI)	Absolute		
Length of induction (range of scores: Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	36	36	-	not pooled ³	LOW	
Duration of procedure (range of scores: Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	36	36	-	not pooled ⁴	LOW	
Total time (range of scores: Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	36	36	-	not pooled ⁵	LOW	
Patient preference												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	20/36 (55.6%)	10/36 (27.8%)	RR 2 (1.1 to 3.65)	277 more per 1,000	LOW	

¹ Single blind trial

² Small sample size. 80% power calculation required 40 subjects. Only 36 patients completed the study and were analysed.

³ Unable to calculate as SD not given: 7.1 mean minutes vs. 14.4 mean minutes; reported p <0.001

⁴ Unable to calculate as SD not given: 8.0 mean minutes vs. 10.1 mean minutes; reported p <0.001.

⁵ Unable to calculate as SD not given: 34.1 mean minutes vs. 64.7 mean minutes; reported p <0.001.

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1 **Table 54: Nitrous oxide vs. intravenous midazolam; Wilson 2003²³¹**

2 **Author(s):** Wilson 2003²³¹
 3 **Question:** Should IV midazolam vs. nitrous oxide be used for paediatric sedation?
 4 **Settings:**
 5 **Bibliography:**

Quality assessment							Summary of findings				Quality	Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect			
							IV midazolam	nitrous oxide	Relative (95% CI)	Absolute		
Duration of procedure (measured with: measured with median minutes; range of scores: -; Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	40	40	-	not pooled ³	LOW	
Length of induction (measured with: measured with median minutes; range of scores: -; Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	40	40	-	not pooled ⁴	LOW	
Total time (measured with: median minutes; range of scores: -; Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	40	40	-	MD 34.4 (36.42 to 32.38)	LOW	
Patient preference - number of patients												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	19/37 (51.4%)	14/37 (0%)	RR 1.36 (0.81 to 2.28)	0 more per 1,000	LOW	
Recovery time (range of scores: -; Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	40	40	-	MD 28.3 (26.10 to 30.80)	LOW	

¹ Unable to blind

² Small sample size

³ Results given as median times thus absolute effect could not be estimated; reported p<0.01

⁴ Results given as median times thus absolute effect could not be estimated; reported p<0.001

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1 **Table 55: Nitrous oxide vs. oral midazolam; Wilson 2006; Wilson 2002; Wilson 2002**²³²⁻²³⁴

2 **Author(s):** Wilson 2002, BDJ²³⁴ Wilson 2002 Anaesthesia²³⁵ Wilson 2006 Anaesthesia²³²
 3 **Question:** 30% nitrous oxide/70% oxygen vs. oral midazolam for sedation in children
 4 **Settings:** Dental
 5

Quality assessment							Summary of findings				Importance	
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect			Quality
							30% nitrous oxide/70% oxygen	oral midazolam	Relative (95% CI)	Absolute		
Induction time (range of scores: Better indicated by less)												
2	randomised trial	no serious limitations ¹	no serious inconsistency	no serious indirectness	serious ²	none	61	61	-	not pooled ³	MODERATE	
Recovery time (range of scores: Better indicated by less)												
1	randomised trial	no serious limitations ¹	no serious inconsistency	no serious indirectness	serious ²	none	26	26	-	not pooled ⁴	MODERATE	
Duration of procedure (range of scores: Better indicated by less)												
1	randomised trial	no serious limitations ¹	no serious inconsistency	no serious indirectness	serious ²	none	61	61	-	not pooled ⁵	MODERATE	
Total time (range of scores: Better indicated by less)												
2	randomised trial	no serious limitations ¹	no serious inconsistency	no serious indirectness	serious ²	none	61	61	-	not pooled ⁶	MODERATE	
Patient preference (Questionnaire)												
2	randomised trial	no serious limitations ¹	no serious inconsistency	no serious indirectness	serious ²	none	39/72 (54.2%)	41/73 (56.5%)	RR 0.97 (0.72 to 1.29)	16 fewer per 1,000	MODERATE	

¹ These were all randomised crossover trials. Trial data is combined where possible for 1-3 studies.

² Small sample size

³ Two studies^{232,234} reported mean (range) times and mean differences were not estimable; reported p<0.001 and p<0.0001 respectively. Another study²³³ reported induction times as median values , 5 [5-10] minutes for N2O compared to 20 [5-65] minutes for oral midazolam; reported p<0.001

⁴ Two studies^{232,234}: reported mean (range) times in Wilson 2002 and thus mean differences were not estimable; reported 20 minutes for N2O and 39.7 minutes for midazolam; p<0.0005. Wilson 2002²³³ reported median times: 5 [5-10] minutes for N2O compared to 20 [5-65] minutes for oral midazolam; p<0.001

⁵ Studies were not able to be combined to provide a summary statistic due to differences in data reporting and missing data^{232,234}

⁶ Two studies^{232,234} reported mean (range) times and mean differences were not estimable; reported p<0.001 and p<0.0005 respectively. Another study²³³ reported total time as median values , 35 [30-50] minutes for N2O compared to 100 [70-140] minutes for oral midazolam, p<0.001

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1 **Table 56: Nitrous oxide + EMLA vs. EMLA; Ekbom 2005⁵⁸**

2 **Author(s):** Ekbom 2005⁵⁸
 3 **Question:** Should Nitrous oxide + EMLA vs. EMLA be used for intravenous cannulation?
 4 **Settings:** Hospital
 5

Quality assessment							Summary of findings				Importance	
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect			Quality
							Nitrous oxide + EMLA	EMLA	Relative (95% CI)	Absolute		
Completion of procedure												
1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	25/25 (100%)	21/21 (0%)	RR 1.19 (0.99 to 1.43)	0 more per 1,000	VERY LOW	

6 ¹ Randomisation and allocation concealment not well explained. Blinding not possible.

7 ² Small study with no power calculations.

1 **COMBINATION COMPARISONS**

2 **Table 57: Nitrous oxide + IV midazolam vs. medical air + IV midazolam; Averley 2004²⁰**

3

4 **Author(s):** Averley 2004²⁰
 5 **Question:** 40% Nitrous oxide plus intravenous midazolam vs. medical air for sedation in children
 6 **Settings:** Dental
 7

Quality assessment							Summary of findings				Importance	
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect			Quality
							IV midazolam & 40% Nitrous oxide	medical air + IV midazolam	Relative (95% CI)	Absolute		
Completion of procedure: nitrous oxide vs. medical air												
1	randomised trial	no serious limitations	no serious inconsistency	no serious indirectness	serious ¹	none	204/256 (79.7%)	94/176 (53.4%)	RR 1.49 (1.28 to 1.74)	261 more per 1,000	MODERATE	
Pain by VAS score: nitrous oxide vs. medical air (range of scores: Better indicated by less)												
1	randomised trial	no serious limitations	no serious inconsistency	no serious indirectness	serious	none	204	94	-	MD 0 (-0.28 to 0.28)	MODERATE	
Recovery time: nitrous oxide vs. medical air (range of scores: Better indicated by less)												
1	randomised trial	no serious limitations	no serious inconsistency	no serious indirectness	serious ¹	none	204	94	-	MD -0.8 (-2.03 to 0.43)	MODERATE	
Anxiety: nitrous oxide vs. medical air (range of scores: Better indicated by less)												
1	randomised trial	no serious limitations	no serious inconsistency	no serious indirectness	serious ¹	none	204	94	-	MD 0 (-0.32 to 0.32)	MODERATE	

8 ¹ Greater than 20% did not complete intervention; greater in 1 group and this group of the study was discontinued
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Table 58: Nitrous oxide + IV midazolam vs. nitrous oxide + IV midazolam + sevoflurane and; Averley 2004²⁰

Author(s): Averley 2004²⁰

Question: 40% nitrous oxide plus intravenous midazolam vs. 0.3% sevoflurane and 40% nitrous oxide for sedation in children

Settings: Dental

Quality assessment							Summary of findings					Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect		Quality	
							IV midazolam & 40% nitrous oxide	0.3% sevoflurane and IV midazolam & 40% nitrous oxide	Relative (95% CI)	Absolute		
Completion of procedure												
1	randomised trial	no serious limitations	no serious inconsistency	no serious indirectness	serious ¹	none	204/256 (79.7%)	249/267 (93.3%)	RR 0.85 (0.8 to 0.92)	139 fewer per 1,000	MODERATE	
Pain: VAS scale (range of scores: -; Better indicated by less)												
1	randomised trial	no serious limitations	no serious inconsistency	no serious indirectness	serious ¹	none	204	249	-	MD 0 (-0.24 to 0.24)	MODERATE	
Recovery time (range of scores: -; Better indicated by less)												
1	randomised trial	no serious limitations	no serious inconsistency	no serious indirectness	serious ¹	none	204	249	-	MD -0.5 (-1.21 to 0.21)	MODERATE	
Anxiety (range of scores: -; Better indicated by less)												
1	randomised trial	no serious limitations	no serious inconsistency	no serious indirectness	serious ¹	none	204	249	-	MD 0 (-0.24 to 0.24)	MODERATE	

¹ 20% of nitrous oxide group failed to complete procedure and are not included in further analysis.

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1 **Table 59: Nitrous oxide + midazolam + sevoflurane vs. medical air + midazolam; Averley 2004²⁰**

2 **Author(s):** Averley 2004²⁰

3 **Question** 40% nitrous oxide and 0.3% sevoflurane & plus intravenous midazolam vs. medical air for sedation in children

4 **Settings:** Dental

5

Quality assessment							Summary of findings				Importance	
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect			Quality
							IV midazolam & 3%sevoflurane and 40% nitrous oxide	medical air	Relative (95% CI)	Absolute		
Completion of procedure												
1	randomised trial	no serious limitations	no serious inconsistency	no serious indirectness	serious ¹	none	249/267 (93.3%)	94/174 (54%)	RR 1.73 (1.5 to 1.99)	394 more per 1,000	MODERATE	
Pain: VAS scale (range of scores: Better indicated by less)												
1	randomised trial	no serious limitations	no serious inconsistency	no serious indirectness	serious ¹	none	249	94	-	MD 0.4 (-0.31 to 0.31)	MODERATE	
Recovery time (range of scores: Better indicated by less)												
1	randomised trial	no serious limitations	no serious inconsistency	no serious indirectness	serious ¹	none	249	94	-	MD -0.3 (-1.82 to 1.22)	MODERATE	
Anxiety (range of scores: Better indicated by less)												
1	randomised trial	no serious limitations	no serious inconsistency	no serious indirectness	serious ¹	none	249	94	-	MD 0.8 (-0.31 to 0.31)	MODERATE	

6 ¹ Greater than 20% of children did not complete procedure and group 1 (medical air) was terminated. Secondary analyses done only for those completing procedure.

1 **6.7.2.2 Non RCT safety (adverse events) for nitrous oxide**

2 Three non RCT observational studies assessed the safety of nitrous oxide in a total of
3 8,220 patients. Two prospective cohort studies with greater than 100 subjects
4 specifically assessed the safety of nitrous oxide^{21,73}. One systematic review which
5 contained information from two relevant paediatric RCTs was also included⁶¹.

6 The non RCT study characteristics for nitrous oxide are presented in Table 60.

7 The non RCT adverse event table for nitrous oxide are presented in Table 61.

8

1 **Table 60: Nitrous oxide Non RCT study characteristics. Safety review**

Study	Setting	ASA	Sedation type	Gender, % male	Drug (doses)	Fasting
Prospective Cohort						
Babl et al, 2008 ²² Australia	Tertiary children's hospital emergency department		Procedural sedation	60%	70% nitrous oxide – 72% patients 50% nitrous oxide – 28%	2 hours
Gall et al, 2001 ⁷³ France	French hospitals; records of paediatric procedures		Procedural sedation with 50% nitrous oxide		50% nitrous oxide	
Retrospective Systematic Review- 12 RCTs (2 paediatric studies with outcomes of interest)						
Faddy & Garlick, 2005 ⁶¹ Australia	Paediatric Emergency Department Laceration repair; fracture reduction		Procedural sedation		50% nitrous oxide	

1 **Table 61: Nitrous oxide safety: Non RCT**

Study type, reference, country	Drug / Comparison	Procedure	Age	Total N	ADVERSE EVENTS, rate: % (n)								GRADE PROFILE
					Aspiration	Respiratory intervention			Cardiac arrest requiring either/or		vomiting	oxygen saturation <90%	EVIDENCE QUALITY
						oral-pharyngeal airway	endotracheal intubation	assisted ventilation	external cardiac massage	defibrillation			
Prospective Cohort studies													
Babel et al, 2008 ²¹ Australia	70% nitrous oxide	Emergency procedures	0-18 years	72% (548)							4.7% (26/548)	0.18% (1/548)	VERY LOW
	50% nitrous oxide			13% (101)								3.9% (4/101)	0
Gall et al, 2001 ⁷³ France	50% nitrous oxide	Emergency procedures including laceration repair, fracture reduction, cast remodelling, abscess drainage, lumbar puncture, dressing changes, bone-marrow aspiration, flexible bronchoscopy, gastroscopy, venous puncture and	<19 years	7511* Adverse events reported as 'major' or 'minor' (terms not defined). 375 minor events (5%) and 25 major events (0.3%) All major events resolved within minutes after discontinuation of nitrous oxide. No patient needed		0	0	0					VERY LOW

		other miscellaneous procedures		intervention to maintain their airway.									
Retrospective Systematic Review- 12 RCTs (2 paediatric studies with outcomes of interest)													
Faddy & Garlick, 2005 ⁶¹ Australia	50% nitrous oxide	Laceration repair; fracture reduction;	Mean age Study 1 (Burton et al, 1998): 3.7 (SD 1.6) years. Study 2 (Evans et al 1995) 10 (4-15) years	60							0	0	VERY LOW

1

1 **6.7.3 Evidence statements for nitrous oxide**

2 **6.7.3.1 RCT efficacy and safety for nitrous oxide**

3 **PLACEBO COMPARISONS**

4 **Nitrous oxide vs. oxygen**

5 *McCann 1996*¹⁶¹

6 There was no significant difference in:

- 7
 - Quiet behaviours [low quality evidence]

9 **Nitrous oxide vs. oxygen**

10 *Primosch 1999*¹⁸⁷

11 Compared to 100% oxygen, the nitrous oxide group had significantly:

- 12
 - More quiet behaviours [low quality evidence]

13 There was no significant difference between nitrous oxide/oxygen vs. 100% oxygen
14 groups for the following variable:

- 15
 - Oxygen saturation [low quality evidence]

17 **Nitrous oxide vs. nitrogen and oxygen**

18 *Fauroux 2004*⁶⁴

19 Compared to 50% nitrogen and oxygen, the nitrous oxide group had significantly:

- 20
 - Fewer procedure failures [low quality evidence]
- 21
 - Less pain immediately after the procedure as measured on the CHEOPS
22 scale [low quality evidence]
- 23
 - Less pain (children >6 years old) immediately after the procedure as
24 measured on a VAS scale [low quality evidence]

26 **HEAD TO HEAD COMPARISONS**

27 **Nitrous oxide vs. behavioural management**

28 *Veerkamp 1993*²²⁴; *Veerkamp 1995*²²²

1 Two studies by the same authors with similar research methods and outcomes were meta-
 2 analysed. Anxiety was the only outcome of interest measured in this study. Behavioural
 3 observations were made using the Venham clinical rating scale.

4 Compared with behavioural management, the nitrous oxide group had significantly

- 5 • Less anxiety than the behavioural management group [very low quality
 6 evidence]

7

8 **Nitrous oxide vs. transmucosal midazolam**

9 *Wilson 2007*²³⁵

10 Compared to transmucosal midazolam, the nitrous oxide group had significantly:

- 11 • Less induction time [low quality evidence]
- 12 • Less procedure time [low quality evidence]
- 13 • Less total time [low quality evidence]
- 14 • More patients preferred nitrous oxide sedation [low quality evidence]

15

16 **Nitrous oxide vs. IV midazolam**

17 *Wilson 2003*²³¹

18 Compared to IV midazolam, the nitrous oxide group had significantly:

- 19 • Shorter induction time [low quality evidence]
- 20 • Shorter procedure time [low quality evidence]
- 21 • Shorter total time [low quality evidence]
- 22 • Shorter recovery time [low quality evidence]

23 There was no significant difference in:

- 24 • Patient preference [low quality evidence]

25

26 **Nitrous oxide vs. oral midazolam**

27 *Wilson 2006; Wilson 2002; Wilson 2002*²³²⁻²³⁴

28 Compared to oral midazolam, the nitrous oxide group had significantly:

- 1 • Shorter induction time [moderate quality evidence]
- 2 • Shorter procedure time in one study [moderate quality evidence]
- 3 • Shorter recovery time [moderate quality evidence]
- 4 • Shorter total time [moderate quality evidence]

5 There was no significant difference in:

- 6 • Procedure time in two studies [moderate quality evidence]^{233,234}
- 7 • Patient preferences [moderate quality evidence] when the results of two
- 8 studies were meta analysed^{233,234}. The results of Wilson 2006²³² were non
- 9 significant but data was not available for meta-analysis.

10

11 **Nitrous oxide + EMLA vs. EMLA**

12 *Ekbom 2005*⁵⁸

13 Compared to conventional treatment for intravenous cannulation with EMLA anaesthetic
 14 cream, children who received nitrous oxide + EMLA were reported by the authors to
 15 have a statistically significant difference in the following parameter:

- 16 • Pain as assessed by VAS [Very low quality].

17 There was no significant difference in:

- 18 • Completion of procedure [Very low quality].

19

20 **COMBINATION COMPARISONS**

21 *Averley 2004*²⁰

22 **Nitrous oxide + IV midazolam vs. medical air + IV midazolam;**

23 **Nitrous oxide + IV midazolam vs. sevoflurane and nitrous oxide + IV midazolam**

24 **Nitrous oxide + IV midazolam and sevoflurane vs. medical air + IV midazolam**

25

26 **a) 40% nitrous oxide + IV midazolam vs. medical air + IV midazolam**

27 Compared to the medical air group, the nitrous oxide group had significantly:

- 28 • More completed procedures [moderate quality evidence]

29 There were no significant differences in:

- 1 • Recovery time [moderate quality evidence]
- 2 • Pain by VAS score [moderate quality evidence]
- 3 • Anxiety by VAS score [moderate quality evidence]

4
5 **b) 40% nitrous oxide + IV Midazolam vs. 0.3% sevoflurane and 40% nitrous oxide**
6 **+ IV midazolam**

7 Compared to the sevoflurane group, the nitrous oxide group had significantly:

- 8 • Fewer completed procedures [moderate quality evidence]

9 There were no significant differences in:

- 10 • Recovery time [moderate quality evidence]
- 11 • Pain by VAS score [moderate quality evidence]
- 12 • Anxiety by VAS score [moderate quality evidence]

13
14 **c) 0.0.3% sevoflurane and 40% nitrous oxide + IV Midazolam vs. medical air + IV**
15 **midazolam**

16 Compared to the medical air group, the sevoflurane + nitrous oxide group had
17 significantly:

- 18 • More completed procedures [moderate quality evidence]

19 There were no significant differences in:

- 20 • Recovery time [moderate quality evidence]
- 21 • Pain by VAS score [moderate quality evidence]
- 22 • Anxiety by VAS score [moderate quality evidence]

23 Adverse events were reported for all three arms of this study as follows:

- 24 • Six children in the sevoflurane group vomited clear fluids after treatment
25 [moderate quality evidence]
- 26 • 98% of all children had an oxygen saturation of 98% or above. The
27 lowest saturation of 94% was recorded in one child in the medical air
28 group [moderate quality evidence]

29 **6.7.3.2NON-RCT safety (adverse events)**

- 30 • There were no reported incidents requiring respiratory intervention including an
31 oral pharyngeal airway, endotracheal intubation or assisted ventilation^{21,61,73}.

- 1 • There were no reported incidents of cardiac arrest requiring either/or external
2 cardiac massage or defibrillation^{21,61,73}.
- 3 • One study reported a 4.7% rate of vomiting with 70% nitrous oxide and a 3.9%
4 rate of vomiting with 50% nitrous oxide²²
- 5 • One study reported oxygen saturation <90% in 0.18% of patients using 70%
6 nitrous oxide²². Two studies using 50% nitrous oxide reported that there were no
7 patients with oxygen saturation <90%^{21,61}.

9 **6.7.4 GDG discussion of the evidence for nitrous oxide**

10 The GDG noted that most of the evidence for nitrous oxide came from studies of painful
11 procedures in the Emergency Department or the Dental clinic settings. The evidence level
12 was low except in one RCT where the level was moderate.

13 The GDG agreed that both the efficacy and safety may be dependent on the
14 concentration of nitrous oxide used. In almost all studies the dose was 50% or less in
15 oxygen. Seventy percent oxygen was reported in a non-RCT in the ED setting.

16 The GDG noted that the evidence of efficacy in the RCTs was limited to the successful
17 outcome of the procedure and that there were no data to allow the quality of the
18 sedation to be assessed.

19 The GDG recognised that nitrous oxide is very widely used in UK dental clinics and it
20 was appreciated that the success of administration of nitrous oxide relies on ability of
21 the patient to breathe the gas continuously via a mask placed over the mouth and nose,
22 or over the nose for dental procedures. Gaining and maintaining cooperation of a
23 patient also relies on the skill of the healthcare practitioners.

24 In small uncooperative children nitrous oxide was not found to be any more effective
25 than oxygen alone¹⁶¹ but in cooperative children nitrous oxide could be used for a wide
26 range of painful procedures provided the analgesia of the nitrous oxide was sufficient.
27 In the dental setting the injection of local anaesthesia can be uncomfortable and the
28 analgesia from nitrous oxide is effective for the local anaesthesia; thereafter, the value
29 of nitrous oxide may relate to its euphoric and anxiolytic effect. The success rate of
30 nitrous oxide in the dental setting was reported as approximately 50% and it was
31 appreciated that this success rate was poor. Nevertheless it was argued by the dentists
32 on the GDG that these studies were in children who had been referred to a dental clinic
33 that specialised in the management of anxious children. In other dental clinics, where
34 children may be less anxious, the success rate was considered to be much higher although
35 no direct evidence was available to support this. Moreover the GDG dentists confirmed
36 that children could be selected into those in whom nitrous oxide would and would not be
37 sufficient for dental treatment; in their experience the success rate of nitrous oxide in
38 selected children was at least 90%.

39 The advantages of nitrous oxide were considered to be that it was well tolerated and
40 short acting and highly effective in selected patient groups and settings. Occasionally it
41 causes dysphoria and vomiting but this may be related to higher concentrations of nitrous
42 oxide. The GDG appreciated the potential economic advantages of nitrous oxide
43 successfully delivered in the dental clinic setting rather than anaesthesia in the dental
44 hospital setting.

1 The GDG considered the safety of nitrous oxide. It was agreed that it was extremely
2 unlikely that nitrous oxide concentration of 50% or less would cause unconsciousness
3 provided the patient was fully conscious beforehand and that no other sedation drugs
4 were used. Equipment failure and medical contraindications to the use of nitrous oxide
5 are rare but the GDG agreed that patients must be assessed and that practitioners must
6 be trained to use nitrous oxide safely. The GDG agreed that nitrous oxide (used alone)
7 had a good tolerability record and that fasting was not required (although nitrous oxide
8 may induce vomiting if the stomach was full) and that it could be safely administered by
9 the dentist who was treating the patient.

10 The GDG debated the merits of combining nitrous oxide with other drugs to increase its
11 efficacy. One RCT²⁰ showed 80% of anxious children undergoing dental procedures
12 were treated successfully by a combination of nitrous oxide with midazolam compared
13 with only 54% of children with midazolam alone. In that study the combination of drugs
14 did not cause unconsciousness but the GDG discussed the risk of unconsciousness caused
15 by combining drugs. It was appreciated that intravenous and inhalational drugs could be
16 titrated to achieve conscious sedation and that unconsciousness was extremely unlikely
17 provided the dental sedation team were skilled. Nevertheless it was agreed that there
18 was a risk of unintended unconsciousness and that only specially trained dental sedation
19 teams should use combinations of sedation drugs to achieve sedation. The GDG agreed
20 that airway management skills and equipment are essential for combining nitrous oxide
21 with other sedation drugs.

22 The general principle agreed by the GDG is that only sedation techniques commonly
23 available in the NHS should be included in the economic analysis. Economic analysis was
24 conducted for six broad groups (dental procedure in children, dental procedure in
25 adolescents, short painful procedures, painless imaging, oesophago-gastroscopy and
26 colonoscopy). The GDG agreed that nitrous oxide alone, and nitrous oxide combined
27 with other drugs (nitrous oxide plus sevoflurane, nitrous oxide plus sevoflurane plus
28 midazolam, and nitrous oxide plus midazolam) are commonly used in dental procedures
29 in children, and that there is some evidence that they are effective and well tolerated. It
30 was therefore agreed that these strategies should be included in the economic analysis.
31 Details of the considerations of cost-effectiveness with respect to using these strategies in
32 dental procedure in children are given in section 6.1.2.4.2.

33

1 **6.8 Sevoflurane and isoflurane**

Matrix of sevoflurane / isoflurane comparators			
<p>Key:</p> <p>Chloral hydrate = CH Fentanyl = F Isoflurane = I Ketamine=K Midazolam = M Propofol= P Nitrous oxide = N₂O Nitrous oxide and oxygen = N₂O+O₂ Opioids = O Propofol= P Sevoflurane = S Triclofos sodium = TS</p>			
Sevoflurane / isoflurane vs			
	Reference	Tables and page	Evidence statements page
Placebo			
Nil			
Head to head			
Nil			
Combinations			
S + NO + M vs. air + M	Averley 2004 ²⁰	Table 62	273
S + NO + M vs. NO + M	Averley 2004 ²⁰	Table 63	273
S + NO + vs. NO	Lahoud 2002 ¹³⁴	Table 64	273
Safety			
RCTs			
Desaturation	Lahoud 2002 ¹³⁴	Table 65 Table 66	274
Vomiting	Averley 2004 ²⁰	Table 65 Table 66	274
Observational studies	De Sanctis Briggs 2005 ⁵¹	Table 65 Table 66	274

Route of administration			
Nil			
Dose			
Nil			

1 **6.8.1 Clinical methodological introduction for sevoflurane or isoflurane**

2 **CLINICAL QUESTIONS**

3 For children and young people under the age of 19 undergoing diagnostic or therapeutic
4 procedures, is sevoflurane or isoflurane (with or without: analgesia, another drug or
5 psychological techniques):

6 - Effective for sedation (at minimal, moderate, and deep levels) in comparison with usual care,
7 with analgesia alone, with another sedation drug, with psychological techniques or with
8 general anaesthesia?

9 - Safe for sedation (at mild, moderate, and deep levels) in different settings?

10 The literature was searched for systematic reviews and RCTs for the clinical efficacy of
11 sevoflurane or isoflurane. The search was expanded to include non RCT observational
12 studies for the safety of sevoflurane or isoflurane.

13 There were no systematic reviews identified for the use of sevoflurane or isoflurane in
14 paediatric sedation.

15 Two RCTs comparing sevoflurane in any route with other sedative drugs were assessed
16 for efficacy and safety.

17 One non RCT observational study in 640 patients assessed the safety of sevoflurane.

18 There were no relevant studies conducted in children that assessed the safety and
19 efficacy of sedation with isoflurane.

20 Meta-analyses for RCTs were performed where drug interventions and comparisons and
21 outcomes were sufficiently homogenous and studies were combined regardless of dose,
22 duration of intervention, procedure (within painful and non-painful groups), setting (e.g.
23 dentistry, accidents and emergencies) and age.

24

25 **6.8.2 Evidence profiles for sevoflurane or isoflurane**

26 **6.8.2.1 RCT evidence profiles for efficacy and safety for sevoflurane or isoflurane**

27 Study characteristics and methodological quality of the study are provided in Appendix
28 D. GRADE tables for quality assessment of study outcomes and summary of findings are
29 provided below.

30

1 **COMBINATION COMPARISONS**

2 **Table 62: Sevoflurane + nitrous oxide + intravenous midazolam vs. medical air + intravenous midazolam; Averley 2004²⁰**

3 **Question:** Should sevoflurane + nitrous oxide + iv midazolam titrated vs. medical air + iv midazolam titrated be used for sedation in children?

4 **Settings:** dental hospital

Quality assessment							Summary of findings				Importance	
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect			Quality
							sevoflurane + nitrous oxide + iv midazolam titrated	medical air + iv midazolam titrated	Relative (95% CI)	Absolute		
number of people who complete procedure												
1	randomised trial	no serious limitations ¹	no serious inconsistency	no serious indirectness	no serious imprecision	none	249/267 (93.3%)	94/174 (54%)	RR 1.73 (1.5 to 1.99)	394 more per 1000 (from 270 more to 535 more)	HIGH	
Recovery time (Better indicated by less)												
1	randomised trial	serious ²	no serious inconsistency	no serious indirectness	no serious imprecision	none	249	94	-	MD -0.3 (-1.55 to 0.95)	MODERATE	
child's perception of pain (VAS score) (measured with: VAS; Better indicated by less)												
1	randomised trial	serious ²	no serious inconsistency	no serious indirectness	no serious imprecision	none	249	94	-	MD 0 (-0.28 to 0.28)	MODERATE	
Anxiety reported by child (VAS score) (measured with: VAS; Better indicated by less)												
1	randomised trial	serious ²	no serious inconsistency	no serious indirectness	no serious imprecision	none	249	94	-	MD 0 (-0.31 to 0.31)	MODERATE	
Parent's satisfaction score (range of scores: 1-5; Better indicated by more)												
1	randomised trial	serious ²	no serious inconsistency	no serious indirectness	no serious imprecision	none	249	94	-	MD 0.1 (-0.05 to 0.25)	MODERATE	
vomiting												
1	randomised trial	serious ²	no serious inconsistency	no serious indirectness	very serious ³	none	6/249 (2.4%)	0/94 (0%)	RR 4.94 (0.28 to 86.84)	0 more per 1000 (from 0 fewer to 0 more)	VERY LOW	

5
6
7
¹ double blind with adequate allocation concealment and randomisation; ITT was performed for this outcome.
² double blind with adequate allocation concealment and randomisation; ITT was not performed for this outcome.
³ very wide 95% CI

1 **Table 63: Sevoflurane + nitrous oxide + intravenous midazolam vs. nitrous oxide + intravenous midazolam; Averley 2004²⁰**

2 **Question:** Should sevoflurane + nitrous oxide + iv midazolam titrated vs. nitrous oxide + iv midazolam titrated be used for sedation in children?
 3 **Settings:** dental hospital

Quality assessment							Summary of findings					Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect		Quality	
							sevoflurane + nitrous oxide + iv midazolam titrated	nitrous oxide + iv midazolam titrated	Relative (95% CI)	Absolute		
number of people who complete procedure												
1	randomised trial	no serious limitations ¹	no serious inconsistency	no serious indirectness	no serious imprecision	none	249/267 (93.3%)	204/256 (79.7%)	RR 1.17 (1.09 to 1.25)	135 more per 1000 (from 72 more to 199 more)	HIGH	
Recovery time (Better indicated by less)												
1	randomised trial	serious ²	no serious inconsistency	no serious indirectness	no serious imprecision	none	249	204	-	MD 0.5 (-0.21 to 1.21)	MODERATE	
child's perception of pain (VAS score) (measured with: VAS; Better indicated by less)												
1	randomised trial	serious ²	no serious inconsistency	no serious indirectness	no serious imprecision	none	249	204	-	MD 0 (-0.24 to 0.24)	MODERATE	
Anxiety reported by child (VAS score) (measured with: VAS; Better indicated by less)												
1	randomised trial	serious ²	no serious inconsistency	no serious indirectness	no serious imprecision	none	249	204	-	MD 0 (-0.24 to 0.24)	MODERATE	
Parent's satisfaction score (range of scores: 1-5; Better indicated by more)												
1	randomised trial	serious ²	no serious inconsistency	no serious indirectness	no serious imprecision	none	249	204	-	MD 0 (-0.1 to 0.1)	MODERATE	
vomiting												
1	randomised trial	serious ²	no serious inconsistency	no serious indirectness	very serious ³	none	6/249 (2.4%)	0/204 (0%)	RR 10.66 (0.6 to 188.11)	0 more per 1000 (from 0 fewer to 0 more)	VERY LOW	

¹ double blind with adequate allocation concealment and randomisation; ITT for this outcome

² double blind with adequate allocation concealment and randomisation; ITT was not performed for this outcome.

³ very wide 95% CI

4
5
6

1 **Table 64: Sevoflurane + nitrous oxide vs. nitrous oxide; Lahoud 2002¹³⁴**

2 **Question:** Should sevoflurane + nitrous oxide vs. nitrous oxide be used for sedation in children?
 3 **Settings:** dental hospital

Quality assessment							Summary of findings				Importance	
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect			Quality
							sevoflurane + nitrous oxide	nitrous oxide	Relative (95% CI)	Absolute		
number of children who complete procedure												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision	none	215/241 (89.2%)	89/170 (52.4%)	RR 1.7 (1.47 to 1.98)	367 more per 1000 (from 246 more to 514 more)	MODERATE	
number of children who had a score of anxiety (Venham score = 5) (Venham score)												
1	randomised trial	very serious ²	no serious inconsistency	no serious indirectness	very serious ³	none	0/215 (0%)	2/89 (2.2%)	RR 0.08 (0 to 1.72)	20 fewer per 1000 (from 22 fewer to 16 more)	VERY LOW	
Number of children who were satisfied with the treatment (rated treatment as excellent)												
1	randomised trial	very serious ²	no serious inconsistency	no serious indirectness	no serious imprecision	none	188/215 (87.4%)	74/89 (83.1%)	RR 1.05 (0.95 to 1.17)	42 more per 1000 (from 42 fewer to 141 more)	LOW	
Adverse events: Oxygen desaturation <90%												
1	randomised trial	very serious ²	no serious inconsistency	no serious indirectness	no serious imprecision	none	0/215 (100%)	0/89 (100%)	not pooled	-	LOW	

¹ unclear if assessor was blind and no detail on randomisation generation; adequate allocation concealment; ITT analysis performed for this outcome

² unclear if assessor was blind and no detail on randomisation generation; adequate allocation concealment; ITT analysis was not performed for this outcome

³ very wide 95% CI

4
5
6
7

1 **6.8.2.2 Non RCT evidence profiles for safety for sevoflurane or isoflurane**

2 One non-RCT observational study (n=640) assessed the safety of sevoflurane⁵¹.

3 The non RCT study characteristics for midazolam are presented in Table 65.

4 The non RCT adverse event table for midazolam is presented in Table 66.

5

1 **Table 65: Sevoflurane Non RCT Study Characteristics Safety Review**
 2

Study	Setting	ASA	Sedation type	Gender, % male	Drug (doses)	Fasting
De Sanctis Briggs 2005 ⁵¹ , Spain	Centre for MRI	Not stated	Deep Sedation for MRI examinations N= 640 infants age 1 day – 12 months	46.5%	Inhaled sevoflurane 7% in 50% nitrous oxide for induction; followed by sevoflurane 1.8-2% in 50% nitrous oxide for maintenance	Sedation fasting protocol

3

1 **Table 66: Sevoflurane Safety: Non RCTs**

Study type, reference, country	Drug / Comparison	Procedure	Age	Total N	ADVERSE EVENTS, rate: % (n)							GRADE PROFILE	
					Aspiration				Cardiac arrest requiring either/or		vomiting	oxygen desaturation <90%	EVIDENCE QUALITY
						oral-pharyngeal airway	endotracheal intubation	assisted ventilation	external cardiac massage	defibrillation			
De Sanctis Briggs 2005 ⁵¹ , Spain	sevoflurane 1.8-2% in 50% nitrous oxide	MRI	1 day – 12 months old 15% < 1 month old 39% 1-6 months old 45% 7-12 months old	640 They state that 627/640 (97.9%) of patients experienced no complications (defined as vomiting, mild or severe hypoxia, prolonged sedation, or agitation							1/640 = 0.16%	0/640 = 0%	VERY LOW

2

3

1 **6.8.3 Evidence statements for sevoflurane or isoflurane**

2 **6.8.3.1 RCT efficacy and safety for sevoflurane or isoflurane**

3 **COMBINATION COMPARISONS**

4 **Sevoflurane + nitrous oxide + IV midazolam vs. medical air + IV midazolam**

5 *Averley, 2004*²⁰

6 Compared with medical air and intravenous midazolam group, the sevoflurane + nitrous
7 oxide + intravenous midazolam group had significantly:

- 8
 - More completed procedures [high quality evidence]

9 There was no significant difference in:

- 10
 - Recovery time [moderate quality evidence]
 - 11 • Child's perception of pain score (VAS) [moderate quality evidence]
 - 12 • Anxiety reported by child (VAS) [moderate quality evidence]
 - 13 • Vomiting [very low quality evidence]

14
15 **Sevoflurane + nitrous oxide + IV midazolam vs. nitrous oxide + IV midazolam**

16 *Averley, 2004*²⁰

17 Compared with nitrous oxide + intravenous midazolam group, the sevoflurane + nitrous
18 oxide + intravenous midazolam group had significantly:

- 19
 - More completed procedures [high quality evidence]

20 There was no significant difference in:

- 21
 - Recovery time [moderate quality evidence]
 - 22 • Child's perception of pain (VAS) [moderate quality evidence]
 - 23 • Anxiety reported by child (VAS) [moderate quality evidence]
 - 24 • Parent's satisfaction score (scale 1-5) [moderate quality evidence]
 - 25 • Vomiting [very low quality evidence]

26
27 **Sevoflurane + nitrous oxide vs. nitrous oxide**

28 *Lahoud 2002*¹³⁴

1 Compared with the nitrous oxide group, the sevoflurane + nitrous oxide group had
2 significantly:

- 3 • More completed procedures [moderate quality evidence]

4 There was no significant difference in:

- 5 • Anxiety (proportion of patients) (Venham score = 5) [very low quality
6 evidence]

7 There were no events of:

- 8 • Oxygen saturation < 90% [low quality evidence]

10 **6.8.3.2 Non RCT safety (adverse events) for sevoflurane or isoflurane**

11 For the characteristics of studies and outcome data refer to Table 65 and Table 66.

12 One study⁵¹ reported rates of:

- 13 • Vomiting: 0.16%
- 14 • Oxygen desaturation <90%: 0%

15 **6.8.4 GDG discussion of the evidence for sevoflurane and isoflurane**

16 Three studies^{20,51,134} informed the GDG discussion on sevoflurane. Sevoflurane is an
17 anaesthetic agent and the GDG discussed whether there was an appreciable risk of
18 accidental anaesthesia. Two^{20,134} of the three studies were RCTs in which sevoflurane had
19 been used to sedate anxious children for dental procedures in a specialist dental clinic.
20 The GDG appreciated that sevoflurane was being used in a similar fashion to nitrous
21 oxide in that it required the patient to tolerate breathing the vapour via a nasal mask. In
22 low doses sevoflurane was reported to not cause anaesthesia and its success therefore
23 relied on a degree of cooperation of the patient. The dental studies were in anxious
24 children up to the age of 14. Concentrations of up to 0.3% were used with (or without)
25 40% nitrous oxide and also with intravenous midazolam titrated to achieve satisfactory
26 compliance for the dental procedure. The addition of sevoflurane was found to increase
27 the completion rate of dental treatment.

28 The GDG agreed that this is a successful technique but that it required special expertise
29 of a trained sedation team, and that airway management skills and equipment are
30 essential for this drug in this setting.

31 The other study⁵¹ considered was a descriptive account of 640 infants who were
32 sedated by a combination of sevoflurane and nitrous oxide for painless imaging. The
33 dose of sevoflurane used was 1.8-2% and even though the GDG understood that the
34 conscious level had not been tested, the GDG decided that it was very likely that the
35 infants had been anaesthetised by this dose.

36 The GDG discussed the advantages of sevoflurane sedation over sevoflurane
37 anaesthesia. In certain settings, in which the patient needs to cooperate with a
38 procedure, such as a dental procedure, sedation may be appropriate. In other situations,

1 such as painless imaging where an uncooperative child needs to be immobile and asleep,
2 the dose of sevoflurane required to cause sleep is likely to cause anaesthesia. The GDG
3 agreed that it was safer to assume that that patients were anaesthetised in this setting
4 and that they would therefore need to managed as though they had a short acting
5 anaesthetic rather than sedation. Overall the GDG agreed that sevoflurane should only
6 be used by specially trained sedation teams.

7 The GDG agreed that only sedation techniques commonly available in the NHS should
8 be included in the economic analysis. Economic analysis was conducted for six broad
9 groups (dental procedure in children, dental procedure in adolescents, short painful
10 procedures, painless imaging, oesophago-gastroscopy and colonoscopy). Sevoflurane
11 combined with other drugs (sevoflurane plus nitrous oxide, sevoflurane plus nitrous oxide
12 plus midazolam) were felt to be strategies commonly used in dental procedures in
13 children. There is evidence that these drug combinations are effective and well tolerated.
14 The GDG therefore agreed that they should be included in the economic analysis. Details
15 of the considerations of cost-effectiveness with respect to using these combination
16 strategies in dental procedures in children are given in section 6.12.4.2.

17

1 **6.9 Propofol**

Matrix of propofol comparators			
<p>Key:</p> <p>Chloral hydrate = CH Fentanyl = F Isoflurane = I Ketamine=K Local anaesthesia = LA Midazolam = M Nitrous oxide = N₂O Nitrous oxide and oxygen = N₂O+O₂ Opioids = O Propofol= P Sevoflurane = S Triclofos sodium = TS</p>			
Propofol vs			
	Reference	Tables	Evidence statements page
Placebo			
Nil			
Head to head			
Nil			
Combinations			
P vs. M + K + F	Vardi 2002 ²²¹	Table 61	290
Safety			
RCTs			
Assisted ventilation	Vardi 2002 ²²¹	Table 68 Table 69	290
ET intubation	Vardi 2002 ²²¹	Table 68 Table 69	290
Observational studies	Melamed 1976 ¹⁶⁷ Bassett 2003 ²⁶ Barbi 2006 ²⁵ Vespasiano 2007 ²²⁶ Larsen 2009 ¹³⁵ Cravero 2009 ⁴⁶ Barbi 2003 ²⁴	Table 68 Table 69	290

Route of administration			
Nil			
Dose			
Nil			

1 **6.9.1 Clinical methodological introduction for propofol**

2 **CLINICAL QUESTIONS:**

3 For children and young people under the age of 19 undergoing diagnostic or
4 therapeutic procedures, is propofol (with or without: analgesia, another drug or
5 psychological techniques):

6 - Effective for sedation (at minimal, moderate, and deep levels) in comparison with usual
7 care, with analgesia alone, with another sedation drug, with psychological techniques or
8 with general anaesthesia?

9 - Safe for sedation (at mild, moderate, and deep levels) in different settings?

10 The literature was searched for systematic reviews and RCTs for the clinical efficacy of
11 propofol. The search was expanded to include non-RCT observational studies for the
12 safety of propofol.

13 There were no systematic reviews identified for the use of propofol in paediatric
14 sedation.

15 One RCT comparing intravenous propofol with other sedative drug was assessed for
16 efficacy and safety.

17 Seven non-RCTs observational studies in 64,115 patients assessed the safety of
18 intravenous propofol.

19 Meta-analyses were not performed as there was only one RCT.

20

21 **6.9.2 Evidence profiles for propofol**

22 **6.9.2.1 RCT evidence profiles for efficacy and safety for propofol**

23 Study characteristics and methodological quality of the study are provided in Appendix
24 D. GRADE tables for quality assessment of study outcomes and summary of findings are
25 provided below.

26

1 **COMBINATION COMPARISONS**

2 **Table 67: Intravenous propofol + propofol maintenance + local anaesthesia vs. intravenous midazolam + intravenous ketamine +**
 3 **intravenous fentanyl ; Vardi 2002²²¹**

4 **Question:** Should intravenous propofol plus propofol maintenance plus local anaesthesia vs. intravenous midazolam plus intravenous ketamine plus intravenous fentanyl be used in children and
 5 young people undergoing diagnostic and therapeutic procedures?
 6 **Settings:** paediatric critical care unit (convenient facility for procedures)

7 **Bibliography:** Vardi 2002²²¹ (mixed procedures: Intraarticular steroid injection, bronchoscopy, bone marrow aspiration/biopsy, transesophageal echocardiography, PEG/Gastroscopy, Other: central
 8 line placement, intrathecal injections, removal of tunnelled central venous catheter, wound care, and chest tube placement)
 9

Quality assessment							Summary of findings				Quality	Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect			
							intravenous propofol plus propofol maintenance plus local anaesthesia	intravenous midazolam plus intravenous ketamine plus intravenous fentanyl	Relative (95% CI)	Absolute		
Completion of procedure												
1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision	none	58/58 (100%)	47/47 (100%)	not estimable	-	LOW	
1	randomised trial											
Duration of procedure (Better indicated by less)												
1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision	none	58	47	-	MD -2 (-9.28 to 5.28) ³	LOW	
Recovery time: from administration of last sedation dose to when patients opened their eyes or gave appropriate response (Better indicated by less)												
1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision	none	58	47	-	MD -27 (-35.22 to -18.78) ⁴	LOW	
Satisfaction at induction period assessed by four observers (paediatric nurse, resident physician, paediatric intensivist delivering sedation, physician performing procedure) using a validated scale (measured with: Ramsay scale (maximum score = 6) at induction period; range of scores: 1-6; Better indicated by more)												
1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	serious ⁵	none	58	47	-	MD 0.26 (-0.08 to 0.59) ⁶	VERY LOW	
										MD 0.26 (-0.08 to 0.59)		
Satisfaction at sedation period assessed by four observers (paediatric nurse, resident physician, paediatric intensivist delivering sedation, physician performing procedure) using a validated scale (measured with: Ramsay scale (maximum score = 6) at procedure period; range of scores: 1-6; Better indicated by more)												

1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	serious ⁵	none	58	47	-	MD 0.25 (0.03 to 0.47) ⁷	VERY LOW	
Adverse events: Assisted ventilation: bag/mask												
1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	very serious ⁸	none	10/58 (17.2%)	3/47 (6.4%)	RR 2.70 (0.79 to 9.26) ⁹	109 more per 1000 (from 13 fewer to 529 more)	VERY LOW	
									0 more per 1,000			
Adverse events: Endotracheal intubation												
1	randomised trial	very serious ¹	no serious inconsistency	no serious indirectness	very serious ⁸	none	0/58 (0%)	1/47 (2.1%)	RR 0.27 (0.01 to 6.51) ¹⁰	15 fewer per 1000 (from 21 fewer to 116 more)	VERY LOW	
									0 fewer per 1,000			

¹ Vardi 2002²²¹: concealment and ITT not stated and blinding of patients and assessors not stated or unclear; small study

³ Vardi 2002²²¹: p=0.59

⁴ Vardi 2002²²¹: p<0.00001

⁵ Vardi 2002²²¹: imprecise

⁶ Vardi 2002²²¹: p=0.13

⁷ Vardi 2002²²¹: p=0.03

⁸ Vardi 2002²²¹: imprecise and too wide confidence intervals; small study

⁹ Vardi 2002²²¹: p=0.11

¹⁰ Vardi 2002²²¹: p=0.42

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1 **6.9.2.2 Non RCT evidence profiles for safety for propofol**

2 Seven non RCT observational studies (n=64,115) assessed the safety of propofol²⁴⁻
3 ^{26,46,135,168,226} There were six prospective studies, and one retrospective study conducted
4 for the following procedures: imaging procedures (2), accidents and emergencies
5 procedures (1) as well for GI and oncology procedures (2) and inpatients and
6 outpatients (2).

7 The non RCT study characteristics for midazolam are presented in Table 68.

8 The non RCT adverse event table for midazolam is presented in Table 69.

9

10

1 **Table 68: Propofol Non RCT Study Characteristics Safety Review**

Study	Setting	ASA	Sedation type	Gender, % male	Drug (doses)	Fasting
Merola 1995 ¹⁶⁸ , USA	Imaging (MRI and CT suites) (99% ambulatory)	I-II Other ASA I-II: 99.34% (452/455) Other: 0.66% (3/455)	Not stated	Not stated	<p>PRO or CH:</p> <p>PRO:</p> <ul style="list-style-type: none"> • 2 mg/kg bolus after iv access + dilute PRO by gravity titrated infusion at a rate of 80-140 mcg/kg/min • Children ≥1 y.o. generally received PRO unless they had poor venous access or unless there was a strong parental preference for not inserting an i.v. catheter <p>CH:</p> <ul style="list-style-type: none"> • Children <1 y.o. generally received CH 75 mg/kg to a maximum of 2g due to difficulty in establishing i.v. access • Younger children were often swaddled and provided with a pacifier • Parents accompanied the children <p>Concurrent:</p> <ul style="list-style-type: none"> • All patients received O₂ at 2 L/min by nasal cannule during procedures (scans) 	Not stated
Barbi 2003 ²⁴ , Italy	Paediatric sedation unit (admitted to paediatric gastroenterology and oncology wards)	I-II	Deep (91% (963/1059) of children experienced transient general anaesthesia at any	50% (411/827)	<p>LA/TA/Atropine/PRO/GlucoSol</p> <p>LA: Lidocaine/prilocaine:</p> <ul style="list-style-type: none"> • 1 to 10 mg Lido/PRO for 1st syringe in children without a central line <p>TA: EMLA cream</p>	Clear fluids not allowed for 3 hrs, infant formula and nonhuman milk for 6 hours and solids for 8 hours

Study	Setting	ASA	Sedation type	Gender, % male	Drug (doses)	Fasting
			time during the procedure)		<p>Atropine: 0.01 mg/kg as premedication</p> <p>PRO:</p> <ul style="list-style-type: none"> • 2mg/kg in children ≤8 y.o. • 1 to 2 mg/kg in >8 y.o. • repeated dose 0.5-1 mg/kg or continuous 6-9 mg/kg per hour for long procedures <p>GlucoSol: continuous infusion maintenance</p> <p>Concurrent:</p> <p>O2 administered after the 2nd year of study at 6L/min by mask close to face to anticipate hypoxemia; O2 was administered during procedure from beginning of study for children undergoing painful procedures mostly those with cancer</p>	
Bassett 2003 ²⁶ , USA	Emergency department	<p>I-II</p> <p>ASA I: 96% (379/393 procedures)</p> <p>ASA II: 4% (14/393 procedures)</p>	Procedural sedation	67% (263/392)	<p>PRO/Opioid analgesics:</p> <p>PRO:</p> <ul style="list-style-type: none"> • IV initial dose of 1 mg/kg (max 40 mg); • IV supplemental doses of 0.5 mg/kg (max 20 mg) at discretion of physician • Bolus over 1 to 2 min, 20 secs between each dose; titrated to tolerance of noxious stimuli without patient complaint <p>Morphine:</p> <ul style="list-style-type: none"> • 0.1 mg/kg (max 5 mg) for 	Minimum of 3 hrs for solids and liquids

Study	Setting	ASA	Sedation type	Gender, % male	Drug (doses)	Fasting
					<p>significant pain on presentation to emergency department</p> <p>Fentanyl:</p> <ul style="list-style-type: none"> • 1 to 2 mcg/kg (max 50 mcg) for children who had not received narcotics or were still with significant pain <p>Concurrent:</p> <p>supplemental O₂ at 10 L/min with a bag-valve mask to face before initiation and during procedure; not used for assistance with respirations unless requested by physician and suction available at bedside</p>	
<p>Barbi 2006²⁵, Italy</p>	<p>Department of gastroenterology (Endoscopic room)</p>	<p>I-II</p>	<p>Procedural sedation</p>	<p>47% (337/716)</p>	<p>TA/Atropine/IV PRO/LA/GlucoSol or Ringer'sSol</p> <p>TA: EMLA cream</p> <p>Atropine: 0.010-0.015 mg/kg</p> <p>PRO infusion:</p> <ul style="list-style-type: none"> • in children up to 8 y.o.:2mg/kg • in children >8 y.o.:1-2 mg/kg • repeated dose 0.5-1 mg/kg or continuous 6-9 mg/kg per hour for long procedures <p>LA: lidocaine 1 mg for every 10 mg of PRO for the first syringe in all children</p> <p>GlucoSol: continuous infusion maintenance (for age and weight) for children >5 y.o.</p> <p>Concurrent:</p>	<p>Clear fluids not allowed for 3 hrs, infant formula and nonhuman milk for 6 hrs and solids for 8 hrs</p>

Study	Setting	ASA	Sedation type	Gender, % male	Drug (doses)	Fasting
					O2 administered after the 2nd year of study at 6L/min by mask close to face to anticipate hypoxemia; O2 was administered during procedure from beginning of study for children undergoing painful procedures mostly those with cancer	
Vespasiano 2007 ²²⁶ , USA	MRI 42.8% (3126/7304), radiology 22.5% (1643/7304), short stay unit 26.2% (1914/7304), special diagnostics unit 4.3% (314/7304), PICU 2% (146/7304), Other 2.2% (161/7304)	ASA I-II: 99.7% (7285/7304) ASA > II: 2.5% (18/7304) ASA unassigned: 0.014% (1/7304)	Deep	Not stated	PRO/PRO maintenance/LA PRO: <ul style="list-style-type: none"> • rarely <2 mg/kg • intermittent bolus doses for shorter interventions and continuous infusion after initial bolus for longer interventions • continuous infusion initiated at 150 mcg/kg/min titrated as required PRO maintenance: <ul style="list-style-type: none"> • supplemental boluses 1-2 mg/kg LA: <ul style="list-style-type: none"> • lidocaine doses at discretion of intensivist Concurrent: <ul style="list-style-type: none"> • O2 supplementation is administered to all patients who receive propofol 	Not stated

Study	Setting	ASA	Sedation type	Gender, % male	Drug (doses)	Fasting
Larsen 2009 ¹³⁵ , USA	<p>Database established by paediatric intensive care to track outpatients requiring propofol sedation for diagnostic therapeutic procedures</p> <p>Retrospective analysis of database to track each outpatient paediatric procedure requiring propofol</p>	Not stated	Not stated	52% (2463/4716)	<ul style="list-style-type: none"> Intravenous propofol sedation sufficient to reach a level of sedation not requiring endotracheal intubation 	Not stated
Cravero 2009 ⁴⁶ , USA	<p>Outside the operating room</p> <p>Collaborative database of adverse events from 37 locations with data on paediatric sedation/anaesthesia.</p> <p>Prospectively enrolled consecutive patients receiving sedation or sedation/anaesthesia for procedures. Primary inclusion was the need for some form of sedation/anaesthesia to perform a diagnostic or therapeutic procedure outside the operating room.</p>	<p>ASA ≤ II (41191/49836)</p> <p>ASA > II 18% (8915/49836)</p>	Not clear whether propofol was used for sedation or anaesthesia	55% (27420/48836)	Not clear	Not stated

1 **Table 69: Propofol Safety: Non RCTs**

Study type, reference, country	Drug / Comparison	Procedure	Age	Total N	ADVERSE EVENTS, rate: % (n)								GRADE PROFILE
					Aspiration				Cardiac arrest requiring either/or		vomiting	oxygen desaturation <90%	EVIDENCE QUALITY
						oral-pharyngeal airway	endotracheal intubation	assisted ventilation	external cardiac massage	defibrillation			
Merola 1995 ¹⁶⁸ , USA	Propofol/O2 and Chloral Hydrate/O2	Scans of the head, thorax, abdomen, pelvis and spine	Overall age range: <1 mo to 17y PRO range: <1 mo- to 17y ≥1 y: 98% (318/324) <15y: 4% (13/324) CH range: <1 mo to 7 y <1 y: 51% (57/131)	Total: 455 324 PRO 131 CH		0% (airway compromise)	0%	0% (controlled ventilation)			0%		VERY LOW
Bassett 2003 ²⁶ , USA	Propofol/Mo or Fentanyl (analgesics)	Fractures (96%: 378/393 procedures) Dislocations (3.6%: 14/393 procedures) Examination of ocular burn (0.25%: 1/393 procedures)	Overall age range: 1 to 18y Median age: 8 y	393 procedures in 392 children (1 child sedated twice)	0%	3% (11/392) (partial airway obstruction)	0%	0.8% (3/392) (bag-valve-mask)	0% (cardiopulmonary arrest)			5% (20/392)	VERY LOW
Barbi 2003 ²⁴ , Italy	LA/ TA/Atropine/ Propofol	Upper endoscopies, colonoscopies, painful procedures	<1y to <10y: 61% (503/827) 10y to <21y:	Total: 1059 procedures in 827 children				Total: 0.5% (5/1059 procedures)			1.05% (3/827) (repeated vomiting)	6.04% (64/1059 procedures)	VERY LOW

			39% (324/827)	upper endoscopy: 483 procedures in 405 children colonoscopy: 289 procedures in 249 children painful: 287 procedures in 173 children				endoscopies 0.83% (4/483 procedures) colonoscopies 0% (0/289 procedures) painful 0.35% (1/287 procedures)			during procedure) 0.35% (1/827) (3hr after discharge)		
Barbi 2006 ²⁵	LA/TA/Atropine/ Propofol	Upper gastrointestinal endoscopy procedures	<1 to <10y: 65% (463/716) 10y to <21y: 35% (253/716)	811 procedures in 716 children				Total: 0.7% (6/811 procedures) [3 of these required bag-valve-mask: 0.4% (3/811)]			0.25% (2/811)	7% (58/811 procedures)	VERY LOW
Vespasiano 2007 ²²⁶ , USA	Propofol/LA	MRI, CT, nuclear medicine, lumbar puncture, intrathecal chemotherapy, bone marrow aspirates, electroencephalogram, evoked potentials, hearing tests	Overall age range: 0 mo to 21y 0 to 1mo: 0.4% (29/7304) 1mo to 1y: 1.9% (139/7304) 1 tp 5y: 56% (4076/7304) >5y: 42% (3060/7304)	7, 304	0.01% (1/7304)	0.96% (70/304) (oral airway) 1.57% (115/7304) (nasal trumpet)	0.03% (2/7304)	0.37% (27/7304) (bag and mask)	0% (cardiac arrest)			4.6% (338/7304)	VERY LOW
Larsen 2009 ¹³⁵	IV propofol					0.04% (2/4716) (mask)	0.02% (1/4716)	0.02% (1/4716)((bag-valve)					VERY LOW

<p>Cravero 2009⁴⁶, USA</p>	<p>Propofol used as the sole or primary sedative in 49,836 sedations/anaesthesia encounters: -20.4% (10149/49836) used in addition to: Midazolam [7.5% (3766/49 836)], Ketamine [1.76% (879/49, 836)], Chloral hydrate [0.3% (139/49 836)], Opioids ALL TYPES [10% (5061/49836)], OTHER [0.61% (304/49, 836)] -79.6% (39687/49836) for the remaining encounters</p>	<p>51, 483 primary diagnoses including: neurological (37.2%), haematology/oncology (23.6%), gastrointestinal (11.7%), infectious (5.2%), renal (4.4), orthopaedic (3.9%) congenital heart disease (2.4%), other defined diagnoses (10.6%) other not defined diagnoses (3.9%) no data (0.14%)</p>	<p>0 months to 8 years: 71% (35396/49836) > 8 years: 29% (14440/49836)</p>	<p>49, 836 sedation encounters</p>	<p>N=4 rate: 0.9</p>	<p>Airway obstruction: N=432, rate: 93.2 Emergency airway consultation (does not applied to cases delivered by anaesthesiologists): N=7, rate: 1.5</p>	<p>(cardiac arrest) N=2 rate: 0.4</p>		<p>(during sedation) N=49 rate: 10.6</p>	<p>N=716 rate: 154.4</p>	<p>VERY LOW Indirect population Difficult to draw conclusions as unclear whether sedation used for sedation or anaesthesia and unclear dose</p>
<p>Reported rates per 10, 000 Inadequate anaesthesia: N=392, rate: 85</p>											

1
2

1 **6.9.3 Evidence statements for propofol**

2 **6.9.3.1 RCT efficacy and safety for propofol**

3 **COMBINATION COMPARISONS**

4 **IV propofol + propofol maintenance + local anaesthesia vs. IV midazolam + IV**
 5 **ketamine + IV fentanyl**

6 *Vardi 2002*²²¹

- 7
 - All patients completed the procedure [low quality evidence]

8 Compared with children receiving intravenous midazolam + intravenous ketamine +
 9 intravenous fentanyl, children receiving intravenous propofol + propofol maintenance +
 10 local anaesthesia had significantly:

- 11
 - Faster recovery time (minutes) [low quality evidence]
 - Better satisfaction at sedation period (Ramsay scale) [very low quality evidence]

14 There was no significant difference in:

- 15
 - Duration of procedure (minutes) [low quality evidence]
 - Satisfaction at induction period (Ramsay scale) [very low quality evidence]
 - Assisted ventilation (bag-mask) [very low quality evidence]
 - Endotracheal intubation [very low quality evidence]

20 **6.9.3.2 Non RCT safety (adverse events) for propofol**

21 For the characteristics of studies and outcome data on propofol refer to Table 68 and
 22 Table 69

- 23
 - Two studies reported rates of aspiration: from 0% to 0.01%^{26,226}
 - Four studies reported rates of oral-pharyngeal airway intervention: from 0% to 3%^{26,135,168,226}
 - Four studies reported rates of endotracheal intubation: from 0% to 0.03%^{26,135,168,226}
 - Six studies reported rates of assisted ventilation - either bag-valve mask or controlled: from 0% to 0.8%^{24-26,135,168,226}
 - Two studies reported rates of External cardiac massage: there were no events of cardiac²²⁶ or cardiopulmonary²⁶ arrest

- 1 • Three studies reported rates of vomiting: from 0% to 1.05%^{24,25,168}.
- 2 • Four studies reported rates of oxygen desaturation <90%: from 0% to 7%²⁶,
- 3 24,25,226

4 In one study⁴⁶ it was unclear whether sedation was used for sedation or anaesthesia and
 5 how much dose of the propofol was administered. Based on a total of 49, 836
 6 sedations/anaesthesia encounters, the study reported a range of complications with rates
 7 (per 10,000) including:

- 8 • Aspiration: rate 0.9 (n=4)
- 9 • Airway obstruction: rate 93.2 (n=432)
- 10 • Emergency airway consultation (does not apply to cases delivered by
 11 anaesthesiologists): rate 1.5 (n=7)
- 12 • Cardiac arrest: rate 0.4 (n=2)
- 13 • Vomiting during sedation: rate 10.6 (n=49)
- 14 • Oxygen desaturation <90%: rate 154.4 (n=716)
- 15 • Inadequate anaesthesia: rate: 85, (n=392)

16

17 **6.9.4 GDG discussion of the evidence for propofol**

18 Propofol, being a short acting intravenous anaesthetic agent, can be titrated to achieve
 19 any target level of sedation and anaesthesia. In the evidence examined the success rate
 20 of propofol was not always specifically stated but was assumed by the GDG to be
 21 100%. The true level of sedation was often not stated. The GDG appreciated that the
 22 difference between sedative and anaesthesia doses was small and that unintentional
 23 anaesthesia was a risk with this drug. The GDG agreed that doses above 3mg/kg are
 24 likely to cause unconsciousness indistinguishable from anaesthesia. It was noted that doses
 25 necessary to cause sedation may depend upon the procedure. For example the dose
 26 required for a painless procedure would be less than for a painful procedure. The GDG
 27 noted that the dose of propofol required for a painful procedure maybe reduced by the
 28 use of analgesia and in this respect the combination of an opioid with propofol may
 29 reduce the doses of both drugs.

30 Seven studies^{24-26,168,221,226} were considered by the GDG (very low level evidence). The
 31 studies involved procedures ranging from painless imaging, painful ED procedures and
 32 endoscopy. The target sedation level was deep or not stated. The GDG considered the
 33 doses used and agreed that many of the children would have been anaesthetised at some
 34 stage.

35 The safety of propofol was discussed. In one large case series²⁶ the incidence of oxygen
 36 desaturation was 7% and the need for an airway device was approximately 3%. The
 37 GDG agreed that tracheal intubation would occasionally be required and that propofol
 38 should only be used by teams who had adequate training to manage anaesthesia.

1 The GDG noted that propofol was used in two studies^{24,25} for children undergoing
2 endoscopy. Propofol was being used without any airway device and the GDG agreed
3 that practitioners would need special training to ensure that the airway was not
4 obstructed by the insertion of the endoscope. The GDG believed that laryngospasm was
5 an appreciable risk during this procedure and that sedation teams would need the skills
6 and judgement to manage it.

7 The GDG discussed the use of a technique combining propofol with other sedation drugs
8 such as midazolam, ketamine and opioids. The GDG understood that combinations of
9 these drugs are being used to provide sedation for dental procedures in the UK. No
10 RCTs were found testing the combinations of these drugs and therefore the efficacy could
11 not be assessed.

12 The GDG thought that such a technique could cause unintentional deep and prolonged
13 sedation. While it is true that the effects of opioid and midazolam can be reversed by
14 naloxone and flumazenil, the reversal requires prompt administration and sedation may
15 outlast the effects of reversal agent(s).

16 In contrast to drug combinations, the GDG agreed that unconsciousness and airway
17 effects are more likely with propofol, but are brief. Recovery of full consciousness after
18 propofol is much more rapid and airway obstruction or apnoea can be managed with
19 appropriate skills and equipment.

20 The GDG discussed the potential economic advantages of using propofol to either
21 sedate or anaesthetise children for a wide variety of procedures. In comparison with
22 almost any other method of sedation, propofol was the most effective apart from
23 ketamine and sevoflurane. Provided intravenous access could be achieved propofol had
24 the advantages of speedy onset and recovery. Propofol could enable a faster turnover
25 of patients than many techniques. The disadvantage however is that propofol would
26 need the same staff and facilities as an anaesthetic. This clearly has resource implications
27 but the GDG agreed that if the demand of procedure was high the rapid nature of
28 propofol sedation/anaesthesia could prove to be economically advantageous

29 The agreement by the GDG is that economic analysis should be conducted only for
30 sedation techniques commonly available in the NHS. Economic analysis was conducted for
31 six broad groups (dental procedure in children, dental procedure in adolescents, short
32 painful procedures, painless imaging, oesophago-gastroscopy and colonoscopy).
33 Propofol combined with fentanyl was felt to be a strategy commonly used in short
34 painful procedures, and there is some evidence from the systematic review of opioids
35 that propofol plus fentanyl is an effective and safe strategy. The GDG therefore agreed
36 that the combination strategy should be compared to other relevant strategies in the
37 economic analysis conducted for this population group. Details of the considerations of
38 cost-effectiveness with respect to using propofol plus fentanyl in short painful procedures
39 are given in section 6.1.2.1.2.

40

41

1 **6.10 Opioids**

Matrix of opioids comparators

Key:

- Chloral hydrate = CH
- Fentanyl = F
- Isoflurane = I
- Ketamine=K
- Local anaesthesia = LA
- Midazolam = M
- Nitrous oxide = N₂O
- Nitrous oxide and oxygen = N₂O+O₂
- Opioids = O
- Propofol= P
- Sevoflurane = S
- Triclofos sodium = TS

Opioids vs

	Reference	Tables	Evidence statements page
Placebo			
Nil			
Head to head			
Nil			
Combinations			
F + P vs. P + placebo	Cechvala 2008 ³⁸ Hollman 2008 ⁹⁴	Table 70	311
F + P vs. P	Disma 2005 ⁵⁶	Table 71	311
F + P vs. M + P	Disma 2005 ⁵⁶	Table 72	312
F + M vs. M + K	Lucas da Silva 2007 ¹⁵¹ Kennedy 1998 ¹²⁹	Table 73	312
F + P vs. P + K	Tosun 2007 ²¹⁵	Table 74	313
Safety			
RCTs			
Assisted ventilation	Cechvala 2008 ³⁸ Hollman 2008 ⁹⁴ Disma 2005 ⁵⁶	Table 76 Table 76	314

	Kennedy 1998 ¹²⁹		
ET intubation	Cechvala 2008 ³⁸ Hollman 2008 ⁹⁴	Table 76 Table 76	314
CPR / defibrillation	Lucas da Silva 2007 ¹⁵¹	Table 76 Table 76	314
Desaturation	Cechvala 2008 ³⁸ Hollman 2008 ⁹⁴ Disma 2005 ⁵⁶ Lucas da Silva 2007 ¹⁵¹ Kennedy 1998 ¹²⁹ Tosun 2007 ²¹⁵	Table 76 Table 76	314
Vomiting	Cechvala 2008 ³⁸ Hollman 2008 ⁹⁴ Kennedy 1998 ¹²⁹ Tosun 2007 ²¹⁵	Table 76 Table 76	314
Aspiration	Kennedy 1998 ¹²⁹	Table 76 Table 76	314
Observational studies	Pitetti 2003 ¹⁸⁴ Sanborn 2005 ¹⁹⁸ Roback 2005 ¹⁹¹ Mamula 2007 ¹⁵⁷ Sacchetti 2007 ¹⁹⁷	Table 76 Table 76	314
Route of administration			
Nil			
Dose			
Nil			

1 **6.10.1 Clinical methodological introduction for opioids**

2 **CLINICAL QUESTIONS:**

3 For children and young people under the age of 19 undergoing diagnostic or
4 therapeutic procedures, is intravenous morphine, intravenous fentanyl or intranasal
5 diamorphine (with or without: analgesia, another drug or psychological techniques):

6 - Effective for sedation (at minimal, moderate, and deep levels) in comparison with usual
7 care, with analgesia alone, with another sedation drug, with psychological techniques or
8 with general anaesthesia?

9 - Safe for sedation (at mild, moderate, and deep levels) in different settings?

10 The literature was searched for systematic reviews and RCTs for the clinical efficacy of
11 opioids (intravenous morphine, intravenous fentanyl or intranasal diamorphine). The
12 search was expanded to include non RCT observational studies for the safety of opioids.

13 There were no systematic reviews identified for the use of opioids in paediatric sedation.

14 Five RCTs comparing intravenous morphine, intravenous fentanyl, and intranasal
15 diamorphine with other sedative drugs were assessed for efficacy and safety.

16 Five non RCT observational studies with total n=2439 were assessed for safety of
17 opioids.

18 Crossover trials were treated separately from parallel armed trials unless there was
19 sufficient data to allow their combination.

20 Meta-analyses for RCTs were performed where drug interventions and comparisons and
21 outcomes were sufficiently homogenous and studies were combined regardless of dose,
22 duration of intervention, procedure (within painful and non-painful groups), setting (e.g.
23 dentistry, accident and emergencies) and age.

24 **6.10.2 Evidence profiles for opioids**

25 **6.10.2.1 RCT evidence profiles for efficacy and safety for opioids**

26 Study characteristics and methodological quality of the study are provided in Appendix
27 D. GRADE tables for quality assessment of study outcomes and summary of findings are
28 provided below.

1 **COMBINATION COMPARISONS**

2 **Table 70: Intravenous fentanyl + intravenous propofol vs. intravenous propofol + placebo; Cechvala, 2008; Hollman 2008^{38,94}**

3 **Question:** Should intravenous fentanyl plus intravenous propofol vs. intravenous propofol plus placebo be used in children and young people undergoing diagnostic and therapeutic procedures?
 4 **Settings:** hospital outpatients
 5 **Bibliography:** Cechvala 2008³⁸; Hollman 2008⁹⁴ (Lumbar puncture)

Quality assessment							Summary of findings				Quality	Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect			
							intravenous fentanyl plus intravenous propofol	intravenous propofol plus placebo	Relative (95% CI)	Absolute		
Completion of procedure												
1	randomised trial	no serious limitations ¹	no serious inconsistency	no serious indirectness	serious ²	none	22/22 (100%)	22/22 (0%)	not estimable	-	MODERATE	
Anxiety recorded by the study investigator using a validated scale (modified Yale Preoperative Anxiety Scale (mYPAS))												
1	randomised trial	no serious limitations ¹	no serious inconsistency	no serious indirectness	serious ^{2,3}	none	0/22 (0%) ³	0/22 (0%)	-	not pooled	MODERATE	
Recovery based on the paediatric discharge criteria modified from the Connecticut Children's Medical Centre Scoring System and consisted of two recovery phases: 1) between procedure and when patient ready for discharge and 2) discharge of patients from sedation program after satisfactory completion of phase 2 monitoring criteria; Better indicated by less)												
1	randomised trial	no serious limitations ¹	no serious inconsistency	no serious indirectness	serious ⁴	none	22	22	-	MD -12.50 (-22.4 to -2.6) ⁵	MODERATE	
Parents preference												
1	randomised trial	no serious limitations ¹	no serious inconsistency	no serious indirectness	serious ⁶	none	16/21 (76.2%)	5/21 (23.8%)	RR 3.20 (1.44 to 7.13) ⁷	524 more per 1000 (from 105 more to 1000 more) 0 more per 1,000	MODERATE	
Adverse events: Assisted ventilation (flow inflating anaesthesia bag)												
1	randomised trial	no serious limitations	no serious inconsistency	no serious indirectness	very serious	none	1/22 (4.5%)	1/22 (4.5%)	RR 1 (0.07 to 15) ⁸	0 fewer per 1000 (from 42 fewer to 630 more) 0 fewer per 1,000	LOW	
Adverse events: Endotracheal intubation												
1	randomised trial	no serious limitations ¹	no serious inconsistency	no serious indirectness	serious ^{2,9}	none	0/22 (0%) ⁹	0/22 (0%)	not pooled	-	MODERATE	

Adverse events: Oxygen desaturation <90%												
1	randomised trial	no serious limitations	no serious inconsistency	no serious indirectness	very serious ^{2,10,11}	none	0/22 (0%)	1/22 (0%)	RR 0.33 (0.01 to 7.76) ¹⁰	0 fewer per 1,000	LOW	
Adverse events: Vomiting												
1	randomised trial	no serious limitations ¹	no serious inconsistency	no serious indirectness	very serious ^{2,10,11}	none	0/22 (0%)	1/22 (4.5%)	RR 0.33 (0.01 to 7.76)	30 fewer per 1000 (from 45 fewer to 304 more)	LOW	
									0 fewer per 1,000			

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¹ Cechvala 2008³⁸ (Hollman 2008⁹⁴): double blind study - patients and outcome assessors blinded, ITT - yes, all patients followed and adequate allocation concealment; small study
² Cechvala 2008³⁸: small study
³ Cechvala 2008³⁸: stated that patients were not statistically different between groups in the level of anxiety as assessed by the mYPAS scale either before or after the administration of fentanyl and placebo
⁴ Cechvala 2008³⁸: imprecise, confidence intervals cross left confidence limit
⁵ Cechvala 2008³⁸: p=0.01
⁶ Cechvala 2008³⁸: imprecise, outside (right) confidence limits; small study
⁷ Cechvala 2008³⁸: p=0.004
⁸ Cechvala 2008³⁸: p=1.00
⁹ Cechvala 2008³⁸: stated there were no events of endotracheal intubation
¹⁰ Cechvala 2008³⁸: p=0.49
¹¹ Cechvala 2008³⁸: very wide confidence intervals crossing both confidence limits

1 **Table 71: Intravenous fentanyl + intravenous propofol vs. intravenous propofol; Disma 2005⁵⁶**

Question: Should intravenous fentanyl plus intravenous propofol vs. intravenous propofol (with topical and local anaesthesia in both arms) be used in children and young people undergoing diagnostic and therapeutic procedures?

Settings: gastroenterology

Bibliography: Disma 2005⁵⁶ (Endoscopy)

Quality assessment							Summary of findings				Quality	Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect			
							intravenous fentanyl plus intravenous propofol plus topical anaesthesia plus local anaesthesia	intravenous propofol plus topical anaesthesia plus local anaesthesia	Relative (95% CI)	Absolute		
Completion of procedure												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision	none	82/82 (100%)	80/80 (100%)	not estimable	-	MODERATE	
Duration of procedure (Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	82	80	-	MD -0.60 (-1.37 to 0.17) ³	LOW	
Recovery assessed using a validated scale: from completion of scan to achievement of Aldrete score of >=8 (measured with: Aldrete score; range of scores: 1-10; Better indicated by more)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ⁴	none	82	80	-	MD 2.40 (-0.09 to 4.89) ⁵	LOW	
Adverse events: Assisted ventilation (bag mask)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	very serious ^{6,7}	none	2/82 (2.4%)	3/80 (3.8%)	RR 0.09 (0 to 1.58) ⁸	35 fewer per 1000 (from 38 fewer to 22 more)	VERY LOW	
										0 fewer per 1,000		
Adverse events: Oxygen desaturation <90%												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ⁶	none	2/82 (2.4%)	3/80 (3.8%)	RR 0.65 (0.11 to 3.79) ⁹	13 fewer per 1000 (from 34 fewer to 106 more)	LOW	
										0 fewer per 1,000		

¹ Disma 2005⁵⁶: ITT appeared to be performed and there were no loss of follow up reported; however, allocation concealment and blinding of patients were not stated and blinding of outcome assessors was not clear; small study

² Disma 2005⁵⁶: imprecise, crosses left confidence limit

³ Disma 2005⁵⁶: p=0.13

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- ⁴ Disma 2005⁵⁶: imprecise, crosses right confidence limit
- ⁵ Disma 2005⁵⁶: $p=0.06$
- ⁶ Disma 2005⁵⁶: very imprecise, crosses both confidence limits and very wide confidence interval
- ⁷ Disma 2005⁵⁶: small study
- ⁸ Disma 2005⁵⁶: $p=0.10$
- ⁹ Disma 2005⁵⁶: $p=0.63$

1 **Table 72: Intravenous fentanyl + intravenous propofol vs. intravenous midazolam + intravenous propofol; Disma 2005⁵⁶**

Question: Should intravenous fentanyl plus intravenous propofol vs. intravenous midazolam plus intravenous propofol (with topical anaesthesia in both arms) be used in children and young people undergoing diagnostic and therapeutic procedures?

Settings: gastroenterology

Bibliography: Disma 2005⁵⁶ (Endoscopy)

Quality assessment							Summary of findings				Quality	Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect			
							intravenous fentanyl plus intravenous propofol plus topical anaesthesia	intravenous midazolam plus intravenous propofol plus topical anaesthesia	Relative (95% CI)	Absolute		
Duration of procedure (Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision ²	none	82	78	-	MD -0.40 (-1.17 to 0.37) ³	MODERATE	
Recovery assessed using a validated scale: from completion of scan to achievement of Aldrete score of >=8 (measured with: Aldrete score; range of scores: 1-10; Better indicated by more)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision ⁴	none	82	78	-	MD -0.10 (-2.46 to 2.26) ⁵	MODERATE	
Completion of procedure												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision	none	82/82 (100%)	78/78 (100%)	not estimable	-	MODERATE	
Adverse Events: Assisted ventilation (bag mask)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision	none	0/82 (0%) ⁶	0/78 (0%)	not pooled	-	MODERATE	
Adverse Events: Oxygen desaturation <90%												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	very serious ⁶	none	2/82 (2.4%)	2/78 (2.6%)	RR 0.95 (0.14 to 6.59) ⁷	1 fewer per 1000 (from 22 fewer to 145 more) 0 fewer per 1,000	VERY LOW	

¹ Disma 2005⁵⁶: ITT appeared to be performed and there were no loss of follow up reported; however, allocation concealment and blinding of patients were not stated and blinding of outcome assessors was not clear; small study

² Disma 2005⁵⁶: precise, within confidence limits

³ Disma 2005⁵⁶: p=0.31

⁴ Disma 2005⁵⁶: precise within confidence limits

⁵ Disma 2005⁵⁶: p=0.93

⁶ Disma 2005⁵⁶: very imprecise, crosses both confidence limits and too wide confidence intervals

⁷ Disma 2005⁵⁶: p=0.96

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1 ⁸ Disma 2005⁵⁶:The study reported that no patients needed bag-mask ventilation for assisted ventilation

1 **Table 73: Intravenous fentanyl + intravenous midazolam vs. intravenous midazolam + intravenous ketamine**
 2 **Lucas da Silva 2007¹⁵¹ and Kennedy 1998¹²⁹**

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Question: Should intravenous fentanyl plus intravenous midazolam vs. intravenous midazolam plus intravenous ketamine be used in children and young people undergoing diagnostic and therapeutic procedures?

Settings: hospital inpatients and accidents and emergencies

Bibliography: Lucas Da Silva 2007¹⁵¹ (central venous catheter insertion) - hospital inpatients; Kennedy 1998¹²⁹ (orthopaedic: fracture or joint reduction) - accidents and emergencies

Quality assessment							Summary of findings					Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect		Quality	
							intravenous fentanyl plus intravenous midazolam	intravenous midazolam plus intravenous ketamine	Relative (95% CI)	Absolute		
Completion of procedure (Lucas Da Silva 2007¹⁵¹; Kennedy 1998¹²⁹) (follow-up mean 101-121 minutes¹)												
2	randomised trial	very serious ^{2,3}	no serious inconsistency	no serious indirectness	no serious imprecision	none	155/158 (98.1%)	158/159 (99.4%)	RR 0.98 (0.95 to 1.01) ⁴	20 fewer per 1000 (from 50 fewer to 10 more)	LOW	
										0 fewer per 1,000		
Induction time (Lucas Da Silva 2007¹⁵¹): time from initial sedative administration to onset of the procedure (follow-up mean 7.5 minutes; Better indicated by less)												
1	randomised trial	serious ²	no serious inconsistency	no serious indirectness	serious ⁵	none	28	29	-	MD 2 (-0.002 to 5.998) ⁶	LOW	
Recovery time (Lucas Da Silva 2007¹⁵¹): time from end of procedure to awakening (follow-up mean 20 minutes; Better indicated by less)												
1	randomised trial	serious ²	no serious inconsistency	no serious indirectness	serious ⁵	none	28	29	-	MD -5 (-15 to 7.9) ⁷	LOW	
Total time (Lucas Da Silva 2007¹⁵¹): time from initial sedative administration to spontaneous eye opening (follow-up mean 101 minutes; Better indicated by less)												
1	randomised trial	serious ²	no serious inconsistency	no serious indirectness	serious ⁵	none	28	29	-	MD 6.5 (-19 to 33) ⁸	LOW	
Adverse events: External cardiac massage/defibrillation (Lucas Da Silva 2007¹⁵¹)												
1	randomised trial	serious ²	no serious inconsistency	no serious indirectness	serious ⁹	none	0/28 (0%)	0/29 (0%)	not pooled	0 fewer per 1000 (from 0 fewer to 0 fewer)	LOW	
										0 fewer per 1,000		
Adverse events: Oxygen desaturation <90% (Lucas Da Silva 2007¹⁵¹) (follow-up 101 minutes)												
1	randomised trial	serious ²	no serious inconsistency	no serious indirectness	serious ¹⁰	none	0/28 (0%)	2/29 (6.9%)	RR 0.21 (0.01 to 4.13)	55 fewer per 1000 (from 68 fewer to 216 more)	LOW	
										0 fewer per 1,000		

Induction time (Kennedy 1998¹²⁹): between first midazolam dose and first orthopaedic manipulation (follow-up mean 13 minutes; measured with: time in minutes between first midazolam dose and first orthopaedic manipulation; Better indicated by less)												
1	randomised trial	serious ³	no serious inconsistency	no serious indirectness	serious ¹¹	none	130	130	-	MD 0.30 (-2.5 to 3.1) ¹²	LOW	
Distress during procedure assessed by observer using a validated scale (Kennedy 1998¹²⁹) (measured with: Observational Scale of Behavioural Distress-Revised (OSBD-R); range of scores: 0-23.5; Better indicated by less)												
1	randomised trial	serious ³	no serious inconsistency	no serious indirectness	serious ¹³	none	130	130	-	MD 1.62 (1.2 to 2.04) ¹⁴	LOW	
Anxiety during procedure assessed by parent using a validated scale (Kennedy 1998¹²⁹) (measured with: Visual Analogue Scale; range of scores: 0-10; Better indicated by less)												
1	randomised trial	serious ³	no serious inconsistency	no serious indirectness	serious ¹⁵	none	130	130	-	MD 1.01 (0.22 to 1.8) ¹⁶	LOW	
Pain during procedure assessed by parent using a validated scale (Kennedy 1998¹²⁹) (measured with: Visual Analogue Scale; range of scores: 0-10; Better indicated by less)												
1	randomised trial	serious ³	no serious inconsistency	no serious indirectness	serious ¹⁵	none	130	130	-	MD 1.34 (0.53 to 2.15) ¹⁷	LOW	
Total time (Kennedy 1998¹²⁹): from administration of intervention to when patient has been transferred to recovery area (follow-up mean 127.6 minutes¹⁸; Better indicated by less)												
1	randomised trial	serious ³	no serious inconsistency	no serious indirectness	serious ¹⁹	none	130	130	-	MD -13.90 (-25.46 to -2.34) ²⁰	LOW	
Adverse events: Aspiration (Kennedy 1998¹²⁹) (follow-up mean 121 minutes; throughout procedure; number of patients)												
1	randomised trial	serious ³	no serious inconsistency	no serious indirectness	serious ²¹	none	0/130 (0%) ²²	0/130 (0%)	not pooled	-	LOW	
Adverse events: Assisted ventilation - bag-valve mask (Kennedy 1998¹²⁹) (follow-up mean 121 minutes; throughout procedure)												
1	randomised trial	serious ³	no serious inconsistency	no serious indirectness	very serious ²³	none	0/130 (0%)	2/130 (1.5%)	RR 0.20 (0.01 to 4.13) ²⁴	12 fewer per 1000 (from 15 fewer to 47 more)	VERY LOW	
										0 fewer per 1,000		
Adverse events: Vomiting during procedure (Kennedy 1998¹²⁹) (follow-up mean 121 minutes; throughout procedure)												
1	randomised trial	serious ³	no serious inconsistency	no serious indirectness	serious ^{11,25}	none	0/130 (0%)	1/130 (0.8%)	RR 0.33 (0.01 to 8.11) ²⁶	5 fewer per 1000 (from 8 fewer to 57 more)	LOW	
										0 fewer per 1,000		
Adverse events: Vomiting during recovery (Kennedy 1998¹²⁹)												
1	randomised trial	serious ³	no serious inconsistency	no serious indirectness	serious ¹¹	none	0/130 (0%)	1/130 (0.8%)	RR 0.27 (0.08 to 0.96) ²⁷	6 fewer per 1000 (from 0 fewer to 7 fewer)	LOW	
										0 fewer per 1,000		
Adverse events: Oxygen desaturation <90% Kennedy1998¹²⁹ (follow-up mean 121 minutes; throughout procedure)												
1	randomised trial	serious ³	no serious inconsistency	no serious indirectness	serious ^{11,25}	none	31/130 (23.8%)	8/130 (6.2%)	RR 3.88 (1.85 to 8.11) ²⁸	179 more per 1000 (from 53 more to 441 more)	LOW	
										0 more per 1,000		

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- ¹ Lucas Da Silva 2007¹⁵¹: mean follow up 101 minutes; Kennedy 1998: mean follow up 121 minutes
- ² Lucas Da Silva 2007¹⁵¹: double blinding was deemed impractical because of different dosing algorithms of the drugs used and because medications used present clinically distinguishable effects; small study
- ³ Kennedy 1998¹²⁹: quasi randomised; subjects stratified according to initial parental choice to remain in the room or not during reduction and were then randomly assigned in blocks of 20 within strata to receive fentanyl or ketamine; not fully blinded: blinding of patients and parents not stated, two trained observers blinded to study purpose and design reviewed the videotape of each study but unable to blind sedators; ITT performed and all patients followed
- ⁴ Kennedy 1998¹²⁹ and Lucas Da Silva: p=0.31
- ⁵ Lucas Da Silva 2007¹⁵¹: median results were reported for the outcomes of induction time, total time and recovery time thus not possible to combine with Kennedy 1998¹²⁹; small sample size
- ⁶ Lucas Da Silva 2007¹⁵¹: p=0.03; stated median results with p-values on the study
- ⁷ Lucas Da Silva 2007¹⁵¹: p=0.40; stated median results with p-values on the study
- ⁸ Lucas Da Silva 2007¹⁵¹: p=0.67; stated median results with p-values on the study
- ⁹ Lucas Da Silva 2007¹⁵¹: study stated there was an increase in cardiac arrest but 'no intervention was required' and 'no cardiac abnormalities were detected'
- ¹⁰ Lucas Da Silva 2007¹⁵¹: wide confidence intervals crossing both precision limits
- ¹¹ Kennedy 1998¹²⁹: small sample size
- ¹² Kennedy 1998¹²⁹: p=0.83
- ¹³ Kennedy 1998¹²⁹: precise but OBSD-R may be biased by subjectivity of observer
- ¹⁴ Kennedy 1998¹²⁹: p < 0.00001
- ¹⁵ Kennedy 1998¹²⁹: crosses right precision limit
- ¹⁶ Kennedy 1998¹²⁹: p=0.01
- ¹⁷ Kennedy 1998¹²⁹: p=0.001
- ¹⁸ Kennedy 1998¹²⁹: control group had the longest total time 127.6 minutes (SD56.2) compared to 113.7 minutes (SD36.9) in the intervention group
- ¹⁹ Kennedy 1998¹²⁹: crosses left precision limit
- ²⁰ Kennedy 1998¹²⁹: p=0.02
- ²¹ Kennedy 1998¹²⁹: small sample
- ²² Kennedy 1998¹²⁹: study stated there were no events of aspiration
- ²³ Kennedy 1998¹²⁹: crosses both precision limits; too wide confidence intervals
- ²⁴ Kennedy 1998¹²⁹: p=0.30
- ²⁵ Kennedy 1998¹²⁹: precise; wide confidence intervals; no possible to combine with Lucas Da Silva 2007 due to significant heterogeneity (I²=72%; p=0.06) between studies for this outcome
- ²⁶ Kennedy 1998¹²⁹: p=0.50
- ²⁷ Kennedy 1998¹²⁹: p=0.04
- ²⁸ Kennedy 1998¹²⁹: p=0.0003

1 **Table 74: Intravenous fentanyl + intravenous propofol vs. intravenous propofol + intravenous ketamine; Tosun 2007²¹⁵**

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Question: Should intravenous fentanyl plus intravenous propofol vs. intravenous propofol plus intravenous ketamine (with topical anaesthesia in both arms) be used in children and young people undergoing diagnostic and therapeutic procedures?

Settings: gastroenterology

Bibliography: Tosun 2007²¹⁵ (upper and lower endoscopy)

Quality assessment							Summary of findings				Quality	Importance
No of studies	Design	Limitations	Inconsistency	Indirectness	Imprecision	Other considerations	No of patients		Effect			
							intravenous fentanyl plus intravenous propofol plus topical anaesthesia	intravenous propofol plus intravenous ketamine plus topical anaesthesia	Relative (95% CI)	Absolute		
Completion of procedure (follow-up mean 116 minutes)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision	none	44/44 (100%)	46/46 (100%)	RR 1 (0 to 0)	0 fewer per 1000 (from 1000 fewer to 1000 fewer)	MODERATE	
										0 fewer per 1,000		
Duration of procedure (follow-up mean 116 minutes; Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	no serious imprecision ²	none	44	46	-	MD -0.20 (-1.27 to 0.87)	MODERATE	
Pain: number of patients who needed additional propofol during induction as evidenced by discomfort/moving during procedure (follow-up 0-1 minute after induction)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ³	none	22/44 (50%)	8/46 (17.4%)	RR 2.88 (1.43 to 5.76) ⁴	0 more per 1,000	LOW	
Pain: number of patients who needed additional propofol as evidenced by discomfort/moving during procedure												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ³	none	41/44 (93.2%)	32/46 (69.6%)	RR 1.34 (1.09 to 1.65) ⁵	0 more per 1,000	LOW	
Recovery: time from completion of procedure to recovery/discharge criteria being met (follow-up mean 4.5 minutes; Better indicated by less)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ²	none	44	46	-	MD 0.80 (-11.16 to 12.76) ⁶	LOW	
Adverse events: Oxygen desaturation <90% (follow-up mean 116 minutes; throughout procedure)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ⁷	none	4/44 (9.1%)	3/46 (6.5%)	RR 1.39 (0.33 to 5.88) ⁸	25 more per 1000 (from 44 fewer to 317 more)	LOW	
										0 more per		

										1,000		
Adverse events: Vomiting (follow-up mean 116 minutes; throughout procedure)												
1	randomised trial	serious ¹	no serious inconsistency	no serious indirectness	serious ⁹	none	0/44 (0%)	7/46 (15.2%)	RR 0.07 (0 to 1.18) ¹⁰	0 fewer per 1,000	LOW	

¹ Tosun 2007²¹⁵: Unclear allocation concealment; small trial, total n=90;no loss to follow up; double blind

² Tosun 2007²¹⁵: precise within precision limits; wide confidence intervals

³ Tosun 2007²¹⁵: wide confidence interval; few events

⁴ Tosun 2007²¹⁵: p=0.003

⁵ Tosun 2007²¹⁵: p=0.006

⁶ Tosun 2007²¹⁵: p=0.90

⁷ Tosun 2007²¹⁵: imprecise, crosses both confidence limits; wide confidence intervals

⁸ Tosun 2007²¹⁵: p=0.65

⁹ Tosun 2007²¹⁵: imprecise, crosses left confidence limit

¹⁰ Tosun 2007²¹⁵: p=0.07

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1 **6.10.2.2 Non RCT evidence profiles for safety for opioids**

2 Five non RCT observational studies in 2,439 patients assessed the safety of
3 opioids^{157,184,191,197,198}. There were four prospective studies, and one retrospective study
4 conducted for the following procedures: imaging procedures (1), accidents and
5 emergencies procedures (3) as well for GI procedures (1).

6 The non RCT study characteristics for opioids are presented in Table 76.

7 The non RCT adverse event table for opioids is presented in Table 76.

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1 **Table 75: Opioids Non RCT Study Characteristics Safety Review**

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Study	Setting	ASA	Sedation type	Gender, % male	Drug (doses)	Fasting
Pitetti 2003 ¹⁸⁴ , USA	Accidents and emergencies Prospective descriptive study	81% were Class I; 17% were class II; 1.3% were class III and 0.1% were class IV.	Procedural sedation	65.1% boys in total sample (791)	IV fentanyl citrate + midazolam & IV morphine sulphate + midazolam and IV midazolam Mean fentanyl dose: 2.7 mcg /kg Midazolam 0.1 mg/kg; Morphine not stated	Mean fasting 5.0 + 2.8 hours before sedation.
Sanborn 2005 ¹⁹⁸ , USA	Imaging Retrospective chart review	44% of total subjects were ASA I; 51% ASA ii; 4% ASA III; 0.1% ASA IV; 0.1% ASA V.	IV fentanyl + midazolam and IV fentanyl	56% of total were male	Doses not stated	Not stated
Roback 2005 ¹⁹¹ , USA	Accidents and emergencies Prospective observational database	Not stated	Procedural sedation	60.4% of total were male	Midazolam + fentanyl vs. midazolam alone	Not stated
Mamula 2007 ¹⁵⁷ , USA	Operating Room	ASA I-III	Intravenous or general anaesthesia	55% (674/1226)	IV midazolam (2 mg/2mL) & fentanyl (100 mcg/2mL) during 1 minute. Midazolam 0.05 to 0.1 mg/kg max 2 mg; fentanyl 1 mcg/kg max 75 mcg Oral midazolam for anxious patients; IV diphenhydramine as additional sedative	3 hours
Sacchetti 2007 ¹⁹⁷ , USA	Accidents and emergencies Prospective observational database	94.1%of total cohort Class I, 5.3% class II and 0.6% class III.	Procedural sedation	Not stated	Fentanyl & Morphine	Not stated

1 **Table 76: Opioids Safety: Non RCTs**

Study type, reference, country	Drug / Comparison	Procedure	Age	Total N	ADVERSE EVENTS, rate: % (n)								GRADE PROFILE
					Aspiration				Cardiac arrest requiring either/or		vomiting	oxygen desaturation <90%	EVIDENCE QUALITY
						oral-pharyngeal airway	endotracheal intubation	assisted ventilation	external cardiac massage	defibrillation			
Pitetti 2003 ¹⁸⁴ , USA	IV fentanyl citrate + midazolam hydrochloride Vs. midazolam alone	A & E	0-21 years	686 vs 65 Complications reported as total adverse events: 23.5% vs. 1.5%									VERY LOW
	IV morphine sulphate + midazolam Vs. IV midazolam	A & E	0-21 years	48 vs. 65 Complications reported as total adverse events: 16.7% vs. 1.5%									VERY LOW
Sanborn 2005 ¹⁹⁸ , USA	Fentanyl	MR and Ct imaging	Mean age of total sample was 4.8 years + 4.6	42/16467	0	0	0	0	0	0		0	VERY LOW
Roback 2005 ¹⁹¹ , USA	Midazolam +Fentanyl Vs. Midazolam	A & E	19 days to 32 years; median 6.7 years	336 vs. 260				All patients experiencing apnea or laryngospasm were managed with administrati			Midazolam/fentanyl 1.8% (6/336) Midazolam 0.8% (2/260)	Respiratory adverse events reported and included oxygen saturation	VERY LOW

								on of oxygen, breathing cues, airway positioning or bag-mask ventilation. Numbers of each intervention were not provided.				<90%, apnea or laryngospasm Midazolam/fentanyl 19.3% (65/336) Midazolam 5.8% (15/260)	
Mamula, 2007 ¹⁵⁷ , USA	iv midazolam/fentanyl/only when needed: oral Mid for anxious children & diphenhydramine to reach desired effect	oesophagogastroduodenoscopies colonoscopies and combined	range: 0.1-34 y 4%(55/1226) were ≥18 years median: 10 y mean: 9.05 y (SD 5.8)	1226	0% (pulmonary aspiration)		0%	0.16% (2/1226) (bag/mask ventilation)	0% (0/1226) (cardiac arrest)		5.2% (64/1226) (during recovery)		VERY LOW
Sacchetti 2007 ¹⁹⁷ , USA	Fentanyl	A & E	0-20 years	51/977 *episode of apnea with fentanyl and etomidate which required reversal was only adverse event reported.									VERY LOW

1 **6.10.3 Evidence statements for opioids**

2 **6.10.3.1 RCT efficacy and safety for opioids**

3 **COMBINATIONS COMPARISONS**

4 **IV fentanyl + IV propofol vs. IV propofol + placebo**

5 *Cechvala 2008*³⁸; *Hollman 2008*⁹⁴

- 6 • All patients completed the procedure [moderate quality evidence]

7 Compared to intravenous propofol + placebo, the intravenous fentanyl + intravenous
8 propofol group was significantly:

- 9 • Preferred among parents [moderate quality evidence]
- 10 • Faster in recovery time (Connecticut Children's Medical Centre Scoring System)
11 [moderate quality evidence]

12 There were no events of:

- 13 • Endotracheal intubation [moderate quality evidence]

14 There was no significant difference in:

- 15 • Anxiety (mYPAS) [moderate quality evidence]
- 16 • Assisted ventilation (flow inflating anaesthesia bag) [low quality evidence]
- 17 • Oxygen desaturation < 90% [low quality evidence]
- 18 • Vomiting [low quality evidence]

19
20 **IV fentanyl + IV propofol vs. IV propofol**

21 *Disma 2005*⁵⁶

- 22 • All patients completed the procedure [moderate quality evidence]
- 23 • There was no significant difference in:
 - 24 • Recovery time (Aldrete score) [low quality evidence]
 - 25 • Duration of procedure [low quality evidence]
 - 26 • Oxygen desaturation <90% [low quality evidence]
 - 27 • Assisted ventilation (bag-mask ventilation) [very low quality evidence]

28

1 **IV fentanyl + IV propofol vs. IV midazolam + IV propofol**

2 *Disma 2005*⁵⁶

- 3 • All patients completed the procedure [moderate quality evidence]

4 There was no significant difference in:

- 5 • Duration of procedure [moderate quality evidence]
 6 • Recovery time [moderate quality evidence]
 7 • Oxygen desaturation <90% [very low quality evidence]

8 There were no events of:

- 9 • Assisted ventilation (bag mask) [moderate quality evidence]

10

11 **IV fentanyl + IV midazolam vs. IV midazolam + IV ketamine**

12 For the outcome of oxygen desaturation (<90%), we found evidence of highly significant
 13 heterogeneity ($I^2=72\%$; $p=0.06$) between two RCTs^{129,151}. Possible sources of
 14 heterogeneity could be attributed to the differences between the studies in procedure
 15 performed (catheter insertion versus orthopaedic fracture or joint reduction) and length
 16 of procedure (orthopaedic fracture or joint reduction takes longer), setting (inpatients
 17 versus accidents and emergencies) and varying dose of combination agents. We
 18 therefore felt it was not appropriate to pool the RCTs together in a meta-analysis and
 19 the studies are presented separately for this outcome.

20 *Lucas da Silva 2007*¹⁵¹, *Kennedy 1998*¹²⁹

21 There was no significant difference in:

- 22 • Completion of the procedure [low quality evidence]

23 *Lucas da Silva 2007*¹⁵¹

24 There was no significant difference in:

- 25 • Induction time [low quality evidence]
 26 • Recovery time (minutes) [low quality evidence]
 27 • Total sedation time (minutes) [low quality evidence]
 28 • Oxygen desaturation <90% [low quality evidence]

29 There were no events of:

- 30 • External cardiac massage or defibrillation [low quality evidence]

- 1 *Kennedy 1998*¹²⁹
- 2 Compared to the intravenous midazolam + intravenous ketamine group, the intravenous
3 midazolam + intravenous fentanyl group had significantly:
- 4 • Higher distress scores during procedure (OSBD-R) [low quality evidence]
- 5 • Higher anxiety scores (VAS) [low quality evidence]
- 6 • Higher pain scores during procedure (VAS) [low quality evidence]
- 7 • Shorter total time [low quality evidence]
- 8 • More oxygen desaturation <90% [low quality evidence]
- 9 • Less vomiting during recovery [low quality evidence]

10 There were no events of:

- 11 • Aspiration [low quality evidence]

12 There was no significant difference in:

- 13 • Completion of procedure [low quality evidence]
- 14 • Induction time [low quality evidence]
- 15 • Vomiting during procedure [low quality evidence]
- 16 • Assisted ventilation (valve-mask) [very low quality evidence]

17

18 **IV fentanyl + IV propofol vs. IV propofol + IV ketamine**

19 *Tosun 2007*²¹⁵

- 20 • All patients completed the procedure [moderate quality evidence]

21 Compared with intravenous propofol + intravenous ketamine + topical anaesthesia,
22 children who received intravenous fentanyl + intravenous propofol + topical anaesthesia
23 had significantly:

- 24 • More pain (number of patients) in the first minute after induction [low quality
25 evidence]
- 26 • More pain (number of patients) during procedure [low quality evidence]

27 There was no significant difference in:

- 28 • Length/duration of procedure [moderate quality evidence]
- 29 • Recovery time [low quality evidence]

- 1 • Oxygen desaturation <90% [low quality evidence]
- 2 • Vomiting [low quality evidence]

3

4 **6.10.3.2 Non RCT safety (adverse events) for opioids**

5 For the characteristics of studies and outcome data refer to Table 76 and Table 76.

- 6 • One study reported a 1.6% rate of assisted ventilation¹⁵⁷. One study reported no
7 events¹⁹⁸. No other reports of respiratory intervention were elicited from the
8 studies.
- 9 • There were no cardiac events reported in five studies.
- 10 • Vomiting rates were reported in two studies of midazolam + fentanyl: 1.8%¹⁹¹
11 and 5.2%¹⁵⁷.
- 12 • Adverse respiratory events including oxygen saturation <90%, apnea and
13 laryngospasm were reported with the use of midazolam + fentanyl at 19.3% vs
14 midazolam alone at 5.8%¹⁹¹. One study reported no events¹⁹⁸. No other reports
15 of desaturation were elicited from the studies.

16

17 **6.10.4 GDG discussion of the evidence for opioids**

18 The GDG found no studies that opioids (morphine, fentanyl and diamorphine) were
19 effective for any diagnostic or therapeutic procedure when used alone to cause sedation
20 rather than simply analgesia. In the studies found, opioids were always combined with
21 other drugs and the GDG agreed that they had been used for their analgesic properties
22 within a sedation technique. The sedative potential of these selected opioids could not be
23 determined from the evidence.

24 There were no studies on diamorphine.

25 There was one RCT¹⁸⁴ of morphine in which it was combined with midazolam in the
26 Emergency department setting. The efficacy of this combination could not be determined
27 from the data because the evidence level was very low. The GDG agreed that morphine
28 was a drug that had an analgesic action that was much longer than most painful
29 procedures and for this reason shorter acting opioids such as fentanyl were likely to be
30 more suitable.

31 All other evidence on opioids was provided from studies of combinations of fentanyl with
32 either midazolam or propofol. Most studies were in the emergency department setting
33 but one was in a hospital in children undergoing lumbar puncture. The GDG agreed that
34 the principles of sedation for painful procedures in the ED were applicable to sedation
35 for similar painful procedures in other settings.

36 The choice of opioid to be used in combination with midazolam was debated. In the
37 early discussions of the GDG it was agreed that evidence for pethidine would not be
38 sought because it had a longer action than fentanyl and because it was not widely used.

1 The combination of fentanyl with midazolam was used with the intention of maintaining
2 moderate sedation but the GDG appreciated that it was sometimes difficult to titrate the
3 drugs to provide sedation and analgesia to overcome the pain of the procedure without
4 causing deep sedation or appreciable suppression of airway reflexes or breathing. The
5 hazard of opioid induced respiratory depression occurring after the procedure had
6 been completed was noted by the GDG. In one study¹⁹¹ of children undergoing
7 procedure in the ED setting, desaturation, apnoea or laryngospasm was reported as
8 occurring in up to 19% of children. In comparison, ketamine has a safer record and has a
9 similar induction and recovery time. The GDG agreed that even with careful titration of
10 fentanyl and midazolam, deep sedation and airway obstruction or apnoea are possible
11 and that this combination should only be used by a trained sedation team. Airway
12 management skills and equipment are essential for this drug combination.

13 Fentanyl combined with propofol was considered by the GDG to be a useful deep
14 sedation or anaesthesia technique. Two RCTs^{38,56} were considered. One showed that the
15 addition of fentanyl to propofol reduced recovery time and the other found that
16 propofol doses could be reduced. Fentanyl was associated with fewer adverse events.

17 The general principle agreed by the GDG is that only sedation techniques commonly
18 available in the NHS should be included in the economic analysis. Economic analysis was
19 conducted for six broad groups (dental procedure in children, dental procedure in
20 adolescents, short painful procedures, painless imaging, oesophago-gastroscopy and
21 colonoscopy). A combination of fentanyl and midazolam was felt to be commonly used in
22 colonoscopy and short painful procedures (for example, reduction of a dislocated joint),
23 whereas fentanyl plus propofol was felt to be commonly used in short painful
24 procedures. There is some evidence that these combination strategies are effective and
25 well tolerated. The GDG therefore agreed that they should be included in the economic
26 analysis. Details of the considerations of cost-effectiveness with respect to using fentanyl
27 plus propofol in short painful procedures, and using fentanyl plus midazolam in
28 colonoscopy are given in section 6.1.2.1.2 and 6.1.2.3.2 respectively.

29

1 **6.11 SEDATION SPARING**

2 **6.11.1 Clinical methodological introduction**

3 **CLINICAL QUESTION:**

4 For children and young people under the age of 19 undergoing diagnostic or therapeutic
5 procedures, does a combination of psychological techniques and sedation drugs lead to
6 sedation sparing?
7

8 The literature was searched for systematic reviews and RCTs for sedation sparing i.e.
9 how much of the sedation drug is used in each arm alone or in combination with
10 pharmacological intervention.

11 There were no systematic reviews, RCTs or observational studies that reported relevant
12 outcome measures for analyses of our efficacy and safety outcomes.

13

14 **6.11.2 Evidence statements**

15 There were no RCTs or observational studies relevant for analyses of our efficacy and
16 safety outcomes.

17 The GDG felt that sedation sparing techniques are not among the sedation techniques
18 commonly used in the NHS, and decided that an economic analysis should not be done
19 for these techniques.

20

1 **6.12 CLINICAL SETTINGS**

2 There are different types of diagnostic and therapeutic procedures. For example, some
3 procedures are painful yet others are painless but require prolonged immobility. The
4 efficacy and safety of sedation depends therefore not only on the drug or technique but
5 also on the procedure itself. After reviewing the drugs, the GDG sought to group the
6 evidence according to the type of procedure to enable the development of guidance on
7 effective and well tolerated sedation for specific procedures. There are many types of
8 procedures and the GDG accepted that guidance on each and every procedure was not
9 practicable. For the purposes of this guidance, the GDG used the classifications of

- 10 • painless imaging
- 11 • painful procedures
- 12 • dental procedures
- 13 • endoscopy

14 which they believe cover the majority (more than 90%) of common procedures.

15 Guidance for uncommon procedures can be obtained by applying relevant principles
16 from the guidance below. Before considering sedation for a procedure the practitioner
17 will need to understand what the procedure entails, what is expected of the patient, and
18 what the sedation technique needs to achieve (see chapter 4).

19

1 **6.12.1 Painless imaging**

2 Many children will be able to tolerate painless diagnostic imaging tests without sedation
3 drugs. Adequate patient preparation, parental involvement, and a child-friendly
4 environment are important for success (see section 4.3 Psychological preparation). Non-
5 pharmacological methods such as play therapy and distraction techniques may be also
6 helpful for children who are able to co-operate. The majority of children of school age
7 will manage well with these techniques as an alternative to sedation. Highly anxious
8 children may be helped by having anxiolytic drugs. However there are a large number
9 of children who are too ill, in pain or have behavioural problems that prevent them lying
10 still for prolonged imaging.

11 The target level of sedation will vary according to the imaging procedure. CT scans and
12 echocardiography can be done under moderate sedation. Some children may need to
13 be asleep in order to tolerate complex or prolonged investigations. Examples include
14 MRI and nuclear medicine imaging that may involve the child keeping still for up to an
15 hour. MRI can be particularly frightening because it is noisy and involves lying still in an
16 enclosed space. The level of sedation achieved while the patient is asleep is uncertain;
17 they may be moderately sedated and sleeping naturally, be deeply sedated or be
18 anaesthetised. Determining the level of sedation relies on stimulating the patient which
19 may spoil the image.

20 Ideally “wide margin of safety” drugs cause the patient to sleep and be either
21 moderately or deeply sedated. Not all children will sleep with these drugs. Anaesthesia,
22 by comparison is always effective and short acting. Low doses of anaesthetic agents also
23 cause sedation of uncertain depth however the true depth may be estimated from the
24 drug dose.

25

26

1 **6.12.1.1 Summary of evidence in painless imaging**

2 The GDG extracted essential evidence from each drug review and incorporated this
3 evidence into Table 77 and Table 78 below. The tabular presentation was developed as
4 a way to summarise disparate data, ranging across various drug types, drug
5 combinations, specialty areas and procedural techniques. The tables have thus been
6 organised by setting and include the following: painless procedures (imaging), dentistry,
7 painful procedures and GI procedures. The primary efficacy outcome was completion of
8 procedure.

9 On the basis of the evidence, the GDG made a decision regarding the efficacy and
10 safety (benefits and harms) of each drug and drug combination reviewed. They
11 indicated their decision in the tables below.

12

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Table 77: GDG judgment on drugs safety and efficacy in painless procedures

DRUG (alone, or with local anaesthesia)	Route	Dose	Age	Procedure	Efficacy	Safety (judged by the GDG)	Evidence level	Ref
CH vs. GA	oral	80 mg/kg to max of 2 g	Not stated	CT	Favours GA. Effective	NR	Low	Thompson 1982*213
High dose CH for CT	oral	100 mg/kg in a single dose with maximum of 2 grams	Mean age 2.18 years	CT	(Non-RCT)	1 aspiration (severe mental retardation) 2 ETT due to obstruction by tongue (1 profound retardation) 4.3% vomiting. Well tolerated for ASA 1-2	Prospective cohort, N=326 ? Low	Greenberg Faerber, & Aspinall 1991 ⁸³
High dose CH for MRI	oral	100 mg/kg	Not stated	MRI	(Non-RCT)	Vomiting 4%. Well tolerated	Prospective cohort, N=300 ? Low	Greenberg, 1993 ⁸⁴
CH sedation for diagnostic imaging	oral	64 + 13 mg/kg chloral hydrate	Not stated	MRI	(Non-RCT)	GI side-effects. Well tolerated	Prospective cohort N=336 Low	Malviya 2000 ¹⁵⁶
CH: Intermediate vs. high dose	oral	70 mg/kg vs. 100 mg/kg	Mean: 38 + 31 months	MRI	NS for completion of procedure; induction favours high dose. Effective	Well tolerated	Moderate	Marti-Bonmati 1995*159
CH for effective and well tolerated sedation	oral	Chloral hydrate syrup 68 +/- 1mg/kg	Mean age 41 + 30 months	MRI	(Non-RCT)	Vomiting 6.9%. Well tolerated	Prospective cohort N=596 Low	Ronchera-Oms 1994 ¹⁹⁴
CH Sedation of neurologically impaired children for MRI	oral	50 – 100 mg/kg to a maximum dose of 1.5 g/kg	Mean age 28.2 + 18.1 months	MRI	(Non-RCT)	0.2% vomiting, 0.5% SpO2<90%. Well tolerated	Retrospective cohort N=888 (Neuro impaired)	Cortellazzi 2007 ⁴³
CH vs. music therapy*	oral	60 mg/kg with	1 month -5	EEG	Favours music	NR	Low	Loewy

DRUG (alone, or with local anaesthesia)	Route	Dose	Age	Procedure	Efficacy	Safety (judged by the GDG)	Evidence level	Ref
		max of 1.5 g	years		therapy. Effective			2005 ¹⁵⁰
CH for effective and well tolerated sedation	oral	Median dose of chloral hydrate was 77 mg/kg	3 weeks to 14 years; median age 13 months	Echocardiography	(Non-RCT)	Vomiting 6% Drop in SpO ₂ >5% baseline in 6% (children with heart disease) Not well tolerated for children with heart disease	Prospective cohort N>400	Napoli, Ingall, & Martin 1996 ¹⁷¹
CH for sedation for echocardiography	oral	Oral chloral hydrate (80 mg/kg, maximum 1 g)	Birth to 64 months	Echocardiography	(Non-RCT)			Heistein 2006 ⁹²
High dose CH for ophthalmic examination	oral	80-100 mg/kg chloral hydrate not to exceed 3 g.	1 month - 5 years	Ophthalmic examination	(Non-RCT)	No vomiting or desaturation. Well tolerated	Prospective cohort N=302	Fox 1990 ⁶⁹
P/LA	IV	PRO rarely: 2 mg/kg PRO maint 1-2 mg/kg PRO cont infusion initiated at 150 mcg/kg/min LA: at discretion of intensivist	Overall range: 0 mo to 21y	MRI, CT, nuclear medicine, lumbar puncture, intrathecal chemotherapy, bone marrow aspirates, electroencephalogram, evoked potentials, hearing tests	Not reported	Well tolerated	Very low	Vespasiano 2007 ²²⁶

1 **Table 78: GDG judgment on combination drugs safety and efficacy in painless procedures**

DRUG COMBINATION	Route	Dose	Age	Procedure	Efficacy	Safety	Evidence level	Ref
IV F + M and IV F	IV	Not stated	Mean age of total sample was 4.8 years + 4.6	Imaging		No adverse outcomes observed	Very low	Sanborn 2005 ¹⁹⁸
P/O ₂ CH/O ₂	IV	PRO: 2 mg/kg after iv access + dilute PRO infusion at a rate of 80-140 mcg/kg/min CH: children <1 y 75 mg/kg (max 2g)	Overall: <1 mo to 17y PRO range: <1 mo- to 17y CH range: <1 mo to 7 y	Scans of the head, thorax, abdomen, pelvis and spine	Not reported	Well tolerated	Very low	Merola 1995 ¹⁶⁸
S+ N ₂ O	inhal	1.8-2% sevoflurane; 50% N ₂ O	1 day-12 months	MRI	Effective	Well tolerated	Low	De Sanctis Briggs 2005 ⁵¹

2

3

1 **6.12.1.2 Cost-effectiveness for painless imaging**

2 The economic evidence for this group was obtained by modelling the treatment pathway
3 for high dose chloral hydrate and comparing this with general anaesthesia (see
4 Appendix F on cost-effectiveness analysis). This was informed by evidence from clinical
5 and safety review as well as GDG expert opinion. High dose chloral hydrate was more
6 costly than general anaesthesia because this type of sedation was assumed to be less
7 successful but also to require the same staff levels as general anaesthesia.

8 In cases where the addition of a sedationist physician is required, as with chloral
9 hydrate, sedation could still be cost saving compared to general anaesthesia but this will
10 depend primarily on:

- 11 • The exact success rate: as the success rate gets lower, the cost of a sedation
12 strategy increases. The GDG reported that very high rates of success (above
13 95%) are achievable with all techniques if patients are selected carefully.
- 14 • The speed at which the operation can be conducted under each technique: It
15 seems unclear whether procedures can be delivered more or less quickly with
16 sedation techniques.

17 Data in these areas seems to be lacking.

18 **6.12.1.3 Evidence to recommendations for painless imaging**

19 Of all the imaging techniques MRI is the most common scenario in which sedation may be
20 needed. MRI usually lasts between 30 and 60 minutes and CT imaging is much shorter.
21 To be still enough, the patient usually needs to be sleeping, and the true target level of
22 sedation is uncertain; it may be moderate, deep or anaesthesia. The GDG agreed that
23 the ideal sedation method should not cause sedation much longer than the scan itself. For
24 this reason, techniques such as propofol or sevoflurane have advantages of fast induction
25 time, certainty of completion, and rapid recovery. Many children presenting for imaging
26 are uncooperative because they are young, they have a behavioural problem or
27 because they are distressed or in pain. A further advantage of propofol or sevoflurane
28 is that they can be used in all age groups and all types of patients.

29 Infants who sleep after a feed may lie still enough without any sedation. Also, many
30 children can be calmed sufficiently and persuaded to lie still without the use of sedation.
31 Occasionally an anxiolytic drug may help them but only if they are cooperative.
32 Children who are uncooperative need sedation or anaesthesia. The GDG considered that
33 sedation with Chloral hydrate was an effective and well tolerated alternative to
34 anaesthesia but only in children less than 15kg. The success rate of chloral hydrate may
35 be maximised by careful patient assessment and selection. The GDG recognised that
36 chloral hydrate may not always be effective and that intravenous midazolam is a drug
37 commonly used to either increase the depth of sedation or prolong sedation.

38 Chloral hydrate causes sleep lasting approximately one hour and is therefore less
39 appropriate for scans lasting a few minutes. An advantage of chloral is that it does not
40 require the services of an anaesthesia team. The GDG recognised that chloral hydrate
41 may not always be effective and that intravenous midazolam is a drug commonly used
42 to either increase the depth of sedation or prolong sedation.

1 Midazolam was shown to be one of the most cost-effective sedation techniques for
2 dental procedures (6.1.2.3.2) and the GDG believe this may well be the case for
3 painless imaging as well.

4 Other types of painless imaging such as trans-thoracic echocardiography or EEG do not
5 require the child to be completely immobile and they may therefore be managed with
6 minimal or moderate sedation. Anaesthesia would not be appropriate for these
7 investigations either because the risks outweigh the benefits in patients with cardiac
8 problems or, in the case of EEG, anaesthesia may suppress the EEG signal under
9 investigation.

10

1 **6.12.1.4 Recommendations on painless imaging**

2

Recommendation 28 Do not routinely use ketamine^{††††}, ^{§§§§}or opioids^{*****} for painless imaging procedures.

3

Recommendation 29 For children and young people who are unable to tolerate a painless procedure (for example during diagnostic imaging) consider one of the following drugs, which have a wide margin of safety:

- chloral hydrate^{†††††} for children under 15 kg
- midazolam^{†††††}.

4

^{††††} Ketamine is a dissociative agent: the state of dissociative sedation cannot be readily categorised as either moderate or deep sedation; the drug is considered to have a wide margin of safety
^{§§§§} At the time of publication (December 2010) the BNFC stipulated that if deep sedation is needed an anaesthetic agent (for example, propofol or ketamine), or a potent opioid (for example, fentanyl) may be used. However, they should be used only under the supervision of a specialist experienced in the use of these drugs

^{*****} At the time of publication (December 2010) the BNFC stipulated that if deep sedation is needed an anaesthetic agent (for example, propofol or ketamine), or a potent opioid (for example, fentanyl) may be used. However, they should be used only under the supervision of a specialist experienced in the use of these drugs

^{†††††} Chloral hydrate is used in UK clinical practice for sedating children and young people for painless procedures. At the time of publication (December 2010) chloral hydrate did not have UK marketing authorisation for this indication. See appendix J.

^{†††††} Midazolam is used in UK clinical practice for sedating all children and young people up to the age of 18. At the time of publication (December 2010) midazolam did not have UK marketing authorisation for children younger than 6 months or for oral or buccal administration. See appendix J.

Recommendation 30

For children and young people who are unable to tolerate painless imaging with the above drugs, consider one of the following, used in specialist techniques, which have a narrow margin of safety (see section on personnel and training):

- propofol^{§§§§§}
- sevoflurane^{*****}.

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^{§§§§§} Propofol is used in UK clinical practice for sedation of children and young people. At the time of publication (December 2010) propofol did not have UK marketing authorisation for this age group. See appendix J.

^{*****} Sevoflurane is used in UK clinical practice for sedation of children and young people. At the time of publication (December 2010) sevoflurane did not have UK marketing authorisation for this indication. See appendix J.

1 **6.12.2 Painful Procedures**

2 Many children undergo brief painful procedures following injury (such as suture of
3 lacerations and orthopaedic manipulations in emergency departments). In a recent
4 review¹⁹ the prompt administration of analgesia has been promoted not only because it
5 is important and compassionate, but because it can reduce anxiety and increase
6 cooperation of the child or young person to enable the procedure to be carried out with
7 sedation rather than anaesthesia. Recently the term “procedural sedation and analgesia”
8 has been used because it emphasizes that the analgesia component of sedation is
9 crucial.¹³¹ Many painful procedures can be carried out under local anaesthesia,
10 provided the child or young person is cooperative. If the patient is unable to cooperate
11 local anaesthesia is still important because the dose of sedative drug can be minimized if
12 the patient has no pain. The following recommendations in this section are applicable to
13 any painful procedure not only in the emergency setting but elsewhere such as a hospital
14 ward.

15 There are several potentially useful sedation techniques for painful procedures. The
16 decision to undertake a particular technique should be influenced by factors such as the
17 type and duration of a painful procedure, the age and developmental stage of the
18 child, and the urgency of a painful procedure. In particular, clinicians should consider the
19 target depth of sedation required, and the relative requirement for analgesia, sedation,
20 immobility and amnesia. Prolonged or complex procedures should be carried out under
21 general anaesthesia.

22 The sedation techniques recommended for painful procedures are considered in relation
23 to the three target levels of sedation although it should be appreciated that there is
24 variation in the sedation level achieved. Ketamine induces sedation which has different
25 characteristics to any other sedation drug. Ketamine causes ‘dissociative sedation’ which
26 is a trance-like cataleptic state, with profound analgesia, sedation, amnesia, and
27 immobility. Ketamine tends to preserve airway reflexes, spontaneous respiration, and
28 cardiovascular stability. Nevertheless occasionally ketamine can cause airway
29 complications including laryngospasm. Dissociative sedation has been included in the
30 category of deep sedation because the training and facilities needed for safe practice
31 are similar (see sections 4.4 Personnel and training and 4.5 Clinical environment and
32 monitoring). However ketamine is considered to have a wider margin of safety than
33 other anaesthetic agents, although practitioners must be able to manage the potential
34 complication of laryngospasm; after an initial normal blood pressure measurement,
35 repeat blood pressure measurements are generally required only if other vital signs are
36 abnormal (and otherwise may be intrusive particularly when using sub-dissociative doses)

37 Wound suture and foreign body removal are common examples of painful procedures
38 usually carried out under minimal sedation. Moderate sedation is required for brief
39 emergency orthopaedic procedures such as transferring a child with a fractured limb or
40 placing the limb into a splint and reduction of a dislocated joint. Titration of the drugs
41 used to achieve moderate sedation is important to avoid excessive respiratory
42 depression. Examples of procedures usually carried out under dissociative or deep
43 sedation are suture of lacerations to the face and nail bed in young children, and
44 orthopaedic manipulations.

45 In an urgent or emergency situation the time of the last food and drink intake in children
46 and young people is often uncertain. Moreover, trauma may delay gastric emptying. The
47 problem of whether to use sedation (or anaesthesia) within a few hours after admission
48 to hospital in a patient who may not be fasted is common. In most situations the
49 procedure can be delayed although there will be practical problems of arranging for

1 the procedure later. The risk of pulmonary aspiration during deep sedation and
2 anaesthesia will need to be balanced with the risk of delaying the procedure. In many
3 situations it may be reasonable to use a sedation technique with a wide margin of safety
4 in a patient who is not fasted (see section 4.2 Fasting).

5 Some of the sedation drugs are anaesthetic agents such as ketamine and propofol, and
6 their use by 'non-anaesthetists' has been controversial. This has arisen because
7 anaesthesia services are not always available. Skills necessary for safe sedation can be
8 achieved by practitioners who are not fully trained anaesthetists (see section 4.4
9 Personnel and training).

10 **6.12.2.1 Summary of evidence in painful procedures**

11 The GDG extracted essential evidence from each drug review and incorporated this
12 evidence into Table 79 and Table 80 below. The tabular presentation was developed as
13 a way to summarise disparate data, ranging across various drug types, drug
14 combinations, specialty areas and procedural techniques. The tables have thus been
15 organised by setting and include the following: painless procedures (imaging), dentistry,
16 painful procedures and GI procedures. The primary efficacy outcome was completion of
17 procedure.

18 On the basis of the evidence, the GDG considered the efficacy and safety (benefits and
19 harms) of each drug and drug combination reviewed. They indicated their decision for
20 each drug in the tables below.

21

1 **Table 79: GDG judgment on drugs safety and efficacy in painful procedures**

2

DRUG (alone, or with local anaesthesia)	Route	Dose	Age	Procedure	Efficacy	Safety	Evidence level	Ref
70% N2O	Inhaled	70%	0-18 years	Emergency procedures	N/A	Vomiting 4.7% (26/548); 0.18% (1/548) desaturation	Non RCT	Babl 2008 ²²
50% N2O	Inhaled	50%	0-18 years	Emergency procedures	N/A	Vomiting 3.9% (4/101); 0% (1/548) desaturation	Non RCT	Babl 2008 ²²
50% N2O	Inhaled	50%	<19 years	Mixed procedures including emergency, GI, radiology, lumbar puncture, etc.	N/A	'Minor events' 5% (375/7511) and 0.3% 'major' events (25/7511)	Non RCT	Gall 2001 ⁷³
50% N2O	Inhaled	50%	2 studies in systematic review with mean ages of 3.7 years and 10 years.	Laceration repair and fracture reduction	N/A	No reported vomiting or desaturation. Well tolerated	Non RCT	Faddy 2005 ⁶¹
K IV vs IM	IV vs. IM		4mo-18y	Orthopaedic reduction	Favours IV for distress score but longer total time for IM. Effective.	Desat: IV 9/109 vs IM 4/99; vomiting: IV 13/109 vs IM 26/99; ventilation: IV 2/109	Low quality	Roback 2006 ¹⁹²
Ketamine	IM		0-15y	Emergency procedures miscellaneous	N/A	0.4% (5/1022) bag mask ventilation; desaturation 0.9% (9/1022); vomiting 6.7% (68/1022)	Non RCT	Green 1998 ⁸¹ , Green 1998 ⁸²
Ketamine	IM		Not stated	Suturing, minor surgery in A & E	N/A	17% vomiting in recovery or at home (85/501); 0.5% desaturation; 15% mild recovery agitation (71/501); 3% moderate agitation (16/501); 0.8% pronounced agitation (4/501)	Non RCT	McGlone 2004 ¹⁶³

DRUG (alone, or with local anaesthesia)	Route	Dose	Age	Procedure	Efficacy	Safety	Evidence level	Ref
Ketamine	Not stated		0-20 years	Minor trauma including laceration repair, fracture care, lumbar puncture, radiology etc.	N/A	No reported adverse events	Non RCT	Sachetti 2007 ¹⁹⁷
IV F/IV P vs IV P/Placebo	IV	Fenta: 1 mcg/kg PRO: 1-2 mg/kg/min infusion	2-17 y	Lumbar puncture	Effective	Well tolerated	Low quality	Cechvala 2008 ³⁸
IV F IV M vs IV M/IV K	IV	Mid: 0.15 mg/kg (max:0.5 mg/kg) Fenta: 1 mcg/kg (max 100 mg) Keta: 0.5 mg/kg	3 mo-14 y	Intravenous line placement	Effective	Well tolerated	Low quality	Lucas Da Silva 2007 ¹⁵¹
P/O analgesics (either Mo or F)	IV	PRO: initial dose 1mg/kg (max 40 mg); supplemental doses 0.5 mg/kg (max 20 mg) Morphine: 0.1 mg/kg (max 5 mg) Fentanyl: 1-2 mcg/kg (max 50 mg)	Overall: 1-18y Median : 8 y	Fractures, dislocations, examination of ocular burn	Not reported	Well tolerated	Very low quality	Bassett 2003 ²⁶
Oral M vs. Placebo	Oral	0.5 mg/kg	oral M 7.7 y (SD4.4) placebo 7.9 y (SD4.4)	IV insertion	All completed procedure (effective.) and insufficient data (NSD) for procedure duration and recovery (not effective)	Not reported	Moderate	Liacouras1998 ¹⁴⁰

DRUG (alone, or with local anaesthesia)	Route	Dose	Age	Procedure	Efficacy	Safety	Evidence level	Ref
IN M vs. Placebo	IN	0.4 mg/kg	median 2.5 y (range: 0.75-4.9)	Suture/laceration repair	Yes, favours M in patient satisfaction. Effective.	Vomiting: no events. Well tolerated	Very low - low	Theroux 1993 ²¹²
IN M vs. Placebo	IN	0.2 mg/kg	mean age: 5 y (range: 0.8-18 y)	Needle insertion	Insufficient data for pain scores assessed by either patient (NSD) or parents (favours M) (not effective) Favours M for parents satisfaction (Effective) and NSD for patient satisfaction (Effective)	Not reported	Very low - low	Ljungman 2000 ¹⁴⁹
Oral M vs. Placebo	Oral	0.5 mg/kg	mean age 4.1 y (range: 2-6)	Suture/laceration repair	Yes, NSD in completion of procedure (Effective.)	no events of aspiration, cardio-respiratory or cardiac massage. Well tolerated	Moderate	Luhman 2001 ¹⁵²
Oral M vs. Placebo	Oral	0.3 mg/kg	mean age 4.8 y (SD3) (range 0.8-10)	Suture/laceration repair	Yes, favours M for distress score (Effective.) and NSD for anxiety (Effective.)	Not reported	Moderate	Fatovich 1995 ⁶³
Rectal M/Non-pharma* vs. N2O/Non-pharma	R	0.35-0.5 mg/kg	mean age: RM 8:7 y (SD4:9), N2O 8:6 (SD3:8)	spasticity injections -cerebral palsy-	No, it is reported to favour N2O group for anxiety (p=0.010) (Not effective.)	NSD in vomiting. Well tolerated	Moderate	Zier 2008 ²³⁹

DRUG (alone, or with local anaesthesia)	Route	Dose	Age	Procedure	Efficacy	Safety	Evidence level	Ref
					Yes, for parents satisfaction, it is reported NSD between groups (p=0.10) (Effective.) No, insufficient data for total time, it is reported NSD between groups (Not effective.)			
IV M/IV Mo vs. IVPRO/IV Mo / LA	IV	0.1 mg/kg	(range 2-18 y) mean age: IVM 8.6 y (SD4.2) PRO 9 y (SD3.8)	Reduction of fractures	Yes, all completed, and NSD for induction and procedure time and for pain (Effective.) No, favours PRO group for recovery and total time (Not effective.)	No events for aspiration, external cardiac massage or assisted ventilation. Well tolerated Selective reporting for O2 desat. Not well tolerated	Very low - low	Havel 1999 ⁹¹
Oral M vs. IN M	Oral vs. IN	0.5 mg/kg vs. 0.25 mg/kg	(range: 2 -10 y) mean age: Oral M 4.4 y (SD2.5) IN M 3.5 y (SD2)	Suture/laceration repair	Yes, all completed the procedure and NSD for total time (Effective.)	NSD for total time. Well tolerated	Very low - moderate	Connors 1994 ⁴²
Oral M vs. IN M	Oral vs. IN	1 mg/kg vs. 0.4 mg/kg	(range 1 to 5 y)	Suture/laceration repair	Yes, favours oral M for distress score and NSD for total time	Selective reporting for vomiting. Not well tolerated	Very low	Everitt 2002 ⁶⁰

DRUG (alone, or with local anaesthesia)	Route	Dose	Age	Procedure	Efficacy	Safety	Evidence level	Ref
					(Effective.)			
Rectal M: 2mg vs. 1mg	R 2mg/kg vs. 1mg/kg	2mg/kg vs. 1mg/kg	(range 0.5-4) higher dose: 2.5(SD1), lower dose: 2.13(SD0.9).	Suture/laceration repair	Yes, NSD in satisfaction, recovery time and total time (Effective.)	No cardio-respiratory events. Well tolerated Selective reporting in vomiting. Not well tolerated	Low - moderate	Kanegaye 2003 ¹²⁴

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1 **Table 80: GDG judgment on combination drugs safety and efficacy in painful procedures**
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DRUG COMBINATION	Route	Dose	Age	Procedure	Efficacy	Safety	Evidence level	Ref
M/K vs M/F	IV		5-15	All completed* go back to paper and check	Yes (Effective) Favours M/K pain, distress, anxiety)	More desat in M/F; more vomiting in M/K; assisted ventilation in 2 M/K pts	Low quality	Kennedy 1998 ¹²⁹
IV P/F vs IV M/K	IV		3-18	All completed	Yes (Effective) Favours M/K (pain, distress, anxiety) but longer recovery time	KM: 4/54 desat; P/F 18/59 desat; vomiting KM 2/54; recovery/agitation 3/54	Low quality	Godambe 2003 ⁷⁷
M/K vs IV M/Axillary block	IV		=>8y	All completed	Yes (Effective), NSD for pain and distress scores	N/A	Low quality	Kriwanek 2006 ¹³²
M/K vs haematoma block/entonox	IV		5-17y	All completed	Yes (Effective) NSD for pain distress but longer recovery time for K/M	Vomiting: K/M 24/55 vs N2O 26/47	Low quality	Luhmann 2006 ¹⁵³
M/K vs IN M	IV vs. IN		6 mo-12y	Suturing or painless: all completed	Yes (Effective) shorter induction time for Ketamine and longer total time for Mid/K	K/M: 1/27 desat; 2/27 vomiting IN M: 1/26 vomiting	Low quality	Acworth 2001 ¹⁰
K (with M in 31% of cases)	IV		0-15y	Emergency procedures miscellaneous	N/A	Desat: 1/156; bag/mask: 1/156; vomiting: 6/156 (1 during sedation; 5 after); recovery agitation: mild 2/156	Non RCT	Green 1998 ⁸¹ Green 1998 ⁸²
K vs. K / M	IV ket	Not stated	39 days to 22 years	Fracture reduction; laceration repair; lumbar puncture; imaging; dental	N/A	Desat and laryngospasm: 6.1% (91/1492)	Non RCT	Roback 2005 ¹⁹¹

DRUG COMBINATION	Route	Dose	Age	Procedure	Efficacy	Safety	Evidence level	Ref
	IV Ket/ Midaz.	Not stated	4.8 months to 18 years		N/A	Desat and laryngospasm: 10% (30/299)	Non RCT	
K/M vs. F/M	IV		3/12 – 15 years-	Insertion CVC	Yes (Effective)	Yes (Well tolerated)	Non RCT	Lucas Da Silva 2007 ¹⁵¹
M/F vs. M Procedural sedation	IV	Not stated	19 days to 32 years; median 6.7 years	A & E	N/A	Respiratory adverse events reported and included oxygen saturation <90%, apnea or laryngospasm Midazolam/ fentanyl 19.3% (65/336) Midazolam 5.8% (15/260) ;vomiting - Midazolam/ fentanyl 1.8% (6/336) Midazolam 0.8% (2/260)	Non RCT	Roback 2005 ¹⁹¹
IV F/M vs. M Procedural sedation	IV	Mean fentanyl dose: 2.7 mcg /kg Midazolam 0.1 mg/kg;	0-21 years	A & E	N/A	686 vs 65 Complications reported as total adverse events: 23.5% vs. 1.5%	Non RCT	Pitetti 2003 ¹⁸⁴
IV Mo/M vs. IV M Procedural sedation	IV	Midazolam 0.1 mg/kg Morphine dose not stated	0-21 years	A & E	N/A	48 vs. 65 Complications reported as total adverse events: 16.7% vs. 1.5%	Non RCT	Pitetti 2003 ¹⁸⁴
IVP/LA vs. IV M/IV K/IV F	IV	PRO: initial: 2.5	overall: 7.3 y (SD5.7)	Intraarticular steroid injection, bronchoscopy,	Yes (Effective)	Yes (Well tolerated) with appropriate	Very low	Vardi 2002 ²²¹

DRUG COMBINATION	Route	Dose	Age	Procedure	Efficacy	Safety	Evidence level	Ref
		mg/kg in children, 3 mg/kg in infants; maint: 200 mcg/kg/min Lidocaine: 0.1 mL M: 0.1 mg/kg Keta: 2mg/kg Fenta: 2mcg/kg	PRO 7.5 y (SD5.7) Mid/Keta/Fenta 6.93 y (SD5.8)	bone marrow aspiration/biopsy, trans-oesophageal echocardiography, PEG/Gastroscopy, Other		training		
IV P/O analgesics (either Mo or F)		PRO: initial dose 1mg/kg (max 40 mg); supplemental doses 0.5 mg/kg (max 20 mg) Morphine: 0.1 mg/kg (max 5 mg) Fentanyl: 1-2 mcg/kg (max 50 mg)	Overall: 1-18y Median : 8 y	Fractures, dislocations, examination of ocular burn	Not reported	Yes (Well tolerated)	Very low	Bassett 2003 ²⁶
Oral M/N ₂ O vs. Placebo/N ₂ O	Oral	0.5 mg/kg	mean age 4.1 y (range: 2-6)	Suture/laceration repair	Yes (Effective) all completed the procure	Yes (Well tolerated) no events of aspiration, cardio-respiratory and NSD in vomiting	Low-moderate	Luhmann 2001 ¹⁵²
IV M/IV K vs. IV K/Placebo	IV	0.1 mg/kg	(range 2-14 y) mean age: IV M/IVKeta 7.1 y (SD3.9) IV Keta 6.0 y (SD3.5)	Lumbar puncture	Yes (Effective) favours Mid group for induction time and for parents satisfaction it is also reported as	No (Not well tolerated) favours Keta/Placebo for O ₂ desat (Not well tolerated)	Low	Dilli 2008 ⁵⁵

DRUG COMBINATION	Route	Dose	Age	Procedure	Efficacy	Safety	Evidence level	Ref
					significant (p=0.001), NSD for recovery time			
IV M/IV K vs. IV K	IV	0.05 mg/kg	(range 1-15 y) mean age (IQR range) IV M/Keta 7 y (4-11) IV Keta 6 y (2-11)	IV Catheter insertion	Yes (Effective) all completed the procedure	Quite well tolerated, no events and NSD for assisted ventilation Yes (Well tolerated) Favours Mid/Keta for vomiting No (Not well tolerated) Favours Keta/Placebo for O2 desat	Low - moderate	Sherwin 2000 ²⁰³
IV M/IV K vs. IV K	IV	0.1 mg/kg	(range 0.3-18 y) median age (IQ range): IV M/Keta 5.6 y (3.4-9.6) IV Keta 6.8 y (4.4-10.3)	mixed	Yes (Effective) all completed the procedure and NSD for patient satisfaction (Effective) and insufficient data (NSD) for duration of procedure (Not effective)	Quite well tolerated, no events for aspiration or external cardiac massage Yes (Well tolerated) NSD for assisted ventilation Yes (Well tolerated) Favours Mid/Keta for vomiting Favours Keta/Placebo for O2 desat (Red)	Low - moderate	Wathen 2000 ²²⁹
IV M/F IM M/K IV M/K IN M/FI	IV, IM, IN, Oral	0.01-0.05 mg/kg	(age range: of 1,188 patients: 1 mo-21 y) median: 48 mo	paediatric emergency department for diagnostic imaging, oral and rectal sedation and analgesia. IM and IV in radiology	N/A	Yes (Well tolerated) Yes, no events endotracheal intubation; low rates for assisted ventilation (0.5% to 0.60%) or vomiting (0.55% to	Non RCT	Peña 1999 ¹⁸⁰

DRUG COMBINATION	Route	Dose	Age	Procedure	Efficacy	Safety	Evidence level	Ref
				suite		2.5% (Well tolerated) Quite safe for O2 desat (ranged 0.60% to 4%)		
IV M/K or IM M/K	IV or IM	0.1 mg/kg	(age range: 19 d-32 y) mean age: 4.9-10.8 y	fracture reduction, laceration repair, lumbar puncture, imaging, other	N/A	Vomiting rate from 0.8% to 10.1%	Non-RCT	Roback 2005 ¹⁹¹

1

1 **6.12.2.2 Cost-effectiveness for painful procedures**

2 The economic evidence for this group was obtained by modelling the treatment pathway
3 for two sedative drugs (ketamine and a combination of fentanyl plus propofol) and
4 comparing these with general anaesthesia (see Appendix F on cost-effectiveness
5 analysis). This was informed by evidence from clinical and safety review as well as GDG
6 expert opinion.

7 Sedation drugs were shown to be cost-saving compared to general anaesthesia, and
8 ketamine was less costly than fentanyl plus propofol. However, we would be cautious
9 about concluding that any one sedation technique is the lowest cost for all patients, since
10 in extremely anxious patients minimal to moderate sedation will fail and the cost of a
11 rescheduled procedure will be incurred. Therefore, careful patient selection should lead
12 to a more effective and more cost-effective service.

13 **6.12.2.3 Evidence to recommendations for painful procedures**

14 Management of minor trauma in the ED is the most common scenario for brief painful
15 procedures but the principles of effective and well tolerated sedation in the ED can be
16 applied to other areas such as hospital wards.

17 The GDG agreed that analgesia and/or local anaesthesia was a crucial component in
18 any sedation technique for a painful procedure. Sedation may be used to make possible
19 injection of local anaesthetic, which in turn may be sedation sparing. If local anaesthesia
20 was not practical or appropriate, analgesia by another method would be necessary.
21 Nitrous oxide is potentially effective for cooperative patients but for many children
22 either an opioid or ketamine would be necessary. Opioids are not effective alone and
23 need to be combined with midazolam or propofol. They should be used with caution
24 because they cause respiratory depression especially after the pain of a procedure has
25 abated. The GDG recognised that it was essential to titrate the dose of opioid and
26 sedative carefully, and adhere to recommended maximum dose, to avoid “overshooting”
27 and causing unintended deep sedation or anaesthesia. Airway obstruction is a potential
28 complication in this situation, and airway management skills are a requirement for the
29 practitioner. Ketamine, in contrast, is effective without any other drug and tends to
30 maintain vital reflexes. Moreover it can be given intramuscularly if venous access is
31 difficult and it is applicable to infants and children. The GDG agreed that ketamine
32 sedation had many advantages and that it was a well tolerated technique provided
33 teams were trained to use it safely and competent to manage potential complications.

34 The main debate was whether ketamine sedation, delivered by an ED team, would have
35 economic advantages over anaesthesia, delivered by an anaesthesia team the day after
36 the trauma. The GDG considered that this was a common and realistic scenario and that
37 guidance on this issue would help healthcare provider manage resources efficiently.
38 Economic modelling showed ketamine to be lower cost than either propofol or general
39 anaesthesia for forearm fracture. We did not model minimal sedation for this group but
40 for dental procedures either nitrous oxide or midazolam were shown to be the lowest
41 cost sedation techniques (6.12.3.2).

42

1 **6.12.2.4 Recommendations on painful procedures**

2

Recommendation 31 In children and young people undergoing a painful procedure (for example suture laceration or orthopaedic manipulation), when the target level of sedation is minimal or moderate, consider:

- nitrous oxide (in oxygen) and/or
- midazolam^{†††††} (oral or intranasal)

3

Recommendation 32 For all children and young people undergoing a painful procedure, consider using a local anaesthetic, as well as a sedative.

4

Recommendation 33 For children and young people undergoing a painful procedure (for example, suture laceration or orthopaedic manipulation) in whom nitrous oxide (in oxygen) and/or midazolam (oral or intranasal) are unsuitable consider:

- ketamine^{‡‡‡‡‡}, ^{§§§§§§} (intravenous or intramuscular), or
- intravenous midazolam^{*****} with or without fentanyl^{†††††††} (to achieve moderate sedation).

5

^{†††††} Midazolam is used in UK clinical practice for sedating all children and young people up to the age of 18. At the time of publication (December 2010) midazolam did not have UK marketing authorisation for children younger than 6 months or for oral or buccal administration. See appendix J.

^{‡‡‡‡‡} Ketamine is a dissociative agent: the state of dissociative sedation cannot be readily categorised as either moderate or deep sedation; the drug is considered to have a wide margin of safety.

^{§§§§§§} At the time of publication (December 2010) the BNFC stipulated that if deep sedation is needed an anaesthetic agent (for example, propofol or ketamine), or a potent opioid (for example, fentanyl) may be used. However, they should be used only under the supervision of a specialist experienced in the use of these drugs

^{*****} Midazolam is used in UK clinical practice for sedating all children and young people up to the age of 18. At the time of publication (December 2010) midazolam did not have UK marketing authorisation for children younger than 6 months or for oral or buccal administration. See appendix J.

^{†††††††} At the time of publication (December 2010) the BNFC stipulated that if deep sedation is needed an anaesthetic agent (for example, propofol or ketamine), or a potent opioid (for example, fentanyl) may be used. However, they should be used only under the supervision of a specialist experienced in the use of these drugs.

Recommendation 34

For children and young people undergoing a painful procedure (for example suture laceration or orthopaedic manipulation) in whom ketamine (intravenous or intramuscular) or intravenous midazolam with or without fentanyl (to achieve moderate sedation) are unsuitable, consider a specialist sedation technique such as propofol^{#####} with or without fentanyl .

1

2

Propofol is used in UK clinical practice for sedation of children and young people. At the time of publication (December 2010) propofol did not have UK marketing authorisation for this age group. See appendix J.

At the time of publication (December 2010) the BNFC stipulated that if deep sedation is needed an anaesthetic agent (for example, propofol or ketamine), or a potent opioid (for example, fentanyl) may be used. However, they should be used only under the supervision of a specialist experienced in the use of these drugs.

1 **6.12.3 Dental procedures**

2 The provision of adequate anxiety control is an integral part of the practice of dentistry.
 3 The General Dental Council (GDC) has indicated that this is both a right for the patient
 4 and a duty placed on the dentist⁷⁵. The GDC also state that for dental treatment under
 5 general anaesthesia this should “only be carried out when it is judged to be the most
 6 clinically appropriate method of anaesthesia; and only take place in a hospital setting
 7 with critical care facilities”.

8 Child dental anxiety is widespread²²³. Many anxious children can be satisfactorily
 9 treated using relative analgesia (RA), this combines behaviour management techniques
 10 with inhaled nitrous oxide and oxygen. RA is the mainstay of paediatric dental sedation
 11 but this approach is unsuccessful in some children²⁰¹. In such cases, control of pain and
 12 anxiety poses a significant barrier to dental care and a dental general anaesthetic
 13 (DGA) is often seen as the only option. However, DGA carries its own risks and dental
 14 treatment provided under DGA also tends to be more radical, with a greater proportion
 15 of extractions than fillings⁸⁹. Since 2000 there has been a sea-change in the provision of
 16 pain and anxiety management in dentistry in the UK. This has resulted in an increased
 17 emphasis on the safe provision of conscious sedation instead of a reliance on general
 18 anaesthesia. General anaesthesia should be provided only in response to clinical need.
 19 The publication of ‘A Conscious Decision’ in 2000 resulted in the cessation of general
 20 anaesthesia for dentistry in the primary care setting⁵³. In 2002, DGA was prohibited in
 21 non-hospital settings in England.

22 The vast majority of dental treatment is carried out in a primary care setting. All children
 23 deserve appropriate anxiety control for any dental procedure. The method of anxiety
 24 control should be individually selected for each patient. A range of sedation techniques
 25 is required; each technique ensuring a wide margin of safety between conscious sedation
 26 and the unconscious state provided by general anaesthesia^{9,200,208}.

27 In dentistry, standards and guidance for “standard” and “alternative” sedation in the UK
 28 have been published by expert working groups^{9,200,208}. This NICE guidance both builds
 29 on and is consistent with existing guidance for dentistry.

30

31 **6.12.3.1 Summary of evidence for dental procedures**

32 The GDG extracted essential evidence from each drug review and incorporated this
 33 evidence into Table 81 and Table 82 below. The tabular presentation was developed as
 34 a way to summarise disparate data, ranging across various drug types, drug
 35 combinations, specialty areas and procedural techniques. The tables have thus been
 36 organised by setting and include the following: painless procedures (imaging), dentistry,
 37 painful procedures and GI procedures. The primary efficacy outcome was completion of
 38 procedure.

39 On the basis of the evidence, the GDG made a decision regarding the efficacy and
 40 safety (benefits and harms) of each drug and drug combination reviewed. They
 41 indicated their decision in the tables below.

42

1 **Table 81: GDG judgment on drugs safety and efficacy in dental procedures**
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 3

DRUG (alone, or with local anaesthesia)	Route	Dose	Age	Procedure	Efficacy	Safety	Evidence level	Ref
50% N ₂ O vs.100% O ₂	Inhaled	50%	36-55 months	Dental	NSD in quiet behaviours	Not reported	Low	McCann 1996 ¹⁶¹
40% N ₂ O/O ₂ vs.100% O ₂	Inhaled	40%	5-9 years	Dental	Yes (Effective) Distress score favours nitrous oxide	Yes (Well tolerated)	Low	Primosch 1999 ¹⁸⁷
Oral M/Non-pharma vs. Placebo/Non-pharma	Oral	0.5 mg/kg	< 4 y	Dental	Yes (Effective) favours M in procedure completion and duration	Not reported	Very low - low	Kapur 2004 ¹²⁵
Oral M	Oral	0.5 mg/kg; max 10 mg per appt.; mean 8.6 mg/kg	range: 0.9-10.5 y mean: 5.4 y	Dental	N/A	O ₂ desat: 1.55% (9/579) (Well tolerated)	Non RCT	Hulland 2002 ⁹⁸
IN M vs. IM M	IN vs. IM	IN& IM M: 0.2 mg/kg	(range: 1-5 y) mean age: IN M: 3.5 y (SD0.7) (range 2.5-5) IM M: 3.4 y (SD 0.6) (range 2-4.5)	Dental	Yes (Effective) favours IN M in induction time and recovery	Yes (Well tolerated), no events for vomiting	Moderate	Shashikiran 2006 ²⁰²
IN M vs. IM M	IN vs. IM	IN& IM M: 0.2 mg/kg	(range: 1-5 y) mean age: IN M: 3.5 y (SD0.7) (range 2.5-5) IM M: 3.4 y (SD 0.6) (range 2-4.5)	Dental	Yes (Effective) favours IN M in induction time and recovery	Yes (Well tolerated) no events for vomiting	Moderate	Lee-Kim 2004 ¹³⁸
CH: High dose vs. low	oral	50 mg/kg vs. 75 mg/kg	Mean: 31	Dental	Favours high dose	Not reported	Low	Houpt

DRUG (alone, or with local anaesthesia)	Route	Dose	Age	Procedure	Efficacy	Safety	Evidence level	Ref
dose*			months		Yes, (Effective) wrong technique for procedure????			1985 ⁹⁷
CH vs. IN M	oral/ IN	62.5 mg/kg CH; 0.2 mg/kg midazolam	Mean: 41.8 months + 11.4 months	Dental	NS but recovery favours midazolam Yes (Effective)	Not reported	Low	Dallman 2001 ^{*49}
CH/hydroxyzine vs M/acetaminophen	oral	50 mg/kg not to exceed 1 g and 25 mg hydroxyzine vs 0.5 mg/kg midazolam with acetaminophen 10 mg/kg	Average 48 months in CH group vs. 42 months in Midazo-lam group	Dental	NSD Yes (Effective)	NR	Moderate	Reeves 1996 ^{*189}
Oral TS vs Oral M	Oral	TRI 70 mg/kg M: 0.5 mg/kg	overall: 3-9 y	dental - mixed: extractions, restorations, pulpotomies, brief	No (Not effective)	Not reported	Very low	Singh 2002 ²⁰⁵

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Table 82: GDG judgment on combination drugs safety and efficacy in dental procedures

DRUG COMBINATION	Route	Dose	Age	Procedure	Efficacy	Safety	Evidence level	Ref
CH + N ₂ O vs. Placebo + N ₂ O*	Oral/inhaled	50 mg/kg CH + 50% nitrous oxide vs. placebo + 50% nitrous oxide	19-41 months	Dental	Outcomes not reported (Crying & movement scores suggested chloral more effective, but not uniformly so) Yes (Effective)	Vomiting in 10.5% chloral group, 5% placebo (Well tolerated)	Moderate	Haupt 1989 ⁹⁶
CH/hydroxyzine and N ₂ O	Oral/inhaled	Average dose of chloral hydrate 776 mg (55 mg/kg)	Mean age 2.6 years	Dental	(Non-RCT)	Vomiting 8.1% (Well tolerated)	Retrospective, non-RCT Low	Needleman, Joshi, & Griffith, 1995 ¹⁷³
N ₂ O vs. Behavioural management	Inhaled	Not stated	Not stated	Dental	Yes (Effective) Anxiety score favours nitrous oxide	Not reported	Very low	Veerkamp 1993 ²²⁴ & Veerkamp 1995 ²²²
30% N ₂ O vs. Transmucosal M	Inhaled	30%	10-16 years	Dental	Yes (Effective)	Yes (Well tolerated)	Low	Wilson 2007 ²³⁵
40% N ₂ O + IV M vs. Medical air + IV M	Inhaled	40%	Mean age 9.5 years	Dental	Yes (Effective) Favours nitrous oxide	Yes (Well tolerated)	Moderate	Averley 2004 ²⁰
40% N ₂ O + IV M vs. 0.3% S and 40% N ₂ O + IV M	Inhaled	40%	Mean age 9.6 years	Dental	Favours sevoflurane + nitrous oxide group	Yes (Well tolerated)	Moderate	Averley 2004 ²⁰
0.3% S and 40% N ₂ O + IV M vs. Medical air + IV M	Inhaled	40%	Mean age 9.1 years	Dental	Yes (Effective) Favours sevoflurane and nitrous oxide	Yes (Well tolerated)	Moderate	Averley 2004 ²⁰
30% N ₂ O vs. IV M	Inhaled	30%	12-16 years	Dental	Yes (Effective) Favours nitrous oxide	Yes (Well tolerated)	Low	Wilson 2003 ²³¹
30% N ₂ O/70% O ₂ vs. Oral M	Inhaled	30%	10-16 years	Dental	Yes (Effective) Favours nitrous	Yes (Well tolerated)	Low	Wilson 2002 a & b ^{233,234}

DRUG COMBINATION	Route	Dose	Age	Procedure	Efficacy	Safety	Evidence level	Ref
					oxide			
50% N ₂ O vs. 50% nitrogen + O ₂	Inhaled	50%	1 month – 18 years	Dental	Yes (Effective)	Yes (Well tolerated)	Low	Fauroux 2004 ⁶⁴
S + N ₂ O vs. N ₂ O	inhaled	0.1-0.03% sevoflurane; 40% N ₂ O	3-10 years mean age: 6.0 y (sevoflurane + N ₂ O); 6.2 y (N ₂ O)	dental	Yes (Effective)	Yes (Well tolerated)	Moderate	Lahoud 2002 ¹³⁴
IN M/N ₂ O (50%) 0.3 mg/kg vs. 0.2 mg/kg	IN 0.3 mg/kg vs. 0.2 mg/kg	0.3 mg/kg	mean age 2.7 y (range: 1.7-3.5)	Dental	Yes (Effective) all completed procedure	Yes (Well tolerated) no events for vomiting	Moderate	Fuks 1994 ⁷¹
IN M/ N ₂ O (30-50%) 0.3 mg/kg vs. 0.2 mg/kg	IN 0.3 mg/kg vs. 0.2 mg/kg	0.3 mg/kg vs. 0.2 mg/kg	(range 5-20) average: higher dose: 0.3-11.6 y lower dose: 0.2-13.6 y	Dental	Yes, NSD in completion of procedure and duration of procedure (Effective)	Yes (Well tolerated), no events for assisted respiration or vomiting after procedure and NSD in O ₂ desat or vomiting during procedure	Very low – low - moderate	Fukuta 1994 ⁷²
Oral M/N ₂ O (40%) vs. IN M/N ₂ O (40%)	Oral vs. IN	Oral M 0.5 mg/kg IN M 0.2 mg/kg	(range 1.5-5.9) mean age: Oral M: 3.3 y IN M: 3.1 y	Dental	Yes, NSD in completion of procedure (Effective)	Yes (Well tolerated), NSD for O ₂ desat	Very low - low	Hartgraves 1994 ⁹⁰
Oral M/N ₂ O 45% vs. IN M/N ₂ O 45%	Oral vs. IN	0.7 mg/kg vs. 0.3 mg/kg	mean age: Oral M 3.4 y (SD11) IN M 3.2 y (SD10)	Dental	Yes (Effective), favours IN M for induction and total time	Not reported	Low - moderate	Lee-Kim 2004 ¹³⁸

1

2 **6.12.3.2 Cost-effectiveness for dental procedures**

3 The economic evidence for dental procedures in *children* was obtained by modelling the
 4 treatment pathway for a tooth extraction for four sedation drugs evaluated to be well
 5 tolerated and efficacious in the previous section. Nitrous oxide plus oxygen, nitrous
 6 oxide plus midazolam, sevoflurane plus nitrous oxide, sevoflurane plus nitrous oxide plus
 7 midazolam were compared with general anaesthesia (see Appendix F on cost-
 8 effectiveness analysis). For *adolescents* we modelled the treatment pathway for a tooth
 9 extraction using midazolam compared with general anaesthesia. This was informed by
 10 evidence from clinical and safety review as well as GDG expert opinion.

11 Nitrous oxide plus oxygen with or without iv midazolam were the two least costing
 12 strategies for tooth extraction in children. Midazolam was less expensive than general
 13 anaesthesia for tooth extraction in adolescents. However, we would be cautious about
 14 concluding that any one sedation technique is the lowest cost for all patients, since in
 15 extremely anxious patients minimal to moderate sedation will fail and the cost of a
 16 rescheduled procedure will be incurred. So careful patient selection should lead to a
 17 more effective and more cost-effective service.

18 In general, the cost of the drugs is less important than the cost of the staff involved. We
 19 found that sedation is clearly cost-saving compared to general anaesthesia in cases
 20 where the operating dentist is able to administer sedation without the addition of a
 21 sedationist dentist, typically for minimal to moderate sedation. In this case, quite a low
 22 success rate is required for sedation to be cost-saving.

23 In cases where the addition of a sedationist dentist is required (typically for deeper
 24 conscious sedation), sedation could still be cost saving compared with general
 25 anaesthesia but this will depend primarily on

- 26 • The facility and equipment costs: we have not captured this in our analysis.
- 27 • The success rate: As the success rate gets lower, the cost of a sedation strategy
 28 increases. The GDG reported that very high rates of success (above 95%) are
 29 achievable with all techniques if patients are selected carefully.
- 30 • The speed at which the operation can be conducted under each technique: It
 31 seems unclear whether procedures can be delivered more or less quickly with
 32 sedation techniques.

33 Data in these areas seems to be lacking.

34 A published case study has shown that in one district in the North East of England, the
 35 charges associated with sedation strategies in primary dental care were likely to be
 36 substantially lower than the equivalent charge for the same procedure conducted under
 37 GA¹⁰¹.

38 **6.12.3.3 Evidence to recommendations for dental procedures**

39 The GDG acknowledged the considerable sedation experience of UK dentists. Many
 40 children currently require both dental extractions and conservative treatment and many
 41 are too anxious to allow the insertion of local anaesthesia. Sedation for dentistry
 42 requires that the patient opens their mouth and therefore they need to remain conscious.

- 1 Moderate sedation with intravenous midazolam, is considered to be effective for
2 selected children and young people who are cooperative, and younger children who can
3 tolerate a nasal mask can be managed with nitrous oxide.
- 4 In the past, if these were not effective, anaesthesia has often been the only alternative.
5 The GDG agreed that additional sedation techniques could be effective for patients who
6 cannot be managed by midazolam or nitrous oxide. If demand is high, alternative
7 sedation techniques would be necessary. The common concern is that additional sedation
8 drugs, especially in combination, may not be predictable enough for widespread use.
9 Sevoflurane and propofol for example may only be safe enough for use by specialist
10 sedation teams.
- 11 The GDG agreed that there were potential important economic advantages of avoiding
12 hospital based anaesthesia services. Economic modelling showed midazolam or nitrous
13 oxide to be the lowest cost strategies in suitably selected patients. The training of dental
14 sedation teams was regarded as crucial.
- 15

1 **6.12.3.4 Recommendation on dental procedures**

2

Recommendation 35

For a child or young person who cannot tolerate a dental procedure with local anaesthesia alone, to achieve conscious sedation consider:

- nitrous oxide and oxygen or
- midazolam^{*****}.

If these sedation techniques are not suitable or sufficient, refer to a specialist team for an alternative sedation technique.

3

4

***** Midazolam is used in UK clinical practice for sedating all children and young people up to the age of 18. At the time of publication (December 2010) midazolam did not have UK marketing authorisation for children younger than 6 months or for oral or buccal administration. See appendix J.

1 **6.12.4 Endoscopy**

2 Gastrointestinal (GI) endoscopy procedures are commonly required in children and
3 young people. The procedures consist of upper GI endoscopy (often called oesophago-
4 gastro duodenoscopy [OGD] or gastroscopy) and lower GI endoscopy (colonoscopy). In
5 children and young people the majority of procedures are diagnostic; however, there
6 are some therapeutic techniques performed (for example oesophageal dilatation and
7 polypectomy) that make the procedure more technically difficult and time consuming.
8 Upper endoscopy is uncomfortable but not usually painful. The target level of sedation
9 during upper endoscopy is considered to be no deeper than moderate sedation. The
10 child or young person will need to maintain their airway reflexes for an OGD because
11 vomiting and regurgitation are common. Moreover the endoscope itself may obstruct the
12 airway in an unconscious patient. Colonoscopy may be uncomfortable but can be
13 tolerated by many children and young people under moderate sedation. The use of an
14 analgesic drug is often necessary. If sedation is not successful, anaesthesia should be
15 used and in many centres anaesthesia is the only method used. Nevertheless in a recent
16 survey of members of the British Society of Paediatric Gastroenterology, Hepatology
17 and Nutrition sedation was found to be used in about 30% of units and especially for
18 children of secondary school age and older.

19 Recently, anaesthesia agents have been used to sedate to the target level that the
20 patient needs in order to tolerate the procedure. This is usually deep sedation but in most
21 cases the patient is anaesthetized albeit for a brief period. Such a method does not
22 necessarily require tracheal intubation and allows effective short acting sedation.
23 Whoever administers anaesthetic agents must be trained to manage the complications of
24 airway obstruction and respiratory depression (see section 4.4 Personnel and training).

25

1 **6.12.4.1 Summary of evidence in endoscopy**

2 The GDG extracted essential evidence from each drug review and incorporated this
3 evidence into Table 83 and 85 below. The tabular presentation was developed as a
4 way to summarise disparate data, ranging across various drug types, drug combinations,
5 specialty areas and procedural techniques. The tables have thus been organised by
6 setting and include the following: painless procedures (imaging), dentistry, painful
7 procedures and GI procedures. The primary efficacy outcome was completion of
8 procedure.

9 On the basis of the evidence, the GDG made a decision regarding the efficacy and
10 safety (benefits and harms) of each drug and drug combination reviewed. They
11 indicated their decision in the tables below.

12

1 **Table 83: GDG judgment on drugs safety and efficacy in endoscopy**

2
3

DRUG (alone, or with local anaesthesia)	Route	Dose	Age	Procedure	Efficacy	Safety	Evidence level	Ref
IN M vs. Placebo	IN	0.2 mg/kg	age range: 2-12 y	Endoscopy	Yes (Effective) NSD in distress score	Not reported	RCT Mod-low	Fishbein 1997 ⁶⁷
IV P vs. IV F/IV P	IV	Fentanyl: 1 mcg/kg PRO: 3mg/kg TA: Lidocaine larynx and EMLA cream	PRO/Fenta 6.8 y (SD2.8) PRO/TA 6.7 y (2.9)	Endoscopy	Yes (Effective)	Yes (Well tolerated)	low	Disma 2005 ⁵⁶
P/LA/TA	IV	PRO: mixed according to age/weight LA: 1 to 10 mg TA: EMLA cream	overall <1 to <21y	Upper gastrointestinal endoscopy procedures	Not reported	Yes (Well tolerated)	Very low	Barbi 2006 ²⁵
P/LA/TA	IV	PRO: mixed according to age/weight LA: 1 to 10 mg TA: EMLA cream	overall <1 to <21y	Upper endoscopies, colonoscopies, painful procedures	Not reported	Yes (Well tolerated)	Very low	Barbi 2003 ²⁴

4
5

1 **Table 84: GDG judgment on combination drugs safety and efficacy in endoscopy**
 2
 3

DRUG COMBINATION	Route	Dose	Age	Procedure	Efficacy	Safety	Evidence level	Ref
P/K vs P/F	IV	Ket 1mg/kg Prop 1.2mg/kg Fent 1mcg/kg	1-16years	Endoscopy	Yes (Effective)	No (Not well tolerated) Vom 15% ket (0 fent group) p=0.012 Desat no difference (6-9%)	Low-moderate	Tosun 2007 ²¹⁵
K/M/Me	IV	0.75-2 mg/kg		Endoscopy	N/A	Yes (Well tolerated) 1.2% assisted vent	Non RCT	Gilger 2004 ⁷⁶
Oral M/IV P vs. IV P	Oral	0.5mg/kg	mean age: Oral M/IV PRO 8 y (SD3) PRO 9 y (SD3)	Endoscopy	No, favours PRO in recovery time No (Not effective) and NSD for duration of procedure Yes (Effective)	Not reported	low - Moderate	Paspatis 2006 ¹⁷⁸
IV M/IV P vs. IV P	IV	0.1 mg/kg	(range 1-12 y) mean age: IV M 7.1 y (SD3.1) PRO/Lido 6.7 y (2.9)	Endoscopy	Yes, all completed the procedure and NSD in duration of procedure and recovery time Yes (Effective)	Yes (Well tolerated) NSD in oral-pharyngeal airway and O2 desat	Low - moderate	Disma 2005 ⁵⁶
IV M/IV Me vs. Placebo/IV Me	IV	0.05-.1 mg/kg (max 2 mg)	(range 2-12 y)	Endoscopy (esophagogastroduodenoscopy)	Yes, NSD in distress score and duration of procedure Yes (Effective)	Not reported	Low - moderate	Fishbein 1997 ⁶⁷
P/K vs P/F	IV	Ket 1mg/kg Prop 1.2mg/kg Fent 1mcg/kg	1-16years	Endoscopy	Yes (Effective)	No (Not well tolerated) Vom 15% ket (0 fent group) p=0.012 Desat no difference (6-9%)	Low-moderate	Tosun 2007 ²¹⁵

DRUG COMBINATION	Route	Dose	Age	Procedure	Efficacy	Safety	Evidence level	Ref
M/K (IV 98% or IM)/ 15% Me	IV	Ket 1mg/kg and titrated, median 1.34 mg/kg Dose midaz not known		Endoscopy	N/A	'Amber' 3% bag and mask	Non RCT	Green 2001 ⁸⁰
K/M/Me	IV	0.75-2 mg/kg		Endoscopy	N/A	Yes (Well tolerated) 1.2% assisted vent	Non RCT	Gilger 2004 ⁷⁶
IV M/F	IV	0.05-0.1 mg/kg; max 2 mg n.b. oral M to anxious children	(range: 0.1-34 y) median: 10 y mean: 9.05 y (SD 5.8)	Endoscopy (oesophagogastro duodenoscopies colonoscopies and combined)	N/A	Quite well tolerated, no events: aspiration, cardiac arrest, endotracheal intubation 0.16%(2/1226) needed bag/mask ventilation Yes (Well tolerated) 1.2% assisted vent 5.2% (64/1226) vomited during recovery Yes (Well tolerated) 1.2% assisted vent	Non RCT	Mamula 2007 ¹⁵⁷
IV F/IV P vs IV M/IV P	IV	Fenta: 1mcg/kg PRO: 3mg/kg Mid: 0.1 mg/kg TA: Lidocaine-larynx and EMLA cream	Fenta/PRO 6.8 y (SD2.8) Mid/PRO 7.1 y (SD3.1)	Endoscopy	Yes (Effective)	Yes (Well tolerated)	Moderate	Disma 2005 ⁵⁶

1 * Indicates RCT extracted for efficacy review
2
3

1

2 **6.12.4.2 Cost-effectiveness for endoscopy**

3 The economic evidence for oesophago-gastroscopy was obtained by modelling the
4 treatment pathway for midazolam and comparing it with general anaesthesia (see
5 Appendix F on cost-effectiveness analysis). The economic evidence for colonoscopy was
6 obtained by also modelling the treatment pathway for midazolam plus fentanyl and
7 comparing this combination with general anaesthesia. This was informed by evidence
8 from clinical and safety review as well as GDG expert opinion.

9 Midazolam was shown to be less expensive than general anaesthesia in oesophago-
10 gastroscopy, and in colonoscopy, the combination sedation strategy, midazolam plus
11 fentanyl, was less expensive than general anaesthesia. However, we would be cautious
12 about concluding that any one sedation technique is the lowest cost for all patients, since
13 in extremely anxious patients minimal to moderate sedation will fail and the cost of a
14 rescheduled procedure will be incurred. Therefore, careful patient selection should lead
15 to a more effective and more cost-effective service.

16

17 **6.12.4.3 Evidence to recommendations for endoscopy**

18 Gastroenterological endoscopy is uncomfortable. Gastroscopy requires control of
19 pharyngeal and oesophageal reflexes to overcome retching. Colonoscopy may need
20 opioid analgesia. The GDG felt that a large proportion of children and young people
21 requiring these procedures were old enough to be cooperative and that moderate
22 sedation was effective. It was agreed that deep sedation was potentially hazardous if it
23 was administered by untrained practitioners and without safe resources. The choice of
24 opioid to be used in combination with midazolam combination of midazolam was
25 debated. In the early discussions of the GDG it was agreed that evidence for pethidine
26 would not be sought primarily because it had a longer action than fentanyl but also
27 because it was not widely used. In respect of endoscopy however the GDG was advised
28 by one of its members that pethidine may be in common use for colonoscopy. Pethidine
29 may be safer than fentanyl if practitioners were more familiar with its use because they
30 would be less likely to “overshoot” and cause unconsciousness or respiratory depression.
31 Training in the use of any new technique was considered to be crucial.

32 It was agreed that moderate sedation may not always be effective enough and that
33 sometimes sedation may have to be abandoned. Patient assessment and selection will be
34 important to minimise sedation failure. Occasionally sedation can become too deep and
35 this results in prolonged recovery.

36 The GDG agreed that whenever moderate sedation is ineffective a short acting
37 titratable drug such as propofol was ideal. Propofol however readily causes
38 unconsciousness and the hazard of pulmonary aspiration is a special concern with this
39 technique. Staff training and facilities for anaesthesia will be necessary for propofol
40 based techniques. If an anaesthesia team is available either sevoflurane or propofol can
41 be used to induce deep sedation or anaesthesia and this can be applied to children of
42 all ages undergoing procedures of variable length. Tracheal intubation may be needed
43 for gastroscopy and this can be readily achieved by an anaesthesia team.

44 Economic modelling showed midazolam (with fentanyl in the case of colonoscopy) to be
45 lower cost than general anaesthesia for endoscopy. The GDG agreed that there were

1 potentially important economic advantages of using propofol rather than moderate
 2 sedation and that this should be considered by healthcare providers.

3

4 **6.12.4.4 Recommendations on endoscopy**

Recommendation 36 Consider intravenous midazolam⁺⁺⁺⁺⁺ to achieve minimal or moderate sedation for upper gastrointestinal endoscopy.

5

Recommendation 37 Consider fentanyl⁺⁺⁺⁺⁺ (or equivalent opioid) in combination with intravenous midazolam to achieve moderate sedation for lower gastrointestinal endoscopy.

6

7

⁺⁺⁺⁺⁺ Midazolam is used in UK clinical practice for sedating all children and young people up to the age of 18. At the time of publication (December 2010) midazolam did not have UK marketing authorisation for children younger than 6 months or for oral or buccal administration. See appendix J.
⁺⁺⁺⁺⁺ At the time of publication (December 2010) the BNFC stipulated that if deep sedation is needed an anaesthetic agent (for example, propofol or ketamine), or a potent opioid (for example, fentanyl) may be used. However, they should be used only under the supervision of a specialist experienced in the use of these drugs.

⁺⁺⁺⁺⁺ Midazolam is used in UK clinical practice for sedating all children and young people up to the age of 18. At the time of publication (December 2010) midazolam did not have UK marketing authorisation for children younger than 6 months or for oral or buccal administration. See appendix J.

1 **6.13 Research recommendations on drugs for sedation in infants, children** 2 **and young people**

- 3 ➤ For children and young people under the age of 19 having minor painful
4 procedures, what potent analgesic drugs can be combined with midazolam to
5 provide safe moderate sedation?

6 **Why it is important**

7 Midazolam has a strong safety profile in inducing either minimal or moderate sedation.
8 For painful procedures midazolam should be combined with analgesia. Ideally analgesia
9 is achieved by local anaesthesia. Sometimes local analgesia is insufficient and potent
10 opioid analgesia is necessary. The combination of potent opioid and midazolam can
11 cause deep sedation and airway obstruction. These effects can be managed safely but
12 involve extra resources. It would be safer if a technique could be developed that was
13 both reliable and had a wide margin of safety. Prospective and retrospective audit
14 data are available to help guide the choice of opioid and the doses. A randomised
15 controlled trial is needed to test the efficacy and safety of these combinations.

16

- 17 ➤ For children and young people under the age of 19 undergoing diagnostic or
18 therapeutic procedures under sedation with ketamine, how can the vomiting be
19 reduced?

20 **Why it is important**

21 Ketamine is demonstrated to have a strong efficacy and safety profile in enabling safe
22 sedation and as an analgesic drug useful for painful procedures in children and young
23 people. Its main side effect is vomiting in approximately 10% of patients. No data is
24 available on whether antiemetic drugs prevent vomiting. The GDG suggested an RCT
25 study comparing ketamine + placebo versus ketamine with antiemetic

26

- 27 ➤ For children and young people under the age of 19 undergoing diagnostic or
28 therapeutic procedures, are procedures carried out under sedation more safe,
29 effective and cost effective than those carried out under general anaesthesia?

30 **Why it is important**

31 Anaesthesia or an “Anaesthetist led service” has the advantage over sedation because it
32 usually has faster onset and offset and is more predictable. It generally requires
33 admission to hospital; it may be more expensive and is a scarce resource. Data
34 comparing the efficiency of sedation in comparison with anaesthesia for certain
35 procedures are not available. Models of care need to be developed and studied to
36 whether anaesthesia or sedation gives the best value for money. With such data,
37 efficient services can be planned.

38

- 1 ➤ For children and young people under the age of 19 undergoing endoscopy, is
 2 propofol (with or without: analgesia, another drug or psychological techniques)
 3 effective, safe and cost effective for sedation (at minimal and moderate levels)
 4 in comparison with midazolam (with or without opioids) or with general
 5 anaesthesia?

6 **Why it is important**

7 Propofol is a short acting anaesthetic agent that can be used to achieve any target
 8 sedation level. The dose necessary for gastrointestinal endoscopy however usually has a
 9 tendency to cause anaesthesia albeit for a short period of time. It would be helpful to
 10 know the dose limitation that is unlikely to cause deep sedation because this dose may
 11 be effective and well tolerated enough. Moderate sedation with propofol could be
 12 compared with another sedation technique such as midazolam with or without opioid. It
 13 could also be compared with a general anaesthetic dose of propofol.

14

- 15 ➤ For children and young people under the age of 19 undergoing painful
 16 procedures, is propofol effective and safe for sedation in comparison with
 17 ketamine?

18 **Why it is important**

19 Both ketamine and propofol are well tolerated and effective drugs suitable for painful
 20 procedures. Propofol however has a tendency to cause deep sedation and anaesthesia
 21 in which the airway and breathing may need an intervention or support. Ketamine has
 22 few appreciable effects on the airway and breathing but has a longer recovery time
 23 than propofol and causes vomiting.

24

- 25 ➤ What are the safety and efficacy profiles of sedation techniques in current
 26 practice?

27 **Why it is important**

28 There are no data on the safety of sedation in the UK. A large prospective database of
 29 sedation cases, that includes data on drugs, procedures, the depth of sedation and
 30 complications, would help to define the safety of sedation and also actively promote
 31 safe practice. The GDG suggests that a national registry for paediatric sedation is
 32 established to help create a database with sufficient data.

33

- 34 ➤ Is patient-controlled sedation with propofol feasible in adolescents and
 35 children?

36 **Why it is important**

37 Propofol in low dose is an excellent anxiolytic. Patient-controlled sedation has been
 38 validated in adults undergoing dental procedures and endoscopy for safety and
 39 efficacy. Giving the patient control of their sedation has important psychological
 40 benefits. The study would involve developing new pump technology, paediatric software

1 and a child friendly patient-activation system. There would have to be an open pilot
2 evaluation to establish safety and efficacy followed by a randomised-controlled trial
3 versus IV midazolam.

4

7 Swimming in the sea of uncertainty in relation to sedation experience for children and young people undergoing diagnostic and therapeutic procedures

“To study the phenomenon of disease without books is to sail an uncharted sea, whilst to study books without patients is not to go to sea at all”

Osler (circa 1900)

7.1 Introduction

The importance of patient input to healthcare is not underestimated, but rarely is it properly achieved in providing real-time comment on how the experience has been shaped and the resultant impact of this experience on the patient’s approach to future healthcare interventions. Whilst this has been achieved in adult populations to varying degrees of success, in the children’s and young people population this is extremely rare, and little is reported in the literature. Having children and young people represented on the GDG is of course standard practice in NICE guideline development, but this has almost uniquely been through advocacy of carers. In trying to understand the challenges of providing a safe and effective sedation service, this feedback is crucial in determining how experts interpret evidence and remain sensitive to key clinical issues that impact on the child or young person receiving sedation. Early in development the NCGC in supporting this guideline and with the agreement from NICE made an ambitious decision to try and establish a snapshot of what it is like to be a child receiving sedation across a range of clinical contexts. The benefit of collecting real-time feedback in informing and shaping recommendations for practice is self evident, and through engagement with a developing methodology (National Paediatric Toolkit), the NCGC commissioned some primary data collection at Alder Hey Children’s NHS Foundation Trust. The Trust is well positioned as England’s first paediatric health promoting hospital accredited by the World Health Organisation and is one of Europe’s biggest and busiest children’s hospitals, providing care for over 200,000 children each year.

29

1 7.2 Development and conduct of the survey

2 The survey was carried out as part of a pilot project, with this particular survey focus
 3 being added to a menu of surveys administered within the Trust. The content of the
 4 survey was shaped by a subgroup of the GDG, with clinicians, technical team members
 5 and both patient carer representatives involved in the shaping of the questions asked.
 6 These were reviewed and signed off in consultation with the rest of the GDG and NICE,
 7 and were targeted at children undergoing painful and non-painful procedures requiring
 8 sedation. The questionnaire was administered using the National Paediatric Toolkit (NPT)
 9 software via hand-held, touch screen computers, a developing technology that is easy to
 10 use by even young children (over the age of four).

11 The NPT concept has been developed by Alder Hey Children's NHS Foundation Trust in
 12 partnership with Priority Research Ltd; throughout its development, children and young
 13 people were closely involved and contributed many ideas which have been incorporated
 14 into the current data collecting system.

15 The NPT was considered the system of choice for administering this survey because of a
 16 variety of unique advantages that it offers. These include:

- 17 • an engaging, cartoon format to maintain children's interest
- 18 • a large array of over 900 pre-defined questions, each worded differently for
 19 four developmental levels
- 20 • all questions available in eleven languages
- 21 • full voice-over for all text in all languages
- 22 • Disability Discrimination Act (1995) compliant for sensory, visual and hearing
 23 impairment
- 24 • real-time data collection and reporting.

25 The pilot ran from early November 2009 for 4 months and was conducted by
 26 experienced Alder Hey staff members previously engaged in similar types of data
 27 collection using the NPT.

28

29 7.3 Survey conduct approval

30 Patient opinion surveys are growing increasingly in both their conduct and importance,
 31 and this helps shape and reshape service delivery in different care settings.
 32 Contextually, until recently, this type of opinion seeking would have been viewed as
 33 primary research activity and therefore requiring ethics approval via a local committee
 34 or through a national committee, particularly relevant if this were multicentre research.
 35 Following changes in approach, seeking patient opinion is more latterly viewed as part
 36 of a quality improvement cycle, and is becoming more and more embedded into routine
 37 NHS Trust processes.

38 For this survey, approval and advice was sought and gained from Alder Hey NHS
 39 Foundation Trust's Head of Research and Ethics, Dr Matthew Peak.

1 **7.4 Recruitment**

2 A total of 70 patients undergoing a wide range of procedures were invited to take part,
3 and 63 consented to do so. All departments and clinical areas within the hospital where
4 patients receive sedation participated in the pilot.

5

6 **7.5 Limitations of the survey**

7 The limitations of the survey are important to note as this methodology will only describe
8 the experience of the target population in one place at one time event. The 'snapshot'
9 nature of surveys are extremely useful in determining the nature of patient experience
10 and care interventions on a particular day. These cannot be generalised to other settings
11 but findings are extremely helpful if repeat measurement is established so that a time
12 series of events are recorded. Data are also useful, as in this case, when supporting
13 other data (clinical and cost effectiveness reviews, consensus development), because
14 when triangulated with this 'other' data inevitably enables the GDG in this case to build
15 a clearer picture of what is happening and how to plan improvements in care and
16 experience outcome.

17

18 **7.6 Summary of main findings**

19 **7.6.1 Demographics**

- 20
- 21 • The sample had an even spread of male and female children (44% male, 46%
22 female, 10% not recorded) and covered a broad age range from under 4 to
over 16 years of age.
 - 23 • All except one were accompanied by a parent or carer, and for those children
24 who could not complete the questionnaire themselves, a parent or carer were in a
25 good position to do so (as expected, this was mostly younger children).
26 Acceptability and usability of the system was such that nearly 1 in 4 (22%) of the
27 under 4 age group were able to complete the survey themselves.
 - 28 • Of the 23 children aged 9 and over, only one child aged eleven did not
29 complete the survey themselves.
 - 30 • Only four children (6%) were of black or minority ethnic origin.

31

32 **7.6.2 Clinically relevant data**

33 The most frequent clinical areas, accounting for almost two thirds of the sample, were:

- 34 • burns (21%)
- 35 • medical and renal day cases (17%)
- 36 • radiology (16%)

- 1 • accident and emergency (10%).

2 The most common agents used for sedation were:

- 3 • nitrous oxide (48%)
 4 • midazolam (30%)
 5 • oral morphine (14%)

6 Five procedures accounted for over half of the sample:

- 7 • change of wound dressings (22%)
 8 • urodynamics (11%)
 9 • intra-articular steroid injections (10%)
 10 • cannulation (6%)
 11 • removal of chest drains (6%).

12

13 **7.6.3 Experience of children and young people receiving sedation**

14 Ratings of satisfaction with information and consent issues were high:

- 15 • The people looking after me were nice to me and helped me feel OK (98%).
 16 • I was told everything I wanted to know about what would happen (97%).
 17 • I was told enough about the sedation (medicine that would make me feel OK and
 18 sleepy) (95%).
 19 • I had time to ask any questions I wanted (91%).
 20 • I was told enough about how I might feel (89%).
 21 • I was taught things I could do to help me feel OK with what would happen
 22 (78%).

23 Patients were asked to rank their experience of pain, fear and upset on a six-point scale
 24 from 'Not at all' to 'As much as I can imagine'. The criterion for a positive result was a
 25 rating in the two lowest categories, that is 'Not at all' or 'Just a little bit'.

- 26 • Before the procedure, 56% were either not scared or just a little bit scared, and
 27 11% said 'As much as I can imagine'.
 28 • After receiving sedation, these figures were 80% and zero respectively.
 29 • 70% reported no or little pain after sedation, and 86% no or little upset
 30 afterwards.

1

2 **7.6.4 Other outcomes of interest**

3 As would be expected, the degree of amnesia was dependent on the agent used; 13 of
 4 the 16 respondents who said they remembered “everything” had received Entonox,
 5 whilst of the 13 who received the benzodiazepine, five remembered “nothing” and five
 6 “just a little bit”.

7 Post procedural nausea was related only to the degree of upset felt afterwards; those
 8 who felt more upset were more likely to report nausea (p = 0.019) but the direction of
 9 causality is not clear.

10 Only four patients said that they would not want to receive sedation again if undergoing
 11 the same procedure; this was significantly related to only two variables, both ratings of
 12 distress during the procedure after sedation. All four reported more than “just a little”
 13 pain during the procedure (p = 0.006) and being more than “just a little bit” scared (p
 14 = 0.001).

15

16 **Demographics: Gender**

17 Base: N = 63

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24

The sample had an even spread of male and female patients and covered a broad age range. All except one were accompanied by a parent or carer.

25

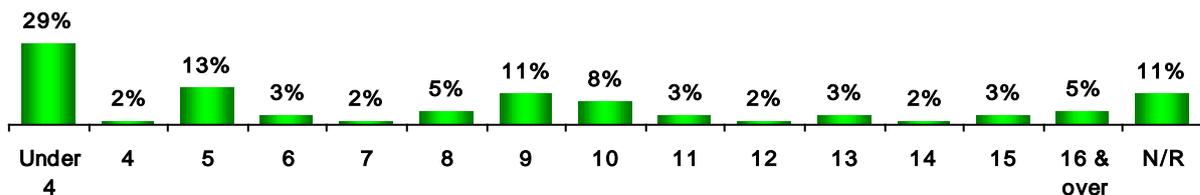
26 **Demographics: Age range of participants**

27 Base: N = 63

28

29

30



1 **Demographics: Ethnic origin**

2 Base: N = 63

	White			Mixed				Asian or Asian British					Black or Black British			Other			
	British	Irish	Other White	White & Black Caribbean	White & Black African	White & Asian	Other mixed	Indian	Pakistani	Bangladeshi	Chinese	Other Asian	Caribbean	African	Other black	Other ethnic group	Gypsy or traveller	N/R	Base
%	79	1.6	1.6	0	1.6	0	0	1.6	0	0	0	0	0	0	0	0	0	14	100
N	50	1	1	0	1	0	0	1	0	0	0	0	0	0	0	0	0	9	63

3

4 **Demographics: Percentage of children completing the survey themselves**

5 Base: N = 63

96%

6

7

40%

8

22%

9



10

11 Parents or carers assisted children who could not complete the questionnaire themselves,
 12 and as would be expected this was mostly the younger children. Nevertheless, the
 13 acceptability of the system was such that 22% of the under fours were able to complete
 14 the survey themselves.

15 Of the 23 children aged 9 years and over, only one child aged eleven did not complete
 16 the survey themselves.

1 **Demographics: Range of clinical areas relating to the child or young person's**
 2 **procedural sedation**

3 Base: N = 63

Clinical area	N	%
Medical & renal day cases	11	17
Burns 1	11	17
Radiology	10	16
Accident & Emergency	6	9.5
Cardiac inpatients	4	6.3
Burns 2	4	6.3
Oncology	2	3.2
General surgery	2	3.2
Orthopaedics	1	1.6
High Dependence Unit	1	1.6
Neuro-medical	1	1.6
General medical	1	1.6
Cardiac outpatients	1	1.6
Not recorded	8	13

4 Within the survey, a large number of differing clinical contexts and therefore clinical
 5 teams are represented, which is very encouraging given the participants positive
 6 experience.

7

1 **Demographics: Range of medication used relating to the child or young person's**
 2 **procedural sedation**

3 Base: N = 63

Medication used	N	%
Entonox	30	48%
Midazolam	19	30%
Oral morphine	9	14%
Chloral hydrate	1	1.6%
IV morphine	1	1.6%
Oral ketamine	1	1.6%
IV ketamine	1	1.6%
Not recorded	11	18

4
 5 Decisions made by the GDG when reviewing the initial scope and resulting clinical
 6 questions helped focus the pharmacological interventions review to what agents were in
 7 common use. The survey results reflect those discussions in that all of the above agents
 8 were systemically reviewed, with oral morphine being reviewed when used in
 9 combination. The single use of oral morphine is not advised. Propofol as a single agent it
 10 was not used at all in this large NHS Foundation Trust.

1 **Demographics: Range of clinical procedure chosen in relation to the child or young**
 2 **person's procedural sedation**

3 Base: N = 63

4
 5

Procedure	N	%
Change of wound dressings	14	22
Urodynamics	7	11
Intra-articular steroid injections	6	10
Other	6	10
Cannulation	4	6.3
Removal of chest drains	4	6.3
Gamma camera	3	4.8
Botox injections	2	3.2
Removal of sutures	2	3.2
Removal of wound drains	2	3.2
MRI	1	1.6
Lumbar puncture	1	1.6
Removal of wires	1	1.6
Catheter insertion	1	1.6
Changing of line position	1	1.6
Not recorded	8	13

6

7 The survey results are also helpful regarding the types of procedure anticipated in
 8 relation to the target guideline population. The one clear obvious omission is dental
 9 treatment, which the survey was not able to include.

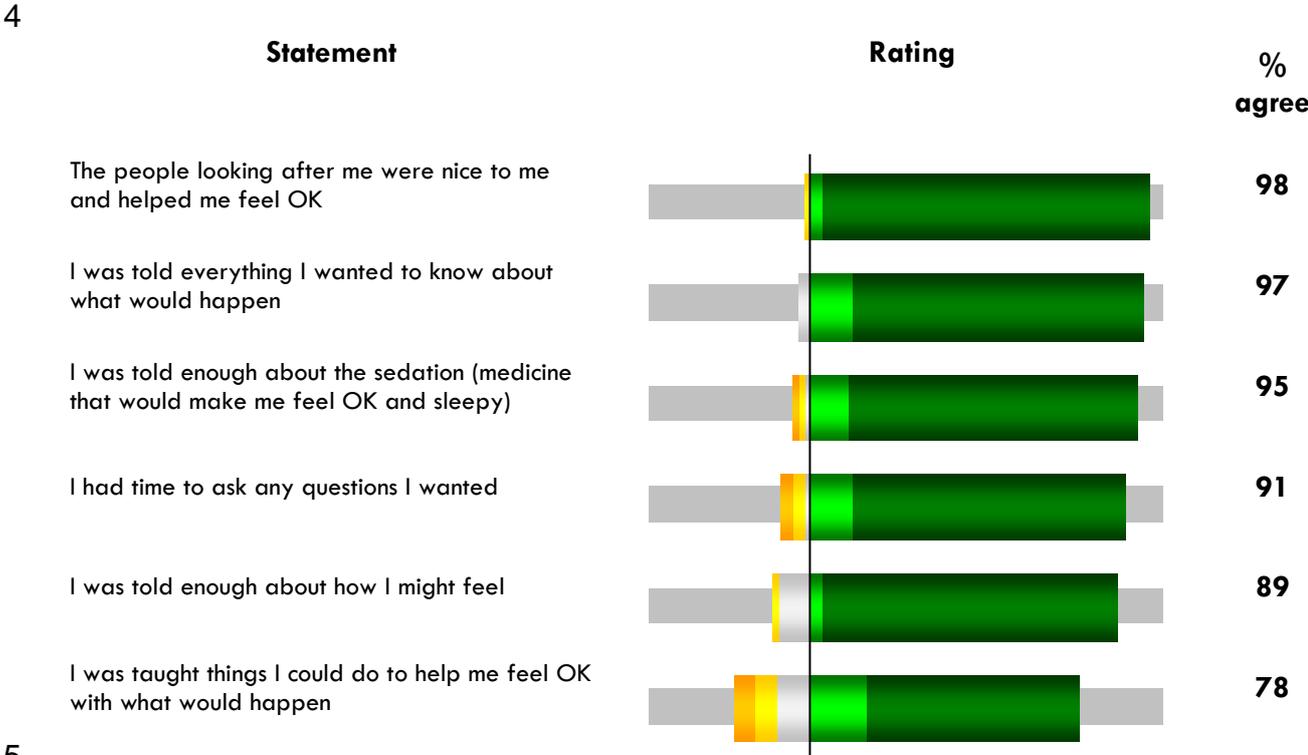
10 The survey results within the context of the clinically important issues are extremely useful
 11 as they, for the most part, affirm the clinical interpretation of the evidence by the GDG
 12 in relation to targeting key clinical contexts, key clinical procedures and key clinical
 13 interventions. That said, the way children and young people are supported through the
 14 sedation experience is of perhaps the greatest interest.

15

1 **The experience of children and young people undergoing procedural sedation:**

2 **Part 1: Information and support**

3 Base: N = 63



5
6



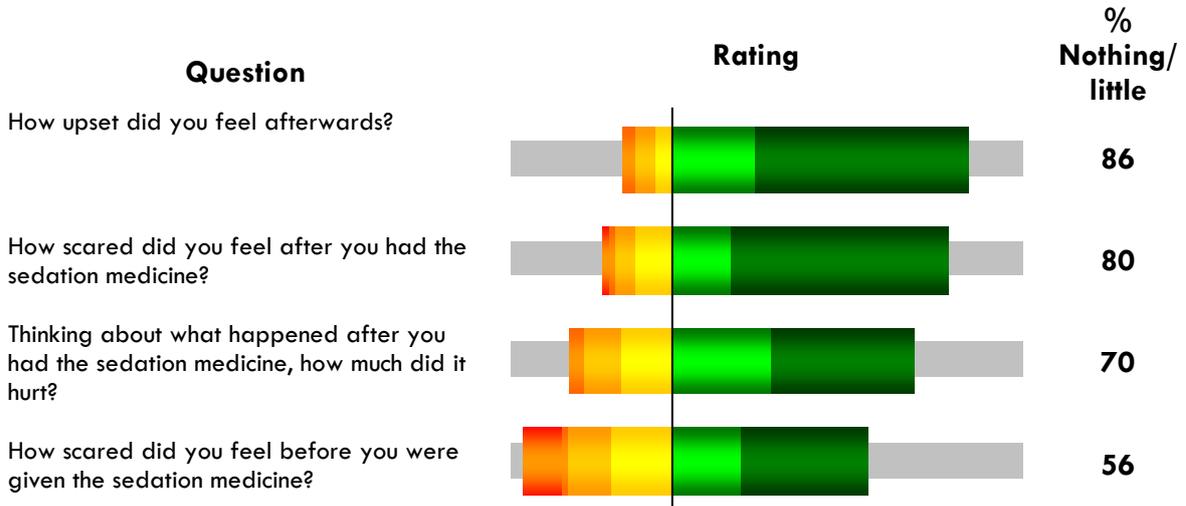
The GDG subgroup had carefully considered the type of questions we wanted to ask; these covered the pre-procedural phase when the child or young person is being prepared (information, consent, visualisation), during procedure (amnesic effect, pain free) and the post procedural phase (amnesic effect, nausea, emotional response, preparedness for repeat intervention under sedation).

The questions were then in discussion with Priority Research who have experience in conducting this type of survey finalised to ensure they would be understood by all age ranges and that they would readily translate into the range of languages used.

1 **The experience of children and young people undergoing procedural sedation:**

2 **Part 2: Emotional engagement and memory recall**

3 Base: N = 63



4

5 The survey results are particularly interesting in this area as they indicate that children
 6 and young people have an extremely positive experience of sedation in relation to a
 7 wide range and variety of clinical procedures and clinical settings. The responses
 8 indicate little variation in practice in this one NHS Foundation Trust, and are indicative of
 9 the benefit that clinical guidance can bring when clinical and patient pathways are
 10 followed to plan and prepare the patient and ensure their experience is positive.

11 The results are seen as indicating that much of this is bearing this positive outcome.

1 **The experience of children and young people undergoing procedural sedation:**

2 **Part 1 and 2 survey detail in relation to responses and percentage breakdown**

3 Base: N = 63

	Percentages					Further details			
	Disagree a lot	Disagree a bit	In the middle	Agree a bit	Agree a lot	Base	N/R%	Response	Total base
I was told everything I wanted to know about what would happen	0	0	3.5	12	84	57	6.6	93	63
I was told enough about the sedation	1.8	1.8	1.8	11	84	55	9.8	90	63
I was told enough about how I might feel	0	1.8	9.1	3.6	85	55	9.8	90	63
I was taught things I could do to help me feel OK with what would happen	6	6	10	16	62	50	18	82	63
I had time to ask any questions I wanted	3.6	3.6	1.8	13	79	56	8.2	92	63
The people looking after me were nice to me and helped me feel OK	0	1.8	0	3.6	95	56	8.2	92	63

4

1

	Percentages						Further details			
	As much as I can imagine	Loads	Quite a lot	Some	Just a little bit	Not at all	Base	N/R%	Response	Total base
How upset did you feel afterwards?	0	3.6	5.5	5.5	24	62	55	13	87	63
How scared did you feel after you had the sedation medicine?	1.9	1.9	5.6	11	17	63	54	14	86	63
Thinking about what happened after you had the sedation medicine, how much did it hurt?	0	3.8	11	15	28	42	53	16	84	63
How scared did you feel before you were given the sedation medicine?	11	1.8	13	18	20	36	55	13	87	63

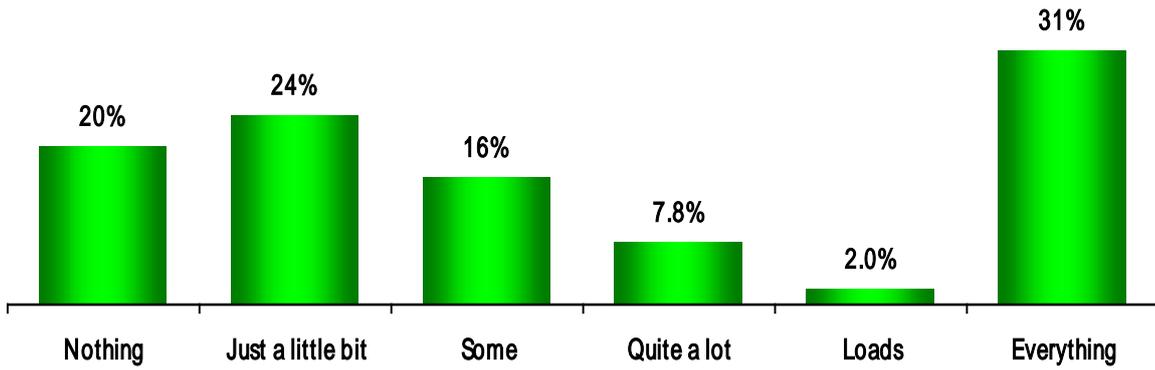
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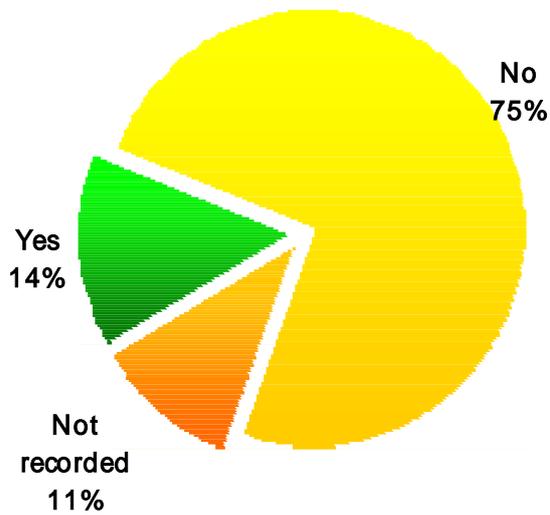
The experience of children and young people undergoing procedural sedation:

Part 3 Outcomes

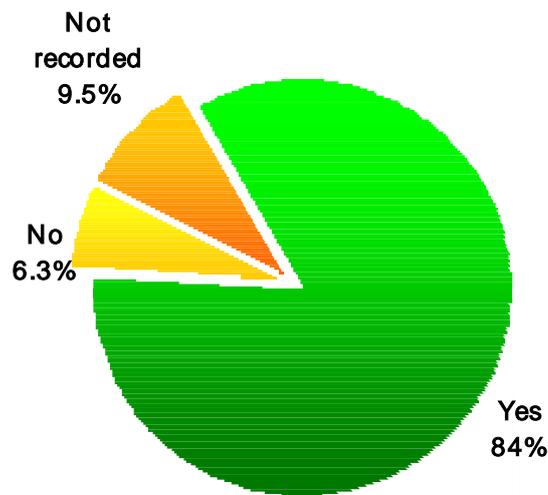
Amnesic effect of sedation in relation to memory of procedure



Did you feel sick after the procedure?



Would you want sedation again if you had to have more treatment?



The above outcomes were related to other variables. As would be expected, the degree of amnesia was dependent on the agent used; 13 of the 16 respondents who said they remembered “everything” had received Entonox, whilst of the 13 who received the benzodiazepine, five remembered “nothing” and five “just a little bit”.

Post procedural nausea was related only to the degree of upset felt afterwards; those who felt more upset were more likely to report nausea ($p = 0.019$) but the direction of causality is not clear.

Only four patients said that they would not want to receive sedation again if undergoing the same procedure; this was significantly related to only two variables, both ratings of distress during the procedure after sedation. All four reported more than “just a little” pain during the procedure ($p = 0.006$) and being more than “just a little bit” scared ($p = 0.001$).

The Questionnaire Content (respondent) and Data Summary can be found in appendixes J and K, respectively.

7.7 Conclusions

The survey results within the context of the clinically important issues are extremely useful as they by large, affirm the clinical interpretation of the evidence by the GDG in relation to targeting key clinical contexts, key clinical procedures, key clinical interventions. That said, the way children and young people are supported through the sedation experience is of perhaps the greatest interest.

The survey provided the GDG with an immediate contextual opportunity to test areas of importance in relation to all aspects of the scope, and in particular areas relating to the preparation and experience of the child or young person receiving sedation. Survey findings supported the shaping of clinical questions relating to pharmacological interventions reviews and what agents were in commonly used, allowing for these to be systematically reviewed in single or combination therapies. The absence of propofol as a

1 single agent is noted that it was not used at all in this large NHS Foundation Trust.
2 Propofol is reported in the evidence to recommendations as having a wide margin of
3 safety, requiring additional training as deep sedation may result despite a different
4 target level of sedation being aimed for.

5 The guideline provides the basis to see this aspect of sedation practice change. The
6 survey provided contextual evidence that supports the outcomes of the narrative review
7 relating to psychological support. During the pre procedural phase when the child or
8 young person is being prepared, clear information, informed consent and the use of
9 visualisation in preparation were highlighted as areas that are important in determining
10 a positive experience with successful outcome. The results of the survey relating to the
11 amnesic effect and pain management affect are less strong, but support consistently the
12 findings of evidence reviews (particular pain management) and undoubtedly helped the
13 GDG shape recommendations with greater confidence.

14 The higher level questions of satisfaction relating to the child or young person's
15 experience are very strong indicating when undergoing sedation, an extremely positive
16 experience is reported in relation to a wide range and variety of clinical procedures
17 and clinical settings (dental care is not part of the survey population). Responses indicate
18 little variation in practice in one NHS Foundation Trust, and are indicative of the benefit
19 that the clinical guideline will have in shaping clinical and patient pathways as part of
20 the implementation strategy.

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37 **Please note the appendices are available in separate files.**
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