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**Colorectal cancer: colonoscopic  
surveillance for prevention of colorectal  
cancer in patients with ulcerative colitis,  
Crohn's disease and polyps**

**Full guideline**

**Draft for consultation, May 2010**

This guideline was developed following the NICE short clinical guideline process. This document includes all the recommendations, details of how they were developed and summaries of the evidence they were based on.

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30 **Disclaimer**

31 NICE clinical guidelines are recommendations about the treatment and care of  
32 people with specific diseases and conditions in the NHS in England and  
33 Wales.

34 This guidance represents the view of NICE, which was arrived at after careful  
35 consideration of the evidence available. Healthcare professionals are  
36 expected to take it fully into account when exercising their clinical judgement.  
37 However, the guidance does not override the individual responsibility of  
38 healthcare professionals to make decisions appropriate to the circumstances  
39 of the individual patient, in consultation with the patient and/or guardian or  
40 carer.

1 Implementation of this guidance is the responsibility of local commissioners  
2 and/or providers. Commissioners and providers are reminded that it is their  
3 responsibility to implement the guidance, in their local context, in light of their  
4 duties to avoid unlawful discrimination and to have regard to promoting  
5 equality of opportunity. Nothing in this guidance should be interpreted in a way  
6 that would be inconsistent with compliance with those duties.

## 7 **Introduction**

### 8 **Patient-centred care**

9 This guideline offers best practice advice on the use of colonoscopic  
10 surveillance in adults with inflammatory bowel disease (IBD, which covers  
11 ulcerative colitis and Crohn's disease) or polyps.

12 Treatment and care should take into account patients' needs and preferences.  
13 People with IBD or polyps should have the opportunity to make informed  
14 decisions about their care and treatment, in partnership with their healthcare  
15 professionals. If patients do not have the capacity to make decisions,  
16 healthcare professionals should follow the Department of Health's advice on  
17 consent (available from [www.dh.gov.uk/consent](http://www.dh.gov.uk/consent)) and the code of practice that  
18 accompanies the Mental Capacity Act (summary available from  
19 [www.publicguardian.gov.uk](http://www.publicguardian.gov.uk)). In Wales, healthcare professionals should follow  
20 advice on consent from the Welsh Assembly Government (available from  
21 [www.wales.nhs.uk/consent](http://www.wales.nhs.uk/consent)).

22 Good communication between healthcare professionals and patients is  
23 essential. It should be supported by evidence-based written information  
24 tailored to the patient's needs. Treatment and care, and the information  
25 patients are given about it, should be culturally appropriate. It should also be  
26 accessible to people with additional needs such as physical, sensory or  
27 learning disabilities, and to people who do not speak or read English.

28 If the patient agrees, families and carers should have the opportunity to be  
29 involved in decisions about treatment and care.

1 Families and carers should also be given the information and support they  
2 need.

## 3 **1 Summary**

### 4 **1.1 List of all recommendations**

#### 5 **People with IBD**

6 1.1.1 Offer colonoscopic surveillance to people with left-sided or  
7 extensive ulcerative colitis (except proctitis alone) or Crohn's colitis  
8 of a similar extent from 10 years after onset of symptoms

9 1.1.2 Offer colonoscopic surveillance using chromoscopy to people with  
10 IBD.

11 1.1.3 Offer people with IBD who are being considered for colonoscopic  
12 surveillance a baseline colonoscopy to determine their risk of  
13 developing colorectal cancer (see table 1).

#### 14 **Table 1 Risk of developing colorectal cancer in people with IBD**

15 **Low risk:**

16 -extensive but quiescent ulcerative colitis or Crohn's colitis **or**  
17 -left-sided ulcerative colitis or similar extent of Crohn's colitis.

18  
19 **Intermediate risk:**

20 -extensive colitis with mild active histological inflammation **or**  
21 -presence of post-inflammatory polyps **or**  
22 -family history of colorectal cancer in a first degree relative aged 50 years or  
23 over.

24  
25 **High risk:**

26 -extensive colitis with moderate or severe active histological inflammation **or**  
27 -primary sclerosing cholangitis (including post-transplant) **or**  
28 -presence of colonic stricture in the past 5 years **or**  
29 -dysplasia (any grade) in the past 5 years **or**  
30 -family history of colorectal cancer in a first degree relative aged under  
31 50 years.

32  
33

1 1.1.4 Offer colonoscopic surveillance to people with IBD based on their  
2 risk of developing colorectal cancer (see table 1), determined at  
3 each colonoscopy.

- 4 • Low risk: offer every 5 years.
- 5 • Intermediate risk: offer every 3 years.
- 6 • High risk: offer every year.

## 7 **People with polyps**

8 1.1.5 Offer colonoscopic surveillance only to people who have had  
9 adenomas removed and are at high or intermediate risk (see table  
10 2) of developing colorectal cancer.

11 1.1.6 Offer white-light endoscopy for colonoscopic surveillance to people  
12 who have had adenomas removed and are at high or intermediate  
13 risk (see table 2) of developing colorectal cancer.

14 1.1.7 If colonoscopy is not clinically appropriate or is incomplete consider  
15 offering colonoscopic surveillance using computed tomographic  
16 colonography (CTC) to people who have had adenomas removed  
17 and are at high or intermediate risk (see table 2) of developing  
18 colorectal cancer.

19 1.1.8 Offer people with adenomatous polyps who are being considered  
20 for colonoscopic surveillance a baseline colonoscopy to determine  
21 their risk of developing colorectal cancer (see table 2).

## 22 **Table 2 Risk of developing colorectal cancer in people with polyps**

|  |
|--|
| 23 <b>Low risk:</b>                                    |
| 24 -one or two adenomas smaller than 1 cm.             |
| 25   |
| 26 <b>Intermediate risk:</b>                           |
| 27 -three or four adenomas smaller than 1 cm <b>or</b> |
| 28 -one or two adenomas if one is larger than 1 cm.    |
| 29   |
| 30 <b>High risk:</b>                                   |
| 31 -five or more adenomas smaller than 1 cm <b>or</b>  |
| 32 -three or more adenomas if one is 1 cm or larger.   |

1

2 1.1.9 Offer colonoscopic surveillance to people with adenomatous polyps  
3 based on their risk of developing colorectal cancer (see table 2),  
4 determined at each colonoscopy.

- 5 • Low risk: do not offer colonoscopic surveillance.
- 6 • Intermediate risk: offer colonoscopic surveillance every 3 years  
7 until there are two consecutive negative colonoscopies, then  
8 stop surveillance.
- 9 • High risk: offer one colonoscopy at one year after diagnosis. If  
10 no adenomas are found, or low-risk or intermediate-risk  
11 adenomas are found, follow the advice above for intermediate  
12 risk. If high-risk adenomas are found, continue colonoscopic  
13 surveillance every year.

14

#### 15 **All adults**

16 1.1.10 Discuss the benefits and risks with people considering  
17 colonoscopic surveillance including:

- 18 • early detection and prevention of colorectal cancer **and**
- 19 • effects on mortality, morbidity, quality of life and psychological  
20 outcomes.

21 1.1.11 Before offering colonoscopic surveillance, inform people about the  
22 procedure they are having, including:

- 23 • bowel preparation
- 24 • sedation
- 25 • potential discomfort
- 26 • impact on everyday activities.

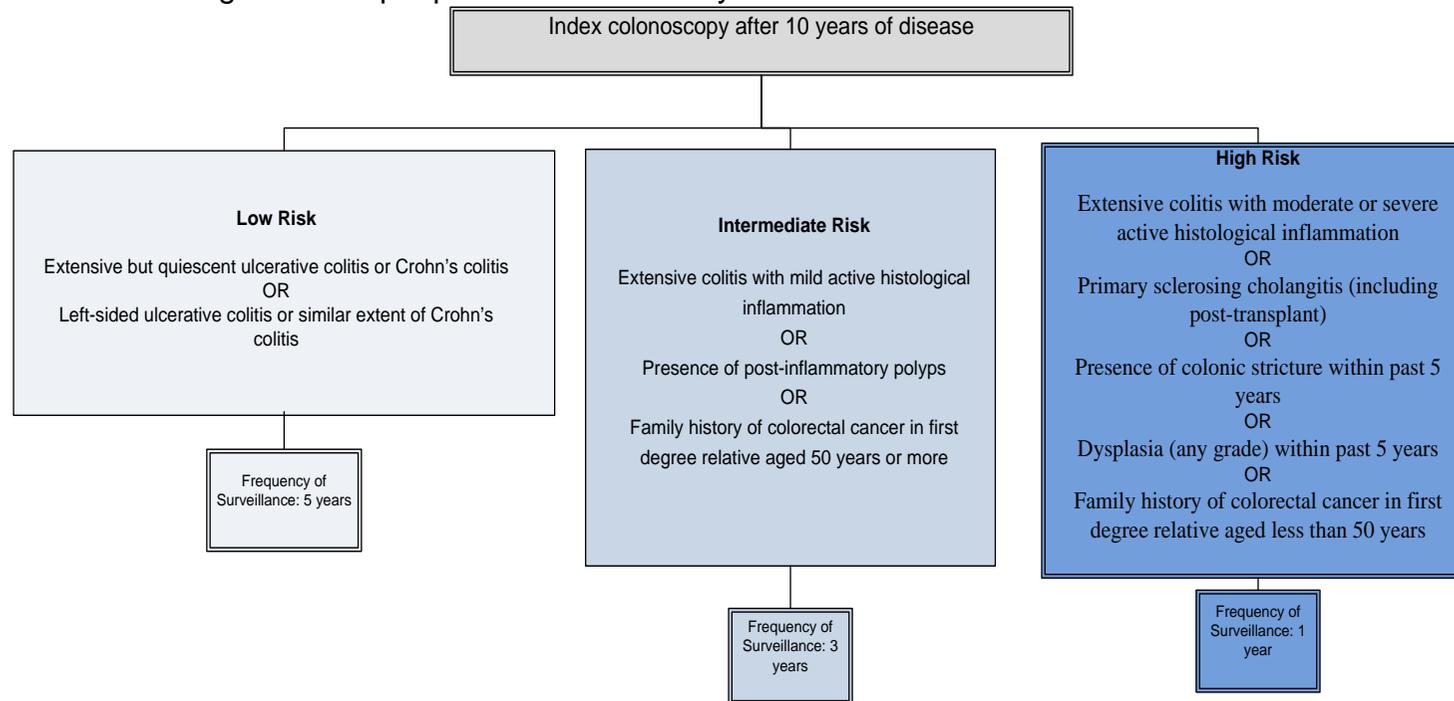
27 1.1.12 Throughout the surveillance programme, give people and their  
28 families or carers the opportunity to discuss any issues with a

1 healthcare professional. Information should be provided in a variety  
2 of formats tailored to the person's needs, and if appropriate, could  
3 include illustrations.

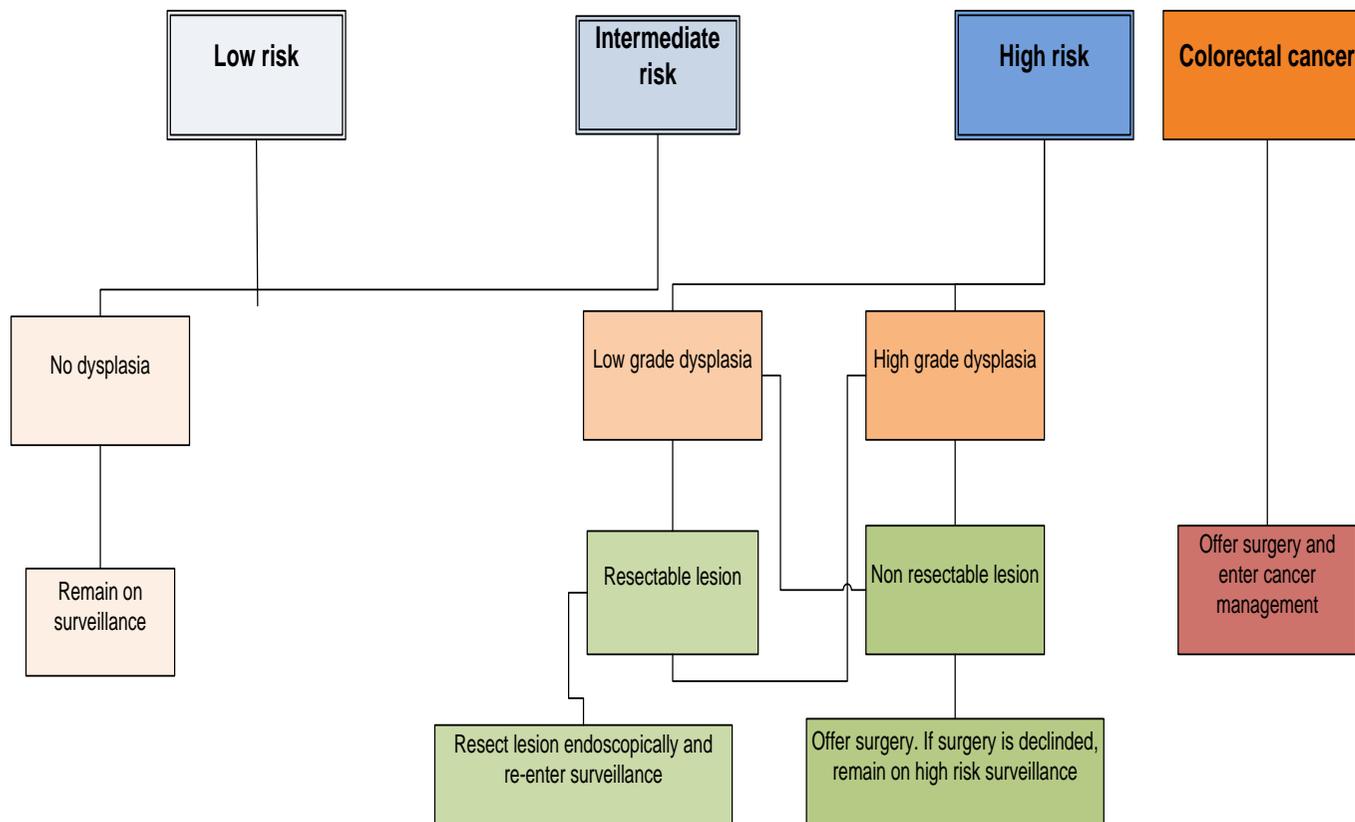
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## 1.2 Care pathway

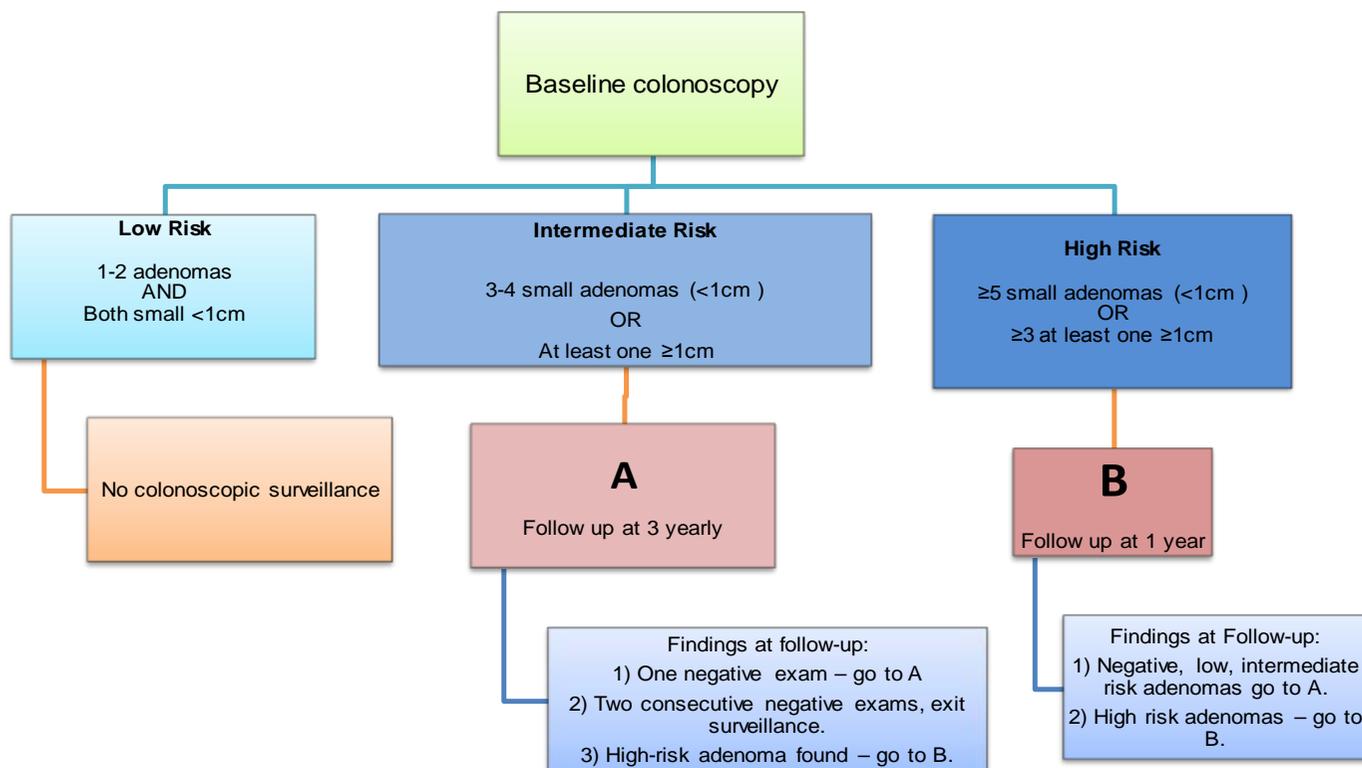
### Surveillance algorithm for people with inflammatory bowel disease



### Surveillance algorithm for people with dysplasia



## Surveillance algorithm for people after adenoma removal



1    **1.3        Overview**

2    **1.3.1        Colonoscopic surveillance for colorectal cancer in high-**  
3                   **risk groups: inflammatory bowel disease and polyps**

4    Colorectal cancer is the third most common cancer in the UK. There are  
5    approximately 32,300 new cases diagnosed and around 14,000 deaths in  
6    England and Wales each year. Around half of people diagnosed with  
7    colorectal cancer survive for at least 5 years after diagnosis.

8    Some adults with IBD (ulcerative colitis or Crohn's disease) or with  
9    adenomatous polyps have a higher risk of developing colorectal cancer than  
10   the general population. Polyps can be either precancerous (neoplastic  
11   adenomas) or non-precancerous (non-neoplastic, including hyperplastic  
12   polyps). Strong evidence suggests that detecting and removing adenomas  
13   reduces the risk of developing colorectal cancer. Larger polyps (>1 cm) have  
14   a higher potential to be malignant and are more likely to progress to invasive  
15   cancers.

16   The prevalence of ulcerative colitis is approximately 100–200 cases per  
17   100,000 and the annual incidence is 10–20 cases per 100,000. The risk of  
18   developing colorectal cancer in people with ulcerative colitis is estimated to be  
19   2% after 10 years of having the disease, 8% after 20 years and 18% after  
20   30 years.

21   The prevalence of Crohn's disease is 50–100 cases per 100,000 and the  
22   annual incidence is 5–10 cases per 100,000. The risk of developing colorectal  
23   cancer in people with Crohn's disease affecting the colon is considered to be  
24   similar to that for people with ulcerative colitis.

25   Colonoscopic surveillance can detect problems early on and potentially  
26   prevent progression to colorectal cancer. However, there is variation in current  
27   practice in the timing (initiation and frequency) of colonoscopic surveillance in  
28   people at increased risk. This short clinical guideline aims to improve the care  
29   of people with IBD or polyps at high risk of developing colorectal cancer by

1 making evidence-based recommendations on the use of colonoscopic  
2 surveillance.

### 3 **1.4 Who this guideline is for**

4 This document is intended to be relevant to healthcare professionals who  
5 provide care to people who are at high risk of developing colorectal cancer in  
6 primary and secondary care settings. The target population is adults with IBD  
7 (ulcerative colitis or Crohn's colitis) or with adenomatous polyps in the colon  
8 or rectum.

## 9 **2 How this guideline was developed**

### 10 **2.1 Introduction**

11 Results from the included studies are presented in GRADE profiles and  
12 evidence statements. The GRADE profiles were modified to allow for  
13 evidence from both randomised controlled trials (RCTs) and observational  
14 studies for the same outcomes.

15 'Colonoscopic surveillance for colorectal cancer in high-risk groups:  
16 inflammatory bowel disease and polyps' (NICE clinical guideline XX) is a  
17 NICE short clinical guideline. For a full explanation of how this type of  
18 guideline is developed, see 'The guidelines manual' (2009) at  
19 [www.nice.org.uk/GuidelinesManual](http://www.nice.org.uk/GuidelinesManual)

### 20 **2.2 Clinical effectiveness of colonoscopic surveillance** 21 **compared with no surveillance**

#### 22 **2.2.1 Review question**

23 Is colonoscopic surveillance for prevention and/or early detection of colorectal  
24 cancer in adults with IBD or polyps clinically effective compared with no  
25 surveillance?

1 **People with IBD**

2 **2.2.2 Evidence review**

3 A total of 9688 articles were found by systematic searches, of which 6533  
4 were unique articles. An additional two articles were identified from references  
5 in reviews and one article was found by the Guideline Development Group  
6 (GDG). Overall limited evidence was available, only four studies met the  
7 eligibility criteria (for review protocol and inclusion and exclusion criteria, see  
8 appendix 4) and examined the effectiveness of colonoscopic surveillance  
9 compared with no surveillance. There were three primary studies (Choi et al.  
10 1993; Lashner et al. 1990; Lutgens et al. 2009) and one Cochrane systematic  
11 review (Collins et al. 2006).

12 The Cochrane review included three primary studies: two studies (Choi et al.  
13 1993; Lashner et al. 1990) compared colonoscopic surveillance with no  
14 surveillance; the other study (Karlén et al. 1998) compared surveillance  
15 colonoscopy with no surveillance, one or two or more surveillance  
16 colonoscopies and is considered in this guideline in section 2.5. Another study  
17 (Velayos et al. 2006) also studied the effect of the number of surveillance  
18 colonoscopies on progression to colorectal cancer and also has been  
19 considered in this guideline in section 2.5. The review assessed the three  
20 studies using a validated scale developed by Downs and Black (1998)<sup>1</sup> and all  
21 studies were scored as 'high quality'. The authors of the Cochrane review  
22 concluded that there was no clear evidence that colonoscopic surveillance  
23 prolonged survival in people with extensive colitis (ulcerative colitis or Crohn's  
24 colitis). They felt the evidence suggested that colorectal cancer tends to be  
25 detected at an earlier stage in people who are undergoing surveillance and  
26 these people therefore have a better prognosis. But lead-time bias (the period  
27 between early detection of disease and the time of its usual clinical  
28 presentation) could contribute substantially to this apparent benefit.

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<sup>1</sup> Downs and Black's (1998) checklist can be used for both randomised and non-randomised studies. The criteria for assessment include an overall score for study quality and a profile of scores for the quality of reporting, internal validity (bias and confounding), power and external validity

1 The other primary study identified (Lutgens et al. 2009) showed a significant  
2 difference in the 5-year cancer-related mortality rates in people undergoing  
3 surveillance compared with no surveillance

4 The characteristics of the three primary studies are summarised in table 1 and  
5 the evidence is reviewed in GRADE profile 1. The detailed evidence tables for  
6 the included studies are given in appendix 6.

7

1

2 **Table 1: Summary of study characteristics for the three primary studies**

| Parameters                      | Study  |   |  |
|---------------------------------|--|---|--|
|                                 | Choi et al. (1993)   | Lashner et al. (1990)   | Lutgens et al. (2009)  |
| Population                      | People with ulcerative colitis of at least 8 years' duration and extension of disease proximal to the sigmoid colon  | People with extensive ulcerative colitis (defined as continued disease from any point proximal to the splenic flexure to the distal rectum) of at least 9 years' duration | People with IBD; 89 with ulcerative colitis, 59 with Crohn's disease and 1 with indeterminate colitis. For the surveillance group, surveillance started after a median of 14.3 (standard 8) years after diagnosis of IBD |
| Intervention                    | Surveillance with biopsies every 2 years (every 3 years in the early years of the programme) after negative results on two consecutive annual examinations | People had $4.2 \pm 3.0$ (range 1–16) colonoscopies during the study period at a mean of 17.0 years after symptom onset   | At least one or more surveillance colonoscopies at regular intervals (every 1–3 years) to detect neoplasia; four random biopsies taken every 10 cm in addition to targeted biopsies of suspicious areas                  |
| Comparator                      | No surveillance  | No surveillance   | No surveillance  |
| Outcomes used for GRADE profile | Stage of carcinoma (early and advanced) detected, 5-year overall survival and overall mortality  | Number of colectomies, indication for colectomy, cancer detection rate and overall mortality  | Stage of carcinoma (early and advanced) detected, 5-year overall survival, overall mortality and 5-year colorectal cancer-related mortality  |
| IBD: inflammatory bowel disease |  |   |  |

3

**GRADE profile 1: Colonoscopic surveillance compared with no surveillance for IBD**

| No. of studies   | Design             | Colonoscopic surveillance    | No colonoscopic surveillance   | OR/RR (95% CI)<br>[ARR]<br>NNTB (95% CI)   | Limitations | Inconsistency | Indirectness | Imprecision | Other consideration | Quality   |
|--|--------------------|------------------------------|--------------------------------|--|-------------|---------------|--------------|-------------|---------------------|-----------|
| <b>Outcome 1: detected at early stage of carcinoma (Duke's stage A or B; AJCC stage 0 or 1)</b>        |                    |                              |                                |  |             |               |              |             |                     |           |
| 1 (C)  | Case control study | <b>Duke's stage A or B</b>   |                                | OR = 5.42 (1.14 to 28.95);<br>RR = 1.93 (1.15 to 3.51)<br>[ARR = 0.38]; NNTB = 2.63<br>(1.62 to 13.11) | N           | N             | N            | N           | N                   | ⊕⊕<br>Low |
|  |                    | 15/19 (79.0%)                | 9/22 (40.9%)                   |  |             |               |              |             |                     |           |
| 1 (Lu)   | Case control study | <b>AJCC stage 0 or 1</b>     |                                | OR = 3.39 (1.21 to 9.45)<br>RR = 2.14 (1.24 to 3.43)<br>[ARR = 0.28];<br>NNTB = 3.60 (2.08 to 14.90)   |             |               |              |             |                     |           |
|  |                    | 12/23 (52.2%)                | 28/115 <sup>a</sup><br>(24.3%) |  |             |               |              |             |                     |           |
| <b>Outcome 2: detected at advanced stage of carcinoma (Duke's stage C or D; AJCC stage 3B–C and 4)</b> |                    |                              |                                |  |             |               |              |             |                     |           |
| 1 (C)  | Case control study | <b>Duke's stage C or D</b>   |                                | OR = 0.18 (0.03 to 0.88)<br>RR = 0.36 (0.14 to 0.83)<br>[ARR = 0.38];<br>NNTB = 2.63 (1.62 to 13.11)   | N           | N             | N            | N           | N                   | ⊕⊕<br>Low |
|  |                    | 4/19 (21.1%)                 | 13/22 (59.1%)                  |  |             |               |              |             |                     |           |
| 1 (Lu)   | Case control study | <b>AJCC stage 3B–C and 4</b> |                                | OR = 0.29 (0.07 to 0.97)<br>RR = 0.42 (0.16 to 0.92)<br>[ARR = 0.243]; NNTB = 4.12<br>(2.56 to 35.39)  |             |               |              |             |                     |           |
|  |                    | 4/23 (17.4%)                 | 48/115<br>(41.7%)              |  |             |               |              |             |                     |           |
| <b>Outcome 3: 5-year overall survival</b>  |                    |                              |                                |  |             |               |              |             |                     |           |

|  |                    |   |                 |  |                |   |   |   |   |               |
|--|--------------------|---|-----------------|--|----------------|---|---|---|---|---------------|
| 1 (C)  | Case control study | 76.2 ± 12.1% <sup>b</sup>   | 36.3 ± 12.7%    | OR = 5.62 (3.0 to 11.27)<br>RR = 2.1 (1.60 to 2.82)<br>[ARR = 0.399];<br>NNTB=2.51 (1.93 to 3.74)                  | N              | N | N | N | N | ⊕⊕<br>Low     |
| 1 (Lu)   | Case control study | 100%  | 65%             | RR = 1.54 (1.35 to 1.80)<br>[ARR = 0.35];<br>NNTB=2.86 (2.23 to 3.80)  |                |   |   |   |   |               |
| <b>Outcome 4: colectomy</b>                    |                    |   |                 |  |                |   |   |   |   |               |
| 1 (L)  | Cohort study       | 33/91 (36.3%)   | 51/95 (53.7%)   | RR = 0.68 (0.48 to 0.93)<br>[ARR = 0.174]; NNTB = 5.74<br>(3.22 to 32.42) <sup>c</sup>                             | S <sup>d</sup> | N | N | N | N | ⊕<br>Very low |
| <b>Outcome 5: indication for colectomy</b>     |                    |   |                 |  |                |   |   |   |   |               |
| 1 (L)  | Cohort study       | <b>Cancer</b>   |                 |  | S <sup>d</sup> | N | N | N | N | ⊕<br>Very low |
|  |                    | 3/91 (3.3%)   | 6/95 (6.3%)     | RR = 0.52 (0.15 to 1.85) <b>NS</b>   |                |   |   |   |   |               |
|  |                    | <b>Dysplasia</b>  |                 |  |                |   |   |   |   |               |
|  |                    | 10/91 (11.0%)   | 3/95 (3.2%)     | RR = 3.48 (1.07 to 11.48)<br>[ARR = -0.078];<br>NNTB = 12.77 (6.12 to 184.82)                                      |                |   |   |   |   |               |
| <b>Outcome 6: cancer detection rate</b>        |                    |   |                 |  |                |   |   |   |   |               |
| 1 (L)  | Cohort study       | Using the Cox proportional hazards adjustment the surveillance group had a 67% increased cancer detection rate compared with the non surveillance group; RR = 1.67 (0.30 to 9.33) |                 |  | S <sup>d</sup> | N | N | N | N | ⊕<br>Very low |
| <b>Outcome 7: overall mortality</b>            |                    |   |                 |  |                |   |   |   |   |               |
| 1 (C)  | Case control       | 4/19 (21.1%)  | 11/22 (50%)     | OR = 0.26 (0.05 to 1.25) <b>NS</b><br>RR = 0.42 (0.16 to 1.02) <b>NS</b>   | N              | N | N | N | N | ⊕⊕<br>Low     |
| 1 (Lu)   | Case control study | 1/23 (4.35%)  | 29/115 (25.22%) | OR = 0.13 (0.003 to 0.92)<br>RR = 0.17 (0.03 to 0.86)<br>[ARR = 0.208]; NNTB = 4.79<br>(3.23 to 2.03) <sup>e</sup> |                |   |   |   |   |               |
| 1 (L)  | Cohort study       | 6/91(6.6%)  | 14/95 (14.7%)   | RR = 0.45 (0.18 to 1.07) <b>NS<sup>f</sup></b>   | S <sup>d</sup> | N | N | N | N | ⊕<br>Very low |
| <b>Outcome 8: 5-year CRC related mortality</b> |                    |   |                 |  |                |   |   |   |   |               |
| 1 (Lu)   | Case control study | 0%  | 26%             | [ARR = 0.26 (0.18 to 0.35)]<br>NNTB = 3.85 (2.83 to 5.44)  | N              | N | N | N | N | ⊕⊕<br>Low     |

AJCC: American Joint Committee on Cancer; ARR: absolute risk reduction; (C): Choi et al. (1993); CI: confidence interval; IBD: inflammatory bowel disease; (L): Lashner et al. (1990); (Lu): Lutgens et al. (2009); N: not serious; NNTB/H: number needed to treat/harm; **NS**: not significant; OR: odds ratio; RR: relative risk; S: serious; VS: very serious; U: upgrade

<sup>a</sup> Lutgens et al. (2009): the tumour stages could not be found for 11 people and so 115 instead of 126 people were studied.

<sup>b</sup> Choi et al. (1993): the 5-year overall survival rate was  $77.2 \pm 10.1\%$  for the surveillance group but changed to  $76.2 \pm 12.1\%$  after adjusting for (removing) the people in whom colorectal cancer was detected without the surveillance programme.

<sup>c</sup> Lashner et al. (1990): using the Cox proportional hazards model for adjustment, the surveillance group had 47% reduction in colectomy rate compared with the no surveillance group; RR = 0.53 (0.34 to 0.83).

<sup>d</sup> Downgraded to serious because some people not receiving surveillance could have had surveillance outside the surveillance programme within the study.

<sup>e</sup> Lutgens et al. (2009): when the 11 people were excluded,

<sup>f</sup> Lashner et al. (1990): using the Cox proportional hazards model for adjustment, the surveillance group had 61% reduction in mortality compared with the no surveillance group; RR = 0.39 (0.15 to 1.00), remaining non-significant.

1

2 **2.2.3 Evidence statements**

3 2.2.3.1 *Low quality evidence showed that colonoscopic surveillance*  
4 *statistically significantly increased the probability of detecting*  
5 *cancer at an earlier stage, with a corresponding significant*  
6 *decrease in the probability of detecting cancer at a later stage.*

7 2.2.3.2 *Low quality evidence found the 5-year overall survival rate to be*  
8 *statistically significantly higher for the surveillance group.*

9 2.2.3.3 *Very low quality evidence showed a statistically significantly lower*  
10 *rate of colectomy in the surveillance group.*

11 2.2.3.4 *Very low quality evidence showed that cancer was a more frequent*  
12 *indication for colectomy in the non-surveillance group compared*  
13 *with the surveillance group, but the difference was not statistically*  
14 *significant.*

15 2.2.3.5 *Very low quality evidence showed that dysplasia was statistically*  
16 *significantly a more frequent indication for colectomy in the*  
17 *surveillance group compared with the non-surveillance group.*

18 2.2.3.6 *Very low quality evidence found a statistically significantly*  
19 *increased cancer detection rate in the surveillance group compared*  
20 *with the non-surveillance group after adjustment for covariates by*  
21 *the Cox proportional hazards model.*

22 2.2.3.7 *Low quality evidence showed a statistically significantly higher*  
23 *overall mortality rate for the non-surveillance group compared with*  
24 *the non-surveillance group..*

25 2.2.3.8 *Low quality evidence found the 5-year colorectal cancer related*  
26 *mortality rate to be significantly higher for the non-surveillance*  
27 *group compared with the surveillance group.*

1 **2.2.4 Health economic modelling**

2 No cost-effectiveness studies were found that specifically examined  
3 colonoscopic surveillance for the prevention of colorectal cancer in people  
4 with IBD. However, three studies were found that examined colonoscopic  
5 surveillance in people with ulcerative colitis (Nguyen et al. 2009, Provenzale  
6 et al. 1995; Delco et al. 2000). All three studies explored approaches to  
7 modelling strategies, and when applicable, to inform the model structure.  
8 Given the absence of any appropriate analysis that addressed the decision  
9 problem directly, a new cost-effectiveness model was developed based on the  
10 views of the GDG and clinical data available at the time of guideline  
11 development.

12 The model was initially developed assuming that the colonoscopic  
13 surveillance programme would be dependent on the degree of dysplasia  
14 (because dysplasia is a premalignant marker for colorectal cancer). However,  
15 at a later stage the GDG decided that the programme should be based on the  
16 risk of a person developing colorectal cancer, as follows:

- 17 • low risk: offer colonoscopic surveillance every 5 years
- 18 • intermediate risk – offer colonoscopic surveillance every 3 years
- 19 • high risk – offer colonoscopic surveillance every year.

20

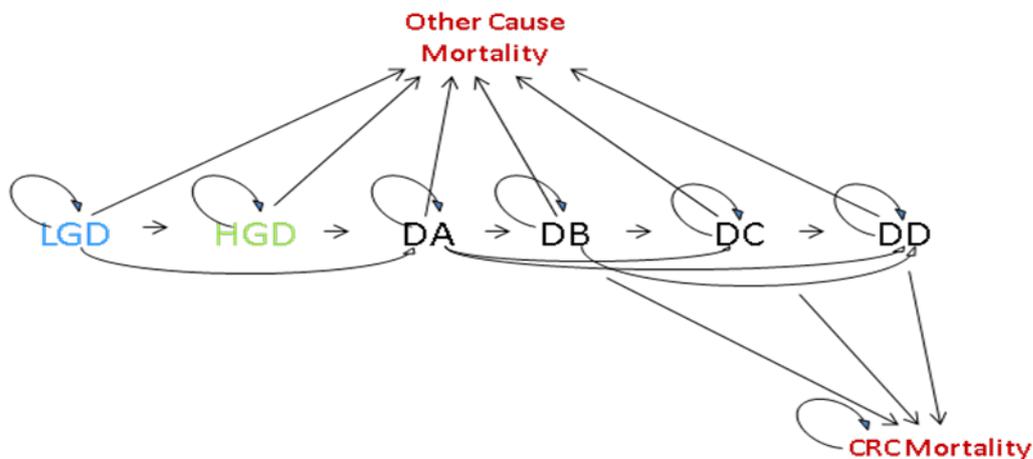
21 Because of time constraints, therefore, the initial model that was based on  
22 dysplasia could only determine the cost effectiveness of surveillance for the  
23 high-risk group, that is, 'dysplasia (any grade) in the past five years'. See the  
24 surveillance algorithm for people with inflammatory bowel disease, section  
25 1.2.

26 The model included men and women aged 30–85 who had non-resectable  
27 low- or high-grade dysplasia, and declined surgery. The analysis was run over  
28 a 55-year time horizon (cycle length 3 months) and examined the use of  
29 colonoscopic surveillance compared with no surveillance. Evidence that

1 colonoscopic surveillance was effective required a reduction in colorectal  
2 cancer related mortality.

3 The model used the following health states: low-grade dysplasia, high-grade  
4 dysplasia, asymptomatic cancer (Dukes' A, B, C, D), symptomatic cancer  
5 (Dukes' A, B, C, D), other cause mortality and colorectal cancer related  
6 mortality (see figure 1).

7 **Figure 1: Markov state diagram for the 'high-risk' group in the IBD**  
8 **colonoscopic surveillance programme**



9

10 LGD: low-grade dysplasia; HGD: high-grade dysplasia; DA: Dukes' A; DB: Dukes' B; DC:  
11 Dukes' C; DD: Dukes' D; CRC: colorectal cancer

12 Colonoscopic surveillance is recommended every year in the high-risk group  
13 and it was assumed that colonoscopy was undertaken at the beginning of the  
14 scheduled cycle. The development of colorectal cancer could be sequential,  
15 that is, progression from low-grade to high-grade dysplasia to cancer, or from  
16 low-grade dysplasia directly to colorectal cancer because some people do not  
17 progress through a detectable phase of high-grade dysplasia. Those with  
18 high-grade dysplasia could also progress directly to colorectal cancer and  
19 were assumed not to regress to low-grade dysplasia. Progress to colorectal  
20 cancer could occur either asymptotically or symptomatically between the  
21 scheduled surveillance colonoscopies. Over time, if people had no evidence  
22 of progression they would remain in the same health state.

1 The natural history of the progression of IBD to colorectal cancer is unknown.  
2 Therefore, the probabilities of moving from one health state to another were  
3 based on a published clinical study that examined colonoscopic surveillance  
4 for colorectal cancer in UK patients with ulcerative colitis (Rutter et al. 2006)  
5 and were calculated using a Bayesian dirichlet method. The probabilities of  
6 progressing symptomatically or asymptotically to colorectal cancer were  
7 obtained from a published cost-effectiveness study by Tappenden et al.  
8 (2004). The model assumed there were no complications from colonoscopy –  
9 although perforation and bleeding are serious risks they occur infrequently  
10 and were assumed to be negligible.

11 Utility values were not available for all the health states. Several studies  
12 reported utility values obtained from a disease-specific questionnaire (the  
13 Inflammatory Bowel Disease Questionnaire). However these values could not  
14 be used for calculating quality-adjusted life years (QALYs) because they did  
15 not report the values on a 0–1 scale, which is the format for generic  
16 questionnaires. Therefore, the utility values for people with low- and high-  
17 grade dysplasia were taken from a study of people with Crohn's disease  
18 (based on disease severity using a time trade off methodology; Gregor et al.  
19 1997). The GDG confirmed that this approach was acceptable; a person with  
20 low-grade dysplasia has a lower quality of life than the general population and  
21 a person with high-grade dysplasia has a lower quality of life than a person  
22 with low-grade dysplasia. Stage-specific utility values for colorectal cancer  
23 were obtained from Ness et al. (1999).

24 Colonoscopic surveillance costs were obtained from NHS reference costs and  
25 the GDG. The costs for the lifetime stage-specific treatment of colorectal  
26 cancer were obtained from Paul Tappenden and Hazel Pilgrim (personal  
27 communication, 8 April 2010). Full details of utility values and costs are  
28 presented in appendix 7.

29 Both deterministic (base case using only point estimates) and probabilistic  
30 analyses (using a range of values and simulations to take into account  
31 uncertainty) were conducted to examine cost effectiveness.

1 The overall deterministic results are presented in table 2 and more detailed  
2 results are given in appendix 7.

3 **Table 2: Deterministic analysis over a 55-year period**

| Intervention                        | QALYs | Cost (£)  | Incremental QALYs | Incremental cost (£) | ICER (£)  |
|-------------------------------------|-------|-----------|-------------------|----------------------|-----------|
| No surveillance                     | 16.42 | 2,320.44  |                   |                      |           |
| Surveillance – high-risk group only | 17.19 | 15,785.13 | 0.77              | 13,464.69            | 17,557.32 |

ICER: incremental cost-effectiveness ratio; QALY: quality-adjusted life year

4

5 The base-case analysis suggests that surveillance in the high-risk group is  
6 cost effective.

7

8 The overall probabilistic sensitivity analysis results are presented in table 3  
9 and more detailed results are given in appendix 7.

10

11 **Table 3: Probabilistic sensitivity analysis over a 55-year period**

| Intervention                        | QALYs | Cost (£)  | Incremental QALYs | Incremental cost (£) | ICER (£) |
|-------------------------------------|-------|-----------|-------------------|----------------------|----------|
| No surveillance                     | 13.04 | 7,368.92  |                   |                      |          |
| Surveillance – high-risk group only | 14.64 | 16,316.82 | 1.61              | 8,947.90             | 5,571.44 |

ICER: incremental cost-effectiveness ratio; QALY: quality-adjusted life year

12

13 The probabilistic sensitivity analysis incremental cost-effectiveness ratio  
14 (ICER) was lower than the deterministic ICER. This suggests that there may  
15 be a high degree of uncertainty associated with some model parameters  
16 resulting in a large change in the ICER.

17 The current analysis indicates that colonoscopic surveillance is a cost-  
18 effective programme for people considered at high risk of developing  
19 colorectal cancer among the three risk groups for IBD surveillance, with an  
20 ICER below £20,000 per QALY gained when deterministic and probabilistic  
21 analyses are considered.

1 **2.2.5 Evidence to recommendations**

2 The GDG considered that although the quality of the evidence was very low to  
3 low, there was still clear evidence in favour of colonoscopic surveillance  
4 compared with no surveillance for people with IBD. The GDG also felt that it  
5 would not be possible to find RCT evidence for this review question and the  
6 evidence obtained was sufficient to make recommendations in favour of  
7 colonoscopic surveillance. The GDG also considered that because of the  
8 similar colorectal cancer risk in ulcerative colitis and Crohn's colitis (Choi and  
9 Zelig 1994) recommendations could be made for Crohn's colitis despite most  
10 of the evidence being in people with ulcerative colitis. There was also some  
11 discussion about the evidence potentially showing lead-time bias, with early  
12 detection achieved because of colonoscopic surveillance, therefore improving  
13 5-year survival but not overall survival. However, Lutgens et al. (2009)  
14 showed a significant difference in the 5-year cancer-related mortality rates in  
15 people undergoing surveillance compared with no surveillance, which does  
16 not support the effect of lead-time bias.

17 Finally, the health economic modelling indicated that colonoscopic  
18 surveillance is a cost-effective use of resources for people at high risk of  
19 developing colorectal cancer. The population in the economic model  
20 comprised one subcategory of the high-risk group (defined in care pathway  
21 section 1.2). The GDG considered that this population's risk of cancer  
22 development was similar for the entire category and therefore, the results  
23 could be extrapolated to the entire high-risk group. The GDG also felt that  
24 because all the studies included for this review question looked at people who  
25 had disease of at least 10 years' duration, it would be appropriate to only offer  
26 surveillance after 10 years of disease duration.

1 **2.2.6 Recommendations**

**Recommendation 1.1.1**

Offer colonoscopic surveillance to people with left-sided or extensive ulcerative colitis (except proctitis alone) or Crohn's colitis of a similar extent from 10 years after onset of symptoms.

2 **People with polyps**

3 **2.2.7 Evidence review**

4 A total of 9688 articles were found by systematic searches, of which 6533  
5 were unique articles. Overall, two studies met the eligibility criteria (for review  
6 protocol and inclusion and exclusion criteria, see appendix 4) and examined  
7 the effectiveness of colonoscopic surveillance compared with no surveillance.  
8 Although two studies were initially considered to be relevant, they were  
9 excluded by the GDG as being not relevant. In Thiis-Evensen (1999) people  
10 had incomplete flexible sigmoidoscopy, and on discovering polyps, they were  
11 offered colonoscopic polypectomy.

12 In Jorgensen (2003) an indirect comparison was made. Mortality rates were  
13 compared in people offered colonoscopic surveillance and people who died  
14 from colorectal cancer (controls) in Denmark, with data taken from the cancer  
15 registry.

16 Therefore, no evidence meeting the eligibility criteria was identified for this  
17 group.

18 **2.2.8 Evidence statement**

19 *2.2.8.1 There is no evidence for or against colonoscopic surveillance for*  
20 *the prevention and early detection of colorectal cancer after*  
21 *adenoma removal.*

22 **2.2.9 Health economic modelling**

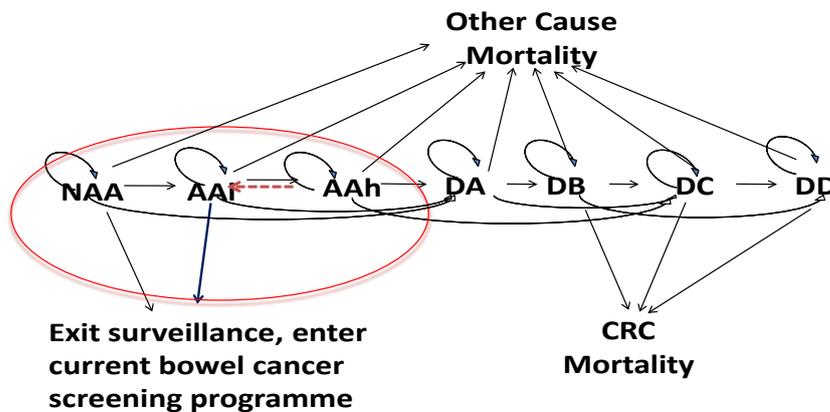
23 A search for cost-effectiveness studies found no directly relevant studies for  
24 colonoscopic surveillance and one possible analysis (Tappenden et al. 2004).

1 Given the absence of an appropriate analysis, a Markov model was  
2 developed. The model included 50-year old men and women who had polyps  
3 removed at baseline colonoscopy. The analysis was run over a 50-year time  
4 horizon. Based on the clinical effectiveness and recommendations made by  
5 the GDG, the model compared clinical and cost effectiveness of a periodic  
6 colonoscopic surveillance programme using conventional colonoscopy  
7 compared with no surveillance for the early detection of adenomas and  
8 colorectal cancer.

9 There was limited evidence on the natural history of polyps or adenomas  
10 leading to colorectal cancer (Winawer et al. 1993; Tappenden et al. 2004). A  
11 full systematic review of the literature was not possible because of time  
12 constraints. Examination of existing economic models, including screening  
13 and surveillance, was carried out. Information about the natural history of  
14 undetected colorectal cancer, the related probabilities of progressing through  
15 undiagnosed cancer states and the probabilities of clinical presentation by  
16 cancer stage were obtained by calibrating against published incidence and  
17 mortality data (Tappenden et al. 2004).

18 The model is based on Tappenden et al. (2004) and is presented in figure 2.  
19 The effectiveness of colonoscopic surveillance was considered using the early  
20 detection of polyps or adenomas and neoplastic changes compared with no  
21 surveillance. Detection rates of early cancer (Dukes' A and Dukes' B  
22 colorectal cancer) leading to mortality from the disease were considered using  
23 lifetime treatment costs for colorectal cancer in each strategy.

1 **Figure 2: Colonoscopic surveillance model for people with adenomas**



2

3 NAA: non-advanced adenoma, low risk, AAI: advanced adenoma, intermediate risk; AAh:  
 4 advanced adenoma, high risk; DA: Dukes' A; DB: Dukes' B; DC: Dukes' C; DD: Dukes' D;  
 5 CRC: colorectal cancer

6

7 In the model people are grouped into a finite number of Markov states, and all  
 8 events or progression are represented as transitions from one state to another  
 9 with a certain probability. Transition probabilities estimated in the model are  
 10 assumed to be constant, with the exception of age-related adenoma incidence  
 11 (Tappenden et al. 2004) and age-specific mortality rate (Office of National  
 12 Statistics 2008). The effectiveness of colonoscopic surveillance is modelled as  
 13 an intervention under near-perfect conditions to determine whether  
 14 colonoscopic surveillance using colonoscopy for the early detection of  
 15 adenomas and colorectal cancer was clinically and cost effective compared  
 16 with no surveillance. The effectiveness of colonoscopic surveillance in  
 17 removing adenomas for prevention of colorectal cancer is measured from the  
 18 QALY gains in people who exit the surveillance programme according to the  
 19 surveillance strategies. Subsequent analyses are considered if appropriate.

20 In the model the surveillance schedule broadly follows the British Society of  
 21 Gastroenterology guidelines (Atkin and Saunders 2002; Cairns et al. 2010).  
 22 The person's risk state is defined during the baseline colonoscopy in terms of  
 23 the index lesion, which is the adenoma or most advanced adenoma present  
 24 with the greatest potential for malignancy.

1 Currently, colonoscopic surveillance for people who have had polyps removed  
2 are determined by their risk state at baseline colonoscopy, and are as follows:

- 3 • Low risk: surveillance at 5 years, then no surveillance if colonoscopy  
4 results are negative, that is there are no newly developed adenomas and  
5 no colorectal cancer is detected.
- 6 • Intermediate risk: offer colonoscopic surveillance every 3 years until there  
7 are two consecutive negative colonoscopies, then stop surveillance..
- 8 • High risk surveillance at 12 months:
  - 9 – if high-risk adenomas are detected, surveillance every year.
  - 10 – if results are negative, or low- or intermediate-risk adenomas are  
11 detected, follow the programme for people at intermediate risk.

12  
13 In the model, three strategies were examined; no surveillance, surveillance in  
14 low, intermediate and high-risk groups, and surveillance in intermediate and  
15 high-risk groups. The model includes the person's risk state after the removal  
16 of adenomas focused on the number and size of adenomas. Any newly  
17 developed adenomas will be removed during surveillance. If any lesions are  
18 found during surveillance that are suspected to be malignant, the surveillance  
19 programme will be stopped and the person referred for appropriate diagnosis  
20 and treatment. Empirical evidence strongly suggests that people with a history  
21 of polyps are more likely to develop polyps in the future than people who have  
22 never had polyps (Winawer 1993). The GDG agreed that in the model all  
23 colorectal cancers arise from pre-existing adenomas.

24 Utility values (health benefits) for health states and treatment were obtained  
25 from published studies. Data on stage-specific utility values for colorectal  
26 cancer were limited and no EQ-5D values were available. Utility values were  
27 assessed in relation to stage of cancer and treatment (Ness et al. 1999,  
28 2000). The GDG agreed that the utility values for people who are cancer free  
29 or have undiagnosed (asymptomatic) cancer were similar to those of the  
30 general population. Surveillance costs were obtained from NHS reference  
31 costs. Costs for the stage-specific lifetime treatment of colorectal cancer were  
32 obtained from Paul Tappenden and Hazel Pilgrim (personal communication, 8

1 April 2010). Full details of the utility values and costs are presented in  
2 appendix 7.

3 A base-case estimate of the incremental cost effectiveness ratio (ICER) for  
4 colonoscopic surveillance in intermediate and high-risk groups only in  
5 comparison with no surveillance was –£2749.48 per QALY gained. A negative  
6 ICER is interpreted as dominating compared with no surveillance, indicating  
7 surveillance in intermediate and high-risk groups is less expensive and more  
8 effective. The overall deterministic results are presented in table 4 and more  
9 detailed results are given in appendix 7.

10 **Table 4: Deterministic analysis over a 45-year period**

| Intervention   | QALY  | Cost (£)  | Incremental QALY | Incremental cost (£) | ICER (£)   |
|--|-------|-----------|------------------|----------------------|------------|
| No surveillance  | 15.48 | 664.72    | –                | –                    | –          |
| Colonoscopic surveillance following BSG guideline (low, intermediate and high-risk groups) | 15.63 | 11,120.88 | 0.152            | 10,456.17            | 68,771.48  |
| Colonoscopic surveillance in intermediate and high-risk groups only                        | 15.55 | 444.52    | 0.074            | -220.19              | Dominating |

BSG: British Society of Gastroenterology; ICER: incremental cost-effectiveness ratio; QALY: quality-adjusted life year

11  
12 The overall probabilistic sensitivity analysis results are presented in table 5  
13 and more detailed results are given in appendix 7. The analysis shows that  
14 colonoscopic surveillance in the intermediate and high-risk groups is cost  
15 effective compared with the current British Society of Gastroenterology  
16 guideline or no surveillance.

1 **Table 5: Probabilistic sensitivity analysis over a 45-year period**

| Intervention   | QALY  | Costs     | Incremental QALY | Incremental cost (£) | ICER (£)   |
|--|-------|-----------|------------------|----------------------|------------|
| No surveillance  | 14.87 | 938.10    | –                | –                    | –          |
| Colonoscopic surveillance following BSG guideline (low, intermediate and high-risk groups) | 15.04 | 11,120.88 | 0.165            | 10,182.79            | 61,666.51  |
| Colonoscopic surveillance in intermediate and high-risk groups only                        | 15.00 | 627.81    | 0.125            | –2,482.07            | Dominating |

BSG: British Society of Gastroenterology; ICER: incremental cost-effectiveness ratio; QALY: quality-adjusted life year

2

3 The probabilistic sensitivity analysis suggests that colonoscopic surveillance  
 4 in intermediate and high-risk groups has a probability of being cost effective of  
 5 52.9%. The additional QALYs gained were mainly from preventing colorectal  
 6 cancer by detecting and removing adenomas during surveillance.

7 The GDG acknowledged the necessary assumptions used in the model and  
 8 the limitations of the model. Therefore, the results of the cost-effectiveness  
 9 analysis were approached with caution. The details of the cost-effectiveness  
 10 analysis are discussed in appendix 7.

11

## 12 **2.2.10 Evidence to recommendations**

13 Because of the lack of evidence, the GDG made recommendations based on  
 14 experience, and the colorectal cancer incidence and overall mortality reported  
 15 in Thiis-Evensen (1999) and Jorgensen (2003). These articles showed that  
 16 the risk of cancer in people with polyps in the low-risk group is similar to that  
 17 of the general population. Therefore, no surveillance is recommended for the  
 18 low-risk group. The GDG noted that there is a national bowel screening  
 19 programme in the UK for adults aged 60–69 years. This was also supported  
 20 by the health economic modelling, which showed that surveillance in  
 21 intermediate and high-risk groups is cost effective compared with no  
 22 surveillance.

1 **2.2.11 Recommendations**

**Recommendation 1.1.5**

Offer colonoscopic surveillance only to people who have had adenomas removed and are at high or intermediate risk of developing colorectal cancer.

2 **2.3 Colonoscopic surveillance techniques**

3 **2.3.1 Review question**

4 Which colonoscopic surveillance technique (using conventional colonoscopy  
5 or chromoscopy) for prevention and/or early detection of colorectal cancer in  
6 adults with IBD or polyps is more clinically effective compared with other  
7 methods of surveillance (flexible sigmoidoscopy, double-contrast barium  
8 enema, computed tomographic colonography, tri-modal imaging [high-  
9 resolution white light endoscopy, narrow-band imaging, and auto-fluorescence  
10 imaging])?

11 **People with IBD**

12 **2.3.2 Evidence review**

13 A total of 14,701 articles were found by systematic searches, of which 9544  
14 were unique articles. The full text was ordered for 108 articles. One study met  
15 the eligibility criteria (for review protocol and inclusion and exclusion criteria,  
16 see appendix 2).

17 The characteristics of the primary study are summarised in table 6 and the  
18 evidence is reviewed in GRADE profile 2.

19

1 **Table 6: Summary of study characteristics**

| Study  | Population  | Study characteristics                       | Outcomes used for GRADE profile  |
|--|---|---|--|
| Dekker et al. (2007)                                     | Forty-two patients with ulcerative colitis of long duration. The study group comprised 31 men and 11 women with a mean age ( $\pm$ SD) of $50 \pm 11.2$ years | Prospective RCT:<br>Cross-over study design | Detection of neoplastic lesion with narrow-band imaging compared with conventional colonoscopy |
| RCT: randomised controlled trial; SD: standard deviation |   |   |  |

2

**GRADE profile 2: Conventional colonoscopy compared with narrow-band imaging**

| No. of studies  | Design | Conventional Colonoscopy | Other technique | SN               | Limitations | Inconsistency | Indirectness | Imprecision | Other considerations | Quality               |
|---|--------|--------------------------|-----------------|------------------|-------------|---------------|--------------|-------------|----------------------|-----------------------|
| <b>NBI versus conventional colonoscopy for inflammatory bowel disease</b>   |        |                          |                 |                  |             |               |              |             |                      |                       |
| <b>Primary outcome:</b>   |        |                          |                 |                  |             |               |              |             |                      |                       |
| 1 (D)   | RCT    | 8/42 (19%)               | 7/42 (17%)      | SN for NBI = 67% | N           | N             | N            | N           | S                    | Moderate <sup>a</sup> |
| <p>(D): Dekker et al. (2007); N: not serious; NBI: narrow-band imaging; RCT: randomised controlled trial; S: serious; SN: sensitivity</p> <p><sup>a</sup> The study did not contain a predefined sample size and therefore included only 42 people. A first-generation prototype NBI system with an experimental light source was used.</p> |        |                          |                 |                  |             |               |              |             |                      |                       |

1

2 **2.3.3 Evidence statements**

3 2.3.1 *Moderate quality evidence comparing narrow-band imaging with*  
4 *conventional colonoscopy showed no significant difference in the*  
5 *number of detected neoplastic lesions (in people with ulcerative*  
6 *colitis of long duration) between the two techniques.*

7 **2.3.4 Health economic modelling**

8 No health economic modelling was undertaken for this review question.

9 **2.3.5 Evidence to recommendations**

10 The GDG agreed that the Dekker (2007) study was underpowered, that is, the  
11 sample size was small and not a true representation of people with IBD. In  
12 addition, narrow-band imaging is not routinely used for colonoscopic  
13 surveillance in the UK. Therefore the GDG considered that it was not possible  
14 to recommend narrow-band imaging in this population.

15 **2.3.6 Recommendations**

16 No recommendations were made for this population (see Evidence to  
17 recommendations for details).

18 **People with polyps**

19 **2.3.7 Evidence review**

20 A total of 14,701 articles were found by systematic searches, of which 9544  
21 were unique articles. The full text was ordered for 108 articles. Two primary  
22 studies and two systematic reviews that looked at the effectiveness of  
23 conventional colonoscopy compared with narrow-band imaging, double-  
24 contrast barium enema, CT colonography and flexible sigmoidoscopy for  
25 surveillance for polyps met the inclusion and exclusion criteria (for review  
26 protocol and inclusion and exclusion criteria, see appendix 2).

27 The characteristics of the included studies are summarised in table 7 and the  
28 evidence is reviewed in GRADE profile 3. The forest plots for the meta-

- 1 analysis of outcomes and a detailed evidence table for the two systematic
- 2 reviews are given in appendix 6.

3 **Table 7: Summary of study characteristics**

| <b>Study</b>  | <b>Population</b>  | <b>Study characteristics</b>  | <b>Outcomes used for GRADE profile</b>  |
|---|--|---|---|
| Van den Broek et al. (2009)   | A pooled result of 537 people undergoing NBI compared with 536 people having conventional colonoscopy  | Systematic review of three RCTs: NBI compared with conventional colonoscopy (white light endoscopy) | Detection and removal of adenomas with NBI compared with conventional colonoscopy |
| Rex et al. (1995)   | 149 people aged at least 40 years (mean age 63) with symptoms suggestive of colonic disease  | RCT comparing flexible sigmoidoscopy plus double contrast barium enema                              | Adenoma detection   |
| Mulhall et al. (2005)   | Prospective studies of adults undergoing CT colonography after full bowel preparation, with colonoscopy as the gold standard. 33 studies provided data on 6393 people      | Systematic review and meta-analysis of CT colonography  | Pooled sensitivity and specificity for polyp detection                            |
| Winawer et al. (2000)   | 973 people underwent one or more surveillance colonoscopies. In 580 of these people, 862 paired surveillance colonoscopies and double-contrast barium enema were performed | Controlled trial comparing colonoscopy and double-contrast barium enema                             | Adenoma detection   |
| CT: computed tomography; NBI: narrow-band imaging; RCT: randomised controlled trial |  |   |   |

**GRADE profile 3: Conventional colonoscopy compared with double-contrast barium enema, flexible sigmoidoscopy, narrow-band imaging and CT colonography**

| No. of studies   | Design                          | Conventional colonoscopy                 | Other technique | OR (95% CI)<br>SN<br>SP<br>p value   | Limitations | Inconsistency | Indirectness | Imprecision | Other considerations | Quality               |
|--|---------------------------------|--|-----------------|--|-------------|---------------|--------------|-------------|----------------------|-----------------------|
| <b>NBI versus conventional colonoscopy for polyps</b>            |                                 |  |                 |  |             |               |              |             |                      |                       |
| <b>Primary outcome:</b>  |                                 |  |                 |  |             |               |              |             |                      |                       |
| 1 (V)  | Systematic review/meta analysis | 236/537 (44%)                            | 219/536 (41%)   | OR = 1.19 (95% CI 0.86 to 1.64)  | N           | N             | N            | N           | N                    | High                  |
| <b>FSIG plus DBCE versus conventional colonoscopy for polyps</b> |                                 |  |                 |  |             |               |              |             |                      |                       |
| <b>Primary outcome:</b>  |                                 |  |                 |  |             |               |              |             |                      |                       |
| 1 (R)  | RCT                             | 13/74 (18%)                              | 23/75 (31%)     | OR = 2.07 (95% CI 0.90 to 4.92)  | N           | N             | N            | N           | S                    | Moderate <sup>b</sup> |
| <b>CTC versus conventional colonoscopy for polyps</b>            |                                 |  |                 |  |             |               |              |             |                      |                       |
| <b>Primary outcome:</b>  |                                 |  |                 |  |             |               |              |             |                      |                       |
| 1 (M)  | Systematic review/meta analysis | 33 studies providing data on 6393 people |                 | Pooled SN for CTC = 70% (95% CI 53% to 87%).<br>Pooled SP for CTC = 86% (95% CI 84% to 88%;<br>p = 0.001)  | N           | N             | N            | N           | S                    | Moderate <sup>c</sup> |
| <b>DCBE versus conventional colonoscopy for polyps</b>           |                                 |  |                 |  |             |               |              |             |                      |                       |
| <b>Primary outcome:</b>  |                                 |  |                 |  |             |               |              |             |                      |                       |
| 1 (W)  | Controlled trial                | 558/580 (96%)                            | 380/393 (97%)   | Adenomatous polyps detected by DCBE were significantly related to the size of the adenomas (p = 0.009). The SN and SP for DCBE were 38% and 86% respectively | N           | N             | N            | N           | S                    | Low                   |

CI: confidence interval; CTC: computed tomographic colonography; DCBE: double-contrast barium enema; FSIG: flexible sigmoidoscopy; IBD: inflammatory bowel disease; (M): Mulhall et al. (2005); N: not serious; NBI: narrow-band imaging; OR: odds ratio; (R): Rex et al. (1995); RCT: randomised controlled trial; S: serious; SN: sensitivity; SP: specificity; (V): Van den Broek et al. (2009); (W): Winawer et al. (2000)

<sup>a</sup> The study did not contain a predefined sample size and therefore included only 42 people. A first-generation prototype NBI system with an experimental light source was used.

<sup>b</sup> Downgraded based on small sample size.

<sup>c</sup> Eighteen of the studies used colonoscopy as the gold standard. Eleven studies used segmental unblinded colonoscopy or optimised colonoscopy.

1

2 **2.3.8 Evidence statements**

3 2.3.8.1 *High quality evidence comparing narrow-band imaging with*  
4 *colonoscopy (white light endoscopy) to detect adenomas showed*  
5 *that narrow-band imaging does not significantly improve the*  
6 *detection of adenomas .*

7 2.3.8.2 *Moderate quality evidence showed a non significant two-fold*  
8 *increase in adenoma detection rate with conventional colonoscopy*  
9 *compared with flexible sigmoidoscopy plus double-contrast barium*  
10 *enema.*

11 2.3.8.3 *Low quality evidence showed that colonoscopic examination*  
12 *detected more polyps than double-contrast barium enema. Half of*  
13 *these polyps were adenomas, and the remainder were primarily*  
14 *normal mucosal tags, with some hyperplastic polyps.*

15 2.3.8.4 *Moderate quality evidence showed that computed tomographic*  
16 *(CT) colonography is highly specific, particularly for polyps larger*  
17 *than 9 mm. This evidence also showed that sensitivity for CT*  
18 *colonography increases with polyp size.*

19 **2.3.9 Health economic modelling**

20 No health economic modelling was undertaken for this review question.

21 **2.3.10 Evidence to recommendations**

22 The GDG agreed that the Rex (1995) study was underpowered, that is, the  
23 sample size was small and not a true representation of people with polyps.

24 The GDG noted that there was ongoing research comparing CT colonography  
25 with conventional colonoscopy.

26 The GDG recommended using conventional colonoscopy (high-resolution  
27 white-light endoscopy) for routine colonoscopic surveillance in people with

1 polyps because of its increased adenoma detection rate compared with other  
2 techniques.

### 3 **2.3.11 Recommendations**

#### 4 **Recommendation 1.1.6**

5 Offer white-light endoscopy for colonoscopic surveillance to people who have  
6 had adenomas removed and are at high or intermediate risk (see table 2) of  
7 developing colorectal cancer.

#### 8 **Recommendation 1.1.7**

9 If colonoscopy is not clinically appropriate or is incomplete consider offering  
10 colonoscopic surveillance using computed tomographic colonography (CTC)  
11 to people who have had adenomas removed and are at high or intermediate  
12 risk (see table 2) of developing colorectal cancer.

## 13 **2.4 Conventional colonoscopy compared with** 14 **chromoscopy**

### 15 **2.4.1 Review question**

16 Is colonoscopic surveillance with a dye (chromoscopy) for prevention and/or  
17 early detection of colorectal cancer clinically effective compared with  
18 colonoscopic surveillance without a dye (conventional colonoscopy)?

### 19 **People with IBD**

#### 20 **2.4.2 Evidence review**

21 A total of 14,701 articles were found by systematic searches, of which 9544  
22 were unique articles. The full text was ordered for 23 articles. Only four  
23 studies examined the effectiveness of chromoscopy compared with  
24 conventional colonoscopic surveillance for IBD and met the eligibility criteria  
25 (for review protocol and inclusion and exclusion criteria, see appendix 4). The  
26 four primary studies were Kiesslich et al. (2003, 2007), Marion et al. (2008)  
27 and Rutter et al. (2004a).

1 The characteristics of the included primary studies are summarised in table 8  
 2 and the evidence reviewed in GRADE profile 4. The forest plots for the meta-  
 3 analysis of outcomes and the detailed evidence tables for the included studies  
 4 are given in appendix 6. The meta-analysis of the dichotomous outcomes  
 5 used the pooled odds ratio calculated by the Mantel-Haenszel fixed-effects  
 6 model because the heterogeneity was less than 50%. Subgroup analysis was  
 7 performed when appropriate.

8 **Table 8: Summary of study characteristics**

| Study  | Population  | Intervention  | Comparator   | Outcomes used for GRADE profile   |
|--|---|---|--|---|
| Kiesslich et al. (2003)<br>RCT                         | People with clinically inactive, ulcerative colitis (of at least 8 years duration), N = 165   | Chromoscopy using 0.1% methylene blue, n = 84                     | Conventional colonoscopy, using conventional video colonoscopies, n = 81 | Total number of neoplastic lesions, number of LGD, HGD and flat neoplastic lesions detected, and number of people with neoplastic lesions |
| Kiesslich et al. (2007)<br>RCT                         | People with clinically inactive, ulcerative colitis (of at least 8 years duration), N = 161; Eight patients were excluded because of insufficient bowel preparation; therefore N=153. | Chromoscopy using 0.1% methylene blue with endomicroscopy, n = 80 | Conventional colonoscopy, using conventional video colonoscopies, n = 73 | Total number of neoplastic lesions, number of LGD, HGD and flat neoplastic lesions detected and number of people with neoplastic lesions  |
| Marion et al. (2008)<br>Back-to-back controlled trial  | People with extensive ulcerative colitis (at least left sided, n = 79) or Crohn's colitis (at least one third of the colon, n = 23), N = 102  | Chromoscopy using 0.1% methylene blue, n = 102                    | Conventional colonoscopy, n = 102, targeted and random                   | Total number of neoplastic lesions, number of LGD, HGD and flat neoplastic lesions detected and number of people with neoplastic lesions  |
| Rutter et al. (2004a)<br>Back-to-back controlled trial | People with extensive ulcerative colitis of long duration, N = 100  | Chromoscopy with 0.1% indigo carmine, n = 100                     | Conventional colonoscopy, n = 100, targeted and random                   | Total number of neoplastic lesions, number of LGD lesions detected and number of people with neoplastic lesions                           |

RCT: randomised controlled trial; HGD: high-grade dysplasia; LGD: low-grade dysplasia

### GRADE profile 4: Chromoscopy compared with conventional colonoscopy for IBD

| No. of studies   | Design | Chromoscopy                            | Conventional colonoscopy | OR M-H, fixed (95%CI)<br>RR (95%CI)<br>ARR, NNTB (95%CI) | Limitations | Inconsistency | Indirectness | Imprecision | Other consideration | Quality |
|--|--------|--|--------------------------|--|-------------|---------------|--------------|-------------|---------------------|---------|
| <b>Outcome 1: mean number of people with intra-epithelial neoplasia</b>                  |        |  |                          |  |             |               |              |             |                     |         |
| 4 <sup>a</sup>   | RCT/CT | 48/366<br>(13.11%)                     | 23/356<br>(6.46%)        | OR = 2.21 (1.31 to 3.7)                                  | N           | N             | N            | N           | N                   | High    |
| <b>Outcome 2: mean number of intra-epithelial neoplastic lesions detected per biopsy</b> |        |  |                          |  |             |               |              |             |                     |         |
| 2 <sup>b</sup>   | RCT/CT | <b>Targeted chromoscopy</b>            |                          | OR = 85.47(45.31 to 161.21)                              | N           | N             | N            | N           | N                   | High    |
|  |        | 31/196<br>(15.82%)                     | 18/6261<br>(0.29%)       |  |             |               |              |             |                     |         |
| 1 <sup>c</sup>   |        | <b>Random and targeted chromoscopy</b> |                          | OR = 8.76 (2.97 to 25.78)                                |             |               |              |             |                     |         |
|  |        | 19/1688<br>(1.13%)                     | 4/3041<br>(0.13%)        |  |             |               |              |             |                     |         |
| <b>Outcome 3: mean number of intra-epithelial neoplastic lesions detected per person</b> |        |  |                          |  |             |               |              |             |                     |         |
| 4 <sup>d</sup>   | RCT/CT | 82/366<br>(22.40%)                     | 32/356<br>(8.99%)        | OR = 3.02 (1.93 to 4.72)                                 | N           | N             | N            | N           | N                   | High    |
| <b>Outcome 4: mean number of LGD lesions per person</b>                                  |        |  |                          |  |             |               |              |             |                     |         |
| 2 <sup>e</sup>   | CT     | <b>Targeted chromoscopy</b>            |                          | OR = 85.96 (45.00 to 164.21)                             | N           | N             | N            | N           | N                   | High    |
|  |        | 30/196<br>(15.31%)                     | 17/6261<br>(0.27%)       |  |             |               |              |             |                     |         |
| 1 <sup>f</sup>   | RCT    | <b>Random and targeted chromoscopy</b> |                          | OR = 7.35 (2.07 to 26.07)                                |             |               |              |             |                     |         |
|  |        | 12/1688<br>(0.71%)                     | 3/3081<br>(0.10%)        |  |             |               |              |             |                     |         |
| <b>Outcome 5: mean number of LGD lesions per person</b>                                  |        |  |                          |  |             |               |              |             |                     |         |
| 4 <sup>g</sup>   | RCT/CT | 66/366<br>(18.03%)                     | 28/356<br>(7.86%)        | OR = 2.65 (1.65 to 4.27)                                 | N           | N             | N            | N           | N                   | High    |
| <b>Outcome 6: mean number of HGD lesions per biopsy</b>                                  |        |  |                          |  |             |               |              |             |                     |         |
| 1 <sup>h</sup>   | CT     | <b>Targeted chromoscopy</b>            |                          | OR = 40.90 (2.54 to 104.33)                              | N           | N             | N            | N           | N                   | High    |
|  |        | 1/82<br>(1.22%)                        | 1/3314<br>(0.03%)        |  |             |               |              |             |                     |         |

|   |        |  |                   |                             |  |   |   |   |   |   |      |
|---|--------|--|-------------------|-----------------------------|--|---|---|---|---|---|------|
| 1 <sup>i</sup>  | RCT    | <b>Random and targeted chromoscopy</b> |                   |                             |  |   |   |   |   |   |      |
|   |        | 7/1688<br>(0.41%)                      | 1/3081<br>(0.03%) | OR = 12.83 (1.58 to 659.66) |  |   |   |   |   |   |      |
| <b>Outcome 7: mean number of HGD lesions per person</b>   |        |  |                   |                             |  |   |   |   |   |   |      |
| 3 <sup>j</sup>  | RCT/CT | 16/266<br>(6.02%)                      | 4/256<br>(1.56%)  | OR = 4.02 (1.32 to 12.24)   |  | N | N | N | N | N | High |
| <p>ARR: absolute risk reduction; CI: confidence interval; CT: controlled trial; HGD: high-grade dysplasia; IBD: inflammatory bowel disease; LGD: low-grade dysplasia; M-H fixed: Mantel-Haenszel fixed-effects model; N: not serious; NNTB: number needed to treat; OR: odds ratio; RR: relative risk; S: serious</p> <p><sup>a</sup> Kiesslich et al. (2003, 2007), Marion et al. (2008) and Rutter et al. (2004a)<br/> <sup>b</sup> Marion et al. (2008) and Rutter et al. (2004a)<br/> <sup>c</sup> Kiesslich et al. (2007)<br/> <sup>d</sup> Kiesslich et al. (2003, 2007), Marion et al. (2008) and Rutter et al. (2004a)<br/> <sup>e</sup> Marion et al. (2008) and Rutter et al. (2004a)<br/> <sup>f</sup> Kiesslich et al. (2007)<br/> <sup>g</sup> Kiesslich et al. (2003, 2007), Marion et al. (2008) and Rutter et al. (2004a)<br/> <sup>h</sup> Marion et al. (2008)<br/> <sup>i</sup> Kiesslich et al. (2007)<br/> <sup>j</sup> Kiesslich et al. (2003, 2007) and Marion et al. (2008)</p> |        |  |                   |                             |  |   |   |   |   |   |      |

1

2 **2.4.3 Evidence statements**

3 2.4.3.1 *High quality evidence showed that chromoscopy detects*  
4 *statistically significantly more intra-epithelial neoplastic lesions in*  
5 *people with extensive colitis (at least 8 years duration) compared*  
6 *with conventional colonoscopy.*

7 2.4.3.2 *High quality evidence showed that chromoscopy detects*  
8 *statistically significantly more intra-epithelial neoplastic lesions*  
9 *compared with conventional colonoscopy.*

10 2.4.3.3 *High quality evidence showed that chromoscopy detects*  
11 *statistically significantly more intra-epithelial neoplastic lesions*  
12 *compared with conventional colonoscopy.*

13 2.4.3.4 *High quality evidence showed that chromoscopy detects*  
14 *statistically significantly more low-grade dysplastic lesions per*  
15 *biopsy compared with conventional colonoscopy.*

16 2.4.3.5 *High quality evidence showed that chromoscopy detects*  
17 *statistically significantly more low-grade dysplastic lesions*  
18 *compared with conventional colonoscopy.*

19 2.4.3.6 *High quality evidence showed that chromoscopy detects*  
20 *statistically significantly more high-grade dysplastic lesions per*  
21 *biopsy compared with conventional colonoscopy.*

22 2.4.3.7 *High quality evidence shows that chromoscopy detects statistically*  
23 *significantly more high-grade dysplastic lesions compared with*  
24 *conventional colonoscopy.*

25 **2.4.4 Health economic modelling**

26 No health economic modelling was undertaken for this review question.

1     **2.4.5     Evidence to recommendations**

2     The GDG felt that the high quality evidence was clearly in favour of  
3     chromoscopy compared with conventional colonoscopy. Chromoscopy should  
4     therefore become the standard technique for colonoscopic surveillance in  
5     people with IBD. The GDG discussed that using chromoscopy instead of  
6     colonoscopy would increase the procedure time. The Group also stated that,  
7     in practice, four mapping biopsies (used to map the extent of inflammation)  
8     and on average one targeted biopsy would be taken when using  
9     chromoscopy. However, the GDG felt that the significantly increased detection  
10    rate made chromoscopy the favoured method for colonoscopic surveillance in  
11    people with IBD.

12    **2.4.6     Recommendation**

**Recommendation 1.1.2**  
Offer colonoscopic surveillance using chromoscopy to people with IBD.

13

14    **People with polyps**

15    **2.4.7     Evidence review**

16    A total of 14,701 articles were found by systematic searches, of which 9544  
17    were unique articles. The full text was ordered for 23 articles. One Cochrane  
18    systematic review that looked at the effectiveness of chromoscopy compared  
19    with conventional colonoscopic surveillance for polyps met the eligibility  
20    criteria (for review protocol and inclusion and exclusion criteria, see appendix  
21    4).

22    The Cochrane review (Brown et al. 2007) was updated in 2009 but no  
23    additional studies were found. The review included four studies (Brooker et al.  
24    2002; Hurlstone et al. 2004; Lapalus et al. 2006; Le Rhun et al. 2006). The  
25    aim of the review was to determine whether chromoscopy increased the  
26    detection rate of polyps and neoplastic lesions during endoscopic examination  
27    of the colon and rectum. The Hurlstone et al. (2004) study was not included in  
28    the analysis by the technical team after discussion with the GDG and advice

1 from the editors of the journal because there was some uncertainty about the  
2 methods used.

3 The characteristics of the included studies are summarised in table 9 and the  
4 evidence is reviewed in GRADE profile 5. The forest plots for the meta-  
5 analysis of outcomes and a detailed evidence table for the systematic review  
6 are given in appendix 6. The meta-analysis of the dichotomous outcomes  
7 used the pooled odds ratio calculated by the Mantel-Haenszel method and the  
8 meta-analysis of the continuous outcomes used the inverse variance method.  
9 The fixed-effects model was used when the heterogeneity was less than 50%  
10 and the random-effects model was used when the heterogeneity was greater  
11 than 50%.

12 **Table 9: Summary of study characteristics**

| Study   |                       | Population  | Intervention  | Comparator                                    | Outcomes used for GRADE profile  |
|---|-----------------------|---|---|---|--|
| Brown et al. (2007) included Brooker et al. (2002), Hurlstone et al. (2004), Lapalus et al. (2006), and Le Rhun et al. (2006) | Brooker et al. (2002) | People enrolled at consultation prior to colonoscopy who had an indication for colonoscopy and who were at high risk for colorectal cancer (personal history of adenoma, with or without first-degree family history)<br>N = 259  | Chromoscopy with 0.1% indigo carmine, n = 124   | Conventional colonoscopy, n = 135             | Total number of polyps detected by location, total number of neoplastic lesions detected by location, number of diminutive neoplastic lesions detected |
|   | Lapalus et al. (2006) | People enrolled at consultation prior to colonoscopy who had an indication for colonoscopy and who were at high risk for colorectal cancer (personal history of adenoma, with or without first-degree family history),<br>N = 292 | Conventional colonoscopy followed by pan-colonic chromoscopy using indigo carmine with high-resolution imaging, n = 146 | Conventional colonoscopy, two passes, n = 146 |  |
|   | Le Rhun et al. (2006) | People referred to four centres over 18-month period with: known polyps on surveillance programme; family   | Chromoscopy using 0.4% indigo carmine, with high-resolution imaging,  | Conventional colonoscopy, n = 100             |  |

|  |  |  |         |  |  |
|--|--|--|---------|--|--|
|  |  | history on screening programme; older than 60 years with symptoms, N = 203 | n = 103 |  |  |
|--|--|--|---------|--|--|

### GRADE profile 5: Chromoscopy compared with conventional colonoscopy for polyps

| No. of studies  | Design | Chromoscopy<br>N: total pooled study population in this arm | Conventional colonoscopy<br>N: total pooled study population in this arm | WMD (95%CI)<br>IV fixed/ random<br>OR (95%CI)<br>M-H fixed/ random | Limitations | Inconsistency | Indirectness | Imprecision | Other consideration | Quality  |
|---|--------|---|--|--|-------------|---------------|--------------|-------------|---------------------|----------|
| <b>Outcome 1: total number of polyps detected – IV random</b>   |        |   |  |  |             |               |              |             |                     |          |
| 3 <sup>a</sup>  | RCT    | 369   | 380  | WMD = 0.81 (0.35 to 1.26)  | N           | N             | N            | N           | N                   | High     |
| <b>Outcome 2: mean number of polyps detected by each method per total polyps detected – M-H random</b>                |        |   |  |  |             |               |              |             |                     |          |
| 3 <sup>b</sup>  | RCT    | 1026  | 1026   | OR = 3.20 (1.83 to 5.61)   | N           | N             | N            | N           | N                   | High     |
| <b>Outcome 3: total number of polyps detected in proximal colon – M-H random</b>                                      |        |   |  |  |             |               |              |             |                     |          |
| 2 <sup>c</sup>  | RCT    | 270   | 281  | WMD = 0.55<br>(0.07 to 1.03)                                       | N           | N             | N            | N           | N                   | High     |
| <b>Outcome 4: total number of polyps detected in distal colon – IV fixed</b>  |        |   |  |  |             |               |              |             |                     |          |
| 2 <sup>d</sup>  | RCT    | 270   | 281  | WMD = 0.37<br>(0.20 to 0.54)                                       | N           | N             | N            | N           | N                   | High     |
| <b>Outcome 5: total number of neoplastic lesions detected – IV random</b>   |        |   |  |  |             |               |              |             |                     |          |
| 3 <sup>e</sup>  | RCT/CT | 369   | 380  | WMD = 0.33<br>(-0.04 to 0.71) <b>NS</b>                            | N           | N             | N            | S           | N                   | Moderate |
| <b>Outcome 6: mean number of neoplastic lesions detected by each method per total number of lesions – M-H Random</b>  |        |   |  |  |             |               |              |             |                     |          |
| 2 <sup>f</sup>  | RCT/CT | 750   | 750  | OR = 2.20<br>(0.97 to 4.99) <b>NS</b>                              | N           | N             | N            | S           | N                   | Moderate |
| <b>Outcome 7: total number of neoplastic lesions detected in proximal colon – IV random</b>                           |        |   |  |  |             |               |              |             |                     |          |
| 2 <sup>g</sup>  | RCT/CT | 270   | 281  | WMD = 0.33<br>(-0.05 to 0.71) <b>NS</b>                            | N           | N             | N            | S           | N                   | Moderate |
| <b>Outcome 8: total number of neoplastic lesions detected in distal colon – IV fixed</b>                              |        |   |  |  |             |               |              |             |                     |          |
| 2 <sup>h</sup>  | RCT/CT | 270   | 281  | WMD = 0.09<br>(-0.08 to 0.26) <b>NS</b>                            | N           | N             | N            | S           | N                   | Moderate |
| <b>Outcome 9: total number of diminutive neoplastic lesions detected – IV random</b>                                  |        |   |  |  |             |               |              |             |                     |          |
| 3 <sup>i</sup>  | RCT/CT | 369   | 380  | WMD = 0.28<br>(0.08 to 0.47)                                       | N           | N             | N            | N           | N                   | High     |
| <b>Outcome 10: mean number of diminutive adenomas detected by each method per total number of lesions – M-H fixed</b> |        |   |  |  |             |               |              |             |                     |          |
| 2 <sup>j</sup>  | RCT/CT | 750   | 750  | OR = 2.47<br>(1.86 to 3.27)  | N           | N             | N            | N           | N                   | High     |

CI: confidence interval; CT: controlled trial; IV: inverse variance method; M-H: Mantel-Haenszel method; N: not serious; **NS**: not statistically

significant; OR: odds ratio; RCT: randomised controlled trial; S: serious; VS: very serious; WMD: weighed mean difference

<sup>a</sup> Brooker et al. (2002), Lapalus et al. (2006) and Le Rhun et al. (2004)

<sup>b</sup> Brooker et al. (2002), Lapalus et al. (2006) and Le Rhun et al. (2004)

<sup>c</sup> Brooker et al. (2002) and Lapalus et al. (2006)

<sup>d</sup> Brooker et al. (2002) and Lapalus et al. (2006)

<sup>e</sup> Brooker et al. (2002), Lapalus et al. (2006) and Le Rhun et al. (2004)

<sup>f</sup> Brooker et al. (2002) and Lapalus et al. (2006)

<sup>g</sup> Brooker et al. (2002) and Lapalus et al. (2006)

<sup>h</sup> Brooker et al. (2002) and Lapalus et al. (2006)

<sup>i</sup> Brooker et al. (2002), Lapalus et al. (2006) and Le Rhun et al. (2004)

<sup>j</sup> Brooker et al. (2002) and Lapalus et al. (2006)

1

2 **2.4.8 Evidence statements**

3 2.4.8.1 *High quality evidence showed that chromoscopy detected*  
4 *statistically significantly more polyps than conventional*  
5 *colonoscopy.*

6 2.4.8.2 *High quality evidence showed that chromoscopy had a statistically*  
7 *significantly higher probability of detecting polyps than conventional*  
8 *colonoscopy.*

9 2.4.8.3 *High quality evidence showed that chromoscopy detected*  
10 *statistically significantly more polyps in the proximal colon than*  
11 *conventional colonoscopy.*

12 2.4.8.4 *High quality evidence showed that chromoscopy detected*  
13 *statistically significantly more polyps in the distal colon than*  
14 *conventional colonoscopy.*

15 2.4.8.5 *Moderate quality evidence showed that there was no statistical*  
16 *difference in the number of neoplastic lesions detected by*  
17 *chromoscopy compared with conventional colonoscopy.*

18 2.4.8.6 *Moderate quality evidence showed that there was no statistical*  
19 *difference in the probability of detecting neoplastic lesions by*  
20 *chromoscopy compared with conventional colonoscopy.*

21 2.4.8.7 *Moderate quality evidence showed that there was no statistical*  
22 *difference in the number of neoplastic lesions detected in the*  
23 *proximal colon by chromoscopy compared with conventional*  
24 *colonoscopy.*

25 2.4.8.8 *Moderate quality evidence showed that there was no statistical*  
26 *difference in the number of neoplastic lesions detected in the distal*  
27 *colon by chromoscopy compared with conventional colonoscopy.*

1 2.4.8.9 *High quality evidence showed that chromoscopy detected*  
2 *statistically significantly more diminutive neoplastic lesions than*  
3 *conventional colonoscopy.*

4 2.4.8.10 *High quality evidence showed that chromoscopy had a statistically*  
5 *significantly higher probability of detecting diminutive neoplastic*  
6 *lesions than conventional colonoscopy.*

## 7 **2.4.9 Health economic modelling**

8 No health economic modelling was undertaken for this review question.

## 9 **2.4.10 Evidence to recommendations**

10 The GDG agreed that there was increased detection of polyps and neoplastic  
11 lesions using chromoscopy compared with conventional colonoscopy.

12 However, the GDG felt that because of the additional time and costs involved  
13 with limited benefit, chromoscopy should not be used for colonoscopic  
14 surveillance in people with polyps. The number of people undergoing  
15 surveillance after adenoma removal is much larger than the number of people  
16 with IBD on surveillance programmes, therefore the benefit needed to be  
17 significant to be clinically important.

18 A cost-effectiveness analysis showed that surveillance in intermediate-risk  
19 group (every 3 years) and high-risk group (within 1 year) was a cost-effective  
20 strategy compared with no surveillance in the low-risk (every 5 years)  
21 ,intermediate-risk and high-risk groups.

## 22 **2.4.11 Recommendations**

23 No recommendations were made for this population (see Evidence to  
24 recommendations for details).

## 25 **2.5 *Initiation and frequency of surveillance***

### 26 **2.5.1 Review question**

27 When should colonoscopic surveillance be started and what should be the  
28 frequency of surveillance?

1 **People with IBD**

2 **2.5.2 Evidence review**

3 A total of 14,701 articles were found by systematic searches, of which 9544  
4 were unique articles. The full text was ordered for 62 articles and only six met  
5 the eligibility criteria (for review protocol, inclusion and exclusion criteria, see  
6 appendix 4). Only limited evidence was available and there was no direct  
7 evidence for specific surveillance schemes for the different subgroups for  
8 people with IBD. Of the included studies by the technical team, four were  
9 primary studies (Karlén et al. 1998; Manning et al. 1987; Odze et al. 2004;  
10 Rutter et al. 2006) and two were reviews: one meta-analysis of 116 pooled  
11 primary studies (Eaden et al. 2001) and one meta-analysis of 11 studies,  
12 comparing the risk of colorectal neoplasia in people with ulcerative colitis with  
13 and without primary sclerosing cholangitis (Soetikno et al. 2002). Additionally  
14 five primary studies were suggested by the GDG (Askling et al. 2001; Gupta  
15 et al. 2007; Rutter et al. 2004b, 2004c; Velayos et al. 2006) that were not  
16 identified by the systematic review. The technical team therefore decided to  
17 broaden the search criteria and identify other similar relevant prognostic  
18 studies that may have been missed. This work is ongoing and the results will  
19 be available for the final version.

20 The characteristics of the included studies are summarised in table 10 and the  
21 evidence is reviewed in GRADE profiles 6 and 7 for the intervention of  
22 surveillance and prognostic factors respectively. A GRADE profile has not yet  
23 been developed for prognostic studies, so the profile for diagnostic studies  
24 was modified. Prospective cohort studies were considered as high quality but  
25 could move to moderate, low or very low depending on other factors  
26 (Schünemann et al. 2008). Detailed evidence tables for the included studies  
27 are available in appendix 6.

28

1 **Table 10: Summary of study characteristics**

| Study                 | Population   | Prognostic factors or surveillance programmes  | Outcomes used for GRADE profile   |
|-----------------------|--|--|---|
| Askling et al. (2001) | People with ulcerative colitis or Crohn's disease born between 1941 and 1995, N = 19,876 | Family history of colorectal cancer. Regression models were adjusted for age, sex, extent of inflammation (ulcerative colitis: proctitis, left-sided colitis, pancolitis, or unspecified; Crohn's disease: ileal, ileocolonic, colorectal, or unspecified), cohort of origin (regional vs inpatient cohort), family history of colorectal cancer or IBD, and type of IBD                                     | Risk of colorectal cancer   |
| Eaden et al. (2001)   | People with ulcerative colitis. Meta-analysis of 116 studies                             | Risk of colorectal cancer: <ul style="list-style-type: none"> <li>• in people with ulcerative colitis or total colitis</li> <li>• based on duration of colitis</li> <li>• based on geographical location</li> <li>• depending on colectomy</li> <li>• based on 10-year intervals</li> <li>• in children (not relevant for this guideline)</li> </ul>   | Cumulative incidence of colorectal cancer by disease duration (10-year intervals)   |
| Gupta et al. (2007)   | People with ulcerative colitis with no dysplasia at index colonoscopy, N=418             | Degree of inflammation. Potential confounders (including disease extent, duration, age at diagnosis, or presence of primary sclerosing cholangitis, or the use of aminosalicylates, purine analogue immunomodulators, corticosteroids, or folic acid) were studied   | Risk of any neoplasia and advanced neoplasia  |
| Karlén et al. (1998)  | People with ulcerative colitis of at least 5 years' duration; cases: 40, controls: 102   | Differences in the number of surveillance colonoscopies between the cases and controls   | Colorectal cancer by number of surveillance colonoscopies   |
| Manning et al. (1987) | 189 people with colitis who had undergone colonoscopic surveillance                      | DET group: 112 had disease duration of at least 8 years, with extensive or total disease (98 with ulcerative colitis, 5 with Crohn's disease and 9 with indeterminate idiopathic colitis).<br>Non-DET group: 77 had colitis of less than 8 years' duration and/or disease that was not extensive or total (50 with ulcerative colitis, 12 with Crohn's disease and 15 with indeterminate idiopathic colitis) | Risk of dysplasia by severity of colitis and incidence of dysplasia by disease duration (decade of disease: 8–10 years intervals) |
| Odze et al. (2004)    | People with ulcerative colitis compared with people without                              | People with ulcerative colitis with adenoma-like lesions or masses compared with people with ulcerative colitis with sporadic  | People with high-grade dysplasia and progression to colorectal  |

| Study                  | Population   | Prognostic factors or surveillance programmes  | Outcomes used for GRADE profile  |
|------------------------|--|--|--|
|                        | <p>ulcerative colitis with sporadic adenomas.</p> <p>These people were divided into two subgroups: one consisted of 24 people who had adenoma-like lesions or masses, and the other contained 10 people with sporadic adenomas</p> | <p>adenomas and people without ulcerative colitis with adenomas as controls to determine the recurrence rate, risk of dysplasia and cancer</p>   | <p>cancer for adenoma-like lesions or masses and sporadic adenomas</p>   |
| Rutter et al. (2004b)  | <p>People with extensive ulcerative colitis of long duration; cases: 68, controls: 136</p>   | <p>Prognostic factors: backwash ileitis, shortened colon, tubular colon, featureless colon, scarring, segment of severe inflammation, normal colonic appearance, post-inflammatory polyps and colonic stricture</p>  | <p>Risk for colorectal neoplasia</p>   |
| Rutter et al. (2004c)  | <p>People with extensive ulcerative colitis of long duration; cases: 68, controls: 136</p>   | <p>Segmental colonoscopic and histological inflammation.</p> <p>Other data included history of primary sclerosing cholangitis, family history of colorectal cancer, and smoking and drug history (mesalamine 5-aminosalicylic acid, azathioprine and folate)</p> | <p>Risk for colorectal neoplasia</p>   |
| Rutter et al. (2006)   | <p>People with histologically proven ulcerative colitis and macroscopic inflammation proximal to the splenic flexure</p>   | <p>Colonoscopic surveillance once or twice a year from 8 years after symptom onset.</p> <p>The incidence of neoplasia and/or cancer by disease duration.</p> <p>Progression to cancer by stage of dysplasia.</p>   | <p>Cumulative incidence of colorectal cancer by disease duration (10-year intervals).</p> <p>Progression to colorectal cancer for DALMs and sporadic adenomas and by dysplasia</p> |
| Soetikno et al. (2002) | <p>People with ulcerative colitis with and without primary sclerosing cholangitis</p>  | <p>Risk for colorectal dysplasia and colorectal cancer in people with primary sclerosing cholangitis and ulcerative colitis</p>  | <p>Risk of dysplasia and colorectal cancer in people with primary sclerosing cholangitis and ulcerative colitis</p>  |
| Velayos et al. (2006)  | <p>People with chronic ulcerative colitis</p>  | <p>Patient, clinical, endoscopic and therapeutic factors identified in the literature as associated or potentially associated with colorectal cancer risk.</p>   | <p>Risk of colorectal cancer</p>   |

| Study   | Population | Prognostic factors or surveillance programmes | Outcomes used for GRADE profile |
|---|------------|---|---------------------------------|
| DALM: dysplasia associated lesions or mass; DET: colitis for 8 years or longer, which was extensive or total by at least one of the following: barium enema; colonoscopic appearances; colonic histology; IBD: inflammatory bowel disease |            |   |                                 |

1

**GRADE profile 6: When and at what frequency should colonoscopic surveillance be offered to people with IBD?  
Intervention of surveillance**

| Quality assessment  |                             |                 |               |            |                |   | Summary of findings                |      |                        |                                       |                                |          |
|---|-----------------------------|-----------------|---------------|------------|----------------|---|------------------------------------|------|------------------------|---------------------------------------|--------------------------------|----------|
| Study   | Design                      | Limitations     | Inconsistency | Directness | Imprecision    | Other considerations  | Cases                              |      | Contr<br>ols           | RR, OR, HR<br>(95% CI)                | Risk<br>difference<br>(95% CI) | Quality  |
| <b>Colorectal cancer risk by number of surveillance colonoscopies</b>   |                             |                 |               |            |                |   |                                    |      |                        |                                       |                                |          |
| Karlén et al. (1998)  | Nested case control         | S <sup>a</sup>  | N             | N          | S <sup>b</sup> | None  | Ever                               | 2/40 | 18/102                 | RR = 0.29<br>(0.06 to 1.31) <b>NS</b> | 0.13<br>(0.00 to 0.22)         | Very low |
|   |                             |                 |               |            |                |   | 1                                  | 1    | 6                      | RR = 0.43<br>(0.05 to 3.76) <b>NS</b> | 0.03<br>(-0.07 to 0.10)        |          |
|   |                             |                 |               |            |                |   | 2+                                 | 1    | 12                     | RR = 0.22<br>(0.03 to 1.74) <b>NS</b> | 0.09<br>(-0.02 to 0.18)        |          |
| Velayos et al. (2006)   | Case control                | S <sup>c</sup>  | N             | N          | N              | Multivariate conditional logistic analysis of all variables | Surveillance colonoscopies <1      |      | OR = 1.0               | Very low                              |                                |          |
|   |                             |                 |               |            |                |   | Surveillance colonoscopies 1 or 2  |      | OR = 0.4 (0.2 to 0.7)  |                                       |                                |          |
|   |                             |                 |               |            |                |   | Surveillance colonoscopies >2      |      | OR = 0.3 (0.1 to 0.8)  |                                       |                                |          |
| <b>Advanced neoplasia (defined as low- or high-grade dysplasia or colorectal cancer) risk by number of surveillance colonoscopies</b>   |                             |                 |               |            |                |   |                                    |      |                        |                                       |                                |          |
| Gupta et al. (2007)   | Single retrospective cohort | VS <sup>d</sup> | N             | N          | N              | None  | One or more colonoscopies per year |      | HR = 5.4 (1.7 to 17.0) | Very low                              |                                |          |
| cases: people that died from colorectal cancer; CI: confidence interval; controls: people that did not die from colorectal cancer; ever: one or more surveillance colonoscopies; HR: hazard ratio; IBD: inflammatory bowel disease; N: not serious; <b>NS</b> : not statistically significant; OR: odds ratio; RR: relative risk; S: serious; VS: very serious; |                             |                 |               |            |                |   |                                    |      |                        |                                       |                                |          |
| <sup>a</sup> The study did not adjust for confounders.  |                             |                 |               |            |                |   |                                    |      |                        |                                       |                                |          |
| <sup>b</sup> The 95% confidence intervals did not give statistically nor clinically significant results.  |                             |                 |               |            |                |   |                                    |      |                        |                                       |                                |          |
| <sup>c</sup> The study was a retrospective study.   |                             |                 |               |            |                |   |                                    |      |                        |                                       |                                |          |
| <sup>d</sup> The study was a retrospective and only single arm.   |                             |                 |               |            |                |   |                                    |      |                        |                                       |                                |          |

**GRADE profile 7: When and at what frequency should colonoscopic surveillance be offered to people with IBD?  
Determining significant predictors**

| Quality assessment  |  |                 |               |                |             |                      | Summary of findings  |   |          |
|---|--|-----------------|---------------|----------------|-------------|----------------------|--|---|----------|
| Study   | Design                                   | Limitations     | Inconsistency | Directness     | Imprecision | Other considerations | Cumulative probabilities; RR; OR (95% CI); HR; RD (95% CI)   |   | Quality  |
|   |  |                 |               |                |             |                      | <b>Cumulative incidence of colorectal cancer by disease duration (10-year intervals)</b>   |   |          |
| Eaden et al. (2002)   | Meta-analysis of 116 studies             | S <sup>a</sup>  | N             | S <sup>b</sup> | N           | None                 | 2% by 10 years, 8% by 20 years, and 18% by 30 years  |   | Low      |
| Rutter et al. (2006)  | Prospective case series                  | S*              | N             | N              | N           | None                 | 0% at 10 years, 2.5% at 20 years, 7.6% at 30 years, 10.8% at 40 years and 13.5% at 45 years  |   | Very low |
| <b>Incidence of neoplasia by disease duration (decade of disease: 8–10-year intervals or 10-year intervals)</b> |  |                 |               |                |             |                      |  |   |          |
| Manning et al. (1987)   | Prospective cohort                       | S <sup>c*</sup> | N             | N              | N           | None                 | 10.3 % by 1st decade, 17.5% by 2nd decade, 19.6% by 3rd decade, 33.3% by 4th decade, and 25.0% by 5th decade   |   | Moderate |
| Rutter et al. (2006)  | Prospective case series                  | S*              | N             | N              | N           | None                 | The actuarial cumulative incidence of neoplasia by disease duration was 1.5% at 10 years, 7.7% at 20 years, 15.8% at 30 years, 22.7% at 40 years and 27.5% at 45 years |   | Very low |
| <b>Risk of dysplasia by severity of colitis</b>   |  |                 |               |                |             |                      |  |   |          |
| Manning et al. (1987)   | Prospective cohort                       | S <sup>d*</sup> | N             | N              | N           | None                 | DET group <sup>e</sup> : 36/112 (32.14%)   | Non-DET group <sup>f</sup> : 6/77 (7.80%) | Moderate |
|   |  |                 |               |                |             |                      | RR = 4.13 (1.91 to 9.24); RD = 0.24 (0.13 to 0.34)   |   |          |
| <b>Patients with new adenoma-like masses and sporadic adenomas</b>  |  |                 |               |                |             |                      |  |   |          |
| Odze et al. (2004)  | Retrospective comparative registry study | S <sup>g*</sup> | N             | N              | N           | S <sup>f</sup>       | Adenoma-like masses 15/24 (62.50%)   | Sporadic adenomas 5/10 (50%)              | Very low |
|   |  |                 |               |                |             |                      | RR = 1.25 (0.69 to 2.77); RD = -0.13 (-0.46 to 0.22) <b>NS</b>   |   |          |

| Quality assessment   |  |             |               |            |             |                      | Summary of findings  |  |          |
|--|--|-------------|---------------|------------|-------------|----------------------|--|--|----------|
| Study  | Design                                   | Limitations | Inconsistency | Directness | Imprecision | Other considerations | Cumulative probabilities; RR; OR (95% CI); HR; RD (95% CI)   |  | Quality  |
| <b>Patients with HGD for adenoma-like masses and sporadic adenomas</b> |  |             |               |            |             |                      |  |  |          |
| Odze et al. (2004)   | Retrospective comparative registry study | S*          | N             | N          | N           | S†                   | Adenoma-like masses 3/24 (12.50%)  | Sporadic adenomas 2/10 (20%)                     | Very low |
|  |  |             |               |            |             |                      | RR=0.63 (0.15 to 2.90); RD= 0.08 (-0.17 to 0.41) <b>NS</b>   |  |          |
| <b>Progression to colorectal cancer for resectable lesions</b>         |  |             |               |            |             |                      |  |  |          |
| Odze et al. (2004)   | Retrospective comparative registry study | S*          | N             | N          | N           | S*                   | Adenoma-like masses 1/24 (4.17%)   | Sporadic adenomas 0/10 (0%)                      | Very low |
|  |  |             |               |            |             |                      | RD = -0.04 (-0.21 to 0.25) <b>NS</b>   |  |          |
| Rutter et al. (2006)   | Prospective case series                  | S*          | N             | N          | No serious  | S†                   | Adenoma-like DALM 20 people 28 lesions   | Sporadic adenomas 32 patients                    | Very low |
|  |  |             |               |            |             |                      | LG DALM 15 people 19 lesions; 21.4% (S)+ 30% (C)   | HG DALM 7 people 9 lesions; 28.6% (S)+ 33.3% (C) |          |
| <b>Risk of dysplasia for people with PSC and ulcerative colitis</b>    |  |             |               |            |             |                      |  |  |          |
| Soetikno et al. (2002)   | Meta-analysis of 11 studies <sup>h</sup> | S*          | N             | N          | N           | None                 | People with ulcerative colitis and PSC are at increased risk compared with those with ulcerative colitis alone; OR = 4.79 (3.58 to 6.41) with the Mantel-Haenszel method and OR = 5.11, 95% CI (3.15 to 8.29) with the Der Simonian and Laird method |  | Moderate |

| Quality assessment   |  |                |               |                |             |                      | Summary of findings   |                           |                   |          |
|--|--|----------------|---------------|----------------|-------------|----------------------|---|---------------------------|-------------------|----------|
| Study  | Design                                   | Limitations    | Inconsistency | Directness     | Imprecision | Other considerations | Cumulative probabilities; RR; OR (95% CI); HR; RD (95% CI)  |                           |                   | Quality  |
| <b>Risk of colorectal cancer for people with PSC and ulcerative colitis</b>                                    |  |                |               |                |             |                      |   |                           |                   |          |
| Soetikno et al. (2002)   | Meta-analysis of 11 studies <sup>i</sup> | S*             | N             | N              | No<br>ne    | None                 | Patients with ulcerative colitis and PSC are at increased risk compared with those with ulcerative colitis alone;<br>OR = 4.09 (2.89 to 5.76) with the Mantel-Haenszel method and OR = 4.26 (2.80 to 6.48) with the Der Simonian and Laird method |                           |                   | Moderate |
| <b>Progression to colorectal cancer by dysplasia</b>   |  |                |               |                |             |                      |   |                           |                   |          |
| Rutter et al. (2006)   | Prospective case series                  | S*             | N             | N              | No<br>ne    | S <sup>†</sup>       | Indefinite for dysplasia 1/32 (3.13%)   | LGD 9/46 (19.56%)         | HGD 7/19 (36.84%) | Very low |
|  |  |                |               |                |             |                      | HGD vs LGD<br>RR = 1.88 (0.81 to 4.160; RD = -0.13 (-0.42 to 0.05) <b>NS</b>  |                           |                   |          |
| <b>Predictive and protective factors for colorectal neoplasia</b>  |  |                |               |                |             |                      |   |                           |                   |          |
| Rutter (2004b)   | Case control                             | S <sup>l</sup> | N             | N              | N           | None                 | Normal colonic appearance   | OR = 0.38 (0.19 to 0.73)  | p = 0.003         | Low      |
|  |  |                |               |                |             |                      | Post inflammatory polyps  | OR = 2.29 (1.28 to 4.11)  | p = 0.005         |          |
|  |  |                |               |                |             |                      | Colonic stricture   | OR = 4.62 (1.03 to 20.8)  | p = 0.05          |          |
| Rutter et al. (2004c)  | Case control                             | S <sup>k</sup> | N             | N              | N           | None                 | Histological inflammation score   | OR = 5.13 (2.36 to 11.14) | p < 0.001         | Low      |
| <b>Risk factors for advanced neoplasia (defined as low grade or high grade dysplasia or colorectal cancer)</b> |  |                |               |                |             |                      |   |                           |                   |          |
| Gupta et al. (2007)  | Single retrospective cohort              | V <sup>s</sup> | N             | N              | N           | None                 | Inflammation score mean   | HR = 3.8 (1.7 to 8.6)     |                   | Low      |
| <b>Predictive and protective factors associated with colorectal cancer</b>                                     |  |                |               |                |             |                      |   |                           |                   |          |
| Askling et al. (2001)  | Single Cohort,                           | S <sup>m</sup> | N             | S <sup>n</sup> | N           | None                 | Family history of CRC   | RR = 2.5 (1.4 to 4.4)     |                   | Very low |

| Quality assessment  |                    |                |               |            |             |   | Summary of findings   |         |
|---|--------------------|----------------|---------------|------------|-------------|---|---|---------|
| Study   | Design             | Limitations    | Inconsistency | Directness | Imprecision | Other considerations                                    | Cumulative probabilities; RR; OR (95% CI); HR; RD (95% CI)            | Quality |
|   | registry follow-up |                |               |            |             |   | Family history of CRC relative <50<br>RR = 9.2 (3.7 to 23)            |         |
|   |                    |                |               |            |             |   | Family history of CRC relative ≥50<br>RR = 1.7 (0.8 to 3.4) <b>NS</b> |         |
| Velayos et al. (2006)   | Case control       | S <sup>o</sup> | N             | N          | N           | None  | Family history of CRC<br>OR = 3.7 (1.0 to 13.2)                       | Low     |
|   |                    |                |               |            |             | Smoking<br>OR = 0.5 (0.2 to 0.9)                        |   |         |
|   |                    |                |               |            |             | PSC<br>OR = 1.1 (0.5 to 2.3) <b>NS</b>                  |   |         |
|   |                    |                |               |            |             | Post-inflammatory pseudopolyps<br>OR = 2.5 (1.4 to 4.6) |   |         |
| <p>(C): patients undergoing immediate colectomy; CI: confidence interval; CRC: colorectal cancer; DALM: dysplasia associated lesions or mass; HGD: high grade dysplasia; HR: hazard ratio; LGD: low grade dysplasia; N: not serious; <b>NS</b>: not significant; OR: odds ratio; PSC: primary sclerosing cholangitis; RCT: randomised controlled trial; RD: risk difference; RR: relative risk; (S): patients on surveillance; S: serious; VS: very serious.</p> <p>* The study did not adjust for confounders.<br/> † The 95% CIs did not give statistically or clinically significant results.<br/> <sup>a</sup> The study pooled results from individual studies weighted by sample size. No adjustment for confounders.<br/> <sup>b</sup> The study included five primary studies looking at children, which is outside the scope of this guideline.<br/> <sup>c</sup> Only a single pathologist confirmed the diagnosis of dysplasia.<br/> <sup>d</sup> Only a single pathologist confirmed the diagnosis of dysplasia.<br/> <sup>e</sup> DET group: colitis for 8 years or longer in duration, which was extensive or total by at least one of the following: barium enema; colonoscopic appearances; colonic histology.<br/> <sup>f</sup> Non-DET group: colitis of less than 8 years' duration and/or disease that was not extensive or total by any criterion.<br/> <sup>g</sup> The study was uncontrolled.<br/> <sup>h</sup> The study had three independent reviewers.<br/> <sup>i</sup> The study had three independent reviewers.<br/> <sup>j</sup> The study was a retrospective case control study.</p> |                    |                |               |            |             |   |   |         |
| changes in inflammation over the course of the disease and there was no validation of the scoring system used to distinguish the  |                    |                |               |            |             |   |   |         |

| Quality assessment   |        |             |               |            |             |                      | Summary of findings  |         |
|--|--------|-------------|---------------|------------|-------------|----------------------|--|---------|
| Study  | Design | Limitations | Inconsistency | Directness | Imprecision | Other considerations | Cumulative probabilities; RR; OR (95% CI); HR; RD (95% CI) | Quality |
| <p>degree of inflammation.</p> <p><sup>l</sup> The study was a retrospective and only single arm.</p> <p><sup>m</sup> The statistical analyses were done by comparing the risk of colorectal cancer with the general population.</p> <p><sup>n</sup> The study assessed the relative risk for CRC compared with that of the general population using standardised incidence ratios.</p> <p><sup>o</sup> The study was a retrospective study.</p> |        |             |               |            |             |                      |  |         |

1 **2.5.3 Evidence statements**

2 2.5.3.1 *Very low quality evidence showed a statistically significant trend*  
3 *towards an increased number of surveillance colonoscopies*  
4 *reducing the risk of colorectal cancer death.*

5 2.5.3.2 *Very low quality evidence also showed increased risk for advanced*  
6 *neoplasia with increased surveillance colonoscopies but the*  
7 *authors suggested that it could be because of detection bias.*

8 2.5.3.3 *Moderate quality evidence showed that people with colitis with*  
9 *duration of 8 years or longer, which was extensive or total, had a*  
10 *significantly higher risk of dysplasia than those without extensive or*  
11 *total colitis.*

12 2.5.3.4 *Very low quality evidence showed there was no significant*  
13 *difference in risk to cancer progression in people with ulcerative*  
14 *colitis with resectable lesions compared with the general population*  
15 *with resectable lesions.*

16 2.5.3.5 *Moderate quality evidence showed that people with primary*  
17 *sclerosing cholangitis had a significantly higher probability of -*  
18 *developing dysplasia than those with ulcerative colitis.*

19 2.5.3.6 *Moderate quality evidence showed that people with primary*  
20 *sclerosing cholangitis had a significantly higher probability of*  
21 *developing colorectal cancer than those with ulcerative colitis.*

22 2.5.3.7 *Very low quality evidence showed that the effect of dysplasia on*  
23 *progression to colorectal cancer was not statistically significant but*  
24 *people with high-grade dysplasia had a higher risk than those with*  
25 *low-grade dysplasia.*

26 2.5.3.8 *Low quality evidence showed that having post-inflammatory polyps*  
27 *or colonic stricture and increased histological inflammation were*

1                    *significant predictors of colorectal neoplasia with a normal colonic*  
2                    *appearance being a significant protective factor.*

3    2.5.3.9    *Low quality evidence showed that increased mean inflammation*  
4                    *score was a significant predictor of advanced neoplasia.*

5    2.5.3.10    *Low to very low quality evidence showed that having a family*  
6                    *history of colorectal cancer (which increased if the relative was*  
7                    *younger than 50 years) or the presence of post-inflammatory*  
8                    *polyps were significant predictors of colorectal cancer with smoking*  
9                    *being a significant protective factor.*

#### 10    **2.5.4        Health economic modelling**

11    No health economic modelling was undertaken for this review question.

#### 12    **2.5.5        Evidence to recommendations**

13        As there was no direct evidence for surveillance schemes for the different  
14        subgroups within the IBD population, the GDG made recommendations  
15        based on the assessment of their risk of developing colorectal cancer,  
16        based on the significant risk factors from the evidence that was available.  
17        The GDG felt that there were differences in the incidence of colorectal  
18        cancer by disease duration between the Eaden et al. (2001) and Rutter et  
19        al. (2006) studies. However, people taking part in the latter study were on  
20        surveillance and therefore the Eaden et al. (2001) figures are closer to  
21        reality. The GDG also felt that a detailed look at disease severity in terms  
22        of inflammation was necessary because it is a precursor to dysplasia. It felt  
23        that using a validated score for describing inflammation would be a useful  
24        tool, as used in the Gupta et al. (2007) study. The GDG also felt that there  
25        is sufficient agreement internationally that proctitis does not increase  
26        colorectal cancer risk and therefore people with proctitis do not need  
27        surveillance. Apart from the duration, extent and severity of the disease,  
28        having a family history of colorectal cancer was an important prognostic  
29        factor for neoplasia. The GDG stated that even though smoking was a  
30        significant predictor for colorectal cancer in one study, other studies did not

1 show this effect and so therefore this result should be considered with  
2 caution. The GDG felt these were the key factors for determining risk of  
3 developing colorectal cancer for surveillance in people with IBD. The GDG  
4 felt strongly that before entering the surveillance algorithm a confirmed  
5 histological diagnosis was essential. The GDG also stated that any  
6 resectable lesion found should be removed endoscopically. For people  
7 with flat dysplastic lesions, surgery should be offered but if declined they  
8 should remain on surveillance within the high-risk group.

## 9 **2.5.6 Recommendations**

### 10 **Recommendation 1.1.3**

11 Offer people with IBD who are being considered for colonoscopic surveillance  
12 a baseline colonoscopy to determine their risk of developing colorectal cancer  
13 (see table 1).

#### 14 **Table 1 Risk of developing colorectal cancer in people with IBD**

**Low risk:**

- extensive but quiescent ulcerative colitis or Crohn's colitis **or**
- left-sided ulcerative colitis or similar extent of Crohn's colitis.

**Intermediate risk:**

- extensive colitis with mild active histological inflammation **or**
- presence of post-inflammatory polyps **or**
- family history of colorectal cancer in a first degree relative aged 50 years or over.

**High risk:**

- extensive colitis with moderate or severe active histological inflammation **or**
- primary sclerosing cholangitis (including post-transplant) **or**
- presence of colonic stricture in the past 5 years **or**
- dysplasia (any grade) in the past 5 years **or**
- family history of colorectal cancer in a first degree relative aged under 50 years.

15

16

1 **Recommendation 1.1.4**

2 Offer colonoscopic surveillance to people with IBD based on their risk of  
3 developing colorectal cancer (see table 1), determined at each colonoscopy.

4 -Low risk: offer every 5 years.

5 -Intermediate risk: offer every 3 years.

6 -High risk: offer every year.

7 **People with polyps**

8 **2.5.7 Evidence review**

9 A total of 14,701 articles were found by systematic searches, of which 9544  
10 were unique articles. The full text was ordered for 62 articles and then a  
11 further four articles were identified through manual reference searching. Only  
12 limited evidence was available and six articles met the eligibility criteria (for  
13 review protocol, inclusion and exclusion criteria, see appendix 4). Of these  
14 two were meta-analyses of primary studies (Martinez et al. 2009; Saini et al.  
15 2006) and four were primary studies that were not covered at all or the  
16 outcomes of interest were not covered by the reviews (Kronborg et al. 2006;  
17 Lieberman et al. 2007, 2008; Martinez et al, 2009; Nusko et al, 2002). The  
18 Martinez et al. (2009) review had included the data from the Lieberman et al.  
19 (2000) study but because it collected data only until June 2005, the updated  
20 data available from Lieberman et al. (2007) and the prevalence study of  
21 advanced histology in smaller adenomas of Lieberman et al. (2008) were not  
22 included in the meta-analysis and were therefore included in our analysis. The  
23 Saini et al. (2006) systematic review included the Nusko et al. (2002) study,  
24 but only for the outcome of risk factors for recurrent adenomas, so it was  
25 included in our analysis for two additional outcomes, risk factors and time  
26 taken for the development of advanced metachronous adenomas (defined as  
27 larger than 10 mm in size, or with high-grade dysplasia or with invasive  
28 cancer).

1 The characteristics of the included studies are summarised in table 11 and  
 2 their evidence reviewed in GRADE profiles 8 and 9. Detailed evidence tables  
 3 for the included studies are available in appendix 6.

4 **Table 11: Summary of study characteristics**

| Study                   | Population  | Prognostic factors or surveillance programmes   | Outcomes used for GRADE profile  |
|-------------------------|---|---|--|
| Kronborg et al. (2006)  | 10 years of surveillance of people with previously diagnosed adenomas, N = 946  | 1. Surveillance group A: 24 months<br>2. Surveillance group B: 48 months<br>3. Surveillance group C: 6 months<br>4. Surveillance group D: 12 months<br>5. Surveillance group E: 12 months<br>6. Surveillance group F: 24 months   | Recurrence risk of new adenomas, advanced adenomas and progression to colorectal cancer      |
| Lieberman et al. (2007) | 5 years of surveillance of people with previously diagnosed polyps, N = 3121  | Histopathology of the index polyp:<br>1. with 1 or 2 tubular adenomas <10 mm<br>2. with 3 or more tubular adenomas <10 mm<br>3. with tubular adenoma >10 mm<br>4. with villous adenomas<br>5. with adenomas with high-grade dysplasia   | Risk of new neoplasia, high-grade dysplasia and colorectal cancer by histopathology of index |
| Lieberman et al. (2008) | People undergoing colonoscopic surveillance with largest index polyp being less than 10 mm, in 2005, N = 5977                                   | 1. Histopathology of the index polyp<br>2. Location of the index polyp  | Prevalence of advanced histology its association with the distal colon                       |
| Martinez et al. (2009)  | Meta-analysis of 8 studies (6 RCTs) for people undergoing surveillance after polypectomy. Median follow-up period of 47.2 months and N = 10,021 | Risk factors considered:<br>1. age<br>2. sex<br>3. race<br>4. family history of colorectal cancer<br>5. smoking status<br>6. body mass index<br>7. previous polyps<br>8. number of adenomas<br>9. location of polyps<br>10. size of largest adenoma<br>11. adenomas histology<br>12. high-grade dysplasia | Risk factors for advanced metachronous neoplasia   |
| Nusko et al. (2002)     | People undergoing surveillance post polypectomy, N = 1159   | Risk factors considered:<br>1. size of largest adenoma<br>2. parental history of colorectal cancer<br>3. histological type<br>4. dysplasia<br>5. location of adenomas<br>6. multiplicity  | Risk factors and time taken for progression to advanced metachronous adenomas                |
| Saini et al. (2006)     | Criterion for inclusion was   | 14 studies, reported a total of 6 risk factors:   | Risk factors for recurrent   |

| Study | Population                                 | Prognostic factors or surveillance programmes   | Outcomes used for GRADE profile |
|-------|--|---|---------------------------------|
|       | people with a personal history of adenomas | <ol style="list-style-type: none"> <li>1. number of adenomas</li> <li>2. size of largest adenoma</li> <li>3. patient age</li> <li>4. tubulovillous/villous features or severe dysplasia</li> <li>5. advanced adenoma</li> <li>6. adenoma in the proximal colon</li> </ol> | advanced adenomas               |

1 **GRADE profile 8: When and at what frequency should colonoscopic surveillance be offered to people with polyps?**  
 2 **Frequency of surveillance**  
 3

| Quality assessment  |        |             |               |            |                |                      | Summary of findings  |   |  |          |  |
|---|--------|-------------|---------------|------------|----------------|----------------------|--|---|--|----------|--|
| Study ID  | Design | Limitations | Inconsistency | Directness | Imprecision    | Other considerations | RR (95% CI)  |   |  | Quality  |  |
|   |        |             |               |            |                |                      | Surveillance groups  |   |  |          |  |
|   |        |             |               |            |                |                      | B (n = 340) vs A (n = 331)   | D (n = 32) vs C (n = 42)  | F (n = 103) vs E (n = 97)                              |          |  |
| <b>Recurrence risk of new adenomas by surveillance group</b>                      |        |             |               |            |                |                      |  |   |  |          |  |
| Kronborg et al. (2006)  | RCT    | S*          | N             | N          | S <sup>†</sup> | None                 | RR = 0.88 (0.69 to 1.12) <b>NS</b>   | RR = 0.82 (0.43 to 1.52) <b>NS</b>  | RR = 0.88 (0.57 to 1.34) <b>NS</b>                     | Low      |  |
| <b>Recurrence risk of new advanced<sup>a</sup> adenomas by surveillance group</b> |        |             |               |            |                |                      |  |   |  |          |  |
| Kronborg et al. (2006)  | RCT    | S*          | N             | N          | S <sup>†</sup> | None                 | RR = 1.15 (0.61 to 2.15) <b>NS</b>   | RR = 3.12 (0.87 to 14.50) <b>NS</b>   | RR = 0.97 (0.40 to 2.35) <b>NS</b>                     | Low      |  |
| <b>Progression to colorectal cancer by surveillance group</b>                     |        |             |               |            |                |                      |  |   |  |          |  |
| Kronborg et al. (2006)  | RCT    | S*          | N             | N          | S <sup>†</sup> | None                 | RR = 6.22 (1.06 to 117)  | RR = 0.82 (0.43 to 1.52) <b>NS</b>  | RR = 0.88 (0.57 to 1.34) <b>NS</b>                     | Low      |  |
| <b>Adverse events</b>   |        |             |               |            |                |                      |  |   |  |          |  |
| Kronborg et al. (2006)  | RCT    | S*          | N             | N          | N              | None                 | 7 total, 6 during surveillance. Perforation at initial colonoscopy seen in group A was fatal (septicemia). A: 2 diagnostic perforations and 2 therapeutic perforations; B: 1 diagnostic perforation and 1 polypectomy syndrome | 2 total (1 diagnostic perforation and 1 polypectomy syndrome) both in group C. None seen in D | 2 total, one diagnostic perforation seen in each group | Moderate |  |

CI: confidence interval; N: not serious; **NS**: not significant; RCT: randomised controlled trial; RR: relative risk; S: serious  
 Surveillance group A: 24 months, surveillance group B: 48 months, surveillance group C: 6 months, surveillance group D: 12 months, surveillance group E: 12 months, surveillance group F: 24 months

\* The study was randomised by random numbers but no details of concealment or blinding of pathologists is mentioned.

† The 95% confidence intervals did not give statistically nor clinically significant results.

<sup>a</sup> The advanced adenomas were defined as those with severe dysplasia or being at least 10 mm in diameter or villous.

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### GRADE profile 9: When and at what frequency should colonoscopic surveillance be offered to people with polyps? Determining significant predictors

| Quality assessment   |                       |             |               |            |             |                      | Summary of findings  |          |
|--|-----------------------|-------------|---------------|------------|-------------|----------------------|--|----------|
| Study  | Design                | Limitations | Inconsistency | Directness | Imprecision | Other considerations | R; RR; OR (95% CI)   | Quality  |
| <b>Risk of new neoplasia by histopathology of the polyps at index colonoscopy</b>  |                       |             |               |            |             |                      |  |          |
| Lieberman et al. (2007)  | Multi-centre registry | S*          | N             | N          | N           | None                 | Compared with no neoplasia at baseline: 1 or 2 tubular adenomas <10 mm: RR = 1.92 (0.83 to 4.42) <b>NS</b> | Very low |
|  |                       |             |               |            |             |                      | ≥3 tubular adenomas <10 mm: RR = 5.01 (2.10 to 11.96)  |          |
|  |                       |             |               |            |             |                      | Tubular adenoma >10 mm: RR = 6.40 (2.74 to 14.94)  |          |
|  |                       |             |               |            |             |                      | Villous adenoma: RR = 6.05 (2.48 to 14.71)   |          |
|  |                       |             |               |            |             |                      | High-grade dysplasia: RR = 6.87 (2.61 to 18.07)  |          |
| <b>Risk of high-grade dysplasia or cancer by histopathology of the polyps at index colonoscopy</b>                                     |                       |             |               |            |             |                      |  |          |
| Lieberman et al. (2007)  | Multi-centre registry | S*          | N             | N          | N           | None                 | Rates per 1000 person-years of follow-up no neoplasia at baseline: R = 0.7 (0 to 2.0) <b>NS</b>            | Very low |
|  |                       |             |               |            |             |                      | 1.5 with tubular adenomas <10 mm (0 to 2.9) <b>NS</b>  |          |
|  |                       |             |               |            |             |                      | >10 mm tubular: R = 6.4 (0 to 13.5) <b>NS</b>  |          |
|  |                       |             |               |            |             |                      | Villous adenomas: R = 6.2 (0 to 14.7) <b>NS</b>  |          |
|  |                       |             |               |            |             |                      | HGD: R = 26.0 (3.2 to 48.8) vs no neoplasia at baseline: RR = 7.23 (2.81 to 18.17)                         |          |
| <b>Prevalence of advanced histology (defined as an adenoma with villous or serrated histology, HGD, or an invasive cancer) in 2005</b> |                       |             |               |            |             |                      |  |          |
| Lieberman  | Multi-centre          | S*          | N             | N          | N           | Sensitivity analysis | 1–5 mm group: 1.7% (1.2 to 2.0)  | Very low |

| Quality assessment   |   |                |               |            |             |  | Summary of findings   |          |  |
|--|---|----------------|---------------|------------|-------------|--|---|----------|--|
| Study  | Design  | Limitations    | Inconsistency | Directness | Imprecision | Other considerations   | R; RR; OR (95% CI)  | Quality  |  |
| et al. (2008)  | registry  |                |               |            |             | done for misclassification <sup>a</sup> for prevalence                               | 6–9 mm group: 6.6% (4.6 to 11.7)<br>>10 mm group: 30.6% (29.2 to 40.0)  |          |  |
| <b>Distal location's associated with advanced histology in 2005</b>  |   |                |               |            |             |  |   |          |  |
| Lieberman et al. (2008)  | Multi-centre registry                             | S*             | N             | N          | N           | None   | 6–9 mm group (p = 0.04)<br>>10 mm group (p = 0.002)   | Very low |  |
| <b>Risk factors for advanced metachronous neoplasia (advanced adenomas<sup>o</sup> and invasive cancer)</b>                                |   |                |               |            |             |  |   |          |  |
| Martinez et al. (2009)   | Meta-analysis of 8 studies (6 RCTs)               | S <sup>c</sup> | N             | N          | N           | Patient level data used and confounders adjusted by multivariate logistic regression | Older age (p < 0.0001 for trend)<br>Male sex: OR = 1.40 (1.19 to 1.65)<br>Number and size of previous adenomas (p < 0.0001 for trend)<br>Presence of villous features: OR = 1.28 (1.07 to 1.52)<br>Proximal location: OR = 1.68 (1.43 to 1.98)  | Low      |  |
| <b>Risk factors for advanced metachronous adenomas (defined as defined as larger than 10 mm or with HGD or invasive carcinoma)</b>         |   |                |               |            |             |  |   |          |  |
| Nusko et al. (2002)  | Single centre registry, prospective single cohort | S <sup>d</sup> | N             | N          | N           | Adjusted by multivariate logistic regression   | Considering only patients with tubular adenomas at index: adenoma size (p < 0.0001)<br>Multiplicity of adenomas at index (p = 0.021)<br>Parental history of colorectal carcinoma (p = 0.017)<br>An interactive effect between size and sex (p = 0.00392): male patients with large adenomas had a significantly higher risk than others | Moderate |  |
| <b>Time taken for advanced metachronous adenomas (defined as larger than 10 mm or with HGD or invasive carcinoma) to develop over time</b> |   |                |               |            |             |  |   |          |  |
| Nusko et al. (2002)  | Single centre registry, prospective single cohort | S <sup>e</sup> | N             | N          | N           | 1000 Bootstrap samples done for sensitivity analyses and confounders adjusted by     | Prp<br>5%<br>10%<br>Low-risk <sup>f</sup><br>10.4 years ( 4.1 to 13.2)<br>12.2 years (10.1 to 15.2)<br>High risk <sup>g</sup><br>0.5 years (0.1 to 1.6)<br>6.1 years (3.2 to 11.5)  | Moderate |  |

| Quality assessment  |                   |                               |                          |                   |             |                                  | Summary of findings         |                                  |                           |           |          |
|---|-------------------|-------------------------------|--------------------------|-------------------|-------------|----------------------------------|-----------------------------|----------------------------------|---------------------------|-----------|----------|
| Study   | Design            | Limitations                   | Inconsistency            | Directness        | Imprecision | Other considerations             | R; RR; OR (95% CI)          |                                  |                           | Quality   |          |
|   |                   |                               |                          |                   |             | multivariate logistic regression | 20%                         | 16.2 years (10.5 to 19.2)        | 15.6 years (11.5 to 18.2) |           |          |
| <b>Risk factors for recurrent advanced adenomas (defined as adenomas ≥1 cm, villous histological features, or with cancer) based on adenomas at index colonoscopy</b> |                   |                               |                          |                   |             |                                  |                             |                                  |                           |           |          |
| Saini et al. (2006)   | Systematic review | S                             | N                        | N                 | N           | None                             | RF                          | RR                               | RD                        | H         | Moderate |
|   |                   |                               |                          |                   |             |                                  | Number and size of adenomas | >3 vs 1 or 2                     |                           |           |          |
|   |                   |                               |                          |                   |             |                                  |                             | 2.52 (1.07 to 5.97)              | 5% (1% to 10%)            | p < 0.001 |          |
|   |                   |                               |                          |                   |             |                                  | Histological diagnosis      | tubulovillous/villous vs tubular |                           |           |          |
|   |                   | 1.26 (0.95 to 1.66) <b>NS</b> | 2% (-1% to 4%) <b>NS</b> | p > 0.2           |             |                                  |                             |                                  |                           |           |          |
| Dysplasia   | HGD vs no HGD     |                               |                          |                   |             |                                  |                             |                                  |                           |           |          |
|   |                   | 1.84 (1.06 to 3.19)           | 4% (0 to 8%)             | p > 0.2 <b>NS</b> |             |                                  |                             |                                  |                           |           |          |

CI: confidence interval; H: heterogeneity; HGD: high-grade dysplasia; N: not serious; **NS**: not significant; OR: odds ratio; Prp: proportion of patients expected to develop advanced metachronous adenomas; R: risk; RD: risk difference; RF: risk factor; RR: relative risk; S: serious

\* The study did not adjust for confounders.

<sup>a</sup> The sensitivity analysis was done to determine how misclassification of polyp size would impact the outcome. The analysis assumed that polyps were either overestimated in size by 1 mm (for example, a 10-mm polyp is reclassified as 9 mm) or underestimated (a 9-mm polyp is reclassified as 10 mm).

<sup>b</sup> The advanced adenomas were defined as those that had one or more of the following features: 10 mm in diameter or larger, presence of high-grade dysplasia, or greater than 25% villous features (also classified as tubulovillous or villous histology).

<sup>c</sup> The study combined randomised and non-randomised studies together.

<sup>d</sup> The study only had a single arm cohort.

<sup>e</sup> The study only had a single arm cohort.

<sup>f</sup> People at low risk were defined as: no parental history of colorectal carcinoma and with only small (<10 mm) tubular adenomas at index.

<sup>g</sup> People at high risk were defined as: those with multiple or large adenomas, tubulovillous or villous adenomas, or a parental history of colorectal cancer.

1   **2.5.8   Evidence statements**

2   2.5.8.1   *Low quality evidence showed a statistically significant higher risk*  
3            *for cancer progression after 48 months of surveillance compared*  
4            *with 24 months.*

5   2.5.8.2   *Moderate quality evidence showed adverse events of perforations*  
6            *and polypectomy syndrome during follow-up at 6–48 months.*

7   2.5.8.3   *Very low quality evidence showed that having at least three tubular*  
8            *adenomas smaller than 10 mm, or tubular adenomas larger than*  
9            *10 mm, or villous adenomas or high-grade dysplasia at index*  
10            *colonoscopy were significant predictors for risk of new neoplasia.*

11  2.5.8.4   *Very low quality evidence showed that having high-grade dysplasia*  
12            *compared with no neoplasia at index colonoscopy was a significant*  
13            *predictor for high-grade dysplasia or colorectal cancer in the future.*

14  2.5.8.5   *Very low quality evidence that studied the risk associated with*  
15            *small adenomas and distal location, showed that the prevalence of*  
16            *advanced histology<sup>2</sup> increased with the size of the polyp: 1.7% in*  
17            *the 1–5 mm group, 6.6% in the 6–9 mm group and 30.6% in the*  
18            *>10-mm group.*

19  2.5.8.6   *Very low quality evidence that studied the risk associated with*  
20            *small adenomas and distal location showed that the prevalence of*  
21            *advanced histology in the distal colon increased with polyp size and*  
22            *was a statistically significant in the 6–9 mm group and in the*  
23            *>10 mm group.*

24  2.5.8.7   *Low quality evidence showed that being older, being male,*  
25            *increasing number and size of prior adenomas, presence of villous*

---

<sup>2</sup> Advanced histology was defined as an adenoma with villous or serrated histology, high-grade dysplasia, or an invasive cancer.

1            *features and proximal location at index colonoscopy were*  
 2            *significant predictors for advanced metachronous neoplasia*  
 3            *(advanced adenomas<sup>3</sup> and invasive cancer).*

4    2.5.8.8    *Moderate quality evidence showed that having an increased*  
 5            *adenoma size, multiplicity of adenomas, parental history of*  
 6            *colorectal cancer and an interactive effect between adenoma size*  
 7            *and sex (male) were significant predictors for advanced*  
 8            *metachronous adenomas<sup>4</sup>. Men with large adenomas had a*  
 9            *significantly higher risk than others.*

10   2.5.8.9    *Moderate quality evidence showed that the time taken for*  
 11            *advanced metachronous adenomas to develop in 5% of people at*  
 12            *low risk<sup>5</sup> was 10.4 years, in 10% it was 12.2 years and in 20% it was*  
 13            *16.2 years.*

14   2.5.8.10   *Moderate quality evidence showed that time taken for advanced*  
 15            *metachronous adenomas to develop in 5% of high risk people<sup>6</sup> was*  
 16            *0.5 years, in 10% was 6.1 years and in 20% was 15.6 years.*

17   2.5.8.11   *Moderate quality evidence showed that the risk for recurrent*  
 18            *advanced adenomas<sup>7</sup> increased with increasing number and size*  
 19            *of adenomas at index colonoscopy.*

## 20   **2.5.9      Evidence to recommendations**

21   As there was no direct evidence for surveillance schemes for the different  
 22   subgroups within the population who had adenomatous polyps removed

---

<sup>4</sup> Advanced metachronous adenomas were defined as larger than 10 mm or with high-grade dysplasia or invasive carcinoma.

<sup>4</sup> Advanced metachronous adenomas were defined as larger than 10 mm or with high-grade dysplasia or invasive carcinoma.

<sup>5</sup> People at low risk were defined as: no parental history of colorectal carcinoma and with only small (<10 mm) tubular adenomas at index colonoscopy.

<sup>6</sup> People at high risk were defined as: those with multiple or large adenomas, tubulovillous or villous adenomas, or a parental history of colorectal carcinoma.

<sup>7</sup> Advanced adenomas were defined as adenomas ≥1 cm, villous histological features, or with cancer.

1 previously, the GDG made recommendations based on the assessment of  
2 their risk of developing colorectal cancer, based on the significant risk factors  
3 from the evidence that was available. The GDG felt that there was enough  
4 evidence to stratify people who had previously had adenomas according to  
5 their risk of developing neoplasia. It felt that the frequency of surveillance  
6 should be based on the risk assessment. The GDG felt that the evidence  
7 showed that the number and size of the adenomas at index colonoscopy were  
8 consistent significant predictors for neoplasia and therefore should determine  
9 the risk state for surveillance. Villous histology was also a significant predictor  
10 for advanced neoplasia, though the confidence intervals around the odds  
11 were wide (odds ratio 1.28, 95% CI 1.07 to 1.52). The GDG considered that  
12 because villous histology is subject to wide variation in classification by  
13 pathologists, particularly in small biopsies, inclusion of this variable could lead  
14 to wide variation in referral rates for colonoscopy. The GDG also stated that  
15 all adenomas detected during colonoscopic surveillance should be removed  
16 endoscopically.

17 Ongoing research on the long-term safety of people at low risk having no  
18 surveillance is expected to report outcomes in the next 2 years (Cairns et al.  
19 2010). This will give valuable evidence on future guidance development in this  
20 area.

## 21 **2.5.10 Recommendations**

### 22 **Recommendation 1.1.8**

23 Offer people with adenomatous polyps who are being considered for  
24 colonoscopic surveillance a baseline colonoscopy to determine their risk of  
25 developing colorectal cancer (see table 2).

26

27

1 **Table 2 Risk of developing colorectal cancer in people with polyps**

2 **Low risk:**

3 -one or two adenomas smaller than 1 cm.

4 **Intermediate risk:**

5 -three or four adenomas smaller than 1 cm **or**

6 -one or two adenomas if one is larger than 1 cm.

7 **High risk:**

8 -five or more adenomas smaller than 1 cm **or**

9 -three or more adenomas if one is 1 cm or larger.

10

11 **Recommendation 1.1.9**

12 Offer colonoscopic surveillance to people with adenomatous polyps based on  
13 their risk of developing colorectal cancer (see table 2), determined at each  
14 colonoscopy.

- 15
- 16 • Low risk: do not offer colonoscopic surveillance.
  - 17 • Intermediate risk: offer colonoscopic surveillance every 3 years  
18 until there are two consecutive negative colonoscopies, then  
19 stop surveillance.
  - 20 • High risk: offer one colonoscopy at one year after diagnosis. If  
21 no adenomas are found, or low-risk or intermediate risk  
22 adenomas are found, follow the advice above for intermediate  
23 risk. If high-risk adenomas are found, continue colonoscopic  
24 surveillance every year.

1

## 2 **2.6 Information and support needs for patients**

### 3 **2.6.1 Review question**

4 What are the information and support needs of people, or the carers of  
5 people, undergoing or considering undergoing colonoscopic surveillance?

### 6 **2.6.2 Evidence review**

7 A total of 1910 articles were found by systematic searches, of which 28 were  
8 unique articles. Full text was ordered for these articles and only seven met the  
9 eligibility criteria (for review protocol, inclusion and exclusion criteria, see  
10 appendix 4). Thematic analysis was used to analyse these seven studies to  
11 adequately answer the review question.

12 The characteristics of the included studies are summarised in Table 12 and  
13 detailed evidence tables are available in appendix 5.

14 The seven studies are:

- 15 • Rutter et al. (2006): a 58-question self-administered postal questionnaire  
16 design with an 85.4% response rate.
- 17 • Thiis-Evensen et al. (1999): a postal questionnaire design aimed to study  
18 the psychologic effect of attending a screening programme to detect and  
19 remove colorectal polyps.
- 20 • Sheikh et al. (2004): a questionnaire design study to determine people's  
21 screening preferences.
- 22 • Brotherstone et al. (2006): effectiveness of visual illustrations in improving  
23 people's understanding of the preventive aim of flexible sigmoidoscopy  
24 screening.
- 25 • Makoul et al. (2009): a pretest–posttest design to assess a multimedia  
26 patient education programme that provides information about colorectal  
27 cancer and screening.

- 1 • Sequist et al. (2009): a randomised control trial to promote colorectal  
2 cancer screening. The screening options in this study also looked at faecal  
3 occult blood test (FOBT) and the results reported included FOBT  
4 screening.
- 5 • Miles et al. (2009): postal survey examining the psychological impact of  
6 being assigned to colonoscopic surveillance after detection of  
7 adenomatous polyps.

1 **Table 12 Thematic analysis**

| <b>People's experience of the procedure</b> |  |
|---|--|
| Rutter et al. (2006)                        | 39% of the respondents found bowel preparation difficult to take<br>60.2% of the respondents found their last colonoscopy comfortable or very comfortable<br>People expressed less discomfort with more experienced colonoscopists ( $r = 0.20$ , $p = 0.0007$ )<br>There was a correlation between comfort and pethidine dose ( $r = 0.16$ , $p = 0.007$ , i.e. those with more discomfort were given more pethidine)   |
| Thiis-Evensen et al. (1999)                 | When asked if they found the colonoscopic examination uncomfortable, 50% said no, 45% found it moderately uncomfortable and 5% found it very uncomfortable   |
| Rutter et al. (2006)                        | 16.4% of the respondents experienced abdominal pain (attributed to the procedure) in the week following their last colonoscopy, of which 3.7% stated that the pain interfered with everyday activities. Post-procedural pain was strongly related to the Hospital Anxiety and Depression Scale anxiety score ( $p < 0.0001$ ) but not with the drug doses used during the procedure. Five patients (1.7%) reported complications following previous colonoscopies  |
| <b>People's preference</b>                  |  |
| Sheikh et al. (2004)                        | Of those who had had a previous colonoscopy, 55% preferred a repeat, compared with only 30% of those who had never had a colonoscopy ( $p = 0.017$ )<br>Of those who had had a previous sigmoidoscopy, 53% preferred a repeat, compared with only 33% of those who had never had a sigmoidoscopy, although the differences were not statistically significant  |
| Thiis-Evensen et al. (1999)                 | When asked if they would attend a repeat examination in 5 years' time, 90% said yes, 2% said no and 7.6% were not sure   |
| <b>Information given</b>                    |  |
| Rutter et al. (2006)                        | 91.4% described the information given as easy to understand, 2.6% thought it was difficult and 6.1% could not remember being given information   |
| Rutter et al. (2006)                        | When asked about the amount of information they had received about the surveillance programme, 83.8% thought they had received the right amount of information, 16.2% thought they had received too little, and no one thought they had received too much<br>65.5% reported being content with their current involvement, whereas 34.2% preferred to be more involved and only 0.4% wished to be less involved   |
| Brotherstone et al. (2006)                  | In the written information group, 57% had a good understanding of the aims of the test, while in the group who were sent written information and illustrations, 84% had a good understanding<br>The addition of the illustrations resulted in significantly better understanding (OR = 3.75; CI: 1.16 to 12.09; $p = 0.027$ ) which remained significant after controlling for age, gender and socioeconomic status (OR = 10.85; CI: 1.72 to 68.43; $p = 0.011$ ). |
| Makoul et al.                               | A pretest–posttest multimedia patient education programme on colorectal cancer screening led to a significant increase in the  |

|   |  |
|---|--|
| (2009)                                      | knowledge of flexible sigmoidoscopy (from 11.5% to 53.0%; $p < 0.001$ ) and colonoscopy (from 23.3% to 57.0%; $p < 0.001$ )<br>More than 90% of people wanted to discuss colorectal cancer with their doctors after the education programme  |
| <b>Surveillance programme</b>               |  |
| Rutter et al. (2006)                        | 97.8% of people felt that surveillance was important for them<br>96.4% thought that the surveillance programme gave them reassurance, while 3.6% stated that the programme made them more anxious<br>When asked about the effect of the surveillance programme on reducing risk of colorectal cancer, 1.8% believed it completely removed the risk, 67.9% believed it greatly reduced the risk, 24.4% believed it moderately reduced the risk, and 5.9% believed it slightly reduced the risk  |
| Makoul et al. (2009)                        | Multimedia pretest–posttest patient education programme led to a significant increase in the number of people willing to undergo colorectal cancer screening with flexible sigmoidoscopy (from 54.1% to 78.1%; $p < 0.001$ ) and colonoscopy (from 64.8% to 84.4%; $p < 0.001$ )   |
| Sequist et al. (2009)                       | People who received the mailings of colorectal cancer screening were significantly more likely to complete screening than those who did not (44.0% vs 38.1%; $p < 0.001$ )<br>Detection of adenomas tended to be greater among people who received mailings compared with the control group (5.7% versus 5.2%; $p = 0.10$ )  |
| <b>Psychological impact of surveillance</b> |  |
| Thiis-Evensen et al. (1999)                 | The scores for both Goldberg’s General Health Questionnaire (GHQ-28) and the Hospital Anxiety and Depression Scale were lower, indicating a lower level of psychiatric morbidity among those attending the examination than the controls   |
| Miles et al. (2009)                         | People offered surveillance reported lower psychological distress and anxiety than those with either no polyp ( $p < 0.05$ ) or lower risk polyps ( $p < 0.01$ ). The surveillance group also reported more positive emotional benefits of screening than the other outcome groups. Post-screening bowel cancer worry and bowel symptoms were higher in people assigned to surveillance, but both declined over time, reaching levels observed in either one or both of the other two groups found to have polyps, suggesting these results were a consequence of polyp detection rather than surveillance |
| CI: confidence interval; OR: odds ratio     |  |

1 **2.6.3 Evidence statements**

2 2.6.3.1 *There is limited evidence describing people's experience of*  
3 *colonoscopy.*

- 4
- *39% found bowel preparation unpleasant.*
  - *50% did not find the examination uncomfortable, 45% found it moderately uncomfortable and 5% found it very uncomfortable.*
  - *People expressed less discomfort with a more experienced colonoscopist and with sedation.*
- 8

9 2.6.3.2 *There is limited evidence describing people's preference.*

- 10
- *55% of those who had had a previous colonoscopy preferred a repeat, compared with only 30% of those who had never had a colonoscopy*
  - *53% of those who had had a previous sigmoidoscopy preferred a repeat, compared with only 33% of those who had never had a sigmoidoscopy, although the differences were not statistically significant*
  - *When asked if they would attend a repeat examination in 5 years' time, 90% said yes, 2% said no and 8% were not sure.*
- 18

19 2.6.3.3 *There is limited evidence describing the amount of information*  
20 *given and how the information improved people's understanding.*

- 21
- *57% in the written information group had a good understanding of the aims of the screening test, while in the group who were sent written information and illustrations, 84% had good understanding.*
  - *The addition of the illustrations resulted in significantly better understanding, even after controlling for age, sex and socioeconomic status.*
- 27

- 1           • *A pretest–posttest multimedia patient education programme on*  
2           *colorectal cancer screening using graphics and audio led to a*  
3           *significant increase in the knowledge of flexible sigmoidoscopy*  
4           *and colonoscopy.*
- 5           • *More than 90% of people wanted to discuss colorectal cancer*  
6           *with their doctors after the education programme.*
- 7           • *When asked about the amount of information they had received*  
8           *about the surveillance programme, 83.8% thought they had*  
9           *received the right amount of information.*
- 10          • *91.4% described the information given as easy to understand*  
11          *and 2.6% thought it was difficult.*
- 12    2.6.3.4   *There is limited evidence describing the benefits, risks and uptake*  
13          *of a surveillance programme.*
- 14           • *People who received mailings of colorectal cancer screening*  
15           *were significantly more likely to undergo screening than those*  
16           *who did not.*
- 17           • *Detection of adenomas tended to be greater among people who*  
18           *received mailings compared with the control group.*
- 19           • *The multimedia pretest–post-test patient education programme*  
20           *led to a significant increase in the number of people willing to*  
21           *undergo colorectal cancer screening with flexible sigmoidoscopy*  
22           *and colonoscopy.*
- 23           • *97.8% of people felt that surveillance was important for them.*
- 24           • *96.4% thought that the surveillance programme gave them*  
25           *reassurance, while 3.6% stated that the programme made them*  
26           *more anxious.*
- 27           • *When asked about the effect of the surveillance programme on*  
28           *reducing the risk of colorectal cancer, 67.9% believed it greatly*  
29           *reduced the risk.*

1 2.6.3.5 *Two papers described the psychological impact of surveillance.*

- 2 • *A lower level of psychiatric morbidity was noticed among those*
- 3 *attending the screening examination than in the control group.*
- 4 • *People offered surveillance reported lower psychological*
- 5 *distress and anxiety than those with either no polyp or lower risk*
- 6 *polyps. The surveillance group also reported more positive*
- 7 *emotional benefits of screening than the other outcome groups.*

#### 8 **2.6.4 Health economic modelling**

9 No health economic modelling was undertaken for this review question.

#### 10 **2.6.5 Evidence to recommendations**

11 The patient experts on the GDG drew on their personal experience and that of  
12 patient groups to inform the evidence to recommendations. They considered  
13 that the figure ‘39% finding bowel preparation difficult to take’ was low and  
14 would have expected a higher number of people to have reported discomfort  
15 during bowel preparation. They suggested that the phrase ‘difficult to take’  
16 could be more accurately described as ‘unpleasant’ because people describe  
17 discomfort felt before, during and after the procedure. This includes bloating  
18 and abdominal cramps.

19 The patient experts advised that people should be told to expect discomfort  
20 during the procedures (which include bowel preparation, colonoscopy, flexible  
21 sigmoidoscopy) and that they may not be able to undertake day-to-day  
22 (normal) activities after bowel preparation. They also noted that sedation and  
23 an experienced colonoscopist help to reduce discomfort.

24 The patient experts agreed with the evidence (Sequist et al. 2009; Makoul et  
25 al. 2009; Rutter et al. 2006) that giving adequate information in a way that  
26 people understand improves the uptake, knowledge and understanding of  
27 colonoscopic surveillance. People should also be given the opportunity to  
28 speak to a consultant.

1 The patient experts also pointed out that being in a surveillance programme  
2 does not have a negative psychological impact. However, the benefits as well  
3 as the risks should be properly explained to people considering colonoscopic  
4 surveillance.

5 The GDG advised that some of the evidence provided is not true for some  
6 groups and this should be considered when reading the evidence statements.  
7 It advised that the evidence statements should be seen as an extract from the  
8 evidence provided. However, based on the experience of the GDG members,  
9 recommendations were made on information provision for people considering  
10 colonoscopic surveillance.

11 The GDG also advised that the information and support needs for people  
12 considering colonoscopic surveillance should be offered before surveillance  
13 and should continue during the surveillance programme.

## 14 **2.6.6 Recommendations**

### 15 **Recommendation 1.1.10**

16 Discuss the benefits and risks with people considering colonoscopic  
17 surveillance including:

- 18 • -early detection and prevention of colorectal cancer **and**
- 19 • -effects on mortality, morbidity, quality of life and psychological
- 20 outcomes.

### 21 22 **Recommendation 1.1.11**

23 Before offering colonoscopic surveillance, inform people about the procedure  
24 they are having, including:

- 25 • -bowel preparation
- 26 • -sedation
- 27 • -potential discomfort
- 28 • -impact on everyday activities.

1

2 **Recommendation 1.1.12**

3 Throughout the surveillance programme, give people and their families or  
4 carers the opportunity to discuss any issues with a healthcare professional.  
5 Information should be provided in a variety of formats tailored to the person's  
6 needs, and if appropriate, could include illustrations.

7

1

## 2 **3 Research recommendations**

3 We have made the following recommendations for research, based on our  
4 review of evidence, to improve NICE guidance and patient care in the future.

5 Although outside the Scope of this guideline, the GDG wished to highlight the  
6 importance of colorectal cancer prevention strategies. Specifically, they  
7 considered that chemoprevention (aspirin, folic acid) should be evaluated in  
8 people at increased risk (that is, with IBD or polyps). There is evidence from  
9 studies carried out in other clinical areas (for example, ischaemic heart  
10 disease) that has demonstrated a reduced risk of colorectal cancer in people  
11 taking aspirin, multivitamins, or folic acid over a long period of time, but this  
12 effect has not been evaluated in the population covered by this guideline.

### 13 ***Surveillance programmes for people at increased risk of*** 14 ***colorectal cancer***

15 How effective are colonoscopic surveillance programmes in improving overall  
16 survival and cancer-related survival in people at increased risk of colorectal  
17 cancer?

#### 18 **Why this is important**

19 There is no randomised controlled trial evidence on the effectiveness of  
20 colonoscopic surveillance programmes in improving survival in people at  
21 increased risk of colorectal cancer. Although there is some observational  
22 evidence in people with IBD, there is no evidence in people post polypectomy.  
23 Randomised controlled trials should be undertaken to determine the effect of  
24 surveillance programmes on survival (preferably, 5 years and longer follow-  
25 up) and quality of life in people at increased risk of colorectal cancer because  
26 of IBD or polyps.

1 ***Natural history of progression to colorectal cancer in people***  
2 ***at increased risk***

3 What is the natural history of colorectal cancer in people at increased risk of  
4 colorectal cancer (people with IBD or polyps)?

5 **Why this is important**

6 There is very limited evidence on the natural history of progression to  
7 colorectal cancer, and how progression differs with various factors, such as  
8 extent of disease, grade of dysplasia, or polyp-related factors. Long-term  
9 studies (ideally including a facility for 20 years follow-up or more) should be  
10 conducted to determine the natural history of colorectal cancer in people with  
11 IBD or polyps, and to identify those factors which impact on the progression of  
12 disease.

13 ***Lack of randomised controlled trial evidence on the***  
14 ***effectiveness of biomarkers for risk stratification***

15 Which biomarkers, including epigenic and genetic markers, are predictors of  
16 colorectal cancer? How should these be used to improve the stratification of  
17 the risk of colorectal cancer?

18 **Why this is important**

19 There is no high quality evidence on the predictive value of biomarkers,  
20 including epigenic and genetic markers, for colorectal cancer in adults at  
21 increased risk (inflammatory bowel disease or polyps). Research should be  
22 undertaken to identify those biomarkers which are predictive of colorectal  
23 cancer, if any can improve levels of early detection, and how they can be used  
24 to improve risk stratification.

25 ***Polyp types and risk of colorectal cancer***

26 Does the risk of colorectal cancer differ by type of polyp?

1 **Why this is important**

2 There is no high quality evidence on the association between risk of colorectal  
3 cancer and some polyp types (sessile, hyperplastic non-adenomatous).

4 Research should be undertaken to determine the level of risk of colorectal  
5 cancer associated with polyp type in adults with these polyps.

6

7 **4 Other versions of this guideline**

8 This is the full guideline. It contains details of the methods and evidence used  
9 to develop the guideline. It is available from our website  
10 ([www.nice.org.uk/guidance/CG\[XX\]Guidance](http://www.nice.org.uk/guidance/CG[XX]Guidance)). **[Note: these details will**  
11 **apply to the published full guideline.]**

12 **Quick reference guide**

13 A quick reference guide for healthcare professionals is available from  
14 [www.nice.org.uk/guidance/CG\[XX\]QuickRefGuide](http://www.nice.org.uk/guidance/CG[XX]QuickRefGuide)

15 For printed copies, phone NICE publications on 0845 003 7783 or email  
16 [publications@nice.org.uk](mailto:publications@nice.org.uk) (quote reference number N1[XXX]). **[Note: these**  
17 **details will apply when the guideline is published.]**

18 **'Understanding NICE guidance'**

19 A summary for patients and carers ('Understanding NICE guidance') is  
20 available from [www.nice.org.uk/guidance/CG\[XX\]PublicInfo](http://www.nice.org.uk/guidance/CG[XX]PublicInfo)

21 For printed copies, phone NICE publications on 0845 003 7783 or email  
22 [publications@nice.org.uk](mailto:publications@nice.org.uk) (quote reference number N1[XXX]). **[Note: these**  
23 **details will apply when the guideline is published.]**

24 We encourage NHS and voluntary sector organisations to use text from this  
25 booklet in their own information about colonoscopic surveillance.

## 1 **5 Related NICE guidance**

### 2 **Published**

- 3 • Improving outcomes in colorectal cancer. NICE cancer service guidance  
4 (2004). Available from [www.nice.org.uk/guidance/CSGCC](http://www.nice.org.uk/guidance/CSGCC)
- 5 • Wireless capsule endoscopy for investigation of the small bowel. NICE  
6 interventional procedure guidance 101 (2004). Available from  
7 [www.nice.org.uk/guidance/IPG101](http://www.nice.org.uk/guidance/IPG101)

### 8 **Under development**

9 NICE is developing the following guidance (details available from  
10 [www.nice.org.uk](http://www.nice.org.uk)):

- 11 • Diagnosis and management of colorectal cancer. NICE clinical guideline.  
12 Publication expected July 2011.
- 13 • The management of Crohn's disease. NICE clinical guideline. Publication  
14 date to be confirmed.

## 15 **6 Updating the guideline**

16 NICE clinical guidelines are updated so that recommendations take into  
17 account important new information. New evidence is checked 3 years after  
18 publication, and healthcare professionals and patients are asked for their  
19 views; we use this information to decide whether all or part of a guideline  
20 needs updating. If important new evidence is published at other times, we  
21 may decide to do a more rapid update of some recommendations.

## 22 **7 References, glossary and abbreviations**

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- 35

1

## 2 **7.2 Glossary**

### 3 **Absolute risk reduction (risk difference)**

4 The difference in event rates between two groups (one subtracted from the  
5 other) in a comparative study.

### 6 **Absolute risk**

7 Measures the probability of an event or outcome occurring (for example an  
8 adverse reaction to the drug being tested) in the group of people under study.

9 Studies that compare two or more groups of patients may report results in  
10 terms of the absolute risk reduction.

### 11 **Adenoma**

12 A benign tumour of a glandular structure or of glandular origin.

13

### 14 **Baseline**

15 The initial set of measurements at the beginning of a study (after  
16 A run-in period where applicable), with which subsequent results are  
17 compared.

18

### 19 **Bias**

20 Systematic (as opposed to random) deviation of the results of a study from the  
21 'true' results that is caused by the way the study is designed or conducted.

### 22 **Blinding (masking)**

23 Keeping the study participants, caregivers, researchers and outcome  
24 assessors unaware about the interventions to which the participants have  
25 been allocated in a study.

1 **Bowel preparation**

2 The use of various laxatives to clear out the bowel in preparation for lower  
3 gastrointestinal surgery or other bowel investigation, for example colonoscopy  
4 or barium enema.

5 **Case report (or case study)**

6 Detailed report on one patient (or case), usually covering the course of that  
7 person's disease and their response to treatment.

8 **Case series**

9 Report of a number of cases of a given disease, usually covering the course  
10 of the disease and the response to treatment. There is no comparison  
11 (control) group of patients.

12 **Case-control study**

13 Comparative observational study in which the investigator selects individuals  
14 who have experienced an event (for example, developed a disease) and  
15 others who have not (controls), and then collects data to determine previous  
16 exposure to a possible cause.

17 **Cohort**

18 A group of people sharing some common characteristic (for example patients  
19 with the same disease), followed up in a research study for a specified period  
20 of time.

21 **Cohort study**

22 A retrospective or prospective follow-up study. Groups of individuals to be  
23 followed up are defined on the basis of presence or absence of exposure to a  
24 suspected risk factor or intervention. A cohort study can be comparative, in

1 which case two or more groups are selected on the basis of differences in  
2 their exposure to the agent of interest.

### 3 **Colonoscopy**

4 The endoscopic examination of the colon and the distal part of the small  
5 bowel.

### 6 **Comorbidity**

7 Two or more diseases or conditions occurring at the same time, such as  
8 depression and anxiety.

### 9 **Comparability**

10 Similarity of the groups in characteristics likely to affect the study results (such  
11 as health status or age).

### 12 **Computed tomographic colonography**

13 A medical imaging procedure which uses X-rays and computers to produce  
14 two- and three-dimensional images of the colon (large intestine) from the  
15 lowest part, the rectum, all the way to the lower end of the small intestine and  
16 display them on a screen. The procedure is used to diagnose colon and bowel  
17 disease, including polyps, diverticulosis and cancer.

### 18 **Confidence intervals**

19 A way of expressing certainty about the findings from a study or group of  
20 studies, using statistical techniques. A confidence interval describes a range  
21 of possible effects (of a treatment or intervention) that are consistent with the  
22 results of a study or group of studies. A wide confidence interval indicates a  
23 lack of certainty or precision about the true size of the clinical effect and is  
24 seen in studies with too few patients. Where confidence intervals are narrow  
25 they indicate more precise estimates of effects and a larger sample of patients

1 studied. It is usual to interpret a '95%' confidence interval as the range of  
2 effects within which we are 95% confident that the true effect lies.

### 3 **Confounding**

4 In a study, confounding occurs when the effect of an intervention on an  
5 outcome is distorted as a result of an association between the population or  
6 intervention or outcome and another factor (the 'confounding variable') that  
7 can influence the outcome independently of the intervention under study.

### 8 **Consensus methods**

9 Techniques that aim to reach an agreement on a particular issue. Formal  
10 consensus methods include Delphi and nominal group techniques, and  
11 consensus development conferences. In the development of clinical  
12 guidelines, consensus methods may be used where there is a lack of strong  
13 research evidence on a particular topic. Expert consensus methods will aim to  
14 reach agreement between experts in a particular field.

### 15 **Consistency**

16 The extent to which the conclusions of a collection of studies used to support  
17 a guideline recommendation are in agreement with each other. See also  
18 Homogeneity.

### 19 **Control group**

20 A group of patients recruited into a study that receives no treatment, a  
21 treatment of known effect, or a placebo (dummy treatment) – in order to  
22 provide a comparison for a group receiving an experimental treatment, such  
23 as a new drug.

### 24 **Cost benefit analysis**

1 A type of economic evaluation where both costs and benefits of healthcare  
2 treatment are measured in the same monetary units. If benefits exceed costs,  
3 the evaluation would recommend providing the treatment.

#### 4 **Cost-consequences analysis (CCA)**

5 A type of economic evaluation where various health outcomes are reported in  
6 addition to cost for each intervention, but there is no overall measure of health  
7 gain.

#### 8 **Cost-effectiveness analysis (CEA)**

9 An economic study design in which consequences of different interventions  
10 are measured using a single outcome, usually in 'natural' units (for example,  
11 life-years gained, deaths avoided, heart attacks avoided, cases detected).  
12 Alternative interventions are then compared in terms of cost per unit of  
13 effectiveness.

#### 14 **Cost-effectiveness model**

15 An explicit mathematical framework, which is used to represent clinical  
16 decision problems and incorporate evidence from a variety of sources in order  
17 to estimate the costs and health outcomes.

#### 18 **Cost-utility analysis (CUA)**

19 A form of cost-effectiveness analysis in which the units of effectiveness are  
20 quality-adjusted life-years (QALYs).

#### 21 **Critical appraisal**

22 The process of appraising a piece of research or a systematic review for the  
23 quality of its method and content, generally used in order to make judgements  
24 about the quality of the research or review, and the effectiveness of the  
25 intervention under study.

1 **Crohn's disease**

2 Chronic ileitis that typically involves the distal portion of the ileum, often  
3 spreads to the colon, and is characterised by diarrhoea, cramping, and loss of  
4 appetite and weight with local abscesses and scarring.

5 **Cross-sectional study**

6 The observation of a defined set of people at a single point in time or time  
7 period – a snapshot. (This type of study contrasts with a longitudinal study  
8 which follows a set of people over a period of time.)

9 **Diagnostic study**

10 A study to assess the effectiveness of a test or measurement in terms of its  
11 ability to accurately detect or exclude a specific disease.

12 **Diminutive lesion**

13 A very small abnormal change in structure of an organ or part due to injury or  
14 disease.

15 **Dominance**

16 A term used in health economics describing when an option for treatment is  
17 both less clinically effective and more costly than an alternative option. The  
18 less effective and more costly option is said to be 'dominated'.

19 **Double blind/masked study**

20 A study in which neither the subject (patient) nor the observer  
21 (investigator/clinician) is aware of which treatment or intervention the subject  
22 is receiving. The purpose of blinding is to protect against bias.

23 **Drop-out**

24 A participant who withdraws from a clinical trial before the end.

1 **Drowsiness**

2 A state of near-sleep, a strong desire for sleep, or sleeping for unusually long  
3 periods.

4 **Dysplasia**

5 Abnormal development or growth of tissues, organs, or cells. Dysplasia can  
6 be low grade or high grade. High-grade dysplasia represents a more  
7 advanced progression towards malignant transformation.

8 **Economic evaluation**

9 Comparative analysis of alternative health strategies (interventions or  
10 programmes) in terms of both their costs and consequences.

11 **Effect (as in effect measure, treatment effect, estimate of effect, effect  
12 size)**

13 The observed association between interventions and outcomes or a statistic  
14 to summarise the strength of the observed association.

15 **Epidemiological study**

16 The study of a disease within a population, defining its incidence and  
17 prevalence and examining the roles of external influences (for example,  
18 infection, diet) and interventions.

19 **Equity**

20 Fair distribution of resources or benefits.

21 **Exclusion criteria (clinical study)**

22 Criteria that define who is not eligible to participate in a clinical study.

23 **Exclusion criteria (literature review)**

1 Explicit standards used to decide which studies should be excluded from  
2 consideration as potential sources of evidence.

3 **External validity**

4 The degree to which the results of a study hold true in non-study situations,  
5 for example in routine clinical practice. May also be referred to as the  
6 generalisability of study results to non-study patients or populations.

7 **Extrapolation**

8 The application of research evidence based on studies of a specific population  
9 to another population with similar characteristics.

10 **False negative**

11 A negative result in a diagnostic test when the person being tested **does**  
12 possess the attribute for which the test is conducted.

13 **False positive**

14 A positive result in a diagnostic result when the person being tested **does not**  
15 possess the attribute for which the test is conducted.

16 **Follow up**

17 Observation over a period of time of an individual, group or initially defined  
18 population whose appropriate characteristics have been assessed in order to  
19 observe changes in health status or health related variables.

20 **Generalisability**

21 The degree to which the results of a study or systematic review can be  
22 extrapolated to other circumstances, particularly routine healthcare situations  
23 in the NHS in England and Wales.

24

25 **Heterogeneity**

1 A term used to illustrate the variability or differences between studies in the  
2 estimates of effects.

### 3 **Homogeneity**

4 This means that the results of studies included in a systematic review or meta-  
5 analysis are similar and there is no evidence of heterogeneity. Results are  
6 usually regarded as homogeneous when differences between studies could  
7 reasonably be expected to occur by chance.

### 8 **Inflammatory bowel disease**

9 A group of inflammatory conditions of the colon and small intestine. The major  
10 types of inflammatory bowel disease are Crohn's disease and ulcerative  
11 colitis.

### 12 **Inclusion criteria (literature review)**

13 Explicit criteria used to decide which studies should be considered as  
14 potential sources of evidence.

### 15 **Incremental analysis**

16 The analysis of additional costs and additional clinical outcomes with different  
17 interventions.

### 18 **Incremental cost**

19 The mean cost per patient associated with an intervention minus the mean  
20 cost per patient associated with a comparator intervention.

### 21 **Incremental cost effectiveness ratio (ICER)**

22 The difference in the mean costs in the population of interest divided by the  
23 differences in the mean outcomes in the population of interest.

### 24 **Incremental net benefit (INB)**

1 The value (usually in monetary terms) of an intervention net of its cost  
2 compared with a comparator intervention. The INB can be calculated for a  
3 given cost-effectiveness (willingness to pay) threshold. If the threshold is  
4 £20,000 per QALY gained then the INB is calculated as: (£20,000 x QALYs  
5 gained) – Incremental cost.

## 6 **Index**

7 In epidemiology and related sciences, this word usually means a rating scale,  
8 for example, a set of numbers derived from a series of observations of  
9 specified variables. Examples include the various health status indices, and  
10 scoring systems for severity or stage of cancer.

## 11 **Inflammation**

12 A local response to cellular injury that is marked by capillary dilatation,  
13 leukocytic infiltration, redness, heat, pain, swelling, and often loss of function  
14 and that serves as a mechanism initiating the elimination of noxious agents  
15 and of damaged tissue.

## 16 **Intention-to-treat analysis (ITT analysis)**

17 An analysis of the results of a clinical study in which the data are analysed for  
18 all study participants as if they had remained in the group to which they were  
19 randomised, regardless of whether or not they remained in the study until the  
20 end, crossed over to another treatment or received an alternative intervention.

## 21 **Internal validity**

22 The degree to which the results of a study are likely to approximate the 'truth'  
23 for the participants recruited in a study (that is, are the results free of bias?). It  
24 refers to the integrity of the design and is a prerequisite for applicability  
25 (external validity) of a study's findings.

## 26 **Intervention**

1 Healthcare action intended to benefit the patient, for example, drug treatment,  
2 surgical procedure, psychological therapy.

3 **Life-years gained**

4 Average years of life gained per person as a result of the intervention.

5 **Longitudinal study**

6 A study of the same group of people at more than one point in time. (This type  
7 of study contrasts with a cross sectional study which observes a defined set of  
8 people at a single point in time).

9 **Malignant**

10 Literally means growing worse and resisting treatment.

11 **Morbidity rates**

12 Morbidity rates are the number of cases of an illness, injury or condition within  
13 a given time, usually one year. It is also the ratio of sick persons to well  
14 persons in a defined population.

15 **Mortality rates**

16 The proportion of deaths in a defined population.

17 **Mucosa**

18 The mucous membrane, or the thin layer which lines body cavities and  
19 passages.

20 **Multivariate model**

21 A statistical model for analysis of the relationship between two or more  
22 predictor (independent) variables and the outcome (dependent) variable.

23 **Narrative summary**

1 Summary of findings given as a written description.

## 2 **Narrow band imaging**

3 Characterised by light with wavelengths of narrow bands that improves the  
4 visibility of capillaries, veins and other subtle tissue structures by optimising  
5 the absorbance and scattering characteristics of light. It enhances vasculature  
6 within and beneath the mucosa, or lining, of the gastrointestinal (GI) tract.

## 7 **Negative predictive value**

8 The proportion of people with negative test results who do not have the  
9 disease.

## 10 **Number needed to treat to benefit (NNTB)**

11 NNTB is an epidemiological measure used in assessing the effectiveness of a  
12 health-care intervention, typically a treatment with medication. The NNTB is  
13 the number of patients who need to be treated in order to prevent one  
14 additional bad outcome (that is, the number of patients that need to be treated  
15 for one to benefit compared with a control in a clinical trial). It is defined as the  
16 inverse of the absolute risk reduction. The ideal NNTB is 1, where everyone  
17 improves with treatment and no-one improves with control. The higher the  
18 NNTB, the less effective is the treatment

## 19 **Number needed to treat to harm (NNTH)**

20 NNTH is an epidemiological measure that indicates how many patients need  
21 to be exposed to a risk factor to cause harm in one patient that would not  
22 otherwise have been harmed. It is defined as the inverse of the attributable  
23 risk. Intuitively, the lower the number needed to harm, the worse the risk  
24 factor.

## 25 **Observational study**

1 Retrospective or prospective study in which the investigator observes the  
2 natural course of events with or without control groups; for example, cohort  
3 studies and case–control studies.

#### 4 **Odds ratio (OR)**

5 A measure of treatment effectiveness. The odds of an event happening in the  
6 treatment group, expressed as a proportion of the odds of it happening in the  
7 control group. The 'odds' is the ratio of events to non-events.

#### 8 **Outcome**

9 Measure of the possible results that may stem from exposure to a preventive  
10 or therapeutic intervention. Outcome measures may be intermediate  
11 endpoints or they can be final endpoints.

#### 12 **P value**

13 If a study is done to compare two treatments then the P value is the  
14 probability of obtaining the results of that study, or something more extreme, if  
15 there really was no difference between treatments. (The assumption that there  
16 really is no difference between treatments is called the 'null hypothesis'.)  
17 Suppose the P-value was  $P=0.03$ . What this means is that if there really was  
18 no difference between treatments then there would only be a 3% chance of  
19 getting the kind of results obtained. Since this chance seems quite low we  
20 should question the validity of the assumption that there really is no difference  
21 between treatments. We would conclude that there probably is a difference  
22 between treatments. By convention, where the value of P is below 0.05 (that  
23 is, less than 5%) the result is seen as statistically significant. Where the value  
24 of P is 0.001 or less, the result is seen as highly significant. P values just tell  
25 us whether an effect can be regarded as statistically significant or not. In no  
26 way do they relate to how big the effect might be, for which we need the  
27 confidence interval.

**1 Polyps**

2 A projecting mass of swollen and hypertrophied or tumorous membrane (as in  
3 the nasal cavity or the intestine) -- called also polypus.

**4 Prognostic factor**

5 Patient or disease characteristics, for example. age or co-morbidity, which  
6 influence the course of the disease under study. In a randomised trial to  
7 compare two treatments, chance imbalances in variables (prognostic factors)  
8 that influence patient outcome are possible, especially if the size of the study  
9 is fairly small. In terms of analysis these prognostic factors become  
10 confounding factors. See also Prognostic marker.

**11 Prospective study**

12 A study in which people are entered into the research and then followed up  
13 over a period of time with future events recorded as they happen. This  
14 contrasts with studies that are retrospective.

**15 Qualitative research**

16 Research concerned with subjective outcomes relating to social, emotional  
17 and experiential phenomena in health and social care.

**18 Quality-adjusted life year (QALY)**

19 A statistical measure, representing 1 year of life, with full quality of life.

**20 Quantitative research**

21 Research that generates numerical data or data that can be converted into  
22 numbers, for example clinical trials or the national census which counts  
23 people and households.

**24 Randomisation**

1 Allocation of participants in a research study to two or more alternative groups  
2 using a chance procedure, such as computer generated random numbers.  
3 This approach is used in an attempt to ensure there is an even distribution of  
4 participants with different characteristics between groups and thus reduce  
5 sources of bias.

### 6 **Randomised controlled trial**

7 A form of clinical trial to assess the effectiveness of medicines or procedures.  
8 Considered reliable because it tends not to be biased.

9

### 10 **Reference case**

11 When estimating clinical and cost effectiveness in a technology appraisal, the  
12 reference case specifies the methods that are considered by NICE to be the  
13 most appropriate for the Appraisal Committee's purpose and are also  
14 consistent with an NHS objective of maximising health gain from limited  
15 resources.

### 16 **Relative risk**

17 Also known as risk ratio; the ratio of risk in the intervention group to the risk in  
18 the control group. The risk (proportion, probability or rate) is the ratio of people  
19 with an event in a group to the total in the group. A relative risk (RR) of 1  
20 indicates no difference between comparison groups. For undesirable  
21 outcomes, an RR that is less than 1 indicates that the intervention was  
22 effective in reducing the risk of that outcome.

### 23 **Retrospective study**

24 A retrospective study deals with the present/ past and does not involve  
25 studying future events. This contrasts with studies that are prospective.

### 26 **Sedation**

27 The inducing of a relaxed easy state especially by the use of sedatives.

## 1 **Selection bias (also allocation bias)**

2 A systematic bias in selecting participants for study groups, so that the groups  
3 have differences in prognosis and/or therapeutic sensitivities at baseline.  
4 Randomisation (with concealed allocation) of patients protects against this  
5 bias.

## 6 **Semi-structured interview**

7 Structured interviews involve asking people pre-set questions. A semi-  
8 structured interview allows more flexibility than a structured interview. The  
9 interviewer asks a number of open-ended questions, following up areas of  
10 interest in response to the information given by the respondent.

## 11 **Sensitivity**

12 In diagnostic testing, it refers to the chance of having a positive test result  
13 given that you have the disease. 100% sensitivity means that all those with  
14 the disease will test positive, but this is not the same the other way around. A  
15 patient could have a positive test result but not have the disease - this is  
16 called a 'false positive'. The sensitivity of a test is also related to its 'negative  
17 predictive value' (true negatives) - a test with a sensitivity of 100% means that  
18 all those who get a negative test result do not have the disease. To fully judge  
19 the accuracy of a test, its Specificity must also be considered.

## 20 **Sensitivity analysis**

21 A means of representing uncertainty in the results of economic evaluations.  
22 Uncertainty may arise from missing data, imprecise estimates or  
23 methodological controversy. Sensitivity analysis also allows for exploring the  
24 generalisability of results to other settings. The analysis is repeated using  
25 different assumptions to examine the effect on the results. One-way simple  
26 sensitivity analysis (univariate analysis): each parameter is varied individually

1 in order to isolate the consequences of each parameter on the results of the  
2 study. Multi-way simple sensitivity analysis (scenario analysis): two or more  
3 parameters are varied at the same time and the overall effect on the results is  
4 evaluated. Threshold sensitivity analysis: the critical value of parameters  
5 above or below which the conclusions of the study will change are  
6 identified. Probabilistic sensitivity analysis: probability distributions are  
7 assigned to the uncertain parameters and are incorporated into evaluation  
8 models based on decision analytical techniques (For example, Monte Carlo  
9 simulation).

10

### 11 **Sigmoidoscopy**

12 Is the minimally invasive medical examination of the large intestine from the  
13 rectum through the last part of the colon. There are two types of  
14 sigmoidoscopy, flexible sigmoidoscopy, which uses a flexible endoscope, and  
15 rigid sigmoidoscopy, which uses a rigid device. Flexible sigmoidoscopy is  
16 generally the preferred procedure.

### 17 **Specificity**

18 In diagnostic testing, it refers to the chance of having a negative test result  
19 given that you do not have the disease. 100% specificity means that all those  
20 without the disease will test negative, but this is not the same the other way  
21 around. A patient could have a negative test result yet still have the disease -  
22 this is called a 'false negative'. The specificity of a test is also related to its  
23 'positive predictive value' (true positives) - a test with a specificity of 100%  
24 means that all those who get a positive test result definitely have the disease.  
25 To fully judge the accuracy of a test, its Sensitivity must also be considered.

### 26 **Standard deviation**

27 A measure of the spread, scatter or variability of a set of measurements.  
28 Usually used with the mean (average) to describe numerical data.

**1 Statistical power**

2 The ability of a study to demonstrate an association or causal relationship  
3 between two variables, given that an association exists. For example, 80%  
4 power in a clinical trial means that the study has a 80% chance of ending up  
5 with a P value of less than 5% in a statistical test (that is, a statistically  
6 significant treatment effect) if there really was an important difference (for  
7 example 10% versus 5% mortality) between treatments. If the statistical power  
8 of a study is low, the study results will be questionable (the study might have  
9 been too small to detect any differences). By convention, 80% is an  
10 acceptable level of power. See also P value.

**11 Structured interview**

12 A research technique where the interviewer controls the interview by adhering  
13 strictly to a questionnaire or interview schedule with pre-set questions.

**14 Symptom**

15 A departure from normal function or feeling which is noticed by a patient,  
16 indicating the presence of disease or abnormality.

**17 Synthesis of evidence**

18 A generic term to describe methods used for summarising (comparing and  
19 contrasting) evidence into a clinically meaningful conclusion in order to  
20 answer a defined clinical question. This can include systematic review (with or  
21 without meta-analysis), qualitative and narrative summaries.

**22 Systematic error**

23 Refers to the various errors or biases inherent in a study. See also Bias.

24

25

1 **7.3 Abbreviations**

| Abbreviation | Meaning   |
|--------------|---|
| <b>ARR</b>   | Absolute risk reduction   |
| <b>CEAC</b>  | Cost effectiveness acceptability curve                            |
| <b>CEAF</b>  | Cost effectiveness acceptability frontier                         |
| <b>CI</b>    | Confidence interval   |
| <b>CTC</b>   | Computed tomographic colonography                                 |
| <b>ER</b>    | Endoscopic resection  |
| <b>FSIG</b>  | Flexible sigmoidoscopy  |
| <b>GDG</b>   | Guideline development group                                       |
| <b>GRADE</b> | Grading of Recommendations Assessment, Development and Evaluation |
| <b>HR</b>    | Hazard ratio  |
| <b>IBD</b>   | Inflammatory bowel disease  |
| <b>ICER</b>  | Incremental cost-effectiveness ratio                              |
| <b>MD</b>    | Mean difference   |
| <b>NBI</b>   | Narrow band imaging   |
| <b>NNTB</b>  | Number needed to treat to benefit                                 |
| <b>NNTH</b>  | Number needed to treat to harm                                    |
| <b>NPV</b>   | Negative predictive value   |
| <b>OR</b>    | Odds ratio  |
| <b>PPV</b>   | Positive predictive value   |
| <b>QALY</b>  | Quality-adjusted life year  |
| <b>RCT</b>   | Randomised clinical trial   |
| <b>RR</b>    | Relative risk   |
| <b>RS</b>    | Reference standard  |
| <b>SC</b>    | Standard care   |
| <b>SD</b>    | Standard deviation  |
| <b>SE</b>    | Standard error  |
| <b>SF-36</b> | Short form-36   |
| <b>WMD</b>   | Weighted mean difference  |

2

1    **8           Contributors**

2    **8.1        *The Guideline Development Group***

3

4

5    **Peter Howdle**

6    Emeritus Professor and Chair of the guideline development group

7    **Wendy Atkin**

8    Professor of Epidemiology, Imperial College London

9    **Mathew Rutter**

10   Consultant Physician, University Hospital of North Tees

11   **Professor Janusz Jankowski**

12   Consultant Gastroenterologist, University Hospitals of Leicester NHS Trust

13   **Bruce Fox**

14   Consultant Gastro-intestinal Radiologist, Derriford Hospital, Devon

15   **Carol Makin**

16   Consultant General and Colorectal Surgeon, Wirral University Teaching

17   Hospital

18   **Julie D'Silva**

19   Consultant Endoscopist Clinical Lead for Quality and Assurance Endoscopy

20   Services, Rotherham General Hospital NHS Trust

21   **Professor Marco Novelli**

22   Department of Histopathology, University Hospital London

23   **Tracey Cole**

24   Patient member

25   **Elaine Trump**

26   Patient member

1    **8.2        *The short clinical guidelines technical team***

2    A short clinical guidelines technical team was responsible for this guideline  
3    throughout its development. It prepared information for the Guideline  
4    Development Group, drafted the guideline and responded to consultation  
5    comments. The following NICE employees made up the technical team for  
6    this guideline.

7    **Louise Foster**

8    Information Specialist

9    **Michael Heath**

10   Programme Manager

11   **Beth Shaw**

12   Technical Advisor

13   **Victoria Kelly**

14   Project Manager

15   **Kim Jeong**

16   Technical Analyst (Health Economics)

17   **Yaminah Rajput**

18   Assistant Technical Analyst (Health Economics)

19   **Jonathan Nyong**

20   Assistant Technical Analyst

21   **Tarang Sharma**

22   Technical Analyst

23   **Prashanth Kandaswamy**

24   Technical Adviser (Health Economics)

### 1 **8.3 The Guideline Review Panel**

2 The Guideline Review Panel is an independent panel that oversees the  
3 development of the guideline and takes responsibility for monitoring  
4 adherence to NICE guideline development processes. In particular, the panel  
5 ensures that stakeholder comments have been adequately considered and  
6 responded to. The panel includes members from the following perspectives:  
7 primary care, secondary care, lay, public health and industry.

#### 8 **To be added**

#### 9 **[Name; style = Unnumbered bold heading]**

10 [job title, including name of hospital/university or other organisation, and  
11 city/county if relevant; style = NICE normal]

#### 12 **[Name; style = Unnumbered bold heading]**

13 [job title, including name of hospital/university or other organisation, and  
14 city/county if relevant; style = NICE normal]

### 15 **8.4 Declarations of interest**

16 A full list of all declarations of interest made by this Guideline Development  
17 Group is available on the NICE website ([www.nice.org.uk](http://www.nice.org.uk)).

### 18 **8.5 Authorship and citation**

19 Authorship of this document is attributed to the NICE Short Clinical Guidelines  
20 Technical Team and members of the Guideline Development Group under  
21 group authorship.

22 The guideline should be cited as:

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24 National Institute for Health and Clinical Excellence. Available from:

25 [www.nice.org.uk/guidance/CG\[XX\]](http://www.nice.org.uk/guidance/CG[XX])