# Appendix 22c: 2009 Psychological therapies and psychosocial interventions study characteristics tables<sup>1</sup>

Please note that some of the references and the data in this appendix have been incorporated from the previous guideline and have therefore not been updated to reflect current house style.

Full terms of abbreviations are listed at the back of the guideline, except in some instances where they are explained in situ.

An asterisk next to an author's name indicates that their study is the primary study.

# Contents

Adherence therapy	9
Characteristics of included studies (previous guideline)	9
References of included studies (previous guideline)	9
Characteristics of included studies (update)	10
GRAY2006	10
MANEESAKORN2007	
ODONNELL2003	15
TSANG2005	17
References of included studies (update)	20
Characteristics of excluded studies (update)	21
References of excluded studies (update)	
Arts therapies	22
Characteristics of included studies (update)	22
GREEN1987	22
NITSUN1974	23
RICHARDSON2007	25
ROHRICHT2006	27
TALWAR2006	

<sup>&</sup>lt;sup>1</sup> References to other papers cited in the study characteristics tables (usually relating to study methods) can be found in the included study report (full references are given after the study characteristics table).

ULRICH2007	
YANG1998	
References of included studies (update)	
Characteristics of excluded studies (update)	
References of excluded studies (update)	
Cognitive behavioural therapy	
Characteristics of included studies (previous guideline)	
Bradshaw1996	
Bradshaw2000	
Drury1996	
Haddock1998	
Hogarty1997	
Kuipers1997	
Lewis2002	
Sensky2000	
Tarrier1998	
Turkington2002	
References of included studies (previous guideline)	
Characteristics of included studies (update)	
BACH2002	
BARROWCLOUGH2006	
BECHDOLF2004	61
CATHER2005	64
DURHAM2003	
ENGLAND2007	
GARETY2008	72
GRANHOLM2005	77
GUMLEY2003	79
JACKSON2005	
JACKSON2007	
JENNER2004	
LECLERC2000	
LECOMTE2008	
MCLEOD2007	
PENADES2006	
PINTO1999	

RECTOR2003	
STARTUP2004	
TROWER2004	
VALMAGGIA2005	
WYKES2005	
References of included studies (update)	
Characteristics of excluded studies (update)	
References of excluded studies (update)	
Cognitive remediation	
Characteristics of included studies (previous guideline)	
Benedict1994	
Hadas-Lidor2001	
Medalia1998	
Medalia2000	
Wykes1999	
References of included studies (previous guideline)	
Characteristics of excluded studies (previous guideline)	
References of excluded studies (previous guideline)	
Characteristics of included studies (update)	
BELLUCCI2002	
BURDA1994	
EACK2007	
HOGARTY2004	
KURTZ2007	
PENADES2006	
SARTORY2005	
SILVERSTEIN2005	
SPAULDING1999	
TWAMLEY2008	
VANDERGAAG2002	
VELLIGAN2000	
VELLIGAN2002	
VELLIGAN2008	
VELLIGAN2008B	
VOLLEMA1995	
WYKES2007	

WYKES2007A	
References of included studies (update)	
Characteristics of excluded studies (update)	
References of excluded studies (included in previous guideline, but excluded from update)	
References of excluded studies (update)	
Counselling and supportive therapy	
Characteristics of included studies (previous guideline)	
Donlon1973	
Eckman1992	
Falloon1981	
Haddock1998	
Herz2000	
Hogarty1997	
Kemp1996	
Levine1998	
Lewis2002	
Marder1996	
Sensky2000	
Stanton1984	
(Gunderson1984 in psychoanalysis ET)	
Tarrier1998	
Turkington2000	
References of included studies (previous guideline)	
Characteristics of included studies (update)	
JACKSON2007	
PATTERSON2006	
PINTO1999	
ROHRICHT2006	
SHIN2002	
VALMAGGIA2005	
References of included studies (update)	
Characteristics of excluded studies (update)	
References of excluded studies (update)	
Family intervention	
Characteristics of included studies (previous guideline)	
Barrowclough 1999	

# Study characteristics tables: Psychological therapies and psychosocial interventions

Bloch1995	
Dyck2000	
Buchkremer1995	
Falloon1981	
Glynn1992	
Goldstein1978	
Hogarty1997	
Leff1982	
Leff1989	
McFarlane1995a	
McFarlane1995b	
Posner1992	
Schooler 1997	
Tarrier1988	
Vaughan1992	
Xiong1994	
Zhang1994	
References of included studies (previous guideline)	
Characteristics of included studies (update)	234
BRADLEY2006	234
BRESSI2008	
CARRA2007	239
CHENG2005	242
CHIEN 2004A	245
CHIEN2004B	247
CHIEN2007	
GARETY2008	252
JENNER2004	
KOPELOWICZ2003	
LEAVEY2004	
LI2005	
MAGLIANO2006	
MONTERO2001	
RAN2003	
SO2006	
SZMUKLER2003	

VALENCIA2007	
References of included studies (update)	
Characteristics of excluded studies (update)	
References of excluded studies (update)	
Psychodynamic and psychoanalytic therapies	
Characteristics of included studies (previous guideline)	
Gunderson1984	
May1976	
O'Brien1972	
References of included studies (previous guideline)	
Characteristics of excluded studies (previous guideline)	
References of excluded studies (previous guideline)	
Characteristics of included studies (update)	
DURHAM2003	
References of included studies (update)	
Characteristics of excluded studies (update)	
References of excluded studies (update)	
Psychoeducation	
Characteristics of included studies (previous guideline)	
Atkinson1996	
Bauml1996	
CunninghamOwens2001	
Hayashi2001	
Hornung1995	
Jones2001	
Lecompte1996	
Macpherson1996	
Merinder1999	
Smith1987	
References of included studies (previous guideline)	
Characteristics of excluded studies (previous guideline)	
References of excluded studies (previous guideline)	
Characteristics of included studies (update)	
BECHDOLF2004	
BECHDOLF2004	
CATHER2005	

CHABANNES2008	
CHAN2007A	
LITTRELL2003	
SHIN2002	
SIBITZ2007	
VREELAND2006	
XIANG2006	
XIANG2007	
References of included studies (update)	
Characteristics of excluded studies (update)	
References of excluded studies (update)	
Social skills training	
Characteristics of included studies (previous guideline)	
Bellack1984	
Daniels1998	
Dobson1995	
Finch & Wallace1977	
Hayes1995	
Liberman1998	
Lukoff1986	
Marder1996	
Peniston1988	
References of included studies (previous guideline)	
Characteristics of excluded studies (previous guideline)	
Characteristics of included studies (update)	
BROWN1983	
CHIEN2003	
CHOI2006	
GLYNN2002	
GRANHOLM2005	
PATTERSON2003	
PATTERSON2006	
PINTO1999	
RONCONE2004	
UCOK2006	
VALENCIA2007	

Study characteristics tables: Psychological therapies and psychosocial interventions

References of included studies (update)	
Characteristics of excluded studies (update)	
References of excluded studies (update)	

# Adherence therapy

Characteristics of included studies (previous guideline)

Kemp1996	Allocation:	Inpatients.	1. CBT + standard care:	1. Death	Therapists: research
	randomised using	Diagnosis: 43 schizophrenia	compliance therapy -	2. Leaving the study early	psychiatrist and clinical
	tables of random	(DSM-III-R), remaining	reviewing history of illness,	3. Relapse	psychologist. Both trained
	numbers.	sample mood disorders	discussing the benefits and	4. BPRS	in CBT and attended a
	Blinding: none.	N=74.	drawbacks of drug treatment,	5. Global Assessment of	workshop on motivational
	Duration: 2-3 weeks	Age: CBT group mean 34	the stigma of drugs, the	Functioning scale (GAF).	interviews.
	(4-6 sessions in total),	(SD 10.6), control group	discrepancy between	5. Extended Schedule for	Supervision: therapists
	18 months follow-up.	mean 37 (SD 11.9).	participant's action and beliefs.	Assessment of Insight	received regular
	Frequency: 20-60	Sex: 39 M 35 F.	N=39.	6. Drug Attitudes Inventory	supervision.
	minutes twice a	History: mean duration of	2. Supportive counselling:	7. Attitudes to Medication	CBT type: compliance
	week.	illness: CBT group 8.5 years	therapists listening to	Questionnaire	therapy.
		(SD 6.3), control group 10.7	participants' concerns but		
		years (SD 9.6).	declined to discuss treatment.	Unable to use:	
			N=35.	1. Medication compliance (not a	
				peer-reviewed published scale).	
				2. Attitudes to treatment	
				questionnaire (not a peer-	
				reviewed published scale).	

# References of included studies (previous guideline)

# Kemp1996

\*Kemp R, Hayward P, Applewhaite G, Everitt B, David A. (1996) Compliance therapy in psychotic patients: a randomised controlled trial. *British Medical Journal*; 312:345-9.

Kemp R, Kirov G, Everitt B, Hayward P, David A. (1998) Randomised controlled trial of compliance therapy. *British Journal of Psychiatry* 1998;172:413-419.

# Characteristics of included studies (update)

Study ID	GRAY2006
General info	Funding source: Non-industry support
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	Type of analysis: Completer - Although the analysis was ITT, the figures presented in the paper are for completers.
	<b>Type of analysis:</b> ITT with pro-rating used to deal with missing sub-scale data as long as fewer than 20% of items were missing. Imputation was used in a sensitivity analysis but not in the results presented in the paper
	Blindness: Only raters blind
	<b>Duration:</b> Length of follow-up - Study was conducted over 52 weeks, participants could have sessions of therapy for up to 20 weeks of this time.
	Duration: No. weeks of treatment - Up to a maximum of 20 weeks
	Raters: Independent of treatment
	<b>Design:</b> Multi-centre - Range of psychiatric inpatient and community outpatient settings in four study sites: Amsterdam, The Netherlands; Leipzig, Germany; London, England and Verona, Italy.
	<b>Number of people screened, excluded &amp; reasons:</b> 1218 people were referred with 809 being excluded from the study due to the following reasons: Not diagnoses with schizophrenia, not meeting other inclusion criteria, refusal to participate and other reasons.
	<b>Notes about study methods:</b> Randomisation was performed by the independent clinical trials unit, where allocation was carried out by permuted blocks of random size, stratified by centre. The therapist was notified of the participants' allocation but the researcher conducting the assessments remained masked to allocation throughout the trial.
Participants	Diagnosis: Schizophrenia [% of sample] 100%
	Diagnostic tool: ICD-10
	<ul> <li>Inclusion criteria:</li> <li>Confirmed diagnosis of schizophrenia</li> <li>Needing continued medication for at least 1 year</li> <li>Clinical instability in year before baseline defined as &gt;=1 hospital admission, change in dose or type of medication, increased frequency of contact with services and indication of clinical instability reported by relatives, carer or clinical teams.</li> </ul>
	Exclusion criteria: - Moderate to severe mental handicap/ learning disability; organic brain disorders; - Currently treated by forensic services;
	1

- alcohol or drug dependence;

- inability to speak the language of host country to a sufficient standard to receive the intervention or assessment - lacking capacity to give valid consent

Total sample size: No. randomised 409

Total sample size: ITT population 372

Gender: % female 40%

Age: Mean 41

**Ethnicity:** White European - 75%

Setting: Outpatient

Setting: Inpatient

# History:

[Adherence therapy completers / Adherence therapy non-completers / Health education completers / Health education non-completers] Mean psychiatric inpatient days in previous year: 26.9(62.5) / 41.2(77.1) / 24.2(54.8) / 51.2(67.5)

# **Baseline stats:**

[Adherence therapy completers / Adherence therapy non-completers / Health education completers / Health education non-completers] BPRS: 44.3(12.8) / 44.3(12.6) / 45.9(13.2) / 47.0(14.7)

Interventions Intervention - group 1.: Adherence therapy, 8 sessions over a maximum of 5 months, N = 204

Intervention - group 2.: Health Education, 8 sessions over a maximum of 5 months, N = 205

# Notes about the interventions:

Adherence Therapy

An individual cognitive-behavioural approach based on a manual which describes a collaborative, patient centred phased approach to promoting treatment adherence in people with schizophrenia. There are six elements that form the core of the therapy: assessment; medication problem-solving; a medication timeline; exploring ambivalence; discussing beliefs and concerns about medication; and using medication in the future.

# Health Education

Acted as a control condition which was not expected to enhance medication adherence, but which did control for the time spent with the therapist. The didactic intervention consisted of eight individual sessions. The sessions included presentations on health education-related topics such as diet and healthy lifestyle.

Both interventions were provided in addition to TAU.

### Training

Treatment fidelity was assured as follows:

- both treatments were manualised which were translated and back translated into the appropriate languages

- All therapists met for 7 days to receive intensive training,

- Randomly selected therapy sessions were audiotaped and independently rated using the Adherence Therapy Checklist

- Throughout intervention period, therapists attended monthly group telephone clinical supervision, focusing on case presentations, the resolution of clinical problems, and adherence to therapy manuals.

Outcomes Leaving the study early: Leaving due to any reason (non-adherence to study protocol)

Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - BPRS

Non-adherence to study medication: Non-adherence - MAQ; SAI-C

Quality of Life: Average score/change in quality of life - SF-36

Quality 1.1 The study addresses an appropriate and clearly focused question.: Well covered

1.2 The assignment of subjects to treatment groups is randomised.: Well covered

1.3 An adequate concealment method is used.: Well covered

1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed

1.5 The treatment and control groups are similar at the start of the trial.: Well covered

1.6 The only difference between groups is the treatment under investigation.: Adequately addressed

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered

1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Adequately addressed

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Adequately addressed

2.1 How well was the study done to minimise bias?: +

# Study ID

MANEESAKORN2007

General info Funding source: Non-industry support

Published or unpublished data?: Published

MethodType of study: Individual randomised trialType of analysis: ITT - Results were analysed on an ITT basis with missing values being replaced by the patient's last measure or LOCF

	Type of analysis: LOCF
	Blindness: Only raters blind
	Duration: No. weeks of treatment 8 weeks
	Raters: Independent of treatment
	Design: Single-centre - Muang Chiang Mai District, Thailand
	Number of people screened, excluded & reasons: 86 patients were assessed for eligibility, 54 were excluded due to: drug and alcohol dependence (n=24), severe symptoms, (n=11), cannot speak Thai (n=5) and learning disabilities (n=4). 10 patients refused to participate
	Notes about study methods: Patients were assigned via an independent randomisation service
Participants	Diagnosis: Schizophrenia [% of sample] 100%
	Diagnostic tool: Other method case note diagnosis of schizophrenia
	Inclusion criteria: - case note of schizophrenia - aged 20+
	<b>Exclusion criteria:</b> - Primary diagnosis of drug or alcohol dependence - Organic brain disease or moderate or severe learning disabilities.
	Total sample size: No. randomised 32
	Total sample size: ITT population 28
	Gender: % female 28%
	Age: Mean 40.85
	Ethnicity: Thais - 100%
	Setting: Inpatient
	History: [Adherence therapy / TAU] duration of illness, years: 9.64(6.89) / 9.25(6.21) Number of admissions: 8.69(5.75) / 8.63(5.82)
	Baseline stats: [Adherence therapy / TAU] PANSS: 56.81(10.86) / 61.25(15.58) GAF: 56.63(15.61) / 59.00(18.44)

#### Notes about participants:

```
[Adherence therapy / TAU]
Drug use
Abstinent: 14 / 15
Use without impairment: 2 / 1
Alcohol use
Abstinent: 10 / 12
Use without impairment: 3 / 1
Abuse: 1 / 2
Dependence: 2 / 1
Antipsychotic dose (mg/d chlorpromazine equivalent.): 337(43.55) / 344(39.71)
```

### Interventions Intervention - group 1.: Adherence Therapy, 8 weekly sessions; n=16

Intervention - group 2.: TAU; n=16

#### Notes about the interventions:

TAU

standard care including medication treatment, occupational therapy, group counselling and recreational therapy.

#### Adherence therapy

In addition to TAU, participants received 8 one-to-one sessions between 15-60 minutes long. Intervention is a brief cognitive behavioral approach evolved from compliance therapy. The key therapeutic techniques used are exchanging information, developing discrepancy and effectively dealing with resistance. The phases of adherence therapy are engagement, assessment, rating of readiness to take medication, intervention and evaluation working through in a flexible patient-centered way.

#### Outcomes Death: Natural causes

Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - PANSS

**Mental state (e.g. BPRS, PANSS, BDI):** Clinically significant response in mental state - PANSS - change in symptoms of >=25% as a definition of clinically meaningful improvement/deterioration.

#### General and psychosocial functioning (e.g. SFS): Average score/change in general functioning - GAF

Adverse events: Average score/change in specific adverse effects - Liverpool University Neuroleptic Side Effect Rating Scale (LUNSERS)

#### Satisfaction with treatment: Service user satisfaction DAI, SWAM

Other: drug and alcohol abstinence/use/dependency

# Quality 1.1 The study addresses an appropriate and clearly focused question.: Adequately addressed

**1.2 The assignment of subjects to treatment groups is randomised.:** Well covered

1.3 An adequate concealment meth	nod is used.: Well covered
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1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed

1.5 The treatment and control groups are similar at the start of the trial.: Adequately addressed

1.6 The only difference between groups is the treatment under investigation .: Well covered

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Adequately addressed

1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Well covered

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable

2.1 How well was the study done to minimise bias?: +

#### Study ID

	ODONNELL2003
General info	Funding source: Non-industry support
	Funding source: Pharmaceutical industry
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	Type of analysis: Completer
	Blindness: No mention
	Duration: No. weeks of treatment: 5 sessions - does not state time period
	Duration: Length of follow-up: Study period was 1 year in which participants received 5 sessions of therapy.
	Raters: Not stated to be independent of treatment
	Design: Single-centre - Dublin, Ireland
	<b>Number of people screened, excluded &amp; reasons:</b> 94 people approached, 6 were excluded due to IQ < 80 (5) and not fluent in English (1). Of the 88 people eligible for the study 32 declined.
	Notes about study methods: Randomisation done using odd and even digits from a standard table of random numbers.
Participants	Diagnosis: Schizophrenia [% of sample] 100%
	Diagnostic tool: DSM-IV

# Inclusion criteria:

- Meeting DSM-IV criteria for schizophrenia

- Aged 18-65
- Fluent English speakers
- No evidence of organic disturbance

Total sample size: No. randomised 56

**Total sample size:** ITT population 50

Gender: % female 27%

Age: Mean 32

**Ethnicity:** Details not reported

Setting: Inpatient

# **History:**

[Adherence therapy / Non-specific counselling] Mean no. of years of illness: 6(7) / 4(5) Mean no. of bed days in psychiatric hospital in previous 2 years: 77(64) / 83(52) First episode of schizophrenia, n: 5 / 7 Detained under Mental Treatment Act, n: 4 / 5

# **Baseline stats:**

[Adherence therapy / Non-specific counselling] PANSS: 71(22) / 66(17) GAF: 36(14) / 31(12)

# Notes about participants:

[Adherence therapy / Non-specific counselling] Mean neuroleptic dose (in chlorpromazine equivalents): 835(507) / 883(715)

# Interventions Intervention - group 1.: Adherence therapy, 5 sessions; N = 28

**Intervention - group 2.:** Non-specific counselling, 5 sessions; N = 28

# Notes about the interventions:

Adherence therapy

Cognitive behaviour intervention with techniques adapted from motivational interviewing and other cognitive therapies as well as psychoeducation. The intervention used a manual and covered a review of the patient's illness history and understanding of illness and his or her ambivalence to treatment, maintenance medication, and stigma.

	Non-specific counselling Patients used sessions to raise matters relating to medication and discussed them with their treating teams.
Outcomes	Death: Natural causes
	Leaving the study early: Leaving due to any reason (non-adherence to study protocol)
	Global state & service outcomes (e.g. CGI): Average score/change in global state - GAF
	Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - PANSS
	Non-adherence to study medication: Non-adherence; DAI, SAI
	Quality of Life: Average score/change in quality of life - QoL
Quality	1.1 The study addresses an appropriate and clearly focused question.: Adequately addressed
	1.2 The assignment of subjects to treatment groups is randomised.: Adequately addressed
	1.3 An adequate concealment method is used.: Not reported adequately
	1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Not addressed
	1.5 The treatment and control groups are similar at the start of the trial.: Well covered
	1.6 The only difference between groups is the treatment under investigation.: Adequately addressed
	1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered
	1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: $<20\%$
	<b>1.9</b> All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Poorly addressed
	1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable
	2.1 How well was the study done to minimise bias?: +

# Study ID

Study ID	TSANG2005
General info	Funding source: Not mentioned
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	Type of analysis: Completer
	Blindness: Only raters blind
	Duration: Length of follow-up - 6 months

	Duration: No. weeks of treatment: Unclear from paper
	Raters: Independent of treatment
	Design: Single-centre - Hong Kong
	Number of people screened, excluded & reasons: 135 subjects fulfilled the inclusion criteria, 17 subjects refused consent and 40 subjects requested that they be switched to the alternate group contrary to randomisation.
	Notes about study methods: Randomisation procedure not reported
Participants	Diagnosis: Schizophrenia [% of sample] 100%
	Diagnostic tool: ICD-10
	Inclusion criteria: - Male inpatients
	Total sample size: No. randomised - 78
	Total sample size: ITT population 60 - completer sample
	Gender: % female 0%
	Age: Mean 37
	Ethnicity: Not reported
	Setting: Inpatient
	History: [Adherence therapy / control] No. of admissions, n(%): < or = 5 times: 22(77) / 10(53) > 5 times: 6(23) / 9(47) History of mental illness, N(%): <3 years: 7(25) / 3(16) 3-10 years 8(29) / 8(42) >10 years 8(42) / 13(46)
	Baseline stats: [Adherence therapy / Control] BPRS: 43.9(8.72) / 44.84(7.27)
	Notes about participants: 88% of participants were currently taking neuroleptics
Interventions	<b>5 Intervention - group 1.:</b> Adherence therapy, 5 sessions; N = 38
	Intervention - group 2.: TAU; N = 40

# Appendix 22c

#### Notes about the interventions:

Adherence therapy

Consisted of semi-structured cognitive-behavioural activities. The therapist adopted motivational interviewing techniques throughout the programme. Each session was marked by distinctive highlights and the exploration of personal feelings, experiences, and beliefs over the treatment regime were cardinal. The programme consisted of 5 sessions to allow for adequate exploration whilst offsetting the possibility of mild cognitive impairment.

Control All participants received treatment as usual.

 Outcomes
 Leaving the study early: Leaving due to any reason (non-adherence to study protocol)

 Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - BPRS

 Non-adherence to study medication: Non-adherence DAI; self reported drug compliance scale, compliance with follow up appointments

Quality 1.1 The study addresses an appropriate and clearly focused question.: Adequately addressed

**1.2 The assignment of subjects to treatment groups is randomised.:** Not reported adequately

1.3 An adequate concealment method is used.: Not addressed

1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed

1.5 The treatment and control groups are similar at the start of the trial.: Well covered

1.6 The only difference between groups is the treatment under investigation.: Adequately addressed

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Adequately addressed

**1.8** What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: 20-50%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Poorly addressed

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable

2.1 How well was the study done to minimise bias?: +

# References of included studies (update)

### **GRAY2006**

Gray, R.; Leese, M.; Bindman, J.; Becker, T.; Burti, L.; David, A.; Gournay, K.; Kikkert, M.; Koeter, M.; Puschner, B.; Schene, A.; Thornicroft, G.; Tansella, M. (2006) Adherence therapy for people with schizophrenia: European multicentre randomised controlled trial. *British Journal of Psychiatry*. 189: 508-14

#### MANEESAKORN2007

Maneesakorn, S.; Robson, D.; Gournay, K.; Gray, R. (2007) An RCT of adherence therapy for people with schizophrenia in Chiang Mai, Thailand. *Journal of Clinical Nursing*. 16(7): 1302 - 1312.

#### ODONNELL2003

O'Donnell,C.; Donohoe,G.; Sharkey,L.; Owens,N.; Migone,M.; Harries,R.; Kinsella,A.; Larkin,C.; O'Callaghan,E. (2003) Compliance therapy: a randomised controlled trial in schizophrenia. *British Medical Journal*; 327(7419): 834.

#### TSANG2005

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Tsang,H.W.; Wong,T.K.S. (2005) The effects of a compliance therapy programme on Chinese male patients with schizophrenia. *Asian Journal of Nursing Studies* 8(2): 47 - 61.

### Characteristics of excluded studies (update)

#### FRANGOU2005

Reason for exclusion: Does not meet definition for adherence therapy

#### **GRAY2004**

**Reason for exclusion:** Only nurses were randomised into the intervention and not the patients. Nurses were able to select cases for the intervention. The intervention was aimed at improving adherence but was targeted at the nursing staff and not an intervention targeted at the patients.

#### ODONNELL2002

Reason for exclusion: Conference abstract

#### References of excluded studies (update)

Frangou, S.; Sachpazidis, I.; Stassinakis, A.; Sakas, G. (2005) Telemonitoring of medication adherence in patients with schizophrenia. *Telemedicine Journal* and *E-Health* 11(6): 675 - 683.

Gray, R.; Wykes, T.; Edmonds, M.; Leese, M.; Gournay, K. (2004) Effect of a medication management training package for nurses on clinical outcomes for patients with schizophrenia: cluster randomised controlled trial. *British Journal of Psychiatry* 185: 157 - 162.

O'Donnell,C.; Sharkey,L.; O'Donohue,G.; Owens,N.; Migone,M.; Harris,R.; Kinsella,T.; Tobin,A.; O'Callaghan,E. (2002) Influence of compliance therapy and carer education on the outcome of schizophrenia. *Schizophrenia Research* 53 (3 Suppl.1): 253.

# Arts therapies

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# Characteristics of included studies (update)

Study ID	GREEN1987
General info	Funding source: Not mentioned
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	Type of analysis: Completer
	<b>Blindness:</b> Only raters blind -The patients' therapist completed the rating scales. they were not informed of the patients group allocation (unless the patient specifically informed them)
	Duration: No. weeks of treatment - 20
	Raters: Not stated to be independent of treatment
	Design: Single-centre - Central Psychiatric clinic, Cincinnati, US
	Number of people screened, excluded & reasons: Not reported
	Notes about study methods: Randomisation procedure not reported
Participants	Diagnosis: Schizophrenia [% of sample] 50%
	<b>Diagnosis:</b> Other [%] 21% - major affective disorder or psychotic diagnosis 18% - neurotic diagnosis
	Diagnostic tool: Other method - Not reported
	Inclusion criteria: Attended the medical support service a minimum of once every 4 weeks.
	Total sample size: No. randomised 47
	Gender: % female 64%
	Age: Mean 40
	Ethnicity: Not reported
	Setting: Outpatient
	History: Not reported
	Baseline stats: Not reported

	<b>Notes about participants:</b> On average the 28 completers had had 3 psychiatric hospitalisations and had been receiving aftercare services in the outpatient setting for several years
Interventions	<b>Intervention - group 1.:</b> Art therapy, 10 fortnightly 1.5 sessions, n=24
	Intervention - group 2.: TAU; n=23
	Notes about the interventions: Art therapy Art therapy was conducted in two groups of 12. During the sessions participants were given art material to use in one of a variety of session projects with carefully predetermined gaols. Conversation and interaction were encouraged. Self-expression, rather than drawing out and identifying conflict, was encouraged in a supportive atmosphere with the goal of mastery and resolution. Other objectives were to promote overall group cohesion, increase tolerance of disclosing emotionally significant material and encourage group interaction, support and positive feedback.
Outcomes	<b>Leaving the study early:</b> Leaving due to any reason (non-adherence to study protocol) >50% left study so leaving study early only outcome to be extracted
Quality	1.1 The study addresses an appropriate and clearly focused question.: Adequately addressed
	1.2 The assignment of subjects to treatment groups is randomised.: Not reported adequately
	1.3 An adequate concealment method is used.: Not addressed
	1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed
	1.5 The treatment and control groups are similar at the start of the trial.: Adequately addressed
	1.6 The only difference between groups is the treatment under investigation.: Adequately addressed
	1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Adequately addressed
	1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: $>50\%$
	<b>1.9</b> All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Poorly addressed
	1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable
	2.1 How well was the study done to minimise bias?: +
Study ID	NITSUN1974

General infoFunding source: Non-industry supportPublished or unpublished data?: Published

Method	Type of study: Individual randomised trial
	Type of analysis: Completer
	Blindness: Open
	Duration: No. weeks of treatment 22
	Raters: Not stated to be independent of treatment
	Design: Single-centre - Not reported
	Design: Multi-centre - Not reported
	Number of people screened, excluded & reasons: Not reported
	Notes about study methods: Patients were matched in two groups according to age, intelligence and length of hospitalisation. The groups were then randomly assigned. No further details reported
Participants	Diagnosis: Schizophrenia [% of sample] 100%
	Diagnostic tool: Other method - Not reported
	<ul> <li>Inclusion criteria:</li> <li>Aged 25-46</li> <li>Hospitalised for &gt;=2years</li> <li>Diagnosis of schizophrenia with no organic disorder and manifesting some of the symptoms of flatness of affect, thought disorder, emotional incongruity, social withdrawal, body image disturbance, poverty and incoherence of speech and impaired psychomotor functioning</li> <li>intelligence not subnormal.</li> </ul>
	Total sample size: No. randomised 24
	Gender: % female 41%
	Age: Mean 38
	Ethnicity: Not reported
	Setting: Inpatient
	History: [Experimental / control] Length of hospitalisation, years: 12.08 / 13.66
	Baseline stats: [Movement and drama group / group psychotherapy] Global rating of illness: 4.0 / 3.40

Study characteristics tables: Arts therapies

**Exclusion** Reason for exclusion: No usable data status

Study ID RICHARDSON2007 General info Funding source: Not mentioned Published or unpublished data?: Published Type of study: Individual randomised trial Method Type of analysis: Completer Blindness: Only raters blind. The rater had no involvement in therapy groups and was not aware of the arm to which the participant had been allocated. However the authors not that since assessments involved interviewing participants it is highly unlikely that the rater would be completely blind to group allocation. Duration: No. weeks of treatment 12 Duration: Length of follow-up 6 months Raters: Independent of treatment **Design:** Multi-centre - Participants were in contact with a number of CMHTs in an inner city mental health NHS trust. Number of people screened, excluded & reasons: 452 patients were identified by the CMHTs as potential recruits. 90 participants were randomised to treatment (of the original 453, 206 patients refused to consent, 101 DNA'd twice, 49 were excluded, 1 lost to follow-up, 2 died) Notes about study methods: Randomisation was conducted using the minimisation procedure to limit variation between the treatment arms on: CPA level, chronicity, gender and ethnicity. **Diagnosis:** Schizophrenia [% of sample] Participants Diagnostic tool: Other method not stated Inclusion criteria: - diagnosis of chronic schizophrenia - duration of illness > 2 years. **Exclusion criteria**: - organic illnesses - prior referral to arts therapy in the previous 2 years - currently receiving another formal psychological treatment - currently admitted to inpatient care Total sample size: ITT population - 74 participants were interviewed within 2 weeks of the completion of therapy with 40 being followed up

	at 6 months
	Total sample size: No. randomised 90
	Gender: % female 35%
	Age: Mean 41
	Ethnicity: Details not reported, only that there was no difference between the two groups in terms of ethnicity
	Setting: Outpatient
	History: [experimental / control] chronicity, years: 13.4 / 12.6]
	Baseline stats:
	[TAU / Arts therapy] BPRS: 16.0(9.6) / 15.1(7.8)
Interventions	<b>Intervention - group 1.:</b> Arts therapy, 12 weekly 1.5 hour sessions; n=43
	Intervention - group 2.: TAU; n=47
	Notes about the interventions: TAU
	Standard psychiatric care was the regular contact with the CMHT CPN, regular medication review and CPA review meetings. Patients had access to a variety of psychiatric day treatment facilities which varied according to the local sector facilities and arrangements.
	Arts therapy
	In addition to SPC participants received 12 weekly group sessions of art therapy as conducted according to the guidelines. Through the availability and use of art material and associated imagery the therapist promotes a climate in which the participant can learn about and understand those patterns of behaviour which are causing distress. Here the specific presence of the image as a crucial part of art therapy can triangulate and temper problematic feeling of the patient toward the therapist.
Outcomes	Leaving the study early: Leaving due to any reason (non-adherence to study protocol)
	Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - BPRS; SANS; BSI
	General and psychosocial functioning (e.g. SFS): Average score/change in general functioning - SFS; IIP
	Quality of Life: Average score/change in quality of life HONOS; Per QoL
Quality	1.1 The study addresses an appropriate and clearly focused question.: Adequately addressed
	1.2 The assignment of subjects to treatment groups is randomised.: Adequately addressed
	1.3 An adequate concealment method is used.: Not addressed

**1.4 Subjects and investigators are kept 'blind' about treatment allocation.:** Poorly addressed

1.5 The treatment and control groups are similar at the start of the trial.: Well covered

**1.6 The only difference between groups is the treatment under investigation.:** Adequately addressed

1.7 All relevant outcomes are measured in a standard, valid and reliable way .: Well covered

**1.8** What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: 20-50%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis): Poorly addressed

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not addressed

2.1 How well was the study done to minimise bias?: +

#### Study ID

2	ROHRICHT2006
General info	Funding source: Non-industry support
	Funding source: Pharmaceutical industry
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	<b>Type of analysis:</b> ITT - Participants were included in the analysis if they provided a post-therapy assessment regardless of their participation in the interventions.
	<b>Blindness:</b> Only raters blind. All screening, baseline and outcomes assessments were made by an experienced psychiatrist blind to treatment allocation. Patients were requested not to reveal any details of the treatment during post-therapy and follow-up assessments in an attempt to maintain rater blinding.
	Duration: No. weeks of treatment - 10
	Duration: Length of follow-up - 4 months
	Raters: Independent of treatment
	Design: Single-centre East London, UK

**Number of people screened, excluded & reasons:** 67 participants were referred for possible inclusion, 22 were excluded due to: not meeting the inclusion criteria (n=22) and withdrawal from the assessment (10). In total 45 were randomised

**Notes about study methods:** Eligible patients were randomly allocated to one of the treatment conditions following the opening of a sealed envelope by the project co-ordinator, who had no involvement in data collection or assessments.

Participants **Diagnosis:** Schizophrenia [% of sample] 100% Diagnostic tool: DSM-IV Inclusion criteria: -age 20–55 years - an established diagnosis of schizophrenia according to DSM-IV, with >=2 acute psychotic symptoms; -currently an outpatient with time since last inpatient treatment >than 1 month; -suffering from persistent symptoms of schizophrenia for >=6 months with a high degree of negative symptoms at baseline, i.e. PANSS negative score >=20 and/or one of the Anergia items ('emotional withdrawal', 'motor retardation' or 'blunted affect') >=6 -stable medication prior to entering the study. Exclusion criteria: -evidence of organic brain disease -severe or chronic physical illness -substance misuse as primary diagnosis. **Total sample size:** No. randomised 45 Total sample size: ITT population 42 Gender: % female 50% Age: Mean 38 Ethnicity: Not reported Setting: Outpatient History: [Body-orientated psychological therapy (BPT) / Supportive counselling (SC)] Duration of illness, years: 12.1(10.5) / 10.8(7.3) No. of previous hospitalisations: 3.7(2.8) / 4.4(3.8) **Baseline stats:** [BPT / SC] PANSS total: 79.0(13.9) / 76.3(21.1) Notes about participants: [BPT / SC] Chlorpromazine equivalent: 497.9(289.1) / 440.5(324.8) Interventions Intervention - group 1.: BPT, 20 sessions of 60-90 minutes over 120 weeks; n=24 Intervention - group 2.: SC, 20 sessions of 60-90 minutes over 120 weeks; n=21 Notes about the interventions: BPT The treatment manual used in the intervention was defined by the first author and aimed to integrate different techniques into a clinically focused and syndrome specific method. The protocol manual was designed to achieve the following aims: 1) overcome communication barriers through introduction of non-verbal techniques 2) refocus cognitive and emotional awareness towards the body

3) stimulate activity and emotional responsiveness

4) promote exploration of self-potentials focusing on body strength and capability, experiencing the body as a source of creativity, reliability, pleasure and self-expression

5) modify dysfunctional self-perceptions

6) to address common psychopathological features.

# SC

The therapist focused on individual differences and corresponding problem-solving strategies regarding the core negative symptoms.

### Training

A part-time dance movement therapist conducted BPT. Two nurse therapists, also with previous training and experience in providing psychological therapies for schizophrenia

patients, delivered SC. All therapists had many years' experience of working with patients with schizophrenia and attended specific training sessions before the trial. Each received three supervision sessions to ensure adherence to the given treatment manual (on the basis of written records of each session).

### TAU

Both BPT and SC were in additional to TAU provided by community psychiatrists. Treatment plans were not substantially altered during the trial period. In both treatment conditions, group size was limited to a maximum of 8

Outcomes Leaving the study early: Leaving due to any reason (non-adherence to study protocol)

Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state PANSS - primary outcome focused on the negative PANSS subscale

Mental state (e.g. BPRS, PANSS, BDI): Clinically significant response in mental state no. with symptom reduction >=20%

Adverse events: Average score/change in specific adverse effects - SAS

Satisfaction with treatment: Service user satisfaction - Client's Assessment of Treatment Scale; Helping Alliance Scale

**Quality of Life:** Average score/change in quality of life - Manchester Short Assessment of Quality of Life (MANSA)

Other: Medication change, number of treatment sessions attended

Quality 1.1 The study addresses an appropriate and clearly focused question.: Well covered

1.2 The assignment of subjects to treatment groups is randomised.: Adequately addressed

1.3 An adequate concealment method is used.: Well covered

**1.4 Subjects and investigators are kept 'blind' about treatment allocation.:** Adequately addressed - special attention was paid to ensuring the blindness of the rater.

**1.5 The treatment and control groups are similar at the start of the trial.:** Well covered

1.6 The only difference between groups is the treatment under investigation.: Adequately addressed

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered

1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Adequately addressed

**1.10 Where the study is carried out at more than one site, results are comparable for all sites.:** Not applicable

2.1 How well was the study done to minimise bias?: +

#### Study ID

Study ID	TALWAR2006
General info	Funding source: None declared
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	Type of analysis: ITT - Multiple imputation was used to account for the missing data in outcome measures at follow-up
	Blindness: Only raters blind. Therapists and patients were instructed not to talk to the researcher about which arm of the trial they were in.
	Duration: No. weeks of treatment - 12
	Raters: Independent of treatment
	Design: Multi-centre - four hospitals in central and inner London, UK
	<b>Number of people screened, excluded &amp; reasons:</b> 123 participants were assessed for suitability, of these 10 were excluded for the following reasons: unable to leave ward (6), unable to communicate in English (2) and already receiving music therapy (2). Of the 113 that met inclusion criteria 31 declined to participate in the study and 1 participant was considered unsuitable for therapy leaving a total of 81 randomised participants.
	<b>Notes about study methods:</b> Participants were allocated to groups by block randomisation stratified for hospital sites, using randomisation lists from a computer program. Randomisation was conducted by a person independent of the researcher.
Participants	Diagnosis: Schizophrenia [% of sample] 79%
	Diagnosis: Other [%] 21%
	Diagnostic tool: ICD-10 diagnosis of schizophrenia or schizophrenia-like psychoses
	<b>Inclusion criteria:</b> - inpatients with a primary diagnosis of schizophrenia or schizophrenia-like psychoses - aged 18+

Exclusion criteria: -secondary diagnosis of organic psychoses or dementia
-spoke insufficient English to complete the baseline interview without the help of an interpreter
Total sample size: No. randomised 81
Total sample size: ITT population 66
Gender: % female 27%
Age: Mean 37
Ethnicity: White British: 29%
Setting: Inpatient
Baseline stats:
[Music therapy / control]
PANSS total: 73.1(13.4) / 70.8(12.8)
GAF: 54.2(11.4) / 55.7(9.8)
Notes about participants:
[Music therapy / control]

[Music therapy / control] Medication (CPZ equiv): 417.8(340.8) / 478.5(396.5) Mental health act 1983 status compulsory\*, n(%): 18(54.5) / 29(58.3)

\*Patients being treated on a compulsory basis or lacking capacity were also included providing assent and those involved in their care were happy for them to participate.

Interventions Intervention - group 1.: Music therapy, 12 weekly sessions; n=33

Intervention - group 2.: TAU; n=48

Notes about the interventions:

TAU

All participants received routine standard care including nursing care and access to a range of occupational, social and other activities as part of the inpatient programme. Those randomised to TAU were placed on a waiting list and offered music therapy as the end of the trial

Music therapy

In addition to TAU, participants received up to 12 individual sessions of music therapy. During sessions participants were given access to a range of musical instruments and encouraged to use these to express themselves. The focus on therapy was co-creating improvised music, with talking used to guide, interpret or enhance the music experience.

All participants involved in the trial were excluded from music and other arts therapies (art, dance and movement and drama therapy) during the trial.

Therapist training

III RICH2007

Five music therapist took part in the trial. All had trained on courses approved by the HPC and received fortnightly supervision from a senior music therapist throughout the study period. A random sample of the session recordings was examined at the end of the trial in order to assess treatment fidelity.

OutcomesLeaving the study early: Leaving due to any reason (non-adherence to study protocol)Global state & service outcomes (e.g. CGI): Average score/change in global state - GAFMental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - PANSSSatisfaction with treatment: Service user satisfaction - Client satisfaction questionnaire

Quality 1.1 The study addresses an appropriate and clearly focused question.: Well covered

**1.2 The assignment of subjects to treatment groups is randomised.:** Well covered

1.3 An adequate concealment method is used.: Well covered

1.4 Subjects and investigators are kept 'blind' about treatment allocation .: Adequately addressed

1.5 The treatment and control groups are similar at the start of the trial.: Well covered

**1.6 The only difference between groups is the treatment under investigation.:** Adequately addressed

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered

1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Well covered

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not addressed

2.1 How well was the study done to minimise bias?: +

# Study ID

	ULKICH2007
General info	Funding source: Not mentioned
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	Type of analysis: ITT - Unclear from description
	<b>Blindness:</b> Only raters blind - Raters were blind to the aim of the study but it is unclear whether they were blind to the allocation of participants.

	Duration: No. weeks of treatment - 5 (conducted over a period of 8 months for the whole study)
	Raters: Not stated to be independent of treatment
	Design: Single-centre - Rhenish Clinic, Germany
	Number of people screened, excluded & reasons: After participants were assigned, they were asked whether they were willing to take part in the study. 10 patients refused to take part, leaving 37 participants.
	Notes about study methods: Participants were randomly assigned to either the control or experimental group by throwing a die.
Participants	Diagnosis: Schizophrenia [% of sample] 73%
	<b>Diagnosis:</b> Other schizophrenia related [%] Schizoaffective psychosis - 11% Schizotypal disorder - 3%
	<b>Diagnosis:</b> Other [%] Drug-induced psychosis - 8% Depression with psychotic symptoms - 5%
	Diagnostic tool: ICD-10 code F20-29
	Inclusion criteria: ICD-10 code F20-F29 diagnosis
	Exclusion criteria: - acute psychotic symptoms - not being able to mingle in a group.
	Total sample size: No. randomised 47
	Total sample size: ITT population 37 agreed to participate in their randomised interventions.
	Gender: % female 45%
	Age: Mean 38
	Ethnicity: not reported
	Setting: Inpatient
	History: [Experimental / control] Time between admission and pretest (weeks): 2.75(2.22) / 3.13(6.68)
	Baseline stats: [Experimental / control] SANS total: 1.09(0.66) / 0.7(0.59)
	Notes about participants: [Experimental / control] Chlorpromazine equivalent: 539.75 / 338.14(258.69)

Interventions	<b>Intervention - group 1.:</b> Music therapy, average 7.5 sessions; n=26
	Intervention - group 2.: TAU; n=21
	Notes about the interventions:
	The music therapy group was provided in addition to TAU.
	TAU
	Most participants were involved in another activity during the time the experimental group underwent music therapy.
	Music therapy Each session lasted 45 minutes, during which the main activity was playing together on rhythm instruments, where the sound stops as soon as the player stops playing. This was used to imply that the player is responsible for their actions. Besides playing music, there were group discussions used for reflection. In the sessions, orthopedagogical techniques and a supportive way of working were used. An important issue during the sessions was stimulating social interaction and learning to deal with problems in a social setting.
Outcomes	Leaving the study early: Leaving due to any reason (non-adherence to study protocol)
	Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - SANS
	General and psychosocial functioning (e.g. SFS): Average score/change in general functioning - GieBentest - (GTS and GTFm)
	Quality of Life: Average score/change in quality of life - SPG
Quality	1.1 The study addresses an appropriate and clearly focused question.: Adequately addressed
	1.2 The assignment of subjects to treatment groups is randomised.: Poorly addressed - Randomisation - throwing a die
	1.3 An adequate concealment method is used.: Poorly addressed
	1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed
	1.5 The treatment and control groups are similar at the start of the trial.: Adequately addressed
	1.6 The only difference between groups is the treatment under investigation.: Adequately addressed
	1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered
	1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: 20-50%
	<b>1.9 All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis).</b> : Poorly addressed
	1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable
	2.1 How well was the study done to minimise bias?: +

# Study characteristics tables: Arts therapies

Study ID	YANG1998
General info	Funding source: Not mentioned
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	Type of analysis: Completer
	Blindness: No mention
	Duration: No. weeks of treatment - 12
	Raters: Not stated to be independent of treatment
	Design: Multi-centre study was conducted in China, paper does not report number of centres
	Design: Single-centre study was conducted in China, paper does not report number of centres
	Number of people screened, excluded & reasons: No details reported
	Notes about study methods: Randomisation procedure not reported
Participants	Diagnosis: Schizophrenia [% of sample] 100%
	Diagnostic tool: Other method - CCMD-2
	<ul> <li>Inclusion criteria:</li> <li>Chronic in-patients who met CCMD-2 criteria for schizophrenia</li> <li>demonstrated social disability with the following characteristics: duration of illness &gt;2 years, prescribed antipsychotic drugs in sufficient dose during past 6 months but symptoms had not fully remitted</li> <li>free from any physical disease</li> </ul>
	Total sample size: No. randomised 72 of which 70 completed and are used in the analysis
	Gender: % female 42%
	Age: Mean 38.5
	Ethnicity: Not reported
	Setting: Inpatient
	History: [Experimental group / Control] Duration of illness, years: 12.78(6.40) / 13.06(7.50)
	Baseline stats: [Experimental group / control] SANS: 68.15(17.68) / 57.50(17.78)

	BPRS: 40.98(8.45) / 40.10(8.69)
Interventions	Intervention - group 1.: Music Therapy , 6 2-hour sessions per week for 12 weeks; n=41
	Intervention - group 2.: Control (TAU); n=31
	Notes about the interventions:
	Music therapy
	Involved a combination of small group (containing 10-15 participants) and individual therapy. Discussion sessions were conducted after listening to music or after musical improvisation performance. The main emphasis was on participation, social communication and emotional
	expressions. Music therapy was given in addition to neuroleptic medication.
	Control
	Neuroleptic medication alone.
	Training
	Two therapists who were musicians were responsible for teaching the patients singing and musical knowledge.
Outcomes	Leaving the study early: Leaving due to any reason (non-adherence to study protocol)
	<b>Global state &amp; service outcomes (e.g. CGI):</b> Clinically significant response in global state no. categorised as in remission (90% reduction in symptoms), marked improvement (60% reduction), somewhat improved (30% reduction) and no response (<30% reduction)
	Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - SANS; BPRS; PSE
	General and psychosocial functioning (e.g. SFS): Average score/change in general functioning- SDSI (Social Disability Schedule for In- patient)
Quality	1.1 The study addresses an appropriate and clearly focused question.: Adequately addressed
	1.2 The assignment of subjects to treatment groups is randomised.: Not reported adequately
	1.3 An adequate concealment method is used.: Not addressed
	1.4 Subjects and investigators are kept 'blind' about treatment allocation .: Not reported adequately
	1.5 The treatment and control groups are similar at the start of the trial.: Adequately addressed
	1.6 The only difference between groups is the treatment under investigation.: Adequately addressed
	1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Adequately addressed
	1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: $<20\%$
	<b>1.9 All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis).</b> : Poorly addressed
	1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable

#### 2.1 How well was the study done to minimise bias?: +

#### **References of included studies (update)**

#### GREEN1987

Green, B.L; Wehling, C; Talsky GJ. (1987) Group art therapy as an adjunct to treatment for chronic outpatients. *Hospital and Community Psychiatry*. 38(9): 988 - 991.

#### NITSUN1974

Nitsun, M.; Stapleton, J.H.; Bender, M.P. (1974) Movement and drama therapy with long-stay schizophrenics. *British Journal of Medical Psychology*. 47(2): 11 - 119.

#### RICHARDSON2007

Richardson, P.; Jones, K.; Evans, C.; Stevens, P.; Rowe, A. (2007) Exploratory RCT of art therapy as an adjunctive treatment in schizophrenia. *Journal of Mental Health*. 16(4): 483-491.

#### ROHRICHT2006

Rohricht, F., Priebe, S. (2006) Effect of body-oriented psychological therapy on negative symptoms in schizophrenia: a randomised controlled trial. *Psychological Medicine* 36: 669-678.

#### TALWAR2006

Talwar, N.; Crawford, M.J.; Maratos, A.; Nur, U.; McDermott, O.; Procter, S. (2006) Music therapy for in-patients with schizophrenia: exploratory randomised controlled trial. *British Journal of Psychiatry*. 189: 405 - 409.

#### ULRICH2007

Ulrich,G.; Houtmans,T.; Gold,C. (2007) The additional therapeutic effect of group music therapy for schizophrenic patients: A randomized study. *Acta Psychiatrica Scandinavica*. 116(5): 362-370.

#### **YANG1998**

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Yang,W.Y.; Zheng,L.; Yong-Zhen,W.; Zhang,H.Y.; Bio,M. (1998) Psychosocial rehabilitation effects of music therapy in chronic schizophrenia. Hong Kong Journal of Psychiatry. 8(1): 38-40.

#### Characteristics of excluded studies (update)

#### **APTER1978**

**Reason for exclusion:** Participants <18 years

#### CASSITY1976

**Reason for exclusion:** - N <10 - Does not meet definition: participants were involved in a group guitar lesson

#### COELHO2007

**Reason for exclusion:** Not an RCT

#### DURAISWAMY2007

Reason for exclusion: - Intervention does not meet definition for art therapy

## GLICKSOHN2000

**Reason for exclusion:** - N<10 in each arm - Does not fit definition: compared two types of music only.

#### GRAINGER1992

Reason for exclusion: Not an RCT

#### HAYASHI2002

**Reason for exclusion:** Not randomised - allocation based on ward (within each ward participants were selected for participation) intervention does not fit criteria - no focus on self-expression only improving enjoyment of music.

#### KRAJEWSKI1993

Reason for exclusion: Conference abstract

#### **MENG2005**

Reason for exclusion: Paper not in English

## ODELLMILLER2006

**Reason for exclusion:** - Less than 50% diagnosed with schizophrenia - 4 different types of arts therapy used (participants could be allocated to 4 different types depending on therapist opinion)

#### QU2000

**Reason for exclusion:** Paper not in English

#### RABINER1967

**Reason for exclusion:** - Not an RCT - no control comparison

#### SCHMID2007

Reason for exclusion: Not an RCT

#### SPENCER1983

Reason for exclusion: Not randomised

#### **TANG1994**

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**Reason for exclusion:** - Does not meet definition for art therapy

#### TSELIKAS1997

Reason for exclusion: Not an RCT

## WHETSTONE1986

Reason for exclusion: does not meet intervention definition

#### **ZHOU2002**

**Reason for exclusion:** Paper not in English

#### References of excluded studies (update)

Apter, A., Sharir, I., Tyano, S. & Wijsenbeek, H. (1978) Movement therapy with psychotic adolescents. British Journal of Medical Psychology. 51: 155-159.

Cassity, M.D. (1976) The influence of a music therapy activity upon peer acceptance, group cohesiveness, and interpersonal relationships of adult psychiatric patients. *Journal of Music Therapy* 13(2): 66 - 76.

Coelho,H.F.; Crawford,M. (2007) A randomised clinical trial of music therapy should be feasible for acute inpatients with schizophrenia or schizophrenia-like illness: Commentary. *Focus on Alternative and Complementary Therapies*. 12(2).

Duraiswamy,G.; Thirthalli,J.; Nagendra,H.R.; Gangadhar,B.N. (2007) Yoga therapy as an add-on treatment in the management of patients with schizophrenia--a randomized controlled trial. *Acta Psychiatrica Scandinavica*. 116(3): 226 - 232.

Glicksohn, J.; Cohen, Y. (2000) Can music alleviate cognitive dysfunction in schizophrenia? Psychopathology. 33(1): 43 - 47.

Grainger, R. 1992 Dramatherapy and thought disorder. In: S. Jennings (ed) (2007) Dramatherapy: Therapy and Practice. London: Routledge,

Hayashi,N.; Tanabe,Y.; Nakagawa,S.; Noguchi,M.; Iwata,C.; Koubuchi,Y.; Watanabe,M.; Okui,M.; Takagi,K.; Sugita,K.; Horiuchi,K.; Sasaki,A.; Koike,I. (2002) Effects of group musical therapy on inpatients with chronic psychoses: a controlled study. *Psychiatry and Clinical Neurosciences*. 56(2): 187 - 193.

Krajewski, C.; Classen, W.; Boesken, S. (1993) Comparison of art and cognitive therapy (IPT) with simultaneous cognitive and art therapy for schizophrenic patients regarding the change of cognitive processes. *Pharmacopsychiatry* 26: 171.

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# Cognitive behavioural therapy

Previous guideline review	1. Review type	Interventions	Reported outcomes
5	2. Funding		-
	3. Period covered		
	4. Data analysis		
	5. No. of studies		
	6. No. participants randomised		
Pilling S, Bebbington P,	1. Systematic review of RCTs.	1. CBT: to meet the criteria for CBT,	1. Death by suicide.
Kuipers E, Garety P,	2. Intramural sources of support to the review:	interventions had to have a component which	2. Leaving the study
Geddes J, Orbach G,	University College London. Extramural	involved recipients establishing links between	early.
Morgan C. (2002)	sources of support to the review: Department	their thoughts, feelings or actions with respect	3. Relapse/
	of Health, UK.	to the target symptoms; and the correction of	readmission.
Psychological treatments in	3. Database origin to 1999.	their misperceptions, irrational beliefs or	4. Mental state: I. No
schizophrenia I: meta-	4. Meta-analysis of Odds Ratio and standardised	reasoning biases related to those symptoms. At	important
analysis of family	mean difference.	least one of the following was also required:	improvement
intervention and cognitive	5. 8 (13 after removing one trial and adding six	self-monitoring of the treated person's	5. Mental state: II.
behaviour therapy.	new studies).	thoughts, feelings or behaviours with respect	Continuous
	6. 393 (1297 after including new trials).	to the target symptoms; and the promotion of	measures.
Psychological Medicine, 32,		alternative ways of coping with the target	6. Global assessment of
783-791.		symptoms.	function.
		2. Standard care.	7. Quality of life.
		3. Other active treatments.	8. Social functioning
			9. Psychological well-
			being.
			10. Occupational status.
Update	Existing studies reclassified: 1 RCT (Kemp1996) wa	as reclassified as adherence therapy.	Notes:
-	Existing studies excluded: 3 RCTs (Garety1994; Lev		Definition updated
	Follow-up to existing studies: 6 papers: Sensky 200		*
	2002 (2 papers).		
	New studies: 22 RCTs.		

	Methods	Participants	Interventions	Outcomes	Notes
Study	<u> </u>				<u> </u>
	Allocation: random	Outpatients.	1. CBT: coping skills treatment	1. Leaving the study early.	Therapists: each group was
Bradshaw1996	(no further details).	Diagnosis: schizophrenia	model, including physiological	2. Relapse.	led by 2 therapists with
	Duration: 24 weeks.	(DSM III-R).	arousal management, time	3. Global assessment of	masters degrees and an
	Frequency: weekly 90	N=16.	management, cognitive restructuring,	function: attainment of	average of 10 years of
	minute sessions.	Age: CBT mean 31(SD	social skills training. N=8.	treatment goals (Goal	experience in the mental
		12), control mean 29 (SD	2. Problem solving group: orienting	Attainment Scaling).	health field.
		10). Sex: 6 M 8 F. History:	to the problem, generating and	_	Training: each pair of
		mean duration of illness -	evaluating alternative solutions using		therapists received 20 hours
		CBT 11 years (SD 8),	brainstorming techniques, choosing		of training in their
		control 10 years (SD 7).	and implementing a solution and		respective treatment
			assessing the result. N=8.		methods.
					Supervision: each therapy
					pair met separately weekly
					in group supervision to
					review procedures used in
					the group. Ongoing
					observation of the groups
					was done to ensure
					implementation of the
					treatment methods.
					CBT type: coping skills.

Characteristics of included studies (previous guideline)

	Allocation:	Outpatients in day	1. CBT + Day Treatment Programme	1. Leaving the study early.	Therapists: CBT -
Bradshaw2000	consecutively	treatment programme.		2. Mental state: Global	administered by two
	5		used in Bradshaw1996. Treatment	Pathology Index (GPI).	experienced social workers
		(DSM-IV). N=24. Age:		3. Mental state: improved	trained in the CBT model.
		· · · · · · · · · · · · · · · · · · ·			DTP - three masters level
		not given, but in range	varied among clients, and some		
		18-60. Sex of study		0	social workers, three
	out by two	completers: 6 M 9 F.	Engagement and Education (~months		psychiatric nurses, an
		History of completers:		5. Social functioning: living	occupational therapist and
				independently.	consulting psychiatrist.
	treatment condition."	11 years (SD 6).	rationale of treatment, and to educate		"Clients also seen monthly
	Duration: 3 years.			returned to education.	for medication monitoring
	Frequency: weekly 90		process of CBT. Phase II = Behavioral		by their psychiatrists and
	minute sessions.		Treatment (~months 6-20). Involved	employed part-time.	monthly by their county
			identifying stresses and teaching		case managers to monitor
			behavioral skills (for example,	Unable to use:	treatment needs and
			meditation, exercise) to deal with	1. Readmission (no usable	progress." Supervision: CBT
			them. Phase III = Cognitive	data).	therapists received weekly
			Treatment (~months 20-36). Utilised		supervision by the
			cognitive strategies to understand		programme director.
			and cope with habitual stressful		Fidelity to CBT model also
			situations. Three techniques used:		monitored by review of
			thought stopping, cognitive		case materials and periodic
			restructuring and positive self-		review of audiotapes of
			appraisal training.		sessions.
			DTP - based on psychiatric		CBT type: coping skills.
			rehabilitation model. Clients		
			participated 3 days/week for 6		
			hours/day. Programme consisted of		
			social skills training, independent		
			living skills groups, goal groups,		
			occupational and recreational		
1			therapy, prevocational employment		
			training and medication		
			management.		
			2. DTP only.		
			J J	1	1

	Allocation: random	Inpatients.	1. CBT: individual - challenging and	1. Leaving the study early.	Therapists and supervision:
Drury1996	allocation using	Diagnosis: schizophrenia,	testing key beliefs. Group cognitive	2. Mental state: improved,	not clear.
	stratified sampling	schizoaffective, or	therapy - coping strategy	based on personal recovery	CBT type: meaning.
	technique. Blinding:	delusional disorder	enhancement and standard care. N =	from positive symptoms	
	all service users rated	(DSM-IV). N=62.	30.	(lowest Psychiatric	
	by one author, and a	Age: mean 30 (SD 9),	2. Recreation and support: leisure and	Assessment Scale score	
	random subset of	range 20-55.	social activities away from ward and	achieved over the follow-up	
	service users blindly	Sex: 25 M 15 F 22	standard care. N=32.	and maintained for at least	
	rated by two others.	unknown.		three consecutive points),	
		History: mean duration		recovery of insight (score >9	
		of illness 6 years, mean		on Insight Scale), and	
		number of episodes 3.		recovery of prepsychotic	
	Frequency: 8 hours			symptoms (score <30 on	
	per week (3 hours			Early Signs Scale).	
	CT, 5 hours other			3. Specific symptom clusters:	
	structured activities).			Psychiatric Assessment	
				Scale.	
				4. Delusional beliefs: Beliefs	
				and Convictions Scale.	
				5. Insight: Insight Scale.	
				Unable to use:	
				1.Relapse (no usable data).	
				2. Readmission (no usable	
				data).	
				3. Insight: Personal Beliefs	
				about Illness Questionnaire	
				(not a published, peer-	
				reviewed scale).	

	Allocation:	Inpatients.	1. CBT: manual-based. 4 treatment	1. Leaving the study early.	Therapists: two clinical
Haddock1998	"randomly	Diagnosis: schizophrenia	stages: i) engagement and assessment	2. Number of days in	psychologists.
	allocated." Blinding:	or schizoaffective	of mental state and symptoms to	hospital.	CBT type: mixed.
	raters blind.	disorder (DSM-IV).	allow cognitive-behavioural analysis	3. Relapse.	
	Duration: 5 weeks or	N=21.	of how symptoms might relate to	4. BPRS.	
	until participant	Age: ~29.	cognitions, behaviour and coping		
	discharged if this	Sex: 19 M 2 F.	strategies. Stress-vulnerability model	Unable to use:	
	period was shorter,	History: First treatment	used to link biological and	1. Readmission (no usable	
	booster sessions at 1,	for schizophrenia less	psychological mechanisms; ii)	data).	
	2, 3,4 months post-	than 5 years ago,	prioritised problem list developed	2. PSYRATS scale (no usable	
	discharge, 2 year	currently admitted to	collaboratively with participant.	data).	
	follow-up.	acute ward for onset or	Problems assessed for trigger		
	Frequency: mean no.	relapse of psychotic	situations and cognitions; iii) and iv)		
	CBT sessions 10.2 (SD	symptoms.	intervention and monitoring.		
	5.1), 1.67 booster		2. Supportive counselling (SC):		
	sessions. Mean no.		manual-based – no further		
	SC sessions 9.1		description.		
	(SD=4.36), 0.91				
	booster sessions.				

	Allocation: random	Outpatients.	1. Personal therapy: focus on	1. Leaving the study early.	Therapists: Masters level
Hogarty1997	assignment -	Diagnosis: schizophrenia	"modifying model of person,"	2. Relapse.	psychiatric nurse, clinical
	two concurrent trials	or schizoaffective	environmental and emotional		specialists and doctoral
	(with/without	disorder (DSM-IV).	monitoring - internal coping	Unable to use:	level clinical psychologists.
	families).	N=101.	strategies. N=48.	1. Social adjustment (no	Supervision: fidelity to
	Blinding: none.	Age: with family mean	2. Supportive therapy: active	usable data).	therapy was facilitated by
	Duration: 3 years.	28.6 (SD 7.5), living	listening, correct empathy,	2. Mental state (no usable	explicit treatment manuals
	Frequency: weekly	independently of family	appropriate reassurance,	data).	as well as by weekly
	for personal therapy,	mean 33.0 (SD 7.6).	reinforcement of participant health-	3. Family rating (no usable	individual and peer-group
	with less contact in	Sex: with family 56 M 41	promoting initiatives, and reliance on	data).	supervision provided by
	year 3 for those who	F, living independently	the therapist for advocacy and		two senior (doctoral level)
	completed treatment	of family 24 M 30 F.	problem solving in times of crisis.		clinical supervisors and/or
	objectives; biweekly	History: mean duration	N=53.		the principal investigator
	for supportive	of illness living with			and by treatment process
	therapy in all years.	family 6.2 years (SD 6.5),			ratings that identified the
		living independently of			practice principles used and
		family 10.2 (SD 8.2).			the goals achieved.
					CBT type: coping, stress-
					vulnerability/problem
					solving.

	Allocation:	Outpatients.	1. CBT + standard care: coping	1. Death.	Therapists: experienced
Kuipers1997	randomised,	Diagnosis: 29	strategies enhancement, modifying	2. Leaving the study early.	clinical psychologists.
	permuted block (size	schizophrenia, 2	dysfunctional beliefs, managing	3. Relapse.	Supervision: at least
	6).	schizoaffective, 13	social disability and relapse. N=28.		monthly peer/therapy
	Blinding: none.	delusional disorder, 6	2. Standard care: routine care, case		supervision. Strenuous
			management and medication. N=32.	clinically significant	attempts made to follow
		N=60.			procedures as laid down in
	Frequency: 1 hour	Age: CBT mean 38.5,		primary presenting problem,	the treatment manual.
	weekly/fortnightly	range 19-65, control		measured by Personal	CBT type: mixed.
	sessions	mean 41.8, range 18-63.		Questionnaire.	
		Sex: 38 M, 22 F.		5. Mental state: BPRS.	
		History: mean duration			
		of illness - CBT 12.1 years		Unable to use:	
		(range 1-26), control 14.0		1. Insight (no data).	
		years (range 1-33).		2. Depression (no data)	
				3. Anxiety (no data).	
				4. Hopelessness (no data).	
				5. Social functioning (no	
				data).	
				6. Self-esteem (no data).	
				7. Dysfunctional Attitudes	
				(no data).	
				8. Delusional conviction,	
				preoccupation and distress	
				(no usable data).	
				9. Hallucination frequency,	
				intensity and distress (no	
				usable data).	
1				10. participant satisfaction	
				(incomplete data).	

<b>T</b>	Allocation:	Inpatients (N=264) and	1. CBT: manual-based. 4 treatment	Leaving the study early.	Therapists: "CBT was
Lewis2002	"independent,		stages: i) engagement and assessment		manual-based and
				PANSS (Positive and	conducted by one of five
	randomisation of		allow cognitive behavioural analysis		therapists trained in CBT in
	individuals with	schizoaffective, or			psychosis supervised by
	minimisation."				experienced cognitive
	Stratification	(DSM-IV). N=309.	strategies. Stress-vulnerability model		therapists."
		Age: median 27.4.	used to link biological and		CBT type: mixed.
			psychological mechanisms; ii)	Scale (AHS).	
			prioritised problem list developed		
		either first episode	collaboratively with participant;		
		(N=257) or second	problems assessed for trigger		
	stratified for duration		situations and cognitions; iii) and iv)		
	of symptoms of more		intervention and monitoring.		
			2. Supportive counselling (SC):		
			manual-based – no further		
		moderate or severe score			
			3. Routine care.		
		target item for delusions			
	Duration/frequency:	or hallucinations.			
	15-20 hours within 5-				
	week treatment				
	envelope, plus				
	booster sessions at a				
	further 2 weeks, and				
	1, 2, 3 months.				
	Follow-up at 1-5				
	weeks.			<b>]</b>	

	Allocation: "simple	Outpatients.	1. CBT: began by examining the	1. Leaving the study early.	Therapists: two experienced
Sensky2000	randomization	Diagnosis: schizophrenia	antecedents of emergence of	2. CPRS endpoint.	psychiatric nurses.
1	applied	(ICD-10 RDC & DSM-	psychotic disorder, developing a		Supervision: therapists
	independently" for	IV). N=90.		4. MADRS endpoint.	provided with regular
	two sets of	Age: mean 39 (CBT), 40	shared case formulation. Thereafter,		supervision. Interviews
	participants, one	(befriending). Sex: 53 M	coping strategies for positive		were audiotaped for
	from London and	37 M. History: mean	symptoms developed. Finally,	MADRS, and SANS.	supervision and for quality
	another from the	duration of illness 14	interventions for negative symptoms		control.
	north of England.	years, mean number of	attempted "using paced activity	Unable to use:	CBT type: mixed.
	Blinding: "assessors	previous admissions 14.	scheduling and diary recording of	1. Participant satisfaction (no	
	were independent of		mastery and pleasure." N=46.	usable data).	
	the randomization		2. Befriending: designed to provide		
	procedure and		participants with approximately the		
	remained blind to		same amount of therapist contact as		
	each participant's		CBT group, with sessions spaced at		
	assigned group		similar intervals. Intervention was		
	throughout the		empathic and nondirective.		
	study." Duration: 9		"Psychotic or affective symptoms		
	months, 9 months		were not directly tackled in any way."		
	follow-up.		Sessions focused on neutral topics		
	Frequency: number		(for example, hobbies, sports, current		
	and length of		affairs). N=44.		
	sessions "were				
	flexible to				
	accommodate the				
	needs of individual				
	participants, but the				
	initial aim was to				
	offer each participant				
	at least 45 minutes of				
	therapy each week.				
	After this phase,				
	which could last up				
	to 2 months, the				
	session frequency				
	could be reduced."	l	l		

	Allocation: random,	Outpatients.	1. CBT: coping strategy enhancement,	1. Leaving the study early.	Therapists: three
Tarrier1998	stratified sample	Diagnosis: schizophrenia,	training in problem solving,	2. Relapse.	experienced clinical
	technique.	schizoaffective psychosis,	strategies to reduce relapse +	3. Mental state: important	psychologists.
	Blinding: blinded	delusional disorder	standard care. N=33.	improvement (BPRS).	Supervision: the therapists
	raters.	(DSM III R). N=87.	2. Supportive counselling: emotional		met on a regular basis to
	Duration: 10 weeks, 1	Age: mean 39 (SD 11).	support, unconditional regard,	Unable to use:	discuss cases. Sessions were
	and 2 year follow-up.	Sex: 69 M 18 F.	general counselling + standard care.	1. BPRS change scores (SD	taped.
	Frequency: 20	History: median duration	N=26.	not reported).	CBT type: coping/problem
	sessions altogether, 1	of illness 11 years,	3. Standard care. N=28.	2. Positive and negative	solving.
	hour twice a week.	persistent positive		symptom severity (PAS,	
		symptoms.		SANS scales - no usable	
				data).	
				3. Depression (Beck	
				Depression Inventory - no	
				usable data).	
				4. Hopelessness (Beck	
				Hopelessness Scale - no	
				usable data).	

	Allocation: random,	Patients "receiving	1. CBT: based on same manual used	1. Leaving the study early.	Therapists: CPNs who
Turkington					received 10 days of
		psychiatric secondary		Schizophrenia Change Scale)	
2002	group). Blinding:	care services," lists	developing explanations, case	3. Depression (MADRS)	manual developed by
	assessors blind to	compiled from in- and			authors DT and DK.
	randomisation.	outpatient case lists,			Supervision: "individual,
	Duration: 2-3 months.				group and telephone."
	Frequency: 6 1-hour	clinics, mental health key			Sessions were taped.
		workers and Care	2. Standard care: "treatment as usual"	,	Treatment fidelity analysis
	participant's carer	Programme Approach	from CMHTs. N=165.	Unable to use:	"revealed that the vast
	agreed to take part in	registers.		1. Participant and carer	majority of sessions" were
	programme, they	Diagnosis: schizophrenia		satisfaction (no usable data).	above the level "indicating
	received a total of 3	(ICD-10). N=422.			acceptable quality of
	sessions over the	Age: mean 40.47 years.			therapy analysis."
		Sex: 23% F(CBT group).			
		Exclusions: participants			
	U	who were deteriorating			
	1	and who needed			
		inpatient care or			
		intensive home			
		treatment, primary			
		diagnosis of drug or			
		alcohol dependence,			
		organic brain disease or			
		severe learning			
		disability. History: 4.71			
		mean previous			
		admissions CBT group,			
		5.18 mean previous			
		admissions control; 48.53			
		mean previous days in			
		hospital CBT group,			
		52.01 mean previous			
<u> </u>		days in hospital control.	1	1	

## References of included studies (previous guideline)

#### Bradshaw1996

\*Bradshaw W. (1996) Structured group work for individuals with schizophrenia: a coping skills approach. *Research on Social Work Practice;* 6(2):139-154.

#### Bradshaw2000

\*Bradshaw W. (2000) Integrating cognitive-behavioral psychotherapy for persons with schizophrenia into a psychiatric rehabilitation program: results of a three year trial. *Community Mental Health Journal*; 36(5):491-500.

#### Drury1996

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#### Haddock1999

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## Hogarty1997

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## Kuipers1997

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#### Turkington2002

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Turkington, D.; Kingdon, D.; Rathod, S.; Hammond, K.; Pelton, J.; Mehta, R. (2006) Outcomes of an effectiveness trial of cognitive-behavioural intervention by mental health nurses in schizophrenia. *British Journal of Psychiatry* 189: 36 - 40.

Malik, N., Kingdon, D., Pelton, J., Mehta, R., Turkington, D. (2008) Effectiveness of brief cognitive behaviour therapy for schizophrenia delivered by mental health nurses: relapse and recovery at 24 months. *Journal of Clinical Psychiatry*, submitted.

Rathod,S.; Kingdon,D.; Smith,P.; Turkington,D. (2005) Insight into schizophrenia: the effects of cognitive behavioural therapy on the components of insight and association with sociodemographics--data on a previously published randomised controlled trial. *Schizophrenia Research* 74(2-3): 211 - 219.

#### Characteristics of included studies (update)

Study ID **BACH2002** General info Funding source: Non-industry support Published or unpublished data?: Published Method Type of study: Individual randomised trial Type of analysis: Completer data was available for 70/80 participants who completed the study. The other 10 participants either moved out of the hospital area or died. Blindness: Only raters blind Duration: Length of follow-up - 4 months Duration: No. weeks of treatment - Up to 2 weeks (4 sessions with up to 72 hours between each session) Raters: Independent of treatment Design: Single-centre - State psychiatric hospital, Nevada, US Number of people screened, excluded & reasons: Approx. 1 in 5 of those approached agreed to participate. Participants, in comparison with non-participants, were less likely to have a secondary substance misuse diagnosis and were more likely to have had previous hospitalisations at the study centre. Notes about study methods: Randomisation procedures not reported **Participants** Diagnosis: Other schizophrenia related [%] Schizoaffective 24% Mood disorder with psychotic features 15% Delusional disorder 4% Psychosis NOS 4% Diagnosis: Schizophrenia [% of sample] 54% **Diagnosis:** Other [%] Secondary diagnoses: Substance-related disorder 19% Borderline intellectual functioning 13% Personality disorder 15% Diagnostic tool: Other method Diagnosis at hospital intake **Inclusion criteria:** 

- Experiencing auditory hallucinations or delusions at admission
- Would be receiving outpatient treatment following discharge

## **Exclusion criteria:**

- Diagnosis of substance-induced psychosis

- Symptoms occurred as part of dementia, delirium or medical condition

- Diagnosis of mental retardation by DSM-IV.

Total sample size: No. randomised 80

Gender: % female 36%

Age: Mean 39

Ethnicity: Caucasian 75%

Hispanic 11%

African American 4%

Southeast Asian 1% Native American 3%

Catting Investigat

Setting: Inpatient

Setting: Outpatient

History: Time since previous release from hospital (days): 77

Duration of previous hospitalisation (days): 33

Baseline stats: Frequency of hallucinations and delusions rating: 6.0 ("more than once a day")

Interventions Intervention - group 1.: ACT, 4 sessions; n=40

Intervention - group 2.: TAU; n=40

## Notes about the interventions:

ACT (acceptance and commitment therapy)

Followed a larger ACT treatment manual. Focus of the therapy was to try to just notice thoughts, without attempting to communicate with them, and to behave regardless of what these thoughts might say; to learn to accept symptoms even though one may not like them; and to consider coping strategies to these symptoms that would not interfere with one's goals.

## TAU

-

Medication, attendance at three or more psychoeducational groups (once or twice daily ~40min sessions), and for those hospitalised for more than a few days, individual psychotherapy sessions with psychologist or intern at least once a week. After discharge, TAU included case management and medication management meetings. Rehabilitation classes, psychotherapy and assertive community treatment were available but not all participants (60%) made use of them.

Training

The ACT sessions were conducted by a psychology intern who had been trained to the point of competence by the developer of the treatment approach.

**Outcomes Death:** Natural causes

Leaving the study early: Leaving due to any reason (non-adherence to study protocol)

Global state & service outcomes (e.g. CGI): Re-hospitalisation

Global state & service outcomes (e.g. CGI): Time to relapse

Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - Frequency of reported symptoms (hallucinations and delusions): No. reporting symptoms at all

- Distress associated with symptoms

- self-rated believability of symptoms:

Non-adherence to study medication: Non-adherence

Quality 1.1 The study addresses an appropriate and clearly focused question.: Well covered

1.2 The assignment of subjects to treatment groups is randomised.: Not reported adequately

1.3 An adequate concealment method is used.: Not addressed

1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed

1.5 The treatment and control groups are similar at the start of the trial.: Adequately addressed

1.6 The only difference between groups is the treatment under investigation.: Well covered

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Adequately addressed

1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Not addressed

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable

2.1 How well was the study done to minimise bias?: +

Study ID

BARROWCLOUGH2006

General info Funding source: Non-industry support

Published or unpublished data?: Published

<ul> <li>included.</li> <li>Blindness: Only raters blind</li> <li>Duration: No. weeks of treatment - 24</li> <li>Duration: Length of follow-up - 6 months</li> <li>Raters: Independent of treatment</li> <li>Design: Multi-centre - 5 NHS trust sites</li> <li>Number of people screened, excluded &amp; reasons: 127 screened, 113 eligible and randomised</li> <li>Notes about study methods: Within each site, sufficient participants to form one CBT group and an equal number for the control condit (approximately 12 people) were identified. They were then allocated to the two conditions using a programme operated by an individu independent of the research team, following the minimisation method of stratification for chronicity (3 years or less vs. greater than 3 yes)</li> <li>Participants</li> <li>Diagnosis: Other schizophrenia related [%] Schizoaffective 11%</li> <li>Diagnosis of schizophrenia or schizoaffective disorder verified by case note review - Substance misuse and learning disability not identified as the primary problem - Age 18–55</li> </ul>	Method	Type of study: Individual randomised trial
Puration: No. weeks of treatment - 24         Duration: Length of follow-up - 6 months         Raters: Independent of treatment         Design: Multi-centre - 5 NHS trust sites         Number of people screened, excluded & reasons: 127 screened, 113 eligible and randomised         Notes about study methods: Within each site, sufficient participants to form one CBT group and an equal number for the control condi (approximately 12 people) were identified. They were then allocated to the two conditions using a programme operated by an individu independent of the research team, following the minimisation method of stratification for chronicity (3 years or less vs. greater than 3 ye Diagnosis: Chter schizophrenia [% of sample] 89%         Participate       Diagnosis: Chter schizophrenia or schizoaffective 11%         Diagnosis of schizophrenia or schizoaffective disorder verified by case note review - Substance misuse and learning disability not identified as the primary problem         • Age 18-55       • Persistent and clinically significant positive symptoms, i.e. having either item P3 (hallucinatory behaviour) or item P1 (delusions) from PANSS scored 4 (moderate) or above, with the symptom having been present at this level for at least 50% of the last 2 months - At least 1 month of stabilisation if the patient had experienced a symptom exacerbation in the last 6 months (i.e. at least 1 month since discharge after an acute admission; no change in psychotropic medication prescribed in the last 4 weeks).         Total sample size: No. randomised 113       Gender: % female 27%         Age: Mean 388 (8.6)       Ethnicity: Not reported         Ethnicity: Not reported		<b>Type of analysis:</b> ITT - All analyses were reported on an intention-to-treat basis, whereby all participants who agreed to assessment were included.
Paration: Length of follow-up - 6 months         Raters: Independent of treatment         Design: Multi-centre - 5 NHS trust sites         Number of people screened, excluded & reasons: 127 screened, 113 eligible and randomised         Notes about study methods: Within each site, sufficient participants to form one CBT group and an equal number for the control condition independent of the research team, following the minimisation method of stratification for chronicity (3 years or less vs. greater than 3 years)         Participant       Diagnosis: Schizophrenia [% of sample] 89%         Biagnosis: Other schizophrenia related [%] Schizoaffective 11%       Diagnosis: Other schizophrenia related [%] Schizoaffective 11%         Diagnosis: Other schizophrenia or schizoaffective disorder verified by case note review       Substance misuse and learning disability not identified as the primary problem         - Age 18-55       - Persistent and clinically significant positive symptoms, i.e. having either item P3 (hallucinatory behaviour) or item P1 (delusions) from PANSS scored 4 (moderate) or above, with the symptom having been present at this level for at least 50% of the last 2 months         - A teast 1 month of stabilisation if the patient had experienced a symptom exacerbation in the last 6 months (i.e. at least 1 month since discharge after an acute admission) no change in psychotropic medication prescribed in the last 4 weeks).         Total sample size: No. randomised 113       Gender: % female 27%         Age: Mean 38.8 (8.6)       Hintivi; Not reported         Sting: Outpatient       History: Years of illness:		Blindness: Only raters blind
Raters: Independent of treatment         Design: Multi-centre - 5 NHS trust sites         Number of people screened, excluded & reasons: 127 screened, 113 eligible and randomised         Notes about study methods: Within each site, sufficient participants to form one CBT group and an equal number for the control condi (approximately 12 people) were identified. They were then allocated to the two conditions using a programme operated by an individu independent of the research team, following the minimisation method of stratification for chronicity (3 years or less vs. greater than 3 yee Diagnosis: Schizophrenia [% of sample] 89%         Participants       Diagnosis: Other schizophrenia related [%] Schizoaffective 11%         Diagnostic tool: DSM-IV       Inclusion criteria:         - DSM-IV diagnosis of schizophrenia or schizoaffective disorder verified by case note review       - Substance misuse and learning disability not identified as the primary problem         - Age 18-55       - Persistent and clinically significant positive symptoms, i.e. having either item P3 (hallucinatory behaviour) or item P1 (delusions) from PANSS scored 4 (moderate) or above, with the symptom having been present at this level for at least 50% of the last 2 months         - A t least 1 month of stabilisation if the patient had experienced a symptom exacerbation in the last 6 months (i.e. at least 1 month since discharge after an acute admission; no change in psychotropic medication prescribed in the last 4 weeks).         Total sample size: No. randomised 113       Gender: % female 27%         Age: Mean 38.8 (8.6)       Ethnicity: Not reported         Ethnicity: Not re		Duration: No. weeks of treatment - 24
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Number of people screened, excluded & reasons: 127 screened, 113 eligible and randomisedNotes about study methods: Within each site, sufficient participants to form one CBT group and an equal number for the control condi (approximately 12 people) were identified. They were then allocated to the two conditions using a programme operated by an individu independent of the research team, following the minimisation method of stratification for chronicity (3 years or less vs. greater than 3 yees Diagnosis: Schizophrenia [% of sample] 89%ParticipantsDiagnosis: Schizophrenia related [%] Schizoaffective 11% Diagnosis: other schizophrenia or schizoaffective disorder verified by case note review - Substance misuse and learning disability not identified as the primary problem - Age 18-55 - Persistent and clinically significant positive symptoms, i.e. having either item P3 (hallucinatory behaviour) or item P1 (delusions) from PANSS scred 4 (moderate) or above, with the symptom having been present at this level for at least 50% of the last 2 months - At least 1 month of stabilisation if the patient had experienced a symptom exacerbation in the last 6 months (i.e. at least 1 month since discharge after an acute admission; no change in psychotropic medication prescribed in the last 4 weeks).Total sample size: No. randomised 113 Gender: % female 27% Age: Mean 38.8 (8.6) Ethnicity: Not reported Setting: Outpatient History: Years of illnes: 13.7 (8.0) Baseline stats:		Raters: Independent of treatment
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<ul> <li>(approximately 12 people) were identified. They were then allocated to the two conditions using a programme operated by an individu independent of the research team, following the minimisation method of stratification for chronicity (3 years or less vs. greater than 3 yestimates)</li> <li>Participants</li> <li>Diagnosis: Other schizophrenia [% of sample] 89%</li> <li>Diagnosis: Other schizophrenia related [%] Schizoaffective 11%</li> <li>Diagnosic tool: DSM-IV</li> <li>Inclusion criteria: <ul> <li>DSM-IV diagnosis of schizophrenia or schizoaffective disorder verified by case note review</li> <li>Substance misuse and learning disability not identified as the primary problem</li> <li>Age 18–55</li> <li>Persistent and clinically significant positive symptoms, i.e. having either item P3 (hallucinatory behaviour) or item P1 (delusions) from PANSS scored 4 (moderate) or above, with the symptom having been present at this level for at least 50% of the last 2 months</li> <li>At least 1 month of stabilisation if the patient had experienced a symptom exacerbation in the last 6 months (i.e. at least 1 month since discharge after an acute admission; no change in psychotropic medication prescribed in the last 4 weeks).</li> </ul> </li> <li>Total sample size: No. randomised 113</li> <li>Gender: % female 27%</li> <li>Age: Mean 38.8 (8.6)</li> <li>Ethnicity: Not reported</li> <li>Setting: Outpatient</li> <li>History: Years of illness: 13.7 (8.0)</li> <li>Baseline stats:</li> </ul>		Number of people screened, excluded & reasons: 127 screened, 113 eligible and randomised
<ul> <li>Diagnosis: Other schizophrenia related [%] Schizoaffective 11%</li> <li>Diagnostic tool: DSM-IV</li> <li>Inclusion criteria: <ul> <li>DSM-IV diagnosis of schizophrenia or schizoaffective disorder verified by case note review</li> <li>Substance misuse and learning disability not identified as the primary problem</li> <li>Age 18-55</li> <li>Persistent and clinically significant positive symptoms, i.e. having either item P3 (hallucinatory behaviour) or item P1 (delusions) from PANSS scored 4 (moderate) or above, with the symptom having been present at this level for at least 50% of the last 2 months</li> <li>At least 1 month of stabilisation if the patient had experienced a symptom exacerbation in the last 6 months (i.e. at least 1 month since discharge after an acute admission; no change in psychotropic medication prescribed in the last 4 weeks).</li> </ul> </li> <li>Total sample size: No. randomised 113</li> <li>Gender: % female 27%</li> <li>Age: Mean 38.8 (8.6)</li> <li>Ethnicity: Not reported</li> <li>Setting: Outpatient</li> <li>History: Years of illness: 13.7 (8.0)</li> <li>Baseline stats:</li> </ul>		<b>Notes about study methods:</b> Within each site, sufficient participants to form one CBT group and an equal number for the control condition (approximately 12 people) were identified. They were then allocated to the two conditions using a programme operated by an individual independent of the research team, following the minimisation method of stratification for chronicity (3 years or less vs. greater than 3 years)
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Inclusion criteria:- DSM-IV diagnosis of schizophrenia or schizoaffective disorder verified by case note review- Substance misuse and learning disability not identified as the primary problem- Age 18–55- Persistent and clinically significant positive symptoms, i.e. having either item P3 (hallucinatory behaviour) or item P1 (delusions) from PANSS scored 4 (moderate) or above, with the symptom having been present at this level for at least 50% of the last 2 months- At least 1 month of stabilisation if the patient had experienced a symptom exacerbation in the last 6 months (i.e. at least 1 month since discharge after an acute admission; no change in psychotropic medication prescribed in the last 4 weeks).Total sample size: No. randomised 113Gender: % female 27%Age: Mean 38.8 (8.6)Ethnicity: Not reportedSetting: OutpatientHistory: Years of illness: 13.7 (8.0)Baseline stats:		Diagnosis: Other schizophrenia related [%] Schizoaffective 11%
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Ethnicity: Not reported Setting: Outpatient History: Years of illness: 13.7 (8.0) Baseline stats:		Gender: % female 27%
Setting: Outpatient History: Years of illness: 13.7 (8.0) Baseline stats:		Age: Mean 38.8 (8.6)
History: Years of illness: 13.7 (8.0) Baseline stats:		Ethnicity: Not reported
Baseline stats:		Setting: Outpatient
		History: Years of illness: 13.7 (8.0)

PANSS: 66.02 (13.86) / 61.61 (11.27) SFS: 109.42 (22.44) / 111.69 (24.01) HADS: 18.32 (7.24) / 18.83 (7.48) GAF Symptoms: 28.84 (5.71) / 28.25 (5.07)

Interventions Intervention - group 1.: Group CBT, 18 sessions, + TAU; n=57

Intervention - group 2.: TAU; n=56

## Notes about the interventions:

Group CBT

The group intervention covered themes including: identification of patient problems (delusional beliefs and voices were the main focus); formulating problems in terms of thoughts, feelings and behaviours; negative thinking patterns and thought monitoring; thought challenging; behavioural strategies: experiments and action plans; stress, arousal and medication; staying-well plans; emergency staying-well plans. Sessions lasted 2 hours including breaks, and followed a detailed plan and timetable contained in the therapy manual. The session plan included setting the day's agenda, introducing the main topic, reviewing homework, applying the topic to individuals' own experiences, problem formulations in small groups, discussion and comparison of group members' experiences, setting homework and eliciting feedback on the session.

## TAU

All participants received standard psychiatric care in the UK based on the care programme approach to case management, and including maintenance antipsychotic medication, outpatient and community follow-up, and access to community-based rehabilitative activities such as day centres and drop-in centres.

#### Training

Two therapists conducted each session, and at least one therapist per group had training in CBT meeting the British Association of Behavioural and Cognitive Psychotherapy accreditation standards, plus experience in using CBT with people with psychosis. All therapists were provided with an initial training programme, and supervision sessions occurred monthly. A measure of treatment adherence was devised; checklists were completed at each session by both therapists and participants independently, to assess whether key elements of the CBT protocol were adhered to. Independently completed checklists from all therapists and participants present were collected on random session dates.

#### **Outcomes Death:** Natural causes

Leaving the study early: Leaving due to any reason (non-adherence to study protocol)

## Global state & service outcomes (e.g. CGI): Days in hospital

**Global state & service outcomes (e.g. CGI):** Relapse - defined as hospital admission identified from hospital record systems, or exacerbation of symptoms lasting longer than 2 weeks and requiring a change in patient management (increased observation or medication change made by clinical team as assessed from hospital case notes)

Global state & service outcomes (e.g. CGI): Re-hospitalisation

Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - PANSS - HADS - RSE General and psychosocial functioning (e.g. SFS): Average score/change in general functioning - SFS, GAF: **1.1 The study addresses an appropriate and clearly focused question.:** Well covered **1.2** The assignment of subjects to treatment groups is randomised.: Well covered **1.3 An adequate concealment method is used.:** Well covered **1.4 Subjects and investigators are kept 'blind' about treatment allocation.**: Poorly addressed **1.5** The treatment and control groups are similar at the start of the trial.: Well covered **1.6 The only difference between groups is the treatment under investigation.**: Adequately addressed 1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered 1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20% 1.9 All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Adequately addressed **1.10** Where the study is carried out at more than one site, results are comparable for all sites.: Not addressed 2.1 How well was the study done to minimise bias?: +

#### Study ID

Quality

BECHDOLF2004

General info Funding source: Non-industry support

Published or unpublished data?: PublishedMethodType of study: Individual randomised trialType of analysis: ITT

Blindness: Only raters blind

**Duration:** No. weeks of treatment - 8

Duration: Length of follow-up - 6 and 24 months

Raters: Independent of treatment

Design: Single-centre- Cologne, Germany

Number of people screened, excluded & reasons: During the study period, 189 patients fulfilled inclusion criteria. 57 patients were not

approached, either because they were involuntary admissions, formally detained under the Mental Health Act and could therefore not be included in RCTS or because during their inpatient stay, patient flow was too small to form a group of eight patients to start a group intervention. Of the remaining 132 subjects whose consent to enter the trial was sought, there was a 33.4% non-participation rate (n = 44) due to refusal, non-German speaking, inability to complete assessment or rapid discharge.

**Notes about study methods:** Randomisation by computer-generated random numbers for blocks of 8 participants. Results were placed in sealed envelopes and only opened at the time of treatment allocation

Participants Diagnosis: Schizophrenia [% of sample] ICD-10: F 20, F 23, F 25

[CBT / Psychoeducation (PE)] ICD-10 diagnoses, n (%) F 20: 32 (80.0) / 37 (77.1) F 23: - (0.0) / 2 (4.1) F 25: 8 (20.0) / 9 (18.8) **Diagnosis:** Other schizophrenia related [%] Diagnostic tool: ICD-10 Exclusion criteria: - primary diagnosis of drug or alcohol dependence, organic brain disease, learning disability or hearing impairment Total sample size: No. randomised 88 Gender: % female 55 Age: Mean 32 Age: Range 18-64 **Ethnicity:** Not reported **Setting:** Inpatient History: [CBT / psychoeducation] Time since diagnosis, months: 56.7 (65.4) / 50.0 (58.7) Mean number of admissions: 2.6(3.8) / 2.4(3.2)**Baseline stats:** [CBT / Psychoeducation]

PANSS total: 13.6 (5.3) / 15.1 (5.6) **Notes about participants:** Medication use: The mean dosages of typical antipsychotics converted to chlorpromazine equivalents were nearly the same at baseline and follow-up evaluations, although there was a wide range of dosage within the treatment groups [pretreatment [mg mean (SD)]: CBT 431.7 (201.0), PE 375.0 (349.5); posttreatment: CBT 158.8 (73.3), PE 520.0 (413.3); follow-up: CBT 358.3 (340.4), PE 361.4 (340.9)]. All patients were treated with neuroleptics, most with atypicals (pretreatment: CBT 80%, PE 85%; post-treatment: CBT 93.5%, PE 87.8%; followup: CBT 88.9%, PE 89.2%). Around one-third of patients studied also received antidepressive medication (pretreatment: CBT 26.3%, PE 25.0%; posttreatment: CBT 25.8%, PE 38.9%; follow-up: CBT 31.0%, PE 28.9%). No significant differences emerged between treatment groups at preand post-treatment or follow-up.

Interventions Intervention - group 1.: Group CBT, 16 sessions, n=40

Intervention - group 2.: Group psychoeducational programme, 8 sessions, n=48

#### Notes about the interventions:

All interventions were an adjunct to routine hospital care and patients remained under the medical supervision of the responsible consultant psychiatrist who alone determined the pharmacological regime, timing of discharge and readmission.

Group CBT

The group CBT treatment was based on a manualised approach which used coping strategy enhancement, problem solving and relapse prevention in patients with psychosis.

Group psychoeducational (PE) programme. The PE programme was similar to a manualised PE group training for patients

Training

Groups of both interventions were led by an experienced and CBT trained psychiatrist or clinical psychologist

**Outcomes** Leaving the study early: Leaving due to any reason (non-adherence to study protocol)

**Global state & service outcomes (e.g. CGI):** Relapse defined by a rating of at least 5 and a 2-point increase compared with the previous assessment in at least one of the items of the positive syndrome subscale of the PANSS

**Global state & service outcomes (e.g. CGI):** Re-hospitalisation defined as a 36-hour full hospitalisation or a 5-day partial hospitalisation because of an exacerbation of acute psychotic symptoms.

Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - PANSS general, positive, negative.

Mental state (e.g. BPRS, PANSS, BDI): Clinically significant response in mental state - change was calculated by a two-fold criterion: (i) improvement of PANSS global score >2 SD beyond the mean of the intake sample at follow-up and (ii) reliable change index exceeds 1.96. Non-adherence to study medication: Compliance with medication

Quality 1.1 The study addresses an appropriate and clearly focused question.: Well covered

**1.2 The assignment of subjects to treatment groups is randomised.:** Well covered

1.3 An adequate concealment method is used.: Adequately addressed

1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed

1.5 The treatment and control groups are similar at the start of the trial.: Well covered

1.6 The only difference between groups is the treatment under investigation.: Adequately addressed

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered

1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Well covered

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable

2.1 How well was the study done to minimise bias?: +

#### Study ID

Study ID	CATHER2005
General info	Funding source: Pharmaceutical industry
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	Type of analysis: ITT defined as having completed at least 4 out of 16 sessions
	Blindness: Only raters blind
	Duration: No. weeks of treatment - 16
	Raters: Independent of treatment
	Design: Multi-centre - Two outpatient clinics in Boston
	Notes about study methods: Randomisation performed by independent member of the research team and stratified by PANSS and gender
Participants	Diagnosis: Schizophrenia [% of sample] 61%
	Diagnosis: Other schizophrenia related [%] Schizoaffective 39%
	Diagnostic tool: DSM-IV
	<ul> <li>Inclusion criteria:</li> <li>- 18-65 years of age</li> <li>- English speaking</li> <li>- Treated with olanzapine for at least 6 months and at a stable dose for at least 30 days</li> <li>- Exhibiting residual psychotic symptoms as defined by two ratings of mild or one rating of moderate on psychosis items of PANSS.</li> </ul>
	Exclusion criteria: - Known or suspected organic brain disorder - Substance use disorder in the past 3 months

- A conceptual disorganisation rating on the PANSS of moderate or higher

- Previous exposure to the study treatments.

**Total sample size:** ITT population 28

Total sample size: No. randomised 30

Gender: % female 43%

Age: Mean 40.4 (11.96)

Ethnicity: White 68%

Hispanic 4%

Black 29% **Setting:** Outpatient

**History:** Mean years of illness: 18 (13.1)

## **Baseline stats:**

Average for the whole sample: PANSS total: 51.1 (12.6) PSYRATS-total: 33.3 (13.7) Auditory hallucinations: 85.7% SFS: 118.5 (21.5)

**Notes about participants:** Medication: Olanzapine doses ranged from 5 to 40mg with a mean daily dose of 19.7 (8.6) mg. 33% of participants were taking another antipsychotic in addition to olanzapine.

Interventions Intervention - group 1.: Functional CBT: 16 weekly sessions; n=15

Intervention - group 2.: Psychoeducation; n=13

## Notes about the interventions:

Functional CBT

Comprises several modules: education, coping skills, cognitive restructuring, behavioural experiments and goal-setting. Patients are taught skills for managing persistent positive symptoms that interfere with accomplishing certain activities or goals. For example, rather than discussing hallucinations or delusions as 'real' or 'unreal', functional CBT focuses on whether psychotic symptoms and responses to these symptoms block attainment of specific goals. This approach helps ensure that therapists always have a context for challenging maladaptive responses to symptoms.

## Psychoeducation

Team Solutions is a psychoeducational intervention developed and sponsored by Eli Lilly & Co. to teach patients about schizophrenia and the principles of its management, with the aim of promoting reintegration. The program is not medication-specific and includes a video, patient workbook and instructor's manual and was delivered in an individual format. The program is organized into 10 modules including:

promoting understanding of the illness and of symptoms of schizophrenia, identifying members of the treatment team and their roles, learning about medication and side effects, preventing relapse, and coping with symptoms.

#### Training

Treatment was delivered by nine therapists with an average of 7.8 years (SD=4.77) of experience conducting CBT. Weekly supervision meetings were held to discuss cases and ensure protocol adherence.

**Outcomes** Leaving the study early: Leaving due to any reason (non-adherence to study protocol)

Mental state (e.g. BPRS, PANSS, BDI): Clinically significant response in mental state - Clinically significant improvement defined as 20% reduction in PANSS Positive subscale

Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - PANSS, PSYRATS

General and psychosocial functioning (e.g. SFS): Average score/change in general functioning - SFS

Quality 1.1 The study addresses an appropriate and clearly focused question.: Well covered

**1.2 The assignment of subjects to treatment groups is randomised.:** Adequately addressed

1.3 An adequate concealment method is used.: Adequately addressed

1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed

1.5 The treatment and control groups are similar at the start of the trial.: Adequately addressed

1.6 The only difference between groups is the treatment under investigation .: Adequately addressed

1.7 All relevant outcomes are measured in a standard, valid and reliable way .: Well covered

1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Adequately addressed

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Adequately addressed

2.1 How well was the study done to minimise bias?: +

#### Study ID

## DURHAM2003

General infoFunding source: Non-industry supportPublished or unpublished data?: PublishedMethodType of study: Individual randomised trial (effectiveness/pragmatic)Type of analysis: LOCF

**Type of analysis:** ITT - All participants who started allocated treatment were analysed. For missing values, LOCF and imputation from group means were also applied, these had no impact on significant outcomes.

Blindness: Only raters blind

Duration: Length of follow-up - 3 months

Duration: No. weeks of treatment - 36

Raters: Independent of treatment

Design: Multi-centre - Two adjacent mental health services in Tayside and Fife

**Number of people screened, excluded & reasons:** A total of 274 people were referred for possible inclusion in the trial, of whom 95 (35% of initial referrals) fulfilled the initial criteria, entered the baseline assessment phase and were offered a further screening interview 3 months later. Of these, 66 (24% of initial referrals, 38% of 171 potentially suitable referrals) entered the study and were randomised to treatment conditions.

**Notes about study methods:** Randomisation (sealed envelope technique) administered centrally by non-clinical project coordinator, carried out separately at each treatment centre by permuted blocking

Participants Diagnosis: Schizophrenia [% of sample] 89%

Diagnosis: Other schizophrenia related [%] Delusional disorder 3%

Schizoaffective 8%

Diagnostic tool: ICD-10

Diagnostic tool: DSM-IV

Inclusion criteria:

- Psychosis with a diagnosis of schizophrenia, schizoaffective disorder or delusional disorder

- Aged 16-65 years

- Known to the psychiatric services as suffering from positive symptoms of persistent and distressing hallucinations or delusions

- Stabilised on antipsychotic medication for at least a 6-month period under the care of a consultant psychiatrist.

#### **Exclusion criteria:**

- Primary diagnosis of alcoholism or drug misuse

- Evidence of organic brain disease

- History of violence.

Total sample size: No. randomised 66

Total sample size: ITT population 60

Gender: % female 32%

Age: Mean 36 (10.4)

Ethnicity: Not reported

Setting: Inpatient

Setting: Outpatient

History: Mainly middle-aged men with a long history of illness (mean 13 years, range 2-31)

## **Baseline stats:**

[CBT / SPT / TAU] PANSS total score: 101.2 (14.7) / 95.0 (17.7) / 92.4 (17.5) PSYRATS delusions: 14.1 (4.5) / 12.3 (5.8) / 11.2 (5.6) PSYRATS hallucinations: 23.0 (11.3) / 23.6 (10.0) / 20.8 (10.9) Global Assessment Scale: 32.0 (4.8) / 34.9 (7.2) / 34.8 (8.1)

## Notes about participants:

Medication [CBT / SPT / TAU] Chlorpromazine equivalents, mg/day [mean (95% CI)]: 604 (392-816) / 747 (527-967) / 630 (333-927)

Four of the fifteen patients who were started on an atypical were prescribed clozapine.

## Interventions Intervention - group 1.: CBT; n=22

**Intervention - group 2.:** SPT; n=23

Intervention - group 3.: TAU; n=21

## Notes about the interventions:

CBT

Drew on best practice as exemplified by the treatment manuals. The essential elements were as follows: engagement; analysis of problems; development of a normalising rationale for psychotic experiences; exploration of current coping strategies; acquisition of additional coping strategies for hallucinations and delusions; and focus on accompanying affective symptomatology using relaxation training, personal effectiveness training and problem-solving as appropriate. The overall aims were: to enhance knowledge and acceptance of illness; to encourage the acquisition of specific coping skills for managing hallucinations and delusions; and to develop an understanding of personal vulnerability and how to mitigate its effects.

SPT

Supportive psychotherapy using an established framework. The approach is psychodynamic in orientation and seeks to understand psychotic experience as a function of being overwhelmed and unable to bear intensely charged emotional experiences. The essential elements of therapy were as follows: provision of non-specific emotional support and empathy; opportunity for the patients to describe the narrative of their lives and the impact of the illness; and working through of transference.

## TAU

All participants received usual treatment, focused on community mental health teams. Services include regular psychiatric consultation and contact with a keyworker (typically a trained community psychiatric nurse), with emergency assessment and hospital admission available as required. Facilities in the community include day care, sheltered work, supported accommodation and volunteer befriending. Specialist psychological intervention for psychosis within a cognitive-behavioural framework, although a limited resource, is offered through clinical psychology and clinical nurse specialists.

#### Training

The CBT arm of the trial was delivered by five clinical nurse specialists with extensive professional experience of severe mental disorder. All had completed a recognised post-registration training in Dundee that mainly focuses on standard CBT for common mental disorders but includes a module on psychosis. All were registered as therapists with the British Association of Behavioural and Cognitive Psychotherapy. One of these five had developed a specialist interest in CBT for psychosis and took the lead role in developing the treatment protocol, training and supervising the other therapists and treating the majority of patients.

None of the CBT therapists saw patients in the supportive psychotherapy arm of the trial, which was delivered by 16 mental health professionals (mainly nursing but also psychiatry and occupational therapy) who were attached to the clinical teams responsible for the patients referred to the trial. All had expressed an interest in developing clinical skills in psychotherapy for patients with psychosis and none had received any formal training in CBT. They were given training and supervision by a consultant psychotherapist, who has consultant responsibility for one of the day hospitals in Dundee and is director of psychotherapy training in Tayside. She took responsibility for developing the supportive psychotherapy protocol and for training and supervising the therapists. All therapists in both treatment conditions were offered bi-weekly supervision for the duration of their contact with patients in the trial.

#### **Outcomes Death:** Natural causes

Leaving the study early: Leaving due to any reason (non-adherence to study protocol)

Global state & service outcomes (e.g. CGI): Average score/change in global state GAS

Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state PANSS Total, PSYRATS Delusions, PSYRATS Hallucinations Mental state (e.g. BPRS, PANSS, BDI): Clinically significant response in mental state Clinically worthwhile improvement: 25% reduction in PANSS

Clinically important improvement: 50% reduction in PANSS

#### Satisfaction with treatment: Service user satisfaction

Other: Antipsychotic use (CPZ equivalents), increase/decrease in antipsychotic doses, discontinuation/change in antipsychotic

Quality 1.1 The study addresses an appropriate and clearly focused question.: Well covered

1.2 The assignment of subjects to treatment groups is randomised.: Well covered

1.3 An adequate concealment method is used.: Well covered

1.4 Subjects and investigators are kept 'blind' about treatment allocation .: Poorly addressed

1.5 The treatment and control groups are similar at the start of the trial.: Well covered

1.6 The only difference between groups is the treatment under investigation.: Adequately addressed

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered

1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Well covered

**1.10 Where the study is carried out at more than one site, results are comparable for all sites.**: Not addressed

2.1 How well was the study done to minimise bias?: ++

#### Study ID

Study ID	
	ENGLAND2007
General info	Funding source: Not mentioned
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	Type of analysis: Completer
	Blindness: Only raters blind
	Duration: No. weeks of treatment - 16
	Duration: Length of follow-up - 54 weeks after initiation of treatment
	Raters: Independent of treatment
	Design: Multi-centre - 4 clinical sites near a regional research centre, Canada
	<b>Number of people screened, excluded &amp; reasons:</b> 70 volunteers were referred to the study, 65 candidates met the inclusion criteria, 3 declined and 2 were excluded due to being unable to make an informed choice about treatment
	Notes about study methods: participants were randomly assigned using a random number table
Participants	Diagnosis: Other schizophrenia related [%] Schizoaffective disorder - not reported
	Diagnosis: Schizophrenia [% of sample] Not reported
	Diagnostic tool: DSM-IV

#### Inclusion criteria:

- ability to speak and understand English

- a DSM-IV label of schizophrenia or schizoaffective disorder

- negative voices in the previous 6 months

- adherence to prescribed medication

- neuroleptic medication regimen >=80% of the time

- competence to give informed consent as rated by the MacArthur Competence Assessment Tool.

Total sample size: No. randomised 65

Gender: Not stated

Age: Mean 41

Ethnicity: Not reported

Setting: Outpatient

History: Not reported

#### **Baseline stats:**

[Cognitive Nursing + TAU / TAU] BPRS: 51.0(9.8) / 51.1(7.9) RSCQ: 113.5(20.1) / 115.5(14.5)

Notes about participants: The participants reported a history of emotional (n=25, 38.5%), physical and emotional (n=13, 20.0%) or sexual (n=27, 41.5%), self-harm (n=49, 75.4%), or abuse of alcohol (n=52, 80.0%) or drugs (n=37, 56.9%). Most used nicotine (n=59, 90.8%) or marijuana (n=5, 7.7%).

All participants reported more than 80% adherence to a prescribed neuroleptic medication regimen.

Interventions Intervention - group 1.: Cognitive nursing intervention (CNI), 12, 90-minute sessions; n=44

**Intervention - group 2.:** TAU; n=21

Notes about the interventions:

TAU

Operationalised as a healthcare or service provider's routine use of communication strategies while providing psychiatric or primary care services including medication to voice hearers.

## CNI

12, 90-minute sessions of individualised counselling to voice hearers over a period of 4 months. The intervention was flexibly structured to accommodate real-time learning needs and requirements of each participant. The sessions involved A CBT approach including techniques of Socratic learning, verbal challenging, empirical reality testing, and home work assignments.

Training

CBT was delivered by an experienced psychiatric clinical nurse with specialist training at the graduate level. This person was blinded as to the nature of the UC participants received.

Outcomes Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - BPRS, RSCQ

**Quality 1.1 The study addresses an appropriate and clearly focused question.:** Adequately addressed

**1.2 The assignment of subjects to treatment groups is randomised.:** Adequately addressed

1.3 An adequate concealment method is used.: Poorly addressed

1.4 Subjects and investigators are kept 'blind' about treatment allocation .: Poorly addressed

1.5 The treatment and control groups are similar at the start of the trial.: Adequately addressed

**1.6 The only difference between groups is the treatment under investigation.**: Adequately addressed

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Adequately addressed

**1.8** What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Poorly addressed

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not addressed

2.1 How well was the study done to minimise bias?: +

#### Study ID

GARETY2008

General info Funding source: Non-industry support

Published or unpublished data?: Published

Method Type of study: Individual randomised trial

Type of analysis: ITT

Blindness: Only raters blind

Duration: No. weeks of treatment - 36

Duration: Length of follow-up - data collected at 12 months (after treatment) and 24 months (end of treatment + 12 months follow-up)

Raters: Independent of treatment

**Design:** Multi-centre - 5 locality mental health services in London and East Anglia: inner city London (2), suburban outer London (1), county town (Norwich) and rural centre (Norfolk)

Number of people screened, excluded & reasons: 683 patients meeting inclusion criteria were identified, 382 patients withheld consent. A total of 301 patients provided informed consent, of whom 218 entered pathway 1 (individual pathway) and 83 pathway 2 (carer pathway) Notes about study methods: Randomisation was stratified within each of the centres, and within inpatient or outpatient status at the time of relapse. Randomisation schedules were independently generated by a trial randomisation service in a separate location from all trial centres, using randomised permuted blocks with a block size randomly varying between 2-10 for the individual pathway and 3-9 in the carer pathway.

If patients had no carer they were invited to participate in the individual study. Those who identified a carer, a relative or friend with whom they lived or were in close contact >-10 hours per week, the patient was asked to give informed consent for the carer pathway study. The carers were then approached for their consent. At the trial recruitment midpoint it became apparent that otherwise eligible patients with carers had been excluded from the study because their carer had refused to participate. From this point in cases where patients or carers refused carer participation, participants with carers were offered entry to the individual pathway.

**Participants** Diagnosis: Other schizophrenia related [%] schizoaffective disorder = 13.3%

Delusional disorder = 1.3%

Diagnosis: Schizophrenia [% of sample] 85.4%

Diagnostic tool: DSM-IV

### Inclusion criteria:

- current clinical diagnosis of non-affective psychosis (F2 in the ICD-10 and DSM-IV)

- aged 18-65

- second subsequent psychotic episode starting <=3 months before they agreed to enter trial

- Rating >=4 for at least one positive symptom on the PANSS

# **Exclusion criteria:**

- primary diagnosis of alcohol or substance dependency, organic syndrome or learning disability

- a command of spoken English inadequate for engaging in psychological therapy

- unstable residential arrangements such that the likelihood of being available for the duration of the trail was low.

Total sample size: No. randomised 301

Total sample size: ITT population Primary outcome data at 24 months available for 295 participants

Gender: % female 30% Age: Mean 37 Ethnicity: White - 72.3% Black Caribbean - 7.6% Black African - 9.2% Black - other - 2.3% Indian - 1.6% Other - 7% Setting: Inpatient Setting: Outpatient History: Non carer pathway: [TAU / CBT] Inpatient, n: 78 / 76 Outpatient, n: 34 / 30 Mean length of illness, years: 9.9(8.7) / 10.9(8.1)Mean no. admissions: 4.4(4.4) / 5.0(5.6) History of violence: No: 79 / 66 Yes: 30 / 35 history of suicide or self-harm: No: 65 / 65 Yes: 42 / 35

Carer Pathway: [TAU / CBT / FI] Inpatient, n: 18 / 16 / 16 Outpatient, n: 10 / 11 / 12 Mean length of illness, years: 10.5(8.6) / 10.9(9.7) / 13.3(11.8) Mean no. admissions: 4.6(5.50 / 3.4(3.2) / 6.5(9.2) History of violence: No: 23 / 20 / 21 Yes: 5 / 7 / 7 History of suicide or self-harm: No: 15 / 16 / 14 Yes: 13 / 11 / 12

# **Baseline stats:**

Non-carer pathway: [TAU / CBT] PANSS total: 66.26(15.91) / 62.32(13.49)

Carers pathway:

[TAU / CBT / FI] PANSS total: 64.11(15.28) / 66.89(14.26) / 70.93(13.36)

Interventions Intervention - group 1.: CBT, 12-20 sessions; non-carers pathway n=106; carer pathway n=27

Intervention - group 2.: FI, 12-20 sessions; carer pathway n=28

**Intervention - group 3.:** TAU; non-carers pathway n=112; carer pathway n=28

# Notes about the interventions:

# TAU

Consisted of good standard care, delivered according to national and local service protocols and guidelines, including the prescription of antipsychotic medication. TAU did not preclude the provision of psychological intervention, although in practice this was relatively rare.

# CBT

Adaptation of generic CBT for psychosis manual. It was specifically aimed at targeting key aspects of relapse prevention. The first stage focused on engagement and assessment. A central focus of the work was developing a shared formulation of relapse, including where appropriate a new model of disorder emphasising alternatives to delusional thinking. therapists then attempted to target the key problems associated with vulnerability to relapse. The last stage involved developing a set of self regulatory strategies to manage relapse.

# FI

Followed a manualised approach with an emphasis on improving communication, offering discussion of up-to-date information about psychosis, problem solving, reducing criticism and conflict, improving activity, and emotional processing of grief, loss and anger. Sessions focused on one problem at a time and were aimed at an individual formulation of each family's problem as they defined them. There was a particular focus on relapse prevention.

# Training for CBT

Five lead trial therapists, all doctorate level or equivalent clinical psychologists provided therapy to 72% of total treatment cases. A further 37 CBT treatment cases were seen by therapists employed by the local mental health services, these were a mixture of doctoral clinical psychologists and nurses who had received specialist training in CBT. All therapists were required to demonstrate competence in CBT. This was followed by a period of intensive training in workshops with both the expert CBT therapists on the trial and external experts. Lead therapists from each centre met monthly for case discussion and supervision with the expert CBT therapists.

# Training for FI

FI involved a lead and co-therapist working together. The five lead therapists for CBT also acted as the lead FI therapists. All lead therapists were required to show in-depth knowledge of evidence-based FI in psychosis and to demonstrate key techniques in role-play. They also attended intensive training from an expert FI therapist. All co-therapists attended FI training workshops or received individual training from a trial lead therapist. The local therapists were a mix of doctorate level clinical psychologists and nurses who had received training in FI. The trial lead therapists were provided with specialist expert monthly supervision throughout the trial, and attended advanced skills workshops

	by experts. The lead therapists also meet fortnightly for peer supervision and case presentations.
	Family/carer involvement: Both person with schizophrenia and their family/carer
Outcomes	Death: Natural causes
	Leaving the study early: Leaving due to any reason (non-adherence to study protocol)
	Global state & service outcomes (e.g. CGI): Relapse following full remission: Data reported but because number of people achieving remission was low, data difficult to interpret.
	Relapse ratings were made using a published method employed in a previous RCT. Relapse ratings are based on evidence of the re-emergence of, or significant deterioration in, positive psychotic symptoms of at least moderate degree persisting for at least 2 weeks
	<b>Global state &amp; service outcomes (e.g. CGI):</b> Remission ratings were made using a published method employed in a previous randomised controlled trial. Ratings are based on changes in positive psychotic symptoms. Evidence is required of improvement in (for partial remission) or absence of (for full remission) positive psychotic symptoms continuing for at least 4 weeks.
	Global state & service outcomes (e.g. CGI): Re-hospitalisation
	Global state & service outcomes (e.g. CGI): Days in hospital
	Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - PANSS total, positive and negative
	General and psychosocial functioning (e.g. SFS): Average score/change in general functioning - Social and Occupational Functioning Assessment Scale & Time Budget
	Quality of Life: Average score/change in quality of life - EUROQOL
	Other: Beck Depression Inventory
Quality	1.1 The study addresses an appropriate and clearly focused question.: Well covered
	1.2 The assignment of subjects to treatment groups is randomised.: Well covered
	1.3 An adequate concealment method is used.: Well covered
	1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Adequately addressed
	1.5 The treatment and control groups are similar at the start of the trial.: Well covered
	1.6 The only difference between groups is the treatment under investigation.: Well covered
	1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered
	1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: $<20\%$
	<ul><li>1.9 All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis).</li><li>: Well covered</li></ul>

Appendix 22c

**1.10 Where the study is carried out at more than one site, results are comparable for all sites.**: Adequately addressed **2.1 How well was the study done to minimise bias**?: ++

# Study ID

-	GRANHOLM2005
General info	Funding source: Non-industry support
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	<b>Type of analysis:</b> ITT - analyses were used to examine all outcome variables. Missing data were replaced by within-group means of the missing values.
	Blindness: Only raters blind
	Duration: No. weeks of treatment - 24
	Raters: Independent of treatment
	Design: Multi-centre - All centres were based in the US
	<b>Number of people screened, excluded &amp; reasons:</b> 87 participants were screened; 11 were excluded due to: refusal to complete baseline assessment (n=4), disabling medical illness (n=4), current substance abuse (n=3)
	<b>Notes about study methods:</b> A stratified randomisation procedure was used to assign participants to treatments within sites, with the constraint of equal numbers of patients from each site would be assigned to the two conditions according to a sequential list of random numbers.
Participants	Diagnosis: Schizophrenia [% of sample] 63%
	Diagnosis: Other schizophrenia related [%] Schizoaffective disorder = 37%
	Diagnostic tool: DSM-IV
	Exclusion criteria: - disabling medical problems that would interfere with testing - absence of medical records to inform diagnosis
	- diagnosis of dependence on substances other than nicotine or caffeine within the past 6 months
	Total sample size: No. randomised - 76
	Total sample size: ITT population - 76
	Gender: % female 73.5%
	Age: Mean 54

Age: Range 42-74

**Ethnicity:** 78% were of Caucasian ethnicity

Setting: Other community-dwelling patients

# **History:**

[TAU +CBSST / TAU] Age at onset: 26.4(10.9) / 24.7(10.0) Illness duration: 30.1(11.3) / 28.4(10.5)

### **Baseline stats:**

[TAU + CBSST / TAU] Beck cognitive insight scale: 4.1(5.3) / 5.9(4.7) PANSS: 51.5(13.2) / 56.1(14.8) HAM-D: 13.5(9.0) / 14.2(8.8) Independent Living Skills Survey: 0.69(0.10) / 0.71(0.09) ICSD Performance-based skills assessment: 0.73(0.18) / 0.67(0.17)

### Notes about participants:

Participant mediation 1+ Atypical antipsychotics = 46 Typical antipsychotics = 17 Both typical and atypical = 7 No antipsychotic medication = 6

Interventions Intervention - group 1.: TAU + CBSST (Cognitive behavioural social skills training); n=37

Intervention - group 2.: TAU control; n=39

# Notes about the interventions:

TAU

Patients continued in whatever ongoing care they were receiving. No medication guidelines were provided as part of this protocol. To characterise TAU, a standardised service utilisation interview was administered to all participants. 82% reported a psychotropic medication visit in the 6 weeks preceding study entry. 19% reported receiving any form of psychotherapy.

CBSST

CBSST was conducted in 24 weekly 2-hour group sessions. The treatment manual included a patient workbook that contained homework forms. CBSST targeted the multidimensional deficits that lead to disability in aging patients with schizophrenia. The social skills training modules were based on modules in the UCLA social and independent living skills series, whilst the cognitive components were developed specifically for patients with schizophrenia. The age-relevant content modifications included identifying and challenging ageist beliefs, age-relevant role-playing situations and age-specific problem solving. The modules were repeated to compensate for cognitive impairment.

Outcomes	Global state & service outcomes (e.g. CGI): Re-hospitalisation
	<b>Mental state (e.g. BPRS, PANSS, BDI):</b> Average score/change in mental state - PANSS; HAM-D; Beck Cognitive Insight Scale; Comprehensive Module Test.
	General and psychosocial functioning (e.g. SFS): Average score/change in general functioning - Independent living Skills Survey; UCSD Performance-Based Skills Assessment
Quality	1.1 The study addresses an appropriate and clearly focused question.: Well covered
	1.2 The assignment of subjects to treatment groups is randomised.: Well covered
	1.3 An adequate concealment method is used.: Adequately addressed
	1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed
	1.5 The treatment and control groups are similar at the start of the trial.: Well covered
	1.6 The only difference between groups is the treatment under investigation.: Adequately addressed
	1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered
	1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: $<20\%$
	<b>1.9</b> All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Well covered
	1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Well covered
	2.1 How well was the study done to minimise bias?: ++
Study ID	
	GUMLEY2003
General info	Funding source: Non-industry support
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	Type of analysis: ITT - Missing data not imputed

Blindness: Open

-

**Duration:** Length of follow-up 52 weeks

**Duration:** No. weeks of treatment - 12 with an additional intensive targeted phase (2 to 3 sessions per week) at the appearance of early signs of relapse.

**Raters:** Not stated to be independent of treatment

### Design: Multi-centre - 6 CMHTs in Ayrshire and 2 CMHTs in Glasgow

### Number of people screened, excluded & reasons:

742 case notes screened

237 fulfilled initial criteria

93 failed entry criteria (not approached by keyworker, excluded by RMO, refused, or diagnosis incorrect)

144 randomised

# Notes about study methods:

Randomisation: After the interview the patient was randomised according to predetermined envelopes containing the treatment group to which participants would be allocated (TAU or CBT) devised by one of the authors, which was unbeknown to the assessors, therapist or participants. A member of the research team opened an envelope that informed as to which group individual participants were to be allocated. Another member of the team witnessed this procedure, and the envelope was placed in the participant's case file.

# Participants Diagnosis: Schizophrenia [% of sample] 82%

Diagnosis: Other schizophrenia related [%] Schizoaffective 15%

Schizophreniform 1% Delusional disorder <1% Psychotic disorder NOS <1%

# Diagnostic tool: DSM-IV

# Inclusion criteria:

- DSM-IV criteria for schizophrenia or a related disorder confirmed by SCID
- Aged between 18 and 65
- Receiving antipsychotic medication

- Considered relapse prone by one or more of the following characteristics : (1) a history of relapse in the last 2 years; (2) their keyworker viewed them as living in a stressful environment (e.g. a home environment characterized by high levels of expressed emotion); (3) living alone or socially isolated ; (4) nonadherence with antipsychotic medication (where this was viewed as problematic by the participant's keyworker and/or prescribing psychiatrist) ; and (5) being on a neuroleptic dosage reduction programme.

# **Exclusion criteria:**

- Non-English speaker
- Had organic brain disorder
- Presence of significant learning disability
- Severe positive psychotic symptoms (PANSS Positive subscale >=5)
- Primary drug or alcohol dependence disorder (based on the opinion of the key worker)
- In receipt of a concurrent psychotherapy outside the study.

# Total sample size: No. randomised - 144

Total sample size: ITT population 144

Gender: % female 27%

Age:

Mean CBT: 35.8 (9.6) TAU: 36.7 (10.1)

Ethnicity: Not reported

Setting: Outpatient

History:

[CBT / TAU] Duration of illness (months): 113 (81) / 114 (84) History of relapse: 53% / 57% History of admission: 39% / 52%

# **Baseline stats:**

[CBT / TAU] PANSS Global: 31.7 (7.5) / 29.3 (6.6) BSI GSI: 1.32 (0.80) / 1.05 (0.70)

Interventions Intervention - group 1.: CBT: 5 sessions over 12 weeks, then 2-3 sessions/week at the appearance of early signs of relapse; n=72

Intervention - group 2.: TAU; n=72

Notes about the interventions:

CBT

Engagement phase centred on cognitive model of relapse and monitoring early signs of relapse. Targeted phase at first signs of relapse consisted of detailed assessment, identifying negative beliefs, developing alternative beliefs and reinforce through behaviour change.

TAU

All participants received usual treatment, i.e. ongoing medication, regular psychiatric review, follow-up from keyworker, access to wider multidisciplinary CMHT

Training

A clinical psychologist provided all CBT sessions.

Outcomes

-

Global state & service outcomes (e.g. CGI): Time to relapse

Leaving the study early: Leaving due to any reason (non-adherence to study protocol)

Global state & service outcomes (e.g. CGI): Relapse: hospital admission or increase in positive symptoms ( defined as 50% increase in PANSS

-

	over 7 days where baseline PANSS >=3, or a 3 point increase in PANSS over 7 days where baseline PANSS <3)
	Global state & service outcomes (e.g. CGI): Re-hospitalisation
	Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - PANSS
	General and psychosocial functioning (e.g. SFS): Average score/change in general functioning - SFS
	<b>General and psychosocial functioning (e.g. SFS):</b> Clinically significant response in general functioning - SFS: any movement of +-2 SDs (only reported for the 7 individual subscales and not the SFS total)
Quality	1.1 The study addresses an appropriate and clearly focused question.: Well covered
	1.2 The assignment of subjects to treatment groups is randomised.: Well covered
	1.3 An adequate concealment method is used.: Well covered
	1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed
	1.5 The treatment and control groups are similar at the start of the trial.: Adequately addressed
	1.6 The only difference between groups is the treatment under investigation.: Adequately addressed
	1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered
	1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: $<20\%$
	<b>1.9 All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis).</b> : Adequately addressed
	1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not addressed
	2.1 How well was the study done to minimise bias?: +
Chu day ID	
Study ID	JACKSON2005
General info	Funding source: Non-industry support
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	Type of analysis: ITT- All randomised were included in analyses. Missing data imputed by EM method in SPSS.
	Blindness: Only raters blind
	Duration: Length of follow-up - Hospital admission data was follow-up for 4 years after the end of treatment

Duration: No. weeks of treatment - 52

Raters: Independent of treatment

Design: Single-centre - Western Melbourne, Australia

Number of people screened, excluded & reasons: 118 referred, 25 met exclusion criteria, 2 missed pre-test; 91 randomised

Notes about study methods: Randomisation by trial co-ordinator by sequential assignment

**Participants** Diagnosis: Other schizophrenia related [%] Schizophreniform 12%

Schizoaffective 15%

Delusional/Psychotic 9%

**Diagnosis:** Schizophrenia [% of sample] 31%

Diagnosis: Other [%] Bipolar/depressive 32%

**Diagnostic tool:** Other DSM

### Inclusion criteria:

- Aged 15-29 years

- Experiencing a first episode of psychosis

- Fluent English
- Live within EPPIC's catchment area.

### **Exclusion criteria:**

- Organic cause for psychosis (e.g. cerebral tumour revealed on MRI scan)

- Epilepsy
- Evidence of IQ <70
- Diagnosis of substance dependence.

Total sample size: No. randomised - 91

Total sample size: ITT population - 91

Gender: % female 23%

Age: Mean

COPE: 22.49 (3.40) TAU: 22.50 (3.27)

Ethnicity: Not reported

Setting: Outpatient

# History:

-

[COPE / TAU] Age of onset: 22.11 (3.47) / 21.93 (3.39) Length of psychosis (days): 160.29 (149.76) / 164.63 (238.39) Length of hospitalisation (days): 22.16 (34.08) / 12.72 (14.40)

# **Baseline stats:**

[COPE / TAU] BPRS: 16.51 (6.94) / 17.85 (8.21) SANS: 19.73 (13.00) / 20.76 (13.73)

# Notes about participants:

Medication administered in line with a low-dose protocol. Chlorpromazine equivalents: COPE: 246.89 (275.72) TAU: 280.76 (287.18)

Interventions Intervention - group 1.: COPE: n=45

Intervention - group 2.: No COPE (TAU only): n=46

# Notes about the interventions:

COPE (cognitively oriented psychotherapy for early psychosis)

Sessions were approx. 40 minutes in duration and were held weekly or fortnightly, although this was somewhat flexible. COPE consists of four phases: engagement, assessment, adaptation, and secondary morbidity. The therapy was manualised. The therapist typically spent the initial 3-4 sessions assessing and engaging with the patient. A therapeutic agenda was developed with the patient, usually by session 4 (engagement and assessment phases) which formed the basis for targeting issues of adaptation and secondary morbidity. Typically, the COPE agenda would include psychoeducation, stigma and identity issues, and focus on the patient's problems with motivation and confidence. Such issues were dealt with using techniques derived from a cognitive behavioral framework. Participants also received usual treatment from EPPIC.

# TAU

Standard EPPIC (Early Psychosis Prevention and Intervention Centre) treatment include: early detection, mobile assessment and home-based treatment, inpatient unit, outpatient case management, family work, accommodation, prolonged recovery programmes, tailored group programmes and mobile outreach team for 'difficult to engage' youth.

# Training

There were five therapists comprising two consultant psychiatrists and three clinical psychologists. All received weekly group supervision and also weekly peer supervision on a rotational basis. The COPE therapist was an 'auxiliary therapist' in the treating team, but was never also the treating medical doctor or case manager.

**Outcomes** Leaving the study early: Leaving due to any reason (non-adherence to study protocol)

Global state & service outcomes (e.g. CGI): Re-hospitalisation No. re-admitted each year - time to re-admission Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - BPRS, SANS, BDI, GSI, General and psychosocial functioning (e.g. SFS): Average score/change in general functioning - SOFAS

-

	Quality of Life: Average score/change in quality of life - QLS
Quality	1.1 The study addresses an appropriate and clearly focused question.: Well covered
	1.2 The assignment of subjects to treatment groups is randomised.: Poorly addressed
	1.3 An adequate concealment method is used.: Poorly addressed
	1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed
	1.5 The treatment and control groups are similar at the start of the trial.: Well covered
	1.6 The only difference between groups is the treatment under investigation.: Well covered
	1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered
	1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: $<20\%$
	<b>1.9</b> All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Well covered
	1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable
	2.1 How well was the study done to minimise bias?: +
Study ID	
-	IACKSON2007

	J. 1010 01 (200)
General info	Funding source: Non-industry support
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	<b>Type of analysis:</b> ITT - Analyses were performed on all 62 participants and follow-up interviews were conducted where possible, regardless of whether they had withdrawn.
	Ten multiply imputed datasets were generated to deal with missing responses
	Blindness: Only raters blind
	Duration: No. weeks of treatment - Up to 14 weeks maximum
	Duration: Length of follow-up 1 year
	Raters: Independent of treatment
	Design: Single-centre - Early Psychosis Prevention Centre (EPPIC), Melbourne, Australia

Number of people screened, excluded & reasons: 427 people screened, of which 111 were excluded due to ineligibility, a further 126 people

referred within the time-frame could not be approached e.g. no response to telephone calls/ letters, DNA at appointments. Therefore 190 people were approached for inclusion into the study. Of these 128 refused to participate.

**Notes about study methods:** Randomisation was stratified according to affective and non-affective psychotic diagnosis to ensure equal distribution across therapists and treatment conditions. The randomisation process was conducted by an independent statistician.

Participants Diagnosis: Schizophrenia [% of sample] 13%

**Diagnosis:** Other schizophrenia related [%] schizophreniform - 40% schizoaffective - 11%

Diagnosis: Other [%] himelar

**Diagnosis:** Other [%] bipolar / depressive - 21% Delusional / psychotic (NOS) - 15%

**Diagnostic tool:** DSM-IV

#### **Exclusion criteria:**

- inability to speak English
- intellectual disability (IQ<70)
- psychosis due to a medical condition
- change to non-psychotic diagnosis
- left the EPPIC catchment area
- treatment from a private psychiatrist/ psychologist
- participating in a first-episode mania trial
- exhibiting violent behaviour or being incarcerated

Total sample size: No. randomised 62

Total sample size: ITT population 53 at end of treatment, 55 at follow-up

Gender: % female 27%

**Age:** Range EPPIC age range = 15-25

Age: Mean 22

Ethnicity: Not reported

**Setting:** Other EPPIC - a comprehensive treatment service which included an inpatient unit, an outpatient case management system, family work, accommodation, prolonged recovery programmes and tailored group programmes.

# **History:**

[ACE / befriending] Mean age of onset of psychosis: 21.58(3.49) / 21.67(4.20) Median length of psychosis (untreated) in days: 83 / 107 Number of inpatient hospitalisation: 12 / 14

### **Baseline stats:**

[ACE / Befriending] Positive symptoms (psychotic subscale of BPRS): 11.68(4.17) / 12.29(4.50) Negative symptoms (SANS): 22.55(11.66) / 25.55(14.86) SOFAS: 52.10(11.77) / 51.84(7.09)

### Notes about participants:

[ACE / Befriending] Mean neuroleptic dosage in CPZ equiv: 224(112) / 297(136) Number who received ECT: 4 / 1

Interventions Intervention - group 1.: ACE (Active Cognitive Therapy for Early Psychosis), Maximum of 20 sessions of therapy over 14 weeks; n=31

Intervention - group 2.: Befriending; n=31

Notes about the interventions:

ACE

-The ACE manual utilised an adapted approach derived form other manualised CBT interventions

-Involves the assessment of presenting psychotic and non-psychotic symptoms followed by the formulation of the relationship between these complaints and the participant's life history. Problems are prioritised according to a flowchart that directed the ACE therapy.

### Befriending

-based on the befriending therapy used in previous studies

-aimed to control for time in therapy, participant expectations and positive experiences of therapy.

-consisted of talking about neutral topics that interested the participant or engaging in activities such as board games, walking or playing sport. The therapist's primary goal was to keep the participant engaged for the full duration of the session and to keep the conversation or activity as close to a neutral chat as possible.

Training

The therapists received 3 months of training in the treatments and were supervised throughout the trial.

### Outcomes Death: Suicide

Leaving the study early: Leaving due to any reason (non-adherence to study protocol)

Global state & service outcomes (e.g. CGI): Re-hospitalisation

Global state & service outcomes (e.g. CGI): Days in hospital

Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state Positive symptoms - measured using the psychotic subscale of the BPRS, SANS

	General and psychosocial functioning (e.g. SFS): Average score/change in general functioning SOFAS
Quality	1.1 The study addresses an appropriate and clearly focused question.: Well covered
	1.2 The assignment of subjects to treatment groups is randomised.: Well covered
	1.3 An adequate concealment method is used.: Well covered
	1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed
	1.5 The treatment and control groups are similar at the start of the trial.: Adequately addressed
	1.6 The only difference between groups is the treatment under investigation.: Well covered
	1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Adequately addressed
	1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: $<20\%$
	<b>1.9</b> All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Adequately addressed
	1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable
	2.1 How well was the study done to minimise bias?: +
Study ID	JENNER2004

General info	Funding source: Non-industry support
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	Type of analysis: ITT - All participants randomised and who gave consent
	Blindness: No mention
	Duration: No. weeks of treatment - 36
	Raters: Independent of treatment
	Design: Single-centre - The Netherlands
	<b>Number of people screened, excluded &amp; reasons:</b> 100 approached, 22 ineligible, 2 more which were excluded after randomisation as one was found to have concealed primary substance abuse and the other was assigned to control but erroneously received experimental treatment.
	<b>Notes about study methods:</b> Randomisation by minimisation procedure, conducted by independent medical technology unit of the university hospital.
Participants	Diagnosis: Schizophrenia [% of sample] Paranoid schizophrenia 78%

**Diagnosis:** Other schizophrenia related [%] Schizoaffective 15% Psychosis NOS 7%

Diagnostic tool: Other method - SCAN interview

### Inclusion criteria:

- Experiencing auditory hallucinations for >2 years after adequate treatment

- Diagnosis of non-affective psychosis, including schizophrenia, schizoaffective and psychotic disorder NOS
- Former use of at least two antipsychotics in adequate doses or period according to Dutch Psychiatric Association guidelines
- No previous CBT for auditory hallucinations
- No current misuse of psychoactive drugs or alcohol (moderate use of cannabis or alcohol was allowed)

- Estimated IQ >80.

Total sample size: No. randomised - 80

Total sample size: ITT population - 69

Gender: % female 46%

Age: Mean 36 (11.2)

Ethnicity: No mention

Setting: Outpatient

**History:** Duration of hallucinations (years): 12 (10.4) Lifetime admissions: 3

# **Baseline stats:**

[HIT / TAU] PANSS Total: 60.0 (15.6) / 60.4 (12.5)

**Interventions Intervention - group 1.:** HIT; n=37

Intervention - group 2.: TAU; n=39

# Notes about the interventions:

Hallucination-focused integrated treatment (HIT)

Multimodal intervention focusing on regaining control and command over persistent hallucinations, integrating motivational, behavioural, cognitive, psychoeducational and rehabilitative elements. The approach is a directive style of single family therapy that integrates motivational interventions, training in coping skills, CBT, psychoeducation and operant conditioning regarding medication. Positive outreach crisis intervention was available around the clock. Programme comprised of approx. 20 1-hour sessions over 9 to 12 months.

# TAU

Routine care delivered by community mental health teams, includes psychiatric, social, financial, occupational management, crisis

	intervention, and day patient care (drop-in centres and rehabilitation activities).
	Where possible, contact time was controlled in the two conditions to be similar.
Outcomes	Leaving the study early: Leaving due to any reason (non-adherence to study protocol)
	Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - PANSS, PSYRATS, AHCL (Auditory Hallucinations Coping List)
	General and psychosocial functioning (e.g. SFS): Average score/change in general functioning - Social Disabilities Schedule
	Engagement with services (e.g. SES): Average score/change in engagement with services - Adherence to treatment
	Other: Use of medications (antipsychotics and adjuncts)
Quality	1.1 The study addresses an appropriate and clearly focused question.: Well covered
	1.2 The assignment of subjects to treatment groups is randomised.: Adequately addressed
	1.3 An adequate concealment method is used.: Well covered
	1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Not reported adequately
	1.5 The treatment and control groups are similar at the start of the trial.: Well covered
	1.6 The only difference between groups is the treatment under investigation.: Well covered
	1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered
	1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: $<20\%$
	<b>1.9</b> All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Adequately addressed
	1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable
	2.1 How well was the study done to minimise bias?: ++

# Study ID

2	LECLERC2000
General info	Funding source: Non-industry support
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	Type of analysis: Completer
	Type of analysis: ITT - Those who dropped out were allocated to an ITT group although some never attended a single therapy sessions all

completed each of the evaluations at the 3 time points. The authors note that "the fact that they were paid for each of these evaluations may help to explain their assiduousness in this regard" Blindness: Only raters blind Duration: No. weeks of treatment - 12 Duration: Length of follow-up - 6 months follow-up Raters: Independent of treatment Design: Multi-centre - three different treatment setting in Montreal, Canada Number of people screened, excluded & reasons: Not reported Notes about study methods: Randomisation procedure not reported Participants Diagnosis: Schizophrenia [% of sample] % Not reported **Diagnosis:** Other schizophrenia related [%] schizoaffective disorder - % not reported Diagnosis: Other [%] paranoid psychosis - % not reported Diagnostic tool: Other DSM DSM-III-R **Inclusion criteria:** - diagnosis of schizophrenia, schizoaffective disorder or paranoid psychosis. - ability to speak, read and write French - able to give informed consent Total sample size: No. randomised - 99 Gender: % female 27% Age: Mean 40.6(10.7) Ethnicity: 89.2% were of French-Canadian origin Setting: Inpatient Setting: Outpatient **History:** Age of first hospitalisation = 24.2(6.8). [CBT / control / ITT group] number of years of lifetime hospitalisation: 17.83(11.74) / 11.80(8.65) / 11.88(7.66) mean number of hospitalisations: 4.19(3.79) / 3.77(3.95) / 4.47(2.50) **Baseline stats:** [CBT / control group] PANSS positive: 2.38(0.88) / 2.26(0.79)

	PANSS negative: 2.34(1.01) / 2.49(1.13) PANSS general: 1.89(0.54) / 1.89(0.57)
Interventior	<b>Ins Intervention - group 1.:</b> Coping Skills module, 24 group meetings over 12 weeks; n=55
	<b>Intervention - group 2.:</b> Control; n=44
	Notes about the interventions: Coping skills module The module developed by the first author comprises 24 group meetings over 12 weeks, each lasting 60 minutes. Paperwork, discussion and peer support are part of these sessions and the module includes a notebook containing the entire contents of the meetings. The module consists of seven steps each with their own goals and pencil-and-paper exercises. The module's training for coping and its subsequent use was designed to foster the development of competence and have a positive influence on self-esteem. The group leader helps participants use situations in their daily life and review the whole process as often as possible.
	Training
	The six groups were led by the first author and a women co-leader.
Outcomes	Leaving the study early: Leaving due to any reason (non-adherence to study protocol)
	Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - PANSS negative, positive and general subscales; RSES
	General and psychosocial functioning (e.g. SFS): Average score/change in general functioning - ILSS
	<b>Other:</b> Stress appraisal measure Cybernetic coping scale
Quality	1.1 The study addresses an appropriate and clearly focused question.: Adequately addressed
	1.2 The assignment of subjects to treatment groups is randomised.: Not reported adequately
	1.3 An adequate concealment method is used.: Not addressed
	1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed
	1.5 The treatment and control groups are similar at the start of the trial.: Adequately addressed
	1.6 The only difference between groups is the treatment under investigation.: Adequately addressed
	1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Adequately addressed
	1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: 20-50%
	<ol> <li>1.9 All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis).</li> <li>Adequately addressed</li> </ol>
	1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not addressed

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# 2.1 How well was the study done to minimise bias?: +

Study ID	LECOMTE2008
General info	Funding source: Non-industry support
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	Type of analysis: ITT analyses were performed on the entire sample
	For the HLM model drop-outs were not excluded from the analyses as long as they had completed at least one assessment time.
	Blindness: Only raters blind
	Duration: Length of follow-up - 6 months
	Duration: No. weeks of treatment - 12
	Raters: Independent of treatment
	<b>Design:</b> Multi-centre - Various early psychosis intervention programmes and community mental health clinics in Quebec and British Columbia, Canada
	<b>Number of people screened, excluded &amp; reasons:</b> Of the 210 people approached, 129 met inclusion criteria and gave informed consent. Of the 210 people approached, 38 refused consent, 7 were not eligible and 36 withdrew before randomisation.
	<b>Notes about study methods:</b> Participants were randomised by cohort in one of two ways. The first cohorts were randomised between the three groups only once a sufficient number of clients were recruited in order to simultaneously run the two treatment groups and the control group from the same site. For the less populated sites, such as certain suburbs, once sufficient numbers were recruited to run one treatment group and half a control group, the clients were randomised between the two, and the chosen treatment was decided by randomisation as well.
Participants	Diagnosis: Schizophrenia [% of sample] - 75% had a primary diagnosis in the schizophrenia spectrum
	Diagnosis: Other [%] - 25% had a primary diagnosis of a mood disorder with psychotic features.
	Diagnostic tool: DSM-IV
	<ul> <li>Inclusion criteria:</li> <li>aged 18 - 35,</li> <li>fluent (verbally as well as reading and writing skills) in one of the official languages (English and French),</li> <li>currently presenting with persistent or fluctuating psychotic symptoms (defined as delusions or hallucinations appearing occasionally, such as in periods of stress)</li> <li>consulted for the first time a mental health professional for psychotic symptoms in the past two years</li> </ul>

- non-affective psychosis was preferred but individuals with unclear diagnoses at the time of the referral were also accepted.

### **Exclusion criteria:**

- experiencing an organic disorder

- already received one of the interventions

- not being able to give informed consent (verified by a true-false questionnaire)

Total sample size: No. randomised 129

Total sample size: ITT population 129 - (although table 2 used only those available at follow-up)

Gender: % female 27%

Age: Mean 24

**Ethnicity:** Caucasian - 66% Asian - 10% First Nation - 3%

Other - 21%

Setting: Outpatient - Individuals were only recruited once they had been discharged from hospital

# **History:**

[CBT / SM / Control] Age of first hospitalisation: 21.7 / 22.0 / 21.7

# **Baseline stats:**

[CBT / SM / Control] BPRS total: 42.7 / 41.0 / 41.3

Notes about participants: Participants had been diagnosed with a psychotic disorder for an average of 1.2 year (S.D. 0.44) prior to entering the study.

Interventions Intervention - group 1.: CBT, 24 sessions, twice weekly over 12 weeks; n= 48

Intervention - group 2.: Skills training symptom management (SM), 24 sessions, twice weekly over 12 weeks; n=54

Intervention - group 3.: Control; N=27

# Notes about the interventions:

CBT

-

The CBT manual was developed by three of the authors and integrates the principles and philosophy of individual CBT for psychosis, but adapted to a group format and tailored for first episodes. The manual is in 4 parts: 1) Stress: how it affects me, 2) Testing hypotheses and looking for alternatives, 3) Drugs, alcohol and how I feel, 4) Coping and competence.

# SM

The manual used in this study was the symptom management (SM) module developed by UCLA Psychiatric Rehabilitation Consultants. The treatment aims at building four skill areas: 1) Identifying warning signs of relapse, 2) Managing warning signs, 3) Coping with persistent symptoms and, 4) Avoiding alcohol and street drugs. Each section follows the exact same format: Introduction to skill area, Videotape questions and answers, Role-plays, Resource management, Outcome problems (problem solving), In vivo exercises and, Homework assignments. The therapists are instructed to model appropriate interaction styles and behaviors, and to teach clients how to effectively use the skills by using repetition and encouragements.

### Control

Clients in the control group could receive on of the two treatments, should they still wish to, after being in the study for a minimum of 9 months.

### Training

Each intervention was led by two co-therapists of different genders, one from the site's mental health team and one from the research team. The therapists all had previous experience working with individuals with psychosis (average: 6 years) and had a degree in occupational therapy (20%), nursing (50%), psychology (10%), or social work (20%). None had previous training in CBT, and less than one-third had experience in skills training or group experience. The therapists received 2 days of intensive training in the treatment they were to offer by one of the authors. Approximately 50% of the therapists offered both treatments; being trained in SM first and conducting a group before receiving the CBT training. All the sessions were videotaped for quality control.

Outcomes Leaving the study early: Leaving due to any reason (non-adherence to study protocol)

Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - BPRS; RSES

General and psychosocial functioning (e.g. SFS): Average score/change in general functioning - Social provision scale

General and psychosocial functioning (e.g. SFS): Clinically significant response in general functioning defined as a drop in BPRS scores of two or more on any BPRS item. (A drop of 10 on the total score for the CBT group reflects a significant improvement for most participants.)

**Other:** self-report measures on medication change

Cybernetic coping skills

Insight scale

Addiction Severity scale

- Quality 1.1 The study addresses an appropriate and clearly focused question.: Well covered
  - 1.2 The assignment of subjects to treatment groups is randomised.: Not reported adequately
  - 1.3 An adequate concealment method is used.: Not addressed
  - 1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed
  - 1.5 The treatment and control groups are similar at the start of the trial.: Adequately addressed

1.6 The only difference between groups is the treatment under investigation.: Well covered

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered

**1.8** What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: 20-50% Although in total - 70% were not followed up in the SM group at 6 months.

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Well covered

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Well covered

2.1 How well was the study done to minimise bias?: +

### Study ID

Study ID	MCLEOD2007
General info	Funding source: Not mentioned
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	Type of analysis: Completer - All participants completed the study
	Blindness: No mention
	<b>Duration:</b> Length of follow-up - 6 months - although data does not seem to be reported for 6 month follow-up. Data is reported for baseline and post-treatment only
	Duration: No. weeks of treatment - 8
	Raters: Independent of treatment
	Design: Single-centre - No details
	<b>Number of people screened, excluded &amp; reasons:</b> 29 people were referred, 4 had benevolent voices and chose not to participate, 3 found the concept of a group too threatening and 2 did not want to travel to the group.
	Notes about study methods: Randomisation procedure not reported
Participants	Diagnosis: Schizophrenia [% of sample] 100%
	Diagnostic tool: DSM-IV
	Inclusion criteria: DSM-IV diagnosis of schizophrenia and experiencing auditory hallucinations.
	Exclusion criteria: Not stated
	Total sample size: No. randomised 20
	Gender: Not stated

	Age: Mean - Details not reported
	Ethnicity: Not reported
	Setting: Inpatient
	Setting: Outpatient
	History: Not reported
	Baseline stats: Not reported in either part 1 or 2
Intervention	ns Intervention - group 1.: CBT, 8 sessions; n=10
	Intervention - group 2.: TAU; n=10
	Notes about the interventions:
	Experimental group
	8-week programme utilising coping strategy enhancement, power and control cognitive behavioural interventions. The sessions were based
	upon a CBT approach and had a specific structure and format of aims and objectives. The techniques of traditional cognitive therapy, along with Socratic questioning, reflection and summarising were used.
	Control group
	Received TAU which included routine individual follow-up
	Training
	No details reported
Outcomes	Other: Frequency of voices; perceived power of voices and level of distress
	NB: Outcomes were reported in part 2 [McLeod 2007a]
Quality	1.1 The study addresses an appropriate and clearly focused question.: Adequately addressed
~ ,	1.2 The assignment of subjects to treatment groups is randomised.: Not reported adequately
	1.3 An adequate concealment method is used.: Not addressed
	1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed
	1.5 The treatment and control groups are similar at the start of the trial.: Poorly addressed
	1.6 The only difference between groups is the treatment under investigation.: Adequately addressed
	1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Adequately addressed
	1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Poorly addressed

**1.10 Where the study is carried out at more than one site, results are comparable for all sites.:** Not applicable

2.1 How well was the study done to minimise bias?: +

Study	ID
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PENADES2006	)
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General info	Funding source: Non-industry support
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	<b>Type of analysis:</b> ITT - Missing data from dropouts were replaced by the baseline scores following the "carry forward" method.
	Type of analysis: LOCF
	Blindness: Only raters blind
	Duration: No. weeks of treatment - 16
	Duration: Length of follow-up - 6 months
	Raters: Independent of treatment
	Design: Single-centre - Participants were recruited from the hospital clinic mental health centre which serves part of the Barcelona area
	<b>Number of people screened, excluded &amp; reasons:</b> 52 patients screened, 12 excluded from the randomised study due to: not meeting inclusion criteria (8) and refusal to participate (4)
	<b>Notes about study methods:</b> Randomisation was independently conducted. Researcher took no part whatsoever in the implementation of assignments. A random number table was used to generate lots that were drawn for sealed envelopes which assigned patients to CRT to CBT groups.
Participants	<b>Diagnosis:</b> Schizophrenia [% of sample] 100%
i un cicip un co	Diagnostic tool: DSM-IV
	Inclusion criteria: - age <55 - presence of negative symptoms confirmed by the PANSS, - presence of cognitive impairments confirmed by a battery of neuropsychological tests.
	Exclusion criteria: - IQ < 85 - organic cerebral diseases or primary diagnosis of substance misuse, psychiatric comorbidity

Study characteristics tables: CBT

- psychotic exacerbation in the previous 6 months, plans to change medication during the treatment phase.

Total sample size: No. randomised - 40

Total sample size: ITT population - 40

Gender: % female 42%

Age: Mean 35

Ethnicity: not reported

Setting: Other - Not stated

History: the participants on average had an illness duration of 13 years,

### **Baseline stats:**

[CRT / CBT / TAU] PANSS positive: 11.13(3.0) / 11.41(2.6) / 10.85(2.5) PANSS negative: 19.87(8.1) / 20.47(6.0) / 19.01(7.1) PANSS psychopathology: 35.69(6.3) / 35.41(7.1) / 35.40(8.7)

### Notes about participants:

[CRT / CBT / TAU] Medication (n) Risperidone: 5 / 10 / 10 Olanzapine: 12 / 8 / 10 Clozapine: 3 / 2 / 0

**Interventions Intervention - group 1.:** CRT; n=20

**Intervention - group 2.:** CBT, n=20

Intervention - group 3.: TAU, n=20

### Notes about the interventions:

CRT

This was set out in the Frontal/Executive programme. The programme was implemented on an individual basis, using mainly paper and pencil tasks. An errorless learning approach was adopted in tasks of progressive complexity and the problem was set, as far as was possible, at the subject's own pace. The main instructional technique was scaffolding. The patients received 40 1-hour sessions two or three times a week over 4 months.

# CBT

-

A similar number of CBT hours were conducted on an individual basis following a manualised approach.

### TAU

All the patients reported a psychotropic medication visit in the 8 weeks preceding study entry and none reported receiving any type of individual psychotherapy.

Training Not reported

**Outcomes** Leaving the study early: Leaving due to any reason (non-adherence to study protocol)

Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state PANSS positive, PANSS negative

General and psychosocial functioning (e.g. SFS): Average score/change in general functioning - LSP

**Cognitive functioning:** Average score/change in cognitive functioning comprehensive battery of neuropsychological subtests which of which composite scores were obtained in the following domains: Working memory, psychomotor speed, verbal memory, nonverbal memory, executive function.

Quality 1.1 The study addresses an appropriate and clearly focused question.: Well covered

**1.2 The assignment of subjects to treatment groups is randomised.:** Adequately addressed

1.3 An adequate concealment method is used.: Adequately addressed

1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed

1.5 The treatment and control groups are similar at the start of the trial.: Adequately addressed

1.6 The only difference between groups is the treatment under investigation.: Adequately addressed

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered

1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Well covered

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable

2.1 How well was the study done to minimise bias?: +

# Study ID

	PINTO1999
General info	Funding source: Not mentioned
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	Type of analysis: Completer
	Blindness: No mention
	Duration: No. weeks of treatment - 36
	Raters: Not stated to be independent of treatment
	Design: Single-centre - Naples, Italy
	Number of people screened, excluded & reasons: Not reported
	Notes about study methods: Randomisation procedure not reported
Participants	Diagnosis: Schizophrenia [% of sample] 100%
	Diagnostic tool: DSM-IV
	<ul> <li>Inclusion criteria:</li> <li>DSM-IV diagnosis of schizophrenia</li> <li>No evidence of current substance abuse or organic pathology</li> <li>Treatment-refractory schizophrenia as documented by &gt;=2 previous neuroleptic drug trials of at least 6 weeks at a dose of &gt;600mg chlorpromazine equivalent</li> </ul>
	Total sample size: No. randomised 41
	Total sample size: ITT population - 37 completers
	Gender: % female 31%
	Age: Mean 34
	Ethnicity: Not reported
	Setting: Inpatient
	Setting: Outpatient
	History: [CBT+SST / Supportive therapy] Illness duration, years: 9.2(3.3) / 8.2(2.9) Hospital admissions: 11.6(7.9) / 11.7(6.6)

### **Baseline stats:** [CBT+SST / Supportive therapy] BPRS: 83.1(21.7) / 81.7(20.6)

### Notes about participants:

All participants were on Clozapine [CBT+SST / supportive therapy] Clozapine dose, mg: 552.6(129.6) / 547.2(109.1)

### **Interventions Intervention - group 1.:** CBT+SST, 6 months; N = 20

Intervention - group 2.: Supportive therapy, 6 months; N=21

### Notes about the interventions:

CBT+SST

The CBT intervention focussed on improving clients' abilities to manage their current psychotic symptoms and was based on the manual by Fowler et al. Skills training methods were used to improve social behaviours including self-case, medication self-management, social conversation, interpersonal problem solving, self-directed recreation, family communication and management of personal resources. Both the CBT and SST components involved rehearsal, positive reinforcement, in vivo exercises and homework assignments.

Supportive therapy

Individual supportive therapy sessions included basic psychoeducation about the nature and treatment of schizophrenia, active listening, empathy and reassurance, reinforcement of the clients; health-promoting initiatives, help in managing a crisis and advocacy of the clients' needs.

**Outcomes** Leaving the study early: Leaving due to any reason (non-adherence to study protocol)

Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - BPRS, SANS, SAPS

Quality 1.1 The study addresses an appropriate and clearly focused question.: Adequately addressed

**1.2 The assignment of subjects to treatment groups is randomised.:** Not reported adequately

1.3 An adequate concealment method is used.: Not addressed

1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Not addressed

1.5 The treatment and control groups are similar at the start of the trial.: Adequately addressed

1.6 The only difference between groups is the treatment under investigation .: Adequately addressed

1.7 All relevant outcomes are measured in a standard, valid and reliable way .: Well covered

1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

1.9 All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis).

: Poorly addressed

**1.10 Where the study is carried out at more than one site, results are comparable for all sites.:** Not applicable

2.1 How well was the study done to minimise bias?: +

Study ID

RECTOR2003	

General info	Funding source: Non-industry support
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	<b>Type of analysis:</b> ITT - Weak - only those who completed >4 treatment sessions were kept in analysis
	Blindness: Only raters blind
	Duration: No. weeks of treatment - 24
	Duration: Length of follow-up - 6 months
	Raters: Independent of treatment
	Design: Multi-centre - Two large outpatient psychiatric facilities in Toronto and Ontario, Canada
	<b>Number of people screened, excluded &amp; reasons:</b> Of the patients who completed assessment and were successfully randomized (n= 50), the dropout rate was equivalent in the two treatment groups: five patients (17%) did not complete the assessment phase of CBT, dropping out after three sessions or fewer, and three patients (14%) assigned to the standard treatment condition dropped out within the first 4 weeks of treatment, $v2(1,50) = 0.79$ , p>0.78.
	<b>Notes about study methods:</b> Randomisation was performed by members of the research team who were not involved in either the baseline, posttreatment or follow-up assessments or in patient treatment.
Participants	Diagnosis: Schizophrenia [% of sample] Not reported
	Diagnosis: Other schizophrenia related [%] Not reported
	Diagnostic tool: DSM-IV
	<ul> <li>Inclusion criteria:</li> <li>DSM-IV diagnosis of schizophrenia or schizoaffective disorder based SCID-I</li> <li>Presence of persistent positive and negative psychotic symptoms in the past 6 months as determined by the SCID-I interview</li> <li>Stable treatment with antipsychotic medications</li> <li>Age 18-65</li> </ul>
	Exclusion criteria: - Suspected organic brain pathology

- Concurrent substance misuse or dependence

- Past treatment with either behavioral or CBT in either individual or family format

Total sample size: No. randomised - 50

Total sample size: ITT population - 42 completed at least 4 sessions

Gender: % female

CBT: 38%

TAU: 62%

Age: Mean

CBT: 37.5 (8.3)

TAU: 41.2 (10.9)

Ethnicity: Not reported

Setting: Outpatient

# History:

[CBT / TAU] Age first psychotic symptoms: 21.0 (5.7) / 19.2 (7.9) Age first diagnosed: 25.3 (6.4) / 23.2 (7.0) No. of hospitalisations: 5.1 (4.9) / 5.8 (6.3) Years on neuroleptics: 13.9 (9.4) / 17.9 (10.0)

# **Baseline stats:**

[CBT / TAU] PANSS General: 31.0 (7.5) / 34.3 (11.2) BDI: 17.6 (11.8) / 18.9 (12.1)

**Notes about participants:** Patients were on a range of conventional and atypical neuroleptics as well as antidepressant medications throughout the duration of the study. Patient groups did not differ in terms of the degree of use of atypical medications (CBT-ETAU= 63%; ETAU = 56%), v2(1,42) = 0.39, p>0.76, or in terms of antidepressant use (CBT-ETAU = 46%; ETAU = 56%). Only two patients (one in CBT-ETAU, one in ETAU) changed class of medications from treatment with a conventional to an atypical neuroleptic over the duration of the study.

Interventions Intervention - group 1.: CBT: 20 sessions on a weekly basis for 6 months; n=24

Intervention - group 2.: ETAU; n=18

Notes about the interventions:

CBT

Delivered on an individual basis for 6 months, guided by the principles and strategies developed by Beck in the treatment of the emotional disorders and tailored to treat the specific symptoms of schizophrenia within a diathesis-stress framework. Unlike the step-by-step

manualisation of the CT of the emotional disorders, the preferred approach here has been to develop specific modules that can be flexibly employed to treat selective symptoms of psychosis depending on the patient's presentation.

Enhanced TAU (ETAU)

Comprehensive psychiatric management with optimised medication and case management from social worker, nurse and/or OT. Patients also attended psychoeducational groups and received housing help and home-based outreach during crises. ~2hour/month contact time with services. This is considered enriched management compared with routine community care.

Both treatment groups received ETAU.

Training

The principal author, two doctoral level psychologists and one psychiatrist, all with formal training and practice in cognitive-behavioral interventions, provided CBT. Each therapist had worked in this therapeutic modality exclusively for an average of 4.5 years (S.D. = 1.7). Therapists met in regular supervision meetings and assessed adherence to the treatment protocol by reviewing audiotaped sessions and discussing cases.

**Outcomes** Leaving the study early: Leaving due to any reason (non-adherence to study protocol)

Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - PANSS general, PANSS negative, PANSS positive, BDI Mental state (e.g. BPRS, PANSS, BDI): Clinically significant response in mental state - PANSS: 20% reduction represents a clinically significant change

Quality 1.1 The study addresses an appropriate and clearly focused question.: Well covered

**1.2 The assignment of subjects to treatment groups is randomised.:** Adequately addressed

1.3 An adequate concealment method is used.: Well covered

1.4 Subjects and investigators are kept 'blind' about treatment allocation .: Poorly addressed

**1.5 The treatment and control groups are similar at the start of the trial.:** Adequately addressed

1.6 The only difference between groups is the treatment under investigation.: Well covered

1.7 All relevant outcomes are measured in a standard, valid and reliable way .: Well covered

1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Poorly addressed

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not addressed

2.1 How well was the study done to minimise bias?: +

Study ID	STARTUP2004
General info	Funding source: Non-industry support
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial (effectiveness/pragmatic)
	<b>Type of analysis:</b> ITT - All participants who had completed >=12 sessions (CBT) were considered ITT - but not all such participants were followed up and attempts were not made to deal with missing data
	Blindness: Only raters blind
	Duration: No. weeks of treatment - 25
	Duration: Length of follow-up - 6 months
	Raters: Independent of treatment
	Design: Multi-centre - Three acute psychiatric hospitals in England
	<b>Number of people screened, excluded &amp; reasons:</b> The 279 patients who were considered to be eligible were invited to participate when their psychiatrists declared them to be capable of informed consent. The invitation was declined by 100, and 38 were excluded because, by that time, more than 28 days had passed since they had been admitted (one of the exclusion criteria). Those who accepted were then excluded if, during a baseline assessment, they were found not to be suffering an acute psychotic episode (N=13), their diagnoses could not be confirmed according to DSM-IV criteria (N=7), they had been dependent on alcohol or illicit drugs according to DSM-IV criteria during the past year (N=12), or their IQs, assessed by the Quick Test (Ammons & Ammons, 1962), were below 80 (N=19).
	<b>Notes about study methods:</b> Randomisation: 43 were assigned at random by inviting the patient to toss a coin in front of the assessor, to a TAU control group, and 47 were assigned to TAU plus CBT.
Participants	Diagnosis: Schizophrenia [% of sample] 87%
	<b>Diagnosis:</b> Other schizophrenia related [%] Schizoaffective 8% Schizophreniform 6%
	Diagnostic tool: DSM-IV
	<ul> <li>Inclusion criteria:</li> <li>Aged between 18 and 65 years</li> <li>Resident within the catchment area</li> <li>Had received a clinical diagnosis of schizophrenia, schizophreniform or schizoaffective disorder</li> <li>Appeared to be experiencing an acute psychotic episode</li> <li>Not already receiving psychological treatment</li> <li>Showed no evidence of organic mental disorder.</li> </ul>

### **Exclusion criteria:**

- more than 28 days had passed since they had been admitted

- not to be suffering an acute psychotic episode

- dependent on alcohol or illicit drugs according to DSM-IV criteria during the past year

- IQs, assessed by the Quick Test <80

Total sample size: No. randomised 90

Total sample size: ITT population - 75 available to follow-up from informant interviews

Gender: % female 24%

Age: Mean CBT: 30.5 (8.7)

TAU: 31.3 (9.6)

**Ethnicity:** Not reported

Setting: Inpatient

### **History:**

[CBT / TAU] Age at onset: 23.5 (5.6) / 24.4 (6.0)

# **Baseline stats:**

[CBT / TAU] SAPS Psychotic: 7.4 (2.0) / 7.3 (2.0) SAPS Disorganization: 3.3 (2.6) / 3.4 (2.2) SANS total: 9.4 (3.5) / 8.4 (2.9) BPRS total: 46.0 (7.4) / 45.5 (8.0) SFS: 93.3 (8.9) / 96.2 (9.4) GAF: 33.5 (10.0) / 38.0 (9.1)

Interventions Intervention - group 1.: CBT: ~25 weekly 90 minute sessions; n=47

Intervention - group 2.: TAU; n=43

# Notes about the interventions:

TAU

-

Treatment as usual (TAU) in the three participating Trusts of the UK NHS consists of pharmacotherapy, nursing care during hospitalisation and community care after discharge. Each patient has a keyworker who devises and implements a care plan that might include any or all of the following: day hospital or day centre attendance, home visits with counselling, support worker involvement, sheltered work, social clubs and outings, help obtaining benefits and accommodation, carer support. No attempt was made to influence the course of psychiatric or community care.

### CBT

Provided as an addition to TAU, followed the objectives, strategy and techniques of a manualised approach. This is a highly individualised, needs-based form of CBT for psychotic disorders and is based on collaborative empiricism and (evolving) cognitive-behavioural formulations. It has been shown to be an effective adjunct to standard treatment for outpatients with residual psychotic symptoms but has yet to be evaluated with acutely ill inpatients.

# Training

CBT was provided by the first two authors and one other clinical psychologist. The authors were employed as specialists in serious mental illness and conducted CBT for schizophrenia on a routine basis. They had had 10 years and 2 years of post-qualification experience at the outset of the trial and had 28 and 17 clients, respectively, assigned to them for treatment in the current trial. The third therapist had recently undertaken 1-year specialist training in CBT for psychotic disorders. He had two clients assigned to him in the trial. The therapists met at least once a month for peer supervision and to maintain adherence.

### Outcomes Death: Suicide

Leaving the study early: Leaving due to any reason (non-adherence to study protocol)

Global state & service outcomes (e.g. CGI): Average score/change in global state - GAF

**Global state & service outcomes (e.g. CGI):** Clinically significant response in global state - Clinically reliable change defined by a +-8.7 movement on GAF

Clinically significant change defined by a 57 point cut-off on GAF, or suicide

Above reversed to produce number for: No significant improvement (worst case scenario applied). For TAU the suicide has been counted as deterioration and as drop out, hence in the worst case scenario calculation, the total number deteriorated or no change + drop-out - 1 has been used)

Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - SAPS, SANS, BPRS

Mental state (e.g. BPRS, PANSS, BDI): Clinically significant response in mental state - SAPS: proportion with residual disorganisation symptoms

General and psychosocial functioning (e.g. SFS): Average score/change in general functioning - SFS

Other: Medication dosages, imprisonment - Does not state which group the imprisonment occurred in

# Quality 1.1 The study addresses an appropriate and clearly focused question.: Well covered

**1.2 The assignment of subjects to treatment groups is randomised.:** Adequately addressed

1.3 An adequate concealment method is used.: Poorly addressed

1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed

1.5 The treatment and control groups are similar at the start of the trial.: Well covered

1.6 The only difference between groups is the treatment under investigation.: Well covered

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered

**1.8** What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: 20-50%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Poorly addressed

**1.10 Where the study is carried out at more than one site, results are comparable for all sites.:** Not applicable

2.1 How well was the study done to minimise bias?: +

## Study ID

Study ID	TROWER2004
General info	Funding source: Non-industry support
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	Type of analysis: Completer
	Blindness: Only raters blind
	Duration: Length of follow-up - 6 months
	Duration: No. weeks of treatment - 24
	Duration: Mean duration (for each group) CT: median 16 sessions
	Raters: Independent of treatment
	<b>Design:</b> Multi-centre - The participants were recruited from local mental health services in Birmingham and Solihull, Sandwell and a West Midlands semi-secure unit for offenders with mental illness.
	<b>Number of people screened, excluded &amp; reasons:</b> 224 referrals were screened, from which 69 patients were identified as being eligible for the study and were invited to participate. Of these, 31 refused consent, leaving a sample of 38 consenting to randomisation.
	<b>Notes about study methods:</b> Random assignment by means of a computerised random number generator administered by the Birmingham Clinical Trials Unit independent of the research team, to ensure the research associate was blind to the allocation at baseline and post-testing.
Participants	<b>Diagnosis:</b> Schizophrenia [% of sample] CT for command hallucinations: 33% schizophrenia, 28% paranoid schizophrenia TAU: 55% schizophrenia, 25% paranoid schizophrenia
	Diagnosis: Other [%] CT for command hallucinations: 6% personality disorder, 6% psychotic depression, 6% OCD

TAU: 10% personality disorder, 10% psychotic depression **Diagnosis:** Other schizophrenia related [%] CT for command hallucinations: 22% schizoaffective, Diagnostic tool: ICD-10 **Inclusion criteria:** - ICD-10 diagnosis of schizophrenia or related disorder with command hallucinations for at least 6 months - Recent history of compliance with, and appeasement of, voices with 'severe' commands, including harm to self, others or major social transgressions. Exclusion criteria: - Primary organic or addictive disorder Total sample size: No. randomised 38 Gender: % female 37% Age: Range 17-60 Age: Mean 35.5 (10.4) Ethnicity: White 71% Black 18% Asian 5% Other 5% Setting: Inpatient Setting: Outpatient **History:** CT for command hallucinations / TAU Duration of voices (years) 13.4 (9.9) / 10.0 (5.7) Duration of commands (years) 8.8 (7.9) / 8.6 (5.9) **Baseline stats:** CT for command hallucinations / TAU PANSS Positive 21.9 (3.1) / 20.8 (3.2) PANSS Negative 20.8 (6.4) / 21.5 (6.4) PANSS General 36.3 (6.6) / 35.9 (6.7)

**Notes about participants:** Medication: At baseline, 13/18 (72%) in CT for command hallucinations were prescribed atypicals, including 5 patients taking clozapine; in TAU, 13/20 were prescribed atypicals (65%), including 7 patients taking clozapine.

Interventions Intervention - group 1.: CT for command hallucinations; n=18

Intervention - group 2.: TAU; n=20

#### Notes about the interventions:

#### CT for command hallucinations

Targets four core dysfunctional beliefs that define the client-voice (social rank) power relationship: that the voice has absolute power and control; that the client must comply or appease, or be severely punished; the identity of the voice (e.g. the Devil); and the meaning attached to the voice experience (e.g. the client is being punished for past bad behaviour). Using the methods of collaborative empiricism and Socratic dialogue, the therapist seeks to engage the client to question, challenge and undermine the power beliefs, then to use behavioural tests to help the client gain disconfirming evidence against the beliefs.

## TAU

Delivered by CMHTs. TAU was extensive, involving 18 categories of service and admissions.

#### Training

CT for command hallucinations sessions were delivered by a clinical psychologist experienced in cognitive therapy and supervised in CTCH. A behavioural scientist independent of the trial rated a random selection of early, middle and late audiotaped sessions (13 in total) using the Cognitive Therapy Checklist.

**Outcomes Death:** Natural causes

Death: Suicide

Leaving the study early: Leaving due to any reason (non-adherence to study protocol) unsure

Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - Voice Compliance Scale, Belief About Voices Questionnaire, Voice Power Differential Scale, Omniscience Scale, PANSS - means not reported, change score reported for only CBT group, PSYRATS, CDSS

## Quality 1.1 The study addresses an appropriate and clearly focused question.: Well covered

1.2 The assignment of subjects to treatment groups is randomised.: Well covered

1.3 An adequate concealment method is used.: Well covered

1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed

1.5 The treatment and control groups are similar at the start of the trial.: Well covered

1.6 The only difference between groups is the treatment under investigation.: Well covered

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Adequately addressed

**1.8** What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Poorly addressed

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not addressed

# 2.1 How well was the study done to minimise bias?: +

Study ID	VALMAGGIA2005
General info	Funding source: Non-industry support
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	Type of analysis: ITT - All randomised participants, excluding 4 patients whose data were lost by assessor
	Blindness: Only raters blind
	Duration: Length of follow-up - 6 months
	Duration: No. weeks of treatment - 22 weeks
	Raters: Independent of treatment
	Design: Multi-centre - Various mental health hospitals across The Netherlands and one in Belgium
	Number of people screened, excluded & reasons: 66 assessed for eligibility: 2 did not meet inclusion criteria, 2 refused consent, 62 randomised
	<b>Notes about study methods:</b> For the randomisation procedure, the project coordinator had two baskets: a 'treatment' basket which contained sealed envelopes with lots for each of the two treatment conditions and a 'used' basket where the drawn lots could be placed. To ensure the anonymity of the participants, each individual was given a code, and the coordinator used a form to communicate the results of the random assignment to the local therapist.
Participants	Diagnosis: Schizophrenia [% of sample] 100%
	Diagnostic tool: DSM-IV
	<ul> <li>Inclusion criteria:</li> <li>Age 18-70 years;</li> <li>DSM-IV diagnosis of schizophrenia</li> <li>Residual delusions or auditory hallucinations experienced for at least 3 months</li> <li>A stable medication regimen (last medication change more than 6 weeks prior to recruitment).</li> <li>A confirmed resistance to psychopharmacological treatment was established according to the following conventional criteria: symptoms unresponsive to at least two different antipsychotic compounds including an atypical antipsychotic, taken for enough time and in an acceptable dosage, as advised in the prescription guidelines.</li> <li>Exclusion criteria:</li> <li>To exclude patients experiencing predominantly symptoms from the disorganisation dimension, the following exclusion criteria were also applied:</li> </ul>

- Conceptual disorganisation;

- Stereotypic thinking;

- Disorientation, measured by the PANSS, items P254, N753, and G1052;

- Drug or alcohol addiction as a primary diagnosis (patients using drugs or alcohol below the level of this criterion were included);

- Mental retardation (premorbid IQ580);

- Organic conditions;

- CBT given for persistent psychotic symptoms in the past.

Total sample size: No. randomised 62

Total sample size: ITT population - 58; 4 of 62 had data lost by assessor

Gender: % female 29%

Age: Range - 18-70

Age: Mean - 35.5 (10.8)

**Ethnicity:** Not reported

Setting: Inpatient

**History:** Years of positive symptoms: 10.7 (7.5) Years since diagnosis: 9 (7)

# **Baseline stats:**

[CBT / Supportive counselling] PANSS General: 33.81 (9.73) / 33.47 (7.03) PSYRATS Auditory Hallucination (cognitive): 5.63 (5.34) / 7.83 (4.86) PSYRATS Delusion (cognitive): 9.14 (4.64) / 7.09 (5.47)

**Notes about participants:** Participants had tried five different antipsychotics on average (if the same medication was taken twice, it was counted as one). All patients had taken at least one atypical antipsychotic and more than 2/3 had taken clozapine. All patients were taking antipsychotic medication during the trial, and the majority were on atypical antipsychotic regimens. Nine patients were using a typical compound during the trial because they had been given depot medication. The medication regimens were kept stable during the study. Three patients experienced a relapse and their medication had to be changed; these patients were considered to have withdrawn from the study.

Interventions Intervention - group 1.: CBT: 16 sessions in 22 weeks; n=36

Intervention - group 2.: Supportive counselling: 16 sessions in 22 weeks; n=26

Notes about the interventions:

CBT

A comprehensive treatment manual was written and the participating therapists were trained in using this protocol. CBT consisted of four phases: engagement, establishing links between thoughts, emotions and behaviour, reducing symptoms and associated distress, and relapse

prevention.

Supportive counselling

The supportive counselling protocol was a conventional method previously used in other studies. The therapist shows non-critical acceptance, warmth, genuineness and empathy.

Training

A comprehensive treatment manual was written and the participating therapists were trained in using this protocol.

Outcomes Leaving the study early: Leaving due to any reason (non-adherence to study protocol)

Global state & service outcomes (e.g. CGI): Relapse

**Mental state (e.g. BPRS, PANSS, BDI):** Clinically significant response in mental state - Relapse defined as >10 increase on PANSS positive symptom subscale with the deterioration in symptoms lasting >3 days

Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state PANSS, PSYRATS

Other: Included number needed to treat

Quality 1.1 The study addresses an appropriate and clearly focused question.: Well covered

**1.2 The assignment of subjects to treatment groups is randomised.:** Adequately addressed

1.3 An adequate concealment method is used.: Adequately addressed

1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed

1.5 The treatment and control groups are similar at the start of the trial.: Well covered

**1.6 The only difference between groups is the treatment under investigation.:** Well covered

**1.7 All relevant outcomes are measured in a standard, valid and reliable way.:** Well covered

1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Adequately addressed

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not addressed

2.1 How well was the study done to minimise bias?: +

Study ID	WYKES2005
General info	Funding source: Non-industry support
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	<b>Type of analysis:</b> ITT - Participants analysed in allocated group irrespective of whether they adhered to treatment. The analyses undertaken include all participants provided that their covariate measures and at least one post-treatment outcome measure were available.
	Blindness: No mention
	Duration: Length of follow-up - 26 weeks
	<b>Duration:</b> No. weeks of treatment - 10
	Raters: Not stated to be independent of treatment
	Design: Single-centre - Rolling programme of referrals from CMHTs in defined geographical areas
	Number of people screened, excluded & reasons: 85 met entry criteria, consented to the trial and randomised
	Notes about study methods: Randomisation carried out independently in blocks of typically 12
Participants	Diagnosis: Schizophrenia [% of sample] 100%
	Diagnostic tool: DSM-IV
	<ul> <li>Inclusion criteria:</li> <li>DSM-IV schizophrenia by chart review</li> <li>Persistent and distressing auditory hallucinations (Score 3 on PANSS hallucination item)</li> <li>No planned changes in medication during treatment period</li> <li>Age &gt;=18</li> <li>Substance misuse or medical disorder does not significantly contribute to symptoms</li> </ul>
	Total sample size: No. randomised 85
	Total sample size: ITT population - Varied depending on outcome
	Gender: % female 41%
	<b>Age:</b> Mean 39.6 (10.4)
	Ethnicity: Not reported
	Setting: Outpatient
	<b>History:</b> 65% had first contact with services >=10 years ago 79% reported hearing voices at least daily and had little control over them

#### **Baseline stats:**

[Group CBT / Control] SBS: 11.6 (7.3) / 13.5 (9.7) PSYRATS: 29.1 (5.3) / 26.8 (6.8) Rosenberg self-esteem: 16.7 (3.9) / 18.2 (3.8)

**Notes about participants:** Medication: The most prescribed medications were clozapine (28%) and olanzapine (35%). 13 out of 85 participants were prescribed more than one neuroleptic.

17 people (20%) changed their medication during the trial; 10 people in the control group were provided with specific individual psychological therapy as part of their routine care thus contaminating the sample. The effect of these possible moderating effects was investigated in all analyses.

#### Interventions Intervention - group 1.: Group CBT: 7 sessions; n=45

Intervention - group 2.: TAU; n=40

#### Notes about the interventions:

Group CBT

A manualised therapy for the positive symptoms of psychosis providing four key elements: engagement, collaborative discussion about an agreed model, cognitive restructuring of delusional beliefs and reducing negative self evaluation. A CBT group typically included 6-8 participants.

#### TAU

Treatment as usual (no details provided).

Training

The therapists who carried out this therapy were drawn from local services and then trained in group CBT techniques. Many but not all were experienced in providing individual CBT.

**Outcomes** Leaving the study early: Leaving due to any reason (non-adherence to study protocol)

Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state PSYRATS Hallucinations Scale - Rosenberg self esteem Behaviour (e.g. NOSIE): Average score/change in behaviour SBS (Social Behaviour Schedule), effective coping strategies

# Quality 1.1 The study addresses an appropriate and clearly focused question.: Well covered

1.2 The assignment of subjects to treatment groups is randomised.: Adequately addressed

1.3 An adequate concealment method is used.: Well covered

1.4 Subjects and investigators are kept 'blind' about treatment allocation .: Not addressed

1.5 The treatment and control groups are similar at the start of the trial.: Adequately addressed

1.6 The only difference between groups is the treatment under investigation .: Adequately addressed

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Adequately addressed

1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Adequately addressed

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable

2.1 How well was the study done to minimise bias?: +

## **References of included studies (update)**

#### **BACH2002**

Bach, P & Hayes, S.C. (2002) The use of acceptance and commitment therapy to prevent the rehospitalization of psychotic patients: a randomized controlled trial. *Journal of Consulting and Clinical Psychology*. 70(5): 1129-1139.

#### BARROWCLOUGH2006

Barrowclough C, Haddock G, Lobban F, Jones S, Siddle R, Roberts C, Gregg L. (2006) Group cognitive-behavioural therapy for schizophrenia. Randomised controlled trial. *British Journal of Psychiatry*. 189: 527-532.

#### BECHDOLF2004

\*Bechdolf,A.; Knost,B.; Kuntermann,C.; Schiller,S.; Klosterktter,J.; Hambrecht,M.; Pukrop,R. (2004) A randomized comparison of group cognitivebehavioural therapy and group psychoeducation in patients with schizophrenia. *Acta Psychiatrica Scandinavica* 110: 21 - 28.

Bechdolf, A.; Kohn, D.; Knost, B.; Pukrop, R.; Klosterkotter, J. (2005) A randomized comparison of group cognitive-behavioural therapy and group psychoeducation in acute patients with schizophrenia: outcome at 24 months. *Acta Psychiatrica Scandinavica* 112(3): 173 - 179.

#### CATHER2005

Cather, C.; Penn, D.; Otto, M.W.; Yovel, I.; Mueser, K.T.; Goff, D.C. (2005) A pilot study of functional Cognitive Behavioral Therapy (fCBT) for schizophrenia. *Schizophrenia Research* 74: 201 - 209.

## DURHAM2003

Durham,R.C.; Guthrie,M.; Morton,R.V.; Reid,D.A.; Treliving,L.R.; Fowler,D.; Macdonald,R.R. (2003) Tayside-Fife clinical trial of cognitive-behavioural therapy for medication-resistant psychotic symptoms. Results to 3-month follow-up. *British Journal of Psychiatry* 182: 303 - 311.

## ENGLAND2007

England, M. (2007) Efficacy of cognitive nursing intervention for voice hearing. Perspectives in Psychiatric Care. 43(2): 69 - 76.

# GARETY2008

Garety, P.A., Fowler, D.G., Freeman, D., Bebbington, P., Dunn, G. & Kuipers, E. (2008) A randomised controlled trial of cognitive behavioural therapy and family intervention for the prevention of relapse and reduction of symptoms in psychosis. *British Journal of Psychiatry* 192: 412-423.

# GRANHOLM2005

Granholm, E.; McQuaid, J.R.; Link, P.C.; Fish, S.; Patterson, T.; Jeste, D.V. (2008) Neuropsychological predictors of functional outcome in Cognitive Behavioral Social Skills Training for older people with schizophrenia. *Schizophrenia Research*. 100(1-3): 133-143.

\*Granholm,E.; McQuaid,J.R.; McClure,F.S.; Auslander,L.A.; Perivoliotis,D.; Pedrelli,P.; Patterson,T.; Jeste,D.V. (2005) A randomized, controlled trial of cognitive behavioral social skills training for middle-aged and older outpatients with chronic schizophrenia. *American Journal of Psychiatry* 162(3): 520 - 529.

Granholm, E.; McQuaid, J.R.; McClure, F.S.; Link, P.C.; Perivoliotis, D.; Gottlieb, J.D.; Patterson, T.L.; Jeste, D.V. (2007) Randomized controlled trial of cognitive behavioral social skills training for older people with schizophrenia: 12-month follow-up. *Journal of Clinical Psychiatry* 68: 730 - 737.

## GUMLEY2003

Gumley, A.; Karatzias, A.; Power, K.; Reilly, J.; McNay, L.; O'Grady, M. (2006) Early intervention for relapse in schizophrenia: impact of cognitive behavioural therapy on negative beliefs about psychosis and self-esteem. *British Journal of Clinical Psychology* 45(Pt 2): 247 - 260.

\*Gumley, A.; O'Grady, M.; McNay, L.; Reilly, J.; Power, K.; Norrie, J. (2003) Early intervention for relapse in schizophrenia: results of a 12-month randomized controlled trial of cognitive behavioural therapy. *Psychological Medicine* 33(3): 419 - 431.

## JACKSON2005

Jackson, H.J., McGorry, P.D., Killackey, E., Bendall, S., Allott, K., Dudgeon, P., Gleeson, J., Johnson, T., Harrigan, S. (2007) Acute-phase and 1-year followup results of a randomised controlled trial of CBT versus Befriending for first-episode psychosis: the ACE project. *Psychological Medicine*, in press.

## JACKSON2007

Jackson,H.; McGorry,P.; Edwards,J.; Hulbert,C.; Henry,L.; Harrigan,S.; Dudgeon,P.; Francey,S.; Maude,D.; Cocks,J.; Killackey,E.; Power,P. (2005) A controlled trial of cognitively oriented psychotherapy for early psychosis (COPE) with four-year follow-up readmission data. *Psychological Medicine*. 35(9): 1295 - 1306.

## JENNER2004

\*Jenner, J.A.; Nienhuis, F.J.; Wiersma, D.; van de Willige, G (2004) Hallucination focused integrative treatment: a randomized controlled trial. *Schizophrenia Bulletin* 30(1): 133 - 145.

Jenner, J.A.; Nienhuis, F.J.; van de Willige, G; Wiersma, D. (2006) "Hitting" voices of schizophrenia patients may lastingly reduce persistent auditory hallucinations and their burden: 18-month outcome of a randomized controlled trial. *Canadian Journal of Psychiatry - Revue Canadienne de Psychiatrie* 51(3): 169 - 177.

Wiersma, D.; Jenner, J.A.; Nienhuis, F.J.; van de Willige, G. (2004) Hallucination focused integrative treatment improves quality of life in schizophrenia patients. *Acta Psychiatrica Scandinavica* 109(3): 194 - 201.

## LECLERC2000

Leclerc, C., Lesage, A.D., Ricard, N., Lecomte, T., Cyr, M. (2000) Assessment of a new rehabilitative coping skills module for persons with schizophrenia. *American Journal of Orthopsychiatry* 70(3): 380-388.

## LECOMTE2008

Lecomte, T., Leclerc, C., Corbiere, M., Wykes, T., Wallace, C.J., Spidel, A. (2008) Group cognitive behaviour therapy or social skills training for individuals with a recent onset of psychosis? Results of a randomised controlled trial. *Journal of Nervous and Mental Disease*, in press.

#### MCLEOD2007

McLeod, T., Morris, M., Birchwood, M. & Dovey, A. (2007) Cognitive behavioural therapy group work with voice hearers. Part 2. *British Journal of Nursing* 16(5): 292-295.

McLeod, T.; Morris, M.; Birchwood, M.; Dovey, A. (2007) Cognitive behavioural therapy group work with voice hearers. Part 1. *British Journal of nursing* 16: 248 - 252.

## PENADES2006

Penades, R.; Catalan, R.; Salamero, M.; Boget, T.; Puig, O.; Guarch, J.; Gasto, C. (2006) Cognitive remediation therapy for outpatients with chronic schizophrenia: a controlled and randomized study. *Schizophrenia Research*. 87(1-3): 323 - 331.

## PINTO1999

Pinto, A., La Pia, S., Mennella, R., Giorgio, D. & DeSimone, L. (1999) Cognitive-behavioural therapy and clozapine for clients with treatment-refractory schizophrenia. *Psychiatric Services* 50(7): 901-904.

## RECTOR2003

Rector, N.A.; Seeman, M.V.; Segal, Z.V. (2003) Cognitive therapy for schizophrenia: a preliminary randomized controlled trial. *Schizophrenia Research*. 63:1-11.

# STARTUP2004

Startup, M.; Jackson, M.C.; Bendix, S. (2004) North Wales randomized controlled trial of cognitive behaviour therapy for acute schizophrenia spectrum disorders: outcomes at 6 and 12 months. *Psychological Medicine* 34: 413 - 422.

Startup, M.; Jackson, M.C.; Evans, K.E.; Bendix, S. (2005) North Wales randomized controlled trial of cognitive behaviour therapy for acute schizophrenia spectrum disorders: two-year follow-up and economic evaluation. *Psychological Medicine* 35(9): 1307 - 1316.

Startup, M.; Jackson, M.C.; Startup, S. (2004) Insight and recovery from acute psychotic episodes: the effects of cognitive behavior therapy and premature termination of treatment. *Journal of Nervous and Mental Disease* 194(10): 740 - 750.

# TROWER2004

Trower, P.; Birchwood, M.; Meaden, A.; Byrne, S.; Nelson, A.; Ross, K. (2004) Cognitive therapy for command hallucinations: randomised controlled trial. *British Journal of Psychiatry* 184: 312 - 320.

# VALMAGGIA2005

Valmaggia,L.R.; van-der,Gaag M.; Tarrier,N.; Pijnenborg,M.; Slooff,C.J. (2005) Cognitive-behavioural therapy for refractory psychotic symptoms of schizophrenia resistant to atypical antipsychotic medication. Randomised controlled trial. *British Journal of Psychiatry* 186: 324 - 330.

# WYKES2005

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Wykes, T.; Hayward, P.; Thomas, N.; Green, N.; Surguladze, S.; Fannon, D.; Landau, S. (2005) What are the effects of group cognitive behaviour therapy for voices? A randomised control trial. *Schizophrenia Research* 77: 201 - 210.

# Characteristics of excluded studies (update)

#### Bechdolf 2002

Reason for exclusion: Conference abstract

#### DAVIS2005

**Reason for exclusion:** CBT + vocational employment services - outside scope

#### Gaudiano2006

**Reason for exclusion:** -58% of participants had comorbid substance use disorder

## GRANHOLM2002

Reason for exclusion: - letter to editor

#### HALL2003

Reason for exclusion: <50% schizophrenia

#### JOLLEY2003

-

**Reason for exclusion:** - <10 in TAU arm - In final analysis only 8 participants were included in CBT group

## KINGSEP2003

**Reason for exclusion:** - -ve quality assessment due to problems with randomisation, lack of allocation concealment, no ITT analysis etc.

#### KRAJEWSKI1993

Reason for exclusion: Conference abstract

#### Levine1998

**Reason for exclusion:** N<10

## LYSAKER2005

**Reason for exclusion:** CBT + vocational employment services - outside scope

## LYSAKER2005B

**Reason for exclusion:** CBT + vocational training - outside scope

## LYSAKER2007[LYSAKER2005]

**Reason for exclusion:** Primary paper excluded CBT + vocational training - outside scope

## MASTEROENI2005

Reason for exclusion: Non-RCT

#### MORRISON2004

-

Reason for exclusion: Non-RCT

#### MORRISON2007

Reason for exclusion: Prevention study - outside scope

## OCONNOR2007

Reason for exclusion: N<10 inattention placebo arm

#### **TAIT2002**

**Reason for exclusion:** - intervention arm <9 participants - -ve quality assessment rating

#### ZHANG2007

**Reason for exclusion:** Paper is in Chinese

## **References of excluded studies (update)**

Bechdolf, A.; Knost, B.; Kuntermann, C.; Schiller, S.; Hambrecht, M.; Klosterkotter, J.; Pukrop, R. (2002) Coping-oriented versus psychoeducational group therapy for post acute patients with schizophrenia: results of a 6 month follow-up. *Schizophrenia Research* 53: 264 - 265.

Davis, L.W., Laysaker, P.H., Lancaster, R.S., Bryson, G.J. & Bell, M.D. (2005) The Indianapolis Vocational Intervention Program: A cognitive behavioral approach to addressing rehabilitation issues in schizophrenia. *Journal of Rehabilitation Research and Development* 42(1): 35-46.

Gaudiano, B.A. & Herbert, J.D. (2006) Acute treatment of inpatients with psychotic symptoms using acceptance and commitment therapy: Pilot results. *Behaviour Research and Therapy*. 44: 415-437.

Granholm, E.; McQuaid, J.R.; McClure, F.S.; Pedrelli, P.; Jeste, D.V. (2002) A randomized controlled pilot study of cognitive behavioral social skills training for older patients with schizophrenia. *Schizophrenia Research* 53: 167 - 169.

Hall, P.L. & Tarrier, N. (2003) The cognitive-behavioural treatment of low self-esteem in psychotic patients: a pilot study. *Behaviour Research and Therapy*. 41: 317-332.

Jolley, S.; Garety, P.; Craig, T.; Dunn, G.; White, J.; Aitken, M. (2003) Cognitive therapy in early psychosis: A pilot randomized controlled trial. *Behavioural and Cognitive Psychotherapy*. 31(4): 473-478.

Kingsep, P; Nathan, P.; Castle, D. (2003) Cognitive behavioural group treatment for social anxiety in schizophrenia. Schizophrenia Research 63: 121 - 129.

Krajewski, C.; Classen, W.; Boesken, S. (1993) Comparison of art and cognitive therapy (IPT) with simultaneous cognitive and art therapy for schizophrenic patients regarding the change of cognitive processes. *Pharmacopsychiatry* 26: 171.

Levine, J., Narak, Y., & Granek, I. (1998) (1998) Cognitive group therapy for paranoid schizophrenics: Applying cognitive dissonance. *Journal of Cognitive Psychotherapy* 12(1): 3-12.

Lysaker, P.H.; Bond, G.; Davis, L.W.; Bryson, G.J.; Bell, M.D. (2005) Enhanced cognitive-behavioral therapy for vocational rehabilitation in schizophrenia: Effects on hope and work. *Journal of Rehabilitation Research and Development*. 42(5): 673 - 682.

Lysaker, P.H.; Davis, L.W.; Beattie, N. (2005) Effects of cognitive behavioral therapy and vocational rehabilitation on metacognition and coping in schizophrenia. *Journal of Contemporary Psychotherapy* 36(1): 25-30.

Lysaker, P.H.; Davis, L.W.; Beattie, N. (2007) "Effects of cognitive behavioral therapy and vocational rehabilitation on metacognition and coping in schizophrenia": Erratum. *Journal of Contemporary Psychotherapy* 37(2); 115.

Mastroeni, A.; Bellotti, C.; Pellegrini, E.; Galleti, F.; Lai, E.; Falloon, I.R.H. (2005) Clinical and social outcomes five years after closing a mental hospital: A trial of cognitive behavioural interventions. *Clinical Practice and Epidemiology in Mental Health*. 1: 25

Morrison, A.P.; French, P.; Parker, S.; Roberts, M.; Stevens, H.; Bentall, R.P.; Lewis, S.W. (2007) Three-year follow-up of a randomized controlled trial of cognitive therapy for the prevention of psychosis in people at ultrahigh risk. *Schizophrenia Bulletin*. 33(3): 682 - 687.

Morrison, A.P.; Renton, J.C.; Williams, S.; Dunn, H.; Knight, A.; Kreutz, M.; Nothard, S.; Patel, U.; Dunn, G. (2004) Delivering cognitive therapy to people with psychosis in a community mental health setting: An effectiveness study. *Acta Psychiatrica Scandinavica* 110(1): 36-44.

O'Connor,K.; Stip,E.; Pelissier,M.C.; Aardema,F.; Guay,S.; Gaudette,G.; Van Haaster,I; Robillard,S.; Grenier,S.; Careau,Y.; Doucet,P.; Leblanc,V. (2007) Treating delusional disorder: a comparison of cognitive-behavioural therapy and attention placebo control. *Canadian Journal of Psychiatry - Revue Canadienne de Psychiatrie*. 52(3): 182 - 190.

Tait,A.; McNay,L.; Gumley,A.; O'Grady,M. (2002) The development and implementation of an individualised early signs monitoring system in the prediction of relapse in schizophrenia. *Journal of Mental Health* 11(2): 141 - 153.

Zhang, L.; Kong, Y. (2007) Evaluation on cognitive behavior therapy applied in schizophrenia patients of convalescence stage with emotional disorder. *Chinese Nursing Research* 18(11A): 07 - 09.

# Cognitive remediation

Previous	1. Review type	Interventions	Reported Outcomes
guideline review	2. Funding		The portex outcomes
8	3. Period covered		
	4. Data analysis		
	5. No. of studies		
	6. No. participants randomised		
Pilling S,	1. Systematic review of RCTs.	1. Cognitive remediation,	1. Verbal memory
Bebbington P,	2. Intramural sources of	defined as a programme	a. Wechsler Memory Scale – Revised Logical Memory (Tompkins
Kuipers E, Garety	support to the review:	focused on improving cognitive	1995; Medalia 2000)
P, Geddes J,	University College London.	function using a procedure	b. Sentence span (Wykes 1999)
Martindale B,	Extramural sources of	implemented with the intention	c. Word-list recall task (Benedict 1994)
Orbach G,	support to the review:	of bringing about an	2. Visual memory
Morgan C.	Department of Health, UK.	improvement in the level of that	a. Wechsler Memory Scale - Revised Visual Memory (Tompkins
0	3. Database origin to 1999.	specified cognitive function.	1995)
Psychological	4. Meta-analysis of Odds Ratio	2. Occupational therapy.	b. Visual span (Wykes 1999)
treatments in	and standardised mean	3. The comparison group was	3. Attention
schizophrenia II:	difference.	matched with the experimental	a. Continuous Performance Test: degraded stimulus - perceptual
meta-analyses of	5. 7.	group, and differed only in that	sensitivity (Benedict 1994)
randomized	6. 295.	they were not receiving	b. Continuous Performance Test: letter detection - absolute %
controlled trials of		cognitive remediation.	correct (Medalia 1998)
social skills			4. Mental state: BPRS (Medalia 1998; Wykes 1999)
training and			5. Planning (Tower of London task) (Wykes 1999)
cognitive			6. Cognitive flexibility
remediation.			a. Stroop task (Wykes 1999)
			b. Wisconsin Card Sort Test (Wykes 1999; Bellack 2001)
Psychological			c. Halstead Category Test (Bellack 2001)
Medicine, 2002, 32,			7. Self esteem (Wykes 1999)
783-791.			8. Drop out (Medalia 1998; Tompkins 1995; Wykes 1999; Medalia
			2000)
Update	Follow up to existing studies: 3 papers provided follow-up data to		Notes:
	existing RCTs: Wykes1999 (2 papers); Medalia2000 (1 paper)		Definition updated
	New studies: 18 RCTs.		

	Methods	Participants	Interventions	Outcomes	Notes
Study					
	14 sessions of 50 minutes.	N=38. Diagnosis:	1. Guided practice on six computer-based	Attention (Continuous	
Benedict1994	Allocation: "randomly	schizophrenia (RDC).	attentional tasks: participants received a	Performance Test - CPT,	
	assigned, in sequence."	History: no evidence of brain	mean of 14.4 (SD=1.09) 50-minute sessions.	Span of Apprehension	
	Blinding: not given.	damage, mental retardation,	2. Control: no attention training.	Test - SAT).	
	Setting: outpatients day	or substance dependence,		Verbal memory (Word	
	treatment centre, Buffalo,	mean education level 11.2		List Recall Task - WLRT).	
	NY.	(SD=2.1), average age at first		Unable to use:	
		hospitalisation 23.9 (SD=5.2),		Scale for the Assessment	
		mean no. of days		of Negative Symptoms	
		hospitalized 239.7, mean		(SANS - no data).	
		chlorpromazine equivalent		Scale for the Assessment	
		level 330.5 mg/ml		of Positive Symptoms	
		(SD=393.1). (SD=213.3).		(SAPS - no data).	
		Sex: 22 M, 16 F.		, , , , , , , , , , , , , , , , , , ,	
		Age: mean 37.9 (SD=10.8).			

# Characteristics of included studies (previous guideline)

Two to three 1-hour		I Dynamic cognitive intervention: a) regular	Dropout
	N=71. Diagnosis: schizophropia (DSM IV)		Dropout. Ravens Progressive
· ·			Matrices (RPM).
5			General Aptitude Test
			Battery (GATB).
			Memory (Learning
			Potential Assessment
			Device - LPAD).
			Employment status.
		1 / 1 1	Residence status.
			Unable to use:
			Fitts Self-Concept Scale
rehabilitation centre,	23/58 F. Age: mean 36 (SD	1 /	(no SD).
Israel.	10.29).		
		daily life (for example, work, residence, social	
		skills) and demonstrated how skills tested in	
		1st exercise are relevant.	
		b) Group treatment also offered according to	
		need every few weeks. Goals were "to enable	
		subjects to share a common theme and	
		enhance group belonging, develop their	
	education and subcategory of schizophrenia diagnosis." Blinding: none. Setting: community day rehabilitation centre,	year.History (completers): 5/58Allocation: "randomlyhad 1 previous admission,assigned into two equal14/58 had 2 previousgroups matched foradmissions, 25/58 had 3gender, age, family status,previous admissions, 14/58education and subcategoryhad spent up to one year inof schizophreniarehabilitation, 16/58 haddiagnosis."spent up to 2 years, 21/58Blinding: none.Sex (completers): 35/58 M,Setting: community daySex (completers): 35/58 M,23/58 F. Age: mean 36 (SD	year.History (completers): 5/58 had 1 previous admission, assigned into two equal groups matched for gender, age, family status, education and subcategory of schizophrenia diagnosis."History (completers): 5/58 had 1 previous admission, 25/58 had 3 previous admissions, 14/58 had spent up to one year in rehabilitation, 16/58 had spent up to 2 years, 21/58 Blinding: none.divided into 15 tools, each focusing on a specific cognitive deficiency. Treatment provided by OTs and adapted to each subject's abilities and needs. "The goals were to improve the subject's cognitive adaptive ability and independence and to sharpen their awareness of their abilities." Each session divided into three parts: i) paper and 

	18 20-minute sessions, 3	N=60.* Diagnosis:	1. Cognitive rehabilitation (Orientation	Dropout.	JADAD <sup>2</sup>
Medalia1998	times per week for 6	schizophrenia (DSM-III-R).	remedial module computer programme	Mental state (BPRS).	score = 1.
	weeks. Allocation: pairs	History: IQ >70, impaired	developed for people with head injuries	Attention (Continuous	* 6
	matched by test rankings	attention <99% correct on	emphasising "practice in a behavioral	Performance Test - CPT).	participants
	and randomised.	CPT, in hospital > 6 weeks	learning format that shapes and reinforces	Unable to use:	dropped out,
	Blinding: BPRS scored by	before study, on	attentive behavior through engaging in	Reaction time (no usable	trialists
	blind rater.	neuroleptics, no diagnosed	computerized exercises"). N=30.*	data).	analysed 54
	Setting: inpatients, New	brain disease.	2. Control: viewing National Geographic		(27 each
	York City.	Sex: 47 M, 13 F.	documentaries with a clinician. N=30.*		group) -
		Age: mean ~ 33 years (SD	Both comprised three 20-minute sessions per		reviewers
		~6.5).	week for 6 weeks. Orientation remedial		assumed 3
			module = computer based attentional		lost / group -
			remediation program.		unclear when
					attrition
					occurred.

<sup>&</sup>lt;sup>2</sup> JADAD scores relate to a quality assessment scale: the JADAD scale (Jadad, A.R., Moore, R.A., Carroll, D. *et al.* (1996) Assessing the quality of reports of randomized clinical trials: Is blinding necessary? *Controlled Clinical Trials*, *17*, 1–12). The JADAD scale has not been applied to any papers in the update, instead the SIGN checklist has been applied.

	Ten 25-minute sessions,	N=60*. Diagnosis:	1. Memory Remediation Group: employed a	Dropout.	*6 subjects
Medalia2000	sessions, twice weekly for	schizophrenia (DSM-IV Axis	software package developed "to increase	Memory (Wechsler	dropped out -
	5 weeks.	I). History: ages 18-55, no	memory skills and develop strategies for	Memory Scale-Revised:	2 in each
	Allocation: "subjects were	diagnosed brain disease,	remembering." Verbal praise and	Logical Memory,	group,
	randomly assigned into	IQ>70, scores above 16th	encouragement offered to subjects as they	California Verbal	leaving 18
	one of three groups"	percentile on	completed tasks. N=18*.	Learning Test - Immediate	participants
	(Problem-Solving	Comprehension test of	2. Problem- solving group: subjects were	Free Recall List A).	per group
	Remediation, Memory	WAIS-R-CT and the	trained to perform sequential procedures and	Unable to use:	who
	Remediation, and	Immediate Recall subtest of	guided in problem-solving process required	Wechsler Adult	completed
	Control).	WMS-LM-I.	to solve problems presented in a software	Intelligence Scale-Revised,	the study.
	Blinding: one investigator		package. N=18.*	Comprehension test	Reasons for
	scored all pre-tests but		3. Control Group: subjects participated in	(WAIS-R-CT - no data).	dropping
	remained blind to group		"routine unit activities (for example, arts and	Independent Living Scale	out:
	assignment until treatment		crafts) or centralised services (for example,	- Problem Solving	"included
	started. Post-tests		leisure time). N=18*.	subscale (ILS-PS - no SD).	withdrawal
	independently rated by				of consent,
	second investigator blind				decompensa-
	to group assignment.				tion, and
	Setting: inpatients, New				discharge."
	York City.	]			

	Allocation: random - no	N=33. Diagnosis:	1. Neurocognitive remediation (CR) - CR as	Mental state (BPRS, PSE).	Jadad score =
Wykes1999	further details.	schizophrenia (DSM-IV).	set out in Delahunty and Morice's (1993)	Dropout.	1.
	Blinding: raters blind to	History: evidence of	manual. "In each session, a variety of tasks	Cognitive flexibility	Used
	group assignment.	cognitive difficulties, no	were presented to practice each of the	(Verbal Fluency, Hayling,	intention to
	Setting: community	evidence of organic brain	component processes in complex planning or	Trails, WCST, Response	treat analysis.
	psychiatric clinics, South	disease, no plans to change	problem solving".	inhibition, Stroop).	
	London.	medication during	2. Intensive Occupational Therapy (IOT) -	Planning (Tower of	
		treatment.	including "relaxation, assertiveness training,	London, Modified 6	
		Sex: 25 M, 8 F.	life diary, comprehension of social	Elements).	
		Age: mean ~ 38 years.	information, and role playing."	Memory (Digit Span,	
			Both "1-hour daily sessions over 40 days" for	Sentence Span, Visual	
			3 to 5 days per week.	Span, Dual Span).	
				Criterion-based measures	
				(number of people who	
				improved on more than	
				50% of the tests in a	
				domain, number of people	
				who achieved sustained	
				improvement).	

## References of included studies (previous guideline)

## Benedict 1994

Benedict RHB, Harris AE, Markow T, McCormick JA, Nuechterlein KH, Asarnow RF. (1994) Effects of attention training on information processing in schizophrenia. *Schizophrenia Bulletin*; 20:537-46.

## Hadas-Lidor 2001

Hadas-Lidor N, Katz N, Tyano S, Weizman A. (2001) Effectiveness of dynamic cognitive intervention in rehabilitation of clients with schizophrenia. *Clinical Rehabilitation*;15:349-59.

## Medalia 1998

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Medalia A, Aluma M, Tryon W, Merriam AE. (1998) Effectiveness of attention training in schizophrenia. Schizophrenia Bulletin; 24:147-52.

## Medalia 2000

Bark, N.; Revheim, N.; Huq, F.; Khalderov, V.; Ganz, Z.W.; Medalia, A. (2003) The impact of cognitive remediation on psychiatric symptoms of schizophrenia. *Schizophrenia Research* 63: 229 - 235.

\*Medalia A, Revheim N, Casey M. (2000) Remediation of memory disorders in schizophrenia. Psychological Medicine; 30:1451-1459.

Medalia A, Revheim N, Casey M. (2001) The remediation of problem-solving skills in schizophrenia. Schizophrenia Bulletin; 27:259-267.

#### Wykes 1999

Reeder, C.; Newton, E.; Frangou, S.; Wykes, T. (2004) Which executive skills should we target to affect social functioning and symptom change? A study of a cognitive remediation therapy program. *Schizophrenia Bulletin* 30(1): 87 - 100.

\*Wykes T, Reeder C, Corner J, Williams C, Everitt B. (1999) The effects of neurocognitive remediation on executive processing in patients with schizophrenia. *Schizophrenia Bulletin*; 25:292-307.

Wykes, T.; Reeder, C.; Williams, C.; Corner, J.; Rice, C.; Everitt, B. (2003) Are the effects of cognitive remediation therapy (CRT) durable? Results from an exploratory trial in schizophrenia. *Schizophrenia Research* 61: 163 - 174.

## Characteristics of excluded studies (previous guideline)

Study	Reason for exclusion
Adams1981	Allocation: case study, not randomised.
Ahmed1994	Allocation: case series, not randomised.
Bellack1990	Allocation: allocated sequentially, not randomly. Participants: people with schizophrenia. Interventions: cognitive rehabilitation versus contingent reinforcement and noncontingent reinforcement, no placebo.
Benedict1989	Allocation: "randomly assigned" - no further details. Participants: 20 people with schizophrenia (DSM-III), mean age ~30 years (SD 5.6) taking mean of 709mg chlorpromazine per day. Interventions: cognitive rehabilitation (computerised attention-training tasks - progression through task hierarchy dependent on improved performance. 11 tasks - "speed of information processing & vigilance"; 14 tasks - "skills in memory, concept formation & problem solving") versus attention placebo (same tasks & attention as experimental group, but no progression criteria, equal time spent on each task) versus no treatment control. Duration of cognitive rehabilitation & attention placebo: 25 X 30 minute sessions. Outcomes: reaction time (+/- auditory distraction), specific reaction time tasks (total = 120 trials) - no usable data.
Brenner1994	Allocation: not randomised, describes Integrated Psychological Therapy.

Brown1993	Allocation: randomised - no further description.		
	Participants: 29 people with "chronic" schizophrenia (DSM-IIIR), mean age ~ 50 years, mean length of stay 7 years.		
	Interventions: Cognitive rehabilitation (Attention Process Training - "a hierarchical, multilevel treatment program designed to		
	remediate attention deficits in brain-injured persons primarily consisting of paper-and-pencil and auditory stimuli/motor		
	response tasks" versus control group ("traditional one-to-one task-oriented occupational therapy program aimed at improving		
	cognitive skills through task completion"). Duration of both interventions: 3 X 60 minute sessions per week for 12 weeks		
	Outcomes: Digit span subscale of WAIS, visual span subscale of the revised memory scale, digit symbol subscale of WAIS, trail		
	making subtests A & B of the Halstead Reitan Neurological Battery, Bay Area Functional Performance Evaluation (BaFPE). Unusual		
	treatment of data from two groups - "[because] neither treatment modality was more effective than the other" "statistical analysis		
	was done on the combined score" of the two treatment groups.		
Corrigan1995	Allocation: randomised.		
	Participants: people with schizophrenia and schizoaffective disorder.		
	Interventions: two forms of cognitive rehabilitation, no control group.		
Delahunty1993	Allocation: case studies, not randomised.		
Fine1994	Allocation: case study, not randomised.		
Finnell1997	Allocation: not randomised.		
Garety1994	Allocation: not randomised.		
Goldberg1994	Allocation: not randomised, case study.		
Granholm1992	Allocation: not randomised, review.		
Jaeger1992a	Allocation: unclear, "controlled trial" of cognitive rehabilitation aborted because participants "found the program too demanding".		
Kern1994	Allocation: not randomised, review.		
Konen1991	Allocation: not randomised, review.		
Michel1998	Allocation: not randomised, case control.		
Morice1996	Allocation: unclear.		
	Participants: people with schizophrenia		
	Interventions: three different groups, all cognitive rehabilitation and mixed with other interventions.		
Nisbet1996	Allocation: non-randomised controlled study.		
Perris1992	Allocation: not randomised, descriptive paper.		
Reed1992	Allocation: not randomised, case series.		
Spaulding1986	Allocation: not randomised, case series.		
Spaulding1993a	Allocation: not randomised, case series.		

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Spaulding1993b	Allocation: unclear.
	Participants: those with schizophrenia.
	Interventions: problem solving training versus a perception training, not cognitive rehabilitation.
Spaulding1994	Allocation: not randomised, case study.
Spaulding1999	Allocation: matched randomisation.
	Participants: people with schizophrenia, schizoaffective disorder, psychosis NOS, bipolar disorder, major depression, OCD, organic
	personality disorder, personality disorder NOS.
	Intervention: integrated psychological therapy subprograms versus supportive therapy.
	Outcomes: measures of social competence, cognitive functioning, clinical status.
	Analysis: no useable data.
Summerfelt1991	Allocation: randomised, two period crossover design.
	Participants: people with schizophrenia and schizoaffective disorder.
	Intervention: two types of cognitive rehabilitation, with and without monetary reward, no placebo group.
Tryssenaar1994	Allocation: not randomised, case study.
Trzepacz1991	Allocation: not randomised, case study.
Velligan1996	Allocation: non-randomised controlled study.
Vollema1995	Allocation: randomised - no further details.
	Participants: 34 people with schizophrenia (DSM-III-R), mean age ~32 (SD ~8), mean number hospitalisations ~3 (SD 2), mean
	duration of illness ~32 months (SD 43), mean time in hospital since last admission ~ 14 months (SD 20), negative symptoms mean
	PANSS subscale $\sim$ 17 (SD 7), > 20 perseverative errors on WCST, mean 260mg chlorpromazine per day.
	Interventions: cognitive rehabilitation (instruction on WCST - involved 6 measurement (M) occasions; on M3 received instruction "on
	sorting rules and on the occurrence of shifting sets" before being administered WCST - therefore, intervention = 1 session of
	instructions) versus cognitive rehabilitation (instruction on WCST and monetary incentive - involved 6 M occasions: on M3 received
	instruction "on sorting rules and on the occurrence of shifting sets" before being administered WCST and 25 cents for each correct
	response - therefore, intervention = 1 session of instructions & monetary incentive) versus control (tested on WCST under standard
	conditions on 6 occasions).
	Outcomes: WCST - number of categories completed and number of perseverative errors immediately following intervention, 10
	minutes and 14 days post intervention. Monetary incentive and instruction - "less effective than instruction alone" but no usable data.
Wexler1997	Allocation: randomised.
	Participants: people with schizophrenia.
	Interventions: two types of cognitive rehabilitation, no placebo group.
Young1995	Allocation: randomised.
	Participants: people with chronic schizophrenia
	Interventions: two forms of cognitive rehabilitation, no placebo control.

#### References of excluded studies (previous guideline)

#### Adams1981

Adams HE, Malatesta V, Brantley PJ, Turkat ID. (1981) Modification of cognitive processes: a case study of schizophrenia. *Journal of Consulting and Clinical Psychology*;49:460-4.

#### Ahmed1994

Ahmed M, Goldman JA. (1994) Cognitive rehabilitation of adults with severe and persistent mental illness: a group model. *Community Mental Health Journal*; 30:385-93.

#### Bellack1990

Bellack AS, Mueser KT, Morrison RL, Tierney A, Podell K. (1990) Remediation of cognitive deficits in schizophrenia. *American Journal of Psychiatry*; 147:1650-5.

#### Benedict1989

Benedict RHB, Harris AE. (1989) Remediation of attention deficits in chronic schizophrenic patients: a preliminary study. *British Journal of Clinical Psychology*; 28:187-8.

#### Brenner1994

Brenner HD. (1989) The treatment of basic psychological dysfunctions from a systemic point of view. *British Journal of Psychiatry*; 155 (Supplement 5):74-83.

Brenner HD, Boker W, Hodel B, Wyss H. (1989) Cognitive treatment of basic pervasive dysfunctions in schizophrenia. In: Schulz SC, Tamminga CA, editor(s). *Schizophrenia: Scientific Progress*, pp. 358-367. New York: Oxford University Press.

Brenner HD, Hodel B, Genner R, Roder V, Corrigan P. (1992) Biological and cognitive vulnerability factors in schizophrenia: implications for treatment. *British Journal of Psychiatry*; 161(Supplement 18):154-63.

Brenner HD, Hodel B, Roder V, Corrigan P. (1992) Treatment of cognitive dysfunctions and behavioral deficits in schizophrenia. *Schizophrenia Bulletin*; 18:21-6.

Brenner HD, Stramke WG, Mewes F, Liese F, Seeger G. (1980) Erfahrungen mit einem spezifischen therapieprogramm zum training kognitiver and kommunikativer fähigkeiten in der rehabilitation chronisch schizophrener patienten. *Der Nervenarzt*; 51:106-12.

#### Brown1993

Brown C, Harwood K, Hays C, Heckman J, Short JE. (1993) Effectiveness of cognitive rehabilitation for improving attention in patients with schizophrenia. *Occupational Therapy Journal of Research*; 13:71-86.

## Corrigan1995

Corrigan PW, Hirschbeck JN, Wolfe M. (1995) Memory and vigilance training to improve social perception in schizophrenia. *Schizophrenia Research*; 17:257-65.

## Delahunty1993

Delahunty A, Morice R, Frost B. (1993) Specific cognitive flexibility rehabilitation in schizophrenia. Psychological Medicine; 23:221-7.

#### Fine1994

Fine SB. (1994) Reframing rehabilitation: putting skill acquisition and the mental health system into proper perspective. In: Spaulding WD, ed. *Cognitive Technology in Psychiatric Rehabilitation*. Lincoln, NE: University of Nebraska Press.

#### Finnell1997

Finnell A, Card J, Menditto A. (1997) A comparison of appropriate behavior scores of residents with chronic schizophrenia participating in therapeutic recreation services and vocational rehabilitation services. *Therapeutic Recreation Journal*; (First Quarter):10-21.

#### Garety1994

Garety PA, Kuipers L, Fowler D, Chamberlain F, Dunn G. (1994) Cognitive behavioural therapy for drug-resistant psychosis. *British Journal of Medical Psychology*; 67:259-71.

#### Goldberg1994

Goldberg J. (1994) Cognitive retraining in a community psychiatric rehabilitation program. In: Spaulding WD. *Cognitive Technology in Psychiatric Rehabilitation*. Lincoln, NE: University of Nebraska Press, 1994.

#### Granholm1992

Granholm E. (1992) Processing resource limitations in schizophrenia: implications for predicting medication response and planning attentional training. In: Margolin DI, ed. *Cognitive Neuropsychology in Clinical Practice*. pp. 43–69. New York: Oxford University Press.

## Jaeger 1992a

Jaeger J, Douglas E. (1992) Neuropsychiatric rehabilitation for persistent mental illness. Psychiatric Quarterly; 63:71-94.

## Kern1994

Kern RS, Green MF. (1994) Cognitive prerequisites of skill acquisition in schizophrenia: Bridging micro- and macro-levels of processing. In: Spaulding WD, ed. *Cognitive Technology in Psychiatric Rehabilitation*. Lincoln, NE: University of Nebraska Press.

## Konen1991

Konen A, Neis L, Hodel B, Brenner HD. (1993) A propos des thérapies cognitive-comportementales de la schizophrénie. Le programme intégratif de thérapies psychologiques (IPT). *L'Encéphale*; XIX:47-55.

## Michel1998

Michel L, Danion J-M, Grange D, Sander G. (1998) Cognitive skill learning and schizophrenia: implications for cognitive rehabilitation. *Neuropsychology*; 12:590-9.

## Morice1996

Morice R, Delahunty A. (1996) Treatment strategies for the remediation of neurocognitive dysfunction in schizophrenia. In: Pantelis C, Nelson HE, Barnes TRE, eds. *Schizophrenia: a Neurological Perspective*. New York: John Wiley.

#### Nisbet1996

Nisbet H, Siegert R, Hunt M, Fairley N. (1996) Improving schizophrenic in-patients' Wisconsin card-sorting performance. *British Journal of Clinical Psychology*; 35:631-3.

## Perris1992

Perris C. (1992) A cognitive-behavioral treatment program for patients with a schizophrenic disorder. *New Directions for Mental Health Services*; 53:21-32.

## Reed1992

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Reed D, Sullivan, ME, Penn DL, Stuve P, Spaulding WD. (1992) Assessment and treatment of cognitive impairments. *New Directions for Mental Health Services*; 53:7-19.

## Spaulding1986

Spaulding WD, Storms L, Goodrich V, Sullivan M. (1986) Applications of experimental psychopathology in psychiatric rehabilitation. *Schizophrenia Bulletin*; 12:560-77.

## Spaulding1993a

Spaulding WD (1993). Spontaneous and induced changes in cognition during psychiatric rehabilitation. In: Cromwell RL, Snyder CR, eds. *Schizophrenia: Origins, Processes, Treatment, and Outcome*. New York: Oxford University Press.

## Spaulding1993b

Spaulding WD (1993). Spontaneous and induced changes in cognition during psychiatric rehabilitation. In: Cromwell RL, Snyder CR, eds. *Schizophrenia: Origins, Processes, Treatment, and Outcome*. New York: Oxford University Press.

## Spaulding1994

Spaulding WD, Sullivan M, Weiler M, Reed D, Richardson C, Storzbach D. (1994) Changing cognitive functioning in rehabilitation of schizophrenia. *Acta Psychiatrica Scandinavica*; 90(Supplement 384):116-24.

## Spaulding1999

Spaulding WD, Reed D, Sullivan M, Richardson C, Weiler M. (1999) Effects of cognitive treatment in psychiatric rehabilitation. *Schizophrenia Bulletin*; 25(4):657-676.

## Summerfelt1991

Summerfelt AT, Alphs LD. (1991) Reduction of perseverative errors in patients with schizophrenia using monetary feedback. *Journal of Abnormal Psychology*; 100:613-6.

## Tryssenaar1994

Tryssenaar J, Goldberg J. (1994) Improving attention in a person with schizophrenia. Canadian Journal of Occupational Therapy; 61:198-205.

## Trzepacz1991

Trzepacz P, Starratt C. (1991) In: Tamminga CA, Schultz SC, eds. *Schizophrenia Research*, vol. 1 of *Advances in Neuropsychiatry and Psychopharmacology*. New York: Raven Press.

## Velligan1996

Velligan DI, Mahurin RK, True JE, Lefton RS, Flores CV. (1996) Preliminary evaluation of cognitive adaptation training to compensate for cognitive deficits in schizophrenia. *Psychiatric Services*; 47:415-7.

#### Vollema1995

Vollema MG, Geurtsen GJ, Augustinus JP, van Voorst JP. (1995) Durable improvements in Wisconsin Card Sorting Test performance in schizophrenic patients. *Schizophrenia Research*; 16:209-15.

## Wexler1997

Wexler BE, Hawkins KA, Rounsaville B, Anderson M, Sernyak MJ, Green MF. (1997) Normal neurocognitive performance after extended practice in patients with schizophrenia. *Schizophrenia Research*; 26:173-80.

## Young1995

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Young DA, Freyslinger MG. (1995) Scaffolded instruction and the remediation of Wisconsin Card Sorting Test deficits in chronic schizophrenia. *Schizophrenia Research*; 16:199-207.

## Characteristics of included studies (update)

Study ID	BELLUCCI2002
General info	Funding source: Not mentioned
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	Type of analysis: Completer
	Blindness: Only raters blind
	Duration: No. weeks of treatment - 8
	Raters: Independent of treatment
	Design: Single-centre - US
	Number of people screened, excluded & reasons: Not mentioned
	Notes about study methods: Randomisation procedure not reported
Participants	Diagnosis: Schizophrenia [% of sample] 47%
	Diagnosis: Other schizophrenia related [%] Schizoaffective disorder - 53%
	Diagnostic tool: Primary diagnoses made by treating psychiatrists using a structured clinical interview

## Inclusion criteria:

- attending a day treatment programme

- primary diagnosis of schizoaffective disorder or schizophrenia judged to have been present for >=6 months

## **Exclusion criteria:**

- age 60+

-those judged to be floridly psychotic (i.e. expressing hallucinations, and/or prominent thought disorder)

Total sample size: No. randomised 34

Gender: % female 52.9%

**Age:** Mean 42.0

**Ethnicity:** Not reported

Setting: Outpatient

History:

- Mean years since first hospitalisation =16.6

- Mean years since first contact with the programme provider = 4.9.

# **Baseline stats:**

[CACR / control] SANS summary: 13.7(3.6) / 13.1(3.8) SES: 26.5(4.7) / 29.6(4.3) MMSE: 28.1(1.5) / 25.7(4.1)

# **Notes about participants:** Mean GAF = 49.0

Subjects received on average 3.1 psychiatric medications, most commonly atypical antipsychotics (n=27), SSRI antidepressants (n=14), mood stabilisers (n=14), medication to control EPS (n=11), other antipsychotics (n=10) and other antidepressants (n=8)

Interventions Intervention - group 1.: CACR, 21/2 hour session for 8 weeks, n=17

Intervention - group 2.: Waiting list control: n=17

Notes about the interventions:

Day treatment programme (TAU)

All participants were attending a day treatment programme, which offered medication management, psychiatric evaluation, case management services and therapeutic groups (e.g. psychoeducation, social skills, prevocational training.)

# CACR

In addition to the day treatment programme, the participants also received 2 half-hour sessions of CARC for 8 weeks. The CACR training employed Captain's Log Software. The programme had 5 modules, each containing 3-8 cognitive training tasks in attention and concentration,

memory, visuospatial and visuomotor skills and conceptualisation. Trainers provided consistent reinforcement and encouragement without presenting specific performance feedback, using a standard set of acceptable verbalisations.

Waiting-list

Participants assigned to the waiting list control group received only the day programme (TAU)

Outcomes Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state SANS; SES

Cognitive functioning: Average score/change in cognitive functioning TMT-A; TMT-B; WMS-III; MMSE

Quality 1.1 The study addresses an appropriate and clearly focused question.: Adequately addressed

1.2 The assignment of subjects to treatment groups is randomised.: Not reported adequately

1.3 An adequate concealment method is used.: Not addressed

1.4 Subjects and investigators are kept 'blind' about treatment allocation .: Poorly addressed

1.5 The treatment and control groups are similar at the start of the trial.: Adequately addressed

1.6 The only difference between groups is the treatment under investigation.: Well covered

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Adequately addressed

1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Poorly addressed

**1.10 Where the study is carried out at more than one site, results are comparable for all sites.**: Not applicable

2.1 How well was the study done to minimise bias?: +

Study ID		
	BURDA1994	
General info	Funding source: Non-industry support	
	Published or unpublished data?: Published	
Method	Type of study: Individual randomised trial	
	Type of analysis: Completer	
	Blindness: No mention	
	<b>Duration:</b> No. weeks of treatment - 8	
	Raters: Not stated to be independent of treatment	

	Design: Single-centre - Inpatient psychiatric ward in VA medical centre, US
	Number of people screened, excluded & reasons: Not reported
	Notes about study methods: Randomisation procedure not reported
Participants	Diagnosis: Schizophrenia [% of sample] 100%
	Diagnostic tool: Other method Research diagnostic criteria
	Inclusion criteria:
	- Chronic inpatient
	Exclusion criteria:
	- not reported
	Total sample size: No. randomised 69
	Gender: % female 3%
	Age: Mean 47
	Ethnicity: 12% African American
	88% Caucasian or Hispanic
	Setting: Inpatient
T	Baseline stats: Not reported
<b>Interventions Intervention - group 1.:</b> CRT, 3 x 30 minute sessions over 8 weeks; N = 40	
	<b>Intervention - group 2.:</b> Control; N = 29
	Notes about the interventions: CRT
	Variety of exercises including attention, memory, visuospatial skills, visuomotor skills and conceptualisation.
	Control
	Did not participate in any way with computer.
	All participants took part in regular ward including medication.
Outcomes	Cognitive functioning: Average score/change in cognitive functioning – end of treatment only
Quality	1.1 The study addresses an appropriate and clearly focused question.: Adequately addressed
	1.2 The assignment of subjects to treatment groups is randomised.: Not reported adequately
	1.3 An adequate concealment method is used.: Not addressed
	1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed

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1.5 The treatment and control groups are similar at the start of the trial.: Poorly addressed

1.6 The only difference between groups is the treatment under investigation.: Adequately addressed

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Adequately addressed

1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Poorly addressed

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable

2.1 How well was the study done to minimise bias?: +

#### Study ID

General info Funding source: Non-industry support Published or unpublished data?: Published Method Type of study: Individual randomised trial Type of analysis: Completer Blindness: No mention Duration: No. weeks of treatment - 52 Raters: Not stated to be independent of treatment Design: Multi-centre - Several different clinics in and around Pittsburgh Number of people screened, excluded & reasons: 43 were recruited, but only 38 completed the treatment (2 participants moved away, 2 withdrew consent and 1 did not meet eligibility criteria upon further review) Notes about study methods: Randomisation procedure not reported. **Participants** Diagnosis: Other schizophrenia related [%] schizoaffective disorder = 26% Diagnosis: Schizophrenia [% of sample] 74% Diagnostic tool: DSM-IV Inclusion criteria: - diagnosed within the past 8 years. - have an IQ  $\geq 80$ - not be misusing substances during the past 2 months.

 Total sample size: No, randomised 38

 Gender: % female 32%

 Age: Mean 26.14(6.54)

 Age: Range 17-43

 Ethnicity: Caucasian = 68%

 African American = 16%

 Setting: Inpatient

 Setting: Outpatient

 History: Participants had been ill for an average of 3.75(2.80) years. All had completed high school, half had attended some collage, and nine were currently employed

 Baseline stats: Not reported - (only difference from baseline reported)

 Notes about participants: No further details provided

 Interventions
 Intervention - group 1:: CET, 60 hours of computer training, n=18

 Intervention - group 2:: EST; n=20

 Notes about the interventions:

#### CET

-participants complete approx 60h of computer training in attention, memory, and problem-solving, and participate in a newly revised 45 session weekly socio-cognitive group that focuses on learning how to take the perspective of others, read non-verbal cues, manage emotions and appraise the social context.

#### EST

-consists of components from the basic and intermediate phases of Personal Therapy, which focuses on stress reduction strategies and psychoeducation.

Full details of both methods have been described in other papers

**Outcomes** Cognitive functioning: Average score/change in cognitive functioning - Mayer-Salovey-Caruso Emotional Intelligence Test (MSCEIT)

- Quality 1.1 The study addresses an appropriate and clearly focused question.: Adequately addressed
  - **1.2 The assignment of subjects to treatment groups is randomised.:** Not reported adequately
  - 1.3 An adequate concealment method is used.: Not addressed
  - 1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed

1.5 The treatment and control groups are similar at the start of the trial.: Poorly addressed

1.6 The only difference between groups is the treatment under investigation.: Adequately addressed

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Adequately addressed

1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Poorly addressed

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not addressed

2.1 How well was the study done to minimise bias?: +

### Study ID

Study ID	HOGARTY2004
General info	Funding source: Non-industry support
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	<b>Type of analysis:</b> ITT - all participants met the criteria for minimum treatment exposure and were included in the ITT analyses. However paper is not specific about this criteria.
	Blindness: Only raters blind
	Duration: No. weeks of treatment - 52
	Duration: Length of follow-up - 1 year
	Raters: Independent of treatment
	Design: Single-centre - US
	<b>Number of people screened, excluded &amp; reasons:</b> 132 patients were initially enrolled (12 had initially been excluded because of mental insufficiency or organicity). 8 participants withdrew consent before treatment exposure and three were judged to be ineligible by reason of mental insufficiency (IQ<80).
	Notes about study methods: Patients were randomised by the project statistician.
Participants	Diagnosis: Schizophrenia [% of sample] 70% (56% Paranoid Schizophrenia, 14% Other Schizophrenia.)
	Diagnosis: Other schizophrenia related [%] 30% schizoaffective disorder
	Diagnostic tool: Other DSM
	Diagnostic tool: DSM-IV

### Inclusion criteria:

- Fluent in English

- aged 18-60 years

- treated with a Food and Drug Administration-approved antipsychotic medication

- free of any serious alcohol or drug abuse in the preceding 6 months

- IQ >=80

- Had to meet the criteria for cognitive disability (associated with 1 of 3 dysfunctional cognitive styles: impoverished, disorganised, rigid.)

Total sample size: No. randomised 121

Total sample size: ITT population 121

Gender: % female 41%

Age: Mean 37.3(8.9)

Ethnicity: White - 89%

African American - 11%

Setting: Outpatient

**History:** Length of psychotic illness, mean years = 15.7(9.3)Previous hospitalisations, mean n=5.96(5.97)Cumulative hospitalisation, mean months = 13.9(4.5)Time since last worked median years = 4Estimated WAIS mean - 97.2(11.5)

Baseline stats: Scores on the BPRS were not recorded as the measure failed retest reliability because of low variance.

**Notes about participants:** At baseline, 33.5% of patients received clozapine, 28.9% received an atypical antipsychotic medication (mostly risperidone or olanzapine), and 35.5% received a conventional neuroleptic, typically at the minimum effective dose.

Interventions Intervention - group 1.: CET, approx 75 hours of software training and 56 group sessions); n=67

**Intervention - group 2.:** EST, weekly in phase 1 and biweekly in phase 2; N=54

Notes about the interventions:

CET

CET attempts to facilitate the attainment of adult social cognitive milestones, such as perspective taking and social cognitive appraisal. CET is a small-group approach that combines approx 75 hours of progressive software training exercises in attention, memory, and problem solving with 1.5 hours per week of social cognitive group exercises (approx 56 sessions).

-Software exercises required the patients to work in pairs, offer mutual support and encouragement, respond to online Socratic coaching, and use the cueing and fading of prompts until the principles underlying test performance were mastered.

- The participants were divided into 11 CET social cognitive groups. Group sessions typically contained a homework review, a

psychoeducation topic, an exercise by a patient or pair, feedback from other patients and coaches, and a new homework assignment based on the education topic.

EST

EST included most practice principles of the basic and intermediate phases of the demonstrably effective PT approach. EST encouraged illness self-management through the control of subjective cues of distress that might lead to destabilisation or social dysfunctioning. -Phase 1 provided psychological and material support, psychoeducation regarding the nature and treatment of schizophrenia, resumption of instrumental tasks, role restructuring and basic skills training in stress avoidance.

-Phase 2 included a personalised education concerning vulnerability to stress, adjustment to disability, identification of early signs of decompensation and stress management strategies.

-EST was intended to be applied weekly in phase 1 and biweekly in phase 2

Outcomes Leaving the study early: Leaving due to any reason (non-adherence to study protocol)

**Cognitive functioning:** Clinically significant change in cognitive functioning - Clinically meaningful changes to the composite scores were also reported.

**Cognitive functioning:** Average score/change in cognitive functioning Composite scores were created based on the results of a battery of tests including WAIS, WCST, WMS, etc.

-The following composite scores were standardised according to a baseline mean (SD) of 50 (10): Processing speed, neurocognition, cognitive style, social cognition and social adjustment.

-The regressed composite change scores between baseline and 1 and 2 years were the main study outcomes.

**Other:** Employment - lower=better: GAS

Global work readiness

Quality 1.1 The study addresses an appropriate and clearly focused question.: Well covered

1.2 The assignment of subjects to treatment groups is randomised.: Well covered

1.3 An adequate concealment method is used.: Well covered

1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed

1.5 The treatment and control groups are similar at the start of the trial.: Adequately addressed

**1.6 The only difference between groups is the treatment under investigation.**: Adequately addressed

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Adequately addressed

1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Well covered

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable

2.1 How well was the study done to minimise bias?: +

Study ID

**KURTZ2007** 

General info Funding source: Non-industry support

Published or unpublished data?: Published

Method Type of study: Individual randomised trial

**Type of analysis:** ITT - Data from all patients randomly assigned to a condition were included in the analysis, regardless of the degree of participation, with the exception of patients who achieved less than 15 total hours of computer training.

Blindness: Single-blind

Duration: No. weeks of treatment - 52

Raters: Independent of treatment

**Design:** Single-centre - US

Number of people screened, excluded & reasons: 42 patients were randomised.

Individual measures were missing from 2 patients for spatial episodic memory and processing speed function domains, and individual measures for 9 patients were missing from the executive function / reasoning domain.

-2 cases patient refused, 3 cases addition of a test to the battery after the onset of the study and 6 cases represented technical loss or administrator error.

Notes about study methods: Randomisation procedure not reported

Participants Diagnosis: Other schizophrenia related [%] Not reported

Diagnosis: Schizophrenia [% of sample] not reported

Diagnostic tool: DSM-IV

Inclusion criteria:

- outpatients meeting DSM-IV criteria for schizophrenia or schizoaffective disorder.

**Exclusion criteria:** 

- auditory or visual impairment

- evidence of mental retardation, traumatic brain injury with a sustained loss of consciousness, presence or history of any neurologic illness other than schizophrenia

- lack of proficiency in English

-criteria met for concurrent substance abuse or dependence.

Total sample size: No. randomised 42

Total sample size: ITT population - Unclear

Gender: % female 33%

Age: Mean 35

Setting: Outpatient

## History:

[CR / CS] Duration of illness: 11.0(10.4) / 9.8(6.3) Number of hospitalisations: 4.0(2.5) / 3.9(2.9) Vocabulary scaled score (WAIS-III): 10.0(3.6) / 11.0(3.2)

# **Baseline stats:**

[CR / CS] Working memory: -0.6(1.1) / -0.2(1.0) Verbal episodic memory: -1.3(1.0) / -0.9(0.9) Spatial episodic memory: -2.6(1.1) / -0.9(0.9) Processing speed: -1.2(0.8) / -1.2(0.7) Reasoning/ executive function: -0.8(1.1) / -0.6(1.2)

The scores above represent z scores generated for the composite domains derived from a number of neurocognitive tests.

## Notes about participants:

[CR / CS]

% treated with atypical antipsychotics: 91 / 95

Interventions Intervention - group 1.: Cognitive remediation (CR), target length 100 hours; n=23

Intervention - group 2.: Computer skills training (CS), target length 100 hours; n=19

**Notes about the interventions:** Both the groups trained on computers side-by-side in rooms of 3-4 computers each, supervised and coached by clinicians trained in these procedures who offered positive reinforcement.

## CR

-

CR consisted of a sequence of computerised cognitive exercises designed to improve attention, verbal and non-verbal memory and language

processing through repeated drill-and-practice. Exercises and goals are targeted at a level of difficulty at which all patients are successful. Goals are modified as performance improves. Mean number of hours in training = 67.4(28.7)

#### CS

The computer-skills component control intervention consisted of a 12-month course of computerised tutorials in general computer literacy and specific skills in using Microsoft Office. Participants in groups received a similar duration of treatment and equivalent interaction with a clinician. Treatment consisted of a sequence of training on general word processing skills, spread-sheet management, internet use and other skills directly applicable to an entry-level office position in the community. Patients did not receive practice on exercises expressly designed to strengthen basic neurocognitive skills. Mean number of hours in training = 70.7(28.2)

**Outcomes Cognitive functioning:** Average score/change in cognitive functioning Changes to the z scores for the composite factors: Working memory. verbal episodic memory, spatial episodic memory, processing speed and reasoning/executive function.

Quality 1.1 The study addresses an appropriate and clearly focused question.: Well covered

1.2 The assignment of subjects to treatment groups is randomised.: Not reported adequately

1.3 An adequate concealment method is used.: Not addressed

1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Adequately addressed

1.5 The treatment and control groups are similar at the start of the trial.: Well covered

- 1.6 The only difference between groups is the treatment under investigation .: Well covered
- 1.7 All relevant outcomes are measured in a standard, valid and reliable way .: Well covered

**1.8** What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Adequately addressed

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable

2.1 How well was the study done to minimise bias?: +

#### Study ID

Study 12	PENADES2006
General info	Funding source: Non-industry support
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	Type of analysis: ITT - Missing data from dropouts were replaced by the baseline scores following the "carry forward" method.

**Type of analysis:** LOCF

Blindness: Only raters blind

Duration: Length of follow-up - 6 months

Duration: No. weeks of treatment - 16

Raters: Independent of treatment

Design: Single-centre - Participants were recruited from the hospital clinic mental health centre which serves part of the Barcelona area

**Number of people screened, excluded & reasons:** 52 patients screened, 12 excluded from the randomised study due to: not meeting inclusion criteria (8) and refusal to participate (4)

**Notes about study methods:** Randomisation was independently conducted by an individual who took no part whatsoever in the implementation of assignments. A random number table was used to generate lots that were drawn for sealed envelopes which assigned patients to CRT to CBT groups.

Participants Diagnosis: Schizophrenia [% of sample] 100%

Diagnostic tool: DSM-IV

### Inclusion criteria:

- age <55

- presence of negative symptoms confirmed by the PANSS

- presence of cognitive impairments confirmed by a battery of neuropsychological tests.

## **Exclusion criteria:**

 $- \mathrm{IQ} < 85$ 

- organic cerebral diseases or primary diagnosis of substance misuse, psychiatric comorbidity

- psychotic exacerbation in the previous 6 months, plans to change medication during the treatment phase.

**Total sample size:** No. randomised - 40

Total sample size: ITT population - 40

**Gender:** % female 42%

Age: Mean 35

Ethnicity: not reported

Setting: Other - Not stated

History: the participants on average had an illness duration of 13 years,

**Baseline stats:** [CRT / CBT / TAU] PANSS positive: 11.13(3.0) / 11.41(2.6) / 10.85(2.5) PANSS negative: 19.87(8.1) / 20.47(6.0) / 19.01(7.1) PANSS psychopathology: 35.69(6.3) / 35.41(7.1) / 35.40(8.7)

## Notes about participants:

[CRT / CBT / TAU] Medication (n) Risperidone: 5 / 10 / 10 Olanzapine: 12 / 8 / 10 Clozapine: 3 / 2 / 0

### Interventions Intervention - group 1.: CRT; n=20

Intervention - group 2.: CBT, n=20

Intervention - group 3.: TAU, n=20

### Notes about the interventions:

CRT

This was set out in the Frontal/Executive programme. The programme was implemented on an individual basis, using mainly paper and pencil tasks. An errorless learning approach was adopted in tasks of progressive complexity and the problem was set, as far as was possible, at the subject's own pace. The main instructional technique was scaffolding. The patients received 40 1-hour sessions two or three times a week over 4 months.

## CBT

A similar number of CBT hours were conducted on an individual basis following a manualised approach.

### TAU

All the patients reported a psychotropic medication visit in the 8 weeks preceding study entry and none reported receiving any type of individual psychotherapy.

Training

Not reported

Outcomes Leaving the study early: Leaving due to any reason (non-adherence to study protocol)

Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - PANSS positive, PANSS negative

General and psychosocial functioning (e.g. SFS): Average score/change in general functioning - LSP

**Cognitive functioning:** Average score/change in cognitive functioning comprehensive battery of neuropsychological subtests which of which composite scores were obtained in the following domains: Working memory, psychomotor speed, verbal memory, nonverbal memory, executive function.

## Quality 1.1 The study addresses an appropriate and clearly focused question.: Well covered

1.2 The assignment of subjects to treatment groups is randomised.: Adequately addressed

1.3 An adequate concealment method is used.: Adequately addressed

1.4 Subjects and investigators are kept 'blind' about treatment allocation .: Poorly addressed

1.5 The treatment and control groups are similar at the start of the trial.: Adequately addressed

1.6 The only difference between groups is the treatment under investigation.: Adequately addressed

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered

1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Well covered

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable

2.1 How well was the study done to minimise bias?: +

#### Study ID

5	SARTORY2005
General info	Funding source: Not mentioned
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	Type of analysis: Completer
	Blindness: No mention
	<b>Duration:</b> No. weeks of treatment - 3
	Raters: Not stated to be independent of treatment
	Design: Single-centre - Germany
	Number of people screened, excluded & reasons: Not mentioned
	Notes about study methods: randomisation procedure not reported
Participants	Diagnosis: Schizophrenia [% of sample] 100%
	Diagnostic tool: ICD-10
	Total sample size: No. randomised 42
	Gender: % female 33%

Age: Mean 32 Age: Range 21-60 Setting: Inpatient

### **History**:

[Cognitive remediation / TAU control] Duration of disorder, years: 5.5(4.8) / 6.8(5.5)

#### **Baseline stats:**

[Cognitive remediation / TAU control] Verbal IQ: 25.0(7.5) / 22.7(5.3) Trail B: 122.4(55.4) / 151.0(58.7) Prose recall immediate: 24.9(6.9) / 23.0(9.3) Prose recall delayed: 19.4(7.0) / 18.7(8.8) Word fluency: 67.4(21.4) / 68.7(22.0) Digit symbol: 39.0(13.2) / 37.7(8.9)

## Interventions Intervention - group 1.: Computerised training programme (CPT), 15 sessions over 3 weeks.; n=21

Intervention - group 2.: TAU control; n=21

### Notes about the interventions:

CPT

Cogpack was used for cognitive remediation. The programme consists of a series of 30 computer tasks tapping different functional areas at varying levels of difficulty. Tasks are designed to train: attention and concentration; verbal, spatial and numerical ability; and memory or fast reaction time. Training sessions took place in small group (up to 6), with an attendant present at all times to introduce patients to the use of computers and to assist them whenever they needed help.

TAU

While patients of the treatment group received cognitive remediation, the control group attended occupational therapy.

**Outcomes** Cognitive functioning: Average score/change in cognitive functioning - Verbal IQ; TMT-B; Prose recall (delayed and immediate); word fluency; digit symbol

Quality 1.1 The study addresses an appropriate and clearly focused question.: Adequately addressed

1.2 The assignment of subjects to treatment groups is randomised.: Not reported adequately

1.3 An adequate concealment method is used.: Not addressed

1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Not addressed

1.5 The treatment and control groups are similar at the start of the trial.: Adequately addressed

1.6 The only difference between groups is the treatment under investigation.: Poorly addressed

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Adequately addressed

**1.8** What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20% 100% completed study

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Adequately addressed

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable

2.1 How well was the study done to minimise bias?: +

#### Study ID

Study ID	SILVERSTEIN2005
General info	Funding source: Non-industry support
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	Type of analysis: Completer
	Blindness: No mention
	Duration: No. weeks of treatment - 6 weeks individual therapy + 16 sessions of CREP
	Raters: Not stated to be independent of treatment
	Design: Single-centre - US
	<b>Number of people screened, excluded &amp; reasons:</b> 40 patients were enrolled, 3 were discharged during the APT phase and 6 refused to participate in the CREP group.
	<b>Notes about study methods:</b> Based on the APT questionnaires, patients demonstrating the greatest impairment were matched as closely as possible, and then each patient from each pair was randomly assigned to a group.
Participants	Diagnosis: Schizophrenia [% of sample] 100%
	Diagnostic tool: DSM-IV
	Inclusion criteria: all participants were patients on the Second Chance Programme and were considered treatment refractory
	Total sample size: No. randomised - 40 randomised (analysis conducted on 31 completers)
	Gender: % female 14%
	Age: Mean 39
	Age: Range 18-55

Ethnicity: Not reported

Setting: Inpatient

**History:** - Mean length of stay in state hospital prior to entry to Second Chance Programme = 7.1years.

- All patients were without history of neurological disorders, mental retardation, or head injury

### **Baseline stats:**

[IBR+CR / IBR] Shipley Institute of Living Scale Vocab: 73.29(9.1) / 73.77(10.57) PANSS negative: 13.81(6.64) / 15.18(2.21) PANSS positive: 11.31(5.25) / 12.72(3.88)

**Notes about participants:** - All patients were medicated, with atypical antipsychotics and considered to be symptomatic but symptomatically stable.

Interventions Intervention - group 1.: Intensive behavioural rehabilitation + Cognitive rehabilitation (IBR+CR): 6 sessions of attention process training followed by 16 sessions of an IBR (CREP); n=18

Intervention - group 2.: IBR control: 6 weeks TAU followed by 16 CREP sessions; n=13

Notes about the interventions:

CREP

CREP is a form of Intensive Behavioural Rehabilitation (IBR). The CREP group is a manualised 16-session group that uses the standardised format. Each session covers a different topic related to successful maintenance of community tenure after hospital discharge (for example, recognition of medication side effects, avoidance of substance misuse). The topics are taught using learning activities, including verbal instruction, videotape presentations, role-plays, problem-solving exercises, and homework assignments. CREP sessions were conducted by the first six authors on a pre-determined rotation basis.

# IBR+CR

-In addition to CREP, the participants received 6 weeks of individual sessions of APT. The APT focussed on sustained attention and consisted of 27 exercises presented in order of increasing difficulty. These exercises were in the following formats: attention tapes; number sequencing; paragraph listening and mental arithmetic.

-Attention-shaping was added to the standard CREP as part of the CR intervention. Beginning with the third CREP session, each participant was instructed that to receive their participation token they would have to meet an individualised in-class attentiveness gaol. The goal consisted of two parts: 1) the subtarget was a duration goal (for example, 2 minutes); 2) the class goal specified the number of subtargets (for example, 2 minutes 2 times). Participants were also systematically prompted for attentive and inattentive behaviour.

## IBR control.

-This group received the same number of hours treatment but participated in additional groups instead of APT. After 6 weeks of TAU they began a CREP module which followed the standard format, without the use of attention shaping.

-Participants did not receive any attention tokens during the CREP module but instead received tokens for participation (for example, making at least one contribution, not demonstrating clear evidence of inattentiveness). Systematic prompts relating to attentive or inattentive behaviour were not used.

Outcomes Leaving the study early: Leaving due to any reason (non-adherence to study protocol)

Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - PANSS - T2 has been used as this represents the end of CRT treatment. (CRT vs TAU)

**Cognitive functioning:** Average score/change in cognitive functioning - APT questionnaire; mean duration of attentiveness; MMLT; CVLT; DSDT; SAT

Quality 1.1 The study addresses an appropriate and clearly focused question.: Well covered

1.2 The assignment of subjects to treatment groups is randomised.: Poorly addressed

1.3 An adequate concealment method is used.: Poorly addressed

1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Not addressed

1.5 The treatment and control groups are similar at the start of the trial.: Well covered

**1.6 The only difference between groups is the treatment under investigation.:** Well covered

1.7 All relevant outcomes are measured in a standard, valid and reliable way .: Well covered

1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Poorly addressed

**1.10 Where the study is carried out at more than one site, results are comparable for all sites.**: Not applicable

2.1 How well was the study done to minimise bias?: +

### Study ID

SPAULDING1999

General info Funding source: Non-industry support

Published or unpublished data?: Published

- Method Type of study: Individual randomised trial
  - Type of analysis: Completer

Blindness: No mention

Duration: No. weeks of treatment - 36

Raters: Not stated to be independent of treatment

Design: Single-centre - Rehabilitation unit, US

Number of people screened, excluded & reasons: 101 people were screened and recruited for the study, 11 withdraw from the study, 10 of whom withdraw prior to pretreatment assessment

**Notes about study methods:** Participants were recruited in cohorts of 8 to 12 subjects at each 6-month cycle. Participants in the cohort were matched and then assigned to either treatment or control. Where a cohort contained an odd number of participants, the unmatched individual was randomly assigned to either treatment condition.

Participants Diagnosis: Schizophrenia [% of sample] 87%

Diagnosis: Other [%] 13% other including bipolar disorder and personality disorder

**Diagnostic tool:** Other DSM

Inclusion criteria: Criteria for admission into the rehabilitation unit included:

- Aged 18+

- History of treatment failure in all other available settings

- Not responding to inpatient treatment sufficiently to allow for discharge

- Primary diagnosis of an Axis 1 disorder

- IQ >=70

-

#### **Exclusion criteria:**

Primary diagnosis of mental retardation or substance misuse
Dangerous behaviour requiring high security setting
Total sample size: No. randomised - 101
Total sample size: ITT population - 91
Gender: % female 39%
Age: Mean 36
Ethnicity:

[CRT / supportive therapy]
Race, n
Caucasian: 43 / 37
Black: 5 / 4
Hispanic: 1 / 0
Native American: 0 / 1

Setting: Inpatient

History: [CRT / ST] Age at 1st hospitalisation: 23.7(7.2) / 24.0(7.9)

**Baseline stats:** 

[CRT / ST] PANSS pos: 1.51(1.57) / 1.46(1.59) PANSS neg: 1.38(1.35) / 1.15(1.33)

Notes about participants:

[CRT / ST] CPZ equivalent (mg/day): 1495.43(1762.20) / 1742.94(1961.20)

Interventions Intervention - group 1.: cognitive remediation, 6-month treatment; N = 48

**Intervention - group 2.:** Supportive therapy, 6 months; N = 42

Notes about the interventions:

Cognitive remediation

Cognitive component of integrated psychological therapy. The group based therapy aims to "re-establish basic neurocognitive functions". The programme consisted of structured group activities which demanded different cognitive abilities and operations. The role of the therapist was to introduce the activity and guide the participant and evaluation of the responses by the patients. There was some flexibility in the programme with repetition of specific activities when the patient faced particular difficulties or cognitive deficits.

Supportive therapy

Based on a supportive therapy manual designed to control for non-specific aspects of the cognitive intervention.

All participants were also included in the units standard regimen (Community Transition Program). The comprehensive package included pharmacotherapy, social skills training , education and training in self-management and behaviour modification.

Outcomes Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - PANSS (positive, general and negative subscales) General and psychosocial functioning (e.g. SFS): Average score/change in general functioning - AIPSS, UCLAS skills assessment modules Cognitive functioning: Average score/change in cognitive functioning - Included cognitive data at end of treatment. Did not report cognitive variables at follow up.

Quality 1.1 The study addresses an appropriate and clearly focused question.: Adequately addressed

1.2 The assignment of subjects to treatment groups is randomised.: Not reported adequately

1.3 An adequate concealment method is used.: Not addressed

1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Not reported adequately

1.5 The treatment and control groups are similar at the start of the trial.: Well covered

1.6 The only difference between groups is the treatment under investigation .: Well covered

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Adequately addressed

1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Poorly addressed

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable

2.1 How well was the study done to minimise bias?: +

#### Study ID

TWAMLEY2008 General info Funding source: Not mentioned Published or unpublished data?: Published Method Type of study: Individual randomised trial Type of analysis: Completer - 11 participants dropped out of the CRT group whereas only 3 dropped out of standard care. All analyses are presented for completers only, no information including baseline stats are given regarding the drop-outs other than they did not differ from completers **Blindness:** No mention Duration: No. weeks of treatment - 12 **Duration:** Length of follow-up - 3 months Raters: Not stated to be independent of treatment Design: Single-centre, US Number of people screened, excluded & reasons: Not reported Notes about study methods: Randomisation procedure not reported Diagnosis: Schizophrenia [% of sample] 47.5% Participants **Diagnosis:** Other schizophrenia related [%] 47.5% Diagnosis: Other [%] Other primary psychosis - 5% **Inclusion criteria:** - Diagnosis of primary psychotic disorder

- aged 21+ - English speaking **Exclusion criteria:** - Dementia or other neurological conditions - loss of consciousness >30 minutes - alcohol and or substance misuse or dependence n the last month Total sample size: No. randomised 52 Total sample size: ITT population Data reported for 38 completers **Gender:** % female 34% Age: Mean 48 Ethnicity: Caucasian - 65.8% Black - 13.2% Latino/Hispanic - 13.2% Asian - 5.3% Other - 2.6% Setting: Other Setting not stated **History:** [CRT / SC] Mean duration of illness, yrs: 19.6(14.2) / 27.5(10.4) **Baseline stats:** [CRT / SC] PANSS positive: 17.9(7.3) / 17.0(6.5) PANSS negative: 14.1(6.6) / 14.3(5.3) Notes about participants: [CRT / SC] Mean premorbid IQ: 105.2(10.7) / 108.7(9.6) 76.3% SGAs only 7.9% both SGAs and FGAs 7.9% FGAs only 7.9% no antipsychotic medication Interventions Intervention - group 1.: CRT, 12 x2 hour weekly group sessions; N = 25

**Intervention - group 2.:** TAU; N = 27

### Notes about the interventions:

Cognitive training

The programme emphasised teaching and practising compensatory and environmental strategies in the following domains: prospective memory, attention and vigilance, learning and memory and executive functioning. The compensatory strategies were both cognitive/internal and behavioural/external and included techniques such as use of acronyms and writing down information respectively. The goal of the training and homework assignments was to help clients develop habits to help with real-world cognitive functioning.

**Outcomes** Leaving the study early: Leaving due to any reason (non-adherence to study protocol)

Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - PANSS positive and negative subscales

Quality of Life: Average score/change in quality of life QOLI - global satisfaction

**Cognitive functioning:** Average score/change in cognitive functioning - Various cognitive tests aimed at investigating the following cognitive skills: Memory, attention/ vigilance, verbal learning and memory, executive functioning, processing speed, working memory, language and visual learning and memory

Quality 1.1 The study addresses an appropriate and clearly focused question.: Adequately addressed

1.2 The assignment of subjects to treatment groups is randomised.: Not reported adequately

1.3 An adequate concealment method is used.: Not addressed

1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Not reported adequately

1.5 The treatment and control groups are similar at the start of the trial.: Adequately addressed

**1.6 The only difference between groups is the treatment under investigation.:** Poorly addressed - Larger drop out from the intervention group post randomisation and prior to treatment compared to the SC group

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Adequately addressed

**1.8** What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: 20-50%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Poorly addressed Larger number of drop out from the intervention group compared to the SC group

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable

2.1 How well was the study done to minimise bias?: +

Study ID

VANDERGAAG2002

General info Funding source: Not mentioned

Published or unpublished data?: Published

Method	Type of study: Individual randomised trial
	Blindness: No mention
	Duration: No. weeks of treatment - 22 sessions conducted over approx 12 weeks.
	Raters: Not stated to be independent of treatment
	Design: Single-centre
	Number of people screened, excluded & reasons: No details provided
	Notes about study methods: Closed envelopes with lots were used to randomly assign patients to groups.
Participants	Diagnosis: Schizophrenia [% of sample] 100%
	Diagnostic tool: Other DSM
	Exclusion criteria:
	-history of neurological disorder, mental retardation or other developmental disorder -history of substance misuse
	Total sample size: No. randomised 42
	Gender: % female 36%
	Age: Mean 30
	Ethnicity: Not reported
	Setting: Inpatient
	History:
	[Experimental Group / Control]
	Duration of illness, years: 9.9(5.8) / 9.6(8.1)
	Baseline stats: [Experimental / control]
	Emotion matching: 45.3(6.3) / 44.4(6.2)
	Emotion labelling: 18.0(4.9) / 18.8(3.3)
	CPT: 2.7(1.1) / 3.1(1.0)
	TMT-A: 49.7(27.6) / 47.1(17.5) TMT-B: 143.4(77.0) / 133.6(1400.6)
	WAIS digit symbol: $36.1(10.0) / 38.1(10.2)$
	WAIS picture: 9.9(5.1) / 11.1(4.3)
	Notes about participants:
	[Experimental / control]

Neuroleptic dose: Haloperidol equivalents: 13.3(12.2) / 11.8(8.0)

- patients had been stabilised on antipsychotic medication for 2-3 months and were capable of participating in a 20-minute, pre-study skills training session.

Interventions Intervention - group 1.: Experimental group, cognitive training programme over 12 weeks; n=21

**Intervention - group 2.:** Control, leisure activities for 12 weeks; n=21

#### Notes about the interventions:

Cognitive training programme:

Progressed from training on perception of simple, basic stimuli to more complex stimuli, to training on reasoning skills, and finally to emotion perception and apprehension of social situations. Four strategies were embedded within the exercises: self-instruction, memory enhancement, inductive reasoning, and compensatory training procedures.

-The training was conducted in 45 exercises over a total of 22 sessions. Each patient was trained individually in 20-minute sessions. Betweensession homework assignments were given so that subjects could practice the training exercises outside the laboratory setting.

### Control group

Engaged in leisure activities for the same amount of time that the experimental group spent in training. The two instructors involved in the training session also participated in the leisure activities. These typically included playing board games and other similar activities.

Both groups were maintained on their initial type and dose of antipsychotic medication throughout.

Outcomes Leaving the study early: Leaving due to any reason (non-adherence to study protocol)

**Cognitive functioning:** Average score/change in cognitive functioning Cognitive battery including: Emotion matching; Emotion labelling; CPT; SPAN; TMT-A; TMT-B; RAVLT; Rey-Osterreith Complex figures; WAIS digit symbol substitution; WISC (mazes); Word fluency; WAIS picture arrangement.

Quality 1.1 The study addresses an appropriate and clearly focused question.: Adequately addressed

**1.2 The assignment of subjects to treatment groups is randomised.:** Adequately addressed

- 1.3 An adequate concealment method is used.: Adequately addressed
- **1.4 Subjects and investigators are kept 'blind' about treatment allocation.:** Not addressed
- 1.5 The treatment and control groups are similar at the start of the trial.: Well covered
- **1.6 The only difference between groups is the treatment under investigation.:** Well covered
- 1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered
- 1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was

completed?: <20%</pre>

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Poorly addressed

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable

2.1 How well was the study done to minimise bias?: +

# Study ID

Study ID	VELLIGAN2000
General info	Funding source: Not mentioned
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	Type of analysis: LOCF
	Blindness: Only raters blind
	Duration: No. weeks of treatment - 36
	Raters: Independent of treatment
	Design: Single-centre, US
	Number of people screened, excluded & reasons: Not reported
	Notes about study methods: Randomisation procedure not reported
Participants	Diagnosis: Schizophrenia [% of sample] 84%
	Diagnosis: Other schizophrenia related [%] 16% schizoaffective disorder
	Diagnostic tool: DSM-IV
	<ul> <li>Inclusion criteria:</li> <li>DSM-IV diagnosis of schizophrenia or schizoaffective disorder</li> <li>Aged 18-33</li> <li>No history of seizure disorder, head trauma, organic brain disorder or mental retardation</li> <li>History of compliance to medication and clinic visits</li> <li>No history of drug or alcohol misuse within past 3 months</li> <li>Discharge destination within 70 miles of hospital</li> </ul>
	Total sample size: ITT population - 45
	Total sample size: No. randomised - 45

Gender: % female 25% Age: Mean 37

Ethnicity: 48% - Mexican American 37% - Anglo American 15% - African American, Asian or mixed ethnicity

Setting: Outpatient - Participants were recruited on discharge from an inpatient unit following an acute exacerbation

### **History:**

[CRT / Control / TAU] Age of illness onset: 22.36(4.67) / 22.50(6.05) / 22.17(3.30) Length of index hospitalisation, months: 7.33(15.29) / 7.08(12.98) / 5.33(1.58)

## **Baseline stats:**

[CRT / Control / TAU] GAF: 43.18(2.22) / 38.93(9.39) / 42.53(11.91) Negative symptoms: 13.83(2.22) / 15.04(3.75) / 14.41(3.17) Positive symptoms: 2.53(1.36) / 2.55(0.81) / 2.83(1.32)

### Notes about participants:

[CRT / Control / TAU] Taking SGAs, n(%): 10(66.7) / 9(60.0) / 14(93.3)

Interventions Intervention - group 1.: Cognitive adaption training - CRT, weekly sessions for 9 months; N = 15

Intervention - group 2.: Control, weekly sessions for 9 months; N = 15

**Intervention - group 3.:** TAU; N = 15

### Notes about the interventions:

CRT

Manual-driven series of compensatory strategies based on neuropsychological, behavioural and occupational therapy principles. The CAT treatment plans are based on two dimensions: 1) level of apathy versus disinhibition and 2) level of impairment in executive functions. Examples of treatment plans include providing checklists for tasks, cues prompting the initiation of tasks and posters, etc. The general plans are adapted for individual strengths and limitations in verbal/visual attention, memory, and motor coordination.

Control

-

Designed to account for the nonspecific therapist effects. Participants were seen on the same schedule as the CRT group and were given adaptations to their environment that were unrelated to cognitive functioning.

TAU

The follow-up only group did not receive any additional interventions besides standard care. All groups received TAU which consisted of standard medication follow ups.

**Outcomes** Global state & service outcomes (e.g. CGI): Relapse - Defined as rehospitalisation during the study or exacerbation of positive symptoms, defined as an increase of 2 points or more to a score of >=4 on at least 2 of the 4 BPRS items comprising the positive subscale.

Global state & service outcomes (e.g. CGI): Average score/change in global state - GAF

Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state BPRS positive and negative subscales

General and psychosocial functioning (e.g. SFS): Average score/change in general functioning - Multnomah Community Ability Scale

### **Quality 1.1 The study addresses an appropriate and clearly focused question.:** Adequately addressed

- 1.2 The assignment of subjects to treatment groups is randomised.: Not reported adequately
- 1.3 An adequate concealment method is used.: Not addressed
- 1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed
- 1.5 The treatment and control groups are similar at the start of the trial.: Well covered

1.6 The only difference between groups is the treatment under investigation.: Adequately addressed

1.7 All relevant outcomes are measured in a standard, valid and reliable way .: Well covered

1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Adequately addressed

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable

2.1 How well was the study done to minimise bias?: +

### Study ID

Method

VELLIGAN2002
Funding source: Non-industry support
Published or unpublished data?: Published
Type of study: Individual randomised trial
Blindness: Only raters blind

**Duration:** No. weeks of treatment - 36

Raters: Independent of treatment

Design: Single-centre

Number of people screened, excluded & reasons: 113 patients approached for participation; 68 refused to participate in the study.

**Notes about study methods:** Randomisation was based on a computer-generated sequence made by an independent researcher. The randomisation sequence was concealed from all other research personnel.

Participants Diagnosis: Schizophrenia [% of sample] 69%

**Diagnosis:** Other schizophrenia related [%] 31%

Diagnostic tool: DSM-IV

## Inclusion criteria:

- Diagnosis of schizophrenia or schizoaffective disorder.

- age 18-55

- no history of seizure disorder, head trauma, mental disorder secondary to a general medical or neurological condition, or mental retardation

- willingness to comply with antipsychotic medication and evidence of regular attendance at clinic visits

- evidence of stable residence for the preceding 3 months.

Total sample size: No. randomised - 45

Gender: % female 35%

Age: Mean 39.64(7.82)

Ethnicity: Mexican-American - 44%

Anglo-American - 44%

Remainder of participants were African-American, Asian-American or of mixed ethnicity

Setting: Outpatient

# History:

-

[CAT / Control / follow-up only] Age of onset: 21.50(6.65) / 19.46(6.55) / 21.00(3.79) % meeting criteria for current substance abuse or dependence (n): 13.33(2) / 13.33(2) / 13.33(2)

# **Baseline stats:**

[CAT / Control / Follow-up only]

SOFAS: 34.53(17.36) / 39.67(12.57) / 39.07(14.55) MCAS: 60.27(7.43) / 58.93(7.00) / 58.86(9.00) QoL: 50.53(14.57) / 53.20(14.98) / 51.20(12.54) BPRS positive: 2.62(1.07) / 3.32(1.26) / 3.25(1.14) NSA: 72.47(15.66) / 70.40(13.37) / 68.53(11.93) CVLT: 34.57(11.34) / 28.88(11.37) / 36.13(17.18) TMT-A: 74.28(39.21) / 73.71(39.21) / 91.53(74.19) TMT-B: 159.36(91.68) / 159.14(82.44) / 183.47(145.39) Verbal fluency: 25.78(7.07) / 22.64(12.54) / 28.40(13.71) WCST: 10.70(10.39) / 10.64(11.50) / 10.67(12.27) Digit Span: 10.71(3.27) / 8.79(3.09) / 10.13(4.05) CPT: 18.86(13.32) / 16.93(14.70) / 11.33(10.83)

### Notes about participants:

[CAT / Control / Follow-up only] % on atypical antipsychotics: 86.67 / 66.67 / 73.33

Interventions Intervention - group 1.: Cognitive Adaptation Training (CAT); weekly visit for 9 months; n=15

Intervention - group 2.: Control, weekly visits for 9 months; n=15

Intervention - group 3.: Follow-up only; n=15

### Notes about the interventions:

CAT

Manual-driven series of compensatory strategies based on neuropsychological, behavioural and occupational therapy principles. The CAT treatment plans are based on two dimensions: 1) level of apathy versus disinhibition and 2) level of impairment in executive functions. Examples of treatment plans include providing checklists for tasks, cues prompting the initiation of tasks and posters, etc. The general plans are adapted for individual strengths and limitations in verbal/visual attention, memory, and motor coordination. Interventions are explained, maintained and altered as necessary by 30-minute weekly visits from CAT trainers.

Control

Control participants are seen for home visits on the same schedules as CAT members and were given adaptations for their environment that were unrelated to cognitive adaptive functions (for example, posters, plants etc).

Follow-up only

These participants were assessed on the same schedule as the other two groups but did not receive any treatment in addition to TAU.

**Outcomes** Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state BPRS; NSA - only F-value for between group comparisons reported

General and psychosocial functioning (e.g. SFS): Average score/change in general functioning - SOFAS, MCAS - only F-value for between group comparisons reported

**General and psychosocial functioning (e.g. SFS):** Clinically significant response in general functioning - Examined the proportion of patients who improved using both 10 and 20 points as an indicator of clinical significance.

	Quality of Life: Average score/change in quality of life QOL- only F-value for between group comparisons reported
	Cognitive functioning: Average score/change in cognitive functioning - CVLT; TMT-A; TMT-B; Verbal fluency; WCST; Digit span; CPT
Quality	1.1 The study addresses an appropriate and clearly focused question.: Well covered
	1.2 The assignment of subjects to treatment groups is randomised.: Well covered
	1.3 An adequate concealment method is used.: Well covered
	1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed
	1.5 The treatment and control groups are similar at the start of the trial.: Well covered
	1.6 The only difference between groups is the treatment under investigation.: Well covered
	1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered
	1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: $<20\%$
	<b>1.9 All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis).</b> : Not addressed
	1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable
	2.1 How well was the study done to minimise bias?: ++
Study ID	
	VELLIGAN2008
General info	Funding source: Non-industry support
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial

**Type of analysis:** ITT - It is unclear from the paper whether ITT has been used, as the paper reports the number of participants with baseline and at least one follow-up assessment. However the paper also reports the numbers followed up at each assessment and does not state which of the two figures were used.

**Blindness:** Only raters blind - Efforts were made to maintain the blindness of the rater. Participants and collaterals were asked not to divulge information about any visits or refer to any items they may have received during the study. If the blind was broken, alternative raters blind to group assignment completed the assessments.

Duration: No. weeks of treatment - 104

Raters: Independent of treatment

**Design:** Multi-centre, US

randomisation baseline assessments for the following reasons (n), decided not to participate (11), participating in other studies (5), hospitalised (1), could not be located (1), had seizure disorder (1). A further participant dropped out during baseline and prior to randomisation,

Notes about study methods: Randomisation procedure not reported

Participants Diagnosis: Schizophrenia [% of sample] % not reported

Diagnosis: Other schizophrenia related [%] % not reported

Diagnostic tool: DSM-IV

# Inclusion criteria:

- DSM-IV diagnosis of schizophrenia or schizoaffective disorder

- aged 18-60
- treated with an SGA other than clozapine
- no hospitalisations within past 3 months
- stable living environment >=3 months

# Exclusion criteria:

- substance misuse interfered with study participation
- documented history of significant head trauma, seizure disorder, neurological disorder or mental retardation
- currently being seen by ACT team
- history of violence in past year
- SOFAs >80

**Total sample size:** ITT population - 113 had at least a baseline and follow-up assessment although it is unclear whether the paper used these for the results as only F and t-values.

Total sample size: No. randomised 120

Gender: % female 50%

Age: Mean 41

Ethnicity:

[CAT / GES / TAU] % non-Hispanic white: 47.22 / 34.21 / 35.90 % Hispanic: 41.67 / 42.11 / 35.90

Setting: Outpatient

History: Not reported

**Baseline stats:** 

-

[CAT / GES / TAU]

Appendix 22c

#### BPRS psychosis factor: 2.8(1.2) / 2.7(1.4) / 2.8(1.4)

Interventions Intervention - group 1.: CAT, 9 months of weekly home visits followed by 15 monthly visits; N = 36

**Intervention - group 2.:** GES, Once monthly call for 24 months; N = 38

Intervention - group 3.: TAU

Notes about the interventions:

TAU

All interventions were on top of TAU which consisted of standard case management and antipsychotic medication.

#### CAT

Cognitive adaptation training - manualised approach of compensatory strategies based upon neuropsychological, behavioural and occupational therapy. CAT plans are customised to the individual's apathy, disinhibition and level of cognitive impairment. The CAT approach may also use supports such as alarm clocks in combination with specific strategies.

#### GES

General environmental support - manual driven series of environmental supports offered to patients at their regular clinic visits. The GES package was designed using the supports that were most frequently used and descried as helpful by CAT patients in previous studies. Supports include things such as watches, bus pass, alarm clocks.

Outcomes Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - MACS used for assessment of negative symptoms - BPRS used during assessment but data not reported as no significant differences across groups or time points.

General and psychosocial functioning (e.g. SFS): Average score/change in general functioning - Only t-values reported for SOFAs

### Quality 1.1 The study addresses an appropriate and clearly focused question.: Well covered

**1.2 The assignment of subjects to treatment groups is randomised.:** Not reported adequately

1.3 An adequate concealment method is used.: Not addressed

**1.4 Subjects and investigators are kept 'blind' about treatment allocation.:** Adequately addressed- Although only the raters were blind, this study has been given an adequately addressed rating as there was an effort to maintain blinding throughout the 24 month assessment period.

1.5 The treatment and control groups are similar at the start of the trial.: Well covered

1.6 The only difference between groups is the treatment under investigation.: Adequately addressed

**1.7 All relevant outcomes are measured in a standard, valid and reliable way.:** Poorly addressed - Only reports usable data for significant differences and not for BPRS

**1.8** What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was **completed?:** 20-50%

1.9 All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Not

reported adequately

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not addressed

2.1 How well was the study done to minimise bias?: +

Study ID

-

VELLIGAN2008B

General info	Funding source: Not mentioned
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	<b>Type of analysis:</b> ITT - Included participants with baseline and >= 1 post-baseline follow up
	<b>Blindness:</b> Only raters blind - Participants were requested not to talk about any of part of the therapy to the raters. If the blind was broken with one rater, a new rater conducted the remaining evaluations
	Duration: No. weeks of treatment 36
	Raters: Independent of treatment
	Design: Multi-centre – 3 clinics and participants discharged from inpatient units, US
	<b>Number of people screened, excluded &amp; reasons:</b> 240 people approached, 156 consented, of these 51 did not participate due to various reasons. Most frequent reasons for non-participation included: rehospitalisation, homelessness, withdrawal of consent, unable to complete assessments and demonstrating aggressive behaviour
	Notes about study methods: Randomisation was stratified by recruitment site (hospital vs. community clinic), gender and age
Participants	Diagnosis: Schizophrenia [% of sample] % not reported
	Diagnosis: Other schizophrenia related [%] % with schizoaffective disorder not reported
	Diagnostic tool: DSM-IV
	Inclusion criteria:
	- DSM-IV diagnosis of schizophrenia or schizoaffective disorder
	- Aged 18-60
	- Treated with an oral antipsychotic and continuing medication and follow-up at the Centre for Care Services
	- Primary responsibility for taking own medication
	- Stable residence
	- Able to understand and complete rating scales and neuropsychological tests
	Exclusion criteria:
	- On clozapine or depot medication

- History of significant head trauma, seizure disorder or mental retardation

- History of substance abuse or dependence in last month

- History of violence in past 6 months

**Total sample size:** ITT population - 95

Total sample size: No. randomised - 105

Gender: % female 43%

Age: Mean 39

Ethnicity: Hispanic - 37% Anglo American - 37% African American - 21%

Other or mixed ethnicity - 5%

Setting: Outpatient

**History:** No details reported

#### **Baseline stats:**

[CAT / Pharm-CAT / TAU] BPRS psychosis factor: 2.5(1.34) / 2.6(1.47) / 2.7(2.34)

### Notes about participants:

[CAT / Pharm-CAT / TAU] Baseline medication % Risperidone: 38.2 / 21.9 / 41.4 % Olanzapine: 41.2 / 46.9 / 34.5 % Other: 20.6 / 31.2 / 24.1

Interventions Intervention - group 1.: CAT, weekly 30-45 minute sessions for 9 months; N = 37

Intervention - group 2.: Pharm-CAT, weekly 30-45 minute sessions for 9 months; N = 36

Intervention - group 3.: TAU; N = 32

### Notes about the interventions:

CAT

-

Manual-driven series of compensatory strategies based on neuropsychological, behavioural and occupational therapy principles. The CAT treatment plans are based on two dimensions: 1) level of apathy versus disinhibition and 2) level of impairment in executive functions. Examples of treatment plans include providing checklists for tasks, cues prompting the initiation of tasks and posters etc. The general plans are adapted for individual strengths and limitations in verbal/visual attention, memory, and motor coordination. Interventions are explained, maintained and altered as necessary by 30-minute weekly visits from CAT trainers.

#### Pharm-CAT

Subset of full CAT that focuses solely on medication and appointment adherence. Strategies include prompts to take medication, pill boxes etc.

#### TAU

All the above were in addition to TAU which consisted of medication and follow-up

CAT and Pharm-CAT were provided by therapists with bachelor's or master's degrees in psychology or related fields trained to use a combination of didactic and in vivo strategies. Fidelity checks were used to ensure that the therapists were adhering to the model.

Outcomes Leaving the study early: Leaving due to any reason (non-adherence to study protocol)

**Global state & service outcomes (e.g. CGI):** Relapse - Developed an index for relapses in remitted and partially remitted patients. A relapse defined as a score on any of the four psychosis items increased by a minimum of 2 points to a score of 5 or higher, if the patient was suicidal, rehospitalised or unable to care for themselves without constant supervision.

Data was not usable for relapse as paper collapses across both CAT conditions

General and psychosocial functioning (e.g. SFS): Average score/change in general functioning - Only significant P-values provided for SOFAs

Non-adherence to study medication: Non-adherence - Only significant p-vales provided for adherence measures

Quality 1.1 The study addresses an appropriate and clearly focused question.: Well covered

1.2 The assignment of subjects to treatment groups is randomised.: Not reported adequately

1.3 An adequate concealment method is used.: Not addressed

**1.4 Subjects and investigators are kept 'blind' about treatment allocation.:** Adequately addressed- Although only rater blind, additional effort was taken to ensure the blinding remained throughout the study and follow up period

1.5 The treatment and control groups are similar at the start of the trial.: Well covered

1.6 The only difference between groups is the treatment under investigation .: Adequately addressed

**1.7 All relevant outcomes are measured in a standard, valid and reliable way.:** Poorly addressed- Only presents p-values for significant differences between groups. Data for non-significant differences is not usable

**1.8** What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Well covered

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Adequately addressed

-

# 2.1 How well was the study done to minimise bias?: +

Study ID	VOLLEMA1995
General info	Funding source: Not mentioned
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	Type of analysis: Completer
	Blindness: No mention
	Duration: No. weeks of treatment - 2
	Raters: Not stated to be independent of treatment
	Design: Multi-centre - Two psychiatric hospitals, the Netherlands
	Number of people screened, excluded & reasons: Not reported
	Notes about study methods: Randomisation procedure not reported
Participants	Diagnosis: Schizophrenia [% of sample] 100%
	Diagnostic tool: Other DSM
	Inclusion criteria: - DSM-III-R diagnosis of schizophrenia
	Exclusion criteria: - History of neurological illness - Major drug and alcohol abuse - Serious personality disorders - IQ<70
	Total sample size: No. randomised 34
	Gender: % female 29%
	Age: Mean 32
	Ethnicity: Not reported
	Setting: Inpatient
	History: Average number of rehospitalisations - 2.8

	Duration of illness (months) - 32
	Baseline stats: Not reported
Interventions	<b>5 Intervention - group 1.:</b> CRT - sorting rules, 6 sessions; N = 12
	<b>Intervention - group 2.:</b> CRT group 2, sorting rules plus contingency management; N = 12
	<b>Intervention - group 3.:</b> Standard care; N = 10
	Notes about the interventions:
	The trial contained 6 testing sessions. Participants in the standard care group only received this testing without any instruction in the tasks. Both CRT groups received training in the sorting instructions to improve their WCST performance. One of the CRT groups also received contingency management to reward them for correct responses whilst undergoing the WCST training.
Outcomes	Cognitive functioning: Average score/change in cognitive functioning - Cognitive measures at end of treatment only
Quality	1.1 The study addresses an appropriate and clearly focused question.: Adequately addressed
	1.2 The assignment of subjects to treatment groups is randomised.: Not reported adequately
	1.3 An adequate concealment method is used.: Not addressed
	1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Not addressed
	1.5 The treatment and control groups are similar at the start of the trial.: Adequately addressed
	1.6 The only difference between groups is the treatment under investigation.: Poorly addressed
	1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Adequately addressed
	1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: $<20\%$
	<b>1.9 All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis).</b> : Poorly addressed
	1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not addressed
	2.1 How well was the study done to minimise bias?: +
Study ID	
	WYKES2007

General info Funding source: Non-industry support

Published or unpublished data?: Published

Method Type of study: Individual randomised trial

-

Type of analysis: ITT - Participants were analysed in the treatment groups to which they were randomised irrespective of whether they

adhered to their treatment.

Blindness: Single-blind

Duration: Length of follow-up - 3 months

Duration: No. weeks of treatment - approx 12 weeks (40 sessions, with an average of 3 per week)

**Raters:** Independent of treatment- Symptom and quality of life assessments were assessed by an independent rater but self report assessments were collected by a research assistant who was not blind to treatment allocation.

**Design:** Multi-centre - Participants were recruited from those in contact with mental health services in South London usually while they were inpatients.

Number of people screened, excluded & reasons: 66 patients were referred. 16 did not meet clinical criteria clinical criteria, 6 did not provide consent and 4 did not meet clinical criteria cognitive criteria

**Notes about study methods:** Participants were randomised to group by an independent trial statistician. Block randomisation was used with CRT and control treatment being assigned randomly to 4 patients each within blocks of 8

Participants Diagnosis: Schizophrenia [% of sample] 100%

**Diagnostic tool:** DSM-IV

### Inclusion criteria:

- Diagnosis of schizophrenia with an onset prior to the age of 19 and a duration of illness <3 years.

-Cognitive difficulties in cognitive flexibility, and/or memory.

-Difficulties in social functioning

-stable dose and type of medication, for >=1 month prior to inclusion.

Total sample size: No. randomised - 40

Total sample size: ITT population - 40

Gender: % female 35%

Age: Mean 18.2(2.5)

Age: Range 14-22

**Ethnicity:** No details reported

Setting: Outpatient

Setting: Inpatient

History: Time since first contact with psychiatric services (months) on average was 12(0-36)

## **Baseline stats:**

[CRT / TAU] BPRS total: 37.2(9.6) / 37.8(8.4) Social behavioural problems: 12.8(9.1) / 14.4(9.1) QoL: 23.8(12.2) / 23.9(9.8) Rosenberg SES: 32.1(5.4) / 34.5(7.3) WCST no. of categories: 3.6(2.2) / 3.3(1.8) WAIS-R Digit Span: 11.8(3.0) / 12.2(3.6) Modified 6 elements test: 4.1(1.7) / 4.2(1.8)

#### Notes about participants:

CRT / TAU] Medication (n/%) Atypical: 14(67) / 17(89) Typical: 6(29) / 2(11) None: 0(0) / 1(5) Full scale IQ: 85.3(10.9) / 85.3(14.6)

Interventions Intervention - group 1.: CRT, 40 hourly sessions, n=21

Intervention - group 2.: TAU control; n=19

### Notes about the interventions:

#### CRT

Individual cognitive remediation therapy delivered over 40 sessions with an average of 3 sessions per week. In each session, a variety of tasks were presented to practice the component processes in remembering, complex planning and problem solving. At first, information processing strategies or means to organise behaviour are incorporated into the tasks. The three steps of this process are: 1) therapist demonstrates the information processing overtly, 2) patient uses such methods overtly and 3) patient uses methods covertly.

 Outcomes Leaving the study early: Leaving due to any reason (non-adherence to study protocol) Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - BPRS; SES General and psychosocial functioning (e.g. SFS): Average score/change in general functioning - SBS Quality of Life: Average score/change in quality of life - QoL Cognitive functioning: Clinically significant change in cognitive functioning % of participants attaining a normal score on cognitive tests. Cognitive functioning: Average score/change in cognitive functioning Digit Span (WAIS-III); WCST; Planning (modified 6 elements test);
 Quality 1.1 The study addresses an appropriate and clearly focused question.: Well covered 1.2 The assignment of subjects to treatment groups is randomised.: Well covered 1.3 An adequate concealment method is used.: Well covered 1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Adequately addressed

1.5 The treatment and control groups are similar at the start of the trial.: Well covered

1.6 The only difference between groups is the treatment under investigation.: Well covered

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered

1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Well covered

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not addressed

2.1 How well was the study done to minimise bias?: ++

### Study ID

Study ID	WYKES2007A
General info	Funding source: Non-industry support
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	<b>Type of analysis:</b> ITT - Participants were analysed in the treatment group to which they were randomised irrespective of whether they adhered to their treatment.
	Blindness: Only raters blind
	Duration: No. weeks of treatment - 40 sessions over approx. 12 weeks
	Duration: Length of follow-up - 6 months
	Duration: Range (for each group) - 0-40 sessions
	Duration: Mean duration (for each group) - 36.9 sessions
	Raters: Independent of treatment
	Design: Multi-centre participants were recruited from local community mental health centres in the South London and Maudsley NHS Trust
	Number of people screened, excluded & reasons: 254 referred 110 refused consent 52 failed initial eligibility 7 failed cognitive screening.
	Notes about study methods: Participants were randomly allocated by an independent statistician using a concealed randomisation method.
Participants	Diagnosis: Schizophrenia [% of sample] 100%

Diagnostic tool: DSM-IV
Inclusion criteria:

Been in contact with services for >=1 years
Aged17+
Diagnosis of schizophrenia and evidence of both social functioning and thinking difficulties.

Total sample size: No. randomised 85
Total sample size: ITT population - Not clear
Gender: % female 27%
Age: Mean 36
Ethnicity: Not reported
Setting: Outpatient
History: Approx 50% had been in touch with the psychiatric services for at least 10 years.
Baseline stats:
[CRT / control]

Memory (digit Span): 14.2(3.9) / 15.1(3.9) WCST: 2.4(1.5) / 2.2(1.3) Planning (BADS): 11.7(4.6) / 12.7(5.1) PANSS total: 62.9(16.4) / 56.9(14.7) SES: 17.3(4.4) / 16.7(4.2) SBS: 11.6(8.5) / 13.7(11.2)

# Notes about participants:

[CRT / control] Atypical medication, n Clozapine: 16 / 12 Olanzapine: 8 / 12 Risperidone: 7 / 2 Amisulpride: 1 / 2 Quetiapine: 2 / 1 Typical medication, Mean dose, mg CPZ equivalent: 368 / 300

Interventions Intervention - group 1.: CRT, 40 sessions, n=43

**Intervention - group 2.:** Control, n=42

Notes about the interventions:

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CRT

In addition to TAU, the treatment group underwent CRT which consisted of 40 face-to-face sessions, each involving a number of pencil and paper tasks that provide practice in a variety of cognitive skills. CRT is based on 3 general principles: 1) teaching new efficient information processing, 2) individualised therapy and 3) aiding the transfer of cognitive gains into the real world. The programme consists of 3 modules: cognitive flexibility, working memory and planning.

**Outcomes** Leaving the study early: Leaving due to any reason (non-adherence to study protocol)

Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state, PANSS; SES

Paper notes that the level of symptoms appears to be greater in the therapy group at baseline. This variable was included as a covariate in all the models considered, however only unadjusted end point means have been reported.

General and psychosocial functioning (e.g. SFS): Average score/change in general functioning - SBS

Cognitive functioning: Average score/change in cognitive functioning - Digit Span, WCST; BADS

**Cognitive functioning:** Clinically significant change in cognitive functioning - For the cognitive measures NNT for a clinically significant change was calculated.

Quality 1.1 The study addresses an appropriate and clearly focused question.: Well covered

1.2 The assignment of subjects to treatment groups is randomised.: Well covered

1.3 An adequate concealment method is used.: Well covered

1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed

1.5 The treatment and control groups are similar at the start of the trial.: Well covered

1.6 The only difference between groups is the treatment under investigation.: Adequately addressed

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered

**1.8** What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Adequately addressed

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not addressed

2.1 How well was the study done to minimise bias?: +

# References of included studies (update)

#### BELLUCCI2002

Bellucci, D.M.; Glaberman, K.; Haslam, N. (2003) Computer-assisted cognitive rehabilitation reduces negative symptoms in the severely mentally ill. *Schizophrenia Research* 59: 225 - 232.

#### BURDA1994

Burda, P.C., Starjey, T.W, Dominguez, F., Vera, V. (1994) Computer-assisted cognitive rehabilitation of chronic psychiatric inpatients. *Computers in Human Behaviour* 10: 359-368.

#### EACK2007

Eack,S.M.; Hogarty,G.E.; Greenwald,D.P.; Hogarty,S.S.; Keshavan,M.S. (2007) Cognitive enhancement therapy improves emotional intelligence in early course schizophrenia: Preliminary effects. *Schizophrenia Research* 89(1-3): 308-311.

## HOGARTY2004

Hogarty,G.E.; Flesher,S.; Ulrich,R.; Carter,M.; Greenwald,D.; Pogue-Geile,M.; Kechavan,M.; Cooley,S.; DiBarry,A.L.; Garrett,A.; Parepally,H.; Zoretich,R. (2004) Cognitive enhancement therapy for schizophrenia: effects of a 2-year randomized trial on cognition and behavior. *Archives of General Psychiatry* 61(9): 866 - 876.

#### **KURTZ2007**

Kurtz, M.M.; Seltzer, J.C.; Shagan, D.S.; Thime, W.R.; Wexler, B.E. (2007) Computer-assisted cognitive remediation in schizophrenia: What is the active ingredient? *Schizophrenia Research* 89(1-3): 251-260.

#### PENADES2006

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Penades, R.; Catalan, R.; Salamero, M.; Boget, T.; Puig, O.; Guarch, J.; Gasto, C. (2006) Cognitive remediation therapy for outpatients with chronic schizophrenia: a controlled and randomized study. *Schizophrenia Research*. 87(1-3): 323 - 331.

## SARTORY2005

Sartory,G.; Zorn,C.; Groetzinger,G.; Windgassen,K. (2005) Computerized cognitive remediation improves verbal learning and processing speed in schizophrenia. *Schizophrenia Research* 75: 219 - 223.

#### SILVERSTEIN2005

Silverstein,S.M.; Hatashita-Wong,M.; Solak,B.A.; Uhlhaas,P.; Landa,Y.; Wilkniss,S.M.; Goicochea,C.; Carpiniello,K.; Schenkel,L.S.; Savitz,A.; Smith,T.E. (2005) Effectiveness of a two-phase cognitive rehabilitation intervention for severely impaired schizophrenia patients. *Psychological Medicine* 35(6): 829 - 837.

#### SPAULDING1999

Spaulding, W.D., Reed, D., Sullivan, M., Richardson, C. & Weiler, M. (1999) Effects of cognitive treatment in psychiatric rehabilitation. *Schizophrenia Bulletin* 25: 657-676.

#### TWAMLEY2008

Twamley, E.W.; Savla, G.N.; Zurhellen, C.H.; Heaton, R.K.; Jeste, D.V. (2008) Development and pilot testing of a novel compensatory cognitive training intervention for people with psychosis. *American Journal of Psychiatric Rehabilitation*. 11(2): 144-163.

## VANDERGAAG2002

Van der Gaag M.; Kern,R.S.; van den Bosch,R.J.; Liberman,R.P. (2002) A controlled trial of cognitive remediation in schizophrenia. *Schizophrenia Bulletin* 28: 167 - 176.

## VELLIGAN2000

Velligan, D.I., Bow-Thomas, C.C., Huntzinger, C., Ritch, J., Ledbetter, N., Prihoda, T.J. & Miller, A.L. (2000) Randomized controlled trial of the use of compensatory strategies to enhance adaptive functioning in outpatients with schizophrenia. *American Journal of Psychiatry* 157: 1317-1323.

## VELLIGAN2002

Velligan, D.I.; Prihoda, T.J.; Ritch, J.L.; Maples, N.; Bow-Thomas, C.C.; Dassori, A. (2002) A randomized single-blind pilot study of compensatory strategies in schizophrenia outpatients. *Schizophrenia Bulletin28*(2): 283 - 292.

## VELLIGAN2008

Velligan,DI; Diamond,PM; Maples,NJ; Mintz,J.; Li,X.; Glahn,DC; Miller,AL (2008) Comparing the efficacy of interventions that use environmental supports to improve outcomes in patients with schizophrenia. *Schizophrenia Research* 102(1-3): 319.

# VELLIGAN2008B

Velligan,DI; Diamond,PM; Mintz,J; Maples,N; Li,X; Zeber,J; Ereshefsky,L; Lam,Y; WingF; Castillo,D; Miller,AL (2008) The use of individually tailored environmental supports to improve medication adherence and outcomes in schizophrenia. *Schizophrenia Bulletin* 34(3): 493.

# VOLLEMA1995

Vollema MG, Geurtsen GJ, van Voorst AJP. (1995) Durable improvements in Wisconsin Card Sorting Test performance in schizophrenic patients. *Schizophrenia Research* 16: 209-215.

## **WYKES2007**

Wykes, T., Newton, E., Landau, S., et al. (2007) Cognitive remediation therapy (CRT) for young early onset patients with schizophrenia: An exploratory randomized controlled trial. *Schizophrenia Research*. 94(1-3): 221-230.

## WYKES2007A

Wykes, T.; Reeder, C.; Landau, S.; Everitt, B.; Knapp, M.; Patel, A.; Romeo, R. (2007) Cognitive remediation therapy in schizophrenia: randomised controlled trial. *British Journal of Psychiatry*. 190: 421 - 427.

Reeder, C; Smedley, N; Butt, K; Bogner, D; Wykes, T (2006) Cognitive Predictors of Social Functioning Improvements Following Cognitive Remediation for Schizophrenia. *Schizophrenia Bulletin* 32(Suppl1): S123 - S131.

Characteristics of excluded studies (update)

#### **BELL2001**

Reason for exclusion: CRT + vocational employment services - outside scope

#### BELL2003[BELL2001]

**Reason for exclusion:** Primary paper excluded: CRT + vocational employment services - outside scope

## BELL2005[BELL2001]

**Reason for exclusion:** Primary paper excluded: CRT + vocational employment services - outside scope

# BELL2007[BELL2001]

**Reason for exclusion:** Primary papers excluded

#### BELL2008[BELL2001]

**Reason for exclusion:** Primary paper excluded CRT + vocational employment services - outside scope

#### Bellack2001

Reason for exclusion: Does not meet intervention definition

#### GREIG2007

Reason for exclusion: CRT + vocational employment services - outside scope

#### Lewis 2003

Reason for exclusion: - participants were not randomised. A method of minimisation was used instead.

#### LINDENMAYER2008

**Reason for exclusion –** CRT + vocational employment service – outside scope

#### LOPEZ-LUENGO2003

**Reason for exclusion:** - 15/39 participants excluded from all analyses. The paper does not explicitly state whether this occurred before or after randomisation. It appears from the follow-up paper that these exclusions were post-randomisation as reasons for exclusion include relapse, drop-out, change of address etc.

- cannot use just drop out as paper did not report the numbers randomised into each group before these 15 participants were excluded

#### LOPEZ-LUENGO2005[LOPEZ-LUENGO2003]

**Reason for exclusion:** - 15/39 participants excluded from all analyses. The paper does not explicitly state whether this occurred before or after randomisation. It appears from the follow-up paper that these exclusions were post-randomisation as reasons for exclusion include relapse, drop-out, change of address, etc.

- cannot use just drop out as paper did not report the numbers randomised into each group before these 15 participants were excluded

#### MCGURK2005A

Reason for exclusion: CRT + vocational employment services - outside scope

# MCGURK2007[MCGURK2005]

**Reason for exclusion:** Primary paper excluded CRT + vocational employment services - outside scope

## MCGURK2008

Reason for exclusion: No relevant comparison

#### MORITZ2007

**Reason for exclusion:** Does not fit definition of CRT - focuses on meta-cognition and not basic cognitive processes No relevant comparison

#### Tompkins1995

Reason for exclusion: Does not meet intervention definition

#### UELAND2004

Reason for exclusion: Early onset schizophrenia (<18 years old) - outside scope

#### UELAND2005[UELAND2004]

**Reason for exclusion:** Primary paper excluded Early onset schizophrenia (<18 years old) - outside scope

#### **VAUTH2005**

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Reason for exclusion: CRT + vocational employment services - outside scope

# References of excluded studies (included in previous guideline, but excluded from update)

Bellack, AS, Weinhardt, LS, Gold, JM, Gearon, JS. (2001) Generalization of training effects in schizophrenia. Schizophrenia Research; 48 (2-3):255-262.

Tompkins LM, Goldman RS, Axelrod BN. (1995) Modifiability of neuropsychological dysfunction in schizophrenia. Biological Psychiatry; 38:105-11

# **References of excluded studies (update)**

Bell M.D.; Bryson G.J.; Greig T.C.; Fiszdon J.M.; Wexler B.E. (2005) Neurocognitive enhancement therapy with work therapy: Productivity outcomes at 6- and 12-month follow-ups. *Journal of Rehabilitation Research and Development* 42/6(829-838):

Bell, M.; Bryson, G.; Greig, T.; Corcoran, C.; Wexler, B.E. (2001) Neurocognitive Enhancement Therapy with Work Therapy. *Archives of General Psychiatry* 58: 763 - 768.

Bell,M.; Bryson,G.; Wexler,B.E. (2003) Cognitive remediation of working memory deficits: durability of training effects in severely impaired and less severely impaired schizophrenia. *Acta Psychiatrica Scandinavica* 108(2): 101 - 109.

Bell,M.; Fiszdon,J.; Greig,T.; Wexler,B.; Bryson,G. (2007) Neurocognitive enhancement therapy with work therapy in schizophrenia: 6-month followup of neuropsychological performance. *Journal of Rehabilitation Research and Development*. 44(5): 761 - 770.

Bell,M.; Zito,W.; Greig,T.; Wexler,B.E. (2008) Neurocognitive enhancement therapy and competitive employment in schizophrenia: Effects on clients with poor community functioning. *American Journal of Psychiatric Rehabilitation*. 11(2): 109-122.

Greig TC, Zito W, Wexler BE, Fiszdon J, Bell MD. (2007) Improved cognitive function in schizophrenia after one year of cognitive training and vocational services. *Schizophrenia Research*. 96(1-3):156-61.

Lewis,L.; Unkefer,E.P.; O'Neal,S.K.; Crith,C.J.; Fultz,J. (2003) Cognitive rehabilitation with patients having persistent, severe psychiatric disabilities. *Psychiatric Rehabilitation Journal*. 26: 325 - 331.

Lindenmayer, J.P., McGurk, S.R., Museser, Khan, A., Wance, D., Hoffman, D., Wolfe, R & Xie, H. (2008) A randomized controlled trial of cognitive remediation among inpatients with persistent mental illness. *Psychiatric Services* 59(3): 241 - 247.

Lopez-Luengo, B.; Vazquez, C. (2003) Effects of Attention Process Training on cognitive functioning of schizophrenic patients. *Psychiatry Research* 119(1-2): 41 - 53.

# Study characteristics tables: Cognitive remediation

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Lopez-Luengo, B.; Vazquez, C. (2005) Effects of a neuropsychological rehabilitation programme on schizophrenic patients' subjective perception of improvement. *Neuropsychological Rehabilitation* 15(5): 605 - 618.

McGurk,S.R.; Mueser,K.T. (2008) Response to cognitive rehabilitation in older versus younger persons with severe mental illness. *American Journal of Psychiatric Rehabilitation*. 11(1): 90-105.

McGurk,S.R.; Mueser,K.T.; Feldman,K.; Wolfe,R.; Pascaris,A. (2007) Cognitive training for supported employment: 2-3 year outcomes of a randomized controlled trial. *The American Journal of Psychiatry* 164: 437 - 441.

McGurk,SR; Mueser,KT; Pascaris,A (2005A) Cognitive training and supported employment for persons with severe mental illness: one-year results from a randomized controlled trial. *Schizophrenia Bulletin* 31(4): 898-909.

Moritz, S.; Woodward, T.S. (2007) Metacognitive training for schizophrenia patients (MCT): A pilot study on feasibility, treatment adherence, and subjective efficacy. *German Journal of Psychiatry*. 10(3): 69-78.

Ueland, T. & Rund, B.R. (2004) A controlled randomized treatment study: the effects of a cognitive remediation program on adolescents with early onset psychosis. *Acta Psychiatrica Scandinavica* 109: 70-74.

Ueland, T. & Rund, B.R. (2005) Cognitive remediation for adolescents with early onset psychosis: a 1-year follow-up study. *Acta Psychiatrica Scandinavica*. 111: 193-201.

Vauth, R.; Corrigan, P.W.; Clauss, M.; Dietl, M.; Dreher-Rudolph, M.; Stieglitz, R.-D.; Vater Rainer Research Group of Cognitive-Behavioral Intervention for Schizophrenia (2005) Cognitive strategies versus self-management skills as adjunct to vocational rehabilitation. *Schizophrenia Bulletin* 31(1): 55-66.

# Counselling and supportive therapy

Previous guideline review	<ol> <li>Review type</li> <li>No. of studies</li> <li>No. randomised</li> </ol>	Interventions	Outcomes reported in review
<ul> <li>Pilling S, Garety P, Michelson D, Whittington C.</li> <li>Counselling and Supportive Therapy for schizophrenia.</li> <li>(New systematic review produced for schizophrenia guideline)</li> </ul>	<ol> <li>Systematic review of RCTs.</li> <li>14 (8 RCTs from existing reviews of other interventions, 3 new RCTs also used in other reviews, 3 new RCTs not used in other reviews).</li> <li>1143.</li> </ol>	<ol> <li>Counselling or supportive therapy was a discrete psychological intervention where: the intervention is facilitative, non-directive and/or relationship focused, with the content largely determined by the patient; and the intervention does not fulfil the criteria for any other psychological intervention.</li> <li>Other active interventions.</li> <li>Standard care was defined as the normal level of psychiatric care provided in the area where the trial was carried out.</li> </ol>	Leaving the study early. Death. Relapse. Readmission. Mental state: Continuous measures. Mental state: Criterion-based.
Update	Follow up to existing studies: 4 papers providing follow-up data to existing RCTs.         New studies: 6 RCTs.         Existing studies excluded from update: 2 RCTs: Levine1998; Turkington2000.		Notes: Definition updated

	Methods	Participants	Interventions	Outcomes	Notes
Study		_			
Donlon1973	matched and then "randomly placed" into one of two groups. Blinding: none. Duration/frequency : 18 weeks, nine biweekly sessions, each lasting 90 minutes. Actual supportive therapy content of group sessions lasted ~30	13 F. History: all patients were "treatment refractory" and shared "marked anxiety in interpersonal relationships and a	interpersonal anxiety "with a nonthreatening milieu of acceptance and emotional support	Unable to use: Socialisation (no data). Cost (no SD).	*Does not report actual numbers in each group - N=12 is an assumption based on method of randomisation.
	minutes.				
Eckman1992	Allocation: "randomly assigned."	III-R). N=41.	1. Group psychotherapy: subjects engaged in an insight-oriented and supportive group process, and provided with education about	Unable to use:	
	raters, but some patients revealed information about	History: mean duration of illness (months) skills training group 171.50 (SD 110.16), supportive psychotherapy group 165.24 (SD		BPRS (no usable data) Skill attainment (no usable data).	

# Characteristics of included studies (previous guideline)

during rating	(SD 7.07), supportive	best be described as aiming for individual	
sessions.	psychotherapy group 24.76 (SD	and personal goals encouraged through	
Duration/frequency	4.92); mean no. hospitalizations	exploratory and supportive leadership."	
: 18 months, twice	3.75 (SD 2.27), supportive	N=21.	
weekly 90-minute	psychotherapy group 4.00 (SD		
sessions for first 6	1.61).	2. Modular skills training: based on two	
months, weekly		modules from the UCLA Social and	
sessions thereafter.		Independent Living Skills Program with	
		highly prescribed curricula for teaching	
		medication and symptom self-management.	
		N=20.	

	Allocation:		1. Home family therapy: patient + family, 24-		
		III, PSE).		2. Hospital admission.	
	1	N = 39.	intervention / home visits as needed, weekly	3. Leaving the study	
1 1	further details.	Age: range 18-41 years, mean		early.	
	0	25.8.	2. Supportive management: outpatient clinic-		
1 1	exacerbations not	History: stabilised after relapse,	based individual supportive psychotherapy.	5. Unemployed.	
1 1	blind, target	English speakers, mean previous	N=19.	6. Residential care.	
1 1	symptoms,	admissions ~3, mean duration ill		7. Imprisonment.	
1 1	compliance with	~ 4 years, high EE (CFI).		8. Social impairment.	
	medication, BPRS,			9. Ability to cope.	
1 1	PSE blind. Hopkins			, <u>,</u>	
	Symptom Checklist,			Unable to use:	
1 1	self rating.			1. Mental state ("7 point	
1 1	Duration: 9 months			scale" no further details).	
1 1	treatment, 2 years			2. Duration of	
1 1	follow up.			exacerbation (no SD).	
1 1	Frequency: 1 hour			3. Duration unstable (no	
1 1	per week/3 months,			SD).	
1 1	1 hour per 2			4. Family functioning	
	weeks/6 months, 1			(SBAS, no usable data).	
1 1	hour per month/15			5. Family knowledge (no	
	months.			data).	
1 1				6. Patient functioning (no	
1 1				usable data).	
1 1				7. Patient coping (SAS-	
				SR, no usable data).	
				8. Time in employment	
				(no SD).	
1 1				9. Costs (no SD).	
	Allocation:	Inpatients	1. CBT: manual-based. 4 treatment stages: i)	Leaving the study early.	Pilot study to
Haddock1998	"randomly	Diagnosis: schizophrenia or	engagement and assessment of mental state		Lewis 2002.
	allocated."		00	hospital.	
		Age: ~29.		Relapse.	
	blind. Duration: 5	Sex: 19 M 2 F.		BPRS.	
	weeks or until	History: 1st treatment for	coping strategies. Stress-vulnerability model		

nationt discharged if	schizophrenia less than 5 years	used to link biological and psychological	Unable to use:	
÷ Ű		0 1, 0		
		mechanisms; ii) prioritised problem list	1. No. of days to 1st	
shorter, booster	ward for onset or relapse of	developed collaboratively with patient.	readmission (no SD).	
sessions at 1, 2, 3,4	psychotic symptoms.	Problems assessed for trigger situations and	2. No. of days to relapse	
months post-		cognitions; iii) & iv) intervention and	(no SD).	
discharge. 2 year		monitoring.	3. PSYRATS scale (no	
follow-up.			data).	
Frequency: mean		2. Supportive counselling (SC): manual-		
no. CBT sessions		based – no further description.		
10.2 (SD 5.1), 1.67				
booster sessions.		3. Routine care.		
Mean no. SC				
sessions 9.1				
(SD=4.36), 0.91				
booster sessions.				

	Allesstian	Outrationto			
Herz2000	using computer- generated cards stored in sealed envelopes. Blinding: raters blind. Duration: 18	II-R). N=82. Age: ~30. Sex: 53 M, 29 F. History: mean no. previous admissions - Programme for relapse prevention (PRP) group	<ul> <li>i) education for patients and family; ii) active monitoring of symptoms; iii) clinical intervention when prodromal symptoms detected;</li> <li>iv) 1-hour weekly supportive group therapy emphasising coping skills, or 30-45 minute individual supportive therapy sessions if</li> </ul>	Noncompliance with medication. Unable to use: 1. Early Signs Questionnaire, PANSS,	
		2.27 (1.29), Supportive therapy group 2.64 (1.28). At least 1 hospitalisation in past 3 years, and 2 or more lifetime admissions.	<ul> <li>patients refused group treatment;</li> <li>v) 90-minute multi-family psychoeducation,</li> <li>biweekly for 1st 6 months, monthly</li> <li>thereafter.</li> <li>2. Individual supportive therapy and</li> <li>medication management: biweekly for 15-30</li> <li>minutes.</li> </ul>	GAS (no SDs reported).	
Hogarty1997	assignment - two concurrent trials (with/without families). Blinding: none. Duration: 3 years. Frequency: weekly for personal therapy, with less contact in year 3 for those who completed	schizoaffective disorder (DSM- IV). N=101. Age: with family mean 28.6 (SD	model of person," environmental and emotional monitoring - internal coping strategies. N=48. 2. Supportive therapy: active listening, correct empathy, appropriate reassurance, reinforcement of patient health-promoting initiatives, and reliance on the therapist for advocacy and problem solving in times of	Unable to use: 1. Social adjustment (no usable data). 2. Mental state (no usable data). 3. Family rating (no usable data).	Therapists: Masters level psychiatric nurse, clinical specialists and doctoral level clinical psychologists. Supervision: fidelity to therapy was facilitated by explicit treatment manuals as well as by weekly individual and peer-group supervision provided by two senior (doctoral

		level) clinical
		supervisors and/or
		the principal
		investigator and by
		treatment process
		ratings that
		identified the
		practice principles
		used and the goals
		achieved.
		CBT type: coping,
		stress-
		vulnerability/
		problem solving.

	Allocation:	Inpatients.	1. CBT + standard care: compliance therapy -	1. Death	Therapists:
Kemp1996					research
		(DSM-III-R), remaining sample		0 5	psychiatrist &
		mood disorders.	the stigma of drugs, the discrepancy between		clinical
		N=74.			psychologist. Both
		Age: CBT group mean 34 (SD	2. Supportive counselling: therapists		trained in CBT and
			listening to patients' concerns but declined to		
		11.9).		5. Extended Schedule for	
		Sex: 39 M 35 F.			motivational
		History: mean duration of illness		Ş	interviews.
	minutes twice a	CBT group 8.5 years (SD 6.3),		Inventory.	Supervision:
	week.	control group 10.7 years (SD		7. Attitudes to	therapists received
		9.6).		Medication	regular
				Questionnaire.	supervision.
					CBT type:
				Unable to use:	compliance
					therapy.
				compliance (not a peer-	
				reviewed published	
				scale).	
				2. Attitudes to treatment	
				questionnaire (not a	
				peer-reviewed published	
				scale).	
		Diagnosis: paranoid		Mental state: PANSS	Therapists:
Levine1998		schizophrenia.		scores at follow-up -	authors,
		History: ill > 5 years, not		positive, negative,	"previously
			alternative explanations for delusional	general, thought	trained in inducing
	week follow-up.	chronic physical condition or		disturbance and total	cognitive
					dissonance in
		N=12.			paranoid patients."
1		Age: range 20-45 years.	2. Supportive therapy: group based, "focused		
			1 1 0	PANSS scores at end of	
1				treatment (SDs not	
			standard care. N=6.	provided)	

	Allocation:	Inpatients (N=264) and day	1. CBT: manual-based. 4 treatment stages: i)	Leaving the study early.	
Lewis2002	"independent,	patients (N=45).	engagement and assessment of mental state	Death.	
		Diagnosis: schizophrenia,		PANSS (Positive and	
		schizophreniform,		Negative Syndrome	
				Scale): total and positive	
	minimisation."	disorder (DSM-IV). N=309.	coping strategies. Stress-vulnerability model		
	Stratification				
		Age: median 27.4. Sex: 216 M, 93 F.		Delusions Scale (DS).	
	0			Auditory Hallucinations	
		History: all patients either 1st		Scale (AHS).	
			Problems assessed for trigger situations and		
		(N=52) admissions, positive	cognitions; iii) & iv) intervention and		
	episodes further	psychotic symptoms for 4 weeks			
	stratified for	or more, moderate or severe	2. Supportive counselling (SC): manual-		
		score (4 or more) on PANSS	based – no further description.		
	I	target item for delusions or	3. Routine care.		
		hallucinations.			
	months. Blinding:				
	"all outcome				
	assessments were				
	made blind to				
	treatment				
	allocation."				
	Duration/frequency				
	: 15-20 hours within				
	5-week treatment				
	envelope, plus				
	booster sessions at a				
	further 2 weeks, and				
	1, 2, 3 months.				
Marder1996		Outpatients.	1. Social skills training: 90 minute sessions,	1. Leaving the study	Therapists: therapy
ivial del 1990	Ű,		twice weekly, for first 6 months, then weekly,		administered by
	Duration: 2 years.			1 /	one or two leaders
1				``	who were doctoral
1		(SD 9.0), control group mean		, ,	and master's level
		37.9 (SD 8.6).	behavioural rehearsal, video modelling,		psychologists, an

Sex: all males.	social reinforcement, homework. N=43.	Unable to use:	occupational
History: mean duration of illness	2. Supportive group psychotherapy:	1. Exacerbation in	therapist, and a
- treatment group mean 12.5	encouraging patients to set personal goals	symptoms (no usable	social science
years (SD 8.9), control group	and harness group dynamics, explore	data).	technician.
mean 13.4 years (SD 9.0), mean	problems and obstacles. N=37.		Therapist for
age at onset of illness - treatment			control condition
group mean 25.5 (SD 5.7),			was a doctoral-
control group mean 24.4 years			level psychologist.
(SD 4.8).			

	Allocation: "simple	Outpatients.	1. CBT: began by examining the antecedents	1. Leaving the study	Therapists: two
Sensky2000	randomization	Diagnosis: schizophrenia (ICD-		early.	experienced
	applied	10 RDC & DSM-IV). N=90.	developing a normalising rationale,	2. CPRS endpoint.	psychiatric nurses.
	independently" for	Age: mean 39 (CT), 40 (BF).	generating shared case formulation.	3. SANS endpoint.	Supervision:
	two sets of patients,	Sex: 53 M 37 M.	Thereafter, coping strategies for positive	4. MADRS endpoint.	therapists
	one from London	History: mean	symptoms developed. Finally, interventions	5. Clinical improvement	provided with
	and another from	duration of illness 14 years,	for negative symptoms attempted "using	(50% cut off) on CPRS,	regular
	the north of	mean number of previous	paced activity scheduling and diary	MADRS, and SANS.	supervision.
	England. Blinding:	admissions 14.	recording of mastery and pleasure." N=46.		Interviews were
	"assessors were			Unable to use:	audiotaped for
	independent of the			1. Patient satisfaction (no	
	randomization		11 2	usable data).	for quality control.
	procedure and		therapist contact as CBT group, with sessions		
	remained blind to		spaced at similar intervals. Intervention was		
	each patient's		empathic and nondirective. "Psychotic or		
	assigned group		affective symptoms were not directly tackled		
	throughout the		in any way." Sessions focused on neutral		
	study." Duration: 9		topics (for example, hobbies, sports, current		
	months. Frequency:		affairs). N=44.		
	number and length				
	of sessions "were				
	flexible to				
	accommodate the				
1	needs of individual				
1	patients, but the				
1	initial aim was to				
1	offer each patient at				
1	least 45 minutes of				
1	therapy each week.				
1	After this phase, which could last up				
	to 2 months, the				
1	session frequency				
	could be reduced."				
<u> </u>	<u> </u>		1	1	

Stanton1984 (Gunderson 1984 in	no further details.	then in community.	<ol> <li>Insight-oriented psychotherapy: N=88*.</li> <li>Reality-adaptive, supportive</li> </ol>	1. Global impression (rehospitalised, unable to take household	*Gunderson reports randomising 95
psycho- analysis ET)	Duration: 2 years, had to stay in therapy for 6 months to be eligible to go onto 2	II & III, diagnosis confirmed three times. Age: 18-35 years. N=164 (almost 2000 screened). Sex: not mentioned.	psychotherapy: "generally focused on problems in the current living situation of the client intended to identify problems that could be solved or that could be expected to recur in the future so that more effective coping strategies could be mapped out." Techniques included support, reassurance, limits, clarification. N=76*.	responsibilities, unable to have key relationship, not self supporting). 2. Leaving the study early. Unable to use: 1. Cognition (no SD). 2. Ego functioning (no SD). 3. Signs and symptoms of illness (no SD). 4. Use of medication (no SD). 5. Hospitalisation (no	
Tarrier1998	allocation, stratified sample technique. Blinding: blinded raters. Duration: 10 weeks Frequency: 20 sessions altogether,	Outpatients. Diagnosis: schizophrenia, schizoaffective psychosis, delusional disorder (DSM III R). N=87. Age: mean 39 (SD 11). Sex: 69 M 18F. History: median duration of illness 11 years, persistent positive symptoms.	<ol> <li>CBT: coping strategy enhancement, training in problem solving, strategies to reduce relapse + standard care. N=33.</li> <li>Supportive counselling: emotional support, unconditional regard, general counselling + standard care. N=26.</li> <li>Standard care. N=28.</li> </ol>	<ol> <li>2. Relapse.</li> <li>3. Mental state: important improvement (BPRS).</li> <li>Unable to use: 1. BPRS change scores (SD not reported). 2. Positive and negative symptom severity (SD not reported).</li> </ol>	Therapists: 3 were experienced clinical psychologists. Supervision: the therapists met on a regular basis to discuss cases. Sessions were taped. CBT type: coping/problem solving.
Turkington			1. CBT: "inductive questioning identified faulty cognitions" and a shared explanation	1. Leaving the study early.	Therapist: general psychiatrist.

	group: control	hospitals, as inpatients in	of the onset and maintenance of symptoms	2. Comprehensive	Pilot study to
2000	group). Blinding:	hospital.	was developed. Alternative explanations of	Psychopathological	Sensky 2000.
	assessors, families,	Diagnosis: schizophrenia (ICD-	delusional beliefs were explored. N=13.	Rating Scale (CPRS).	
	and treatment team	10). N=19.			
	blind to	Age: CBT group mean 37.4,	2. Befriending: "provided with regular	Unable to use: (SD not	
	randomisation.	befriending group mean 44.2.	contact with a general psychiatrist, in	given):	
	Duration: 2 months.	Gender ratio (M:F): 7:5 (CBT	addition to normal management by their	1. Montgomery-Asberg	
	Frequency: 6	group), 2:4 (befriending group).	treatment team." N=6.	Depression Rating Scale	
	sessions, 20-40	Mean length of illness (years):		(MADRS).	
	minutes in length.	9.2 (CBT group), 13.0		2. Time spent in hospital	
	Three sessions were	(befriending group).		(6 months after	
	in first 2 weeks,	Mean length of hospitalisation		commencement of	
	then frequency was	(years): 11.3 (CBT group), 14.3		treatment).	
	decreased, "to finish	(befriending group).			
	6-8 weeks after the				
	commencement of				
	therapy."				

Study characteristics tables: Counselling and supportive therapy

# References of included studies (previous guideline)

#### Donlon 1973

\*Donlon PT, Rada RT, Knight SW. (1973) A therapeutic aftercare setting for "refractory" chronic schizophrenia patients. *American Journal of Psychiatry*; 130(6):682-4.

## Eckman 1992

\*Eckman TA, Wirshing WC, Marder SR, Liberman RP, Johnston-Cronk K, Zimmermann K, Mintz J. (1992) Technique for training schizophrenic patients in illness self-management: a controlled trial. *American Journal of Psychiatry*; 149:1549-55.

Wirshing WC, Marder SR, Eckman T, Liberman RP, Mintz J. (1992) Acquisition and retention of skills training methods in chronic schizophrenic outpatients. *Psychopharmacology Bulletin*; 28(3):241-5.

#### Falloon 1981

\*Falloon IRH, Boyd JL, McGill CW, Razani J, Moss HB, Gilderman AM. (1982) Family management in the prevention of exacerbations of schizophrenia: a controlled study. *New England Journal of Medicine*; 306:1437-40.

Falloon IRH, Jeffery LB, McGill CW, Williamson M, Razani J, Moss HB, Gilderman AM, Simpson GM. (1985) Family management in the prevention of morbidity of schizophrenia: clinical outcome of a two-year longitudinal study. *Archives of General Psychiatry*; 42:887-96.

Falloon IRH, Pederson J. (1985) Family management in the prevention of schizophrenia: the adjustment of the family unit. *British Journal of Psychiatry*; 147:156-63.

Strang JS, Falloon IRH, Moss HB, Razini J, Boyd JL. (1981) Drug treatment and family intervention during the aftercare treatment of schizophrenics. *Psychopharmacology Bulletin*; 17:87-8.

Doane JA, Falloon IR, Goldstein MJ, Mintz J. (1985) Parental affective style and the treatment of schizophrenia. Predicting course of illness and social functioning. *Archives of General Psychiatry*; 42:34-42.

Falloon IRH, Razani J, Moss HB, Boyd JL, McGill CW, Pederson J. (1983) Gemeindenahe Versorgung von Schizophrenen Eine einjaehrige Kontrolluntersuchung bei Familien- und Einzeltherapie. *Partnerberatung*; 20:73-9.

Falloon IR, McGill CW, Boyd JL, Pederson J. (1987) Family management in the prevention of morbidity of schizophrenia: social outcome of a twoyear longitudinal study. *Psychological Medicine*; 17:59-66.

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McGill CW, Falloon IR, Boyd JL, Wood SC. (1983) Family educational intervention in the treatment of schizophrenia. *Hospital and Community Psychiatry*; 34:934-8.

Rea M, Strachan A, Goldstein M, Falloon I, Hwang S. (1991) Changes in patient coping style following individual and family treatment for schizophrenia. British Journal of Psychiatry; 158:642-7.

## Haddock 1999

\*Haddock G, Tarrier N, Morrison AP, Hopkins R, Drake R, Lewis S. (1999) A pilot study evaluating the effectiveness of individual inpatient cognitive-behavioural therapy in early psychosis. *Social Psychiatry and Psychiatric Epidemiology*; 34:254-8.

# Herz 2000

\*Herz MI, Lamberti JS, Mintz J, Scott R, O'Dell SP, McCartan L, Nix G. (2000) A program for relapse prevention in schizophrenia. *Archives of General Psychiatry*; 57:277-83.

# Hogarty 1997

Hogarty G, Greenwald D, Ulrich R, Kornblith S, DiBarry A, Cooley S, Carter M, Flesher S. (1997) Three year trials of personal therapy among schizophrenic patients living with or independent of family, II: Effects on adjustment of patients. *American Journal of Psychiatry*; 154(11):1514-24.

\*Hogarty G, Kornblith S, Greenwald D, DiBarry A, Cooley S, Ulrich R, Carter M, Flesher S. (1997) Three year trials of personal therapy among schizophrenic patients living with or independent of family, I: Description of study and effects on relapse rates. *American Journal of Psychiatry*; 154(11):1504-15.

# Kemp 1996

\*Kemp R, Hayward P, Applewhaite G, Everitt B, David A. (1996) Compliance therapy in psychotic patients: a randomised controlled trial. *British Medical Journal;* 312:345-9.

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# Levine 1998

\*Levine J, Barak Y, Granek I. Cognitive group therapy for paranoid schizophrenics: applying cognitive dissonance. *Journal of Cognitive Psychotherapy*; 12(1):3-12.

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\*Lewis S, Tarrier N, Haddock G, Bentall R, Kinderman P, Kingdon D, Siddle R, Drake R, Everitt J, Leadley K, Benn A, Grazebrook K, Haley C, Akhtar S, Davies L, Palmer S, Faragher B, Dunn G. (2002) Randomised, controlled trial of cognitive-behaviour therapy in early schizophrenia: acute phase outcomes. *British Journal of Psychiatry*, in press.

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\*Marder SR, Wirshing WC, Mintz J, McKenzie J, Johnston K, Eckman TA, Lebell M, Zimmerman K, Liberman RP. (1996) Two-year outcome of social skills training and group psychotherapy for outpatients with schizophrenia. *American Journal of Psychiatry*; 153:1585-92.

# Sensky 2000

\*Sensky T, Turkington D, Kingdon D, Scott JL, Scott J, Siddle R, O'Carroll M, Barnes TRE. (2000) A randomized controlled trial of cognitivebehavioral therapy for persistent symptoms in schizophrenia resistant to medication. *Archives of General Psychiatry*; 57(2):165-72.

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\*Stanton AH, Gunderson JG, Knapp PH, Frank AF, Vannicelli ML, Schnitzer R, Rosenthal R. (1984) Effects of psychotherapy in schizophrenia: I. Design and implementation of a controlled study. *Schizophrenia Bulletin*; 10(4):520-51.

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\*Tarrier N, Yusupoff L, Kinney C, McCarthy E, Gledhill A, Haddock G, Morris J. (1998) Randomised controlled trial of intensive cognitive behavioural therapy for patients with chronic schizophrenia. *British Medical Journal*; 317:303-7.

Tarrier N, Wittkowski A, Kinney C, McCarthy E, Morris J, Humphreys L. (1999) The durability of the effects of cognitive-behavioural therapy in the treatment of chronic schizophrenia: 12-month follow-up. *British Journal of Psychiatry*; 174: 500-504.

Tarrier N, Kinney C, McCarthy E, Humphreys L, Wittkowski A, Morris J. (2000) Two-year follow-up of cognitive-behavioral therapy and supportive counselling in the treatment of persistent symptoms in chronic schizophrenia. *Journal of Consulting and Clinical Psychology*; 68(5):917-922.

Tarrier N, Kinney C, McCarthy E, Wittkowski A, Yusupoff L, Gledhill A, Morris J, Humphreys L. (2001) Are some types of psychotic symptoms more responsive to cognitive-behaviour therapy. Behavioural and Cognitive Psychotherapy; 29:45-55.

#### **Turkington 2000**

\*Turkington D, Kingdon D. (2000) Cognitive-behavioural techniques for general psychiatrists in the management of patients with psychoses. *British Journal of Psychiatry*; 177:101-106.

#### Characteristics of included studies (update)

# Study ID JACKSON2007 General info Funding source: Non-industry support Published or unpublished data?: Published Method Type of study: Individual randomised trial Type of analysis: ITT - Analyses were performed on all 62 participants and follow-up interviews were conducted where possible, regardless of whether they had withdrawn. Ten multiply imputed (MI) datasets were generated to deal with missing responses Blindness: Only raters blind **Duration:** No. weeks of treatment - Up to 14 weeks maximum Duration: Length of follow-up - 1 year Raters: Independent of treatment Design: Single-centre - Early Psychosis Prevention Centre (EPPIC), Melbourne, Australia Number of people screened, excluded & reasons: 427 people screened, of whom 111 were excluded due to ineligibility, a further 126 people referred within the time-frame could not be approached e.g. no response to telephone calls/ letters, DNA at appointments. Therefore 190 people were approached for inclusion into the study. Of these 128 refused to participate. Notes about study methods: Randomisation was stratified according to affective and non-affective psychotic diagnosis to ensure equal distribution across therapists and treatment conditions. The randomisation process was conducted by an independent statistician. **Diagnosis:** Schizophrenia [% of sample] 13% Participants **Diagnosis:** Other schizophrenia related [%] schizophreniform - 40% schizoaffective - 11% Diagnosis: Other [%] bipolar / depressive - 21%

Delusional / psychotic (NOS) - 15%

Diagnostic tool: DSM-IV

# **Exclusion criteria:**

- inability to speak English

- intellectual disability (IQ<70)
- psychosis due to a medical condition
- change to non-psychotic diagnosis
- left the EPPIC catchment area
- treatment from a private psychiatrist/ psychologist
- participating in a first-episode mania trial
- exhibiting violent behaviour or being incarcerated

Total sample size: No. randomised - 62

Total sample size: ITT population - 53 at end of treatment, 55 at follow-up

Gender: % female 27%

**Age:** Range EPPIC age range = 15-25

Age: Mean 22

Ethnicity: Not reported

**Setting:** Other EPPIC - a comprehensive treatment service which included an inpatient unit, an outpatient case management system, family work, accommodation, prolonged recovery programmes and tailored group programmes.

# History:

-

[ACE / befriending] Mean age of onset of psychosis: 21.58(3.49) / 21.67(4.20) Median length of psychosis (untreated) in days: 83 / 107 Number of in-patient hospitalisation: 12 / 14

# **Baseline stats:**

[ACE / Befriending] Positive symptoms (psychotic subscale of BPRS): 11.68(4.17) / 12.29(4.50) Negative symptoms (SANS): 22.55(11.66) / 25.55(14.86) SOFAS: 52.10(11.77) / 51.84(7.09)

# Notes about participants:

[ACE / Befriending] Mean neuroleptic dosage in CPZ equivalent: 224(112) / 297(136) Number who received ECT: 4 / 1 Interventions Intervention - group 1.: ACE (Active Cognitive Therapy for Early Psychosis), Maximum of 20 sessions of therapy over 14 weeks; n=31 Intervention - group 2.: Befriending; n=31 Notes about the interventions: ACE -ACE manual based on adapted CBT approach. -Involves the assessment of presenting psychotic and non-psychotic symptoms followed by the formulation of the relationship between these complaints and the participant's life history. Problems are prioritised according to a flowchart that directed the ACE therapy. Befriending -based on befriending therapy -aimed to control for time in therapy, participant expectations and positive experiences of therapy. -consisted of talking about neutral topics that interested the participant or engaging in activities such as board games, walking or playing sport. The therapist's primary goal was to keep the participant engaged for the full duration of the session and to keep the conversation or activity as close to a neutral chat as possible. Training The therapists received 3 months of training in the treatments and were supervised throughout the trial. Outcomes Death: Suicide Leaving the study early: Leaving due to any reason (non-adherence to study protocol) Global state & service outcomes (e.g. CGI): Re-hospitalisation Global state & service outcomes (e.g. CGI): Days in hospital Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - Positive symptoms - measured using the psychotic subscale of the BPRS, SANS

General and psychosocial functioning (e.g. SFS): Average score/change in general functioning - SOFAS

Quality 1.1 The study addresses an appropriate and clearly focused question.: Well covered

1.2 The assignment of subjects to treatment groups is randomised.: Well covered

1.3 An adequate concealment method is used.: Well covered

1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed

1.5 The treatment and control groups are similar at the start of the trial.: Adequately addressed

1.6 The only difference between groups is the treatment under investigation .: Well covered

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Adequately addressed

1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was

completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Adequately addressed

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable

2.1 How well was the study done to minimise bias?: +

# Study ID

PATTERSON2006				
Funding source: Non-industry support				
Published or unpublished data?: Published				
Type of study: Individual randomised trial				
<b>Type of analysis:</b> Completer subset of the ITT group who, in addition to completing both a baseline and follow-up assessment, attended at least 25% of all group sessions.				
<b>Type of analysis:</b> ITT - consisted of participants who attended at least one session of their assigned intervention and completed both a baseline and follow-up assessment.				
Blindness: Only raters blind				
Duration: No. weeks of treatment - 24 weeks				
Duration: Length of follow-up - 6 months				
Raters: Independent of treatment				
Design: Multi-centre - 25 Board and Care facilities in San Diego County, US				
<b>Number of people screened, excluded &amp; reasons:</b> 465 patients were screened and 219 excluded. Reasons for exclusion include: failure to meet inclusion criteria (n=144), refused to participate (n=67), other (n=8)				
<b>Notes about study methods:</b> Once at least five consent forms had been received from a particular B&C centre, all participating patients from that B&C were randomised.				
Diagnosis: Schizophrenia [% of sample] 80.5%				
Diagnosis: Other schizophrenia related [%] schizoaffective disorder - 19.5%				
Diagnostic tool: DSM-IV				
Inclusion criteria: - aged >40 years - patients with longstanding psychotic disorders - patients with a DSM-IV chart diagnosis of schizophrenia or schizoaffective disorder				

# **Exclusion criteria:** - DSM-IV diagnosis of dementia - serious suicide risk - could not complete the assessment battery - participating in any other psychosocial intervention or drug research at the time of study intake Total sample size: No. randomised - 240 Total sample size: ITT population - 195 Gender: % female 35% **Age:** Mean - 51 Ethnicity: Caucasian - 53% Hispanic - 25% African-American - 13.5% Asian-American - 4% Native American - 3% Other - 1.5% Setting: Other - Board and Care facilities History: [FAST intervention / AC] Duration of illness, years: 11.6(2.8) / 11.7(2.6) **Baseline stats:** [FAST intervention / AC] UPSA total: 60.3(2.4) / 64.9(2.5) SSPA: 24.9(0.9) / 27.9(0.9) MMAA: 14.9(1.1) / 14.8(1.2) PANSS total: 59.9(2.5) / 62.8(2.7) HAM-D: 9.9(0.9) / 9.8(0.9) QWB: 53.9(1.5) / 56.3(1.5) Notes about participants: [FAST intervention / AC] Daily neuroleptic dose, mg: 476.5(635.4) / 438.7(472.1) Interventions Intervention - group 1.: FAST intervention, 24 weekly sessions of 120 minutes; N=124 Intervention - group 2.: Attention Control (AC), 24 weekly 120 minute sessions; n=116 Notes about the interventions:

Functional Adaptation Skills Training (FAST)

Based on Liberman et al's Social and Independent Living Programme, a manualised social-cognitive theory-based behavioural intervention was created. The intervention focused on improving six areas of everyday functioning: medication management, social skills, communication skills, organisation and planning, transportation, and financial management. FAST consisted of 24 weekly, 120-minute group sessions.

Attention Control (AC)

Individuals received their medication as usual and participated in 24 weekly, 120-minute group sessions that provided a supportive environment for addressing personal problems.

**Outcomes** Leaving the study early: Leaving due to any reason (non-adherence to study protocol)

Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - PANSS; HAM-D

General and psychosocial functioning (e.g. SFS): Average score/change in general functioning - UPSA; SSPA

Quality of Life: Average score/change in quality of life - QWB

Other: MMAA

Quality 1.1 The study addresses an appropriate and clearly focused question.: Well covered

1.2 The assignment of subjects to treatment groups is randomised.: Not reported adequately

1.3 An adequate concealment method is used.: Not reported adequately

1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed

1.5 The treatment and control groups are similar at the start of the trial.: Poorly addressed

**1.6 The only difference between groups is the treatment under investigation**.: Poorly addressed

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered

**1.8** What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was **completed?:** 20-50%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Well covered

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Adequately addressed

2.1 How well was the study done to minimise bias?: +

Study ID

PINTO1999

General info Funding source: Not mentioned

Published or unpublished data?: Published

Method	Type of study: Individual randomised trial				
	Type of analysis: Completer				
	Blindness: No mention				
	Duration: No. weeks of treatment - 36				
	Raters: Not stated to be independent of treatment				
	Design: Single-centre - Naples, Italy				
	Number of people screened, excluded & reasons: Not reported				
	Notes about study methods: Randomisation procedure not reported				
Participants	Diagnosis: Schizophrenia [% of sample] 100%				
-	Diagnostic tool: DSM-IV				
	Inclusion criteria: - DSM-IV diagnosis of schizophrenia - No evidence of current substance misuse or organic pathology - Treatment-refractory schizophrenia as documented by >=2 previous neuroleptic drug trials of at least 6 weeks at a dose of >600mg chlorpromazine equivalent Total sample size: No. randomised - 41 Total sample size: IIT population - 37 completers Gender: % female 31% Age: Mean - 34 Ethnicity: Not reported Setting: Outpatient Setting: Outpatient GENT+SST / Supportive therapy] Illness duration, years: 9.2(3.3) / 8.2(2.9) Hospital admissions: 11.6(7.9) / 11.7(6.6) Baseline stats: [CBT+SST / Supportive therapy] BRS: 83.1(21.7) / 81.7(20.6)				

# Notes about participants:

Study characteristics tables: Counselling and supportive therapy

All participants were on Clozapine [CBT+SST / supportive therapy] Clozapine dose, mg: 552.6(129.6) / 547.2(109.1)

Interventions Intervention - group 1.: CBT+SST, 6 months; N = 20

**Intervention - group 2.:** Supportive therapy, 6 months; N=21

# Notes about the interventions:

CBT+SST

The CBT intervention focused on improving clients' abilities to manage their current psychotic symptoms and was based on the manual by Fowler et al. Skills training methods were used to improve social behaviours including self-case, medication self-management, social conversation, interpersonal problem solving, self-directed recreation, family communication and management of personal resources. Both the CBT and SST components involved rehearsal, positive reinforcement, in vivo exercises and homework assignments.

## Supportive therapy

Individual supportive therapy sessions included basic psychoeducation about the nature and treatment of schizophrenia, active listening, empathy and reassurance, reinforcement of the clients; health-promoting initiatives, help in managing a crisis and advocacy of the clients' needs.

**Outcomes** Leaving the study early: Leaving due to any reason (non-adherence to study protocol)

Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - BPRS, SANS, SAPS

Quality 1.1 The study addresses an appropriate and clearly focused question.: Adequately addressed

**1.2 The assignment of subjects to treatment groups is randomised.:** Not reported adequately

- 1.3 An adequate concealment method is used.: Not addressed
- 1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Not addressed
- 1.5 The treatment and control groups are similar at the start of the trial.: Adequately addressed
- 1.6 The only difference between groups is the treatment under investigation.: Adequately addressed

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered

1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Poorly addressed

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable

2.1 How well was the study done to minimise bias?: +

Study ID	ROHRICHT2006				
General info	Funding source: Non-industry support				
	Funding source: Pharmaceutical industry				
	Published or unpublished data?: Published				
	Type of study: Individual randomised trial				
	<b>Type of analysis:</b> ITT - Participants were included in the analysis if they provided a post-therapy assessment regardless of their participation in the interventions.				
	<b>Blindness:</b> Only raters blind - All screening, baseline and outcomes assessments were made by an experienced psychiatrist blind to treatment allocation. Patients were requested not to reveal any details of the treatment during post-therapy and follow-up assessments in an attempt to maintain rater blinding.				
	Duration: Length of follow-up - 4 months				
	Duration: No. weeks of treatment - 10				
	Raters: Independent of treatment				
	Design: Single-centre - East London, UK				
	<b>Number of people screened, excluded &amp; reasons:</b> 67 participants were referred for possible inclusion, 22 were excluded due to: not meeting the inclusion criteria (n=22) and withdrawal from the assessment (10). In total 45 were randomised				
	<b>Notes about study methods:</b> Eligible patients were randomly allocated to one of the treatment conditions following the opening of a sealed envelope by the project co-ordinator, who had no involvement in data collection or assessments.				
-	Diagnosis: Schizophrenia [% of sample] 100%				
	Diagnostic tool: DSM-IV				
	<ul> <li>Inclusion criteria:</li> <li>age 20-55 years</li> <li>an established diagnosis of schizophrenia according to DSM-IV, with &gt;=2 acute psychotic symptoms;</li> <li>currently an outpatient with time since last inpatient treatment &gt;than 1 month;</li> <li>suffering from persistent symptoms of schizophrenia for &gt;=6 months with a high degree of negative symptoms at baseline, i.e. PANSS negative score &gt;=20 and/or one of the Anergia items ('emotional withdrawal', 'motor retardation' or 'blunted affect') &gt;=6</li> <li>stable medication prior to entering the study.</li> </ul>				
	Exclusion criteria: - evidence of organic brain disease - severe or chronic physical illness - substance misuse as primary diagnosis.				

Study characteristics tables: Counselling and supportive therapy

**Total sample size:** ITT population - 42

Total sample size: No. randomised - 45

Gender: % female 50%

Age: Mean 38

Ethnicity: Not reported

Setting: Outpatient

History:

[Body-orientated psychological therapy / Supportive counselling] Duration of illness, year: 12.1(10.5) / 10.8(7.3) No. of previous hospitalisations: 3.7(2.8) / 4.4(3.8)

**Baseline stats:** 

[BPT / SC] PANSS total: 79.0(13.9) / 76.3(21.1)

# Notes about participants:

[BPT / SC] Chlorpromazine equivalent: 497.9(289.1) / 440.5(324.8)

Interventions Intervention - group 1.: BPT, 20 sessions of 60-90 minutes over 120 weeks; n=24

Intervention - group 2.: SC, 20 sessions of 60-90 minutes over 120 weeks; n=21

Notes about the interventions:

TAU

Both BPT and SC were in additional to TAU provided by community psychiatrists. Treatment plans were not substantially altered during the trial period. In both treatment conditions, group size was limited to a maximum of 8.

# BPT

The treatment manual used in the intervention was defined by the first author and aimed to integrate different techniques into a clinically focused and syndrome specific method. The protocol manual was designed to achieve the following aims

1) overcome communication barriers through introduction of non-verbal techniques

2) refocus cognitive and emotional awareness towards the body

3) stimulate activity and emotional responsiveness

4) promote exploration of self-potentials focusing on body strength and capability, experiencing the body as a source of creativity, reliability, pleasure and self-expression

5) modify dysfunctional self-perceptions

6) to address common psychopathological features.

# SC

The therapist focused on individual differences and corresponding problem-solving strategies regarding the core negative symptoms.

Training

A part-time dance movement therapist conducted BPT. Two nurse therapists, also with previous training and experience in providing psychological therapies for schizophrenia patients, delivered SC. All therapists had many years' experience of working with patients with schizophrenia and attended specific training sessions before the trial. Each received three supervision sessions to ensure adherence to the given treatment manual (on the basis of written records of each session).

Outcomes Leaving the study early: Leaving due to any reason (non-adherence to study protocol)

Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state PANSS - primary outcome focused on the negative PANSS subscale

Mental state (e.g. BPRS, PANSS, BDI): Clinically significant response in mental state no. with symptom reduction >=20%

Adverse events: Average score/change in specific adverse effects SAS

Satisfaction with treatment: Service user satisfaction Client's Assessment of Treatment Scale; Helping Alliance Scale

Quality of Life: Average score/change in quality of life - Manchester Short Assessment of Quality of Life (MANSA)

Other: Medication change, number of treatment sessions attended

Quality 1.1 The study addresses an appropriate and clearly focused question.: Well covered

**1.2 The assignment of subjects to treatment groups is randomised.:** Adequately addressed

**1.3 An adequate concealment method is used.:** Well covered

**1.4 Subjects and investigators are kept 'blind' about treatment allocation.:** Adequately addressed - special attention was paid to ensuring the blindness of the rater.

1.5 The treatment and control groups are similar at the start of the trial.: Well covered

1.6 The only difference between groups is the treatment under investigation.: Adequately addressed

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered

**1.8** What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Adequately addressed

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable

2.1 How well was the study done to minimise bias?: +

Study ID	SHIN2002
General info	Funding source: Not mentioned
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	Type of analysis: Completer
	Blindness: Only raters blind
	<b>Duration:</b> No. weeks of treatment - 10
	Raters: Independent of treatment
	Design: Single-centre - US
	<b>Number of people screened, excluded &amp; reasons:</b> participants were recruited from a pool of 110 Korean patients with chronic mental illness. -65 patients met diagnostic criteria for study entry. -48 consented to participate.
	Notes about study methods: Randomisation procedure not reported
Participants	Diagnosis: Schizophrenia [% of sample] not reported
	Diagnosis: Other schizophrenia related [%] % with schizoaffective disorder and schizophreniform disorder not reported
	Diagnostic tool: DSM-IV
	Inclusion criteria:
	- Any patient with a diagnosis of schizophrenia, schizoaffective disorder, or schizophreniform disorder
	Total sample size: No. randomised - 48
	Gender: % female 58%
	<b>Age:</b> Mean - 37
	<b>Age:</b> Range - 22-53
	Ethnicity: all participants were Korean-American
	Setting: Outpatient
	History: [Experimental group / control group] Number of hospitalisations: 2.71(1.76) / 1.21(1.18)
	Time since last hospitalisation, months: 7.17(6.43) / 12.67(19.30)

Study characteristics tables: Counselling and supportive therapy

# Baseline stats: [Experimental / Control] BPRS total: 91.88(9.76) / 91.83(6.70) Stigma-Devaluation Scale: 18.54(2.40) / 20.21(2.43) Family Crisis Oriented Personal Evaluation Scale total: 80.92(8.22) / 81.02(6.88)

#### Notes about participants:

[Experimental / Control] Years in US: 14.25(3.00) / 15.08(4.38) Living arrangement, n(%): Living away from family: 7(29.2) / 4(16.7) Living with family: 17(70.8) / 20(83.3)

#### Interventions Intervention - group 1.: Experimental group - psychoeducational group; n=24

Intervention - group 2.: Control; n=24

#### Notes about the interventions:

TAU - Control

The control group received 10 Individual supportive therapy sessions, each 45 minutes in duration. All of the sessions were conducted in Korean

# Psychoeducation

In addition to TAU at the clinic (individual supportive therapy), treatment included 10 weekly psychoeducational group sessions each 90 minutes long. Each session included a variety of educational techniques designed to enhance the participants' learning and to maintain their attention. The curriculum included modules on definitions of illness, medications and side effects, relapse prevention, crisis and illness management, stigma, communication and stress management skills, self-help, and community resources. In addition traditional disease concepts were integrated.

To reinforce the interventions, parallel sessions, also conducted in Korean, were offered to family members of all participants.

Outcomes Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - BPRS

Other: Stigma-Devaluation Scale; Family Crisis Oriented Personal Evaluation Scale.

Quality 1.1 The study addresses an appropriate and clearly focused question.: Adequately addressed

**1.2 The assignment of subjects to treatment groups is randomised.:** Not reported adequately

1.3 An adequate concealment method is used.: Not addressed

1.4 Subjects and investigators are kept 'blind' about treatment allocation .: Poorly addressed

1.5 The treatment and control groups are similar at the start of the trial.: Poorly addressed

**1.6 The only difference between groups is the treatment under investigation.**: Adequately addressed

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Adequately addressed

1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Not reported adequately

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable

2.1 How well was the study done to minimise bias?: +

#### Study ID

VALMAGGIA2005

General info Funding source: Non-industry support

Published or unpublished data?: Published

Method Type of study: Individual randomised trial

Type of analysis: ITT - All randomised participants, excluding 4 patients whose data were lost by assessor

Blindness: Only raters blind

Duration: Length of follow-up - 6 months

Duration: No. weeks of treatment - 22 weeks

Raters: Independent of treatment

Design: Multi-centre - Various mental health hospitals across the Netherlands and one in Belgium

Number of people screened, excluded & reasons: 66 assessed for eligibility: 2 did not meet inclusion criteria, 2 refused consent; 62 randomised

**Notes about study methods:** For the randomisation procedure, the project coordinator had two baskets: a 'treatment' basket which contained sealed envelopes with lots for each of the two treatment conditions and a 'used' basket where the drawn lots could be placed. To ensure the anonymity of the participants, each individual was given a code, and the coordinator used a form to communicate the results of the random assignment to the local therapist.

# Participants Diagnosis: Schizophrenia [% of sample] 100%

Diagnostic tool: DSM-IV

#### Inclusion criteria:

- Age 18-70 years;

- DSM-IV diagnosis of schizophrenia

- Residual delusions or auditory hallucinations experienced for at least 3 months

- A stable medication regimen (last medication change more than 6 weeks prior to recruitment).

- A confirmed resistance to psychopharmacological treatment was established according to the following conventional criteria: symptoms unresponsive to at least two different antipsychotic compounds including an atypical antipsychotic, taken for enough time and in an acceptable dosage, as advised in the prescription guidelines (Kane et al, 1988).

**Exclusion criteria:** To exclude patients experiencing predominantly symptoms from the disorganisation dimension, the following exclusion criteria were also applied:

- Conceptual disorganisation;

- Stereotypic thinking;

- Disorientation, measured by the Positive and Negative Syndrome Scale (PANSS; Kay et al, 1987), items P254, N753 and G1052;

- Drug or alcohol addiction as a primary diagnosis (patients using drugs or alcohol below the level of this criterion were included);

- Mental retardation (premorbid IQ580);

- Organic conditions;

- CBT given for persistent psychotic symptoms in the past.

Total sample size: No. randomised 62

Total sample size: ITT population 58; 4 of 62 had data lost by assessor

Gender: % female 29%

Age: Range - 18-70

**Age:** Mean - 35.5 (10.8)

Ethnicity: Not reported

Setting: Inpatient

**History:** Years of positive symptoms: 10.7 (7.5) Years since diagnosis: 9 (7)

# **Baseline stats:**

[CBT / Supportive counselling] PANSS General: 33.81 (9.73) / 33.47 (7.03) PSYRATS Auditory Hallucination (cognitive): 5.63 (5.34) / 7.83 (4.86) PSYRATS Delusion (cognitive): 9.14 (4.64) / 7.09 (5.47)

**Notes about participants:** Participants had tried five different antipsychotics on average (if the same medication was taken twice, it was counted as one). All patients had taken at least one atypical antipsychotic and more than 2/3 had taken clozapine (Table 1). All patients were

taking antipsychotic medication during the trial, and the majority were on atypical antipsychotic regimens. Nine patients were using a typical compound during the trial because they had been given depot medication. The medication regimens were kept stable during the study. Three patients experienced a relapse and their medication had to be changed; these patients were considered to have withdrawn from the study.

#### Interventions Intervention - group 1.: CBT: 16 sessions in 22 weeks; n=36

Intervention - group 2.: Supportive counselling: 16 sessions in 22 weeks; n=26

#### Notes about the interventions:

CBT

A comprehensive treatment manual was written (by the first three authors) and the participating therapists were trained in using this protocol. CBT consisted of four phases: engagement, establishing links between thoughts, emotions and behaviour, reducing symptoms and associated distress, and relapse prevention.

#### Supportive counselling

The supportive counselling protocol was a conventional method previously used. The therapist shows non-critical acceptance, warmth, genuineness and empathy.

#### Training

A comprehensive treatment manual was written (by the first three authors) and the participating therapists were trained in using this protocol.

**Outcomes** Leaving the study early: Leaving due to any reason (non-adherence to study protocol)

#### Global state & service outcomes (e.g. CGI): Relapse

Mental state (e.g. BPRS, PANSS, BDI): Clinically significant response in mental state Relapse defined as >10 increase on PANSS positive symptom subscale with the deterioration in symptoms lasting >3 days

Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state PANSS, PSYRATS

Other: Included number needed to treat

Quality 1.1 The study addresses an appropriate and clearly focused question.: Well covered

**1.2 The assignment of subjects to treatment groups is randomised.:** Adequately addressed

1.3 An adequate concealment method is used.: Adequately addressed

1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed

1.5 The treatment and control groups are similar at the start of the trial.: Well covered

**1.6 The only difference between groups is the treatment under investigation.:** Well covered

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered

**1.8** What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

Appendix 22c

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Adequately addressed

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not addressed

2.1 How well was the study done to minimise bias?: +

# References of included studies (update)

Bateman,K.; Hansen,L.; Turkington,D.; Kingdon,D. (2007) Cognitive behavioral therapy reduces suicidal ideation in schizophrenia: results from a randomized controlled trial. *Suicide & Life-Threatening Behavior*.37(3): 284 - 290.

Jackson, H.J., McGorry, P.D., Killackey, E., Bendall, S., Allott, K., Dudgeon, P., Gleeson, J., Johnson, T., Harrigan, S. (2007) Acute-phase and 1-year follow-up results of a randomised controlled trial of CBT versus Befriending for first-episode psychosis: the ACE project. *Psychological Medicine*; 38(5):725-35.

Patterson, T.L.; Mausbach, B.T.; McKibbin, C.; Goldman, S.; Bucardo, J.; Jeste, D.V. (2006) Functional adaptation skills training (FAST): a randomized trial of a psychosocial intervention for middle-aged and older patients with chronic psychotic disorders. *Schizophrenia Research* 86(1-3): 291 - 299.

Pinto, A., La Pia, S., Mennella, R., Giorgio, D. & DeSimone, L. (1999) Cognitive-behavioural therapy and clozapine for clients with treatment-refractory schizophrenia. *Psychiatric Services* 50(7): 901-904.

Rohricht, F., Priebe, S. (2006) Effect of body-oriented psychological therapy on negative symptoms in schizophrenia: a randomised controlled trial. *Psychological Medicine* 36: 669-678.

Shin, S.K.; Lukens, E.P. (2002) Effects of psychoeducation for Korean Americans with chronic mental illness. *Psychiatric Services*; 53(9): 1125 - 1131.

Tarrier, N.; Haddock, G.; Lewis, S.; Drake, R.; Gregg, L.; SoCRATES, TrialGroup (2006) Suicide behaviour over 18 months in recent onset schizophrenic patients: the effects of CBT. *Schizophrenia Research* 83(1): 15 - 27.

Tarrier, N.; Lewis, S.; Haddock, G.; Bentall, R.; Drake, R.; Kinderman, P.; Kingdon, D.; Siddle, R.; Everitt, J.; Leadley, K.; Benn, A.; Grazebrook, K.; Haley, C.; Akhtar, S.; Davies, L.; Palmer, S.; Dunn, G. (2004) Cognitive-behavioural therapy in first-episode and early schizophrenia. 18-month follow-up of a randomised controlled trial. *British Journal of Psychiatry* 184: 231 - 239.

Turkington, D., Sensky, T., Scott, J., Barnes, T.R.E., Nur, U., Siddle, R., Hammond, K., Samarasekara, N., Kingdon, D. (2007) A randomised controlled trial of cognitive-behaviour therapy for persistent symptoms in schizophrenia: A five-year follow-up. *Schizophrenia Research* 98: 1-7.

Valmaggia, L.R.; van-der Gaag M.; Tarrier, N.; Pijnenborg, M.; Slooff, C.J. (2005) Cognitive-behavioural therapy for refractory psychotic symptoms of schizophrenia resistant to atypical antipsychotic medication. Randomised controlled trial. *British Journal of Psychiatry* 186: 324 - 330.

#### Characteristics of excluded studies (update)

#### DURHAM2003

Reason for exclusion: Does not meet definition for counselling and supportive therapy

#### GIGANTESCO2006

Reason for exclusion: - Outside scope: rehabilitation service, does meet criteria for any other psychological intervention

#### **MAK2007**

Reason for exclusion: Does not meet definition for counselling & supportive therapy

MCCAY2006

Reason for exclusion: Non RCT

#### SCHMID2007

Reason for exclusion: Non-RCT

#### References of excluded studies (update)

Durham, R.C.; Guthrie, M.; Morton, R.V.; Reid, D.A.; Treliving, L.R.; Fowler, D.; Macdonald, R.R. (2003) Tayside-Fife clinical trial of cognitivebehavioural therapy for medication-resistant psychotic symptoms. Results to 3-month follow-up. *British Journal of Psychiatry* 182: 303 - 311.

Gigantesco, A.; Vittorielli, M.; Pioli, R.; Falloon, I.R.; Rossi, G.; Morosini, P. (2006) The VADO approach in psychiatric rehabilitation: A randomized controlled trial. *Psychiatric Services* 57(12): 1778 - 1783.

Mak,G.K.L.; Li,F.W.S.; Lee,P.W.H. (2007) A pilot study on psychological interventions with Chinese young adults with schizophrenia. *Hong Kong Journal of Psychiatry*. 17(1): 17-23.

McCay, E.; Beanlands, H.; Leszcz, M.; Goering, P.; Seeman, M.V.; Ryan, K.; Johnston, N.; Vishnevsky, T. (2006) A group intervention to promote healthy self-concepts and guide recovery in first episode schizophrenia: a pilot study. *Psychiatric Rehabilitation Journal*. 30(2): 105 - 111.

Schmid,G.B.; Wanderer,S. (2007) Phantasy therapy: Statistical evaluation of a new approach to group psychotherapy for stationary and ambulatory psychotic patients. *Forschende Komplementarmedizin*.14(4): 216-223.

# Family intervention

Previous guideline review	<ol> <li>Review type</li> <li>Funding</li> <li>Period covered</li> <li>Data analysis</li> <li>No. of studies</li> <li>No. participants randomised</li> </ol>	Interventions	Reported Outcomes
<ul> <li>Pilling S, Bebbington P, Kuipers E, Garety P, Geddes J, Orbach G, and Morgan C.</li> <li>Psychological treatments in schizophrenia: I. Meta- analysis of family intervention and cognitive behaviour therapy.</li> <li><i>Psychological Medicine</i>, 2002, 32, 763-782.</li> </ul>	<ol> <li>Systematic review of RCTs.</li> <li>Intramural sources of support to the review: University College London. Extramural sources of support to the review: Department of Health, UK.</li> <li>Database origin to 1999.</li> <li>Meta-analysis of Odds Ratio and standardised mean difference.</li> <li>16 (18 including 2 new trials).</li> <li>1316 (1458 including new trials).</li> </ol>	<ol> <li>To be classed as Family Intervention, an intervention had to include family sessions with a specific supportive and treatment function, and a minimum of one of the following treatment components: psycho- educational intervention; problem solving/crisis management work; intervention with the identified patient. In addition, interventions were required to be at least 6 weeks long.</li> <li>Standard care.</li> <li>Other active treatments.</li> </ol>	<ol> <li>Death by suicide.</li> <li>Mental State I: Relapse.</li> <li>Mental State II: Readmission.</li> <li>Compliance I: With treatment.</li> <li>Compliance II: With medication.</li> <li>Family outcomes.</li> </ol>
Update	<ul> <li>Existing studies reclassified: 1 RCT (Posner1992) w previous RCTs were classified as having family inte (Herz2000 and Lukoff1986).</li> <li>Follow up to existing studies: 5 papers provided for papers); Barrowclough 1999 (1 paper).</li> <li>New studies: 19 RCTs.</li> </ul>	ervention as part of a multimodal treatment	<b>Notes:</b> Definition updated

# Characteristics of included studies (previous guideline)

Study	N	Intervention	Patient participa- tion	Duration and frequency	Comparison groups	Measures analysed in this report
Barrowclough 1999	79	Needs-based cognitive- behavioural family intervention combined with general family support.	Included.	10-20 sessions.	General family support and standard care.	Relapse, hospital admission, social functioning (Social Functioning Scale - SFS), global adjustment (Global Assessment Scale - GAS).
Bloch1995	63	Family counselling education, coping training.	Excluded.	6 weekly sessions.	Single session discussion and educational audiotape and booklet.	Hospital admission, dropout.
Dyck2000	63	Multiple-family group intervention (superimposed on standard care): coping and illness management skills were developed through an educational videotape, lectures, and written guidelines. Ongoing support and formal clinical problem solving was provided in biweekly multiple-family groups.	Excluded for some sessions.	3 weekly single family sessions (excluding participants), followed by multi- family educational workshop (excluding participants). Subsequently, multiple-family group (including participants) met biweekly for the next 11 months.	Standard care: medication management and case management. Some participants also received rehabilitative services, including a work-ordered day programme, a social programme on evenings and weekends, and a supported employment programme.	Symptom severity (Modified Scale for the Assessment of Negative Symptoms - MSANS)

Buchkremer1995	99	Therapeutic relative groups: psychoeducational training, problem solving and relatives self-help groups.	Excluded.	1 hour per fortnight/2 years.	Standard care.	Death, relapse, hospital admission, unemployment.
Falloon1981	39	Home family therapy, 24- hour support, clinic-based crisis intervention and home visits.	Included.	1 hour per week/3 months, 1 hour per 2 weeks/6 months, 1 hour per month/15 months.	Supportive management: out- patient clinic-based individual supportive psychotherapy.	Relapse, hospital admission, dropout, drug compliance, unemployment, social impairment.
Glynn1992	41	Behavioural family therapy.	Included.	Mean 21 per sessions per year/1 year.	Customary care.	Relapse, hospital admission, unemployment, dropout.
Goldstein1978	104	Crisis-oriented family therapy.	Included.	1 session per week/6 weeks 6 month follow-up.	Standard care.	Relapse, dropout.
Hogarty1997	77	Survival skills training and reintegration within the home and community.	Excluded for some sessions.	<sup>1</sup> / <sub>2</sub> hour fortnightly in year 1. 1 per 2-4 weeks for next 2 year	Supportive therapy: active listening, correct empathy, appropriate reassurance.	Relapse, dropout.
Leff1982	24	Educational sessions, relatives' group, home- based family sessions.	Included.	Mean 5.6 hours over 9 months, 15 month follow-up.	Standard care (neuroleptic drugs).	Death, relapse, medication compliance.
Leff1989	23	Family therapy in the home with the participant and two psychoeducation lectures.	Included.	1 hour per 2 weeks/9 months, and then 1 per month for 15 months.	Relatives' group and two psychoeducation lectures.	Relapse, dropout, EE, social and occupational activities.
McFarlane1995a	172	Multiple (six) family groups.	Excluded for some sessions.	Fortnightly for 2 years.	Single family treatment.	Relapse, hospital admission, dropout, unemployment.

McFarlane1995b	46	Multiple family treatment.	Excluded for some sessions.	1 every 2 weeks (1st 2 years), 1 every month (next 2 years).	Single family treatment.	Relapse, dropout.
Posner1992	55	Psychoeducational support group program.	Excluded.	1½ hours per week for 8 weeks, follow- up 10 months.	Standard care.	Death, hospital admission, dropout.
Schooler 1997	313	Applied family management and monthly family group.	Included.	1 <sup>1</sup> / <sub>2</sub> hours per week for 13 weeks, per fortnight for 13 weeks, monthly thereafter.	Supportive family management: monthly multi- family group meetings.	Hospital admission, medication compliance, dropout.
Tarrier1988	83	Enactive programme: active participation of families in psychoeducation and stress management programme.	Included.	13 sessions over 9 months, 7 years follow-up.	Standard care.	Death, relapse, dropout, EE.
Vaughan1992	36	Counselling sessions for family and home exercises.	Excluded.	1 hour per week for 10 weeks.	Standard care.	Death, relapse, hospital admission, medication compliance, dropout.
Xiong1994	63	Family educational supportive sessions and monthly family group meetings.	Included.	45 minutes per 2-3 weeks/9 months, 1 per 4 weeks/15 months + 90 minute monthly group.	Standard care.	Death, relapse, hospital admission, family burden.
Zhang1994	78	Educative and family group sessions, additional follow-up as needed.	Included.	1 session every 3 months for 18 months	Outpatient department follow- up and medication.	Relapse, hospital admission, medication compliance.

# References of included studies (previous guideline)

# Barrowclough 1999 {published data only}

Barrowclough C, Tarrier N, Lewis S et al. (1999) Randomised controlled effectiveness trial of a needs-based psychosocial intervention service for carers of people with schizophrenia. *British Journal of Psychiatry*; 174:505-511.

Sellwood W, Barrowclough C, Tarrier N, Quinn J, Mainwaring J, Lewis S. (2001) Needs-based cognitive-behavioural family intervention for carers of patients suffering from schizophrenia: 12 month follow-up. *Acta Psychiatrica Scandinavica*; 104:346-355.

Sellwood, W., Wittkowski, A., Tarrier, N., Barrowclough, C. (2007) Needs-based cognitive behavioural family intervention for patients suffering from schizophrenia: 5-year follow-up of a randomised controlled effectiveness trial. *Acta Psychiatrica Scandinavica* 116: 447-452.

# Bloch 1995 {published data only}

Bloch S, Szmukler GI, Herrman H, Benson A, Colussa S. (1995) Counseling caregivers of relatives with schizophrenia: themes, interventions, and caveats. *Family Process*; 34:413-25.

Szmukler GI, Herrman H, Colusa S, Benson A, Bloch S. (1996) A controlled trial of a counselling intervention for caregivers of relatives with schizophrenia. *Social Psychiatry and Psychiatric Epidemiology*; 31:149-55.

# Buchkremer 1995 {published data only}

Buchkremer G, Schulze Monking H, Holle R, Hornung WP. (1995) The impact of therapeutic relatives' groups on the course of illness of schizophrenic patients. *European Psychiatry*; 10:17-27.

Buchkremer G, Stricker K, Holle R, Kuhs H. (1991) The predictability of relapses in schizophrenic patients. *European Archives of Psychiatry and Clinical Neuroscience*; 240:292-300.

Schulze Monking H. (1994) Self-help groups for families of schizophrenic patients: formation, development and therapeutic impact. *Social Psychiatry and Psychiatric Epidemiology*; 29:149-54.

### Dyck 2000 {published data only}

\*Dyck DG, Short RA, Hendryx MS, Norell D, Myers M, Patterson T, McDonell MG, Voss WD, McFarlane WR. (2000) Management of negative symptoms among patients with schizophrenia attending multiple-family groups. *Psychiatric Services*; 51(4):513-9.

Dyck,D.G.; Hendryx,M.S.; Short,R.A.; Voss,W.D.; McFarlane,W.R. (2002) Service use among patients with schizophrenia in psychoeducational multiple-family group treatment. *Psychiatric Services* 53(6): 749 - 754.

Hazel,N.A.; McDonell,M.G.; Short,R.A.; Berry,C.M.; Voss,W.D.; Rodgers,M.L.; Dyck,D.G. (2004) Impact of multiple-family groups for outpatients with schizophrenia on caregivers' distress and resources. *Psychiatric Services* 55(1): 35 - 41.

McDonell MG, Short RA, Berry CM, Dyck DG. (2003) Burden in schizophrenia caregivers: impact of family psychoeducation and awareness of patient suicidality. *Family Process*. 42(1): 91-103.

McDonell,M.G.; Short,R.A.; Hazel,N.A.; Berry,C.M.; Dyck,D.G. (2006) Multiple-family group treatment of outpatients with schizophrenia: impact on service utilization. *Family Process*; 45(3): 359 - 373.

#### Falloon 1981 {published data only}

Falloon IRH, Boyd JL, McGill CW, Razani J, Moss HB, Gilderman AM. (1982) Family management in the prevention of exacerbations of schizophrenia: a controlled study. *New England Journal of Medicine*; 306:1437-40.

Falloon IRH, Jeffery LB, McGill CW, Williamson M, Razani J, Moss HB, Gilderman AM, Simpson GM. (1985) Family management in the prevention of morbidity of schizophrenia: clinical outcome of a two-year longitudinal study. *Archives of General Psychiatry*; 42:887-96.

Falloon IRH, Pederson J. (1985) Family management in the prevention of schizophrenia: the adjustment of the family unit. *British Journal of Psychiatry*; 147:156-63.

Strang JS, Falloon IRH, Moss HB, Razini J, Boyd JL. (1981) Drug treatment and family intervention during the aftercare treatment of schizophrenics. *Psychopharmacology Bulletin*; 17:87-8.

Doane JA, Falloon IR, Goldstein MJ, Mintz J. (1985) Parental affective style and the treatment of schizophrenia. Predicting course of illness and social functioning. *Archives of General Psychiatry*; 42:34-42.

Falloon IRH, Razani J, Moss HB, Boyd JL, McGill CW, Pederson J. (1983) Gemeindenahe Versorgung von Schizophrenen Eine einjaehrige Kontrolluntersuchung bei Familien- und Einzeltherapie. *Partnerberatung*; 20:73-9.

Falloon IR, McGill CW, Boyd JL, Pederson J. (1987) Family management in the prevention of morbidity of schizophrenia: social outcome of a twoyear longitudinal study. *Psychological Medicine*; 17:59-66.

Liberman RP, Cardin V, McGill CW, Falloon IR, et al. (1987) Behavioral family management of schizophrenia: Clinical outcome and costs. University of Maryland School of Medicine Symposium: Economic issues in schizophrenia (1986, San Diego, California). *Psychiatric Annals*; 17:610-19.

McGill CW, Falloon IR, Boyd JL, Wood SC. (1983) Family educational intervention in the treatment of schizophrenia. *Hospital and Community Psychiatry*; 34:934-8.

Rea M, Strachan A, Goldstein M, Falloon I, Hwang S. (1991) Changes in patient coping style following individual and family treatment for schizophrenia. *British Journal of Psychiatry*; 158:642-7.

#### Glynn 1992 {published data only}

Glynn SM, Randolph ET, Eth S, Paz GG, Leong GB, Shaner AL, Van Vort W. (1992) Schizophrenic symptoms, work adjustment, and behavioral family therapy. *Rehabilitation Psychology*; 37:323-38.

Randolph ET, Eth S, Glynn SM, Paz GG, Leong GB, Shaner L, Strachan A, Van-Vort W, Escobar JI, Liberman RP. (1994) Behavioural family management in schizophrenia. Outcome of a clinic-based intervention. *British Journal of Psychiatry*; 164:501-6.

### Goldstein 1978 {published data only}

Goldstein MJ, Rodnick EH, Evans JR, May PRA, Steinberg MR. (1978) Drug and family therapy in the aftercare of acute schizophrenics. *Archives of General Psychiatry*; 35:1169-77.

Goldstein MJ, Kopeiken HS. (1981) Short and long-term effects of combining drug and family therapy. In: Goldstein MJ, ed. *New Developments in Interventions with Families of Schizophrenics*, pp. 5-26. San Francisco, CA: Jossey-Bass.

# Hogarty 1997 {published data only}

Hogarty G, Kornblith S, Greenwald D, DiBarry A, Cooley S, Ulrich R, Carter M & Flesher S. (1997) Three year trials of personal therapy among schizophrenic patients living with or independent of family, I: Description of study and effects on relapse rates. *American Journal of Psychiatry*: 11, 1504-1515.

Hogarty G, Greenwald D, Ulrich R, Kornblith S, DiBarry A, Cooley S, Carter M & Flesher S. (1997) Three year trials of personal therapy among schizophrenic patients living with or independent of family, II: Effects on adjustment of patients. *American Journal of Psychiatry*:11, 1514-1524.

# Leff 1982 {published data only}

Leff J, Kuipers L, Berkowitz R, Eberlein-Fries R, Sturgeon D. (1982) A controlled trial of social interventions in the families of schizophrenic patients. *British Journal of Psychiatry*; 141:121-34.

Leff J, Kuipers L, Berkowitz R, Sturgeon D. (1985) A controlled trial of social intervention in the families of schizophrenic patients: two-year followup. *British Journal of Psychiatry*; 146:594-600.

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Berkowitz R, Eberlein-Fries R, Kuipers L, Leff J. (1984) Educating relatives about schizophrenia. Schizophrenia Bulletin; 10:418-29.

Leff J, Kuipers L, Berkowitz R, Eberlein-Fries R, Sturgeon D. (1984) Psychosocial relevance and benefit of neuroleptic maintenance: experience in the United Kingdom. *Journal of Clinical Psychiatry*; 45:43-9.

#### Leff 1989 {published data only}

Leff J, Berkowitz R, Shavit N, Strachan A, Glass I, Vaughn C. (1989) A trial of family therapy v. a relatives group for schizophrenia. *British Journal of Psychiatry*; 154:58-66.

Leff J, Berkowitz R, Shavit N, Strachan A, Glass I, Vaughn C. (1990) A trial of family therapy versus a relatives group for schizophrenia: two year follow-up. *British Journal of Psychiatry*; 157:571-7.

#### McFarlane 1995a {published data only}

McFarlane WR, Lukens E, Link B, Dushay R, Deakins S, Newmark M, Dunne E, Horen B, Toran J. (1995) Multiple-family groups and psychoeducation in the treatment of schizophrenia. *Archives of General Psychiatry*; 52(8):679-87.

#### McFarlane 1995b {published data only}

McFarlane WR, Link B, Dushay R, Marchal J, Crilly J. (1995) Psychoeducational multiple family groups: four-year relapse outcome in schizophrenia. *Family Process*; 34(2):127-44.

#### Posner 1992 {published data only}

Posner CM, Wilson KG, Kral MJ, Lander S, Mcllwraith RD. Family psychoeducational support groups in schizophrenia. American Journal Orthopsychiatry 1992;62(2):206-218.

#### Schooler 1997 {published data only}

Falloon IRH, McGill CW, Matthews SM, Keith SJ, Schooler NR. (1996) Family treatment for schizophrenia - The design and research application of therapist training models. *Journal of Psychotherapy Practice and Research*; 5:45-56.

Keith SJ, Bellack A, Frances A, Mance R, Matthews SM. (1989) The influence of diagnosis and family treatment on acute treatment response and short term outcome in schizophrenia. Psychopharmacology Bulletin; 25:336-9.

Schooler NJ, Keith SJ, Severe JB, Matthews SM, Bellack A, Glick ID, Hargreaves WA, Kane JM, Ninan PT, Frances A, Jacobs M, Lieberman JA, Mance R, Simpson GM, Woerner MG. (1997) Relapse and rehospitalisation during maintenance treatment of schizophrenia. The effects of dose reduction and family treatment. *Archives of General Psychiatry*; 54:453-63.

#### Tarrier 1988 {published data only}

Tarrier N, Barrowclough C, Vaughn C, Bamrah JS, Porceddu K, Watts S, Freeman H. (1988) The community management of schizophrenia: a controlled trial of a behavioural intervention with families to reduce relapse. *British Journal of Psychiatry*; 153:532-42.

Tarrier N, Barrowclough C, Vaughn C, Bamrah JS, Porceddu K, Watts, Freeman H. (1989) Community management of schizophrenia: a two-year follow up of a behavioural intervention with families. *British Journal of Psychiatry*; 154:625-8.

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Tarrier N, Barrowclough C, Porceddu K, Fitzpatrick E. (1994) The Salford family intervention project: Relapse rates of schizophrenia at five and eight years. *British Journal of Psychiatry*; 165:829-32.

#### Vaughan 1992 {published data only}

Vaughan K, Doyle M, McConaghy N, Blaszczynski A, Fox A, Tarrier N. (1992) The Sydney intervention trial: a controlled trial of relatives' counselling to reduce schizophrenic relapse. *Social Psychiatry and Psychiatric Epidemiology*; 27:16-21.

#### Xiong 1994 {published data only}

Xiong W, Phillips MR, Hu X, Wang R, Dai Q, Kleinman J, Kleinman A. (1994) Family-based intervention for schizophrenic patients in China: a randomised controlled trial. *British Journal of Psychiatry*; 165:239-47.

# Zhang 1994 {published data only}

Zhang M, Wang M, Li J, Phillips MR. (1994) Randomised-control trial of family intervention for 78 first-episode male schizophrenic patients: an 18month study in Suzhou, Jiangsu. *British Journal of Psychiatry*; (Suppl. 24):96-102.

# Characteristics of included studies (update)

# Study ID

ttings. Of the 73 who met in the study.
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7)
7)

Age: Mean - 34Ethnicity: 58% English speaking caregiver-consumer pairs42% Vietnamese caregiver-consumer pairsSetting: Outpatient

**History:** Had been hospitalised in past 24 months: 42% Mean hospitalisations in past 12 months: Multi-family groups 0.60 (1.5) / Control 0.29 (0.86)

#### **Baseline stats:**

[Multi-family groups / Control] BPRS total: 50.84(11.89) / 46.00(9.44)

# Notes about participants:

Medication (Multi-family groups / Control) SGAs: 68% / 88% FGAs only: 20% / 16%

48% of participants were Vietnamese speaking and used English interpreters

# Interventions Intervention - group 1.: Multi-family groups; n=25

**Intervention - group 2.:** Case management (control); n=25

# Notes about the interventions:

Case management (control)

Control condition consisted of regular appointments with a case manager and doctor to assess mental health and to provide medication and individual psychosocial rehabilitation on the basis of consumers' needs. Appointment frequency was every 2 to 3 weeks on average, and the sessions lasted from 30 minutes to 1 hour. Family contact was provided on an individual basis as required for all participants in the control and treatment groups. Family contact consisted of phone or direct contact and focused on providing psychoeducation, monitoring the consumer's mental state, and giving general support.

# Multi-family groups

In addition to case management, service users and carers were provided up to three single-family joining sessions and then invited to attend two half-day multiple-family psychoeducation sessions. The family psychoeducation sessions provided information about schizophrenia using a previously published approach. The sessions gave family members the opportunity for informal social networking. Topics included the nature of the illness, treatment approaches (medication and psychosocial), consumer and family needs, common family reactions to illness, common problems that servicer users and families face, and guidelines about what the family can do to help. Each group of six or seven service user-carer pairs was then invited to participate in a multi-family group with two trained group leaders; groups met every other week for 12 months.

#### Training

Staff training was initially provided by a 3-day national workshop conducted by William McFarlane that outlined the multiple-family group method. Each of the groups had two therapists - a primary therapist and a support cofacilitator. Group leaders used a standardised treatment manual which was the critical tool in directing the structure and content of the sessions to maintain consistency of the therapeutic approach. Regular supervision was provided to all group leaders by the lead primary therapist. She was a senior psychologist and family therapist who was highly familiar with the McFarlane model. Additional external consultation was provided by a therapist at a specialist family therapy service.

Family/carer involvement: Both person with schizophrenia and their family/carer

# **Outcomes Death:** Natural causes

Leaving the study early: Leaving due to any reason (non-adherence to study protocol)

**Global state & service outcomes (e.g. CGI):** defined as the symptoms after a period of remission of such symptoms, persisting continuously for a minimum of 7 days and requiring intensive community treatment or hospital admission: rate and number of episodes

Global state & service outcomes (e.g. CGI): Time to relapse, time to rehospitalisation

Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - BPRS, SANS

Satisfaction with treatment: Carer satisfaction- Family Burden Scale

**Quality of Life:** Average score/change in quality of life - QOL

**Other:** In employment for 12 months

Note more participants were employed in the control group (9) at entry compared with the treatment group (1)

Quality 1.1 The study addresses an appropriate and clearly focused question.: Well covered

- **1.2 The assignment of subjects to treatment groups is randomised.:** Adequately addressed
- 1.3 An adequate concealment method is used.: Poorly addressed
- 1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed
- 1.5 The treatment and control groups are similar at the start of the trial.: Poorly addressed
- **1.6 The only difference between groups is the treatment under investigation.:** Well covered
- 1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered

1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Poorly addressed

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable

# 2.1 How well was the study done to minimise bias?: +

Study ID	BRESSI2008
General info	Funding source: Not mentioned
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	Type of analysis: Completer - All participants completed the intervention
	Blindness: Single-blind
	Duration: Length of follow-up - 12 months
	Duration: No. weeks of treatment - 52
	Raters: Independent of treatment
	Design: Single-centre - Milan, Italy
	<b>Number of people screened, excluded &amp; reasons:</b> 124 participants were screened for inclusion with 54 meeting the inclusion criteria. 40 participants gave their informed consent to participate in the study and 14 refused consent.
	<b>Notes about study methods:</b> Participants were matched by clinical and demographic characteristics and randomised into two blocks of 20, then randomly assigned to one of two conditions.
Participants	Diagnosis: Schizophrenia [% of sample] % not reported
	Diagnosis: Other schizophrenia related [%] % not reported
	Diagnostic tool: DSM-IV
	Inclusion criteria:
	- Inpatients - Diagnosis of schizophrenia or schizophrenic spectrum disorder
	- patients were required to take an SGA regardless of any other medication prescribed
	- 18-65 years old
	- Living/ lived with the family of origin for >=6 months and had face-to-face contact >=35 hours per week with relatives
	<b>Exclusion criteria:</b> - Presence of an organic disorder underlying the psychiatric condition
	- IQ <75
	Total sample size: No. randomised 40
	Total sample size: ITT population 40

Gender: % female 25% Age: Range 19-46 Age: Mean 29 Ethnicity: Not reported Setting: Inpatient History: [FI / control] Length of illness, months: 101.0(68.5) / 103.6(97.1) Number of hospital admissions: 1.5 / 2.0 Baseline stats: Not reported

Interventions Intervention - group 1.: Family Intervention (Systemic FI), Engagement phase + 12 1.5 hour sessions; N = 20

Intervention - group 2.: Standard Care; N = 20

#### Notes about the interventions: Family Intervention

The intervention was systemic in nature and provided in addition to standard care. In the initial phase of SFT, relatives and patients attended psychoeducational sessions to enhance their knowledge with regards to the most prominent aspects of the illness, including symptoms, signs of relapse, medication compliance. The rest of the intervention was based on the Milan approach in which the treatment group is behind a one-way mirror while the interviewer is a neutral, dispassionate, information gatherer whose primary source of information is the family's response to circular questioning. The intervention consisted of reframing of the family problems, positively connoting the family process and recommending either no change or cautioning not to change too quickly. Other interventions included systemic questioning alone, assigning tasks to monitor behaviour, prescribing rituals or gathering additional information.

# Standard care

Consisted of drug treatment and interviews with a psychiatrist (number varied with a min 1 per month). The patients were not given any individual or group psychotherapeutic or rehabilitative treatment.

Training and supervision

Sessions were conducted by a team of 2 female therapists (psychologists) qualified at the Milan school of family therapy after a standard 4-year training programme. One of the therapists was lecturer at the Milan School.

Family/carer involvement: Both person with schizophrenia and their family/carer

Outcomes Global state & service outcomes (e.g. CGI): Outpatient Service Utilisation

Global state & service outcomes (e.g. CGI): Re-hospitalisation

Global state & service outcomes (e.g. CGI): Relapse - Defined using Brown and Birley (1968) criteria as a transition from nonschizophrenic

state to a schizophrenic state, with the appearance of specific symptoms evaluated on a standardised scale, or the marked exacerbation of a symptom already present.

Non-adherence to study medication: Non-adherence Compliance to medication - Also reported good clinical compliance

Quality 1.1 The study addresses an appropriate and clearly focused question.: Adequately addressed

**1.2 The assignment of subjects to treatment groups is randomised.:** Adequately addressed

1.3 An adequate concealment method is used.: Not addressed

**1.4 Subjects and investigators are kept 'blind' about treatment allocation.:** Adequately addressed

1.5 The treatment and control groups are similar at the start of the trial.: Adequately addressed

1.6 The only difference between groups is the treatment under investigation .: Adequately addressed

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Adequately addressed

1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Well covered

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable

2.1 How well was the study done to minimise bias?: +

#### Study ID

Study ID	CARRA2007
General info	Funding source: Non-industry support
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	<b>Type of analysis:</b> ITT - All patients randomised to the study were included in the analysis at both 12 and 24 months. All relatives entered the statistical analysis with burden outcomes at 12 months but dropouts were excluded at 24 months.
	Blindness: Single-blind
	Duration: No. weeks of treatment - 104
	Raters: Independent of treatment
	<b>Design:</b> Single-centre - Study was carried out in a non-profit, family advocacy and support agency, The Association for Research on Schizophrenia (ARS) in Lombardy, Italy.
	Number of people screened, excluded & reasons: Participants were selected from those who had been referred to the ARS between 1995 -

2000 (n=320). 205 met the inclusion criteria. In total 101 relatives agreed to participate, gave informed consent and completed the assigned treatment.

**Notes about study methods:** Participants were intentionally allocated in unequal numbers to IG, IG+SG and TAU groups with a randomisation ratio 2:1:1. Participants were randomised using a random number table to enter the IG and IG+SG groups. A further group of relatives on the ARS waiting list were randomly allocated to the TAU group.

Both relatives and clinicians in the IG groups were blind to successive participation in the SG.

Allocation concealment was ensured by the external involvement of a statistician who was not involved in enrolling participants and was responsible for the method of sequence generation.

Participants Diagnosis: Schizophrenia [% of sample] 100%

Diagnostic tool: DSM-IV

Inclusion criteria:

**Relatives:** 

- living with someone suffering from schizophrenia and had not attended family groups or other support services before

- patient was clinically stable (having had no psychiatric hospitalisation or any relapse for 6 months prior to study entry) and was not receiving any psychosocial or rehabilitative treatment other than standard care;

- patient did not have a primary diagnosis of alcohol or drug dependence or organic disease.

Community based service managers were asked to check the following criteria:

- patients' DSM-IV diagnoses of schizophrenia

- GAS score  $\geq 30$ 

- compliance with standard care, with a specifically designed 3-point scale defining non-compliance as a rating of 3 (refusal of every proposed treatment)

- consistency of prescribed pharmacological treatment,

Total sample size: No. randomised - 101

Total sample size: ITT population - 101

Gender: % female 28%

Age: Mean Patients - 30

Ethnicity: not reported

Setting: Outpatient

**History:** 

[IG / IG+SG / TAU]

Onset age: 21.1(7.7) / 18.7(4.2) / 19.9(6.4) Duration of illness, years: 9.6(8.1) / 11.3(7.6) / 10.3(9.2) Previous hospitalisations: 2.7(3.0) / 4.8(8.0) / 3.0(4.3)

#### **Baseline stats:**

[IG / IG+SG / TAU] Patients: ordinarily employed, n(%): 12(24) / 3(12) / 6(24)

Relatives: high EE, n(%): 19(38) / 10(38) / 10(40)

high warmth, n(%): 14(28) / 3(12) / 1(4)

Notes about participants: All but 3 patients were receiving standard doses of antipsychotics (300-1000 mg chlorpromazine equivalents)

Interventions Intervention - group 1.: IG, weekly meetings for 24 sessions; n = 50

Intervention - group 2.: IG +SG, addition SG weekly meetings for 48 sessions over 2 years; n=26

Intervention - group 3.: TAU; n=25

Notes about the interventions:

TAU

All patients received standard care, which entailed key worker's management and consistent pharmacological interventions.

Both family programmes involved only one relative from each patient's family.

IG

- weekly meetings composed of 16–18 relatives for 24 sessions (1.75 hours per session) using an informative approach. Contents and goals are mainly derived from the model of relatives groups but were preliminary in-home individual family sessions.

-Curricula include: aetiology, positive symptoms, negative symptoms, mood disorders, problem behaviours, medical and psychiatric treatment, denial and non-compliance, interpersonal and social issues, relationship with family, education, independence and dependence, resources and benefits. Educational tools include lectures, videos and leaflets.

Support group (SG)

- comprises weekly meetings for 48 sessions (1.5 hours per session) over 2 years with a support group (SG), made up of 8–9 relatives who have previously attended the IG and consisted of two stages that roughly correspond to the phases of the group.

-The first phase involves training on communication and coping skills, stress identification and management, and multiple family group-based problem solving, derived from the psychoeducational multiple family group approach

- The second phase emphasizes mutual support and consists of deliberate efforts to mould the group into a social network that can persist for

	an extended period and satisfy family needs for social contact, support, and ongoing monitoring. Training
	Both the IG and SG programmes were co-led by two specifically trained psychiatrists not involved in patients' community standard care.
	Family/carer involvement: Only family/carer involved
Outcomes	Global state & service outcomes (e.g. CGI): Relapse - GAS score < 30 - 12 months (24 month data not added as it was unclear if these data included relapses in the first 12 months or were new cases)
	Global state & service outcomes (e.g. CGI): Re-hospitalisation 12 months (24 month data not added as it was unclear if these data included hospitalisations in the first 12 months or were new cases)
	<b>Other:</b> Psychosocial functioning: unemployment - 12 months Family outcomes: subjective and objective burden - 12 months (24 month data not added as it was unclear if these data included participants in the first 12 months or were new cases)
Quality	1.1 The study addresses an appropriate and clearly focused question.: Well covered
	1.2 The assignment of subjects to treatment groups is randomised.: Adequately addressed
	1.3 An adequate concealment method is used.: Well covered
	1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Adequately addressed
	1.5 The treatment and control groups are similar at the start of the trial.: Adequately addressed
	1.6 The only difference between groups is the treatment under investigation.: Well covered
	1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered
	1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: $<20\%$
	<b>1.9 All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis).</b> : Adequately addressed
	1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable
	2.1 How well was the study done to minimise bias?: +
Study ID	CHENIC2005
	CHENG2005

General info Funding source: Not mentioned

Published or unpublished data?: Published

MethodType of study: Individual randomised trialType of analysis: Completer

Blindness: No mention

**Duration:** No. weeks of treatment - 10

Raters: Not stated to be independent of treatment

Design: Single-centre - China

Notes about study methods: Randomised by the researcher by drawing lots

Participants Diagnosis: Other [%] - All participants were family members of clients who had been diagnosed with schizophrenia

# Inclusion criteria:

Patients:

- DSM-IV schizophrenia diagnosis

- Age >=18

- Diagnosed within 1 year with no more than two periods of hospitalisation

Carers:

- Family member who cared for (>=4 hour per day) and lived with a person with schizophrenia

- Age >=18

- Could understand and read Cantonese

- Had not received psychoeducational group therapy from other healthcare agencies

**Exclusion criteria:** Patient:

- Had history of other mental disorders

Carers:

- Providing care to another family member with a chronic physical or mental illness

Total sample size: No. randomised - 64

**Gender:** % female 62.5% of carers were female

Setting: Outpatient - Community mental hospital in Hong Kong

**Baseline stats:** 

[Experimental Group / Control Group] FBIS: 18.78 / 17.03 SES: 20.81 / 25.16 SSQ-6: 23.09 / 25.53

# Notes about participants:

Carer's relationship with client n, (%) Spouse: 14 (21.9) Parent: 29 (45.3) Grandparent: 10 (15.6) Sibling: 3 (4.7) Friend: 2 (3.1) Child: 6 (9.4)

Interventions Intervention - group 1.: Experimental - psychoeducation programme; n=32

**Intervention - group 2.:** Control; n=32

#### Notes about the interventions:

Control:

Routine care including medical and nursing care, information giving about mental and physical conditions of the patient, treatment plan and effects of medications, individual counselling by nurses and social workers, and referrals to financial aid and social welfare services.

Psychoeducation/ experimental group

In addition to routine care, participants took part in a psychoeducation programme consisting of 10 weekly 2-hour sessions. It focused on knowledge and treatment of the illness, management of symptoms and medication, dealing with crisis, mental health services, communication and problem- solving skills, and stress-coping skills.

- **Outcomes Other:** Outcomes for patients were not reported. The following outcomes for the carers were included: FBIS: SES; SSQ-6
- Quality 1.1 The study addresses an appropriate and clearly focused question.: Well covered

**1.2 The assignment of subjects to treatment groups is randomised.:** Adequately addressed

- 1.3 An adequate concealment method is used.: Poorly addressed
- 1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Not addressed
- 1.5 The treatment and control groups are similar at the start of the trial.: Poorly addressed
- 1.6 The only difference between groups is the treatment under investigation .: Well covered
- 1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Adequately addressed

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Not addressed

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable

2.1 How well was the study done to minimise bias?: +

# Study characteristics tables: Family intervention

Study ID	CHIEN 2004A
General info	Funding source: Not mentioned
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	Type of analysis: ITT - All randomised participants
	Blindness: Only raters blind
	Duration: No. weeks of treatment - 12
	Duration: Length of follow-up - 3 months
	Raters: Independent of treatment
	Design: Multi-centre - two major outpatient clinics in Hong-Kong
	<b>Number of people screened, excluded &amp; reasons:</b> 500 eligible identified: 52 (from power calculations) randomly selected and approached, 4 withdrew before baseline assessment; 48 randomised
	Notes about study methods: Randomisation procedure not reported
Participants	Diagnosis: Schizophrenia [% of sample] 100
	Diagnostic tool: DSM-IV
	<ul> <li>Inclusion criteria:</li> <li>Carers' criteria:</li> <li>Lived with and cared for one relative with a primary diagnosis of schizophrenia according to DSM-IV</li> <li>Relative with schizophrenia experienced no comorbidity of other mental illness during recruitment to the study and who had been diagnosed with schizophrenia for no more than 3 years</li> <li>Aged 18 years or above and could understand and read the Chinese language</li> <li>Free from any psychiatric disorder themselves</li> </ul>
	<b>Exclusion criteria:</b> - Cared for more than one family member with mental or chronic physical illness - Were the primary carer for less than 3 months.
	Total sample size: No. randomised - 48
	Total sample size: ITT population - 48
	Gender: % female (Patients) 50%
	Age: Mean (Patients) Experimental: 39.9 (6.1) / Control: 36.3 (5.5)
	Ethnicity: Not reported
	Setting: Outpatient

**History:** ~2 years of illness

**Baseline stats:** Patients in both groups were assessed as having a stable or improved mental condition during three months prior to the start of the intervention

**Notes about participants:** Medication: Mostly conventional antipsychotics, such as chlorpromazine and haloperidol (88% in experimental group and 85% in control group), with more than 70% of them taking medium doses of these drugs (haloperidol equivalent mean values)

Interventions Intervention - group 1.: Mutual support group: 12 x 2 hour sessions; n=24

Intervention - group 2.: TAU for 3 months; n=24

#### Notes about the interventions:

Mutual support groups

The protocol specified that the facilitator and peer leader should follow six principles of group-work practice identified in the literature as successful in strengthening a mutual support group. These were: (1) sharing personal data (disclosing information with trust), (2) fostering dialectical processes (letting members think about ideas and alternatives to solve problems), (3) encouraging discussion of taboo areas (sharing of secret and internal psychological conflicts), (4) fostering a sense of being all-in-the-same boat (feeling in similar situation and working against a common plight), (5) encouraging mutual support (reciprocal giving and receiving help and support among members), and (6) providing opportunities of individual problem solving (helping individual members to deal with unique troubles).

# TAU

Usual care comprised outpatient clinics with: (1) medical consultation with a psychiatrist who provided the family with information about the illness, treatment plan and effects of medications; (2) advice on financial aid and social welfare services by medical social workers; and (3) advice, possible referral to mental health services, and education seminars on schizophrenia care organised monthly by registered psychiatric nurses.

All patients received TAU.

#### Training

The principal researcher (WTC), an experienced psychiatric nurse and group worker, acted as the group facilitator and assisted and encouraged the development of the group, being most active during the first two sessions. A peer leader elected by the group participants, agreed to co-ordinate and planned the group sessions in collaboration with the facilitator. Fidelity of the facilitator and peer-group leader to the protocol was assured by review of the audiotape of each group session by the research team and feedback. In addition, the facilitator received bi-weekly supervision from other members of the research team at which problems of group facilitation were discussed and strategies for the next group session clarified.

**Family/carer involvement:** Only family/carer involved - Not explicitly stated, but throughout the paper only the family caregiver is mentioned as attending the sessions.

Outcomes Global state & service outcomes (e.g. CGI): Re-hospitalisation - Duration of rehospitalisation

	Average number of rehospitalisations per participant
	Satisfaction with treatment: Carer satisfaction - FBIS, Family Assessement Device (FAD), FSSI
Quality	1.1 The study addresses an appropriate and clearly focused question.: Well covered
	1.2 The assignment of subjects to treatment groups is randomised.: Not reported adequately
	1.3 An adequate concealment method is used.: Not addressed
	1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Not addressed
	1.5 The treatment and control groups are similar at the start of the trial.: Adequately addressed
	1.6 The only difference between groups is the treatment under investigation.: Adequately addressed
	1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Adequately addressed
	1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: $<20\%$
	<b>1.9 All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis).</b> : Well covered
	1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable
	2.1 How well was the study done to minimise bias?: +

# Study ID

Study ID	CHIEN2004B
General info	Funding source: Non-industry support
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	Type of analysis: ITT - All randomised participants regardless of failure to comply or complete treatment.
	Blindness: Only raters blind
	Duration: No. weeks of treatment - 24
	Duration: Length of follow-up - 12 months
	Raters: Independent of treatment
	Design: Multi-centre - Two regional outpatient clinics in Hong Kong
	<b>Number of people screened, excluded &amp; reasons:</b> Written consent was obtained from 146 families, of whom 96 (66 percent) were randomly selected and assigned to one of the three study groups: mutual support (N=32), psychoeducation (N=33), and standard care (N=31). The remaining 50 families, who had been informed about the possibility of not being selected for the study, were placed on a waiting list because of

	time and manpower constraints on group formation.
	Notes about study methods: randomisation procedure not reported
Participants	Diagnosis: Schizophrenia [% of sample] 100
	Diagnostic tool: DSM-IV
	Inclusion criteria:
	Carers:
	- Lived with and cared for one relative with a primary DSM-IV diagnosis of schizophrenia, for not more than 5 years
	- Patient had no comorbid mental illness or substance misuse
	- Age >= 18
	- Could understand Chinese.
	Exclusion criteria:
	Carers:
	<ul> <li>Cared for more than one family member with mental illness</li> <li>Had been primary carer for &lt;3 months</li> </ul>
	Total sample size: No. randomised - 96
	Total sample size: ITT population - 96
	Gender: % female 35%
	Age: Range - All at least 20 years of age
	<b>Age:</b> Mean - 31.7
	Ethnicity: Not reported
	Setting: Outpatient
	History: Mean duration of illness: Just over 2 years (6 months to 5 years)
	Baseline stats:
	[mutual support / psychoeducation / standard care]
	SLOF: 127.3(16.8) / 125.8(17.3) / 121.2(16.1)
	FSSI: 3.6(1.5) / 3.9(1.7) / 3.6(1.2)
	PANSS positive: 10.5(3.7) / 10.1(4.1) / 10(3.9)
	<b>Notes about participants:</b> Medication: More than half of them (17 to 20 in each group) were taking a medium dosage of antipsychotics
	(haloperidol equivalent mean values of between $8.30\pm7.02$ and $10.34\pm8.13$ mg/day). Two-thirds of the patients (21 to 23 patients, or 66 to 70%)

in the three groups were taking oral medication, and one-fifth (six or seven patients, or 19 to 23%) were taking both oral and depot

intramuscular medications. Nearly half the patients in the three groups were taking atypical neuroleptics (14 to 16 patients, or 45 to 49%).

Interventions Intervention - group 1.: Mutual support group: 12x 2 hour bi-weekly sessions; n=32

# Intervention - group 2.: Psychoeducation: 12x 2 hour bi-weekly sessions; n=33

#### Intervention - group 3.: TAU; n=31

### Notes about the interventions: Mutual support groups

The mutual support group consisted of peer-led and researcher-facilitated group sessions comprising five stages (engagement, recognition of psychological needs, dealing with needs, adopting new roles, ending) designed to provide information, emotional support, and coping skills for caregiving in stages. Emphasis was given to specific Chinese cultural characteristics and issues, including a strong social stigma associated with mental illness and seeking mental health services, a hierarchical but interdependent family structure, and a strong tendency to expect immediate and practical help. Time was given to individual problem solving and to helping individual family members deal with particular troubles. Post-meeting practice in caring for the mentally ill relative at home was also emphasised and evaluated after each group stage.

#### Psychoeducation

The content and format of this professionally-led psychoeducation programme. The duration of the education and survival skills sessions was modified to 6 months in accordance with participants' preferences and convenience and given the resource constraint. The purposes of this intervention were to provide information about schizophrenia and its treatment, educate families about the biological basis of schizophrenia, improve illness management, develop social support networks and coping skills, and provide techniques for improving communication, problem solving, and crisis intervention.

#### TAU

Routine psychiatric outpatient and family services only, consisting of monthly medical consultation and advice, individual nursing advice on community health care services, social welfare and financial services provided by medical social workers, and counselling provided by clinical psychologists if necessary.

#### Training

In the mutual support group, a peer leader, elected by group members and trained by researchers during a 2-day leadership workshop worked closely with the principal researcher, assisting and encouraging the development of the group stages, as recommended in the literature. For the psychoeducation group, the two clinicians were psychiatric nurses who were selected by the clinics and were experienced in leading group and psychiatric rehabilitation programmes. They were trained by the research team and one family therapist via two 3-day workshops and practice within five family sessions, which were rated and evaluated by the training team. Supervision and progress monitoring of the two programmes included consistent reviews of the audiotape of each session and regular clarification of problems and issues arising from the meetings.

Family/carer involvement: Both person with schizophrenia and their family/carer

Outcomes Leaving the study early: Leaving due to any reason (non-adherence to study protocol) Global state & service outcomes (e.g. CGI): Re-hospitalisation- Frequency and duration Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - BPRS (only positive symptoms reported)

	General and psychosocial functioning (e.g. SFS): Average score/change in general functioning - SLOF- sub-scale (social functioning)
	Other: Mental health service needs and use (measured with Family Support Services Index)
Quality	1.1 The study addresses an appropriate and clearly focused question.: Well covered
	1.2 The assignment of subjects to treatment groups is randomised.: Not reported adequately
	1.3 An adequate concealment method is used.: Not addressed
	1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed
	1.5 The treatment and control groups are similar at the start of the trial.: Well covered
	1.6 The only difference between groups is the treatment under investigation.: Well covered
	1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered
	1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: $<20\%$
	<b>1.9 All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis).</b> : Well covered
	1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable
	2.1 How well was the study done to minimise bias?: +

# Study ID

Study ID	CHIEN2007
General info	Funding source: Non-industry support
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	Type of analysis: ITT - No details provided
	Blindness: Single-blind
	Duration: No. weeks of treatment - 36
	Raters: Independent of treatment
	Design: Single-centre - Hong Kong
	<b>Number of people screened, excluded &amp; reasons:</b> 200 family members screening, 150 agreed to participate. Of these 84 were randomly selected to take part in the study
	Notes about study methods: Randomisation procedure not reported
Participants	Diagnosis: Schizophrenia [% of sample] 100%

Diagnostic tool: DSM-IV **Inclusion criteria:** Family members were included if: - aged 18+ - free from any psychiatric disorder - lived with and cared for a relative with a primary diagnosis of schizophrenia **Exclusion criteria:** Family members were excluded if: - cared for more than one relative with a chronic mental or physical condition Total sample size: No. randomised - 84 Total sample size: ITT population - 84 Gender: % female Carers - 67% Patients - 49% Age: Mean Carers - 41 years Patients - 29 years **Ethnicity:** Not reported Setting: Outpatient History: Average duration of patients' illness was 3.6year and ranged from 1-7 years **Baseline stats:** [FI / Control] BPRS: 10.5(3.7) / 10.0(3.9) Notes about participants: 57% of patients were taking SGAs **Interventions Intervention - group 1.:** Family Intervention, 18 sessions x 2 hour session; N = 42 Intervention - group 2.: Standard Care; N = 42 Notes about the interventions: Family Intervention In addition to TAU and consisted of 4 stages based upon a manualised approach. These included orientation and engagement, educational

workshop, therapeutic family role and strength rebuilding and termination. The programme used culturally sensitive family intervention model. The content of the intervention was based upon the needs assessment of 180 family members of Chinese persons with schizophrenia.

Standard care

Involved routine psychiatric outpatient and family services only.

Training	and	supervision
manning	unu	Supervision

	Group instructor was a registered psychiatric nurse trained in a 3-day workshop held by a family therapist and the researchers. The instructor was provided with information about schizophrenia and the necessary skills to lead a group. The instructor had ongoing supervision throughout the intervention.
	Family/carer involvement: Both person with schizophrenia and their family/carer
Outcomes	Global state & service outcomes (e.g. CGI): Days in hospital - Duration and number of rehospitalisations
	Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - BPRS
	Other: Family Assessment Device; Family Burden Interview Schedule; Specific levels of functioning Scale
Quality	1.1 The study addresses an appropriate and clearly focused question.: Adequately addressed
	1.2 The assignment of subjects to treatment groups is randomised.: Not reported adequately
	1.3 An adequate concealment method is used.: Not addressed
	1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Adequately addressed
	1.5 The treatment and control groups are similar at the start of the trial.: Adequately addressed
	1.6 The only difference between groups is the treatment under investigation.: Adequately addressed
	1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered
	1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: $<20\%$
	1.9 All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Well covered
	1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable
	2.1 How well was the study done to minimise bias?: +

# Study ID

GARETY2008	
General info Funding source: Non-industry support	
Published or unpublished data?: Published	
Method Type of study: Individual randomised trial	
Type of analysis: ITT	
Blindness: Only raters blind	

Duration: Length of follow-up - data collected at 12 months (after treatment) and 24 months (end of treatment + 12 months follow-up)

Duration: No. weeks of treatment - 36

Raters: Independent of treatment

**Design:** Multi-centre - 5 locality mental health services in London and East Anglia: inner city London (2), suburban outer London (1), county town (Norwich) and rural centre (Norfolk)

**Number of people screened, excluded & reasons:** 683 patients meeting inclusion criteria were identified, 382 patients withheld consent. A total of 301 patients provided informed consent, of whom 218 entered pathway 1 (individual pathway) and 83 pathway 2 (carer pathway)

**Notes about study methods:** Randomisation was stratified within each of the centres, and within inpatient or outpatient status at the time of relapse. Randomisation schedules were independently generated by a trial randomisation service in a separate location from all trial centres, using randomised permuted blocks with a block size randomly varying between 2-10 for the individual pathway and 3-9 in the carer pathway.

If patients had no carer they were invited to participate in the individual study. Those who identified a carer, a relative or friend with whom they lived or were in close contact >-10 hours per well, the patient was asked to give informed consent for the carer pathway study. The carers were then approached for their consent. At the trial recruitment midpoint it became apparent that otherwise eligible patients with carers had been excluded from the study because their carer had refused to participate. From this point in cases where patients or carers refused carer participation, participants with carers were offered entry to the individual pathway.

**Participants** Diagnosis: Schizophrenia [% of sample] 85.4%

**Diagnosis:** Other schizophrenia related [%] schizoaffective disorder = 13.3% Delusional disorder = 1.3%

Diagnostic tool: DSM-IV

### Inclusion criteria:

- current clinical diagnosis of non-affective psychosis (F2 in the ICD-10 and DSM-IV)

- aged 18-65

- second subsequent psychotic episode starting <=3 months before they agreed to enter trial

- Rating >=4 for at least one positive symptom on the PANSS

# **Exclusion criteria:**

- primary diagnosis of alcohol or substance dependency, organic syndrome or learning disability

- a command of spoken English inadequate for engaging in psychological therapy

- unstable residential arrangements such that the likelihood of being available for the duration of the trail was low.

Total sample size: No. randomised - 301

Total sample size: ITT population - Primary outcome data at 24 months available for 295 participants

Gender: % female 30%

**Age:** Mean - 37 **Ethnicity:** White - 72.3% Black Caribbean - 7.6% Black African - 9.2% Black other - 2.3% Indian - 1.6% Other - 7% Setting: Inpatient Setting: Outpatient History: Non carer pathway: [TAU / CBT] Inpatient, n: 78 / 76 Outpatient, n: 34 / 30 Mean length of illness, years: 9.9(8.7) / 10.9(8.1) Mean no. admissions: 4.4(4.4) / 5.0(5.6) History of violence: No: 79 / 66 Yes: 30 / 35 History of suicide or self-harm: No: 65 / 65 Yes: 42 / 35 Carer Pathway: [TAU / CBT / FI] Inpatient, n: 18 / 16 / 16 Outpatient, n: 10 / 11 / 12 Mean length of illness, years: 10.5(8.6) / 10.9(9.7) / 13.3(11.8) Mean no. admissions: 4.6(5.50 / 3.4(3.2) / 6.5(9.2) History of violence: No: 23 / 20 / 21 Yes: 5 / 7 / 7 History of suicide or self-harm: No: 15 / 16 / 14 Yes: 13 / 11 / 12

# Baseline stats:

Non-carer pathway: [TAU / CBT] PANSS total: 66.26(15.91) / 62.32(13.49)

Carers pathway: [TAU / CBT / FI] PANSS total: 64.11(15.28) / 66.89(14.26) / 70.93(13.36)

Interventions Intervention - group 1.: CBT, 12-20 sessions; non-carers pathway n=106; carer pathway n=27

Intervention - group 2.: FI, 12-20 sessions; carer pathway n=28

Intervention - group 3.: TAU; non-carers pathway n=112; carer pathway n=28

### Notes about the interventions:

TAU

Consisted of good standard care, delivered according to national and local service protocols and guidelines, including the prescription of antipsychotic medication. TAU did not preclude the provision of psychological intervention, although in practice this was relatively rare.

### CBT

Adaptation of a generic CBT for psychosis manual. It was specifically aimed at targeting key aspects of relapse prevention. The first stage focused on engagement and assessment. A central focus of the work was developing a shared formulation of relapse, including where appropriate a new model of disorder emphasising alternatives to delusional thinking. Therapists then attempted to target the key problems associated with vulnerability to relapse. The last stage involved developing a set of self regulatory strategies to manage relapse.

FI

Followed a manual with an emphasis on improving communication, offering discussion of up-to-date information about psychosis, problem solving, reducing criticism and conflict, improving activity, and emotional processing of grief, loss and anger. Sessions focused on one problem at a time and were aimed at an individual formulation of each family's problem as they defined them. There was a particular focus on relapse prevention.

# Training for CBT

Five lead trial therapists, all doctorate level or equivalent clinical psychologists provided therapy to 72% of total treatment cases. A further 37 CBT treatment cases were seen by therapists employed by the local mental health services, these were a mixture of doctoral clinical psychologists and nurses who had received specialist training in CBT. All therapists were required to demonstrate competence in CBT. This was followed by a period of intensive training in workshops with both the expert CBT therapists on the trial and external experts. Lead therapists from each centre met monthly for case discussion and supervision with the expert CBT therapists.

### Training for FI

FI involved a lead and co-therapist working together. The five lead therapists for CBT also acted as the lead FI therapists. All lead therapists were required to show in-depth knowledge of evidence-based FI in psychosis and to demonstrate key techniques in role-play. They also attended intensive training from an expert FI therapist. All co-therapists attended FI training workshops or received individual training from a trial lead therapist. The local therapists were a mix of doctorate level clinical psychologists and nurses who had received training in FI. The trial lead therapists were provided with specialist expert monthly supervision throughout the trial, and attended advanced skills workshops by experts. The lead therapists also meet fortnightly for peer supervision and case presentations.

Family/carer involvement: Both person with schizophrenia and their family/carer

### **Outcomes Death:** Natural causes

Leaving the study early: Leaving due to any reason (non-adherence to study protocol)

**Global state & service outcomes (e.g. CGI):** Relapse following full remission: Data reported but not entered because number of people achieving remission was low, therefore data difficult to interpret.

### Relapse following partial or full remission:

Relapse ratings were made using a published method employed in a previous RCT. Relapse ratings are based on evidence of the re-emergence of, or significant deterioration in, positive psychotic symptoms of at least moderate degree persisting for at least 2 weeks

**Global state & service outcomes (e.g. CGI):** Remission ratings were made using a published method employed in a previous RCT. Ratings are based on changes in positive psychotic symptoms. Evidence is required of improvement in (for partial remission) or absence of (for full remission) positive psychotic symptoms continuing for at least 4 weeks.

Global state & service outcomes (e.g. CGI): Days in hospital

Global state & service outcomes (e.g. CGI): Re-hospitalisation

Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - PANSS total, positive and negative

General and psychosocial functioning (e.g. SFS): Average score/change in general functioning - Social & Occupational Functioning Assessment Scale and Time Budget

Quality of Life: Average score/change in quality of life - EUROQOL:

**Other:** Beck Depression Inventory

### Quality 1.1 The study addresses an appropriate and clearly focused question.: Well covered

1.2 The assignment of subjects to treatment groups is randomised.: Well covered

1.3 An adequate concealment method is used.: Well covered

1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Adequately addressed

1.5 The treatment and control groups are similar at the start of the trial.: Well covered

1.6 The only difference between groups is the treatment under investigation .: Well covered

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered

1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Well covered

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Adequately addressed

2.1 How well was the study done to minimise bias?: ++

### Study ID

Study ID	JENNER2004		
General info	Funding source: Non-industry support		
	Published or unpublished data?: Published		
Method	Type of study: Individual randomised trial		
	Type of analysis: ITT - All participants randomised and who gave consent		
	Blindness: No mention		
	Duration: No. weeks of treatment - 36		
	Raters: Independent of treatment		
	Design: Single-centre - The Netherlands		
	Number of people screened, excluded & reasons: 100 approached, 22 ineligible, 2 more which were excluded after randomisation as found to have concealed primary substance misuse and the other was assigned to control but erroneously received experimental treatments are the other was assigned to control but erroneously received experimental treatments are the other was assigned to control but erroneously received experimental treatments are the other was assigned to control but erroneously received experimental treatments are the other was assigned to control but erroneously received experimental treatments are the other was assigned to control but erroneously received experimental treatments are the other was assigned to control but erroneously received experimental treatments are the other was assigned to control but erroneously received experimental treatments are the other was assigned to control but erroneously received experimental treatments are the other was assigned to control but erroneously received experimental treatments are the other was assigned to control but erroneously received experimental treatments are the other was assigned to control but erroneously received experiments are the other was assigned to control but erroneously received experiments are the other was assigned to control but erroneously received experiments are the other was assigned to control but erroneously received experiments are the other was assigned to control but erroneously errone		
	<b>Notes about study methods:</b> Randomisation by minimisation procedure, conducted by independent medical technology unit of the university hospital.		
Participants	Diagnosis: Schizophrenia [% of sample] Paranoid schizophrenia 78%		
	<b>Diagnosis:</b> Other schizophrenia related [%] Schizoaffective 15% Psychosis NOS 7%		
Diagnostic tool: Other method - SCAN interview			
	<ul> <li>Inclusion criteria:</li> <li>Experiencing auditory hallucinations for &gt;2 years after adequate treatment</li> <li>Diagnosis of non-affective psychosis, including schizophrenia, schizoaffective and psychotic disorder NOS</li> <li>Former use of at least two antipsychotics in adequate doses or period according to Dutch Psychiatric Association guidelines</li> </ul>		

- No previous CBT for auditory hallucinations

- No current misuse of psychoactive drugs or alcohol (moderate use of cannabis or alcohol was allowed)

- Estimated IQ >80.

Total sample size: No. randomised - 80

Total sample size: ITT population - 69

Gender: % female 46%

Age: Mean 36 (11.2)

Ethnicity: No mention

Setting: Outpatient

**History:** Duration of hallucinations (years): 12 (10.4) Lifetime admissions: 3

### **Baseline stats:**

[HIT / TAU] PANSS Total: 60.0 (15.6) / 60.4 (12.5)

Interventions Intervention - group 1.: HIT; n=37

Intervention - group 2.: TAU; n=39

### Notes about the interventions

HIT (hallucination-focused integrated treatment)

Multimodal intervention focusing on regaining control and command over persistent hallucinations, integrating motivational, behavioural, cognitive, psychoeducational and rehabilitative elements. The approach is a directive style of single family therapy that integrates motivational interventions, training in coping skills, CBT, psychoeducation and operant conditioning regarding medication. Positive outreach crisis intervention was available around the clock. Programme comprised of approx. 20x 1 hour sessions over 9 to 12 months.

# TAU (treatment-as-usual)

Routine care delivered by community mental health teams includes psychiatric, social, financial, occupational management, crisis intervention, and day patient care (drop-in centres and rehabilitation activities).

Where possible, contact time was controlled in the two conditions to be similar.

**Outcomes** Leaving the study early: Leaving due to any reason (non-adherence to study protocol)

Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - PANSS, PSYRATS, AHCL (Auditory Hallucinations Coping List)

General and psychosocial functioning (e.g. SFS): Average score/change in general functioning - Social Disabilities Schedule

	Engagement with services (e.g. SES): Average score/change in engagement with services - Adherence to treatment			
	Other: Use of medications (antipsychotics and adjuncts)			
Quality	1.1 The study addresses an appropriate and clearly focused question.: Well covered			
	1.2 The assignment of subjects to treatment groups is randomised.: Adequately addressed			
	1.3 An adequate concealment method is used.: Well covered			
	1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Not reported adequately			
	1.5 The treatment and control groups are similar at the start of the trial.: Well covered			
	1.6 The only difference between groups is the treatment under investigation.: Well covered			
	1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered			
	1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: $<20\%$			
	<b>1.9</b> All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Adequately addressed			
	1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable			
	2.1 How well was the study done to minimise bias?: ++			

# Study ID

	Study ID	KOPELOWICZ2003			
	General info	nfo Funding source: Non-industry support			
		Published or unpublished data?: Published			
Method Type of study: Individual randomised trial		Type of study: Individual randomised trial			
		Type of analysis: Completer			
		Blindness: Only raters blind			
		<b>Duration:</b> No. weeks of treatment - 12			
		<b>Duration:</b> Length of follow-up - 6 months			
		Raters: Independent of treatment			
		Design: Single-centre- Community mental health centre in Los Angeles, US			
		Number of people screened, excluded & reasons: Not reported			
		Notes about study methods: Randomisation procedures not reported			
	Participants	<b>5</b> Diagnosis: Schizophrenia [% of sample] 78%			

Diagnosis: Other schizophrenia related [%] Schizoaffective 22%

Diagnostic tool: DSM-IV

# Inclusion criteria:

- Age between 18 and 60 years
- Primary DSM-IV chart diagnosis of schizophrenia or schizoaffective disorder
- At least one episode of treatment in an inpatient facility of at least 1 week's duration in the previous 12 months
- Spanish speaking
- Living with their family.

Patients with other concurrent diagnoses (for example, substance misuse, depression, personality disorder) were not excluded.

Total sample size: No. randomised - 92

Total sample size: ITT population - 84 completers

Gender: % female 33%

Age: Mean - 38.4

**Ethnicity:** Mexican-American 60% Other Central American 32% Caribbean 9%

Setting: Outpatient

# History:

[Skills-training / TAU] Age of illness onset: 24.9 (8.8) / 24.2 (12.0) Lifetime hospitalisations: 3.4 (2.6) / 3.1 (2.8)

# **Baseline stats:**

[Skills-training / TAU] PANSS Positive: 14.0 (5.7) / 12.4 (4.9) PANSS Negative: 17.8 (5.5) / 17.7 (5.4) PANSS General: 30.3 (6.4) / 26.3 (5.2) PANSS Total: 62.1 (13.7) / 56.4 (11.8)

**Notes about participants:** All study participants were prescribed antipsychotic education with few changes in type or dose of medication made during the study protocol. Approximately two-thirds of the subjects in both groups were taking one of the newer generation antipsychotic medications. There was no statistically significant difference between groups on the dose of antipsychotic medication prescribed.

[Skills-training / TAU]

### Antipsychotic dose: 316.2 (188.6) / 328.3 (167.5)

Interventions Intervention - group 1.: Family-assisted skills training: 90-minute sessions for four times per week over 3 months; n=45

Intervention - group 2.: TAU; n=47

### Notes about the interventions

Family-assisted skills training

Aimed to teach patients instrumental, social and problem solving skills with focus on two modules (medication management and symptom management). Included workbooks, videos and other teaching materials. Group sessions involving family members (with role plays etc.) also took place weekly, educating them as coaches for the patient and how to adapt the home environment for assisting the patient's skill use.

# TAU

The comparison group, as well as those in the skills training groups, continued to receive treatment as usual, comprising case management by social workers and monthly psychiatric visits (typically 20 minutes once a month) for medication management using a multidisciplinary team approach. Other needs such as housing and employment were also addressed. Finally, if patients experienced an exacerbation of symptoms, contact with the psychiatrist and/or psychiatric nurse increased (either at the Centre or in the "field") until the patient was stabilised, or referred to inpatient treatment.

# Training

The disciplines of the skills session trainers included nursing, psychology and social work. Each module included a trainer's manual which specified what was to be said and done to teach a module's skills. To ascertain that the modules were being conducted systematically and correctly, a therapist fidelity evaluation form was used.

**Family/carer involvement:** Both the person with schizophrenia and their family/carer - Patients were involved in skills training groups which met for 90-minute session for four times per week during the 3 months.

Family members of patients were included in weekly "generalisation sessions" aimed at utilising relatives as generalisation agents.

**Outcomes** Leaving the study early: Leaving due to any reason (non-adherence to study protocol)

Global state & service outcomes (e.g. CGI): Re-hospitalisation

Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - PANSS Positive/Negative/Total

General and psychosocial functioning (e.g. SFS): Average score/change in general functioning - Independent Living Skills Survey Satisfaction with treatment: Carer satisfaction Family Burden Interview Schedule - no data reported, but authors stated there were no significant differences between groups.

**Quality of Life:** Average score/change in quality of life - Lehman QoL - no data reported, but authors stated there was no significant differences between groups

Other: Proportion adhering to medication regimen, Rating of Medication Influences Scale, skills acquisition was measured by the Medication

Management; Skills and Symptom Management skills tests.

Family outcomes: Hope for Future Scale; Five-Minute Speech Sample (FMSS) for expressed emotion - no data reported, but authors state that there were no significant differences between groups.

**Quality 1.1 The study addresses an appropriate and clearly focused question.:** Well covered

**1.2 The assignment of subjects to treatment groups is randomised.:** Not reported adequately

1.3 An adequate concealment method is used.: Not addressed

1.4 Subjects and investigators are kept 'blind' about treatment allocation .: Poorly addressed

1.5 The treatment and control groups are similar at the start of the trial.: Well covered

1.6 The only difference between groups is the treatment under investigation .: Well covered

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered

1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Poorly addressed

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable

2.1 How well was the study done to minimise bias?: +

# Study ID

LEAVEY2004

General info Funding source: Non-industry support

Published or unpublished data?: Published

Method Type of study: Individual randomised trial

**Type of analysis:** ITT - Main comparisons were carried out on an ITT basis defined as patients being analysed according to their randomisation status whether or not they actually received the intervention

Blindness: Single-blind

Duration: No. weeks of treatment - 36 (7 sessions, each one lasting approx 1 hour)

**Duration:** Length of follow-up - Followed up at 4 and 9 months

**Raters:** Independent of treatment

**Design:** Single-centre - UK

**Number of people screened, excluded & reasons:** 198 patients identified as eligible, 92 patients were excluded for the following reasons: refused (n=52), no contact (n=22), moved away (n=11), no carer (n=7)

**Notes about study methods:** Block randomisation design - 8 cards indicating control or intervention were individually placed in envelopes at the administration centre by someone who was neither a researcher nor support worker. A second person with no connection to the study randomly selected an envelope to assign allocation.

**Participants** Diagnosis: Schizophrenia [% of sample] Not mentioned

**Diagnosis:** Other schizophrenia related [%] Not mentioned

**Diagnostic tool:** Other ICD

Inclusion criteria: - developed a first episode of psychotic illness within the last 6 months

**Exclusion criteria:** - any organic disorder or learning difficulties.

Total sample size: No. randomised - 106

Total sample size: ITT population - 106

Gender: % female 35.8%

Age: Range - No age range or mean details given. Participants were classed as younger (16-25 years) or older (25+). 51.9% were classified as the former.

**Ethnicity:** White UK - 42.5% Other - 57.5%

Setting: Inpatient

Setting: Outpatient

#### History:

[Treatment / Control] Section n(%): 22(38.6) / 21(42.9) Non-section n(%): 35(61.4) / 28(57.1)

#### **Baseline stats:**

[Treatment / Control] Hospitalisation n(%): 40(70) / 29(59) Carer rated severity of illness n(%): Very serious: 24(42) /20(41)

**Notes about participants:** Details of any concomitant medication not reported.

61% of carers were parents of patients. 53% and 54% of control and treatment patients respectively lived with their carers.

Interventions Intervention - group 1.: Brief intervention for families; n=57

Intervention - group 2.: Control - Usual psychiatric care; n=49

### Notes about the interventions: Usual psychiatric care:

Carers received support from the community mental health teams as part of their services to patients. Usual psychiatric care is often informal and ad hoc, in that it follows no set protocol. The professionals in the team are not provided with specific training for support of families.

### Brief family intervention:

The family intervention was received in addition to usual psychiatric care. The intervention began within 6 months of first contact with services and was provided over seven sessions, each one lasting approximately an hour, usually in the carer's own home. The sessions were designed to be interactive rather than didactic and covered (a) information gathering from the relative; (b) an educational component on psychotic illness, symptoms and early warning signs, treatment, and help seeking; and (c) coping strategies, problem solving and communication with the patient. The approach taken was essentially psychoeducational, incorporating a problem-solving component. Carers were also provided with an information pack about psychotic illness and addresses and telephone numbers for local and national services and support groups. The support team were bilingual, came from a range of ethnic backgrounds and who held at least a certificate in counselling. We strove to match the worker with the carer on ethnicity.

### Blinding:

Researchers were instructed to avoid any discussion with carers about the support they received. Carers were also asked not to discuss care issues with the researchers.

#### Training

The support team was recruited from a local health services link workers team. The link workers were bilingual, came from a range of ethnic backgrounds and held at least a certificate in counselling. An experienced community mental health nurse and qualified family support trainer gave them training to provide the intervention. The trainer provided a background and theoretical underpinning of each of the components of the project. The support team were provided with supervision throughout.

Family/carer involvement: Only family/carer involved- Abstract states, relatives were randomly allocated to receive a brief intervention.

**Outcomes** Leaving the study early: Leaving due to any reason (non-adherence to study protocol)

Global state & service outcomes (e.g. CGI): Re-hospitalisation- Data entered in RevMan

Satisfaction with treatment: Carer satisfaction- VSSS-32: data not usable

Other: Perceived severity of illness as rated by carer - data not usable

Median time spent by carers looking after patients - data not usable

CSI - data not usable

Living with parents

Quality 1.1 The study addresses an appropriate and clearly focused question.: Adequately addressed

1.2 The assignment of subjects to treatment groups is randomised.: Adequately addressed

1.3 An adequate concealment method is used.: Adequately addressed

1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed

1.5 The treatment and control groups are similar at the start of the trial.: Adequately addressed

1.6 The only difference between groups is the treatment under investigation.: Adequately addressed

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Adequately addressed

**1.8** What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: 20-50%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Adequately addressed

**1.10 Where the study is carried out at more than one site, results are comparable for all sites.**: Not applicable

2.1 How well was the study done to minimise bias?: +

### Study ID

LI2005			
l info Funding source: Non-industry support			
Published or unpublished data?: Published			
Type of study: Cluster randomised trial - intraclass correlation coefficient (ICC) not reported			
Type of analysis: Completer			
Blindness: Open			
Duration: Length of follow-up - 3 and 9 months			
<b>Duration:</b> No. weeks of treatment - Length of study not clear - appeared to be tied to duration of hospitalisation. For the education group, total duration of sessions was 8 hours with the patient and 36 hours with the family in the hospital, 2 hours per month for 3 months after discharge for patient and family together			
Raters: Not stated to be independent of treatment			
Design: Single-centre - Beijing, China			
Number of people screened, excluded & reasons: 101 families recruited and randomised			
Notes about study methods: Randomisation by ward			
Diagnosis: Schizophrenia [% of sample] 100%			

Diagnostic tool: Other method - CCMD-II-R (Chinese Classification of Mental Disorders)

### Inclusion criteria:

- Admitted to hospital for treatment of non-acute schizophrenia

- Age 16-65 years

- Living with a family member at least 3 months prior to the current hospital admission.

### **Exclusion criteria:**

- Evidence of learning disability, presence of known organic mental disorder and significant or habitual drug or alcohol use.

Total sample size: No. randomised - 101

Gender: % female 57%

Age: Range Education / TAU Age <=20: 6 (13) / 5 (9) 21-30: 11 (24) / 19 (34) 31-40: 21 (46) / 22 (40) 41-50: 5 (11) / 9 (16) >=51: 3 (6) / 0 (0)

Setting: Inpatient

Setting: Outpatient

# History:

Education / TAU Hospitalisation times First time: 26 (57) / 32 (58) Second time: 12 (26) / 17 (31) Third or more: 8 (17) / 6 (11) p = 0.6142

# Duration of illness, years

<=1: 17 (37) / 18 (33) >1, <=5: 11 (24) / 20 (36) >5, <=10: 8 (17) / 8 (14) >10, <=20: 9 (20) / 8 (14) >20: 1 (2) / 1 (2) p = 0.3201

### **Baseline stats:**

Education / TAU BPRS: 46.1 (12.5) / 47.1 (10.3)

### NOSIE: 168.2 (36.0) / 159.5 (29.6)

**Interventions Intervention - group 1.:** Family education; n=46

Intervention - group 2.: TAU; n=55

Notes about the interventions:

Family education

The programme was designed primarily to educate families and patients about schizophrenia and its treatment, and to teach skills to help patients and families cope more effectively, particularly with the disruptive consequences of the illness. This was delivered on top of usual care.

#### TAU

The control group received usual standard treatment and care, in which there was no organised education programme, but patients and families could seek information from staff, and educational pamphlets and materials were available in a ward library.

Outcomes Leaving the study early: Leaving due to any reason (non-adherence to study protocol) Global state & service outcomes (e.g. CGI): Relapse - defined as rehospitalisation or BPRS >5 Global state & service outcomes (e.g. CGI): Average score/change in global state - GAS-Chinese Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - BPRS Behaviour (e.g. NOSIE): Average score/change in behaviour - NOSIE

Quality 1.1 The study addresses an appropriate and clearly focused question.: Well covered

**1.2 The assignment of subjects to treatment groups is randomised.:** Not reported adequately

1.3 An adequate concealment method is used.: Not reported adequately

1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed

1.5 The treatment and control groups are similar at the start of the trial.: Well covered

**1.6 The only difference between groups is the treatment under investigation.**: Adequately addressed

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Adequately addressed

1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Poorly addressed

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable

2.1 How well was the study done to minimise bias?: +

Study ID	
<u> </u>	MAGLIANO2006
General info	Funding source: Not mentioned
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	Type of analysis: Completer
	Blindness: Open
	Duration: No. weeks of treatment - 26
	Raters: Independent of treatment
	Design: Multi-centre - 17 Public mental health centres, Italy
	<b>Notes about study methods:</b> 34 mental health workers from 17 centres in Italy selected 71 families of consumers with schizophrenia to take part in the intervention. Eligible families and participants were randomly assigned by means of a computerised random procedure performed by the co-ordinating centre in Naples.
Participants	Diagnosis: Schizophrenia [% of sample] 100%
	Diagnostic tool: DSM-IV
	Inclusion criteria: - DSM-IV diagnosis of schizophrenia - clinically stable - in treatment with the locale centre for >=6 months - living with at least one adult relative
	Total sample size: No. randomised - 71
	Gender: % female 24%
	<b>Age:</b> Mean - 35
	Ethnicity: Not reported
	Setting: Outpatient
	History: [Intervention / Control] Age of onset of illness: 21.7(6.0) / 21.9(6.5) Lifetime voluntary hospital admissions: 2.2(3.3) / 2.4(2.9) Lifetime compulsory admissions: 1.0(2.1) / 0.7(0.9) Months in treatment at mental health centre: 91.7(75.6) / 86.0(72.0)

### **Baseline stats:**

[Intervention / Control] BPRS negative: 2.4(1.0) / 2.3(0.8) BPRS positive: 2.4(1.1) / 2.6(1.1)

### Notes about participants:

[Intervention / Control] Had attended information sessions on schizophrenia in previous 6 months n(%): 11(26) / 9(31) Received individual psychotherapy n(%): 12(29) / 8(28) Had participated in a rehabilitation programme n(%): 22(52) / 16(55) All participants were taking antipsychotic medication

Interventions Intervention - group 1.: Family psychoeducation, 18 1-hour sessions; n=42

Intervention - group 2.: Waiting list control; n=29

### Notes about the interventions:

Family Psychoeducation

The intervention was developed by Falloon and consisted of four components: assessment of individual and family needs; information sessions with consumers and their relatives about clinical aspects of schizophrenia, its treatments and early signs of relapse; communication skills training; and problem-solving skills training. After completing the basic training course, professionals started the intervention with the first group of families. Professionals were instructed to carry out at least three 1-hour sessions a month for each family for 6 months. The frequency and location of the sessions were decided on the basis of each family's needs and the professionals' working time and caseloads.

Training

At each centre two professionals (one psychiatrist or psychologist and one nurse, social worker, or rehabilitator) completed a formal training programme in the family psychoeducational intervention developed by Falloon. The training programme included three monthly modules of 2 and a half days each. In the year after the training course, participants attended four supervision meetings and each month they received by phone tutorial support on family work. Participants were also trained in the use of the assessment instruments selected for the study. **Family/carer involvement:** Both person with schizophrenia and their family/carer

**Outcomes Death:** Natural causes

Leaving the study early: Leaving due to any reason (non-adherence to study protocol)

Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - BPRS

General and psychosocial functioning (e.g. SFS): Average score/change in general functioning - Assessment of disability

Satisfaction with treatment: Carer satisfaction - Family burden

Perception of professional support

Other: Social network questionnaire

# Quality 1.1 The study addresses an appropriate and clearly focused question.: Adequately addressed

1.2 The assignment of subjects to treatment groups is randomised.: Adequately addressed

**1.3 An adequate concealment method is used.:** Well covered

1.4 Subjects and investigators are kept 'blind' about treatment allocation .: Poorly addressed

1.5 The treatment and control groups are similar at the start of the trial.: Adequately addressed

1.6 The only difference between groups is the treatment under investigation.: Adequately addressed

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Adequately addressed

**1.8** What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: 20-50%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Poorly addressed

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not addressed

2.1 How well was the study done to minimise bias?: +

### Study ID

Study ID	MONTERO2001
General info	Funding source: Non-industry support
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	<b>Type of analysis:</b> ITT - For variables not dependent on adherence to intervention (for example, relapse etc) ITT approach was used whereby comparisons included all randomised patients according to the assigned therapy group.
	<b>Type of analysis:</b> Completer - For a subset of variable including social functioning, dose of antipsychotic medication, EE status etc, analysis was conducted on those patients who completed the full programme.
	Blindness: Only raters blind
	Duration: No. weeks of treatment - 52
	Raters: Independent of treatment
	Design: Single-centre - outpatient clinics in one catchment area of Valencia, Spain
	Number of people screened, excluded & reasons: 87 patients were referred and randomised
	<b>Notes about study methods:</b> Randomisation was carried out by an independent institution using Epiinfo method with sealed envelopes containing random numbers.

Participants	Diagnosis: Schizophrenia [% of sample] 100%
	Diagnostic tool: DSM-III-R
	<ul> <li>Inclusion criteria:</li> <li>diagnosis of schizophrenia according to DSM-III-R</li> <li>a recent acute psychotic relapse (within the previous 6 months), with or without hospital admission, and be in remission</li> <li>aged 15 - 45</li> <li>have lived with relatives for the previous 3 months and be planning to remain in the same household for the 12-month period after being</li> </ul>
	enrolled in the study.
	<b>Exclusion criteria:</b> - Patients with a background of substance misuse were excluded if they were physically dependent at the time of the study
	Total sample size: No. randomised - 87
	<b>Total sample size:</b> ITT population - 87 for non-adherence dependent variables
	Gender: % female 33%
	Age: Mean 26.8(6.3)
	Ethnicity: Not reported
	Setting: Outpatient
	History: [BFT / RG]
	Age at onset: 21.4(4.6) / 21.1(4.4)
	Length of illness, years: 5.7(4.5) / 5.3(3.6)
	Previous admission, % other than index admission: 30 / 30
	Baseline stats:
	[BFT / RG] PAS: 6.6(3.2) / 5.9(3.2)
	DAS-II: $3.8(1.1) / 3.9(0.8)$
	High EE, n(%): 28(57.1) / 21(42.8)
	Notes about participants:
	[BFT / RG] Madiation n(%)
	Medication, n(%) Noncompliance: 4(9) / 3(7)
	Maintenance dose: $26(56) / 23(56)$
	High dose: 16(35) / 15(37)

Interventions Intervention - group 1.: behavioural family therapy (BFT), 12 months; n=46

### Intervention - group 2.: Relatives Group (RG) 12 months; n=41

### Notes about the interventions:

Both interventions were on the same schedule: weekly for the first 6 months, every 2 weeks for the next 3 months, and monthly for the last 3 months.

### BFT:

The framework addressed each family unit, including the patient, and was carried out at home. It encompassed three different modules introduced sequentially and integrated later: patient and family education about schizophrenia, training in communication skills, and teaching and practice of problem- solving techniques designed to help families think of solutions and apply them.

### RG:

Began with two educational sessions about schizophrenia for the patient and relatives, provided individually for each family unit at the health centre. The following sessions were attended by only the relatives, and they aimed to teach problem-solving skills, reduce criticism and over involvement, reduce social contact between patient and relatives, expand social networks, and lower expectations. The participants were invited to take part in the weekly RG that took place at the mental health centre. The RG was designed as an open group: new relatives were incorporated as they were referred to the programme, always after the educational sessions. The mean number of participants (usually one per patient, occasionally two) in each session was 8–10. The sessions lasted approximately 90 minutes.

#### Training

Three psychiatrists and one psychiatric nurse were trained intensively in behavioural family therapy for 2 months by the team leader who was in turn trained by Prof. Falloon. Another researcher was trained in Leff's strategy and trained the rest of the team. The participating staff were selected according to their interest in integrated therapeutic approaches. Manuals, workbooks and videotapes were used in training. The therapists met weekly with the team leader for monitoring of progress and supervision.

Family/carer involvement: Both person with schizophrenia and their family/carer

**Outcomes** Leaving the study early: Leaving due to any reason (non-adherence to study protocol)

Global state & service outcomes (e.g. CGI): Average score/change in global state - Global PAS, GHQ

Global state & service outcomes (e.g. CGI): Relapse and psychotic relapse defined as an increase of 3+ points on at least one of the scales.

General and psychosocial functioning (e.g. SFS): Average score/change in general functioning - Global DAS-II

**Other:** Family EE level

Knowledge of illness

### Quality 1.1 The study addresses an appropriate and clearly focused question.: Adequately addressed

**1.2 The assignment of subjects to treatment groups is randomised.:** Well covered

1.3 An adequate concealment method is used.: Well covered

1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed

1.5 The treatment and control groups are similar at the start of the trial.: Adequately addressed

1.6 The only difference between groups is the treatment under investigation.: Adequately addressed

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Adequately addressed

**1.8** What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: 20-50%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Adequately addressed

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable

2.1 How well was the study done to minimise bias?: +

### Study ID

#### RAN2003

 General info
 Funding source: Non-industry support

 Published or unpublished data?: Published

 Method
 Type of study: Cluster randomised trial

 Type of analysis: Completer

 Blindness: Only raters blind

 Duration Names of tractment of tr

**Duration:** No. weeks of treatment - 36

Raters: Independent of treatment

Design: Multi-centre - 6 townships in western rural China

**Number of people screened, excluded & reasons:** 510 patients identified in 6 townships, 357 met inclusion criteria and randomised, 31 refused consent after randomisation (24 had no carers, 7 were afraid of discrimination by community)

Notes about study methods: Random numbers table achieved block randomisation using townships as units.

Participants Diagnosis: Schizophrenia [% of sample] 100

Diagnostic tool: Other method - CCMD-2-R

Diagnostic tool: ICD-10

Inclusion criteria:

- People with schizophrenia living in one of six townships

### **Exclusion criteria:**

- Schizoaffective psychoses

- Comorbid substance misuse

- Has no relatives

Total sample size: No. randomised - FI=132 (adjusted n = 60)

Gender: % female 65

Age: Mean - 43.5

Ethnicity: Chinese

Setting: Outpatient

# **History:**

[Combo / Drug / Control] Years of illness: 11.6 (9.5) / 10.6 (9.6) / 12.3 (8.4)

# **Baseline stats:**

[Combo / Drug / Control] Severe symptom/deterioration in clinical status: 52% / 54% / 53%

# Notes about participants:

Carers [Combo / Drug / Control]: Female: 40% / 45% / 37% Age: 47.1 / 45.1 / 49.2 Parent of patient: 29% / 30% / 30% Spouse of patient: 55% / 52% / 55%

# Interventions Intervention - group 1.: Family psychoeducation + antipsychotics; n=126

Intervention - group 2.: Antipsychotics alone; n=103

Intervention - group 3.: Control; n=97

# Notes about the interventions:

Family psychoeducation:

Building on the psychoeducational family approach and the vulnerability-stress model modified to take account of the characteristics of Chinese rural areas, the main components were as follows:

1. Family education conducted once per month (1.5-3 hours) for 9 months. The purpose was to provide specific advice, support and information to the family, including information relating to mental diseases, treatment and rehabilitation. The patient was encouraged to join the meeting.

2. Multiple family workshops were held once every 3 months, in which general questions were discussed, and relatives shared the experiences of caring for patients.

3. Crisis intervention conducted when necessary (for example, for attempted suicide, aggressive and destructive behaviour). The local village broadcast network was also employed for health education during the first 2 months.

Trained psychiatrists and village doctors conducted all these above-family interventions. Village doctors did not get the same training as psychiatrists, but assisted with the interventions.

### Antipsychotics

Long-term injection of haloperidol decanoate (50-125mg/month) and/or an oral depot.

# Control

Received no treatment within study. Antipsychotics were neither encouraged nor discouraged, and participants were allowed to seek their own treatment.

# Training

Trained psychiatrists and village doctors conducted all the family interventions. Village doctors did not get the same training as psychiatrists, but assisted with the interventions.

Family/carer involvement: Both person with schizophrenia and their family/carer

**Outcomes** Leaving the study early: Leaving due to any reason (non-adherence to study protocol) - because clustering had not been taken into account by trial authors, rate and sample size were adjusted by the design effect assuming an intracluster correlation coefficient of .02

**Global state & service outcomes (e.g. CGI):** Relapse - defined as change from a normal or no schizophrenic state to a state of schizophrenia by PSE-derived criteria, or a marked worsening of schizophrenic symptoms - (% converted into n) [because clustering had not been taken into account by trial authors, relapse rate and sample size were adjusted by the design effect assuming an intracluster correlation coefficient of .02]

**Global state & service outcomes (e.g. CGI):** Clinically significant response in global state - Clinical Status (% full recovery, significant improvement or severe symptom/deterioration) - added to RevMan under no significant improvement [because clustering had not been taken into account by trial authors, rate and sample size were adjusted by the design effect assuming an intracluster correlation coefficient of .02]

**Mental state (e.g. BPRS, PANSS, BDI):** Clinically significant response in mental state - Mental disability (% mild, moderate, serious or most serious) defined as mental illness lasting over a year, which to some extent had an impact on family or social functioning. (Label is a composite score of different measures not used in any other paper)

**General and psychosocial functioning (e.g. SFS):** Clinically significant response in general functioning - Ability to work (% full-time, parttime or not able) as not able to work [because clustering had not been taken into account by trial authors, rate and sample size were adjusted by the design effect assuming an intracluster correlation coefficient of .02]

Non-adherence to study medication: Non-adherence rate and sample size were adjusted by the design effect assuming an intracluster correlation coefficient of .02

**Other:** Relatives' knowledge of illness (Relatives Investigation Scale and Relatives' Beliefs Scale) - means only reported for single items in the Relatives' Beliefs Scale and not total score

# Quality 1.1 The study addresses an appropriate and clearly focused question.: Well covered

1.2 The assignment of subjects to treatment groups is randomised.: Adequately addressed

1.3 An adequate concealment method is used.: Not addressed

1.4 Subjects and investigators are kept 'blind' about treatment allocation .: Poorly addressed

1.5 The treatment and control groups are similar at the start of the trial.: Well covered

**1.6 The only difference between groups is the treatment under investigation.:** Not addressed

**1.7 All relevant outcomes are measured in a standard, valid and reliable way.**: Adequately addressed

1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Not addressed

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not addressed

2.1 How well was the study done to minimise bias?: +

### Study ID

00000

	SO2006
General info	Funding source: Non-industry support
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	Type of analysis: Completer
	Blindness: No mention
	Duration: No. weeks of treatment - 6
	<b>Duration:</b> Length of follow-up - Also contained a 6 month follow-up but data not used as waiting list control participated in the intervention after the initial 6 weeks
	Raters: Not stated to be independent of treatment
	Design: Multi-centre - 3 sites in Hong Kong
	Number of people screened, excluded & reasons: Not reported
	Notes about study methods: Carers were randomly assigned by a computer to an active intervention or waiting list control group.
Participants	Diagnosis: Schizophrenia [% of sample] 100% schizophrenia, schizoaffective or schizophreniform disorder (not broken down any further)
	Diagnostic tool: ICD-10

### Inclusion criteria:

Inclusion criteria for relatives included

- a family member was experiencing first episode psychosis

- being the major carer of the patient

- living with the patient at time of recruitment

- informed written consent

### **Exclusion criteria:**

Relative were not recruited if:

- they were actively receiving psychiatric services

- patient was receiving inpatient treatment

Total sample size: No. randomised - 45

**Total sample size:** ITT population - 44 (completers only)

Gender: % female 84% female (carers only)

**Age:** Mean 49 (age of carers)

Ethnicity: Details not reported

Setting: Outpatient

**History:** All participants were experiencing a first-episode of psychosis and were recruited from the Early Assessment Service for Young People with Psychosis (EASY)

**Baseline stats:** 

[FI / Control] PANSS: 54.3(27.4) / 51.4(15.4)

Interventions Intervention - group 1.: Family Intervention, 6 session, 1.5 hours per week; N = 22

**Intervention - group 2.:** Waiting list control; N = 23

# Notes about the interventions:

Family Intervention

The first 3 sessions were organised to increase and consolidate the carer's knowledge about psychosis. The last 3 aimed at enhancing skills in managing the patients' illness and their own stress. Major components of the intervention included education on early psychosis and its treatment, handling difficult behaviours, stress management, communication skills and relapse prevention.

Waiting list control

Carers on the waiting list received standard care from the patient's case manager.

Carers in the study were not given any intensive individual or family psychotherapy other than that in the active condition. All patients were

treated with antipsychotic medication with efforts made to ensure that their regular medical service was unaffected.

Training and supervision A Masters level psychologist ran the sessions under supervision from the first author Family/carer involvement: Only family/carer involved Outcomes Leaving the study early: Leaving due to any reason (non-adherence to study protocol) **Other:** The Chinese Ways of Coping Questionnaire The Experience of Caregiving Inventory Level of expressed Emotion Knowledge about psychosis Quality 1.1 The study addresses an appropriate and clearly focused question.: Adequately addressed 1.2 The assignment of subjects to treatment groups is randomised.: Adequately addressed 1.3 An adequate concealment method is used.: Not addressed 1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed **1.5 The treatment and control groups are similar at the start of the trial.:** Well covered **1.6 The only difference between groups is the treatment under investigation.**: Well covered 1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Adequately addressed 1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20% 1.9 All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Poorly addressed 1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Well covered 2.1 How well was the study done to minimise bias?: +

### Study ID

	SZMUKLER2003		
General info	o Funding source: Non-industry support		
	Published or unpublished data?: Published		
Method	Type of study: Individual randomised trial (effectiveness/pragmatic)		
	Type of analysis: ITT - Analysis was planned on an ITT basis		

NB: 49 of the 61 participants from Camberwell completed the follow-up (57/77 of the total sample e.g. Camberwell + Peckham, were followed up.)

Blindness: Open Duration: No. weeks of treatment - 36

**Duration:** Length of follow-up - 6 months

Raters: Not stated to be independent of treatment

Design: Single-centre UK (Main results relate to a defined catchment area in Camberwell)

**Number of people screened, excluded & reasons:** The study aimed to recruit carers of patients experiencing a psychotic disorder being treated by two community mental health teams in a defined catchment area. Of the 146 patients, 61 agreed to participate (42%). Reasons for non-engagement in the programme were: patient objection (12%), carer too busy or cannot make the commitment (29%), carer desires practical help not support (9%), carer not interested (24%).

Further carers from Peckham were sought to increase the power of the study. However as these were not representative of the total population of carers, the results are based on Camberwell with only a brief reference to the total group.

**Notes about study methods:** Randomisation occurred within strata (using permuted blocks with varying block size). Stratification was based on the patient's diagnosis and the carer's relationship to the patient.

Process was conducted by an independent statistician who was not involved again in the trial until after its completion.

Participants Diagnosis: Schizophrenia [% of sample] 51%

**Diagnosis:** Other schizophrenia related [%] Schizoaffective disorder - % not reported **Diagnosis:** Other [%] bipolar affective disorder - % not reported; psychotic depression - % not reported

% reported for other diagnosis which included the above and schizoaffective disorder

Diagnostic tool: Other method - patients were diagnosed by their consultant

Total sample size: No. randomised - 61 (77 including Peckham carers)

Total sample size: ITT population - 49 (Camberwell carers only)

Gender: % female 82% - carers data

Age: Mean 54(14) - carers data

Ethnicity: Ethnicity of carers was examined in relation to recruitment into the study. (number recruited / number approached)

- 40/78 white carers

- 18/54 black carers

**Setting:** Other carers of patients experiencing a psychotic disorder being treated by two closely related CMHTs in Camberwell and CMHTs in Peckham.

Baseline stats: None reported

**Notes about participants:** A carer was defined as someone in at least monthly face-to-face contact in a supportive role toward the patient and was considered to be in such a role by the patient and themselves.

**Interventions Intervention - group 1.:** Intervention group, 6 individual family settings, 12 fortnightly relatives group over 9 months; n=30 (36 Camberwell + Peckham)

Intervention - group 2.: Control, 1 hour session; n=31 (41 Camberwell + Peckham)

### Notes about the interventions:

Family Intervention

- Based on the programme developed in Melbourne and started with 6 individual family sessions offered in the family home.

- Followed by 12 fortnightly relatives' groups which aimed to consolidate initial gains and allow further opportunities to deal with carers' problems in a supportive environment. Each session ran for 1.5 hours and included a talk given by a speaker with special knowledge or experience of a particular area. This was followed by a general discussion where effective communications were facilitated within a problem-solving framework. Strategies and solutions were shared with in the group.

Control

- Consisted of a single 1 hour session in which the study was described and caregiving problems discussed. Caregivers were given the same aids as those in the intervention group.

Training

The 6 individual sessions and 12 relatives' groups were run by the same carers' support worker. The support worker was an experienced Thorn-trained Community Psychiatric Nurse working under weekly supervision of the research team.

Family/carer involvement: Only family/carer involved

**Outcomes** Leaving the study early: Leaving due to any reason (non-adherence to study protocol)

Global state & service outcomes (e.g. CGI): Days in hospital

Global state & service outcomes (e.g. CGI): Re-hospitalisation

**Other:** Carer morbidity (Clinical Interview Schedule Revised - CISR); Experience of Caregiving Inventory (ECI); Coping with Life Events and Difficulties Interview (COPI) (effective coping, ineffective coping); Self Evaluation and Social Support Schedule (SESS) (confidants, general support); Severity of caregiving difficulty

Quality 1.1 The study addresses an appropriate and clearly focused question.: Adequately addressed

1.2 The assignment of subjects to treatment groups is randomised.: Well covered

1.3 An adequa	te concealment	method is used.:	Well covered
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1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed

1.5 The treatment and control groups are similar at the start of the trial.: Adequately addressed

1.6 The only difference between groups is the treatment under investigation.: Adequately addressed

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Adequately addressed

**1.8** What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: 20-50%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Adequately addressed

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable

2.1 How well was the study done to minimise bias?: +

#### Study ID

Sludy ID	
Study ID	VALENCIA2007
General info	Funding source: Non-industry support
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	Type of analysis: Completer
	Blindness: Only raters blind
	Duration: No. weeks of treatment 52
	Raters: Independent of treatment
	Design: Single-centre - Mexico
	<b>Number of people screened, excluded &amp; reasons:</b> 98 participants were randomised, a total of 16 failed to complete the study leaving a final sample of 82 in the analysis.
	Notes about study methods: Randomisation procedure not reported
Participants	Diagnosis: Schizophrenia [% of sample] 100%
	Diagnostic tool: DSM-IV
	<ul> <li>Inclusion criteria:</li> <li>outpatients diagnosed with schizophrenia according to DSM-IV, who were taking antipsychotic medication.</li> <li>clinically stable in terms of psychotic symptoms (corroborated by PANSS &lt; 60)</li> </ul>

- aged 16-60

- completed at least 6 years of elementary education

- lived with family and resided in Mexico City

- Provided written informed consent.

Total sample size: No. randomised - 98 initially randomised, 82 used in the analysis

Gender: % female 22%

Age: Mean - 29.8(6.8)

Ethnicity: Not reported

Setting: Outpatient

**History:** average age of illness onset = 21.3(5.4)

**Baseline stats:** 

[PSST / TAU] PANSS: 115.2(30.5) / 107.9(22.6) GPS: 57.5(16.0) / 53.6(12.2) GPSF: 3.2(0.6) / 3.1(0.6) GAF: 43.3(6.3) / 44.1(8.0)

Interventions Intervention - group 1.: PSST; n=43

Intervention - group 2.: TAU; n=39

Notes about the interventions:

TAU

Provided at the schizophrenia clinic by two clinical psychiatrists who were blind to the treatment conditions. TAU included the following features/tasks: 20-minute monthly appointments during a 1 year period, controlled the prescription of antipsychotic medication based upon the assessment of psychotic symptoms, checked medication compliance, recorded attendance to consultations and registered all information for their clinical files.

In addition to TAU, the experimental group underwent psychosocial skills training (PSST) and family therapy (FT).

# PSST

Composed of 7 treatment areas: symptom management, medication management, social relations, occupational, money management, couple relations and family relations based on a therapists training manual. The sessions used six learning activities to teach patients skills acquisition. PSST was in the form of group sessions, 8 participants per group, for up to 1 hour 15 minutes, once a week for a total of 48 sessions over the course of 1 year.

### FT

The first part of FT consisted of psychoeducation, which included 8 group sessions where all the patients' relatives received information about the illness, symptoms and medication management. The second part consisted of 4 sessions for each family to improve communication skills, recognition and management of the warning signs of relapse, the importance of medication and its side effects, compliance with antipsychotic medication and keeping appointments with physicians.

**Outcomes** Leaving the study early: Leaving due to any reason (non-adherence to study protocol)

Global state & service outcomes (e.g. CGI): Average score/change in global state - GAF

Global state & service outcomes (e.g. CGI): Relapse - not defined

Global state & service outcomes (e.g. CGI): Re-hospitalisation

Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - PANSS

General and psychosocial functioning (e.g. SFS): Average score/change in general functioning - PSFS

**Engagement with services (e.g. SES):** Average score/change in engagement with services - Compliance with antipsychotic medication - defined as patients having taken at least 80% of the prescribed antipsychotic medication.

Therapeutic adherence - 1) patients' attendance at therapy sessions; 2) number of patients who completed the intervention, compared with those who dropped out.

Quality 1.1 The study addresses an appropriate and clearly focused question.: Well covered

1.2 The assignment of subjects to treatment groups is randomised.: Not reported adequately

1.3 An adequate concealment method is used.: Not addressed

1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed

**1.5 The treatment and control groups are similar at the start of the trial.:** Adequately addressed

**1.6 The only difference between groups is the treatment under investigation.:** Well covered

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered

1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Poorly addressed

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable

2.1 How well was the study done to minimise bias?: +

# References of included studies (update)

# BRADLEY2006

Bradley G.M.; Couchman G.M.; Perlesz A.; Nguyen A.T.; Singh B.; Riess C. (2006) Multiple-family group treatment for English- and Vietnamese-speaking families living with schizophrenia. *Psychiatric Services*57: 521-530.

# BRESSI2008

Bressi, C.; Manenti, S.; Frongia, P.; Porcellana, M.; Invernizzi, G. (2008) Systemic family therapy in schizophrenia: A randomized clinical trial of effectiveness. *Psychotherapy and Psychosomatics*. 77(1)

# CARRA2007

Carra,G.; Montomoli,C.; Clerici,M.; Cazzullo,C.L. (2007) Family interventions for schizophrenia in Italy: Randomized controlled trial. *European* Archives of Psychiatry and Clinical Neuroscience. 257(1): 23 - 30.

# CHENG2005

Cheng LY; Chan S; (2005) Psychoeducation program for Chinese family carers of members with schizophrenia. *Western Journal of Nursing Research* 27(5): 583-599.

# CHIEN 2004A

Chien,W.T.; Norman,I.; Thompson,D.R. (2004) A randomized controlled trial of a mutual support group for family caregivers of patients with schizophrenia. *International Journal of Nursing Studies* 41(6): 637 - 649.

### CHIEN2004B

\*Chien,W.T.; Chan,S.W. (2004) One-year follow-up of a multiple-family-group intervention for Chinese families of patients with schizophrenia. *Psychiatric Services* 55(11): 1276 - 1284.

Chien, W.T.; Chan, S.; Morrissey, J.; Thompson, D. (2005) Effectiveness of a mutual support group for families of patients with schizophrenia. *Journal of Advanced Nursing*. 51(6): 595-608.

Chien,W.T.; Chan,S.-W.C.; Thompson,D.R. (2006) Effects of a mutual support group for families of Chinese people with schizophrenia: 18-month follow-up. *British Journal of Psychiatry* 189: 41 - 49.

# CHIEN2007

Chien,W.T.; Wong,K.F. (2007) A family psychoeducation group program for Chinese people with schizophrenia in Hong Kong. *Psychiatric Services*. 58(7): 1003 - 1006.

### GARETY2008

Garety, P.A., Fowler, D.G., Freeman, D., Bebbington, P., Dunn, G. & Kuipers, E. (2008) A randomised controlled trial of cognitive behavioural therapy and family intervention for the prevention of relapse and reduction of symptoms in psychosis. *British Journal of Psychiatry* 192: 412-423.

### JENNER2004

\*Jenner, J.A.; Nienhuis, F.J.; Wiersma, D.; van de Willige, G (2004) Hallucination focused integrative treatment: a randomized controlled trial. *Schizophrenia Bulletin* 30(1): 133 - 145.

Jenner, J.A.; Nienhuis, F.J.; van de Willige, G; Wiersma, D. (2006) "Hitting" voices of schizophrenia patients may lastingly reduce persistent auditory hallucinations and their burden: 18-month outcome of a randomized controlled trial. *Canadian Journal of Psychiatry - Revue Canadienne de Psychiatrie* 51(3): 169 - 177.

### KOPELOWICZ2003

Kopelowicz, A.; Zarate, R.; Gonzalez Smith, V; Mintz, J.; Liberman, R.P. (2003) Disease management in Latinos with schizophrenia: a family-assisted, skills training approach. *Schizophrenia Bulletin* 29(2): 211 - 227.

### LEAVEY2004

Leavey, G.; Gulamhussein, S.; Papadopoulos, C.; Johnson-Sabine, E.; Blizard, B.; King, M. (2004) A randomized controlled trial of a brief intervention for families of patients with a first episode of psychosis. *Psychological Medicine*. 34(3): 423 - 431.

### LI2005

Li,Z.; Arthur,D. (2005) Family education for people with schizophrenia in Beijing, China: randomised controlled trial. *British Journal of Psychiatry* 187: 339 - 345.

### LINSZEN1996

Lenior, M.E., Dingemans, P.M.A.J., Linszen, D.H., De Haan, L. & Schene, A.H. (2001) Social functioning and the course of early-onset schizophrenia. *British Journal of Psychiatry* 179: 53-58. \*Linszen,D.; Dingemans,P.; Van der Does,J.W.; Nugter,A.; Scholte,P.; Lenoir,R.; Goldstein,M.J. (1996) Treatment, expressed emotion and relapse in recent onset schizophrenic disorders. *Psychological Medicine* 26(2): 333 - 342.

# MAGLIANO2006

Magliano,L.; Fiorillo,A.; Malangone,C.; De Rosa,C.; Maj,M.; Maresca,L.; Cavaliere,G.; Delcuratolo,V.; Giannini,M.; Ambra,L.; Malacarne,A.; Gentile,F.; Casale,L.; Raffaeli,M.; Innocente,P.; Salmeri,R.; Cantone,R.; Scordato,M.; Campo,G.; Curreli,R.; Miscali, S., et al. (2006) Patient functioning and family burden in a controlled, real-world trial of family psychoeducation for schizophrenia. *Psychiatric Services* 57(12): 1784 - 1791.

### MONTERO2001

Montero, I., Asencio, A., Hernandez, I., Masanet, M.J., Lacruz, M., Bellver, F., Iborra, M., Ruiz, I. (2001) Two strategies for family intervention in schizophrenia: A randomised trial in a Mediterranean environment. *Schizophrenia Bulletin* 27(4): 661-670.

Montero,I.; Hernandez,I.; Asencio,A.; Bellver,F.; Lacruz,M.; Masanet,M.J. (2005) Do all people with schizophrenia receive the same benefit from different family intervention programs?. *Psychiatry Research* 133: 187 - 195.

Montero,I.; Masanet,M.J.; Bellver,F.; Lacruz,M. (2006) The long-term outcome of 2 family intervention strategies in schizophrenia. *Comprehensive Psychiatry* 47(5): 362 - 367.

### RAN2003

Ran, M.S.; Xiang, M.Z.; Chan, C.L.; Leff, J.; Simpson, P.; Huang, M.S.; Shan, Y.H.; Li, S.G. (2003) Effectiveness of psychoeducational intervention for rural Chinese families experiencing schizophrenia--a randomised controlled trial. *Social Psychiatry and Psychiatric Epidemiology* 38(2): 69 - 75.

### SO2006

So,H.W.; Chen,E.Y.H.; Chan,R.C.K.; Wong,C.W.; Hung,S.F.; Chung,D.W.S.; Ng,S.M.; Chan,C.L.W. (2006) Efficacy of a brief intervention for carers of people with first-episode psychosis: A waiting list controlled study. *Hong Kong Journal of Psychiatry*. 16(3), 92-100

### SZMUKLER2003

Szmukler, G., Kuipers, E., Joyce, J., Harris, T., Leese, M., Maphosa, W., Staples, E. (2003) An exploratory randomised controlled trial of a support programme for carers of patients with a psychosis. *Social Psychiatry and Psychiatric Epidemiology* 38: 411-418.

### VALENCIA2007

Valencia, R., Juarez, M. (2007) A psychosocial skills training approach in Mexican out-patients with schizophrenia. *Psychological Medicine* 37(10): 1393-1402.

### Characteristics of excluded studies (update)

#### BAZZONI2003

Reason for exclusion: Paper not in English

### Leff 2003

Reason for exclusion: Population not in protocol e.g. depression.

#### MOTLOVA2002

Reason for exclusion: Foreign language paper

#### Stein2003

Reason for exclusion: Conference abstract only

# References of excluded studies (update)

Bazzoni, A.; Rosicarelli, M.L.; Picardi, A.; Mudu, P.; Roncone, R.; Morosini, P. (2003) A controlled clinical trial of a group intervention for relatives of patients with schizophrenia. *Italian Journal of Psychopathology* 9: 10 - 16.

Leff,J.; Alexander,B.; Asen,E.; Brewin,C.R.; Dayson,D.; Vearnals,S.; Wolff,G. (2003) Modes of action of family interventions in depression and schizophrenia: The same or different? *Journal of Family Therapy* 25(4): 357- 370.

Motlova,L.; Dragomirecka,E.; Spaniel,F.; Selepova,P. (2002) Family psychoeducation in schizophrenia and quality of life in patients and their relatives. *Psychiatrie* 6: 46 - 49.

Stein, M.K.; Glynn, S.M.; Shepherd, J.G.; Rook, K.S.; Vo, M.; Potkin, S.G. (2003) A test of a culturally appropriate family sponsorship program for Caucasian and Vietnamese caregivers of persons with schizophrenia. *Schizophrenia Research* 60: 328 - 329.

# Psychodynamic and psychoanalytic therapies

Previous guideline	1. Review type	Interventions	Outcomes reported in review
review	2. Funding		-
	3. Period covered		
	4. Data analysis		
	5. No. of studies		
	6. No. randomised		
Malmberg L, Fenton	1. Systematic review and meta-	1. Psychodynamic psychotherapy-defined as	1. Committed suicide by 3 years (May 1976)
M. Individual	analysis of RCTs.	regular individual therapy sessions with a trained	2. Global impression
psychodynamic	2. Intramural sources of support	psychotherapist, or a therapist under supervision.	a. Not able to be discharged (May 1976)
psychotherapy and	to the review: Porvoo Hospital,	Therapy sessions were to be based on a	b. Given medication during 12 months, 3
psychoanalysis for	Finland; Cochrane	psychodynamic or psychoanalytic model. Sessions	years follow-up (May 1976)
schizophrenia and	Schizophrenia Group, UK.	could rely on a variety of strategies, including	c. Rehospitalised (Gunderson 1984)
severe mental illness	Extramural sources of support	explorative insight-oriented, supportive or	d. Returned to hospital (O'Brien 1972)
(Cochrane Review).	to the review: Finnish Office for	directive activity, applied flexibly. However,	e. Not improved at 24 months (O'Brien
In: The Cochrane	Health Technology Assessment	therapists should use a less strict technique than	1972)
Library, Issue 4, 2001.	(FinOHTA); Finska	in psychoanalysis. To be considered well-defined	f. Discharged from therapy (O'Brien1972)
Oxford: Update	Läkaresällskapet.	psychodynamic psychotherapy, trialists needed to	g. Remaining in therapy (O'Brien 1972)
Software.	3. Database origin to 1999	include working with transference.	h. Not able to perform major household
	4. Meta-analysis of Relative Risk	2. Psychoanalysis- defined as regular individual	responsibilities (Gunderson 1984)
	and Weighted Mean Difference.	sessions, planned to last a minimum of 30	i. Not able to in enjoy a significant
	5. 3.	minutes, with a trained psychoanalyst three to	relationship (Gunderson 1984)
	6. 492 (Total).	five times a week. Psychoanalysis was required to	j. Not self supporting (Gunderson 1984)
		have been planned to continue for at least 1 year.	3. Achieved best level of health (Menninger
		Analysts were required to adhere to a strict	Health Sickness scale: high=good) (May
		definition of psychoanalytic technique. To be	1976)
		considered well-defined psychoanalysis, trialists	4. Treatment not considered successful by
		needed to report working at the infantile sexual	treatment team (May 1976)
		relations level of psychoanalytic theory.	5. Leaving the study early (Gunderson
		3. Standard care - the care a person would	1984)
		normally receive had they not been included in	Where possible, outcomes grouped into the
		the research trial. The category 'standard care' also	time periods 1-6 months (short-term), 7-12
		incorporates 'waiting list control groups' where	months (medium-term), >12 months (long-

Study characteristics tables: Psychodynamic and psychoanalytic therapies

Update	New studies: 1 RCT.	without receiving any care.	Notes: Definition updated
		5. No care - this group included people randomised to no treatment or to a waiting list	
		CBT and other 'talking therapies'.	
		non-directive counselling, supportive therapy,	
		psychological and/or social interventions, such as	
		4. Other psychosocial therapies - additional	,
		participants receive drug or other interventions.	term).

	Methods	Participants	Interventions	Outcomes	Notes
Study			<u> </u>		
Gunderson1984	no further details. Duration: 2 years, had to stay in therapy for 6 months to be	three times. Age: 18-35 years.	1. Insight-oriented psychotherapy: n=88*. 2. Reality-adaptive, supportive psychotherapy: n=76*.	household responsibilities, unable to have key relationship, not self supporting). Leaving the study early. Unable to use - Cognition (no SD). Ego functioning (no SD).	Gunderson reports randomising 95 people. In earlier report of same study (Stanton 1984) 164 people were said to have been randomised. For the 69 dropouts there are no other available data other than leaving the study early. There are only usable data of 95 people staying in therapy beyond 6 months. <b>Allocation concealment B</b>
May1976	Allocation: random, no further details.	initially, then in community. Diagnosis: schizophrenia, no	1. Individual psychotherapy: n=46.	Global impression (discharge from hospital).	Allocation concealment B
	Duration: until discharge or 6-12 months of treatment. Follow up after discharge (and from assigned interventions) up to 5 years. Usable data available for 3	N=228. Sex: male and female. History: first admission with no significant prior treatment. Exclusions: people who were 'obviously not going to be discharged within 2 years', and those whose illness went into remission during 18 day assessment period.	<ol> <li>Ataraxic drugs (trifluperazine): n=48.</li> <li>Individual psychotherapy and ataraxic drugs: n=44.</li> <li>ECT: n=47.</li> <li>Milieu therapy and ataraxic drugs: n=43.</li> </ol>	Follow up. Menninger Health Sickness Scale (MHSS). Medication use after discharge. Best level of functioning. Unable to use - Relapse (no usable data).	Anotation conceannent D
O'Brien1972	Allocation: random, no further details. Duration: 20 months.	notes contained clear evidence of a psychotic episode, no	1. Individual psychotherapy: n=50. 2. Group psychotherapy: n=50.	Global impression (rehospitalisation, not improved, discharged, remaining in therapy).	Dropped 13 participants from analysis, but it was clear from which groups, so they were added back in an effort to

Characteristics of included studies (previous guideline)

Study characteristics tables: Psychodynamic and psychoanalytic therapies

Sex: 39 male, 61female.	All participants on	Unable to use -	undertake an intention to treat
Age: mean ~37 years.	medication at the start	Mental state (BPRS - no usable	analysis.
History: newly discharged	of the study.	data, Zung Self Rating Scale - no	No details of orientation or
from acute inpatient care;		data).	frequency of sessions.
mean number of		Mental status (Mental Status	-
hospitalisations ~2.9.		Scale - no usable data).	
		Social functioning (Social	Allocation concealment B
		Effectiveness Scale - no usable	
		data).	
		Leaving the study early (no	
		data).	

Allocation concealment: A = adequate, B = unclear, C = inadequate, D = allocation concealment was not used as a criterion to assess validity.

## References of included studies (previous guideline)

## Gunderson 1984 (published data only)

Carpenter WT. (1984) A perspective on the psychotherapy of schizophrenia project. Schizophrenia Bulletin; 10(4):599-603.

Docherty J. (1984) O tempora, o mores: directions in research on the psychotherapeutic treatment of schizophrenia. Schizophrenia Bulletin;10(4):621-3.

Frank AF, Gunderson JG. (1990) The role of the therapeutic alliance in the treatment of schizophrenia. Relationship to course and outcome. *Archives of General Psychiatry*; 47:228-36.

Gunderson JG, Frank AF. (1985) Effects of psychotherapy in schizophrenia. Yale Journal of Biological Medicine; 58(4):373-81.

\* Gunderson JG, Frank AF, Katz HM, Vannicelli ML, Frosch JP, Knapp PH. (1984) Effects of psychotherapy in schizophrenia. II. Comparative outcome of two forms of treatment. *Schizophrenia Bulletin*; 10(4):564-98.

May P. (1984) A step forward in research on psychotherapy of schizophrenia. Schizophrenia Bulletin; 10(4):604-7.

Muller C. (1984) Psychotherapy in schizophrenia: the end of the pioneers' period. Schizophrenia Bulletin; 10(4):618-20.

Stanton AH, Gunderson JG, Knapp PH, Frank AF, Vannicelli ML, Schnitzer R, Rosenthal R. (1984) Effects of psychotherapy in schizophrenia. I. Design and implementation of a controlled study. *Schizophrenia Bulletin*; 10(4):520-63.

# May 1976 (published data only)

\* May PR, Tuma AH, Dixon WJ. (1976) Schizophrenia: a follow-up study of results of treatment. I. Design and other problems. *Archives of General Psychiatry*; 33(4):474-8.

May PR, Tuma AH. (1965) Treatment of schizophrenia: an experimental study of five treatments. British Journal of Psychiatry; 111:503-10.

May PR, Tuma AH, Dixon WJ. (1981) Schizophrenia: a follow-up study of the results of five forms of treatment. *Archives of General Psychiatry* ;38(7):776-84.

May PR, Tuma AH, Dixon WJ, Yale C, Theile DA, Kraude WH. (1976) Schizophrenia: a follow-up study of results of treatment. II. Hospital stay over two to five years. *Archives of General Psychiatry*;33(4):481-6.

Tuma AH, May PR, Yale C, Forsythe AB. (1978) Therapist characteristics and the outcome of treatment in schizophrenia. *Archives of General Psychiatry*;35:81-5.

Tuma AH, May PR, Yale C, Forsythe AB. (1978) Therapist experience, general clinical ability, and treatment outcome in schizophrenia. *Journal of Consulting and Clinical Psychology*;46(5):1120-6.

Tuma H, May P. (1975) Psychotherapy, drugs and therapist experience in the treatment of schizophrenia: a critique of the Michigan State Project. *Psychotherapy Theory, Research and Practice*;12(2):138-42.

# O'Brien 1972 (published data only)

Mintz J, O'Brien C, Luborsky L. (1976) Predicting the outcome of psychotherapy for schizophrenics: relative contributions of patient, therapist, and treatment characteristics. *Archives of General Psychiatry*;33(10):1183-6.

\* O'Brien CP, Hamm KB, Ray BA, Pierce JF. (1972) Group versus individual psychotherapy with schizophrenics. *Archives of General Psychiatry*; 27:474-8.

# Characteristics of excluded studies (previous guideline)

Study	Reason for exclusion	
Appelbaum 1986	Allocation: not randomised, description of organisation of psychotherapy wards.	
Armstrong 1991	Allocation: random. Participants: unclear. Interventions: Life Skills Programme in Day Hospital, vs. Supportive Psychotherapeutic Milieu in Day Hospital. Not psychoanalytic or psychodynamic therapy.	
Azima 1959	Allocation: unclear. Methods: drugs given and observations collected using a double blind method, likely A-B-C-A crossover trial. Interventions: phenobarbital or reserpine, not psychodynamic therapy.	
Bellak 1973	Allocation: not randomised, case report.	
Cancro 1987	Allocation: not randomised, review.	
Carpenter 1993	Allocation: not randomised, review.	
Chiesa 1999	Allocation: random. Exclusion criteria: schizophrenia.	
Chodoff 1982	Allocation: not randomised, review.	
Cormier 1987	Allocation: not randomised, before and after study.	
Dyrud 1973	Allocation: not randomised, review.	
Epstein 1981	Allocation: not randomised, review.	
Falloon 1983	Allocation: random. Participants: people with schizophrenic. N=36. Intervention: family therapy and supportive individual therapy, not psychodynamic therapy.	
Friedman 1973	Allocation: not randomised, review.	
Gabbard 1997	Allocation: not randomised, review.	
Gillieron 1980	Allocation: not randomised, survey and factorial analysis of a questionnaire.	

	Allocation: random, no further information.			
Glick 1974	Participants: schizophrenia. N=60.			
	Interventions: short vs long hospitalisation, not psychoanalytic therapy.			
	Allocation: random.			
Guthrie 1997	Participants: participants without psychosis.			
	Personal communication from Dr Guthrie.			
Harding 1994	Allocation: not randomised, review.			
	Allocation: random, no further details.			
	Participants: people with schizophrenia or schizoaffective disorder. N=186.			
Hogarty 1997	Interventions: personal therapy, family psychoeducation, combined personal therapy and family psychoeducation or supportive			
	therapy. Personal therapy seemed to have a definition akin to CBT. "Through a process called 'internal coping', personal therapy			
	encouraged the participant to identify the affective, cognitive and physiological experience of stress."			
Kaplan 1985	Allocation: not randomised.			
	Allocation: random.			
	Participants: people with schizophrenia.			
Karon 1969	Interventions: psychoanalytic individual therapy versus ego analytic therapy versus supportive psychotherapy versus medication.			
	Outcomes: psychological tests (Thorndyke Gallup Vocabulary, Porteus Mazes, WAIS IQ test, Visual-Verbal Test), use of			
	medication (no usable data).			
Karon 1984	Allocation: not randomised, review.			
Klerman 1984	Allocation: not randomised, review.			
Krull 1987	Allocation: not randomised, review.			
Lindberg 1981	Allocation: non random, matched pairs retrospective study.			
Luborsky 1975	Allocation: not randomised, review.			
Matussek 1974	Allocation: not randomised, cohort study.			
Mueser 1990	Allocation: not randomised, review and editorial.			
Muller 1978	Allocation: not randomised, review.			
Res. committee 1975	75 Allocation: not randomised, review.			
Resch 1994	Allocation: not randomised, review.			
	Allocation: random.			
Roback 1972	Participants: hospitalised psychiatric male service users, n=24 (20 diagnosed with schizophrenia).			
1000uck 1972	Interventions: interpretive group therapy vs. interactive group therapy.			
	Outcomes: self rating of insight, psychometric tests. Data not usable.			

	Allocation: random.
Rogers 1967	Participants: people with schizophrenia, with people without schizophrenia as controls.
	Interventions: therapeutic relationships.
Rubins 1974	Allocation: not randomised, review.
C -11- ( <b>0</b> 001	Allocation: random.
Schachter 2001	Participants: not unclear if schizophrenia.
Schneider 1993	Allocation: not randomised, review.
Scott 1995	Allocation: not randomised, review.
Silverman 1978	Allocation: not randomised, review.
	Allocation: participants allocated to 'psychiatric aides'.
Sines 1961	Participants: 40 with schizophrenia, 7 'mental defectives', and 13 other diagnoses, N=117.
5111es 1901	Interventions: twice weekly meetings of 50 minutes for the purpose of 'improving the patient's psychiatric and behavioural
	status'. During the 50 minutes, aides engaged in various activities, none of which resembled psychodynamic therapy.
	Allocation: random.
Sjostrom 1990	Participants: people with schizophrenia (DSM III). N=16.
Sjostion 1990	Intervention: psychotherapy with dynamic elements versus standard care.
	Outcomes: no usable data.
Stevens 1973	Allocation: not randomised, sociological observation of services.
Sverdlov 1980	Allocation: not randomised, study of remission formation.
	Allocation: random.
Tarrier 1999	Participants: people with chronic schizophrenia experiencing residual psychotic symptoms, N=150.
	Interventions: cognitive behavioural psychotherapy.
Tienari 1986	Allocation: not randomised, review.
	Allocation: random.
Volterra 1996	Participants: people with schizophrenia.
voiterra 1990	Intervention: group and individual psychotherapy (plus haloperidol 2mg/day) versus drug treatment alone.
	Outcome: no data available (Congress abstract).
	Allocation: randomised.
Vora 1977	Participants: clinic attendees who received therapy in excess of 1 year, 53% neurotic, 47% either psychotic or characterological
	disorders, data not presented for people with schizophrenia alone.
Werbart 1988	Allocation: not randomised, review.

Young 1979	Allocation: random Participants: 141 with schizophrenia and 94 without schizophrenia Interventions: long vs. short hospitalisation and therapists A-B-scores, not psychodynamic psychotherapy.
de Socarraz 1978	Allocation: random. Participants: people with neuroses, not with schizophrenia.

# References of excluded studies (previous guideline)

## Appelbaum 1986

\* Appelbaum AH, Munich RL. (1986) Reinventing moral treatment: the effects upon patients and staff members of a program of psychosocial rehabilitation. *Psychiatric Hospital*; 17(1):11-9

#### Armstrong 1991

\* Armstrong HE, Cox GB, Short BA, Allmon DJ. (1991) A comparative evaluation of two day treatment programs. *Psychosocial Rehabilitation Journal*; 14(4):53-67.

#### Azima 1959

\* Azima H, Azima FJ, Durost HB. (1959) Psychoanalytic formations of effects of reserpine on schizophrenic organization. *Archives of General Psychiatry*; 1:662-70.

## Bellak 1973

\* Bellak L, Chassan JB, Gediman HK, Hurvich M. (1973) Ego function assessment of analytic psychotherapy combined with drug therapy. *Journal of Nervous and Mental Disease*;157(6):465-9.

## Cancro 1987

\* Cancro R. (1987) Os disturbios esquizofrenicos [Schizophrenic disturbances]. Jornal Brasileiro de Psiquiatria;36(2):85-91.

## Carpenter 1993

\* Carpenter WT. (1993) Commentary: psychosocial treatment of schizophrenia. Psychiatry; 56:301-5.

## Chiesa 1999

\* Chiesa M. (1999) Time limited psychosocial intervention with patients with severe personality disorder following short inpatient stay. *National Research Register* 1999.

## Chodoff 1982

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## Characteristics of included studies (update)

# Study ID

Study ID	DURHAM2003
General info	Funding source: Non-industry support
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial (effectiveness/pragmatic)
	<b>Type of analysis:</b> ITT - All participants who started allocated treatment were analysed. For missing values, LOCF and imputation from group means were also applied, these had no impact on significant outcomes.
	Type of analysis: LOCF
	Blindness: Only raters blind
	Duration: No. weeks of treatment - 36
	Duration: Length of follow-up - 3 months
	Raters: Independent of treatment
	Design: Multi-centre - Two adjacent mental health services in Tayside and Fife
	<b>Number of people screened, excluded &amp; reasons:</b> A total of 274 people were referred for possible inclusion in the trial, of whom 95 (35% of initial referrals) fulfilled the initial criteria, entered the baseline assessment phase and were offered a further screening interview 3 months later. Of these, 66 (24% of initial referrals, 38% of 171 potentially suitable referrals) entered the study and were randomised to treatment conditions.
	<b>Notes about study methods:</b> Randomisation (sealed envelope technique) administered centrally by non-clinical project coordinator, carried out separately at each treatment centre by permuted blocking.
Participants	Diagnosis: Schizophrenia [% of sample] 89%
	Diagnosis: Other schizophrenia related [%] Delusional disorder 3%, schizoaffective 8%

Diagnostic tool: DSM-IV

Diagnostic tool: ICD-10

## Inclusion criteria:

- Psychosis with a diagnosis of schizophrenia, schizoaffective disorder or delusional disorder
- Aged 16-65 years
- Known to the psychiatric services as experiencing positive symptoms of persistent and distressing hallucinations or delusions
- Stabilised on antipsychotic medication for at least a 6-month period under the care of a consultant psychiatrist.

## **Exclusion criteria:**

- Primary diagnosis of alcoholism or drug misuse
- Evidence of organic brain disease
- History of violence.

Total sample size: No. randomised - 66

Total sample size: ITT population - 60

Gender: % female 32%

Age: Mean 36 (10.4)

Ethnicity: Not reported

Setting: Outpatient

Setting: Inpatient

History: Mainly middle-aged men with a long history of illness (mean 13 years, range 2-31)

# **Baseline stats:**

[CBT / SPT / TAU] PANSS total score: 101.2 (14.7) / 95.0 (17.7) / 92.4 (17.5) PSYRATS delusions: 14.1 (4.5) / 12.3 (5.8) / 11.2 (5.6) PSYRATS hallucinations: 23.0 (11.3) / 23.6 (10.0) / 20.8 (10.9) Global Assessment Scale: 32.0 (4.8) / 34.9 (7.2) / 34.8 (8.1)

# Notes about participants:

Medication [CBT / SPT / TAU] Chlorpromazine equivalents, mg/day [mean (95% CI)]: 604 (392-816) / 747 (527-967) / 630 (333-927) Four of the 15 patients who were started on an atypical were prescribed clozapine.

**Interventions Intervention - group 1.:** CBT; n=22

**Intervention - group 2.:** SPT; n=23

Intervention - group 3.: TAU; n=21

## Notes about the interventions:

## CBT

Drew on best practice as exemplified by two treatment manuals. The essential elements were as follows: engagement; analysis of problems; development of a normalising rationale for psychotic experiences; exploration of current coping strategies; acquisition of additional coping strategies for hallucinations and delusions; and focus on accompanying affective symptomatology using relaxation training, personal effectiveness training and problem-solving as appropriate. The overall aims were: to enhance knowledge and acceptance of illness; to encourage the acquisition of specific coping skills for managing hallucinations and delusions; and to develop an understanding of personal vulnerability and how to mitigate its effects.

# SPT

Supportive psychotherapy using a previous framework. The approach is psychodynamic in orientation and seeks to understand psychotic experience as a function of being overwhelmed and unable to bear intensely charged emotional experiences. The essential elements of therapy were as follows: provision of non-specific emotional support and empathy; opportunity for the patients to describe the narrative of their lives and the impact of the illness; and working through of transference.

# TAU

All participants received usual treatment, focused on community mental health teams. Services include regular psychiatric consultation and contact with a keyworker (typically a trained community psychiatric nurse), with emergency assessment and hospital admission available as required. Facilities in the community include day care, sheltered work, supported accommodation and volunteer befriending. Specialist psychological intervention for psychosis within a cognitive-behavioural framework, although a limited resource, is offered through clinical psychology and clinical nurse specialists.

# Training

The CBT arm of the trial was delivered by five clinical nurse specialists with extensive professional experience of severe mental disorder. All had completed a recognised post-registration training in Dundee that mainly focuses on standard CBT for common mental disorders but includes a module on psychosis. All were registered as therapists with the British Association of Behavioural and Cognitive Psychotherapy. One of these five had developed a specialist interest in CBT for psychosis and took the lead role in developing the treatment protocol, training and supervising the other therapists and treating the majority of patients.

None of the CBT therapists saw patients in the supportive psychotherapy arm of the trial, which was delivered by 16 mental health professionals (mainly nursing but also psychiatry and occupational therapy) who were attached to the clinical teams responsible for the patients referred to the trial. All had expressed an interest in developing clinical skills in psychotherapy for patients with psychosis and none had received any formal training in CBT. They were given training and supervision by a consultant psychotherapist, who has consultant responsibility for one of the day hospitals in Dundee and is director of psychotherapy training in Tayside. She took responsibility for developing the supportive psychotherapy protocol and for training and supervising the therapists. All therapists in both treatment conditions were offered bi-weekly supervision for the duration of their contact with patients in the trial.

Outcomes	Death: Natural causes
	Leaving the study early: Leaving due to any reason (non-adherence to study protocol) for end of treatment and FU for both CBT vs SC and CBT vs other active treatment
	Global state & service outcomes (e.g. CGI): Average score/change in global state - GAS (end of treatment and FU)
	Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - PANSS Total for end of treatment and FU PSYRATS Delusions, PSYRATS Hallucinations
	Mental state (e.g. BPRS, PANSS, BDI): Clinically significant response in mental state: Clinically worthwhile improvement: 25% reduction in PANSS
	Clinically important improvement: 50% reduction in PANSS
	Satisfaction with treatment: Service user satisfaction
	Other: Antipsychotic use (CPZ equivalents), increase/decrease in antipsychotic doses, discontinuation/change in antipsychotic
Quality	1.1 The study addresses an appropriate and clearly focused question.: Well covered
	1.2 The assignment of subjects to treatment groups is randomised.: Well covered
	1.3 An adequate concealment method is used.: Well covered
	1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed
	1.5 The treatment and control groups are similar at the start of the trial.: Well covered
	1.6 The only difference between groups is the treatment under investigation.: Adequately addressed
	1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered
	1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: $<20\%$
	<b>1.9 All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis).</b> : Well covered
	1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not addressed
	2.1 How well was the study done to minimise bias?: ++

# References of included studies (update)

Durham, R.C.; Guthrie, M.; Morton, R.V.; Reid, D.A.; Treliving, L.R.; Fowler, D.; Macdonald, R.R. (2003) Tayside-Fife clinical trial of cognitivebehavioural therapy for medication-resistant psychotic symptoms. Results to 3-month follow-up. *British Journal of Psychiatry* 182: 303 - 311.

## Characteristics of excluded studies (update)

#### ROSENBAUM2005

**Reason for exclusion:** - Participants not fully randomised - study was conducted in 4 centres, 2 of which only offered a certain type of treatment. Participants were only randomised in the other two centres.

## ROSENBAUM2006[ROSENBAUM2005]

## Reason for exclusion: - Primary paper excluded

- Participants not fully randomised - study was conducted in 4 centres, 2 of which only offered a certain type of treatment. Participants were only randomised in the other two centres.

## References of excluded studies (update)

Rosenbaum,B.; Valbak,K.; Harder,S.; Knudsen,P.; Koster,A.; Lajer,M.; Lindhardt,A.; Winther,G.; Petersen,L.; Jorgensen,P.; Nordentoft,M.; Andreasen,A.H. (2005) The Danish National Schizophrenia Project: prospective, comparative longitudinal treatment study of first-episode psychosis. British Journal of Psychiatry 186: 394 - 399.

Schmid,G.B.; Wanderer,S. (2007) Phantasy therapy: Statistical evaluation of a new approach to group psychotherapy for stationary and ambulatory psychotic patients. *Forschende Komplementarmedizin*.14(4): 216-223.

Rosenbaum B, Valbak K, Harder S, Knudsen P, Koster A, Lajer M, Lindhardt A, Winther G, Peterson L, Jorgensen P, Nordentoft M, Andreasen AH (2006). Treatment of patients with first-episode psychosis: two year outcome data from the Danish National Schizophrenia Project. *World Psychiatry* 5, 100-103

# Psychoeducation

Previous guideline review	1. Review type 2. Funding 3. Period covered 4. Data analysis 5. No. of studies 6. No. randomised	Interventions	Outcomes reported in review
Pekkala E, Merinder L. Psychoeducation for schizophrenia (Cochrane Review). In: <i>The Cochrane Library,</i> Issue 4, 2001. Oxford: Update Software.	<ol> <li>Systematic review of RCTs.</li> <li>Extramural sources of support to the review: Finnish Office for Health Technology Assessment (FinOHTA) FINLAND; Intramural sources of support to the review: Department of Psychiatry, Porvoo Hospital FINLAND, Department of Psychiatric Demography, Institute of Basic Psychiatric Research, University Hospital of Aarhus DENMARK</li> <li>Database origin to 1999.</li> <li>Meta-analysis of Relative Risk, weighted mean difference, or standardised mean difference.</li> <li>10 (10, after removing five ineligible trials and adding five new trials).</li> <li>1128 (1070).</li> </ol>	<ol> <li>All didactic interventions of psychoeducation or patient teaching involving individuals or groups were included. Psychoeducational interventions were defined as any group or individual programme involving interaction between information provider and service user. These programmes address the illness from a multidimensional viewpoints, including familial, social, biological and pharmacological perspectives. Participants are provided with support, information and management strategies. Programmes of 10 sessions or less were considered as 'brief', and 11 or more as 'standard' for the purposes of this review. Interventions including elements of behavioural training, such as social skills or life skills training as well as education performed by patient peers were excluded from this review. Staff education studies were also excluded.</li> <li>Standard care was defined as the normal level of psychiatric care provided in the area where the trial was carried out.</li> </ol>	Primary outcomes were effects of psychoeducation on: 1. Participant compliance, defined as: 1.1 Compliance with medication; 1.2 Compliance with follow-up. 2. Relapse. Secondary outcomes: 1. Level of knowledge: 1.1 Improvement of understanding of his/her illness and need for treatment; 1.2 Level of knowledge about expected and undesired effects of medication. 2. Behavioural outcomes: 2.1 Level of psychiatric symptoms; 2.2 Symptom control skills; 2.3 Problem solving skills; 2.4 Social skills. 3. Family members' level of knowledge: 3.1 Family members' understanding of medication and psychiatric illness. 4. Service utilisation: 4.1 Use of outpatient treatment; 4.2 Length of hospitalisation. 5. Health economic outcomes: 5.1 Treatment costs.

Update	Reclassified: 1 RCT (Posner1992) included in the previous guideline as family	Notes:
	intervention, reclassified as psychoeducation.	Definition updated
	Follow up to existing studies: 3 papers: Bauml1996 (2 papers); Hornung1995 (1	
	paper).	
	New studies: 9 RCTs.	

	Methods	Participants	Interventions	Outcomes	Notes
Study		-			
Atkinson1996	further description. Blinding: not described. Duration: 20 weeks, follow up 3 months. Analysis of dropouts:	N=146.	<ol> <li>Education groups on 8 geographical areas, each session 90 minutes including a break. Sessions alternated between an information and problem solving. Manual outlining the content was given. N=73.</li> <li>Waiting list. N=73.</li> </ol>	2. Quality of life: Heinrichs' scale. 3. Leaving the study early. Unable to use - 1. Compliance with medication	elsewhere.
Bauml1996	randomisation either to intervention group or control "which was blind to study physician". Blinding: not reported. Duration: 4-5 months and 1 year follow-up. Analysis of dropouts:	schizoaffective disease ICD-9, DSM-III-R.	weekly, next four monthly. N=125. 2. Control group. N=111.	, , , , , , , , , , , , , , , , , , ,	

# Characteristics of included studies (previous guideline)

Hayashi2001	details. Blindness: not stated. Duration: 8 weeks treatment. Setting: Acute inpatients, Tokyo, Japan.	IV). N = 54. Age: range 19-59 Sex: 54 M History: consecutive admissions, mean previous hospitalisations ~3. Exclusions: mental retardation or organic brain disease.	<ol> <li>3-stage intervention: form working relationship, facilitate collaborative attitude and pursue remedies for sufferings, and psychoeducation approaches. N=27.</li> <li>routine inpatient treatment. N=27.</li> </ol>	<ol> <li>PANSS (Japanese version - positive, negative, general).</li> <li>Awareness of Being a Patient Scale (ABPS).</li> <li>Unable to use:</li> <li>Maudsley Personality</li> <li>Inventory (no usable data).</li> <li>Perceptions of participants scales (instrument non- validated).</li> </ol>	
Hornung1995	preliminary matching. Randomisation by an independent institution, Zentrum zur Methodischen von Therapiesstudien (ZMBT). Blinding: raters were not	History: 'chronic', outpatients, > 2 acute episodes in last 5 years, onset of illness mean ~24 years, mean ~4 (SD 3.1) hospitalisations, BPRS mean ~27 (SD 6.4), daily neuroleptic	<ol> <li>Psychoeducational medication training (PT) + leisure time group (LTG) at 7 study centres: 10 sessions in groups of 4-6 participants with one or two psychotherapists during 15 weeks. First 5 sessions once a week, next five twice a fortnight. N=32.</li> <li>PT+key person counselling 10 sessions (KC) +LTG. N=35.</li> <li>PT+cognitive psychotherapy=CP N=34</li> <li>PT+KC+CP. N=33.</li> <li>Control group participants attended a structured but unspecific leisure-time group of same length. N=57.</li> </ol>	<ol> <li>Relapse.</li> <li>Death</li> <li>Global functioning: GAS.</li> <li>Leaving the study early.</li> <li>Unable to use:</li> <li>Medication compliance (no usable data).</li> <li>Mental state: BPRS, PANS (no usable data).</li> <li>Qualification for medication self-management (no usable data).</li> <li>Illness-related attitudes: KK- Skala (no usable data).</li> <li>Satisfaction with knowledge (no usable data).</li> </ol>	Partici- pants of interven- tions 1,2 and 5 taken into account.

Jones2001 allocated" using randomisation chart. Blinding: not reported. Duration: 5 sessions was not reported. Analysis of dropouts: intention to treat analysis assumed that the values for psychological variables for participants who did And not changed. Setting: Outpatients, Glasgow, UK. Jones2001 of ICD-10). N=112. Age: range 18-75. L. Computer only: 3 types of screen display: (a) general information, (b) the between sessions ill at time of contact, presence of chronic symptoms or physical problems restricting analysis assumed that the values for psychological variables for participants who did Setting: Outpatients, Glasgow, UK. Jones 2001 of ICD-10). N=112. Were intended to increase patients' the values for psychological variables for participants who did Setting: Outpatients, Glasgow, UK. Jones 2001 of ICD-10). N=112. Were intended to increase patients' the values for psychological variables for participants who did the transport of the patient			1		· · · · · ·	
2Interfact using randomisation chart. Blinding: not reported. Duration: 5 sessions were provided, but the time between sessions was not reported. Analysis of dropouts: intention to treat analysis assumed that the values for psychological variables for participants who did not complete follow-up had not changed. Setting: Outpatients, Glasgow, UK.One of the computer only: 3 types of screen (aligslay: (a) general information from the viewing participant's medical record embedded in ore general information, and (c) usetionnaires (including medical record) usetionnaires (including medical record) the fourth of treat analysis assumed that the values for psychological variables for participants who did not complete follow-up had not changed.Interfact up fourther the set were on the complete follow-up had not changed. Setting: Outpatients, Glasgow, UK.Interfact up fourther the set were on the complete follow-up had not changed.Interfact up fourther the set were on the complete follow-up had not changed.Interfact up fourther the set were on the complete follow-up had not changed. Setting: Outpatients, Glasgow, UK.Interfact up fourther the set were on the computer, and the final session was again with the nurse, sessions 2-4 were on the computer, and the final session was again with the nurse, Participants were given and computer, and the final session was again with the nurse, Participants were given and computer, and the final session was again with the nurse, from sessions.Interfact up fourther the set set on the computer, and the final session was again with the nurse form sessions.Interfact up fourther the set set on the computer, and the final session was again with the nurse. Participants were given relevant pr	<b>2</b> 001		Diagnosis: Schizophrenia (F2			
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				relevant printed summaries from sessions.		
N=28.				N=28.		

	Allocation: randomised.	Diagnosis; achiganheania (DCM	1. Psychoeducation: medication	1 Logring the study souly	
Lecompte1996				1. Leaving the study early.	
		III-R).	compliance using "cognitive-behavioral	TT 11.	
	Duration: not reported.	N=64.		Unable to use:	
		Age: mean ~36 years.	(1) enhancement of therapeutic alliance;	1. Length of time in hospital	
		History: at least 2 hospital	(2) psychoeducation regarding prognosis	(no SD).	
		1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	and evolution of illness and treatment; (3)		
		medication.	perceptual and attitudinal strategies for		
			identifying prodromal symptoms and		
			developing coping strategies; (4)		
			behavioural strategies using		
			reinforcement, modelling, and shaping of		
			compliance behaviour; (5) cognitive		
			restructuring by correcting erroneous		
			beliefs and distorted convictions about the		
			illness, pharmacotherapy, chronicity of		
			illness, necessity of preventive medication,		
			and outcome expectancies. N=32.		
			2. Control: unstructured conversations +		
			standard care. N=32.		
Macpherson	Allocation: random - a	Diagnosis: DSM-III-R	1. A single individualised educational	1. Leaving the study early.	A11
1996		schizophrenia.		Unable to use:	education
	Blinding: all ratings	N=67.	based on the psychoeducation literature	1. Mental state (no usable	was
		Age: mean 45.2 years (SD 13).	and principles of general health education.		performed
	author, without blinding			data).	by the
		· · · ·		· · ·	author
	Duration: 1 month.	community based, chronic,	sessions 25-35 minutes at weekly intervals.		RM.
	Analysis of dropouts:	institutionalised population, at	· · · · · · · · · · · · · · · · · · ·	UMQ - instrument non-	i diviti
	withdrawals described.	least 6 months cumulative	3. No education. N=20.	validated).	
		antipsychotic drug exposure	5. 140 cadeation. 14 20.	vandated).	
	Bristol and Gloucester,	and clinical stability.			
	UK.	Years in institution mean 12.8			
		(SD 11.8).			
		Education mean 11 years (SD			
		1.9).			
	I	1.7).	1		

	Allocation: stratified for	Diagnosis: schizophrenia	1. Psychoeducational 8 -sessions	1. Relapse.
Merinder1999	gender and for illness	(F20.2-F20.9) ICD Danish	intervention using didactic, interactive	2. Death.
	duration, randomisation	version, OPCRIT.	method standardised with a manual for	3. Global functioning: GAF.
	carried out by an	N=46.	group leaders and a booklet for	4. Leaving the study early.
	independent institution.	Age: median 35.9 years,	participants. Weekly group of 5-8	5. Expressed emotion: FQ.
	Blinding: relapse and	interquartile range 30.3-39.6	participants conducted separately for	6. Insight (IS).
	compliance assessed	years.	participants and relatives. N=24.	7. Mental state: BPRS.
	blindly.	Sex: male 23, female 23.	2. Psychopharmacological treatment,	8. Satisfaction with services:
	Duration: 8 weeks, 1	History: illness duration	psychosocial rehabilitation efforts and to	VSSS.
	year follow up.	median 8.2 years, earlier	some extent supportive psychotherapy.	
	Analysis of dropouts:	admissions median 5.	N=22.	Unable to use:
	follow-up of	In treatment at 2 community		1. Knowledge (instrument non-
	withdrawals reported.	psychiatric centres.		validated).
	Setting: Outpatients,			
	Arhus and Viborg,			
	Denmark.			

	Allocation: random	Diagnosis: schizophrenia - no	1. Group condition: 4 weekly educational	1. Leaving the study early.	
Smith1987	allocation of families to	further details given. No	sessions were conducted by clinical	2. Stress: Symptom Rating Test	
	one of two interventions.		psychologist. Sessions were in semi-	(SRT).	
	Blinding: not reported.	participant	structured seminar format involving oral	Unable to use:	
	Duration: 4 weeks, 6	characteristics/history. N=40	presentation of information and	1. Knowledge (instrument non-	
	month follow-up.	family members, from 8	audiovisual aids, including a video. Each	validated). 2. Beliefs about	
	Analysis of dropouts:	families.	session corresponded to one of the	schizophrenia (instrument	
	NA - no dropouts		following aims: (a) improve relatives'	non-validated). 3. Worry and	
	occurred. Setting:		understanding of nature, symptoms,	fear (instrument non-	
	Birmingham, UK.		treatment of schizophrenia, (b) improve	validated). 4. Behavioural	
	-		relatives' "cognitive mastery" of their own	disturbance (instrument non-	
			situation by applying info to their own	validated) 5. Family distress	
			circumstances (mainly through an	(instrument non-validated).	
			instrumental component in the form of		
			homework assignments), (c) improve		
			relationship and reduce alienation		
			between participant and relatives by		
			changing relatives' attitudes, (d)		
			emphasise importance of maintaining		
			relatives' personal well-being. At the end		
			of the session family members were given		
			a written homework exercise to complete.		
			N=20.		
			2. Postal condition: a typed information		
			booklet (corresponding to one of the		
			information sessions received in the group		
			condition) was sent through the post to		
			family members at weekly intervals over a		
			4-week period. Each booklet had an		
			appropriate homework exercise attached.		
		l	N=20.		

ABPS: Awareness of Being a Patient Scale (25-item, 4-point scale measuring participants' psychological attitudes toward their psychiatric situation. High ABPS scores indicate a more appropriate patient attitude).

BPRS: Brief Psychiatric Rating Scale (higher scores indicate more severe symptoms).

FQ: Family Questionnaire (20-items, rated on a 4-point scale. Covers dimensions of Criticism and Emotional Overinvolvement; developed to be a less timeconsuming evaluation of EE than Camberwell Family Interview, against which its validity has been tested).

GAF: General Assessment of Functioning (90-point rating scale that assesses psychological, social and occupational functioning).

GAS: Global Assessment Schedule (higher scores indicate better global functioning).

IS: Insight Scale (8-items, scoring 3 factors - Awareness of Illness, Need for treatment, and Attribution of symptoms - on a 3-point scale).

ITAQ: Insight and Treatment Attitudes Questionnaire (higher scores indicate more insight into illness and treatment).

KK: Krankheitskonzeptskala (German) = Concept of Illness Scale (29-items rated on a 5-point Likert scale. The instrument describes 7 dimensions of illness-related attitudes. The higher the score, the higher the expression of the respective item).

MADRS: Montgomery Asberg Depression Rating Scale (higher scores indicate more severe symptoms).

PANSS: Positive and Negative Syndrome Scale (307-point items and three subscales: two 7-item subscales for positive and negative symptoms, and a 16-item subscale covering general psychopathy).

SFS: Social Functioning Schedule (lower scores indicate improved behaviour/function).

SNS: Social Network Schedule (measures number of social contacts in a given time period).

SRT: Symptom Rating Test (30-item measure of stress symptoms incorporating anxiety, depression, somatic, and inadequacy subscales).

VSSS: Verona Service Satisfaction Scale (54-items covering 7 dimensions of satisfaction with service, each rated on a 5-point Likert scale).

WFB: Wissensfragebogen (German) = Knowledge Questionnaire (20 multiple-choice items with a maximum total score of 70, and a minimum of -43).

# References of included studies (previous guideline)

## Atkinson 1996 (published data only)

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Bäuml,J.; Pitschel-Walz,G.; Volz,A.; Engel,R.R.; Kessling,W. (2007) Psychoeducation in schizophrenia: 7-year follow-up concerning rehospitalization and days in hospital in the Munich Psychosis Information Project Study. *Journal of Clinical Psychiatry*. 68(6): 854 - 861.

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Pitschel-Walz G, Engel RR. (1997) Psychoedukation in der Schizophreniebehandlung [Psychoeducation in the treatment of schizophrenia]. *Psycho*; 23(1):22-36.

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# Hayashi 2001 {published data only}

\*Hayashi N, Yamashina M, Igarashi Y, Kazamatsuri H. (2001) Improvement of patient attitude toward treatment among inpatients with schizophrenia and its related factors: Controlled study of psychological approach. *Comprehensive Psychiatry*; 42(3):240-246.

# Hornung 1995 (published data only)

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Hornung WP, Buchkremer G, Redbrake M, Klingberg S. (1993) Patientmodifizierte Medikation: Wie gehen schizophrene Patienten mit ihren Neuroleptika um? *Nervenarzt*; 64:434-9.

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## Lecompte 1996

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# Macpherson 1996 (published data only)

\*Macpherson R, Jerrom B, Hughes A. (1996) A controlled study of education about drug treatment in schizophrenia. *British Journal of Psychiatry*; 168:709-17.

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\*Merinder LB, Viuff AG, Laugesen H, Clemmensen K, Misfelt S, Espensen B. (1999) Patient and relative education in community psychiatry: a randomised controlled trial regarding its effectiveness. *Social Psychiatry and Psychiatric Epidemiology*; 34(6):287-94.

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## Smith 1987

\*Smith JV, Birchwood MJ. (1987) Specific and Non-specific effects of educational Intervention with families living with a schizophrenic relative. *British Journal of Psychiatry*; 150:645-52.

Study	Reason for exclusion				
Angunawela 1998	Allocation: random. Participants: adults with general psychiatric problems: schizophrenia 21%, affective disorder 57%, neurotic, personality, other non- psychotic disorder 14% and others 8%. No analyses on diagnostic subgroups. Intervention: participant information leaflet vs. usual information.				
Azrin 1998	Allocation: participants matched and randomly assigned. Participants: chronically mentally ill participants: schizophrenia, bipolar and major depressive disorder. No analyses on diagnostic subgroups.				
Boczkowski 1985	Allocation: random. Participants: people with schizophrenia. Interventions: psychoeducation vs. control group. Outcomes: no usable data.				
Borell 1995	Allocation: random. Participants: schizophrenia DSM-III. Interventions: information programme versus control waiting list group Outcomes: no usable data.				
Chaplin 1998	Allocation: random. Participants: diagnosis functional psychosis, not limited to participants with schizophrenia. No analyses on diagnostic subgroups.				
Eckman 1992	Allocation: random. Participants: schizophrenia DSM-III-R criteria. Intervention: skills training versus supportive group psychotherapy. No psychoeducation.				
Goulet 1993	Allocation: random. Participants: schizophrenia or schizophreniform or schizoaffective disorder DSM-III. Intervention: Uses Medication Management module of Liberman's social skills programme.				
Haas 1988	Allocation: random. Participants: schizophrenia DSM-III, schizoaffective or schizophreniform disorder. Intervention: Fits GDG Family Intervention definition.				
Herz 1996	Allocation: random. Participants: DSM-III-R schizophrenia or schizoaffective disorder. Intervention: Psychoeducation as part of Multimodal Intervention.				
Goldman 1988	Allocation: random. Participants: people with schizophrenia.				

	Interventions: didactic program versus standard ward activities			
	Outcomes: no usable data (means, no standard deviations), number of dropouts unclear.			
Hogarty 1986	Allocation: random. Participants: schizophrenia or schizoaffective disorder. Intervention: family intervention with minimal psychoeducation versus social skills training versus combination of family intervention and social skills training versus drug treatment.			
Kelly 1990	Allocation: random. Participants: non-psychoses 7-11%, schizophrenia 59-71%, no analyses of diagnostic subgroups.			
Kleinman 1993	Allocation: block randomisation after stratifying for hospital affiliation. Participants: schizophrenia DSM-III. Intervention: educational process group versus single educational session. No standard care group.			
Kopelowicz 1998	Allocation: random. Participants: DSM-IV schizophrenia or schizoaffective disorder Intervention: community re-entry program, not psychoeducation.			
Kuipers 1994	Allocation: random. Participants: chronic mentally ill service users: schizophrenia and affective disorder. Interventions: structured medication education versus unstructured teaching. No standard care group.			
Mak 1997	Allocation: random. Participants: schizophrenia DSM-III out-patients. Intervention: group and individual behavioural family management with psychoeducation provided through printed information versus conventional care. (Psychoeducation component did not involve interaction between information provider and recipients and was thus excluded from the review.)			
Razali 1995	Allocation: random. Participants: schizophrenic disorder (DSM-III-R). Intervention: Very limited intervention concerned solely with medication compliance.			
Tarrier 1988	Allocation: random. Participants: schizophrenia (PSE). Intervention: Various treatment groups combined in such a way that effects of psychoeducation cannot be determined.			
McGill 1983	Allocation: random. Participants: PSE schizophrenia. Intervention: complex family therapy intervention versus individual supportive psychotherapy.			
Xiong 1994	Allocation: random. Participants: DSM-III-R schizophrenia. Intervention: family intervention with minimal psychoeducation versus standard care.			

Youssef 1987	Allocation: random. Participants: diagnosis unclear: schizoaffective or affective disorder, data not available for a non-affective subgroup. Intervention: education sessions versus standard care.
Zhang 1994	Allocation: random. Participants: schizophrenia. Intervention: family intervention with minimal psychoeducation versus standard care.

## References of excluded studies (previous guideline)

#### Angunawela 1998

\* Angunawela II, Mullee MA. (1998) Drug information for the mentally ill: a randomised controlled trial. *International Journal of Psychiatry in Clinical Practice*; 2:121-7.

#### Azrin 1998

\* Azrin NH, Teichner G. (1998) Evaluation of an instructional program for improving medication compliance for chronically mentally ill outpatients. *Behaviour Research and Therapy*;36(9):849-61.

## Boczkowski 1985

\* Boczkowski JA, Zeichner A, DeSanto N. (1985) Neuroleptic compliance among chronic schizophrenic outpatients: an intervention outcome report. *Journal of Consulting and Clinical Psychology*; 53:666-71.

## Borell 1995

\* Borell P, Orhagen T, d'Elia G. (1995) Sjukdomsrelaterad information vid schizofreni: klinisk tillämpning och effekter [Feasibility and effects of a patient information program in schizophrenia]. *Scandinavian Journal of Behaviour Therapy*; 24(3-4):75-86.

## Chaplin 1998

\* Chaplin R, Kent A. (1998) Informing patients about tardive dyskinesia. British Journal of Psychiatry; 172:78-81.

## Eckman 1992

\* Eckman TA, Wirshing WC, Marder SR, Liberman RP, Johnston-Cronk K, Zimmermann K, et al. (1992) Technique for training schizophrenic patients in illness self-management: a controlled trial. *American Journal of Psychiatry*; 149(11):1549-55.

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\* Goldman CR, Quinn FL. (1988) Effects of a patient education program in the treatment of schizophrenia. *Hospital and Community Psychiatry*; 39(3):282-6.

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\*Goulet J, Lalonde P, Lavoie G, Jodoin F. (1993) Effets d'une éducation au traitement neuroleptique chez de jeunes psychotiques. *Revue Canadienne de Psychiatrie*; 38(8):571-3.

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Glick ID, Spencer JH, Clarkin JF, Haas GL, Lewis AB, Peyser J et al. (1990) A randomized clinical trial of inpatient family intervention: IV. Followup results for subjects with schizophrenia. *Schizophrenia Research*; 3:187-200.

Haas GL, Glick ID, Clarkin JF, Spencer JH, Lewis AB. (1990) Gender and schizophrenia outcome: a clinical trial of an inpatient family intervention. *Schizophrenia Bulletin*; 16(2):277-92.

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Spencer JH, Glick ID, Haas GL, Clarkin JF, Lewis AB, Peyser J, et al. (1988) A randomized clinical trial of inpatient family intervention: III. Effects at 6month and 18-month follow-ups. *American Journal of Psychiatry*; 145(9):1115-21.

# Herz 1996

Herz MI. (1996) Psychosocial treatment. Psychiatric Annals; 26:531-5.

\*Herz MI, Lamberti JS, Minz J, Scott R, O'Dell SP, McCartan L et al. (2000) A program for relapse prevention in schizophrenia: a controlled study. *Archives of General Psychiatry*; 57:277-83.

# Hogarty 1986

Hogarthy GE, Anderson CM. (1986) Medication, family psychoeducation and social skills training: first year relapse results of a controlled study. *Psychopharmacology Bulletin*; 22:860-2.

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\* Kleinman I, Schachter D, Jeffries J, Goldhamer P. (1993) Effectiveness of two methods for informing schizophrenic patients about neuroleptic medication. *Hospital and Community Psychiatry*; 44:1189-91.

# Kopelowicz 1998

Kopelowicz A. (1997) Integrating psychotherapy and pharmacotherapy for schizophrenia. Session: Psychotherapy in Practice; 3(2):79-98.

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Kopelowicz A, Zarate R, Wallace CJ. (1997) Successful transition from the hospital to the community. In: *Schizophrenia Research (Special Issue): The VIth International Congress on Schizophrenia Research, Colorado Springs, Colorado, USA (12-16 April 1997).* Vol. 24., 224.

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# Kuipers 1994

\* Kuipers J, Bell C, Davidhizar R, Cosgray R, Fawley R. (1994) Knowledge and attitudes of chronic mentally ill patients. *Journal of Advanced Nursing*; 20(3):450-6.

## Mak 1997

Mak KY, Wong MC, Ma LK, Fung SC. (1997) A cost-effectiveness study of a community-based family management rehabilitation programme for schizophrenic outpatients in Hong Kong: a six-month report. *Hong Kong Journal of Psychiatry*; 7(2):26-35.

## McGill 1983

\* McGill CW, Falloon IR, Boyd JL, Wood-Siverio C. (1983) Family educational intervention in the treatment of schizophrenia. *Hospital and Community Psychiatry*; 34(10):934-8.

## Razali 1995

\*Razali MS, Yahua H. (1995) Compliance with treatment in schizophrenia: a drug intervention program in a developing country. *Acta Psychiatrica Scandinavica*; 91:331-5.

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Barrowclough C, Tarrier N. (1990) Social functioning in schizophrenic patients. Social Psychiatry and Psychiatric Epidemiology; 25:125-9.

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## Xiong 1994

\* Xiong W, Phillips MR, Hu X, Wang R, Dai Q, Kleinman J, et al. (1994) Family-based intervention for schizophrenic patients in China. A randomised controlled trial. *British Journal of Psychiatry*; 165(2):239-47.

## Youssef 1987

\* Youssef FA. (1987) Discharge planning for psychiatric patients: the effects of a family-patient teaching programme. *Journal of Advanced Nursing*; 12(5):611-6.

# Zhang 1994

\* Zhang M, Wang M, Li J, Phillips MR. (1994) Randomised-control trial of family intervention for 78 first episode male schizophrenic patients. An 18month study in Suzhou, Jiangsu. *British Journal of Psychiatry*; 165 (Suppl 24):96-102.

# Characteristics of included studies (update)

## Study ID

BECHDOLF2004

General info	<b>Funding source:</b> Not mentioned. But Soleduc is a registered psychoeducation programme of Sanofi-Aventis - same drug company for amisulpride (all participants were on amisulpride)
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	Type of analysis: ITT - All included participants
	Blindness: Open
	<b>Duration:</b> No. weeks of treatment - 52 weeks (although intervention was only delivered at 3 time points, baseline, 6 and 12 months - 7 sessions each time)
	Raters: Not stated to be independent of treatment
	Design: Multi-centre - 51 sites in France
	Number of people screened, excluded & reasons: Not reported
	<b>Notes about study methods:</b> Each participating centre received a randomisation list with the order of patient assignment - no further details reported.
Participants	Diagnosis: Schizophrenia [% of sample] % not reported
	Diagnosis: Other schizophrenia related [%] % not reported
	Diagnostic tool: DSM-IV
	Inclusion criteria: - DSM-IV diagnosis of schizophrenia spectrum disorder
	Exclusion criteria: - Patients hospitalised for >120 days in previous year - requiring other antipsychotics apart from amisulpride
	Total sample size: No. randomised - 220

Total sample size: ITT population - 220

Gender: % female 38%

Age: Mean 33

Ethnicity: Not reported

# History:

[Psychoeducation / control] Mean duration of schizophrenia, months: 97.8 / 111.3 Previous hospitalisations: 4.7 / 5.9

# **Baseline stats:**

[Psychoeducation / control] PANSS positive: 16.6(6.0) / 17.6(7.2) PANSS negative: 22.3(7.1) / 21.2(7.1)

# Notes about participants:

[Psychoeducation / Control] Substance misuse (%) Smokers: 71.2 / 71.6 Alcohol: 6.3 / 5.5

Interventions Intervention - group 1.: Psychoeducation, 7 sessions 3 times during intervention period (baseline, 6 months and 12 months; N = 111

**Intervention - group 2.:** Control (psychosocial group training), 7 sessions at 3 time points (baseline, 6 months and 12 months; N = 109 **Notes about the interventions:** 

All participants were on amisulpride 50-800mg/day

Psychoeducation

Soleduc programme which comprises 8 specific modules delivered via video cassette:

- The disease and its evolution
- Patient responsibility for treatment compliance
- Antipsychotic treatment
- Psychotherapeutic treatment
- Methods of care and specialised follow-up
- Reintegration
- Psychosocial rehabilitation

#### Control

Psychosocial training group in which patients were orally informed about schizophrenia and its treatment according to the standards of each centre.

#### Training

The Soleduc modules were delivered by nurse staff under the supervision of a psychiatrist.

Outcomes Global state & service outcomes (e.g. CGI): Re-hospitalisation

Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - Data not presented in a usable form

Quality 1.1 The study addresses an appropriate and clearly focused question.: Well covered

1.2 The assignment of subjects to treatment groups is randomised.: Not reported adequately

1.3 An adequate concealment method is used .: Not reported adequately - No mention of allocation concealment in the randomisation list

1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Not reported adequately

1.5 The treatment and control groups are similar at the start of the trial.: Poorly addressed - Differences in severity of illness

1.6 The only difference between groups is the treatment under investigation .: Adequately addressed

**1.7 All relevant outcomes are measured in a standard, valid and reliable way.:** Poorly addressed - No usable data presented for symptoms and functioning

**1.8** What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: 20-50%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Well covered

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Adequately addressed

2.1 How well was the study done to minimise bias?: +

# Study ID

 BECHDOLF2004

 General info
 Funding source: Non-industry support

 Published or unpublished data?: Published

 Method
 Type of study: Individual randomised trial

 Type of analysis: ITT

 Blindness: Only raters blind

 Duration: No. weeks of treatment - 8

Duration: Length of follow-up - 6 and 24 months

Raters: Independent of treatment

Design: Single-centre - Cologne, Germany

**Number of people screened, excluded & reasons:** During the study period, 189 patients fulfilled inclusion criteria. 57 patients were not approached, either because they were involuntary admissions, formally detained under the Mental Health Act and could therefore not be included in RCTs or because during their inpatient stay, patient flow was too small to form a group of eight patients to start a group intervention. Of the remaining 132 subjects whose consent to enter the trial was sought, there was a 33.4% non-participation rate (n = 44) due to refusal, non-German speaking, inability to complete assessment or rapid discharge.

**Notes about study methods:** Randomisation by computer-generated random numbers for blocks of 8 participants. Results were placed in sealed envelopes and only opened at the time of treatment allocation

#### Participants Diagnosis:

Schizophrenia [% of sample] ICD-10: F 20, F 23, F 25 [CBT / Psychoeducation] ICD-10 diagnoses, n (%) F 20: 32 (80.0) / 37 (77.1) F 23: - (0.0) / 2 (4.1) F 25: 8 (20.0) / 9 (18.8) **Diagnosis:** Other schizophrenia related [%] Diagnostic tool: ICD-10 **Exclusion criteria:** - primary diagnosis of drug or alcohol dependence, organic brain disease, learning disability or hearing impairment Total sample size: No. randomised - 88 Gender: % female - 55 **Age:** Mean - 32 Age: Range - 18-64 Ethnicity: Not reported Setting: Inpatient **History:** [CBT / psychoeducation] Time since diagnosis, months: 56.7 (65.4) / 50.0 (58.7) Mean number of admissions: 2.6(3.8) / 2.4(3.2)

#### **Baseline stats:**

[CBT / Psychoeducation] PANSS total: 13.6 (5.3) / 15.1 (5.6)

**Notes about participants:** Medication use: The mean dosages of typical antipsychotics converted to chlorpromazine equivalents were nearly the same at baseline and follow-up evaluations, although there was a wide range of dosage within the treatment groups (pre-treatment [mg mean (SD)]: CBT 431.7 (201.0), PE 375.0 (349.5); post-treatment: CBT 158.8 (73.3), PE 520.0 (413.3); follow-up: CBT 358.3 (340.4), PE 361.4 (340.9)]. All patients were treated with neuroleptics, most with atypicals (pretreatment: CBT 80%, PE 85%; post-treatment: CBT 93.5%, PE 87.8%; follow-up: CBT 88.9%, PE 89.2%). Around one-third of patients studied also received antidepressive medication (pretreatment: CBT 26.3%, PE 25.0%; post-treatment: CBT 25.8%, PE 38.9%; follow-up: CBT 31.0%, PE 28.9%). No significant differences emerged between treatment groups at pre- and post-treatment or follow-up.

Interventions Intervention - group 1.: Group CBT, 16 sessions, n=40

Intervention - group 2.: Group psychoeducational programme, 8 sessions, n=48

**Notes about the interventions:** All interventions were an adjunct to routine hospital care and patients remained under the medical supervision of the responsible consultant psychiatrist who alone determined the pharmacological regime, timing of discharge and readmission.

## Group CBT:

Based on a manualised approach which used coping strategy enhancement, problem solving and relapse prevention in patients with psychosis.

Group psychoeducational programme: The PE programme was similar to the PE group training for patients.

## Training:

Groups of both interventions were led by an experienced and CBT trained psychiatrist or clinical psychologist

Outcomes Leaving the study early: Leaving due to any reason (non-adherence to study protocol) - added to RevMan for FU

**Global state & service outcomes (e.g. CGI):** Relapse was defined by a rating of at least 5 and a 2-point increase compared with the previous assessment in at least one of the items of the positive syndrome subscale of the PANSS

**Global state & service outcomes (e.g. CGI):** Re-hospitalisation - defined in accordance with Buchkremer and co-workers (26) by a 36-hour full hospitalisation or a 5-day partial hospitalisation because of an exacerbation of acute psychotic symptoms.

Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - PANSS general, positive, negative

**Mental state (e.g. BPRS, PANSS, BDI):** Clinically significant response in mental state - Clinical significant change was calculated by a two-fold criterion: (i) improvement of PANSS global score >2 SD beyond the mean of the intake sample at follow-up and (ii) reliable change index exceeds 1.96. The latter is calculated by dividing the absolute magnitude of change by the SE of the change score (follow-up minus pretest) for

#### FU

Non-adherence to study medication: Non-adherence - Compliance with medication	on

- Quality
- **1.1 The study addresses an appropriate and clearly focused question.**: Well covered
- **1.2 The assignment of subjects to treatment groups is randomised.:** Well covered
- **1.3 An adequate concealment method is used.:** Adequately addressed
- 1.4 Subjects and investigators are kept 'blind' about treatment allocation .: Poorly addressed
- 1.5 The treatment and control groups are similar at the start of the trial.: Well covered
- 1.6 The only difference between groups is the treatment under investigation.: Adequately addressed
- 1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered
- 1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%
- **1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Well covered
- 1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable
- 2.1 How well was the study done to minimise bias?: +

# Study ID

-	CATHER2005
General info	Funding source: Pharmaceutical industry
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	Type of analysis: ITT defined as having completed at least 4 out of 16 sessions
	Blindness: Only raters blind
	Duration: No. weeks of treatment - 16
	Raters: Independent of treatment
	Design: Multi-centre - Two outpatient clinics in Boston
	Notes about study methods: Randomisation performed by independent member of the research team and stratified by PANSS and gender
Participants	Diagnosis: Other schizophrenia related [%] Schizoaffective 39%
	Diagnosis: Schizophrenia [% of sample] 61%
	Diagnostic tool: DSM-IV

#### Inclusion criteria:

- 18-65 years of age

- English speaking

- Treated with olanzapine for at least 6 months and at a stable dose for at least 30 days

- Exhibiting residual psychotic symptoms as defined by two ratings of mild or one rating of moderate on Psychosis items of PANSS.

## **Exclusion criteria:**

- Known or suspected organic brain disorder

- Substance use disorder in the past 3 months

- A conceptual disorganisation rating on the PANSS of moderate or higher

- Previous exposure to the study treatments.

Total sample size: No. randomised - 30

Total sample size: ITT population - 28

Gender: % female 43%

Age: Mean - 40.4 (11.96)

Ethnicity: White 68%

Hispanic 4%

Black 29%

Setting: Outpatient

History: Mean years of illness: 18 (13.1)

## **Baseline stats:**

Average for the whole sample: PANSS total: 51.1 (12.6) PSYRATS-total: 33.3 (13.7) Auditory hallucinations: 85.7% SFS: 118.5 (21.5)

**Notes about participants:** Medication: Olanzapine doses ranged from 5 to 40mg with a mean daily dose of 19.7 (8.6) mg. 33% of participants were taking another antipsychotic in addition to olanzapine.

Interventions Intervention - group 1.: Functional CBT: 16 weekly sessions; n=15

**Intervention - group 2.:** Psychoeducation; n=13

## Notes about the interventions:

Functional CBT

Comprises several modules: education, coping skills, cognitive restructuring, behavioural experiments and goal-setting (including those

typically used in current CBT interventions). Patients are taught skills for managing persistent positive symptoms that interfere with accomplishing certain activities or goals. For example, rather than discussing hallucinations or delusions as 'real' or 'unreal', fCBT focuses on whether psychotic symptoms and responses to these symptoms block attainment of specific goals. This approach helps ensure that therapists always have a context for challenging maladaptive responses to symptoms.

Psychoeducation

Team Solutions is a psychoeducational intervention developed and sponsored by Eli Lilly & Co. to teach patients about schizophrenia and the principles of its management, with the aim of promoting reintegration. The programme is not medication-specific and includes a video, patient workbook and instructor's manual and was delivered in an individual format. The programme is organised into 10 modules including, promoting understanding of the illness and of symptoms of schizophrenia, identifying members of the treatment team and their roles, learning about medication and side effects, preventing relapse, and coping with symptoms.

Training

Treatment was delivered by nine therapists with an average of 7.8 years (SD=4.77) of experience conducting CBT. Weekly supervision meetings were held to discuss cases and ensure protocol adherence.

**Outcomes** Leaving the study early: Leaving due to any reason (non-adherence to study protocol)

Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - PANSS, PSYRATS - total score

Mental state (e.g. BPRS, PANSS, BDI): Clinically significant response in mental state - Clinically significant improvement defined as 20% reduction in PANSS Positive subscale

General and psychosocial functioning (e.g. SFS): Average score/change in general functioning - SFS - but may need to look at change scores as two groups were different at baseline.

Quality 1.1 The study addresses an appropriate and clearly focused question.: Well covered

- **1.2 The assignment of subjects to treatment groups is randomised.:** Adequately addressed
- 1.3 An adequate concealment method is used.: Adequately addressed
- 1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed
- **1.5 The treatment and control groups are similar at the start of the trial.:** Adequately addressed
- 1.6 The only difference between groups is the treatment under investigation.: Adequately addressed
- 1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered

1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Adequately addressed

	1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Adequately addressed
	2.1 How well was the study done to minimise bias?: +
Study ID	
	CHABANNES2008
General info	<b>Funding source:</b> Not mentioned but Soleduc is a registered psychoeducation programme of Sanofi-Aventis - same drug company for amisulpride (all participants were on amisulpride)
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	Type of analysis: ITT - All included participants
	Blindness: Open
	<b>Duration:</b> No. weeks of treatment - 52 weeks (although intervention was only delivered at 3 time points, baseline, 6 and 12 months - 7 sessions each time)
	Raters: Not stated to be independent of treatment
	Design: Multi-centre - 51 sites in France
	Number of people screened, excluded & reasons: Not reported
	<b>Notes about study methods:</b> Each participating centre received a randomisation list with the order of patient assignment - no further details reported.
Participants	Diagnosis: Other schizophrenia related [%] % not reported
	Diagnosis: Schizophrenia [% of sample] % not reported
	Diagnostic tool: DSM-IV
	Inclusion criteria: - DSM-IV diagnosis of schizophrenia spectrum disorder
	Exclusion criteria: - Patients hospitalised for >120 days in previous year - requiring other antipsychotics apart from amisulpride
	Total sample size: No. randomised - 220
	Total sample size: ITT population - 220
	Gender: % female 38%
	<b>Age:</b> Mean - 33
	Ethnicity: Not reported

## Study characteristics tables: Psychoeducation

History: [Psychoeducation / control] Mean duration of schizophrenia, months: 97.8 / 111.3 Previous hospitalisations: 4.7 / 5.9

#### **Baseline stats:**

[Psychoeducation / control] PANSS positive: 16.6(6.0) / 17.6(7.2) PANSS negative: 22.3(7.1) / 21.2(7.1)

#### Notes about participants:

[Psychoeducation / Control] Substance misuse (%) Smokers: 71.2 / 71.6 Alcohol: 6.3 / 5.5

**Interventions Intervention - group 1.:** Psychoeducation, 7 sessions 3 times during intervention period (baseline, 6 months and 12 months; N = 111

**Intervention - group 2.:** Control (psychosocial group training), 7 sessions at 3 time points (baseline, 6 months and 12 months; N = 109 **Notes about the interventions:** 

All participants were on amisulpride 50-800mg/day

Psychoeducation

Soleduc programme which comprises 8 specific modules delivered via video cassette:

- The disease and its evolution
- Patient responsibility for treatment compliance
- Antipsychotic treatment
- Psychotherapeutic treatment
- Methods of care and specialised follow-up
- Reintegration
- Psychosocial rehabilitation

Control

Psychosocial training group in which patients were orally informed about schizophrenia and its treatment according to the standards of each centre.

Training

The Soleduc modules were delivered by nurse staff under the supervision of a psychiatrist.

Outcomes	Global state & service outcomes (e.g. CGI): Re-hospitalisation
	Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - Data not presented in a usable form
Quality	1.1 The study addresses an appropriate and clearly focused question.: Well covered
	1.2 The assignment of subjects to treatment groups is randomised.: Not reported adequately
	1.3 An adequate concealment method is used.: Not reported adequately - No mention of allocation concealment in the randomisation list
	1.4 Subjects and investigators are kept 'blind' about treatment allocation .: Not reported adequately
	1.5 The treatment and control groups are similar at the start of the trial.: Poorly addressed - Differences in severity of illness
	1.6 The only difference between groups is the treatment under investigation.: Adequately addressed
	<b>1.7 All relevant outcomes are measured in a standard, valid and reliable way.:</b> Poorly addressed - No usable data presented for symptoms and functioning
	1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: 20-50%
	<b>1.9 All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis).</b> : Well covered
	1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Adequately addressed
	2.1 How well was the study done to minimise bias?: +

# Study ID

Study ID	CHAN2007A
General info	Funding source: Not mentioned
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	Type of analysis: Completer - Analysis of post-treatment and follow-up appears to include all randomised participants.
	Blindness: No mention
	Duration: Length of follow-up - 12 months
	<b>Duration:</b> No. weeks of treatment - 2
	Raters: Not stated to be independent of treatment
	Design: Single-centre - acute psychiatric unit, Hong Kong, China
	Number of people screened, excluded & reasons: Not reported
	Notes about study methods: Randomisation procedure not reported

Participants Diagnosis: Schizophrenia [% of sample] % not reported Diagnosis: Other schizophrenia related [%] schizoaffective disorder - % not reported Diagnostic tool: DSM-IV **Inclusion criteria:** - diagnosis of schizophrenia or schizoaffective disorder with DSM-IV criteria - stable mental condition after admission to the acute unit - primary education level or above - participated in either the TRIP or WOT programmes voluntarily **Exclusion criteria:** - comorbid diagnosis of substance misuse, organic brain syndromes or mental retardation Total sample size: No. randomised - 81 **Gender:** % female 0% Age: Range - 18-63 Age: Mean - 35.82 Ethnicity: Not reported Setting: Inpatient **History:** [TRIP / WOT] No. of previous admissions, %: 0-2:57.9 / 62.9 3-5: 26.3 / 18.5 6-8: 5.3 / 7.4 10 or above: 10.5 / 11.1 Baseline stats: baseline symptom measures not reported Interventions Intervention - group 1.: TRIP, 10 50-minute sessions over 2 weeks; n=44 Intervention - group 2.: WOT, n=37

#### Notes about the interventions:

Transforming Relapse and Instilling Prosperity (TRIP)

A ward-based illness management programme which comprises the notions of relapse reduction and health promotion. It utilises strategies from illness management as described in the literature. The 10 sessions can be categorised into two themes, illness orientation and health orientation. The sessions follow a semi-structured format of didactic presentation of topics followed by open discussion.

Ward occupational therapy (WOT) WOT is based on the activities health approach that aims to maintain activities during hospitalisation by providing normal routine selected by the patient from a typical array of work, rest and leisure activities. Global state & service outcomes (e.g. CGI): Re-hospitalisation - Relapse was defined as the number of re-hospitalisations Outcomes Other: Medical outcomes study SF-36; SUMD Quality **1.1** The study addresses an appropriate and clearly focused question.: Well covered **1.2 The assignment of subjects to treatment groups is randomised.**: Not reported adequately 1.3 An adequate concealment method is used.: Not addressed **1.4 Subjects and investigators are kept 'blind' about treatment allocation.:** Not addressed **1.5 The treatment and control groups are similar at the start of the trial.**: Poorly addressed **1.6 The only difference between groups is the treatment under investigation.**: Well covered **1.7** All relevant outcomes are measured in a standard, valid and reliable way.: Well covered 1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20% 1.9 All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Not addressed **1.10** Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable 2.1 How well was the study done to minimise bias?: + Study ID LITTRELL2003 General info Funding source: Pharmaceutical industry Published or unpublished data?: Published Type of study: Individual randomised trial Method Type of analysis: Completer Blindness: Open **Duration:** Length of follow-up - 2 months Duration: No. weeks of treatment - 16 Raters: Not stated to be independent of treatment Design: Multi-centre - Referrals came from local community health centres and private practice psychiatrists, US Number of people screened, excluded & reasons: Not reported

	Notes about study methods: Randomisation procedure not reported
Participants	Diagnosis: Schizophrenia [% of sample] 77%
	Diagnosis: Other schizophrenia related [%] 23%
	Diagnostic tool: DSM-IV
	Inclusion criteria:
	- aged 18+
	- DSM-IV diagnosis of schizophrenia or schizoaffective disorder
	- taking conventional antipsychotics >=3 months immediately before study
	- compliant with antipsychotic drug treatment as prescribed
	Total sample size: No. randomised 70 Gender: % female 39%
	Age: Mean 34
	Ethnicity: Caucasian - 74% African American - 26%
	Analysis looked at sex and race differences in weight gain.
	Setting: Outpatient
	History:
	[Psychoeducation / TAU]
	Age of onset: 19.31(3.06) / 20.91(3.86)
	<b>Notes about participants:</b> The most commonly prescribed conventional antipsychotic was haloperidol (39%), and 13% of the sample were taking decanoate formulations.
Intervention	s Intervention - group 1.: Psychoeducation, 16 weekly 1 hour sessions; n=35
	Intervention - group 2.: TAU; n=35
	Notes about the interventions:
	All participants began treatment with olanzapine at study entry with use of a stepped initiation conversion process. Olanzapine dosage (range
	5-20mg/day) was adjusted as needed based on the patients' responses and side effects. Concomitant medications for residual and breakthrough symptoms were allowed at the clinician's discretion and included lithium (n=6), valporate (n=3) and SSRIs (n=13). No
	pharmacological interventions for weight gain were permitted.
	Psychoeducation

- Intervention group attended a psychoeducation class using the "Solutions of Wellness" modules. The programme is not specific to

medications and it is formulated specifically for use with people with schizophrenia.

- Consists of two written modules: "Nutrition, Wellness, and Living a Healthy Lifestyle" and "Fitness and Exercise".

-The classes included different formats such as individual work, dyads, small and large group work.

- Patient participation included reading aloud, discussing tropics in groups, completing written exercises, taking quizzes and playing educational games.

**Outcomes Other:** Weight Gain; Weight Change; BMI; BMI change

Quality 1.1 The study addresses an appropriate and clearly focused question.: Adequately addressed

1.2 The assignment of subjects to treatment groups is randomised.: Not reported adequately

1.3 An adequate concealment method is used.: Not addressed

1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed

1.5 The treatment and control groups are similar at the start of the trial.: Well covered

1.6 The only difference between groups is the treatment under investigation.: Adequately addressed

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered

1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Not reported adequately

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not addressed

2.1 How well was the study done to minimise bias?: +

Study ID	SHIN2002
General info	Funding source: Not mentioned
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	Type of analysis: Completer
	Blindness: Only raters blind
	Duration: No. weeks of treatment - 10
	Raters: Independent of treatment
	Design: Single-centre - US

	<b>Number of people screened, excluded &amp; reasons:</b> Participants were recruited from a pool of 110 Korean patients with chronic mental illness65 patients met diagnostic criteria for study entry.
	-48 consented to participate.
	Notes about study methods: Randomisation procedure not reported
Participants	Diagnosis: Schizophrenia [% of sample] not reported
	Diagnosis: Other schizophrenia related [%] % with schizoaffective disorder and schizophreniform disorder not reported
	Diagnostic tool: DSM-IV
	Inclusion criteria: - Any patient with a diagnosis of schizophrenia, schizoaffective disorder, or schizophreniform disorder
	Total sample size: No. randomised - 48
	Gender: % female 58%
	<b>Age:</b> Mean - 37
	<b>Age:</b> Range - 22-53
	Ethnicity: all participants were Korean-American
	Setting: Outpatient
	History: [Experimental group / control group] Number of hospitalisations: 2.71(1.76) / 1.21(1.18) Time since last hospitalisation, months: 7.17(6.43) / 12.67(19.30)
	Baseline stats:[Experimental / Control]BPRS total: 91.88(9.76) / 91.83(6.70)Stigma-Devaluation Scale: 18.54(2.40) / 20.21(2.43)Family Crisis Oriented Personal Evaluation Scaletotal: 80.92(8.22) / 81.02(6.88)
	Notes about participants: [Experimental / Control] Years in US: 14.25(3.00) / 15.08(4.38) Living arrangement, n(%): Living away from family: 7(29.2) / 4(16.7) Living with family: 17(70.8) / 20(83.3)
Intervention	s Intervention - group 1.: Experimental group - psychoeducational group; n=24
	Intervention - group 2.: Control; n=24

#### Notes about the interventions

TAU - Control

The control group received 10 Individual supportive therapy (IST) sessions, each 45 minutes in duration. All of the sessions were conducted in Korean.

Psychoeducation:

In addition to TAU at the clinic (IST), treatment included 10 weekly psychoeducational group sessions each 90 minutes long. Each session included a variety of educational techniques designed to enhance the participants' learning and to maintain their attention. The curriculum included modules on definitions of illness, medications and side effects, relapse prevention, crisis and illness management, stigma, communication and stress management skills, self-help, and community resources. In addition traditional disease concepts were integrated.

To reinforce the interventions, parallel sessions, also conducted in Korean, were offered to family members of all participants.

Outcomes Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - BPRS Other: Stigma-Devaluation Scale; Family Crisis Oriented Personal Evaluation Scale.

Quality 1.1 The study addresses an appropriate and clearly focused question.: Adequately addressed

**1.2 The assignment of subjects to treatment groups is randomised.:** Not reported adequately

1.3 An adequate concealment method is used.: Not addressed

1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed

1.5 The treatment and control groups are similar at the start of the trial.: Poorly addressed

**1.6 The only difference between groups is the treatment under investigation.**: Adequately addressed

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Adequately addressed

**1.8** What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Not reported adequately

**1.10 Where the study is carried out at more than one site, results are comparable for all sites.**: Not applicable

2.1 How well was the study done to minimise bias?: +

Study ID

SIBITZ2007

General info Funding source: Not mentioned

Published or unpublished data?: Published

## Method Type of study: Individual randomised trial

**Type of analysis:** ITT - all patients allocated to the booster condition who attended at least one booster session and all patients allocated to the non-booster session were included in the analysis.

However patients who had no existent follow-up data and patients who had missing values on any of the covariates used were not included. **Blindness:** No mention

**Duration:** No. weeks of treatment - All participants underwent a 9-week psychoeducation programme. Completers of this programme were then randomised to receive booster sessions over 36 weeks.

Duration: Length of follow-up - 12 months (including the 9 months where participants were having monthly booster sessions)

Raters: Not stated to be independent of treatment

Design: Multi-centre - outpatient centres in Vienna, Austria

**Number of people screened, excluded & reasons:** 172 referred by psychiatrists, of these 16 DNA, 8 failed to met study criteria, 7 refused to participate and 10 DNA any psychoeducation sessions.

Of the 131 participants who attended at least one session of psychoeducation, 103 went on to attend 5 or more sessions. These were classified as completers and were subsequently randomised into either booster or non-booster conditions.

Notes about study methods: block-randomisation at the end of the 9-week psychoeducation programme

Participants Diagnosis: Schizophrenia [% of sample] 70%

**Diagnosis:** Other schizophrenia related [%] schizoaffective disorder - 30%

Diagnostic tool: ICD-10

## Inclusion criteria:

- ICD-10 diagnosis of schizophrenia or schizoaffective disorder

- aged 19-65

- considered sufficiently motivated and stable to be able to benefit from the programme to be entered into the booster stage

- completed at least 5 sessions in the 9-week programme.

Total sample size: No. randomised - 103

Total sample size: ITT population - 87

Gender: % female 54%

Age: Mean 36

**Ethnicity:** Not reported

Setting: Outpatient

#### **History:**

[Booster / Non-booster] Age at onset of illness: 23.4(6.3) / 25.7(7.4) Years of illness: 12.5(8.7) / 11.0(9.0)

## **Baseline stats:**

[Booster / non-booster] PANSS total: 72.7(14.2) / 74.6(12.7) SDSS: 56.9(13.9) / 57.9(13.0)

## Notes about participants:

[Booster / Non-booster] Ongoing treatment in the community, n(%) psychotherapy: 25(52.1) / 19(34.5) antipsychotic medication: 45(93.8) / 49(94.2)

# Interventions Intervention - group 1.: Booster, 9 monthly sessions; n=48

**Intervention - group 2.:** Non-booster (TAU); n=55

## Notes about the interventions:

Psychoeducation programme

Prior to randomisation participants underwent 9 weekly 75 minute meetings. The following four illness-related topics were covered: concept of illness, symptoms and early warning signs, medication and illness related stigma. In addition the following QoL topics dealt with: improving well-being, how to make friends, how to actively plan and manage everyday life and how to create a more pleasant environment.

# Booster sessions

Consisted of an extension programme of monthly meetings for 9 months. The booster sessions were based on the original manual and conducted in order to systematically repeat and discuss topics which the patients were familiar with from participating in the original seminar in more detail. At the request of participants, a few new topics (for example, how to manage aggression and problems with partners) were incorporated.

Non-booster Participants received TAU

Outcomes Leaving the study early: Leaving due to any reason (non-adherence to study protocol)

Global state & service outcomes (e.g. CGI): Re-hospitalisation

Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - PANSS

General and psychosocial functioning (e.g. SFS): Average score/change in general functioning - SDSS

	Satisfaction with treatment: Service user satisfaction - DAI
	Quality of Life: Average score/change in quality of life – Quality of Life Index
	Other: Illness concept scale; questionnaire of competence and control; knowledge questionnaire; health locus of control
Quality	1.1 The study addresses an appropriate and clearly focused question.: Adequately addressed
	1.2 The assignment of subjects to treatment groups is randomised.: Not reported adequately
	1.3 An adequate concealment method is used.: Not addressed
	1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Not addressed
	1.5 The treatment and control groups are similar at the start of the trial.: Well covered
	1.6 The only difference between groups is the treatment under investigation.: Adequately addressed
	1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered
	1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: $20-50\%$
	<b>1.9 All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis).</b> : Adequately addressed
	1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not addressed
	2.1 How well was the study done to minimise bias?: +

Study ID	VREELAND2006
General info	Funding source: Pharmaceutical industry
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	<b>Type of analysis:</b> ITT - The repeated measures used in the analysis were the participants' scores on any given variable at each testing session (baseline, midpoint, and endpoint).
	Blindness: Single-blind
	Duration: No. weeks of treatment - 24
	Raters: Independent of treatment
	Design: Single-centre - US
	<b>Number of people screened, excluded &amp; reasons:</b> 74 participants initially volunteered but 3 were not included in the final analysis because of missing data at time point 1.

Notes about study methods: Randomisation was based on a table of random numbers.

Participants Diagnosis: Other schizophrenia related [%] schizoaffective disorder - % not reported

Diagnosis: Schizophrenia [% of sample] % not reported

Diagnostic tool: DSM-IV

#### Inclusion criteria:

- partial hospitalisation clients who met DSM-IV criteria for schizophrenia or schizoaffective disorder.

- attended the partial hospitalisation programme at least 2 days per week.

## **Exclusion criteria:**

- clinical records indicating presence of comorbid diagnosis of dementia or mental retardation.

- evidence of severely impaired mental function on Shipley Institute of Living Scale

- unable or unwilling to give informed consent

- Had previously been exposed to more than one Team Solutions workbook

- evidence of significant risk of suicide

Total sample size: No. randomised - 74

Total sample size: ITT population - unclear

Gender: % female 56%

Age: Range 22-64

**Ethnicity:** Hispanic - 9%

African American - 32%

European American - 56%

Other or no data - 3%

Setting: Inpatient

History: No details about disorder history, medication, onset etc. reported

# **Baseline stats:**

[Team Solution / Control] KASQ: 14.5(5.0) / 15.8(4.9) TSCKAS: 11.9(3.2) / 12.2(2.7) GAF-DIS: 47.4(10.7) / 48.8(13.8) CGI: 4.6(0.7) / 4.5(0.8) PANSS General: 33.5(8.7) / 33.6(8.2) PGWB: 69.8(21.4) / 69.5(18.1) IAPSRS: 3.0(0.4) / 2.8(0.1)

Intervention	s Intervention - group 1.: Team Solutions; n=40					
	Intervention - group 2.: Control; n=34					
	Notes about the interventions: TAU					
	All participants were attending the partial hospitalisation programme for at least 2 days per week. The programme offered psychosocial treatment including participation in prevocational work areas, goal-orientated recreational groups, social skills training, and psycho-educational groups on topics such as medication education, stress management, physical health issues, nutrition and exercise, and independent living.					
	Team Solutions. In addition to TAU the experimental group also took part in Team Solutions groups. Groups met twice each day, 2 days per week for 24 weeks. There were three 8-week sessions, with two workbooks being covered in each session. Team Solutions is designed to education patients about their illness and how to manage it. Topics include understanding the symptoms of mental illness, why and how psychiatric medications work and are an important part of treatment, relapse prevention and coping strategies, and how to avoid crises.					
Outcomes	Global state & service outcomes (e.g. CGI): Average score/change in global state - CGI; GAF-DIS					
	Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - PANSS					
	Engagement with services (e.g. SES): Average score/change in engagement with services- TCI; ROMI; IAPSRS Toolkit					
	Satisfaction with treatment: Service user satisfaction - Recovery Attitudes Questionnaire (RAQ)					
	Quality of Life: Average score/change in quality of life - PGWB					
	<b>Other:</b> KASQ; Team Solutions Comprehensive Knowledge Assessment Scale (TSCKAS); Scale to Assess Unawareness of Mental Disorder (SUMD)					
Quality	1.1 The study addresses an appropriate and clearly focused question.: Adequately addressed					
	1.2 The assignment of subjects to treatment groups is randomised.: Adequately addressed					
	1.3 An adequate concealment method is used.: Not addressed					
	1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed					
	1.5 The treatment and control groups are similar at the start of the trial.: Well covered					
	1.6 The only difference between groups is the treatment under investigation.: Adequately addressed					
	1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Adequately addressed					
	1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: 20-50%					
	1.9 All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis).					

: Adequately addressed

**1.10 Where the study is carried out at more than one site, results are comparable for all sites.:** Not applicable

2.1 How well was the study done to minimise bias?: +

Study l	D
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Study ID	XIANG2006
General info	Funding source: Non-industry support
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	<b>Type of analysis:</b> ITT - Applied to missing outcome variables, however 5 participants who dropped out were completely excluded from analysis.
	Blindness: Only raters blind
	Duration: No. weeks of treatment - 8
	Duration: Length of follow-up - 24 weeks post-treatment
	Raters: Independent of treatment
	Design: Single-centre - Community in Beijing, China
	<b>Number of people screened, excluded &amp; reasons:</b> 4,500 patients with schizophrenia on clinic register, 150 randomly selected and approached, 96 met criteria and randomised
	Notes about study methods: Randomisation by computer-generated numbers tables
Participants	Diagnosis: Schizophrenia [% of sample] 100
	Diagnostic tool: DSM-IV
	<ul> <li>Inclusion criteria:</li> <li>DSM-IV schizophrenia diagnosis</li> <li>Age 18-60</li> <li>Chinese literate</li> <li>Clinically stable for &gt;=3 months prior to entry, as defined by PANSS items P2, P3, P5 and P9 sum &lt;=10 with no single item &lt;=4</li> <li>At least one family member cohabiting with patient</li> <li>Willing and able to provide informed consent.</li> </ul>
	Exclusion criteria: - Acute medical or neurological conditions - History of substance misuse other than nicotine.

Total sample size: ITT population - 91

Total sample size: No. randomised - 96

Gender: % female 51%

**Age:** Mean - 39

Ethnicity: Details not reported

Setting: Outpatient

History: [CRM Group / SC Group] Number of admissions: 2.33(2.39) / 2.04(2.31) Age at onset: 22.57(6.17) / 25.50(9.10) Duration of illness, years: 14.80(8.43) / 14.52(9.91)

# **Baseline stats:**

[CRM group / SC group] PANSS positive: 9.7(4.1) / 9.6(3.6) PANSS negative: 12.9(4.3) / 14.2(5.0) PANSS general: 24.9(5.4) / 25.4(5.6) SDSS: 5.82(2.3) / 6.8(3.1)

# Notes about participants:

[CRM Group / SC Group] Daily dose in chlorpromazine equivalents, mg: 421.11(192.61) / 459.37(180.32)

Interventions Intervention - group 1.: Community re-entry module, 16x twice weekly 1-hour sessions; n=48

Intervention - group 2.: Supportive counselling, 16x twice-weekly 1-hour sessions; n=48

# Notes about the interventions:

Community re-entry module (CRM)

One of the Social and Independent Living Skills Modules developed by the Intervention Research Center for Major Mental Illness at the University of California. Each group session involved 6-8 patients, focusing on education on medications and symptoms, assessment and planning for discharge and re-entry into community, monitoring signs of relapse and developing emergency plan. Materials including handouts, workbooks, video tapes, homework assignments etc. were used.

Supportive counselling (SC)

Developed by Chaoyang Mental Health Care Center based on the psychosocial intervention model, consisting of group-based semi-structured counselling discussing particular topics, including symptoms and prognosis, medication, long-term management, relapse monitoring and

prevention. General psychoeducation was also offered. Basic counselling techniques included listening, facilitation and mirroring.

**Outcomes Global state & service outcomes (e.g. CGI):** Relapse defined as 1) hospitalisation (for at least 36 hours due to exacerbation of psychotic symptoms), 2) attempted suicide, or 3) having deteriorated on the four PANSS psychosis items (one item rated as 6 or 7, or two items rated as 5 or more).

Global state & service outcomes (e.g. CGI): Re-hospitalisation

Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - PANSS

General and psychosocial functioning (e.g. SFS): Average score/change in general functioning - SDSS

Quality 1.1 The study addresses an appropriate and clearly focused question.: Adequately addressed

1.2 The assignment of subjects to treatment groups is randomised.: Well covered

1.3 An adequate concealment method is used.: Not addressed

1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed

1.5 The treatment and control groups are similar at the start of the trial.: Well covered

**1.6 The only difference between groups is the treatment under investigation.**: Adequately addressed

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered

1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Well covered

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable

2.1 How well was the study done to minimise bias?: +

#### Study ID

XIANG2007General infoFunding source: Non-industry support<br/>Published or unpublished data?: PublishedMethodType of study: Individual randomised trial<br/>Type of analysis: All analyses were conducted on an ITT basis<br/>Blindness: Only raters blind<br/>Duration: Length of follow-up - 24 months<br/>Duration: No. weeks of treatment - 4

Raters: Independent of treatment

Design: Single-centre - Chaoyang Mental Health Care Institute, China

**Number of people screened, excluded & reasons:** 483 inpatients were assessed for eligibility: 45 refused to participate, 335 were excluded for not meeting the study criteria for the following reasons (n): age beyond range (57), not clinically stable (183), no plan to discharge (67), other reasons (28). 103 participants were randomly allocated.

Notes about study methods: Randomisation procedure not reported

Participants Diagnosis: Schizophrenia [% of sample] 100%

Diagnostic tool: DSM-IV

#### Inclusion criteria:

- inpatients with a diagnosis of schizophrenia according to the DSM-IV

- aged 18-60

- clinically stable for 1 month before recruitment and about to begin their pre-discharge home leave (routine in this area). Clinical stability defined as sum of the four psychotic symptoms of the PANSS <=10 with none of the items scoring 4+

- admission was voluntary

- at least 1 family member would be cohabiting with the patient after discharge

- no employment immediately after discharge

#### **Exclusion criteria:**

- presence of ongoing acute medical or neurological conditions

- current or history of drugs and substance misuse other than nicotine.

Total sample size: No. randomised - 103

Total sample size: ITT population - 94 completed the research interview over the 25 month follow-up period.

Gender: % female 53%

Age: Mean 38.6

Ethnicity: Details not reported

Setting: Inpatient

# **History:**

[CRM / group psychoeducation] Duration of illness, years: 15.26(9.13) / 15.60(9.96) Age at onset: 22.09(6.60) / 24.16(8.45) Number of admissions: 2.18(2.25) / 1.86(1.82)

## **Baseline stats:**

[CRM / Group psychoeducation]

PANSS positive: 8.58(3.88) / 8.86(3.39) PANSS negative: 12.06(4.85) / 12.30(5.19) PANSS general: 21.08(5.16) / 21.37(5.55)

#### Notes about participants:

[CRM / Group psychoeducation] Daily antipsychotic dose, chlorpromazine equivalents, mg: 435(199) / 446(193)

Interventions Intervention - group 1.: Community Re-Entry Module (CRM), 16 x 1 hour sessions (4 sessions per week); n=53

Intervention - group 2.: Group psychoeducation: 16 x 1 hour sessions, (4 sessions per week); n=50

## Notes about the interventions:

Community re-entry module (CRM)

One of the Social and Independent Living Skills Modules developed by the Intervention Research Center for Major Mental Illness at the University of California. Each group session involved 6-8 patients, focusing on education on medications and symptoms, assessment and planning for discharge and re-entry into community, monitoring signs of relapse and developing emergency plan. Materials including handouts, workbooks, video tapes, homework assignments etc. were used.

Group psychoeducation

Participants randomised to this group received an equally intensive programme of group psychoeducation, a standard psychosocial intervention in many parts of China. Participants were placed into subgroups of 6-8 members.

The opportunity to attend quarterly, community-based workshops following discharge was offered to both study groups as part of a routine intervention to reinforce the use in the community of skills acquired during admission. Family members in both groups were encouraged to participant in these workshops, which were 4 hours long and delivered by mental health workers.

Training

Two experienced psychiatric nurses were responsible for delivery of both intervention (one nurse CRM, one psychoeducation). Both received a week's training to familiarise themselves with the interventions.

**Outcomes** Leaving the study early: Leaving due to any reason (non-adherence to study protocol)

**Global state & service outcomes (e.g. CGI):** Re-hospitalisation - a stay of at least 36 hours as a results of exacerbation of psychiatric symptoms. **Global state & service outcomes (e.g. CGI):** Relapse - if patient was admitted to hospital, attempted suicide, or deteriorated with >=1 of the 4 psychotic items of the PANSS rated as >=6 or >=2 items rated <=5

Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - PANSS

General and psychosocial functioning (e.g. SFS): Average score/change in general functioning - SDSS

Other: ITAQ

Re-employment - defined as >=3 consecutive months of salaried employment.

- Quality 1.1 The study addresses an appropriate and clearly focused question.: Well covered
  - 1.2 The assignment of subjects to treatment groups is randomised.: Not reported adequately
  - 1.3 An adequate concealment method is used.: Not addressed
  - 1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed
  - 1.5 The treatment and control groups are similar at the start of the trial.: Well covered
  - **1.6 The only difference between groups is the treatment under investigation.:** Well covered
  - 1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered
  - 1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%
  - **1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Adequately addressed
  - 1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable
  - 2.1 How well was the study done to minimise bias?: +

# **References of included studies (update)**

## BECHDOLF2004

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## LITTRELL2003

Littrell,K.H.; Hilligoss,N.M.; Kirshner,C.D.; Petty,R.G.; Johnson,C.G. (2003) The effects of an educational intervention on antipsychotic-induced weight gain. *Journal of Nursing Scholarship* 35(3): 237 - 241.

#### **SHIN2002**

Shin, S.K.; Lukens, E.P. (2002) Effects of psychoeducation for Korean Americans with chronic mental illness. Psychiatric Services; 53(9): 1125 - 1131.

#### SIBITZ2007

Sibitz,I.; Amering,M.; Gossler,R.; Unger,A.; Katschnig,H. (2007) One-year outcome of low-intensity booster sessions versus care as usual in psychosis patients after a short-term psychoeducational intervention. *European Psychiatry: the Journal of the Association of European Psychiatrists*. 22(4): 203 - 210.

## VREELAND2006

Vreeland, B.; Minsky, S.; Yanos, P.T.; Menza, M.; Gara, M.; Kim, E.; Toto, A.M.; Allen, L. (2006) Efficacy of the team solutions program for educating patients about illness management and treatment. *Psychiatric Services*; 57(6): 822 - 828.

#### XIANG2006

Xiang,Y.; Weng,Y.; Li,W.; Gao,L.; Chen,G.; Xie,L.; Chang,Y.; Tang,W.K.; Ungvari,G.S. (2006) Training patients with schizophrenia with the community re-entry module: a controlled study. *Social Psychiatry and Psychiatric Epidemiology* 41(6): 464 - 469.

#### XIANG2007

Xiang,Y.T.; Weng,Y.Z.; Li,W.Y.; Gao,L.; Chen,G.L.; Xie,L.; Chang,Y.L.; Tang,W.K.; Ungvari,G.S. (2007) Efficacy of the Community Re-Entry Module for patients with schizophrenia in Beijing, China: outcome at 2-year follow-up. *British Journal of Psychiatry*. 190: 49 - 56.

## Characteristics of excluded studies (update)

#### AGUGLIA2007

Reason for exclusion: Participants not randomised

Bechdolf 2002

Reason for exclusion: Conference abstract

#### GRAEBER2003

Reason for exclusion: Co-morbid

## **References of excluded studies (update)**

Aguglia, E., Pascolo-Fabrici, E., Bertossi, F., & Bassi, M. (2007) Psychoeducational intervention and prevention of relapse among schizophrenic disorders in the Italian community psychiatric network. *Clinical Practice and Epidemiology in Mental Health* 3: 7.

Bechdolf, A.; Knost, B.; Kuntermann, C.; Schiller, S.; Hambrecht, M.; Klosterkotter, J.; Pukrop, R. (2002) Coping-oriented versus psychoeducational group therapy for post acute patients with schizophrenia: results of a 6 month follow-up. *Schizophrenia Research* 53: 264 - 265.

Graeber, D.A.; Moyers, T.B.; Griffith, G.; Guajardo, E.; Tonigan, S. (2003) Addictions services. A pilot study comparing motivational interviewing and an educational intervention in patients with schizophrenia and alcohol use disorders. *Community Mental Health Journal*. 39(3): 189 - 202.

# Social skills training

Author	<ol> <li>Review type</li> <li>Funding</li> <li>Period covered</li> <li>Data analysis</li> <li>No. of studies</li> <li>No. randomised</li> </ol>	Interventions	Reported Outcomes
<ul> <li>Pilling S, Bebbington P, Kuipers E, Garety P, Geddes J, Martindale B, Orbach G, Morgan C. (2002)</li> <li>Psychological treatments in schizophrenia II: meta- analyses of randomized controlled trials of social skills training and cognitive remediation.</li> <li><i>Psychological Medicine</i>, 32, 783-791.</li> </ul>	<ol> <li>Systematic review of RCTs.</li> <li>Intramural sources of support to the review: University College London. Extramural sources of support to the review: Department of Health, UK.</li> <li>Database origin to 1999</li> <li>Meta-analysis of Odds Ratio and Standardised Mean Difference.</li> <li>9 (9 after removing 1 ineligible trial and adding 1 new trial).</li> <li>471 (436).</li> </ol>	<ol> <li>Social skills training programmes, defined as any structured psychosocial intervention, whether group or individual, aimed at enhancing the social performance and reducing the distress and difficulty in social situations. The key components are: a). a careful behaviourally based assessment of a range of social and interpersonal skills; b) an importance placed on both verbal and non-verbal communication; and c) the individual's ability to (i) perceive and process relevant social cues; and (ii) respond to and provide appropriate social reinforcement.</li> <li>This approach has the goal of building up individual behavioural elements into complex behaviours. The aim is to develop more effective social communication. There is</li> </ol>	<ol> <li>Mental state:         <ul> <li>a. Relapse/Readmission</li> <li>b. Unable to discharge from hospital</li> <li>c. Number of days in hospital</li> <li>d. Continuous ratings of mental state</li> <li>(BPRS/SCL-90)</li> </ul> </li> <li>Compliance:         <ul> <li>a. Non-compliance with treatment</li> </ul> </li> <li>Behaviour:             <ul> <li>a. Non-compliance with treatment</li> </ul> </li> <li>Behaviour:             <ul> <li>a. Harm</li> </ul> </li> <li>Social functioning:             <ul> <li>a. Changes in social skills</li> <li>b. Global adjustment</li> <li>c. Social adjustment</li> <li>d. Profile of Adaptation of Life</li> <li>e. Changes in Quality of life</li> </ul> </li> <li>Outcomes were divided into short term (&lt;6 months), medium term (7-12 months) and long term (&gt; 1 year).</li> </ol>

	considerable emphasis not just on clinic-based interventions (including modelling, role-play and social reinforcement) but also the setting of homework tasks and the generalisability of the treatment.	
	Programmes where social skills training was a component part of a more complex rehabilitation intervention are excluded. This includes instances where major components of treatment were token economies, life skills training and other similar milieu- based interventions which may include an element of social skills training in a broader programme.	
	2. Control treatment, defined as standard care without a dedicated programme of the type described above.	
Update	Reclassified studies: 1 RCT (Eckmann1992).Studies previously included, but excluded from update: 1 RCT (Finch1977).New studies: 12 RCTs.Awaiting assessment: 1 trial (KERN2005)	Notes: Definition updated

"Six of the 40 participants

did not complete the study

from the... analysis."

However, number of

separately for treatment

each group in Cochrane Analysis (Cormac et al.).

conditions. N=20 used for

Allocation concealment B

dropouts not given

2. Quality of life (QLS). and were therefore excluded

- no SD).

SANS).

GAF).

BAT).

(Behavioral

3. Global state (CGI,

4. Social functioning

Assessment Task -

1. IBT ("interactive-behavioral 1. Mental state (BPRS,

Study	Methods	Participants	Interventions	Outcomes	Notes
D 11 1 4 00 4		Diagnosis: schizophrenia	1. Social skills training: 3	Leaving the study	Therapists: two therapists
Bellack1984		(Feighner criteria). N=64.	hours per week, focusing on	early.	"followed a highly structured
	Duration: 12 weeks, 1 year	Age: range 18-58 years, mean	basic social networking and	Relapse / readmission.	treatment manual" - no
	follow-up.	32.7.	interpersonal stress		further details about
	Setting: day hospital	Sex: 38 M, 16 F.	reduction, using instruction,	Unable to use: Hopkins	professional background or
	programme, Western	History: mean number of	modelling, role-play,	Symptom Checklist	training provided.
	Psychiatric Institute and	prior hospitalisations 4.9,	feedback, home work + day	(mental state - no SD).	
	Clinic, Pittsburgh,	mean duration of illness 10.8	hospital programme. N=44.*	Psychiatric Status	* Initially two social skills
	Pennsylvania, US.	years.	2. Day hospital programme	Schedule (mental state	groups that "varied slightly
			alone: group therapies, i.e.	- no SD). Wolpe-	in their application," but as
			current issues, relaxation	Lazarus Assertiveness	there were no differences in
			therapy, group and	Test (changes in social	outcomes the trialists
			individual supportive	skills - no SD).	amalgamated these data.
			therapy. N=20.	Behavioral Role Play	-
				Test (overall social skill	Allocation concealment B

Diagnosis: schizophrenia,

Age: mean ~33 years, range

History: mean age of onset

number of hospitalisations

~22 years, SD ~ 9; mean

schizoaffective.

N=40\*.

19-61.

~3, SD ~3.

training"): group based

+standard care. N=20.

medication. N=20.

2. Standard care: including

# Characteristics of included studies (previous guideline)

Allocation: randomised.

Duration: 8 weeks, 16

sessions twice weekly.

Setting: outpatients and day

patients, Long Island, NY.

Blinding: none.

Daniels1998

Dobson1995	Allocation: randomised - no further details. Blinding: not specified, but	Diagnosis: schizophrenia (Structured Clinical Interview for DSM-III-R). N=33.	1. Social skills training: four 1-hour sessions per week of communication skills,		Therapists: experienced nurse therapists and one predoctoral psychology
	assessments were not completed by group	Age: treatment group mean 33.73 (SD 8.92), control group	assertiveness training, using instruction, role-play,	Length of stay in hospital.	intern.
	therapists, and treatments were not led by the investigators. Duration: 9 week treatment,	mean 35.69 (SD 7.23). Sex: 16 M, 12 F (excluding 5 drop-outs, as sex is not specified).	modelling, feedback, homework. N=18. 2. Social milieu: structured activities including	Medication dosages (no usable data). PANSS (mental state -	Allocation concealment B
	follow-up at 6 months and 1 year after end of treatment. Setting: day hospital programme, Foothills Hospital, Calgary, Alberta, Canada.	History: months of previous hospitalisation - treatment group mean 10 (SD 13.4), control group mean 15.5 (SD 27.35). Exclusions: if subjects received social skills training in the 2 years before assessment, if organicity or alcohol or drug addiction was noted on hospital chart, or if they had received electroconvulsive therapy within the previous 6 months.	supportive discussion groups, exercise groups, and activity groups. N=15.	no usable data).	
Finch & Wallace1977	Allocation: randomised - no further details. Blinding: raters blind. Duration: 4 weeks. Setting: inpatient treatment ward, Sepulveda Veterans Administration Medical Center, California, US.	Diagnosis: schizophrenia (DSM-III). N=16. Sex: all males. Age: range 21-40, mean 29. History: mean length of past admission ~3 years.	<ol> <li>Social skills training: three</li> <li>hour sessions per week of discussing difficult interpersonal situations, using modelling, role-play, feedback, homework. N=8.</li> <li>Standard care. N=8.</li> </ol>	Unable to be discharged. Unable to use: Wolpe-Lazarus Assertiveness Test (changes in social skills - no SD). Employment (reported on experimental subjects only).	Therapists: two advanced clinical psychology graduate students (male and female). Allocation concealment B

	Allocation: random.	Diagnosis: schizophrenia	1. Social skills: two 75-minute	BPRS (mental state).	Therapists: two masters-level
Hayes1995	Blinding: raters blind.	(DSM-III-R). N=63*.		GAS (global	clinical psychologists, two
	Duration: 18 weeks, plus 9	Age: mean 36 (SD=10).	sessions altogether)	adjustment).	occupational therapists, two
	booster sessions over a 6	Sex: 47 M, 16 F.	emphasising interpersonal		social workers, one registered
	month follow-up.	History: mean duration of	skills, social problem solving,		psychiatric nurse.
	Setting: range of mental	illness 11 years (SD 10), not	positive time use skills. Using		Training: all therapists
	health services,	currently experiencing		Unable to use:	received a comprehensive
	Queensland, Australia.	psychotic symptoms		Relapse (data not	written treatment manual for
		(assessed on BPRS), presence		presented separately	their respective treatment
		of residual impairment (score		for experimental	condition, which they read in
		of less than or equal to 60 on		groups).	conjunction with 10 hours of
		GAS, clinical judgment of		Leaving the study	training in treatment
		social skills deficit based on a		early (same as above).	administration.
		review of videotaped	disclosure. N=32*.		Supervision: all treatment
		Simulated Social Interaction			sessions were videotaped and
		Test).		SSQ (social skill), APES	
				(social	investigators in weekly
				engagement/participat	
					*Although the total number
				life), SANS (mental	of participants (N=63) was
				state), use of free time	provided in the study, the
				(community	exact number randomly
				functioning).	assigned to each treatment
					condition was not provided.
					Hence, the conservative
					estimate of n=32 was made
					for each of the two treatment
1					groups.
					Allocation concealment B

	Diagnosis: schizophrenia	1. Social skills training: 12	Leaving the study	Therapists for treatment
				group: occupational therapist
prescribers blind to treatment	form - no further information	4 days per week), involving	life).	and three paraprofessionals
allocation.	given).	basic conversation, recreation	Profile of Adaptation	Therapists for control group:
Duration: 6 months, 18	N=84.	for leisure, medication and	to Life: efficacy.	three occupational therapists.
months follow-up after end	Age: mean 37.1 (SD 8.8).	symptom management.	*Changes in scores	Supervision: faithfulness of
of treatment.	Sex: all males.	N=42.	rather than raw scores	the module leaders to the
Setting: outpatients, West Los	History: mean duration of	2. Occupational therapy	reported in all	procedures in the trainer's
Angeles Veterans	illness 14.8 years (SD 8.0).	training: expressive, artistic	outcomes.	manuals were rated weekly
Administration Medical		and recreational activities.		by their supervisor through
Center, California, US.		N=42.	Independent Living	use of observational checklist.
			Skills Survey.	
			Rosenberg Self-Esteem	Allocation concealment B
			Scale.	
			BPRS.	
			GAS.	
			(None of the above	
			could be used because	
			standard deviations	
			were more than half of	
			the means).	

Lukoff1986	Blinding: raters (psychiatrists) blind. Duration: 10 weeks, 2 year follow-up after end of treatment. Setting: inpatient units,	Diagnosis: schizophrenia (DSM-III and CATEGO Class S Criteria, elicited by Present State Examination). N=28. Age: unavailable. Sex: all males. History: unavailable.	sessions, twice-weekly afternoon sessions of role play exercises, assertive behaviour, anger control, problem solving + weekly behavioural family therapy session. N=14 2. Holistic health programme + token economy: 30-minute exercise in the morning, yoga, meditation, stress education, mobilising positive belief sessions, building self-esteem sessions.	readmission. SCL-90 (mental state). NGI (global adjustment). Unable to use: Psychiatric Assessment Scale (PAS). Tennessee	Therapists: two doctoral level psychologists, two master's level psychologists, one recreation therapist, and predoctoral psychology interns. <b>Allocation concealment B</b>
Marder1996	Blinding: none Duration: 2 years. Setting: outpatients, West Los Angeles Veterans Administration Medical Center, California, US.	Diagnosis: schizophrenia (DSM-III-R). N=80. Age: treatment group mean 38.5 (SD 9.0), control group mean 37.9 (SD 8.6). Sex: all males. History: mean duration of illness - treatment group mean 12.5 years (SD 8.9), control group mean 13.4 years (SD 9.0), mean age at onset of illness - treatment group mean 25.5 (SD 5.7), control group mean 24.4 years (SD 4.8).	weekly, for first 6 months, then weekly, to compensate for schizophrenic symptoms and cognitive deficits, using cognitive restructuring principles, repeated	adjustment). Unable to use: exacerbation in symptoms (no usable data).	Therapists: therapy administered by one or two leaders who were doctoral and master's level psychologists, an occupational therapist, and a social science technician. Therapist for control condition was a doctoral- level psychologist. Allocation concealment B

	Allocation: random.	Diagnosis: chronic	1. Group assertive training:	Leaving the study	Therapists: experimenter and
Peniston1988	Blinding: raters blind.	schizophrenia (DSM-III).	twice weekly (72 half-hour	early. Unable to be	co-therapist - no further
	Duration: 9 months.	N=28.	sessions altogether), focused	discharged.	details provided.
	Setting: inpatients in secure	Sex: all males.	on a series of threatening	Harm to others.	
	psychiatric wards, Fort Lyon	Age: treatment group mean	interpersonal situations,	Harm to self.	Allocation concealment B
	Veterans Administration	40.28 (SD 12.80), control	using rehearsal, modelling,	Unable to use:	
	Medical Center, Colorado,	group mean 43.71 (SD 14.48).	feedback, and reinforcement.	None.	
	US.	History: high frequency of	N=14.		
		physical assaults/self	2. Standard care. N=14.		
		injurious behaviour during			
		last 9 months, mean length of			
		present hospitalization 6.07			
		years.			

# References of included studies (previous guideline)

#### Bellack 1984 {published data only}

Bellack AS, Turner SM, Hersen M, Luber RF. (1984) An examination of the efficacy of social skills training for chronic schizophrenic patients. *Hospital* and *Community Psychiatry*; 35(10):1023-8.

### Daniels 1998 {published data only}

Daniels L. (1998) A group cognitive-behavioural and process-oriented approach to treating the social impairment and negative symptoms associated with chronic mental illness. *Journal of Psychotherapy Practice and Research*; 7:167-76.

### Dobson 1995 {published data only}

Dobson DJG, McDougall G, Busheikin J, Aldous J. (1995) Effects of social skills training and social milieu treatment on symptoms of schizophrenia. *Psychiatric Services*; 46(4):376-80.

### Finch & Wallace 1977 {published data only}

Wallace CJ, Boone SE. (1983) Cognitive factors in the social skills of schizophrenic patients: implications for treatment. *Nebraska Symposium on Motivation*; 31:283-317 (study 2).

# Hayes 1995 {published data only}

Hayes RL, Halford W, Varghese FT. (1995) Social skills training with chronic schizophrenic patients: effects on negative symptoms and community functioning. *Behavior Therapy*; 26:433-49.

## Liberman 1998 {published data only}

Liberman RP, Wallace CJ, Blackwell G, Kopelowicz A, Vaccaro VJ, Mintz J. (1998) Skills training versus psychosocial occupational therapy for persons with persistent schizophrenia. *American Journal of Psychiatry*; 155(8):1087-91.

## Lukoff 1986 {published data only}

Lukoff D, Wallace CJ, Liberman R, Burke K. (1986) A holistic program for chronic schizophrenic patients. Schizophrenia Bulletin; 12:274-82.

Liberman RP, Wallace J, Falloon IRH, Vaughn CE. (1981) Interpersonal problem-solving therapy for schizophrenics and their families. *Comphrehensive Psychiatry*; 22(6):627-30.

Wallace CJ, Boone SE. (1983) Cognitive factors in the social skills of schizophrenic patients: implications for treatment. *Nebraska Symposium on Motivation*; 31:283-317 (study 3).

Wallace C, Liberman RP. (1985) Social skills training for patients with schizophrenia: a controlled clinical trial. A controlled clinical trial. *Psychiatry Research*, 15 (3), 239-247.

Ayers T, Liberman RP, Wallace CJ. (1984) Subjective response to antipsychotic drugs: failure to replicate predications of outcome. *Journal of Clinical Psychopharmacology*; 4(2):89-93.

# Marder 1996 {published data only}

Marder SR, Wirshing WC, Mintz J, McKenzie J, Johnston K, Eckman TA, Lebell M, Zimmerman K, Liberman RP. (1996) Two year outcome of social skills training and group psychotherapy for outpatients with schizophrenia. *American Journal of Psychiatry*; 153(12):1585-92.

### Peniston 1988 {published data only}

Peniston E, Kulkosky P. (1988) Group assertion and contingent time-out procedures in the control of assaultive behaviors in schizophrenics. *Medical Psychotherapy*; 1:131-41

# Characteristics of excluded studies (previous guideline)

Reason for exclusion
Allocation: Not randomised - people in intensive residential treatment unit compared with people treated in state hospital.
Allocation randomised Intervention: Had social skills training component but broadly family intervention
Allocation: randomised. Participants: various diagnoses including schizophrenia and depression - not clear what proportion of the sample is schizophrenic.
Allocation: random Participants: schizophrenic + non-psychotic (analysed separately). Interventions: Social skills training, social skills training with modelling, and practice control. Outcomes: No usable data (all main effects, statistics).
Allocation: random Intervention: social skills training as a component of family intervention
Allocation: random. Participants: Those with schizophrenia. Design: multiple baseline design - experimental and control group both trained in social skills.
Allocation: randomised. Participants: Those with schizophrenia. Interventions: normal activity, current events discussion, cooperative arts and crafts project, and dyad discussion - none of which meet social skills training criteria.
Allocation: No allocation to two experimental groups - intra subject replication design.
Allocation: No random, calendar randomisation according to available therapist time.
Allocation: random. Participants: people with severe mental illness, dually diagnosed with substance disorder.
Allocation: random Intervention: Very broad, had many components, including family intervention. Not social skills training.
Allocation: Not randomised.
Subjects: male arsonists, with various diagnoses, mainly personality disorder.

Tourney 1960	Allocation: Not randomised
Wirshing 1992	Allocation: random. Participants: schizophrenic. Intervention: 'structured and modularised skills training' - not social skills training: focus on medication management and symptom management.

# Characteristics of included studies (update)

Study ID	BROWN1983
General info	Funding source: Non-industry support
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	Type of analysis: Completer
	Blindness: No mention
	Duration: No. weeks of treatment - 7
	Raters: Not stated to be independent of treatment
	Design: Single-centre - US
	Number of people screened, excluded & reasons: Not reported
	Notes about study methods: Randomisation procedure not reported
Participants	Diagnosis: Schizophrenia [% of sample] 100%
	Diagnostic tool: Other DSM
	Inclusion criteria: - inpatients diagnosed with schizophrenia according to DSM-III criteria - chronic schizophrenia
	<b>Exclusion criteria:</b> - Significant history of drug abuse or alcoholism
	Total sample size: No. randomised 28
	Total sample size: ITT population 25 - completers only

	Gender: % female 0
	Age: Mean 35
	Ethnicity: 11% - Black
	4% - Asian
	Setting: Inpatient
	History: 97% had >4 previous hospitalisations
	Baseline stats: Not reported
Interventions	Intervention - group 1.: Social Skills Training, 7 weeks for 4 hours a day, 5 days a week; N=14
	<b>Intervention - group 2.:</b> Rehabilitation, 7 weeks for 4 hours a day, 5 days a week; N=14
	Notes about the interventions: Social skills training
	- Training occurred over six modules: interpersonal, nutrition, health, finance, time management and community networks. The interpersonal skills modules included topographic behaviours such as eye contact, proximity, vocal tone and verbal content, with the remaining five modules including instrumental skills for effective community living.
	- Life skills training employed active learning which included behavioural rehearsal, in vivo activities and homework assignments.
	Rehabilitation group Traditional veterans rehabilitation group used to control for therapist time and attention and included activities such as art, recreation and occupational therapy.
Outcomes	Leaving the study early: Leaving due to any reason (non-adherence to study protocol)
	Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - Zung Depression Rating Scale - Hamilton Depression Rating Scale - Social Anxiety Scale
	General and psychosocial functioning (e.g. SFS): Average score/change in general functioning - Life Skills Inventory (LSI)
Quality	1.1 The study addresses an appropriate and clearly focused question.: Adequately addressed
	1.2 The assignment of subjects to treatment groups is randomised.: Not reported adequately
	1.3 An adequate concealment method is used.: Not addressed
	1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Not reported adequately
	1.5 The treatment and control groups are similar at the start of the trial.: Poorly addressed
	1.6 The only difference between groups is the treatment under investigation.: Adequately addressed
	1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Adequately addressed

1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Poorly addressed

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable

2.1 How well was the study done to minimise bias?: +

### Study ID

Study ID	CHIEN2003
General info	Funding source: Not mentioned
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	Type of analysis: Completer
	Blindness: No mention
	Duration: Length of follow-up - 1 month
	Duration: No. weeks of treatment - 4
	Raters: Not stated to be independent of treatment
	Design: Single-centre - Taiwan
	<b>Number of people screened, excluded &amp; reasons:</b> 84 participants were included, a total of 8 withdraw either before or during the study. Reasons for withdrawal included inter-hospital transfer, refusal to participate, transfer to a different ward, unable to participate in less than half of the training sessions.
	78 participants were randomised
	<b>Notes about study methods:</b> Randomisation procedure: Each participant was assigned a number. A table of random numbers was used to select 28 participants from the first subgroup, and randomly assign 14 of them to the experimental group or control group. This same procedure was repeated within the second and third subgroups of
	participants.
Participants	Diagnosis: Schizophrenia [% of sample] 100
	Diagnostic tool: DSM-IV
	Total sample size: No. randomised 78

Gender: % female 45

Age: Mean - 41.77

Setting: Inpatient

# **History:**

[Experimental/Control] Social skills training history n(%): Have: 10(28.6) / 9(20.9) Have not: 25(71.4) / 34(79.1)

## **Baseline stats:**

[Experimental Group / Control Group] PSS: 20.51(3.44) / 22.42(3.94) NSS: 25.43(3.43) / 27.63(4.21) GPS: 52.20(5.88) / 56.12(5.99) SARS: 8.20(1.80) / 8.65(1.84) IAS: 52.66(10.67) / 52.58(10.95)

Interventions Intervention - group 1.: Experimental Group; n=35

**Intervention - group 2.:** Control Group; n=43

# Notes about the interventions:

Control Group: Routine nursing care treatment

Experimental group:

In addition to TAU, the experimental group received 8 group social skills training sessions. The main objective was to advance the social skills abilities via a 60-minute social skills training course twice a week for 4 weeks each month. Training methods of social skills were divided into five parts: explanation, demonstration, role-play, feedback and social enhancement, and a homework assignment.

# Outcomes Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - PANSS

**General and psychosocial functioning (e.g. SFS):** Average score/change in general functioning - Interaction Anxiousness Scale (IAS); Interpersonal Communication Satisfaction Scale; The Assertive Skill Scale

- Quality 1.1 The study addresses an appropriate and clearly focused question.: Adequately addressed
  - 1.2 The assignment of subjects to treatment groups is randomised.: Adequately addressed
  - 1.3 An adequate concealment method is used.: Poorly addressed

1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Not reported adequately

CHOI2006

1.5 The treatment and control groups are similar at the start of the trial.: Well covered

1.6 The only difference between groups is the treatment under investigation.: Adequately addressed

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Adequately addressed

1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Not addressed

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable

2.1 How well was the study done to minimise bias?: +

#### Study ID

	CH012006
General info	Funding source: Not mentioned
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	Type of analysis: Completer
	Blindness: No mention
	Duration: No. weeks of treatment - 26
	Raters: Not stated to be independent of treatment
	Design: Single-centre - Korea
	<b>Number of people screened, excluded &amp; reasons:</b> 34 participants were included in the study. Of these 7 did not complete the SCET because of employment and moving to other areas, and 9 did not complete the standard psychiatric rehabilitation training due to employment, hospitalisation and refusal to complete measures.
	Notes about study methods: Randomisation procedure not reported
Participants	Diagnosis: Schizophrenia [% of sample] 97%
	Diagnosis: Other schizophrenia related [%] Schizoaffective disorder - 3%
	Diagnostic tool: DSM-IV
	Inclusion criteria: - DSM-IV criteria for schizophrenia or schizoaffective disorder - Stable antipsychotic medications

- aged 18-60

#### **Exclusion criteria:**

- suspected organic brain pathology

- concurrent substance misuse or dependence

- severe mental retardation

Total sample size: No. randomised - 34

Gender: % female 44%

Age: Mean 32

Ethnicity: Not reported

Setting: Outpatient

# History:

[SCET Group / Control Group] Duration of illness: 9.29(4.86) / 13.08(6.29)

# **Baseline stats:**

[SCET Group / Control Group] PA: 23.82(8.31) / 17.24(6.47) SBST: 34.47(12.78) / 28.24(10.53) ERT: 13.65(3.14) / 10.12(3.89)

# Interventions Intervention - group 1.: SCET - Social Cognition Enhancement Training ; n=17

Intervention - group 2.: Control; n=17

# Notes about the interventions:

Standard Psychiatric Rehabilitation Training (Control):

-Comprehensive training designed to improve daily coping skills, optimise medication adherence, and increase social and occupational functioning.

# SCET:

-In addition to the above, SCET was delivered on a group basis for 1.5 hours twice weekly. The package consisted of 36 sessions over approx 6 months. The sessions were conducted in accordance with the manual. SCET aims to improve social cognitive abilities such as context appraisal and perspective taking. Four column cartoons are employed as major training material in social cognitive exercises in which participants are encouraged to perceive social cues in each piece of the cartoon, arrange the four pieces in order based on contextual information and provide coherent explanations of the social situation in each cartoon. SCET also provides an opportunity to discuss how to solve problems in a social situation similar to that depicted in the cartoon.

**Outcomes** Cognitive functioning: Average score/change in cognitive functioning - PA; SBST; ERT

# Quality 1.1 The study addresses an appropriate and clearly focused question.: Well covered

1.2 The assignment of subjects to treatment groups is randomised.: Not reported adequately

**1.3 An adequate concealment method is used.:** Not addressed

1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Not reported adequately

**1.5 The treatment and control groups are similar at the start of the trial.** Adequately addressed

1.6 The only difference between groups is the treatment under investigation .: Well covered

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered

**1.8** What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: 20-50%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Poorly addressed

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable

2.1 How well was the study done to minimise bias?: +

#### Study ID

5	GLYNN2002
General info	Funding source: Pharmaceutical industry
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	Type of analysis: Completer - See ITT for details.
	<b>Type of analysis:</b> ITT - Paper reports data on all those followed-up at 60 weeks regardless of whether they completed the intervention. However the paper does not report outcomes on 18 people who after randomisation withdrew from the study before receiving any of the allocated intervention
	Blindness: Double-blind - Randomisation to the two drug conditions was double-blind
	Blindness: Only raters blind to the allocation of participants to the SST conditions.
	Duration: No. weeks of treatment - 60
	Raters: Independent of treatment
	Design: Single-centre - Veteran affairs outpatient clinic, LA, US
	<b>Number of people screened, excluded &amp; reasons:</b> 110 registered or eligible patients. 47 were excluded from random assignment due to (n): unable to stabilise on medication (10), could not tolerate haloperidol/side effects (8), withdrew against medical advice (5), missing (7),

withdrew consent (7), become non compliant (3), moved (3), decided against skills training (2), severe substance misuse (2) Notes about study methods: Randomisation procedure not reported (for both drug and SST randomisations) Participants Diagnosis: Schizophrenia [% of sample] % not reported **Diagnosis:** Other schizophrenia related [%] % schizoaffective disorder not reported Diagnostic tool: DSM-IV **Inclusion criteria:** - DSM-IV diagnosis of schizophrenia or schizoaffective disorder - >=2 documented episodes of acute schizophrenic illness or >=2 years of continuing psychotic symptoms - Stabilised as an outpatient >=1 month - Willingness to tolerate haloperidol and risperidone - No significant organic or medical problems precluding learning or attendance at group sessions - No evidence of substance misuse in previous 6 months - Informed consent - Aged 18-60 **Exclusion criteria:** - Participants unable to stabilise during the 2-month pre-randomisation period Total sample size: No. randomised - 63 Total sample size: ITT population - 46 Gender: % female 8% **Age:** Mean - 43 Ethnicity: [Clinic based SST / clinic based + in-vivo SST] Ethnicity n(%): Caucasian: 16(50) / 12(38.7) African American: 10(31.3) / 15(48.4) Hispanic: 4(12.5) / 4(12.9) Asian: 2(6.3) / 0(0.0) Setting: Outpatient **History:** [Clinic based SST / Clinic based SST + invivo SST] Age of illness onset: 24.3(4.8) / 25.8(6.2) Number of previous hospitalisations: 8.2(9.9) / 7.1(9.0)

**Baseline stats:** [Clinic based SST / Clinic based SST + in-vivo] BPRS total: 42.1(10.70 / 42.2(10.2)

Interventions Intervention - group 1.: Clinic based social skills training alone, 64 x 90 minute sessions and 24 X 1 hour sessions; N = 32

**Intervention - group 2.:** Clinic based social skills training + in-vivo skills amplification: 64 x 90 minute sessions and 24 X 1 hour sessions (clinic based sessions). 52 x75 minute meetings

#### Notes about the interventions:

Stabilisation and medication assignment:

- All patients entered a 2-month stabilisation period in which current antipsychotic medication was gradually replaced with open-label haloperidol. Participants were not randomly assigned to SST conditions until they were clinically stable defined as: >=2 months during which none of BPRS ratings on thought disturbance or paranoid clusters changed by >1 point.

- 2 weeks prior to randomisation, medication for all patients changed to 8mg/day haloperidol

Random assignment into drug conditions

-Patients discontinued open-label haloperidol and were randomised on to either haloperidol or risperidone. The initial dose for each drop was 2 mg t.i.d + 6mg at bedtime. The dose could be increased but only for a psychotic exacerbation.

- Patients unable to tolerate haloperidol or risperidone were removed from the double-blind component and treated with alterative treatments. All these patients were encouraged to continue with the SST conditions.

Behaviourally orientated clinical social skills training

- Consisted of a series of social skills training modules (UCLA) administered in a group setting. Modules included medication management, symptom self-management, social problem solving and successful living skills.

In-vivo amplified skills training.

- aimed to support the use of the clinic-based skills within the community.

- manual-based intervention with 60 specific activities scheduled to coincide with the clinic-based training. The in-vivo skills programme had four objectives: 1) support completion of clinic assignments in the community, 2) identify opportunities for skills use in the community, 3) reinforce opportunity for skills use in the community and 4) establish a liaison with or develop a natural support systems to maintain gains from training.

**Outcomes** Death: Natural causes

Leaving the study early: Leaving due to any reason (non-adherence to study protocol)

Global state & service outcomes (e.g. CGI): Time to relapse - Data not useable

**Global state & service outcomes (e.g. CGI):** Relapse - defined as worsening from baseline of >=4 points on the sum of the BPRS cluster scores for thought disturbance and hostile-suspiciousness, or an increase >=3 or more on either of these clusters. In addition, the sum of the scores for >=1 of these clusters needed to be >=4

	General and psychosocial functioning (e.g. SFS): Average score/change in general functioning
	Quality of Life: Average score/change in quality of life
Quality	1.1 The study addresses an appropriate and clearly focused question.: Adequately addressed
	1.2 The assignment of subjects to treatment groups is randomised.: Not reported adequately
	1.3 An adequate concealment method is used.: Not addressed
	1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Adequately addressed
	1.5 The treatment and control groups are similar at the start of the trial.: Well covered
	<b>1.6 The only difference between groups is the treatment under investigation.:</b> Poorly addressed The paper states that "no meaningful medication effect existed in the data, thus we dropped the medication effects from the models evaluating psychosocial interventions"
	1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered
	1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: $20-50\%$
	<b>1.9</b> All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Poorly addressed
	1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable
	2.1 How well was the study done to minimise bias?: +
Study ID	GRANHOLM2005
General info	Funding source: Non-industry support

Published or unpublished data?: Published

Method Type of study: Individual randomised trial

**Type of analysis:** ITT analyses were used to examine all outcome variables. Missing data were replaced by within-group means of the missing values.

Blindness: Only raters blind

Duration: No. weeks of treatment - 24

**Raters:** Independent of treatment

Design: Multi-centre - All centres were based in the US

**Number of people screened, excluded & reasons:** 87 participants were screened; 11 were excluded due to: refusal to complete baseline assessment (n=4), disabling medical illness (n=4), current substance misuse (n=3)

**Notes about study methods:** A stratified randomisation procedure was used to assign participants to treatments within sites, with the constraint of equal numbers of patients from each site would be assigned to the two conditions according to a sequential list of random numbers.

**Participants** Diagnosis: Other schizophrenia related [%] Schizoaffective disorder = 37%

Diagnosis: Schizophrenia [% of sample] 63%

Diagnostic tool: DSM-IV

Exclusion criteria: - disabling medical problems that would interfere with testing

- absence of medical records to inform diagnosis

- diagnosis of dependence on substances other than nicotine or caffeine within the past 6 months

Total sample size: No. randomised - 76

Total sample size: ITT population - 76

Gender: % female 73.5%

**Age:** Range 42-74

Age: Mean 54

Ethnicity: 78% were of Caucasian ethnicity

**Setting:** Other community-dwelling patients

### **History:**

[TAU +CBSST / TAU] Age at onset: 26.4(10.9) / 24.7(10.0) Illness duration: 30.1(11.3) / 28.4(10.5)

### **Baseline stats:**

[TAU + CBSST / TAU] Beck cognitive insight scale: 4.1(5.3) / 5.9(4.7) PANSS: 51.5(13.2) / 56.1(14.8) HAM-D: 13.5(9.0) / 14.2(8.8) Independent Living Skills Survey: 0.69(0.10) / 0.71(0.09) UCSD Performance-based skills Assessment: 0.73(0.18) / 0.67(0.17)

### Notes about participants:

participant mediation 1+ atypical antipsychotics = 46 typical antipsychotics = 17 both typical and atypical = 7

#### No antipsychotic medication = 6

Interventions Intervention - group 1.: TAU + CBST (Cognitive behavioural social skills training); n=37

Intervention - group 2.: TAU control; n=39

Notes about the interventions:

TAU

Patients continued in whatever ongoing care they were receiving. No medication guidelines were provided as part of this protocol. To characterise TAU, a standardised service utilisation interview was administered to all participants. 82% reported a psychotropic medication visit in the 6 weeks preceding study entry. 19% reported receiving any form of psychotherapy.

#### CBSST

CBSST was conducted in 24 weekly 2-hour group sessions. The treatment manual included a patient workbook that contained homework forms. CBSST targeted the multidimensional deficits that lead to disability in aging patients with schizophrenia. The social skills training modules were based on modules in the UCLA social and independent living skills series, whilst the cognitive components were developed specifically for patients with schizophrenia. The age-relevant content modifications included identifying and challenging ageist beliefs, age-relevant role-playing situations and age-specific problem solving. The modules were repeated to compensate for cognitive impairment.

# Outcomes Global state & service outcomes (e.g. CGI): Re-hospitalisation

**Mental state (e.g. BPRS, PANSS, BDI):** Average score/change in mental state - PANSS; HAM-D; Beck Cognitive Insight Scale; Comprehensive Module Test.

General and psychosocial functioning (e.g. SFS): Average score/change in general functioning - Independent living Skills Survey; UCSD Performance-Based Skills Assessment

# Quality 1.1 The study addresses an appropriate and clearly focused question.: Well covered

1.2 The assignment of subjects to treatment groups is randomised.: Well covered

1.3 An adequate concealment method is used.: Adequately addressed

1.4 Subjects and investigators are kept 'blind' about treatment allocation .: Poorly addressed

1.5 The treatment and control groups are similar at the start of the trial.: Well covered

1.6 The only difference between groups is the treatment under investigation.: Adequately addressed

1.7 All relevant outcomes are measured in a standard, valid and reliable way .: Well covered

**1.8** What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Well covered

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Well covered

# 2.1 How well was the study done to minimise bias?: ++

Study ID	
	PATTERSON2003
General info	Funding source: Non-industry support
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	Type of analysis: Completer
	Blindness: Only raters blind
	Duration: Length of follow-up - 3months
	Duration: No. weeks of treatment - 12
	Raters: Independent of treatment
	Design: Multi-centre - 4 board and care centres in San Diego, US
	Number of people screened, excluded & reasons: No details reported.
	<b>Notes about study methods:</b> Eight Board and Care facilities in San Diego, each with at least 20 middle-aged or older patients agreed to participate in the project. From this sample of eight facilities, four were randomly chosen for the present study. Ten patients were recruited from each site
Participants	Diagnosis: Other schizophrenia related [%] Schizoaffective disorder - 22%
	Diagnosis: Schizophrenia [% of sample] schizophrenia - 53%
	Diagnosis: Other [%] Mood disorder with psychotic features - 25%
	Diagnostic tool: DSM-IV
	Inclusion criteria: - aged >40 years - patients with longstanding psychotic disorders - patients with a DSM-IV chart diagnosis of schizophrenia, schizoaffective disorder, or psychotic mood disorder
	<ul> <li>Exclusion criteria:</li> <li>DSM-IV diagnosis of dementia</li> <li>serious suicide risk</li> <li>could not complete the assessment battery</li> <li>participating in any other psychosocial intervention or drug research at the time of study intake</li> <li>Total sample size: No. randomised - 40</li> </ul>

### Total sample size: ITT population - 32 completers used in the analysis

Gender: % female 31%

Age: Mean 45

Ethnicity: African American - 13%

Hispanic - 3%

Asian American - 3%

White - 78%

Other - 3%

Setting: Other Board and Care facility

**History:** 

[FAST intervention / TAU] Duration of illness, years: 21.3(11.8) / 20.9(12.3)

# **Baseline stats:**

[FAST intervention / TAU] UPSA: 31.9(11.8) / 40.3(8.3) PANSS positive: 12.5(5.6) / 10.4(4.0) PANSS negative: 16.9(6.6) / 10.1(3.2) PANSS general: 25.0(6.2) / 22.3(3.7) HAM-D: 7.8(6.1) / 4.6(2.8) QWB: 0.53(0.08) / 0.49(0.08)

# Notes about participants:

[FAST intervention / TAU] On antipsychotics, n(%): 16(100) / 15(94) Daily neuroleptic dose (mg, chlorpromazine equivalent): 436.2(659.1) / 461.5(598.14)

Interventions Intervention - group 1.: FAST intervention, twice-weekly, 120-minute group sessions for 12 weeks; N=20

Intervention - group 2.: Control n=20

# Notes about the interventions:

Functional Adaptation Skills Training (FAST)

Based on a Social and Independent Living Programme, a manualised social-cognitive theory-based behavioural intervention was created. The intervention focused on improving six areas of everyday functioning: medication management, social skills, communication skills, organisation and planning, transportation, and financial management. FAST consisted of 24 semi-weekly, 120 minute group sessions.

TAU

	All participants received their usual medications. Participants were queried about their participation in other interventions. None of the patients reported participating in any other psychosocial intervention during the study
Outcomes	Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - PANSS; Ham-D
Outcomes	General and psychosocial functioning (e.g. SFS): Average score/change in general functioning - UPSA
	Quality of Life: Average score/change in quality of life - QWB
Quality	1.1 The study addresses an appropriate and clearly focused question.: Well covered
Quality	
	<b>1.2 The assignment of subjects to treatment groups is randomised.</b> : Not reported adequately
	<b>1.3 An adequate concealment method is used.</b> : Not addressed
	1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed
	1.5 The treatment and control groups are similar at the start of the trial.: Poorly addressed
	1.6 The only difference between groups is the treatment under investigation.: Poorly addressed
	1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered
	1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: $20-50\%$
	<b>1.9 All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis).</b> : Poorly addressed
	1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not reported adequately
	2.1 How well was the study done to minimise bias?: +
Study ID	PATTERSON2006
General info	Funding source: Non-industry support
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	<b>Type of analysis:</b> Completer subset of the ITT group who, in addition to completing both a baseline and follow-up assessment, attended at least 25% of all group sessions.
	<b>Type of analysis:</b> ITT - consisted of participants who attended at least one session of their assigned intervention and completed both a

**Type of analysis:** ITT - consisted of participants who attended at least one session of their assigned intervention and completed both a baseline and follow-up assessment.

Blindness: Only raters blind

Duration: Length of follow-up - 6 months

Duration: No. weeks of treatment - 24 weeks

Raters: Independent of treatment

Design: Multi-centre - 25 Board and Care facilities in San Diego County, US

**Number of people screened, excluded & reasons:** 465 patients were screened and 219 excluded. Reasons for exclusion include: failure to meet inclusion criteria (n=144), refused to participate (n=67), other (n=8)

**Notes about study methods:** Once at least five consent forms had been received from a particular Board and Care centre, all participating patients from that Board and Care were randomised.

Participants Diagnosis: Schizophrenia [% of sample] 80.5%

Diagnosis: Other schizophrenia related [%] schizoaffective disorder - 19.5%

Diagnostic tool: DSM-IV

### Inclusion criteria:

- aged >40 years

- patients with longstanding psychotic disorders

- patients with a DSM-IV chart diagnosis of schizophrenia or schizoaffective disorder

### **Exclusion criteria:**

- DSM-IV diagnosis of dementia

- serious suicide risk

- could not complete the assessment battery

- participating in any other psychosocial intervention or drug research at the time of study intake

Total sample size: No. randomised - 240

Total sample size: ITT population - 195

Gender: % female 35%

Age: Mean 51

Ethnicity: Caucasian - 53%

Hispanic - 25%

African-American - 13.5%

Asian-American - 4%

Native American - 3%

Other - 1.5%

Setting: Other Board and Care facilities

# **History:**

[FAST intervention / AC]

Duration of illness, years: 11.6(2.8) / 11.7(2.6)

### **Baseline stats:**

[FAST intervention / AC] UPSA total: 60.3(2.4) / 64.9(2.5) SSPA: 24.9(0.9) / 27.9(0.9) MMAA: 14.9(1.1) / 14.8(1.2) PANSS total: 59.9(2.5) / 62.8(2.7) HAM-D: 9.9(0.9) / 9.8(0.9) QWB: 53.9(1.5) / 56.3(1.5)

## Notes about participants:

[FAST intervention / AC] Daily neuroleptic dose, mg: 476.5(635.4) / 438.7(472.1)

# Interventions Intervention - group 1.: FAST intervention, 24 weekly sessions of 120 minutes; N=124

Intervention - group 2.: Attention Control (AC), 24 weekly 120 minute sessions; n=116

### Notes about the interventions:

Functional Adaptation Skills Training (FAST)

Based on a Social and Independent Living Programme, a manualised social-cognitive theory-based behavioural intervention was created. The intervention focused on improving six areas of everyday functioning: medication management, social skills, communication skills, organisation and planning, transportation, and financial management. FAST consisted of 24 weekly, 120 minute group sessions.

Attention Control (AC)

individuals received their medication as usual and participated in 24 weekly, 120-minute group sessions that provided a supportive environment for addressing personal problems.

**Outcomes** Leaving the study early: Leaving due to any reason (non-adherence to study protocol)

Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - PANSS; HAM-D

General and psychosocial functioning (e.g. SFS): Average score/change in general functioning - UPSA; SSPA

Quality of Life: Average score/change in quality of life - QWB

Other: MMAA

- Quality 1.1 The study addresses an appropriate and clearly focused question.: Well covered
  - 1.2 The assignment of subjects to treatment groups is randomised.: Not reported adequately
  - 1.3 An adequate concealment method is used.: Not reported adequately
  - **1.4 Subjects and investigators are kept 'blind' about treatment allocation.:** Poorly addressed

1.5 The treatment and control groups are similar at the start of the trial.: Poorly addressed

1.6 The only difference between groups is the treatment under investigation.: Poorly addressed

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered

**1.8** What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: 20-50%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Well covered

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Adequately addressed

2.1 How well was the study done to minimise bias?: +

#### Study ID

Study ID	PINTO1999
General info	Funding source: Not mentioned
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial
	Type of analysis: Completer
	Blindness: No mention
	Duration: No. weeks of treatment - 36
	Raters: Not stated to be independent of treatment
	Design: Single-centre - Naples, Italy
	Number of people screened, excluded & reasons: Not reported
	Notes about study methods: Randomisation procedure not reported
Participants	Diagnosis: Schizophrenia [% of sample] 100%
	Diagnostic tool: DSM-IV
	<ul> <li>Inclusion criteria:</li> <li>DSM-IV diagnosis of schizophrenia</li> <li>No evidence of current substance misuse or organic pathology</li> <li>Treatment-refractory schizophrenia as documented by &gt;=2 previous neuroleptic drug trials of at least 6 weeks at a dose of &gt;600mg chlorpromazine equivalent</li> </ul>
	Total sample size: No. randomised - 41

Total sample size: No. randomised - 41

Total sample size: ITT population - 37 completers

Gender: % female 31%

Age: Mean - 34

Ethnicity: Not reported

Setting: Outpatient

Setting: Inpatient

History: [CBT+SST / Supportive therapy] Illness duration, years: 9.2(3.3) / 8.2(2.9) Hospital admissions: 11.6(7.9) / 11.7(6.6)

# **Baseline stats:**

[CBT+SST / Supportive therapy] BPRS: 83.1(21.7) / 81.7(20.6)

# Notes about participants:

All participants were on clozapine [CBT+SST / supportive therapy] Clozapine dose, mg: 552.6(129.6) / 547.2(109.1)

# Interventions Intervention - group 1.: CBT+SST, 6 months; N = 20

Intervention - group 2.: Supportive therapy, 6 months; N=21

# Notes about the interventions:

CBT+SST

The CBT intervention focussed on improving clients abilities to manage their current psychotic symptoms and was based on a treatment manual. Skills training methods were used to improve social behaviours including self-case, medication self-management, social conversation, interpersonal problem solving, self-directed recreation, family communication and management of personal resources. Both the CBT and SST components involved rehearsal, positive reinforcement, in vivo exercises and homework assignments.

# Supportive therapy

Individual supportive therapy sessions included basic psychoeducation about the nature and treatment of schizophrenia, active listening, empathy and reassurance, reinforcement of the clients; health-promoting initiatives, help in managing a crisis and advocacy of the clients' needs.

**Outcomes** Leaving the study early: Leaving due to any reason (non-adherence to study protocol)

Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - BPRS, SANS, SAPS

# Quality 1.1 The study addresses an appropriate and clearly focused question.: Adequately addressed

1.2 The assignment of subjects to treatment groups is randomised.: Not reported adequately

1.3 An adequate concealment method is used.: Not addressed

1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Not addressed

1.5 The treatment and control groups are similar at the start of the trial.: Adequately addressed

1.6 The only difference between groups is the treatment under investigation.: Adequately addressed

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered

1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Poorly addressed

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable

2.1 How well was the study done to minimise bias?: +

#### Study ID

RONCONE2004
Funding source: Not mentioned
Published or unpublished data?: Published
Type of study: Individual randomised trial
Type of analysis: Completer
Blindness: Only raters blind
Duration: No. weeks of treatment - 24
Raters: Independent of treatment
Design: Single-centre - day hospital service, Italy
Number of people screened, excluded & reasons: No details reported
Notes about study methods: Randomisation procedure not reported
Diagnosis: Schizophrenia [% of sample] 100%
Diagnostic tool: DSM-IV
Inclusion criteria: - in touch with services for >=2 years

presented no evidence of organic brain diseasedid not have a history of substance misuse

Total sample size: No. randomised - 20

Gender: % female 35%

**Age:** Mean - 33

Age: Range - 25-41

Ethnicity: No details reported

Setting: Inpatient

# **History:**

[Rehabilitation Group / Control Group] Mean duration of illness, years: 16.9(8.05) / 11.1(6.9)

# **Baseline stats:**

[Rehabilitation Group / Control Group] BPRS cluster Negative: 8.8(4.9) / 10.1(3.7) Verbal fluency: 6.06(4.9) / 7.5(1.7) WCST total errors: 51.4(29.7) / 48.6(20.4) Tower of London: 19.3(5.7) / 20.6(7.1) ToM 1st level: 1.06(0.57) / 0.78(0.52) ToM 2nd level: 0.63(0.62) / 0.70(0.67) Social disability: 41 / 39

**Notes about participants:** All participants were undergoing antipsychotic treatment and had no plans to change medication during the treatment phase.

Interventions Intervention - group 1.: Rehabilitation, up to 1 hour per week x22; n=10

Intervention - group 2.: Control; n=10

Notes about the interventions:

Rehabilitation

Intervention programme was aimed at teaching and learning how to change participants' cognitive structure by transforming their passive and dependent cognitive style to an autonomous one. The method was to enhance the participants' capacity to modify wrong beliefs and their thinking strategies by exposure to new experiences. The treatment goal is reached by acquiring the six sub-objectives. The treatment sessions were conducted in groups of 10 patients with 5 therapists. In every session, after 30 minutes of training work, there was a pause of 5 minutes to help participants relax and to be a reward for participating in the group work.

	Control
	Treated only with antipsychotic medication and supportive psychotherapy when necessary
Outcomes	Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - BPRS negative symptom cluster
	General and psychosocial functioning (e.g. SFS): Average score/change in general functioning - Accertamento Disabilita - Italian version of the DAS
	<b>Cognitive functioning:</b> Average score/change in cognitive functioning - Verbal fluency; Tower of London; ToM (1st level); ToM (2nd level); Mach IV; emotion recognition
Quality	1.1 The study addresses an appropriate and clearly focused question.: Adequately addressed
	1.2 The assignment of subjects to treatment groups is randomised.: Not reported adequately
	1.3 An adequate concealment method is used.: Not addressed
	1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed
	1.5 The treatment and control groups are similar at the start of the trial.: Adequately addressed
	<b>1.6 The only difference between groups is the treatment under investigation.:</b> Poorly addressed - Control group in addition to medication were also offered supportive psychotherapy as necessary (paper does not report % utilising this service)
	1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Well covered
	1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: $<20\%$
	<b>1.9</b> All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Not reported adequately
	1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable
	2.1 How well was the study done to minimise bias?: +
Study ID	
-	UCOK2006
General info	Funding source: Non-industry support
	Published or unpublished data?: Published
Method	Type of study: Individual randomised trial

Type of analysis: Completer

Blindness: Only raters blind to performance on other tests but does not explicitly state that they were blind to treatment allocation.

**Duration:** No. weeks of treatment - 6

Raters: Not stated to be independent of treatment

Design: Single-centre - Turkey

**Number of people screened, excluded & reasons:** 63 patients were screened and randomised. One patient was excluded from the analysis due to psychotic symptom exacerbation.

Notes about study methods: Randomisation procedure not reported

Participants Diagnosis: Schizophrenia [% of sample] 100%

#### Inclusion criteria:

- age 18-45

- no neurological or medical conditions, such as epilepsy, history of head trauma

- no diagnosis of alcohol or substance misuse

- all patients were in the remission phase of the illness.

Total sample size: No. randomised - 63

Gender: % female 46%

Age: Mean - 28.32(6.92)

Setting: Outpatient

History: Mean duration of illness was 7 years(4.77) and number of previous hospitalisations was 1.83(2.24)

### **Baseline stats:**

[Training Group / Control Group] CGI: 3.87(1.14) / 3.92(0.75) BPRS-total: 42.34(8.56) / 40.92(7.26) WCST - correct answers: 66.53(23.43) / 64.91(23) DST total: 7(2.46) / 7.24(2) CPT-hit rate: 93.7(13.9) / 92.3(11.5) AIPSS total: 11.7(4.5) / 11(4.3)

**Notes about participants:** All patients were taking antipsychotics (76.1% atypical, 15.8% typical, and 7.9% combination of atypical and low dose typical).

- Mean dose in typical antipsychotics in chlorpromazine equivalents = 388mg. Mean dose was 13.4mg for olanzapine (n=18), 355mg for clozapine (n=16), 4.7mg for risperidone (n=10), 600mg for quetiapine (n=3), and 600 mg for amisulpride (n=1) -16% were using anticholinergics.

-approx 50% of patients had attended a supportive group psychotherapy programme.

Interventions Intervention - group 1.: Training group; n=32

**Intervention - group 2.:** Control; n=30

#### Notes about the interventions:

Control: TAU

Social problem solving training group

In addition to TAU, received 6 weeks problem solving training in a group modality. The group used the problem solving training techniques used in a previous approach. During the sessions the therapist would describe what the interpersonal problem was and then repeat the steps of problem solving methods by writing them on the board. Patients are then asked to repeat these steps. Two sample interpersonal problems brought by patients or the therapist are then discussed using the board in each session. Two solutions to these interpersonal problems are then acted out using role-play.

Outcomes General and psychosocial functioning (e.g. SFS): Average score/change in general functioning - AIPSS

**Other:** Scores on the BPRS, WCST, and the CPT were assessed to determine which parameters contributed most to the post-training AIPSS (social skills outcome) score.

Quality 1.1 The study addresses an appropriate and clearly focused question.: Adequately addressed

**1.2 The assignment of subjects to treatment groups is randomised.:** Not reported adequately

1.3 An adequate concealment method is used.: Not addressed

1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Not reported adequately

1.5 The treatment and control groups are similar at the start of the trial.: Adequately addressed

1.6 The only difference between groups is the treatment under investigation.: Adequately addressed

1.7 All relevant outcomes are measured in a standard, valid and reliable way.: Adequately addressed

1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Not addressed

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable

2.1 How well was the study done to minimise bias?: +

Study ID

VALENCIA2007

General info Funding source: Non-industry support

Published or unpublished data?: Published

Method Type of study: Individual randomised trial

	Type of analysis: Completer
	Blindness: Only raters blind
	Duration: No. weeks of treatment - 52
	Raters: Independent of treatment
	Design: Single-centre - Mexico
	<b>Number of people screened, excluded &amp; reasons:</b> 98 participants were randomised, a total of 16 failed to complete the study leaving a final sample of 82 in the analysis.
	Notes about study methods: Randomisation procedure not reported
Participants	Diagnosis: Schizophrenia [% of sample] 100%
	Diagnostic tool: DSM-IV
	Inclusion criteria:
	<ul> <li>outpatients diagnosed with schizophrenia according to DSM-IV, who were taking antipsychotic medication.</li> <li>clinically stable in terms of psychotic symptoms (corroborated by PANSS &lt; 60)</li> <li>aged 16-60</li> <li>completed at least 6 years of elementary education</li> <li>lived with family and resided in Mexico City</li> <li>Provided written informed consent.</li> </ul>
	Total sample size: No. randomised - 98 initially randomised, 82 used in the analysis
	Gender: % female 22%
	Age: Mean 29.8(6.8)
	Ethnicity: Not reported
	Setting: Outpatient
	<b>History:</b> average age of illness onset = 21.3(5.4)
	Baseline stats: [PSST / TAU] PANSS: 115.2(30.5) / 107.9(22.6) GPS: 57.5(16.0) / 53.6(12.2) GPSF: 3.2(0.6) / 3.1(0.6) GAF: 43.3(6.3) / 44.1(8.0)
Interventions	Intervention - group 1.: PSST; n=43
	Intervention - group 2.: TAU; n=39

# Notes about the interventions

# TAU

Provided at the schizophrenia clinic by two clinical psychiatrists who were blind to the treatment conditions. TAU included the following features/tasks: 20-minute monthly appointments during a 1 year period, controlled the prescription of antipsychotic medication based upon the assessment of psychotic symptoms, checked medication compliance, recorded attendance to consultations and registered all information for their clinical files.

In addition to TAU, the experimental group underwent psychosocial skills training (PSST) and family therapy (FT).

# PSST

Composed of 7 treatment areas: symptom management, medication management, social relations, occupational, money management, couple relations and family relations based on a therapists training manual (Valencia et al 2001). The sessions used six learning activities to teach patients skills acquisition. PSST was in the form of group sessions, 8 participants per group, for up to 1 hour 15 minutes, once a week for a total of 48 sessions over the course of 1 year.

# $\mathbf{FT}$

The first part of FT consisted of psychoeducation, which included 8 group sessions where all the patients' relatives received information about the illness, symptoms and medication management. The second part consisted of 4 sessions for each family to improve communication skills, recognition and management of the warning signs of relapse, the importance of medication and its side effects, compliance with antipsychotic medication and keeping appointments with physicians.

**Outcomes** Leaving the study early: Leaving due to any reason (non-adherence to study protocol)

Global state & service outcomes (e.g. CGI): Re-hospitalisation

Global state & service outcomes (e.g. CGI): Average score/change in global state - GAF

Global state & service outcomes (e.g. CGI): Relapse - not defined

Mental state (e.g. BPRS, PANSS, BDI): Average score/change in mental state - PANSS

**General and psychosocial functioning (e.g. SFS):** Average score/change in general functioning – Patient-Specific Functional Scale (PSFS) **Engagement with services (e.g. SES):** Average score/change in engagement with services - Compliance with antipsychotic medication - defined as patients having taken at least 80% of the prescribed antipsychotic medication.

Therapeutic adherence - 1) patients' attendance at therapy sessions 2) number of patients who completed the intervention, compared to those who dropped out.

Quality 1.1 The study addresses an appropriate and clearly focused question.: Well covered

**1.2 The assignment of subjects to treatment groups is randomised.:** Not reported adequately

#### 1.3 An adequate concealment method is used.: Not addressed

1.4 Subjects and investigators are kept 'blind' about treatment allocation.: Poorly addressed

1.5 The treatment and control groups are similar at the start of the trial.: Adequately addressed

1.6 The only difference between groups is the treatment under investigation .: Well covered

**1.7 All relevant outcomes are measured in a standard, valid and reliable way.:** Well covered

1.8 What percentage of the individuals or clusters recruited into each treatment arm of the study dropped out before the study was completed?: <20%

**1.9** All the subjects are analysed in the groups to which they were randomly allocated (often referred to as intention-to-treat analysis). : Poorly addressed

1.10 Where the study is carried out at more than one site, results are comparable for all sites.: Not applicable

2.1 How well was the study done to minimise bias?: +

### References of included studies (update)

#### **BROWN1983**

Brown, M.A.; Munford, A.M. (1983) Life skills training for chronic schizophrenics. Journal of Nervous and Mental Disease 171(8): 466-470.

### CHIEN2003

Chien,H.C.; Ku,C.H.; Lu,R.B.; Chu,H.; Tao,Y.H.; Chou,K.R. (2003) Effects of social skills training on improving social skills of patients with schizophrenia. *Archives of Psychiatric Nursing* 17(5): 228 - 236.

### CHOI2006

Choi, K.H.; Kwon, J.H. (2006) Social cognition enhancement training for schizophrenia: a preliminary randomized controlled trial. *Community Mental Health Journal* 42(2): 177 - 187.

### GLYNN2002

Glynn,S.M.; Marder,S.R.; Liberman,R.P.; Blair,K.; Wirshing,W.C.; Wirshing,D.A.; Ross,D.; Mintz,J. (2002) Supplementing clinic-based skills training with manual-based community support sessions: effects on social adjustment of patients with schizophrenia. *American Journal of Psychiatry* 159(5): 829 - 837.

### GRANHOLM2005

Granholm, E.; McQuaid, J.R.; Link, P.C.; Fish, S.; Patterson, T.; Jeste, D.V. (2008) Neuropsychological predictors of functional outcome in Cognitive Behavioral Social Skills Training for older people with schizophrenia. *Schizophrenia Research*. 100(1-3): 133-143.

\*Granholm,E.; McQuaid,J.R.; McClure,F.S.; Auslander,L.A.; Perivoliotis,D.; Pedrelli,P.; Patterson,T.; Jeste,D.V. (2005) A randomized, controlled trial of cognitive behavioral social skills training for middle-aged and older outpatients with chronic schizophrenia. *American Journal of Psychiatry* 162(3): 520 - 529.

Granholm, E.; McQuaid, J.R.; McClure, F.S.; Link, P.C.; Perivoliotis, D.; Gottlieb, J.D.; Patterson, T.L.; Jeste, D.V. (2007) Randomized controlled trial of cognitive behavioral social skills training for older people with schizophrenia: 12-month follow-up. *Journal of Clinical Psychiatry* 68: 730 - 737.

### NG2007

Ng,R.M.K.; Cheung,M.S.L. (2007) Social skills training in Hong Kong Chinese patients with chronic schizophrenia. *Hong Kong Journal of Psychiatry*. 16(1): 14 - 20.

### PATTERSON2003

Mausbach, B.T.; Cardenas, V.; McKibbin, C.L.; Jeste, D.V.; Patterson, T.L. (2008) Reducing emergency medical service use in patients with chronic psychotic disorders: results from the FAST intervention study. *Behaviour Research & Therapy*. 46(1): 145 - 153.

\*Patterson TL, McKibbin C, Taylor M, Goldman S, Davila-Fraga W, Bucardo J, Jeste DV. (2003) Functional adaptation skills training (FAST): a pilot psychosocial intervention study in middle-aged and older patients with chronic psychotic disorders. *American Journal of Geriatric Psychiatry*. 11(1): 17-23.

### PATTERSON2003

Patterson, T.L.; Mausbach, B.T.; McKibbin, C.; Goldman, S.; Bucardo, J.; Jeste, D.V. (2006) Functional adaptation skills training (FAST): a randomized trial of a psychosocial intervention for middle-aged and older patients with chronic psychotic disorders. *Schizophrenia Research* 86(1-3): 291 - 299.

### **PINTO1999**

Pinto, A., La Pia, S., Mennella, R., Giorgio, D. & DeSimone, L. (1999) Cognitive-behavioural therapy and clozapine for clients with treatment-refractory schizophrenia. *Psychiatric Services* 50(7): 901-904.

### RONCONE2004

Roncone, R.; Mazza, M.; Frangou, I.; De Risio, A.; Ussorio, D.; Tozzini, C.; Casacchia, M. (2004) Rehabilitation of theory of mind deficit in schizophrenia: A pilot study of metacognitive strategies in group treatment. *Neuropsychological Rehabilitation* 14(4): 421 - 435.

### Study characteristics tables: Psychoeducation

### UCOK2006

Ucok, A.; Cakir, S.; Duman, Z.C.; Dicigil, A.; Kandemir, P.; Atli, H. (2006) Cognitive predictors of skill acquisition on social problem solving in patients with schizophrenia. *European Archives of Psychiatry and Clinical Neuroscience* 256(6): 388 - 394.

### VALENCIA2007

Valencia, R., Juarez, M. (2007) A psychosocial skills training approach in Mexican out-patients with schizophrenia. *Psychological Medicine* 37(10): 1393-1402.

### Characteristics of excluded studies (update)

#### ANZAI2002

Reason for exclusion: Does not meet criteria for intervention

#### FUENTES2007

**Reason for exclusion:** N<10

#### GRANHOLM2002

Reason for exclusion: - letter to editor

### PATTERSON2005

**Reason for exclusion:** n<10 in comparison group

#### SEO2007

Reason for exclusion: Non-RCT

### References of excluded studies (update)

Anzai, N., Yoneda, S., Kumagai, N., Nakamura, Y., Ikebuchi, E. & Liberman, R.P. (2002) Training persons with schizophrenia in illness self-management: A randomised controlled trial in Japan. *Psychiatric Services* 53(5): 545-547.

Fuentes, I.; Garcia, S.; Ruiz, J.C.; Soler, M.J.; Roder, V. (2007) Social perception training in schizophrenia: A pilot study. International Journal of Psychology and

Study characteristics tables: Psychoeducation

# Psychological Therapy 7(1): 1-12.

Granholm, E.; McQuaid, J.R.; McClure, F.S.; Pedrelli, P.; Jeste, D.V. (2002) A randomized controlled pilot study of cognitive behavioral social skills training for older patients with schizophrenia. *Schizophrenia Research* 53: 167 - 169.

Patterson, T.L.; Bucardo, J.; McKibbin, C.L.; Mausbach, B.T.; Moore, D.; Barrio, C.; Goldman, S.R.; Jeste, D.V. (2005) Development and pilot testing of a new psychosocial intervention for older Latinos with chronic psychosis. *Schizophrenia Bulletin* 31(4): 922 - 930.

Seo,J.M.; Ahn,S.; Byun,E.K.; Kim,C.K. (2007) Social skills training as nursing intervention to improve the social skills and self-esteem of inpatients with chronic schizophrenia. *Archives of Psychiatric Nursing*.21(6): 317 - 326.