# 1 NATIONAL INSTITUTE FOR HEALTH AND CARE 2 Shared decision making 3 Shared decision making 4 Draft for consultation, December 2020 5

**This guideline covers** how to make shared decision making part of everyday care in all healthcare settings. It promotes ways for healthcare professionals and people using services to work together to make decisions about treatment and care. It includes recommendations on training, communicating risks, benefits and consequences, using decision aids, and how to embed shared decision making in organisational culture and practices.

#### Who is it for?

- Everybody who delivers healthcare services
- Commissioners of health and public health services
- Adults (aged 18 and over) using healthcare services, their families, carers and advocates, and the public

It may also be relevant for:

- Social care practitioners
- People who use social care services

#### What does it include?

This draft guideline contains:

- the draft recommendations
- recommendations for research
- rationale and impact sections that explain why the committee made the recommendations and how they might affect practice
- the guideline context.

Information about how the guideline was developed is on the <u>guideline's webpage</u>. This includes the evidence reviews, the scope, details of the committee and any declarations of interest.

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# 1 **Recommendations**

People have the right to be involved in discussions and make informed decisions about their care, as described in this guideline and <u>NICE's</u> information on making decisions about your care.

<u>Making decisions using NICE guidelines</u> explains how we use words to show the strength (or certainty) of our recommendations, and has information about prescribing medicines (including off-label use), professional guidelines, standards and laws (including on consent and mental capacity), and safeguarding.

# 2 **1.1 Embedding shared decision making at an organisational**

3 level

#### 4 High-level leadership

- 5 1.1.1 Make a board member (or a member of the senior leadership team if there
  6 is no board) accountable and responsible for the leadership and roll out of
  7 shared decision making across the organisation or system.
- 8 1.1.2 Consider appointing a patient director (from a healthcare service user
  9 background) to work with the board member and be responsible for:
- raising the profile of the service-user voice in shared decision making,
  especially from those in under served populations
- supporting the embedding of shared decision making at the highest
  level of the organisation.
- 14 1.1.3 Appoint 1 or more senior <u>practitioners</u> to work with the board member and
  15 patient director as organisation-wide 'champions' responsible for shared
  16 decision making.
- 17 1.1.4 Identify 1 or more people who use services as organisation-wide 'service-18 user champions' for shared decision making.

1	Planning	and implementing shared decision making
2	1.1.5	Develop an organisation-wide plan to put shared decision making into
3		practice. As part of this plan:
4		<ul> <li>Identify existing good practice in departments or teams where shared</li> </ul>
5		decision making is already being practised routinely, and use their
6		experience.
7		<ul> <li>Identify departments or teams where shared decision making can be</li> </ul>
8		put into practice most easily next. Continue this process across the
9		whole organisation.
10		<ul> <li>Identify key staff and service-users to train as shared decision making</li> </ul>
11		trainers and suitable providers to deliver the training (see
12		recommendation 1.1.8).
13		<ul> <li>Review how information systems might support shared decision</li> </ul>
14		making. This could be by providing ready access to patient decision
15		aids or information about risks, benefits and consequences during the
16		consultation. It could also provide the healthcare provider and the
17		person using services with knowledge of that person's past decisions,
18		past preferences, values, and other information discussed during
19		appointments (for example through a patient-held record).
20		<ul> <li>Set out how people who use services will be involved in supporting</li> </ul>
21		implementation.
22		<ul> <li>Plan internal or external monitoring and evaluation (including service-</li> </ul>
23		user and staff feedback activities) and how to feed back the results to
24		staff at individual, team and management level.
25		Establish a support network within the organisation for shared decision
26		making trainers (including service-users who are trainers) and
27		practitioners.
28		Consider joining up the support network with others in the wider system
29		and across the region.

1	Supporting practitioner skills and competences		
2	1.1.6	Organisations should ensure that knowledge, skills and confidence to	
3		support shared decision making are included in the training and	
4		continuing professional development of all healthcare staff.	
5	1.1.7	Ensure that training and development for practitioners in shared decision	
6		making includes the following:	
7		<ul> <li>understanding the principles that support shared decision making</li> </ul>	
8		based on the <u>three-talk model</u> (see recommendations 1.2.6 to 1.2.15)	
9		<ul> <li>communicating with people in a way they can understand, using clear</li> </ul>	
10		language, avoiding jargon and explaining technical terms	
11		<ul> <li>sharing and discussing the information people need to make informed</li> </ul>	
12		decisions, and making sure they understand the choices available to	
13		them (including the choice of doing nothing or not changing the current	
14		plan)	
15		<ul> <li>drawing out what is important to people</li> </ul>	
16		<ul> <li>communicating with and involving family members, carers, advocates</li> </ul>	
17		or other people if the person chooses to include them.	
18	1.1.8	Provide access to 'train-the-trainer' style workshops (where practitioners,	
19		and potentially service-users, are taught to train other practitioners) for	
20		key shared decision making champions in the departments where shared	
21		decision making is being rolled out.	
22	1.1.9	Ensure that training is practical (for example, using role play), rather than	
23		solely theoretical, so that practitioners can put into practice the skills	
24		needed for shared decision making.	
25	Promoti	ng shared decision making to people who use services	
26	1.1.10	Organisations should actively promote shared decision making to people	
27		who use their services, for example offering people training, and using	
28		posters or other media to prompt people to ask questions such as:	
29		<ul> <li>'What are my options?'</li> </ul>	
30		<ul><li>'What are the possible benefits and risks of those options?'</li></ul>	

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• 'How can we make a decision together that is right for me?'

For a short explanation of why the committee made these recommendations see the <u>rationale and impact section on embedding shared decision making</u> at an organisational level.

Full details of the evidence and the committee's discussion are in <u>evidence</u> review A: effectiveness of approaches and activities to increase engagement in shared decision making and the barriers and facilitators to engagement and evidence review E: effective approaches and activities to normalise shared decision making in the healthcare system.

2 1.2 Putting shared decision making into prac
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- 3 1.2.1 Offer people interventions that support <u>shared decision making</u> at different
  4 stages, including before, during and after discussions with a healthcare
  5 professional, so that they are fully involved throughout their care.
- 6 1.2.2 Select the methods that are best suited to support shared decision making
  7 in the care setting where the decision is being made, for example in
  8 primary care, an outpatient clinic or a ward round. Tailor the methods to
  9 that setting if needed.
- 10 1.2.3 Ask the person if they want to involve family members, friends or
  11 advocates (being aware of safeguarding). If so, include them in
  12 discussions as a way to help the person:
- actively engage in the consultation
- explain what is important to them
- 15 make decisions about their care
- remember information they have been given during discussions.

#### 17 Before appointments

18 1.2.4 Before an appointment where a decision will be discussed, offer the
19 person access to resources in their preferred format (for example a
20 booklet, flyer or app) to help them prepare for discussing options and

1 2 3 4		making shared decisions. It should encourage them to think about what matters to them and what they hope to gain from the appointment (the 'preparation stage'). Resources could include links to relevant NICE guidance or NICE-endorsed information.
5 6 7 8 9 10	1.2.5	If a person might find it difficult to share in decision making, for example if they have a mental health condition, English is not their first language or they have sensory difficulties, offer to arrange additional support for them if they do not have, or do not want, support from a partner, friend or carer. Support could come from a nurse, social worker, translator or volunteer (for example, an advocate) who can:
11 12 13 14		<ul> <li>help them to understand the resources provided</li> <li>encourage the person to take an active part in decision making</li> <li>reassure them that shared decision making will be supported by the healthcare professional they see.</li> </ul>
15	During appointments	
16	1.2.6	Agree an 'agenda' at the start of each appointment to prioritise together
17		what to discuss. Say how long the appointment will last.
17 18 19 20	1.2.7	what to discuss. Say how long the appointment will last. Ensure the person understands they can take part as fully as they want in making choices about their treatment or care (the 'choice talk' stage of the three-talk model).
18 19	1.2.7 1.2.8	Ensure the person understands they can take part as fully as they want in making choices about their treatment or care (the 'choice talk' stage of the
18 19 20 21 22		Ensure the person understands they can take part as fully as they want in making choices about their treatment or care (the 'choice talk' stage of the three-talk model). When discussing decisions about tests and treatments, do so in a way that encourages people to think about what is important to them, and to

1		clarify what the person hopes to gain from the treatment or intervention
2		and discuss any misconceptions
3		<ul> <li>set aside enough time to answer questions, and ask the person if they</li> </ul>
4		would like a further opportunity to discuss options.
5	1.2.10	Support the person when they are considering options by:
6		<ul> <li>checking they understand the information</li> </ul>
7		• discussing what is important to them in light of the information provided,
8		and checking that their choice is consistent with this.
9	1.2.11	Give people (and their family members or carers, as appropriate) enough
10		time to make decisions about tests and treatments.
11	1.2.12	Accept and acknowledge that people may vary in their views about the
12		balance of risks, benefits and consequences of treatments, and that they
13		may differ from those of their healthcare professionals.
14	1.2.13	Make a joint decision or plan about treatment or care and agree when this
15		will be reviewed (the 'decision' or 'planning' stage).
16	1.2.14	At the end of an appointment, state clearly what decisions have been
17		made to make sure the person agrees with and understands what has
18		been decided, what happens next, what the timescales are, and when it
19		will be reviewed.
20	1.2.15	When writing up the consultation, record any decisions made along with
21		details of what the person said was important to them in making those
22		decisions.
23	After or I	between appointments
24	1.2.16	Give people resources to help them understand what was discussed and
25		decided in their appointment. This could be a printout summarising the
26		options and decisions or plans made, and links to high-quality online
27		resources (for example, relevant NICE guidelines). Ideally, give people
28		this material to take away, or provide it very soon after the appointment.

11.2.17In secondary or tertiary care, consider asking the person if they would like2a letter detailing the information from their appointment to be sent to them3and copied to their GP. Letters should be written in line with Academy of4Medical Royal Colleges guidance on writing outpatient clinical letters to5patients.

6 1.2.18 Offer to provide additional support to people who are likely to need extra
7 help to share in making decisions. This could include encouraging them to
8 record the discussion during their appointment, explaining in writing the
9 decisions that have been made, or arranging follow-up by a clinical
10 member of staff or a suitable alternative.

#### 11 Sharing information between services

12 1.2.19 Practitioners should ensure they are providing consistent information to
13 people by sharing expertise and information with all relevant services and
14 agreeing how to align their messages.

For a short explanation of why the committee made these recommendations see the <u>rationale and impact section on putting shared decision making into practice</u>.

Full details of the evidence and the committee's discussion are in <u>evidence</u> review B: interventions to support effective shared decision making.

# 15 **1.3 Patient decision aids**

#### 16 **Practitioners**

- 17 1.3.1 Use <u>patient decision aids</u> as one part of an overall 'toolkit' to support
  18 <u>shared decision making</u> alongside the other skills and interventions
  19 outlined in sections 1.2 and 1.4 of this guideline. If a relevant decision aid
  20 is not available, continue to use the shared decision making principles
  21 outlined in this guideline.
- 22 1.3.2 Only use a patient decision aid if it is:
- up to date and reflects evidence-based best practice

- 1 relevant to that discussion, and the decision that needs to be made
  - relevant to that clinical setting.
- 1.3.3 Healthcare professionals should make sure they are familiar with a
  particular decision aid and how it will help people to understand which
  option is best for them before using it.

#### 6 Organisations

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- 7 1.3.4 Provide access for all staff in the <u>organisation or system</u> to a database of
  8 quality assured patient decision aids (assessed against the <u>International</u>
  9 <u>Patient Decision Aid Standards</u>). Ensure the database is maintained so
  10 that decision aids are regularly reviewed and updated.
- 1.3.5 Organisations should ensure their facilities and systems support staff to
  provide patient decision aids in multiple ways to suit people's needs, for
  example, printed or online and available in different languages and
  formats.

For a short explanation of why the committee made these recommendations see the <u>rationale and impact section on patient decision aids</u>.

Full details of the evidence and the committee's discussion are in <u>evidence review</u> <u>C: decision aids for people facing health treatment or screening decisions</u>.

#### 15 **1.4 Communicating risks, benefits and consequences**

- 16 1.4.1 Discuss risks, benefits and consequences in the context of each person's
  17 life and what matters to them. Be aware that risk communication can often
  18 be supported by using good quality decision aids (see recommendations
  19 1.3.1 to 1.3.3).
- 1.4.2 Personalise information on risks, benefits and consequences as much as
  possible. Make it clear to people how the information you are providing
  applies to them personally and how much uncertainty is associated with it.
  For more on dealing with uncertainty, see the <u>General Medical Council's</u>
  <u>guidance on decision making and consent</u>.

- 1.4.3 Organisations should ensure that staff presenting information about risks,
   benefits and consequences to people have a good understanding of that
   information and how to apply and explain it clearly (see recommendations
   1.1.6 and 1.1.7).
- 5 1.4.4 If information on risks, benefits and consequences specific to the person
  6 in front of you is not available, continue to use the <u>shared decision making</u>
  7 principles outlined in this guideline.

#### 8 Discussing numerical information

- 9 1.4.5 Think about using a mixture of numerical and pictorial formats (for
- example, numerical rates and pictograms or icon arrays) to allow people
  to see both positive and negative framing at the same time.
- 12 1.4.6 Use numerical data to describe risks if available. Be aware that different
  13 people interpret terms such as 'risk', 'rare', 'unusual' and 'common' in
  14 different ways.
- 15 1.4.7 Use absolute risk rather than relative risk. For example, the risk of an
  event increases from 1 in 1,000 to 2 in 1,000, rather than the risk of the
  event doubles.
- 18 1.4.8 Use natural frequencies (for example, 10 in 100) rather than percentages19 (10%).
- 1.4.9 Be consistent when using data. For example, use the same denominator
  when comparing risk: 7 in 100 for one risk and 20 in 100 for another,
  rather than 1 in 14 and 1 in 5.
- 1.4.10 Present a risk over a defined period of time (months or years) if relevant.
  For example, if 100 people are treated for 1 year, 10 will experience a
  given side effect.
- 1.4.11 Use both positive and negative framing. For example, treatment will be
  successful for 97 out of 100 people and it will be unsuccessful for 3 out of
  100 people.

For a short explanation of why the committee made these recommendations see the <u>rationale and impact section on communicating risks</u>, <u>benefits and</u> <u>consequences</u>.

Full details of the evidence and the committee's discussion are in <u>evidence review</u> <u>D: risk communication</u>.

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#### 2 Terms used in this guideline

3 This section defines terms that have been used in a particular way for this guideline.

#### 4 Organisation or system

5 For the purpose of this guideline, this could refer to any organisation or network of

- 6 organisations, for example a dental practice, a single hospital or clinic, a network or
- 7 cluster of clinics, practices or services, or an integrated system or partnership
- 8 between services.

#### 9 Patient decision aids

- 10 Patient decision aids are tools designed to help people take part in decision making
- 11 about healthcare options. They provide information on the options and help people to
- 12 think about, clarify and communicate the value of each option to them personally.
- 13 Patient decision aids do not advise people to choose one option over another, nor
- 14 are they meant to replace practitioner consultation. Instead, they prepare people to
- 15 make informed, values-based decisions with their practitioner.
- 16 (Adapted from the International Patient Decision Aid Standards (IPDAS)
- 17 <u>Collaboration website</u>).

#### 18 Practitioner

- 19 For the purpose of this guideline, this refers to all healthcare workers who come into
- 20 contact with people using healthcare services, including healthcare professionals
- 21 and other staff such as reception staff and some administrative and management
- 22 staff.

#### 1 Shared decision making

- 2 Shared decision making is a collaborative process that involves a person and their
- 3 healthcare professional working together to reach a joint decision about care. It could
- 4 be care the person needs straightaway or care in the future, for example through
- 5 advance care planning.

#### 6 Three-talk model

- 7 The three-talk model is a practical model of how to do shared decision making that is
- 8 based on following choice, option and decision talk stages during the consultation.
- 9 The model has 3 steps:
- 10 introducing choice
- describing options, often by integrating the use of patient decision support
- helping people explore their preferences and make decisions.

# **13 Recommendations for research**

14 The guideline committee has made the following recommendations for research.

#### 15 **1 Differing intervention effects in different groups**

- 16 How do the same shared decision making interventions differ in effectiveness
- 17 between different groups of people and different care settings?

For a short explanation of why the committee made this recommendation see the rationale section on putting shared decision making into practice.

Full details of the evidence and the committee's discussion are in <u>evidence review</u> <u>B: interventions to support effective shared decision making</u>.

#### 18 **2 Measuring shared decision making**

- 19 What are the best ways to measure the effectiveness of shared decision making in
- 20 different contexts (in different settings and involving different people)?

For a short explanation of why the committee made this recommendation see the rationale section on putting shared decision making into practice.

Full details of the evidence and the committee's discussion are in <u>evidence review</u> B: interventions to support effective shared decision making.

#### 1 **3 Sustaining shared decision making**

- 2 What interventions are most effective at transferring shared decision making skills
- 3 between people and departments, and in sustaining the implementation of shared
- 4 decision making in an organisation and in clinical teams?

For a short explanation of why the committee made this recommendation see the rationale section on embedding shared decision making at an organisational level.

Full details of the evidence and the committee's discussion are in <u>evidence review</u> A: effectiveness of approaches and activities to increase engagement in shared decision making and the barriers and facilitators to engagement and evidence review E: effective approaches and activities to normalise shared decision making in the healthcare system.

#### 5 4 Acceptability of shared decision making

- 6 What influences the acceptability of shared decision making in populations that
- 7 predominantly believe in the authority of the healthcare professional?

For a short explanation of why the committee made this recommendation see the rationale section on putting shared decision making into practice.

Full details of the evidence and the committee's discussion are in <u>evidence review</u> <u>B: Interventions to support effective shared decision making</u>.

# 8 Rationale and impact

- 9 These sections briefly explain why the committee made the recommendations and
- 10 how they might affect practice.

#### 11 Embedding shared decision making at an organisational level

12 Recommendations 1.1.1 to 1.1.10

#### 1 Why the committee made the recommendations

Although a reasonable number of quantitative studies were identified, their
usefulness was limited because it was often unclear whether or not interventions
were effective, so the committee could not recommend specific interventions. The
committee also heard qualitative evidence, as well as expert evidence about the
ways shared decision making had been implemented internationally. Using this
evidence and their own expertise, they recommended ways organisations could
embed shared decision making into everyday practice.

9 The importance of strong leadership was a particularly prominent theme in the expert 10 evidence and this was supported by the committee's views. In their experience, 11 having a commitment from senior managers and leaders to shared decision making 12 is essential because they can make sure resources are prioritised to support it and 13 help to instil a culture of involving people who use services across the whole 14 organisation. This could also be supported by choosing staff to be champions within 15 the organisation and appointing patient leaders. These people would provide a 16 strong voice to advocate for this approach and could act as 'influencers', passing on 17 their knowledge and training in shared decision making to their colleagues.

The committee also agreed that appointing a person who uses services to a patient director post enabled service-users' voices to be heard at the highest levels of the organisation. Although the committee agreed this was a good idea, they were also aware that appointing a director level post in an organisation was a large financial investment that might not be possible, especially in smaller organisations. For this reason, they agreed only to recommend this as an option to consider.

The committee agreed with expert evidence that digital technology could be used to support shared decision making, for example through patient held records.

The committee also used the opinions from the expert witnesses and their own experience to make other recommendations about embedding shared decision making into organisations, including how to involve people who use services, and ways to monitor and evaluate its use in practice.

The committee agreed that an organisation-wide plan for implementing shared
decision making was important and made a series of recommendations based on

1 expert evidence from organisations that had successfully implemented shared

- 2 decision making. They noted that, in the short term, the roll-out of shared decision
- 3 making might create further inequalities in services where it had not yet been
- 4 implemented, but they were content that this was temporary and unavoidable.
- 5 The evidence matched the committee's own experience and the expert evidence
- 6 supporting the use of 'train-the-trainer' style training. They agreed this was the most
- 7 useful way to approach shared decision making training because it brought the
- 8 necessary expertise in-house.
- 9 Due to the lack of published evidence about rolling out shared decision making
- 10 across organisations, and about sustaining shared decision making in organisations,
- 11 the committee made a <u>recommendation for research on sustaining shared decision</u>
- 12 <u>making</u>.

#### 13 How the recommendations might affect services

- 14 The committee hopes these recommendations will help increase the use of shared
- 15 decision making in organisations by overcoming common barriers. The committee
- 16 agreed that implementing the recommendations could have a modest impact on
- 17 resources (for example, training or monitoring), but noted that some changes, for
- 18 example, appointing a patient director, could have a much larger impact.
- 19 Return to recommendations

# 20 Putting shared decision making into practice

21 Recommendations 1.2.1 to 1.2.19

#### 22 Why the committee made the recommendations

- 23 In the committee's view, shared decision making should always be treated as an
- 24 ongoing process rather than a one-off event. Using excellent communication and
- 25 shared decision making skills alongside a combination of other interventions that
- support shared decision making is likely to be most effective because no single
- 27 intervention can be a one-size-fits-all solution, and the evidence supported this. The
- 28 best available evidence was for multicomponent rather than individual interventions.

The committee also wanted to highlight that shared decision making interventions
will need to be adapted to specific settings and populations. For example, the same
intervention would need to be tailored differently to be used in a GP appointment and
in an outpatient clinic.

The committee recommended when extra support might be needed for people
before and after appointments based on both their own experience and expert
evidence, which highlighted the groups who might find it more difficult to engage in
shared decision making. The committee noted that good-quality translation services
were also important for people who don't speak English well.

#### 10 Before appointments

There was some support in the evidence for offering interventions before appointments. Even though the studies that looked specifically at pre-appointment interventions did not show an increase in shared decision making itself, there was some evidence that these kinds of interventions increased people's knowledge and their satisfaction with their appointment. The committee agreed that while knowledge alone is not enough for shared decision making to take place, it is a necessary part of it.

Supporting evidence also came from studies looking at other types of interventions
that were offered before appointments: support from another person ('third party

20 support') and eliciting people's preferences and values.

The committee recommended arranging third party support only for people who might need additional support to engage in shared decision making, either by a professional or by a friend or family member. This was because the evidence was not strong enough to offset the potentially large resource impact of arranging professional third party support. The committee agreed that everybody should be offered the opportunity to bring a friend or family member to appointments if they choose to.

#### 28 During appointments

The studies looking at what was effective in shared decision making showed the
strongest support for eliciting people's expectations, values, priorities and goals as

1 part of interventions based on key stages of shared decision making from the three-

- 2 talk model. These include 'choice talk' (also called team talk) where the practitioner
- 3 and person work together to describe choices and talk about goals, and 'option talk',
- 4 where they discuss alternatives using risk communication tools. The committee
- 5 agreed that it was useful to think in terms of these key stages of shared decision
- 6 making.
- 7 The committee heard expert evidence about using the three-talk model as a way to
- 8 structure the shared decision making process and they agreed that the interventions
- 9 that showed an effect were all consistent with one or more of the stages of the three-
- 10 talk model. As well as this, the committee agreed that the three-talk model was
- 11 simple to understand and use and that made it useful in all healthcare settings.
- 12 Agenda setting, explicitly stating decisions, the option of no treatment (that is, not
- 13 choosing any of the treatments offered), and agreeing when to review a decision
- 14 were not captured in the effectiveness evidence, but the committee considered them
- 15 to be key aspects of shared decision making.
- 16 The committee also updated the recommendations on shared decision making from
- 17 NICE's guideline on patient experience in adult NHS services and brought them into
- 18 this guideline.

#### 19 After or between appointments

The committee highlighted that interventions to support shared decision making should carry on after appointments because they should be part of a continuing process. They agreed on some methods to support people who might need additional help, such as suggesting that they record the appointment on their phone or other electronic device to help them remember discussions and think about their options.

#### 26 Future research

- 27 The committee made research recommendations to fill the most notable gaps in the
- 28 evidence. They agreed that research was needed into how the same shared
- 29 decision making interventions differ in effectiveness between different populations
- 30 and different care settings so they made a recommendation for research on differing

- 1 <u>intervention effects in different groups</u>. The committee also noted from the evidence
- 2 that it was unclear what the best measures of shared decision making are and how
- 3 acceptable different interventions are to people who receive them, so they also made
- 4 recommendations for research about measuring shared decision making and the
- 5 acceptability of shared decision making.

#### 6 How the recommendations might affect services

- 7 The recommendations will help to increase the use of shared decision making in
- 8 day-to-day clinical practice by indicating which methods to support it are effective.
- 9 Some of the options in the recommendations may require additional resources, for
- 10 example using a healthcare worker to provide third party support, but others can be
- 11 integrated into current practice, for example encouraging a person to record their
- 12 appointment. There is also a potential that, in some healthcare settings,
- 13 appointments may need to be longer and this could represent a substantial resource
- 14 impact.
- 15 Return to recommendations

# 16 Patient decision aids

#### 17 Recommendations 1.3.1 to 1.3.5

#### 18 Why the committee made the recommendations

- 19 There was strong evidence to support using patient decision aids before, during and
- 20 between appointments. However, the committee wanted to make it clear that
- 21 decision aids alone do not deliver shared decision making but should be seen as one
- component of a wider approach. There would never be a patient decision aid
- 23 available to support every conversation, and healthcare professionals still need to
- have the skills described in this guideline to engage people in making shared
- 25 decisions even when decision aids are available.
- 26 The committee agreed that for patient decision aids to be most useful, they had to be
- 27 drawn from a maintained library to ensure they are of high quality and up to date.
- 28 They also highlighted that even though the evidence favoured using patient decision
- aids, it is crucial to provide them to people in formats they can use and understand
- 30 otherwise they would not be useful. In the committee's view, organisations have a

- 1 responsibility to make sure that a database of good-quality decision aids is available
- 2 to their staff in many different formats and that systems support using them in
- 3 different ways. In the committee's experience, accessing decision aids in suitable
- 4 formats is not always possible for example, facilities to print out decision aids are
- 5 not always available in consulting rooms across organisations, and some decision
- 6 aids cannot be printed because of their format, for example if they have a block
- 7 colour background that uses a lot of ink.

#### 8 How the recommendations might affect practice

9 The committee agreed that there were many good quality patient decision aids that 10 practitioners could use and that more were being developed all the time. Many of 11 them are freely available. Setting up and maintaining a library of decision aids could 12 have a moderate resource impact, but the committee noted that these could be set 13 up in collaboration with other organisations to maximise 'economies of scale'. The 14 committee also noted that there might be some resource impact of printing more 15 material for people.

#### 16 Return to recommendations

#### 17 Communicating risks, benefits and consequences

18 Recommendations 1.4.1 to 1.4.11

#### 19 Why the committee made the recommendations

- 20 The committee updated recommendations on communicating risks and benefits from
- 21 <u>NICE's guideline on patient experience in adult NHS services</u> and brought them into
- this guideline. They agreed to broaden the wording of the recommendations to risks,
- 23 benefits and consequences, because the term 'risk' can have negative connotations
- 24 when used on its own in discussions with people using services. Some implications
- 25 are neither risks nor benefits, but are still important for decision making (for example,
- 26 whether a particular treatment option will affect the person being able to drive).
- 27 The committee agreed that people's interpretation of risks, benefits and
- 28 consequences is fundamentally embedded in their values and priorities, which
- 29 explains why people do not weigh risks, benefits and consequences in the same way
- 30 as others, or indeed in the same way as professionals.

1 The committee agreed that a person can only make an informed decision if they are 2 given enough information to do so, and if the risks, benefits and consequences 3 presented to them relate directly to their circumstances and what is important to 4 them. Information about risks and benefits will be weighed differently in different 5 situations and depending on a person's prognosis and the decisions they have to 6 make. They discussed the evidence about presenting absolute risks compared to 7 relative risks and noted that absolute risks are much clearer, especially when 8 accompanied by visual summaries. They agreed that presenting relative risks alone 9 was misleading and that relative risk should only be introduced as a supplement to 10 absolute risks.

11 The committee wanted risks and benefits to be personalised using high-quality

12 numerical data where these are available. Ideally, healthcare professionals would be

13 able to provide personalised risk calculations. However, the committee

14 acknowledged that personalised risk information is often not available. This means

15 healthcare professionals often need to use generalised information about risks,

16 benefits and consequences (often available in good quality decision aids) and

17 explain to the person how it relates to them (for example, above average, average or

18 below average levels of risk). In this case, explaining how much uncertainty

19 surrounds these estimates will help people interpret that information and what it

20 means for them.

#### 21 How the recommendations might affect practice

22 These recommendations will help practitioners explore risk, benefits and

23 consequences of healthcare decisions with people. The committee noted that since

these recommendations have been in place since 2012, there should be no resourceimpact.

#### 26 Return to recommendations

# 27 Context

28 Shared decision making is a collaborative process that involves a person and their

29 healthcare professional working together to reach a joint decision about care. It could

30 be care the person needs straightaway or care in the future, for example through

- 1 advance care planning. It involves choosing tests and treatments based both on
- 2 evidence and on the person's individual preferences, beliefs and values. It means
- 3 making sure the person understands the risks, benefits and possible consequences
- 4 of different options through discussion and information sharing. This joint process
- 5 empowers people to make decisions about the care that is right for them at that time
- 6 (with the option of choosing to have no treatment always included).
- 7 Some people prefer not to take an active role in making decisions with their
- 8 healthcare professionals, but they should always be given the opportunity to choose
- 9 to what degree they want to engage in decision making and the extent to which
- 10 decisions that are made on their behalf are discussed and communicated with them.
- 11 Involving people in decisions about their care may result in:
- 12 greater satisfaction with the decisions made
- 13 greater understanding about the risks and benefits of the available options
- better communication between people and their healthcare professional, including
  people feeling that they have 'been heard'
- improved trust between people and their healthcare professional
- better concordance with an agreed treatment plan
- people reporting a better experience of care, including more satisfaction with the
  outcome.
- 20 Following the Montgomery v Lanarkshire case (2015), a new legal standard was set 21 to protect patients' rights to make informed decisions when giving or withholding 22 consent to treatment. Healthcare professionals should discuss the risks and benefits 23 of each course of action that are meaningful to the particular person. Consent 'must 24 be obtained before treatment interfering with bodily integrity is undertaken', and it 25 should only be gained when a person has shared a decision informed by what is 26 known about the risks, benefits and consequences of all reasonable NHS treatment 27 options. As set out in the NHS Constitution for England, people have the right to be 28 involved in planning and making decisions about their health and care, and to be
- 29 given information and support to enable this.
- 30 The General Medical Council's guidance on decision making and consent (published
- 31 in 2020) says that healthcare professionals should discuss 'risks of harm and

- 1 potential benefits that the patient would consider significant for any reason. These
- 2 will be revealed during your discussion with the patient about what matters to them'.
- 3 It also states that they should discuss 'any risk of serious harm, however unlikely it is
- 4 to occur'.

# 5 Finding more information and committee details

- 6 To find out what NICE has said on topics related to this guideline, the <u>NICE web</u>
- 7 page on patient and service user care.
- 8 For details of the guideline committee see the <u>committee member list</u>.
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