

Medical technologies advisory committee (MTAC)

14th July 2023

Information pack for draft guidance considerations on

GID-HTE10015 Artificial intelligence auto-contouring for radiotherapy treatment planning

This product was selected for early value assessment in 2022. Clinical and economic evidence has been submitted to NICE by the company, and an external assessment centre report has been completed.

This pack presents the information required for the MTAC to make draft recommendations on this topic. The consultation period on these draft recommendations is scheduled to take place between 02 August 2023 and 16 August 2023.

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Papers included in pack:

- 1. Front sheet
- 2. Scope
- 3. EAG assessment report (AR)
- 3.1 EAG assessment report (AR) Addendum
- 4. Assessment Report Overview (ARO)
- 5. Register of interest



NATIONAL INSTITUTE FOR HEALTH AND CARE EXCELLENCE

Medical Technologies Evaluation Programme

Artificial intelligence auto-contouring to aid radiotherapy treatment planning: early value assessment

Final scope

April 2023

1 Introduction

The topic has been identified by NICE for early value assessment (EVA). The objective of EVA is to identify promising technologies in health and social care where there is greatest need and enable earlier conditional access while informing further evidence generation. The evidence developed will demonstrate if the expected benefits of the technologies are realised and inform a final NICE evaluation and decision on the routine use of the technologies in the NHS.

NICE's topic selection oversight panel ratified artificial intelligence (AI) autocontouring to aid radiotherapy treatment planning as potentially suitable for an EVA by the medical technologies evaluation programme (MTEP). Abbreviations are provided in appendix A.

2 Description of the technologies

This section describes the properties of the AI auto-contouring technologies based on information provided to NICE by companies and experts, and information available in the public domain. NICE has not carried out an independent evaluation of this description.

2.1 Purpose of the medical technology

External beam radiotherapy uses ionising radiation to kill cancer cells in the treated area. It aims to give a high dose of radiation to cancer cells but as low a dose as possible to nearby healthy cells. Contouring is an important part of the radiotherapy treatment planning process. It involves outlining the target volumes and organs at risk (OAR) to guide radiotherapy so that treatment is effective and radiation toxicity is reduced. All auto-contouring technologies aim

to improve contouring efficiency by automatically contouring the OAR and perhaps the target volumes before radiotherapy. These technologies have been trained using deep learning convolutional neural networks to process images from CT or MRI scans and produce an initial contour. Images and contours are then reviewed by trained healthcare professionals and modified as needed.

Clinical experts advised that they spend a lot of time creating and reviewing manual contours. There is some evidence that Al auto-contouring with healthcare professional review is quicker than manual or atlas-based contouring. It may save costs by reducing healthcare professional time needed to do contouring. It may also improve consistency of contouring between people, standardise processes and improve adherence to international guidelines. Clinical experts considered that Al auto-contouring could result in quicker radiotherapy treatment planning pathways and shorter time to treatment for patients. The Royal College of Radiologists clinical oncology census report 2021 reports immense workforce pressure because of staff shortages and continued effects from the COVID-19 pandemic. Increased efficiency from using AI auto-contouring may increase capacity, allow healthcare professionals to focus on patient-facing tasks and reduce waiting lists. Experts also advised that potential improvements in consistency may lead to more accurate contours and could reduce unwanted variation and outliers. This could reduce toxicity. Al auto-contouring could also increase the complexity of treatment including auto-planning and adaptive approaches which would only be possible once auto-contouring is routinely available.

2.2 Product properties

This scope focuses on AI auto-contouring technologies for radiotherapy treatment planning. For this EVA, NICE will consider technologies that:

- use Al-based algorithms to automatically contour OAR or target volumes as part of initial radiotherapy treatment planning
- are standalone Al auto-contouring software or have Al auto-contouring functionality integrated in treatment planning or radiotherapy platforms
- meet the standards within the digital technology assessment criteria (DTAC), including the criteria to have a CE or UKCA mark where required. Products may also be considered if they are actively working towards required CE or UKCA mark and meet all other standards within the DTAC
- are available for use in the NHS.

Technologies for this assessment were identified through NICE topic intelligence, NHS stakeholders and clinical experts, and literature search. In total, 11 Al auto-contouring technologies for radiotherapy treatment planning

are included in the scope. The scope of this assessment excludes adaptive radiotherapy systems. Experts advised that these technologies would likely have a different care pathway and should be evaluated separately. The scope also excludes bespoke AI auto-contouring technologies developed in-house by local services using open-source software such as Inner Eye project by Microsoft. The final list of included technologies may be subject to change.

Al-Rad Companion Organs RT (Siemens Healthineers)

Al-Rad Companion Organs RT is an Al auto-contouring software that is deployed through the Siemens teamplay digital health platform. It is designed to be used with treatment planning systems and interactive contouring applications. It contours over 60 OAR on CT scans including abdomen, head and neck, pelvis and thorax. It is a CE-marked class IIb medical device under the EU medical devices regulation (MDR).

ART-Plan (TheraPanacea, Oncology Systems)

ART-Plan is a standalone AI auto-contouring software trained using international guidelines. Images are automatically sent to ART-Plan for contouring and then to the treatment planning system. ART-Plan contours over 150 OAR and lymph nodes including abdomen, brain, head and neck, thorax and pelvis on CT images and abdomen, brain and male pelvis on MRI. It is a CE-marked class IIb medical device under the EU MDR.

<u>AutoContour (Radformation)</u>

AutoContour is a standalone Al auto-contouring software. It has been trained using consensus guidelines and structures are named in line with the American Association of Physicists in Medicine (AAPM) Task Group 263 standardised nomenclatures in radiation oncology. AutoContour is vendor neutral and works with most treatment planning and imaging systems. It has DICOM standalone capability and can also be integrated with Varian Eclipse using the Eclipse Scripting application programming interface (API). Images from the CT or MRI scanner or Eclipse are sent to AutoContour for auto-contouring before being sent to the treatment planning system or back to Eclipse. It contours over 200 structures including OAR and lymph node regions in the chest and abdomen, head and neck, and pelvis on CT images and brain on MRI. It is currently undergoing regulatory approval with a notified body for CE-marking as a class IIa medical device.

DLCExpert (Mirada Medical)

DLCExpert is an Al auto-contouring software that is compliant with international consensus guidelines. DLCExpert is deployed on Mirada

Medical's Workflow Box platform, which is a software application designed to perform automated workflows. It is designed to be used with existing treatment planning or image processing software. DLCExpert contours over 160 structures on CT and MRI images, including abdomen, breast, head and neck, prostate and thorax. It is a CE-marked class I medical device under the EU medical devices directive (MDD).

INTContour (Carina Medical)

INTContour is a standalone AI auto-contouring software that automatically delineates organs on CT or MRI images. Healthcare professionals can create and use customised models which the company claims can improve accuracy and efficiency. It can also be integrated with Varian Eclipse and RayStation treatment planning systems. It contours over 60 target and OAR structures from abdomen, head and neck, male pelvis and thorax. Regulatory approval for use in the UK is expected in 2023.

<u>Limbus Contour (Limbus AI, AMG Medtech)</u>

Limbus Contour is a standalone AI auto-contouring software developed in line with international consensus guidelines. It is locally hosted and can be installed on any existing hardware without the need for a graphics processing unit (GPU) or cloud connection. It is vendor neutral which means DICOM (digital imaging and communications in medicine) files can be sent to the existing treatment planning system or workstation for review and clinical validation. It contours over 160 OAR and target volumes including lymph nodes, abdomen, breast, central nervous system, head and neck, lung, pelvis and prostate on CT images, and central nervous system, gynaecologic and brachy structures on MRI. It is a CE-marked class I medical device under the EU MDD.

MIM Contour ProtégéAl (MIM Software)

MIM Contour ProtégéAl is a standalone Al auto-contouring software that automatically contours OAR and sensitive structures from CT or MRI images. Image data is sent from the hospital picture archiving and communication system (PACS) or local planning system to MIM software for contouring before being saved as DICOM RT structures. Healthcare professionals can manually correct contours before sending to treatment planning systems. MIM Contour ProtégéAl is vendor neutral and installation can be customised to service needs. It contours head and neck, thorax, lungs and liver, prostate and abdomen structures from CT images and prostate from MRI. It is a CE-marked class IIa medical device under the EU MDD.

MRCAT Prostate plus Auto-contouring (Philips)

MRCAT Prostate plus Auto-contouring is a clinical application integrated in Philips Ingenia system for magnetic resonance imaging in radiation therapy (MR-RT). It provides automatic contours and density information for dose calculations in a repeatable workflow. MRCAT images conform to DICOM standards and can be exported to treatment planning systems. The company said that the system can replace traditional CT-based workflows with an MRI only radiotherapy workflow from imaging and planning to position verification.

MVision Segmentation Service (MVision Al Oy, Xiel)

MVision Segmentation Service is a standalone AI auto-contouring software trained to comply with international guidelines using a peer-reviewed process. CT or MRI images from the scanner or treatment planning system are exported to MVision. A structure set is created and contours are added to the original images. These are then sent to the DICOM folder or treatment planning system. It contours over 160 structures including OAR and target volumes in abdomen and thorax, brain, breast, head and neck, and pelvis. It is a CE-marked class I medical device under the EU MDD.

OSAIRIS (Cambridge University Hospitals NHS Foundation Trust)

OSAIRIS is an open-source standalone AI auto-contouring software. It is a cloud-based workflow acceleration technology that only activates GPU resources on demand. It has been designed for free use and sharing within the NHS and complies with the NHS Azure Blueprint. It contours up to 26 head and neck and prostate treatment site structures on CT images. Regulatory approval for use in the UK is in progress.

RayStation (RaySearch)

RayStation is a radiotherapy external beam and brachytherapy planning system with Al auto-contouring functionality included as part of the standard contouring tools. It uses a high-speed GPU-powered algorithm to automatically contour structures needed for the creation of radiotherapy treatment plans. It integrates deep learning auto-segmentation into the workflow which allows for automation of the radiotherapy treatment planning workflow from data import to data export. It contours over 70 structures on CT images including breast and lymph nodes, head and neck, male pelvis, thorax and abdomen. It is a CE-marked class IIb medical device under the EU MDD.

3 Target conditions

The target population for this assessment is people having radiotherapy treatment planning for external beam radiotherapy.

The National Cancer Registration and Analysis Service and Cancer Research UK's statistics on chemotherapy, radiotherapy and surgical tumour resections in England reports that between 2013 and 2019, about 40% of people with cancer had radiotherapy as part of their cancer treatment. NHS England's radiotherapy dataset shows that there were 134,419 radiotherapy episodes in England in April 2021 to March 2022 and 1,507,521 attendances. An episode refers to a continuous period of care including all preparation, planning and delivery of radiotherapy, while attendances are the number of times a person attends a radiotherapy department and receives treatment in an episode of care.

The highest number of radiotherapy episodes between April 2021 to March 2022 were reported for:

- Female breast: 35,834 episodes and 285,279 attendances
- Prostate: 24,065 episodes and 355,418 attendances
- Lung: 15,153 episodes and 129,559 attendances
- Head and neck: 7,934 episodes and 187,403 attendances
- Small intestine and colorectal: 6,937 episodes and 83,001 attendances
- Haematology: 6,064 episodes and 45,932 attendances
- Other invasive cancers: 5,694 episodes and 25,743 attendances
- Non-melanoma skin cancer: 5,221 episodes and 54,299 attendances
- Brain and central nervous system: 4,405 episodes and 76,089 attendances
- Gynaecology excluding cervix: 4,397 episodes and 57,286 attendances
- Oesophago-gastric: 3,624 episodes and 42,507 attendances.

Clinical experts advised that Al auto-contouring could be used to aid treatment planning for everyone having external beam radiotherapy. There may be some uses of external beam radiotherapy where contouring is not typically used and Al auto-contouring may not provide any additional benefit. Some experts suggested particular benefit in people with multiple tumour sites or complex OAR such as head and neck squamous cell carcinoma, abdominal or lung tumours, and breast cancer needing lymph node irradiation.

4 Care pathway

Contouring in radiotherapy treatment planning is used to outline the target volume and OAR to guide radiotherapy so that treatment is effective and radiation toxicity is reduced. Healthcare professionals most often use manual or atlas-based contouring or model-based segmentation. Manual contouring is the most common contouring method used in standard care. Manual contouring of target regions is usually done by clinical oncologists, while contouring of OAR may also be done by clinical technologists (dosimetrists) or therapeutic radiographers. There are published guidelines for contouring OARs and disease sites from organisations such as **European Society for** Radiotherapy and Oncology and the Royal College of Radiologists. Atlasbased contouring and model-based segmentation are not as widely used in standard care. Atlas-based contouring is an automated method that contours new images using models based on historical images of similar patient anatomy. Model-based segmentation is also an automated method that contours images using statistical shape models for different organ structures. Contours regardless of contouring method should be reviewed before being used in treatment planning in line with guidance such as the Royal College of Radiologists guidance on radiotherapy, target volume definition and peer review.

Potential place of Al auto-contouring for radiotherapy treatment planning in the care pathway

Al auto-contouring would be used as part of standard care radiotherapy treatment planning. Radiotherapy is usually given in hospital on an outpatient basis. Al auto-contouring would be reviewed and edited as needed by trained healthcare professionals, including clinical oncologists, therapeutic radiographers, clinical technologists and medical physicists. All contours should be reviewed and modified as needed before being used in treatment planning.

5 Comparator

Al auto-contouring would be used as an alternative to manual or atlas-based contouring or model-based segmentation as part of standard care radiotherapy treatment planning. For some cases, Al auto-contouring may generate contours for structures that are not routinely produced in standard care. In these instances, no contours or no contouring may be an appropriate comparator to consider.

6 Scope of the assessment

Table 1 Scope of the assessment

| Population | People having radiotherapy treatment planning for external beam radiotherapy | | | |
|---|---|--|--|--|
| Interventions (proposed technologies) | Al auto-contouring technologies for initial treatment planning, namely: • Al-Rad Companion Organs RT (Siemens Healthineers) • ART-Plan (TheraPanacea, Oncology Systems) • AutoContour (Radformation) • DLCExpert (Mirada Medical) • INTContour (Carina Medical) • Limbus Contour (Limbus AI, AMG Medtech) • MIM Contour ProtégéAI (MIM Software) • MRCAT Prostate plus Auto-contouring (Philips) • MVision Segmentation Service (MVision AI Oy, Xiel) • OSAIRIS (Cambridge University Hospitals NHS Foundation Trust) • RayStation (RaySearch Laboratories AB) | | | |
| Comparators | Contouring methods used in standard care to contour OAR and target volumes including lymph nodes. These include: • manual contouring • atlas-based contouring • model-based segmentation. Comparators may also include 'no contours or no contouring' for cases where AI auto-contouring may generate contours for | | | |
| | structures not routinely contoured in standard care. | | | |
| Healthcare setting | Outpatient settings The outcome management to consider include: | | | |
| Outcomes | The outcome measures to consider include: Accuracy and acceptability Clinical acceptability of contours including alignmer with national and international guidelines Accuracy of contours including quantitative measur of DICE coefficient and qualitative measures Degree of contour edits needed before use in radiotherapy treatment planning Consistency of contours including interrater reliability | | | |

- Impact on radiotherapy treatment planning quality assurance including surrogate, qualitative and quantitative measures such as:
 - Dose prescription changes
 - Dose volume distributions
 - Radiation toxicity
 - Missing targets
 - Adherence to international guidelines
- Usability, user experience and satisfaction

Resource and system impact

- Contouring time including time needed for healthcare professional review and manual edits
- Radiotherapy treatment planning time including time saved and difference in time to start of treatment
- Number of more complex plans produced including number of structures contoured
- Impact on staffing and treatment planning resources, such as changes in skill-mix or healthcare professional grade needed to produce and review contours
- Impact of the system on clinical oncology training (including training of all healthcare professionals contributing to radiotherapy treatment planning)
- Impact on healthcare professional performance and productivity more broadly, such as efficiency, increase in patient-facing tasks and staff wellbeing.

Costs will be considered from an NHS and Personal Social Services perspective. Costs for consideration should include:

- Costs of Al auto-contouring software including installation, licence fees, maintenance and update costs for additional libraries or features
- Costs of any associated technology needed to use Al auto-contouring tools excluding capital costs for equipment that is otherwise used in standard care
- Healthcare professional grade and time
- Cost of other resource use such as additional appointments or healthcare professional training

Time horizon

The time horizon for estimating the clinical and economic value should be sufficiently long to reflect any differences in costs or outcomes.

7 Other issues for consideration

Population

• This EVA is focused on people having radiotherapy treatment planning for external beam radiotherapy. This includes cancer treatment in several different regions with different associated OAR and target volumes including lymph nodes that may affect contouring accuracy and efficiency. Subgroups within the population may be considered depending on the available evidence.

Characteristics of Al auto-contouring

 Al auto-contouring technologies may differ in their implementation, structures and costs. Some technologies are standalone software while others are integrated in holistic treatment planning or radiotherapy systems. This should be considered in the assessment, including how technologies fit into standard care radiotherapy treatment planning and resource implications for different types of Al auto-contouring technologies.

Evidence

- This assessment will look across a range of evidence types including real-world evidence. It will evaluate the clinical equivalence, efficiency and resource consequences of using Al auto-contouring in addition to standard care radiotherapy treatment planning. This will include evaluating whether Al auto-contouring technologies have equal or superior outcomes to manual or atlas-based contouring or modelbased segmentation in NHS services for the same disorder.
- The assessment will focus on evidence from use of the technologies with standard training sets. Evidence generated from technologies that have been retrained using local populations will be flagged.

8 Potential equality issues

NICE is committed to promoting equality of opportunity, eliminating unlawful discrimination and fostering good relations between people with particular protected characteristics and others.

Al models can contain algorithmic bias depending on the population used in training. Populations used in training datasets may not be representative of patient populations in clinical practice which can cause potential age, gender, disability and ethnic bias. Clinical experts advised that there is a potential for

gender bias, for example a lack of representation of the female pelvis and male breast cancer in some training datasets. There is also a potential for disability bias, for example not including people with hip replacements in training datasets. Training datasets may also underrepresent children and young people. This may affect the performance of Al auto-contouring for these populations. Al auto-contouring may perform best with certain CT or MRI sequences or with the person being in a specific position such as supine head-first. Training datasets may not include data on atypical positioning which may make Al auto-contouring less accurate for some people with limited mobility. Clinical experts advised that Al auto-contouring may also not work as well for people with atypical anatomy associated for example with previous medical interventions such as surgery.

Potential risk of bias of a specific technology should be considered when deciding if to use that technology in research or clinical settings. This should form part of a local assessment process before purchase and clinical decision-making. Companies should also provide detailed information on training datasets as part of their product information pack, including guidelines used and demographics such as age range, gender ratios and inclusion of disabilities.

Cancer is considered a disability under the Equality Act 2010. Incidence rates in the UK for all cancers combined are highest in people aged 85 to 89 with more than a third of diagnoses each year being in people aged 75 and older. Age and disability are protected characteristics under the Equality Act 2010.

9 Potential implementation issues

When deciding if to use AI auto-contouring technologies, radiation oncology services should consider:

- compliance with GDPR, information governance and cybersecurity standards
- staff acceptability of AI auto-contouring including ease of implementation considering workforce skills and workflows
- education and training needed to use the specific technology
- how automated decision-making fits into local protocols
- bandwidth and server requirements
- monitoring performance, risk assessment and quality assurance.

Experts and stakeholders outlined several considerations for using AI auto-contouring technologies in the NHS. AI auto-contouring technologies should:

conform to national and international guidelines

- come with detailed information on training datasets used, software optimisation and validation
- be DICOM compatible
- be vendor neutral and able to integrate into current workflows easily and automatically
- include all relevant OAR and targets including additional structures not manually contoured
- be customisable, such as which structures to include, structure names, colours and fit with local protocols.

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April 2023

Appendix A Abbreviations

Al Artificial intelligence

API Application programming interface

DICOM Digital imaging and communications in medicine

DTAC Digital technology assessment criteria

EAR Early value assessment
GPU Graphics processing unit
MDD Medical devices directive
MDR Medical devices regulation

MTEP Medical technologies evaluation programme

OAR Organs at risk





Artificial intelligence auto-contouring to aid radiotherapy treatment planning [GID-HTE10015]

External Assessment Group report

NATIONAL INSTITUTE FOR HEALTH AND CARE EXCELLENCE

Early Value Assessment Programme

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to

Date completed

Contains confidential information: Yes

Number of attached appendices: 5

Purpose of the assessment report

The purpose of this External Assessment Group (EAG) report is to review the evidence currently available for included technologies and advise what further evidence should be collected to help inform decisions on whether the technologies should be widely adopted in the NHS. The report may also include additional analysis of the submitted evidence or new clinical and/or economic evidence. NICE has commissioned this work and the report forms part of the papers considered by the Medical Technologies Advisory Committee when it is making decisions about the early value assessment.

Declared interests of the authors

Description of any declared interests with related companies, and the matter under consideration. See NICE's Policy on managing interests for board members and employees.

None.

Acknowledgements

The EAG acknowledges the administrative support of Sue Whiffin and Jenny Lowe (both PenTAG) and Specialist Committee Member (SCM) input from David Bernstein (Royal Marsden Hospital), Teresa Guerrero Urbano (Guy's Hospital), Syed Moinuddin (University College Hospital London), Myur Munshi (Beatson West of Scotland Cancer Centre), Angela Pathmanathan (Royal Marsden Hospital), Jacqueline Poxon (St Bartholomew's Hospital),

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Responsibility for report

The views expressed in this report are those of the authors and not those of NICE. Any errors are the responsibility of the authors.

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Abbreviations

| Term | Definition | | | |
|---------|--|--|--|--|
| Al | Artificial intelligence | | | |
| API | Application programming interface | | | |
| CE mark | Conformité européenne (European conformity) marking | | | |
| CEA | Cost-effectiveness analysis | | | |
| CI | Clinical investigator | | | |
| CNS | Central nervous system | | | |
| CRD | Centre for Reviews and Dissemination | | | |
| СТ | Computerised tomography | | | |
| D | Dosimetrist | | | |
| DICE | Dice similarity coefficient | | | |
| DICOM | Digital Imaging and Communications in Medicine | | | |
| DTAC | Digital Technology Assessment Criteria | | | |
| EAG | External assessment group | | | |
| ESTRO | European Society for Therapeutic Radiology and Oncology | | | |
| EU | European Union | | | |
| EVA | Early value assessment | | | |
| GBP | British Pound | | | |
| GDPR | General Data Protection Regulation | | | |
| H&N | Head and neck | | | |
| HD | Hausdorff distance | | | |
| HTA | Health technology assessment | | | |
| H&N | Head and neck | | | |
| ICTRP | International Registry Platform | | | |
| INAHTA | International Network of Agencies for Health Technology Assessment | | | |
| MAUDE | Manufacturer and User Facility Device Experience | | | |
| MDD | Medical devices directive | | | |
| MDR | Medical device regulation | | | |
| MeSH | Medical subject headings | | | |
| MHRA | Medicines & Healthcare products Regulatory Agency | | | |
| MRI | Magnetic resonance imaging | | | |
| N/A | Not applicable | | | |

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| NHS | National Health Service | | | |
|--------|--|--|--|--|
| NICE | National Institute for Health and Care Excellence | | | |
| NLM | National Library of Medicine | | | |
| NR | Not reported | | | |
| OAR | Organs at risk | | | |
| PenTAG | Peninsula Technology Assessment Group | | | |
| PRISMA | Preferred Reporting Items for Systematic Reviews and Meta- Analyses | | | |
| PTV | Planning target volume | | | |
| RCT | Randomised controlled trial | | | |
| ReQoL | Recovering Quality of Life quality | | | |
| RO | Radiation oncologist | | | |
| RT | Radiotherapy | | | |
| RTOG | Radiation Therapy Oncology Group | | | |
| RTT | Radiation therapist | | | |
| RWE | Real world evidence | | | |
| SCM | Specialist Committee Member | | | |
| SIGN | Scottish Intercollegiate Guidelines Network | | | |
| UK | United Kingdom | | | |
| UKCA | United Kingdom Conformity Assessed marking | | | |
| USA | United States of America | | | |
| USD | United States Dollar | | | |
| VMAT | Volumetric arc therapy | | | |
| WHO | World Health Organization | | | |

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1. EXECUTIVE SUMMARY

Quality and relevance of clinical evidence

The findings of this rapid appraisal suggested that there is strong evidence for the potential clinical usefulness of Al-based auto-contouring, but unclear evidence around cost-effectiveness. The EAG identified a total of 79 reports that were potentially relevant to the present decision problem. Eight full text papers were predominantly prospective in design, 19 were predominantly retrospective, and 52 were conference abstracts; 73 of the reports looked at a single included technology against a relevant comparator. The remaining six reports (all conference abstracts) compared two or more of the included technologies.

Data were extracted for all eight prospective full text papers. For technologies that did not have a prospective full text paper, the highest quality retrospective full text paper was identified and extracted. This included five articles. There were no relevant full text articles for two of the technologies. For these, the EAG extracted data from a selected high quality conference abstract each. Results were therefore extracted from a total of 15 prioritised papers.

All studies had some methodological limitations or misalignment with the NICE decision problem for this appraisal. Studies were often poorly reported, and it was not always clear what the intervention consisted of, nor how they were applied. Samples sizes were often small, and the evidence overwhelmingly focused on the head and neck and the pelvis (predominately the male pelvis, for prostate cancer). The most commonly reported outcome metrics were geometric outcomes, the clinical applicability of which has been questioned by researchers.

All the studies reported either geometric, dosimetric or satisfaction scores which showed that Al-based auto-contouring creates contours, segmentations or plans similar to those created by manual contouring for most organs at risk and clinical target volumes. The majority of auto-contours were either ready to use or usable with only minor edits. However, certain organs at risk were consistently found more difficult to auto-contour, particularly those with a small volume, suggesting that auto-contouring is still best seen as an aide to radiation oncologists in their contouring work, rather than a stand-alone technology that will replace that role.

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Manual contouring was the comparator for the majority of studies. In those studies that included an atlas contouring arm, the Al approach consistently created superior contours (e.g., geometrically closer to manual contours).

Quality and relevance of economic evidence

Based on clinical opinion to the EAG and evidence from published literature, AI auto-contouring (including editing and reviewing time) appears to result in time savings when compared to current organs at risk contouring approaches used in clinical practice (albeit there is considerable time variation based on tumour site and the structures typically contoured). Due to the lack of published cost effectiveness evidence and heterogeneity in the pricing and reimbursement strategy for each technology, it was not possible to draw firm conclusions on the cost effectiveness of AI auto-contouring compared to manual or atlas-based segmentation approaches.

Evidence Gap Analysis

More robust evidence is required for the following: 1) Al intervention costs in clinical practice and how these technologies impact on healthcare resource use and/or patient outcomes in a UK context. 2) The impact of local NHS training sets rather than an "off the shelf" approach, from both a clinical and harmonisation/cost-effectiveness point of view. 3) Al auto-contouring effectiveness for body structures beyond head and neck and the pelvis, and identification of those organs at risk that are particularly susceptible to being poor contoured. 4) Relative clinical and cost-effectiveness of auto-contouring using MRI vs CT scans. 5) Direct, head-to-head trials between alternative Al auto-contouring technologies.

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2. DECISION PROBLEM

Table 1 details the final scope issued by NICE for this EVA, defined per element of assessment.

Table 1: Summary scope of the assessment

| Population | People having radiotherapy treatment planning for external | | | | |
|-------------------------|---|--|--|--|--|
| | beam radiotherapy | | | | |
| lata manufia a | Al auto-contouring technologies for initial treatment planning, | | | | |
| Interventions (proposed | | | | | |
| technologies) | namely: | | | | |
| | AI-Rad Companion Organs RT (Siemens Healthineers) | | | | |
| | ART-Plan (TheraPanacea, Oncology Systems) | | | | |
| | AutoContour (Radformation) | | | | |
| | DLCExpert (Mirada Medical) | | | | |
| | INTContour (Carina Medical) | | | | |
| | Limbus Contour (Limbus AI, AMG Medtech) | | | | |
| | MIM Contour ProtégéAl (MIM Software) | | | | |
| | MRCAT Prostate plus Auto-contouring (Philips) | | | | |
| | MVision Segmentation Service (MVision Al Oy, Xiel) | | | | |
| | OSAIRIS (Cambridge University Hospitals NHS Foundation Trust) | | | | |
| | RayStation (RaySearch Laboratories AB) | | | | |
| Comparators | Contouring methods used in standard care to contour OAR | | | | |
| | and target volumes including lymph nodes. These include: | | | | |
| | manual contouring | | | | |
| | atlas-based contouring | | | | |
| | model-based segmentation. | | | | |
| | | | | | |
| | Comparators may also include 'no contours or no contouring' | | | | |
| | for cases where Al auto-contouring may generate contours for | | | | |
| | structures not routinely contoured in standard care. | | | | |
| Healthcare setting | Outpatient settings | | | | |

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Outcomes

The outcome measures to consider include:

Accuracy and acceptability

- Clinical acceptability of contours including alignment with national and international guidelines
- Accuracy of contours including quantitative measures of DICE coefficient and qualitative measures
- Degree of contour edits needed before use in radiotherapy treatment planning
- Consistency of contours including interrater reliability
- Impact on radiotherapy treatment planning quality assurance including surrogate, qualitative and quantitative measures such as:
 - Dose prescription changes
 - o Dose volume distributions
 - Radiation toxicity
 - Missing targets
 - Adherence to international guidelines
- Usability, user experience and satisfaction

Resource and system impact

- Contouring time including time needed for healthcare professional review and manual edits
- Radiotherapy treatment planning time including time saved and difference in time to start of treatment
- Number of more complex plans produced including number of structures contoured
- Impact on staffing and treatment planning resources, such as changes in skill-mix or healthcare professional grade needed to produce and review contours
- Impact of the system on clinical oncology training (including training of all healthcare professionals contributing to radiotherapy treatment planning)
- Impact on healthcare professional performance and productivity more broadly, such as efficiency, increase in patient-facing tasks and staff wellbeing.

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| | Costs will be considered from an NHS and Personal Social | | | | | |
|--------------|---|--|--|--|--|--|
| | Services perspective. Costs for consideration should include: | | | | | |
| | Costs of Al auto-contouring software including installation, licence fees, maintenance and update costs for additional libraries or features | | | | | |
| | Costs of any associated technology needed to use Al auto-contouring tools excluding capital costs for equipment that is otherwise used in standard care | | | | | |
| | Healthcare professional grade and time | | | | | |
| | Cost of other resource use such as additional appointments or healthcare professional training | | | | | |
| Time horizon | The time horizon for estimating the clinical and economic | | | | | |
| | value should be sufficiently long to reflect any differences in | | | | | |
| | costs or outcomes. | | | | | |

Abbreviations: AI = artificial intelligence. DICE = Dice similarity coefficient. NHS = National Health Service. OAR = organs at risk.

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3. OVERVIEW OF THE TECHNOLOGY

3.1. Purpose of the medical technology

External beam radiotherapy uses ionising radiation to kill cancer cells in the treated area. It aims to give a high dose of radiation to cancer cells but as low a dose as possible to nearby healthy cells. Contouring is an important part of the radiotherapy treatment planning process. It involves outlining the target volumes and organs at risk (OAR) to guide radiotherapy so that treatment is effective and radiation toxicity is reduced. Al auto-contouring technologies aim to improve contouring efficiency by automatically contouring the organs at risk, with some also contouring the target volumes before radiotherapy. Most of these technologies have been trained using deep learning convolutional neural networks (a type of artificial intelligence learning algorithm) to process images from CT or MRI scans and produce an initial contour. Images and contours are then reviewed by trained healthcare professionals and modified as needed.

During the scoping process, clinical experts advised that they spend a lot of time creating and reviewing manual contours. All auto-contouring, with healthcare professional review, may be quicker than manual or atlas-based contouring (see section 4 for more details about manual and atlas-based contouring), and hence reduce costs by reducing healthcare professional time needed to do contouring. It may also improve consistency of contouring between people, standardise processes and improve adherence to international guidelines. Clinical experts considered that Al auto-contouring could result in quicker radiotherapy treatment planning pathways and shorter time to treatment for patients. The Royal College of Radiologists clinical oncology census report 2021¹ reports workforce pressure because of staff shortages and continued effects from the COVID-19 pandemic. Increased efficiency from using Al auto-contouring may increase capacity, allow healthcare professionals to focus on patient-facing tasks and reduce waiting lists. During the EVA scoping process, experts advised that potential improvements in consistency may lead to more accurate contours and could reduce unwanted variation and outliers which could reduce toxicity.

3.2. Product properties

This EVA focuses on AI auto-contouring technologies for radiotherapy treatment planning. It includes 11 technologies that:

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- use Al-based algorithms to automatically contour organs at risk (OAR) or target volumes as part of initial radiotherapy treatment planning
- are standalone Al auto-contouring software or have Al auto-contouring functionality integrated in treatment planning or radiotherapy platforms
- meet the standards within the digital technology assessment criteria (DTAC), including
 the criteria to have a CE or UKCA mark where required. Products may also be
 considered if they are actively working towards required CE or UKCA mark and meet all
 other standards within the DTAC
- are available for use in the NHS.

Technologies for this assessment were identified through NICE topic intelligence, NHS stakeholders and clinical experts, and literature search. The scope of this assessment excludes adaptive radiotherapy systems. Experts advised that these technologies would likely have a different care pathway and should be evaluated separately. The scope also excludes bespoke AI auto-contouring technologies developed in-house by local services using open-source software such as Inner Eye project by Microsoft.

SCMs noted that there is considerable heterogeneity between the technologies being assessed. Some of the included technologies are "stand alone" systems (such as from Limbus and MVision), meaning that they are hardware agnostic. Others, such as MRCAT, use in-built systems, meaning that they're designed to be used with a specific hardware platform. A number of the technologies focus just on contouring, while some are part of a suite of software (such as DLCExpert) that include other components of the radiotherapy planning pathway.

Other differences include how certain technologies focus on a relatively narrow band of structures (for example MRCAT Prostate plus). Various different datasets and consensus guidelines will have been used to train the algorithms—this is often poorly reported in company literature—and there are also differences in the degree to which a technology can be locally trained with datasets from a users' institution (INTContour is very customisable, for example). A number of the technologies are well established, while others are more recent additions to the field, and are awaiting their CE Mark (for example AutoContour and OSAIRIS). Finally, most companies are continually updating and releasing auto-contouring

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models, as well as developing new models, meaning that most of the literature will have compared algorithms which differ from those found in the technologies today. Such heterogeneity makes direct comparison difficult.

Technologies are described in brief in Table 2. Further information can be found in the Final Scope.²

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Table 2: Description of the technologies

| Full technology name and manufacturer | Stand-alone or in-built system | Training guidelines used | Structures contoured | CE mark and DTAC status | Implementation options and limitations |
|--|--------------------------------|--|--|--|---|
| Al-Rad Companion Organs RT (Siemens Healthineers) | Standalone | Trained using RTOG guidelines | Contours over 60 organs at risk on CT scans including abdomen, head and neck, pelvis and thorax. | CE-marked class IIb medical device under the EU medical devices regulation (MDR) | Designed to be used with treatment planning systems and interactive contouring applications. Is part of a "family" of companion |
| | | | The next version (VA50), rolling out in 2023, will also contour 8 organs at risk using MR images. | DTAC application is being considered for the software | software for various body regions, including for brain, chest, and prostate |
| ART-Plan (TheraPanacea, Oncology Systems) | Standalone | Trained using international guidelines such as such and RTOG | Contours over 150 organs at risk and lymph nodes including abdomen, brain, head and neck, thorax and pelvis on CT images and abdomen, brain and male pelvis on MRI | CE-marked class IIb medical device under the EU MDR No information on DTAC status | Provided using installation files transferred to the server that will host the software. If the cloud option is used it is provided using installation files that allow access to the software installed in the cloud server located in that region |
| AutoContour (Radformation) | Standalone | Trained using consensus guidelines | It contours over 200 structures including organs at risk and lymph node regions in the chest and abdomen, head and neck, and pelvis on CT images and brain on MRI | Currently undergoing regulatory approval with a notified body for CE-marking as a class IIa medical device. DTAC application in process | It has DICOM standalone capability and can also be integrated with Varian Eclipse using the Eclipse Scripting application programming interface (API). |

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| Full technology name and manufacturer | Stand-alone or in-built system | Training guidelines used | Structures contoured | CE mark and DTAC status | Implementation options and limitations |
|---|--|---|---|---|--|
| DLCExpert (Mirada Medical) | In-built DLCExpert is deployed on Mirada Medical's Workflow Box platform, which is a software application designed to perform automated workflows. | Trained using consensus guidelines | DLCExpert contours over 160 structures on CT and MRI images, including abdomen, breast, head and neck, prostate and thorax. | CE-marked class I medical device under the EU medical devices directive (MDD). No information on DTAC status | It is designed to be used with existing treatment planning or image processing software. |
| INTContour (Carina Medical) | Standalone | In addition to built-in protocols, individual institutions can train their own AI models in house | Delineates organs on CT or MRI images. It contours over 60 target and organs at risk structures from abdomen, head and neck, male pelvis and thorax. | Regulatory approval for use in the UK is expected in 2023. Company plan to apply for a DTAC before 09/2023 | INTContour can be accessed using the web-based interface and DICOM tools. Users can create and use customised models. It can also be integrated with Varian Eclipse and RayStation treatment planning systems. |
| Limbus Contour (Limbus AI, AMG Medtech) | Standalone It is locally hosted and can be installed on any existing hardware without the need for a graphics processing unit | Developed in line with international consensus guidelines | It contours over 200 organs at risk and target volumes including lymph nodes, abdomen, breast, central nervous system, head and neck, lung, pelvis and prostate on CT images, and | CE-marked class I medical device under the EU MDD. DTAC has been completed for a number of customers | It is vendor neutral which means DICOM (digital imaging and communications in medicine) files can be sent to the existing treatment planning system or |

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| Full technology name and manufacturer | Stand-alone or in-built system | Training guidelines used | Structures contoured | CE mark and DTAC status | Implementation options and limitations |
|---|---|--------------------------|---|--|---|
| | (GPU) or cloud connection. | | central nervous system, gynaecologic and brachy structures on MRI | | workstation for review and clinical validation. |
| MIM Contour ProtégéAI (MIM Software) | Standalone | Not reported | Contours organs at risk and sensitive structures from CT or MRI images. It contours head and neck, thorax, lungs and liver, prostate and abdomen structures from CT images and prostate from MRI. | CE-marked class IIa medical device under the EU MDD. Currently applying for DTAC approval | Image data are sent from the hospital picture archiving and communication system (PACS) or local planning system to MIM software for contouring before being saved as DICOM RT structures. Healthcare professionals can manually correct contours before sending to treatment planning systems. MIM Contour ProtégéAI is vendor neutral, and installation can be customised to service needs. |
| MRCAT Prostate plus Auto-contouring (Philips) | In-built A clinical application integrated in Philips Ingenia system for magnetic resonance | Not reported | Prostate contouring | CE-marked No DTAC application made | MRCAT images conform to DICOM standards and can be exported to treatment planning systems. The company said that the system can replace traditional CT-based workflows with an MRI only |

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| Full technology name and manufacturer | Stand-alone or in-built system | Training guidelines used | Structures contoured | CE mark and DTAC status | Implementation options and limitations |
|---|---------------------------------------|--|---|---|--|
| | imaging in radiation therapy (MR-RT). | | | | radiotherapy workflow from imaging and planning to position verification. |
| MVision Segmentation Service (MVision Al Oy, Xiel) | Standalone | Trained to comply with international guidelines using a peer-reviewed process. | CT or MRI. It contours over 160 structures including organs at risk and target volumes in abdomen and thorax, brain, breast, head and neck, and pelvis. | It is a CE-marked class I medical device under the EU MDD. MVision has received approval of several DTAC submissions to various NHS trusts | Images from the scanner or treatment planning system are exported to MVision. A structure set is created, and contours are added to the original images. These are then sent to the DICOM folder or treatment planning system. |
| OSAIRIS (Cambridge University Hospitals NHS Foundation Trust) | Standalone | The system was trained with data from the developer's hospital (Cambridge University Hospitals NHS Foundation Trust) | It contours up to 26 head and neck and prostate treatment site structures on CT images | Regulatory approval for use in the UK is in progress No information on DTAC status | OSAIRIS is an open-source standalone AI auto-contouring software. It is a cloud-based workflow acceleration technology, designed for free use and sharing within the NHS. It complies with the NHS Azure Blueprint. |
| RayStation (RaySearch) | In-built | Trained using multiple reference CT and/or MR image datasets with the patient structures contoured on them. | It contours over 70 structures on CT images including breast and lymph nodes, head and neck, male pelvis, thorax and abdomen. | It is a CE-marked class IIb medical device under the EU MDD No DTAC application made | RayStation is a radiotherapy external beam and brachytherapy planning system with Al autocontouring functionality included as part of the standard contouring tools. |

Abbreviations: Al = artificial intelligence; API = application programming interface; CE = Conformité européenne (European conformity); CT = Computerised tomography; DICOM = Digital Imaging and Communications in Medicine; DTAC = Digital Technology Assessment Criteria; ESTRO = European Society for Therapeutic Radiology and

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3.3. Status quo

All auto-contouring would be used as an alternative to manual or atlas-based contouring or model-based segmentation as part of standard care radiotherapy treatment planning. For some cases, All auto-contouring may generate contours for structures that are not routinely produced in standard care. In these instances, no contours or no contouring may be an appropriate comparator to consider.

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4. CLINICAL CONTEXT

The target population for this assessment is people having radiotherapy treatment planning for external beam radiotherapy.

4.1. Care pathway

Contouring in radiotherapy treatment planning is used to outline the target volume and organs at risk to guide radiotherapy so that treatment is effective and radiation toxicity is reduced. Healthcare professionals most often use manual or atlas-based contouring or model-based segmentation. Manual contouring is the most common contouring method used in standard care. Manual contouring of target regions is usually done by clinical (radiation) oncologists, while contouring of organs at risk may also be done by clinical technologists, dosimetrists, or therapeutic radiographers. There are published guidelines for contouring organs at risk and disease sites from organisations such as European Society for Radiotherapy and Oncology³ and the Royal College of Radiologists.⁴ Atlas-based contouring and model-based segmentation are not as widely used in standard care. Atlas-based contouring is an automated method that contours new images using models based on historical images of similar patient anatomy. Model-based segmentation is also an automated method that contours images using statistical shape models for different organ structures. Contours regardless of contouring method should be reviewed before being used in treatment planning in line with guidance such as the Royal College of Radiologists guidance on radiotherapy, target volume definition and peer review.4

It is expected that AI auto-contouring would be used as part of standard care radiotherapy treatment planning. Radiotherapy is usually given in hospital on an outpatient basis. AI auto-contouring would be reviewed and edited as needed by trained healthcare professionals, including clinical oncologists, therapeutic radiographers, clinical technologists and medical physicists. It is recommended that all contours should be reviewed and modified as needed before being used in treatment planning.

4.1.1. Current use of AI auto-contouring to aid radiotherapy treatment planning

Five of the eleven companies covered in this review advised in their company submissions to NICE that their respective AI auto-contouring technologies are in current use within the NHS for radiotherapy treatment planning:

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SCMs reported a range of experience in using AI auto-contouring technologies. Some SCMs have used AI auto-contouring for research purposes only; some use this regularly as part of current clinical practice.

4.2. User issues and preferences

SCMs reported enjoying using AI-based auto-contouring technology and thought that it would eventually save time and facilitate standardisation in radiotherapy. Some stated that with more use of AI auto-contouring, expertise and resources would be better employed in critically reviewing contours rather than in laborious manual delineation. Nevertheless, SCMs also described challenges when auto-contouring structures where there was multiple (or no) consensus on definition (for example, different protocols exist for inguinal nodes, or for head neck lymph nodes). They advised that it is therefore unlikely that AI will be acceptable to all users, at least in the first instance.

Companies also offered their view of the technology in their submissions. They anticipated that AI auto-contouring technologies should increase accuracy in organ contouring and improve quality of care, and that use of the technologies may facilitate standardisation of treatment across the NHS. It was also often advised that technologies could lead to a more efficient use of staff time, and reduce the pressures of staff shortages, thus improving waiting times. Some companies described these technologies as an adjunct to standard care and did not anticipate that the patient experience would be affected.

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5. SPECIAL CONSIDERATIONS, INCLUDING ISSUES RELATED TO EQUALITY

The following issues were highlighted during the scoping process. No new issues were identified during EAG assessment.

Al models can contain algorithmic bias depending on the population used in training. Populations used in training datasets may not be representative of patient populations in clinical practice which can cause potential age, gender, disability and ethnic bias. Clinical experts advised that there is a potential for gender bias, for example a lack of representation of the female pelvis and male breast cancer in some training datasets. There is also a potential for disability bias, for example not including people with hip replacements in training datasets. Training datasets may also underrepresent children and young people. This may affect the performance of Al auto-contouring for these populations. Al auto-contouring may perform best with certain CT or MRI sequences or with the person being in a specific position such as supine head-first. Training datasets may not include data on atypical positioning which may make Al auto-contouring less accurate for some people with limited mobility. Clinical experts advised that Al auto-contouring may also not work as well for people with atypical anatomy associated for example with previous medical interventions such as surgery.

To mitigate these issues, the potential risk of bias of a specific technology should be considered when deciding if to use that technology in research or clinical settings. This should form part of a local assessment process before purchase and clinical decision-making. Companies should also provide detailed information on training datasets as part of their product information pack, including guidelines used and demographics such as age range, gender ratios and inclusion of disabilities.

Cancer is considered a disability under the Equality Act 2010. Incidence rates in the UK for all cancers combined are highest in people aged 85 to 89 with more than a third of diagnoses each year being in people aged 75 and older. Age and disability are protected characteristics under the Equality Act 2010.

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6. POTENTIAL IMPLEMENTATION ISSUES

The NICE adoption and implementation team consulted clinical experts and noted several potential implementation issues. When deciding whether to use Al auto-contouring technologies, radiation oncology services should consider:

- compliance with GDPR, information governance and cybersecurity standards
- staff acceptability of AI auto-contouring including ease of implementation considering workforce skills and workflows
- education and training needed to use the specific technology
- how automated decision-making fits into local protocols
- bandwidth and server requirements
- monitoring performance, risk assessment and quality assurance.

Experts and stakeholders outlined several considerations for using Al auto-contouring technologies in the NHS. Al auto-contouring technologies should:

- conform to national and international guidelines
- come with detailed information on training datasets used, software optimisation and validation
- be DICOM compatible (DICOM stands for Digital Imaging and Communications in Medicine and is the standard for the communication and management of medical imaging information and related data⁵)
- be vendor neutral and able to integrate into current workflows easily and automatically
- include all relevant organs at risk and targets including additional structures not manually contoured
- be customisable, such as which structures to include, structure names, colours and fit with local protocols.

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7. CLINICAL EVIDENCE SELECTION

7.1. Search strategy

Search strategies were based on those devised during the initial scoping searches by NICE Information Services with some amendments. The search strategies used relevant search terms, comprising a combination of indexed keywords (e.g., Medical Subject Headings, MeSH) and free-text terms appearing in the titles and/or abstracts of database records and were adapted according to the configuration of each database. No date, language or publication status (published, unpublished, in-press, and in-progress) limits were applied. Searches for clinical and cost-effectiveness were combined and carried out in one search strategy.

Databases searched were Medline (including Medline in Process), Embase, Cochrane, INAHTA, CEA Registry and ScharrHUD. Additional trial registries searched were Clinicaltrials.gov (NLM) and ICTRP (WHO). The websites of the individual companies were searched; NICE and SIGN websites were searched for related guidelines, and MAUDE and MHRA were searched for adverse events data. Following deduplication (in Endnote), a total of 933 records of potentially relevant evidence on clinical and/or cost effectiveness were retrieved. The company submission references were also scanned for additional references—from which five new articles were identified.

The search strategies are presented in Appendix A.

7.2. Study selection

The abstracts and titles of references retrieved by the searches were screened for relevance (facilitated by the Rayyan platform). Full paper copies of potentially relevant studies were obtained. The retrieved articles were assessed for inclusion against pre-specified inclusion/exclusion criteria. At each stage of screening, a minimum of 10% of records were independently screened by a second reviewer. Discrepancies were resolved by discussion, with involvement of a third reviewer, where necessary. All duplicate papers were excluded.

This assessment looked across a range of evidence types, including RCTs and real-world evidence, to inform clinical effectiveness.

The following study types were excluded:

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- Animal models
- Pre-clinical and biological studies
- Narrative reviews, editorials, opinion pieces
- Meeting abstracts for studies where full-text papers were available. If studies were only
 available as meeting abstracts, inclusion depended on sufficient information being
 available to offer meaningful critique.
- Studies not available in the English language.

Eligible studies assessed a scoped intervention in a population of people having radiotherapy treatment planning for external beam radiotherapy.

Studies were included if the comparator did not match the scope or if the outcomes did not match the scope, provided the outcomes appeared reasonable and could offer useful information in the context of the appraisal. The EAG's general approach was one of 'best evidence synthesis', focusing on the most useful and rigorous evidence available over all possible included studies. Because of the large number of included technologies, the EAG focused on prospective studies where they were available. At least one full text article or abstract was identified for detailed assessment for each technology. This was supplemented with additional data from other studies where it was considered appropriate.

Where no prospective studies were available for a given technology, the most relevant retrospective studies were sought. If no retrospective studies were available either, then conference abstracts were reviewed. If retrospective studies were available for a technology with one or more prospective studies, a brief commentary on these were provided.

A PRISMA flow diagram is provided as Appendix B.

Data were extracted from included studies by one reviewer into a bespoke database and a sample of at least 10% was checked by another reviewer. Due to time and resource constraints associated with conducting an EVA, the EAG did not conduct formal risk of bias assessment of the included studies. Informally, studies were prioritised based on a) study design (prospective/retrospective), b) currency and c) sample size. Blinding was also noted, where applicable (depending on the study design and outcomes assessed).

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8. CLINICAL EVIDENCE REVIEW

The EAG identified a total of 79 reports that were potentially relevant to the present decision problem. Eight full text papers were predominantly prospective in design, 19 were predominantly retrospective, and 52 were conference abstracts; 73 of the reports looked at a single included technology against a relevant comparator. The remaining six reports (all conference abstracts) compared two or more of the included technologies. Table 3 presents an overview of the evidence landscape.

Data was extracted for all eight prospective full text papers. This covered four technologies: DLCExpert, Limbus Contour, MIM Contour ProtégéAI, and MRCAT Prostate plus Autocontouring. For technologies that did not have a prospective full text paper, the EAG extracted the highest quality and most relevant–based on currency and sample size—retrospective full text paper (reasons for selection for each of the extracted papers are provided in section 8.5). This included five articles, covering five technologies: AI-Rad Companion Organs RT*, INTContour, MVision Segmentation Service, OSAIRIS, and RayStation. For the last two technologies, ART-Plan and AutoContour, the EAG extracted data from a selected high quality conference abstract each. Data was therefore extracted from a total of 15 prioritised papers. These are underlined and in bold in Table 3.

Table 4Table 3: Evidence landscape

| Technology | Prospective studies (full text) | Retrospective studies (full text) |
|----------------------------|---------------------------------|---|
| Al-Rad Companion Organs RT | | Ginn 2023 ⁶ Hu 2023 ⁷ Marschner 2022 ⁸ |
| ART-Plan | | |
| AutoContour | | |

^{*} The EAG notes that Ginn 2023 had a mixed prospective and retrospective design.

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| DLCExpert | Hague 2020 ²¹ Van Dijk 2020 ²² Vaassen 2021 ²³ | Walker 2022 ²⁴ Vaassen 2022 ²⁵ Brouwer 2020 ²⁶ Brunenberg 2020 ²⁷ Lustberg 2018 ²⁸ |
|-------------------------------------|---|---|
| INTContour | | <u>Duan 2022⁴²</u> Chen 2020 ⁴³ |
| Limbus Contour | Radici 2022 ⁴⁴ Wong 2021 ⁴⁵ Wong 2020 ⁴⁶ | Wong 2021 ⁴⁷ D'Aviero 2022 ⁴⁸ Zabel 2021 ⁴⁹ |
| MIM Contour ProtégéAl | <u>Urago 2021⁵⁸</u> | Lastrucci 2022 ⁵⁹ |
| MRCAT Prostate plus Auto-contouring | Kuisma 2020 ⁶⁶ | |
| MVision Segmentation Service | | Strolin 2023 ⁶⁸ Kiljunen 2020 ⁶⁹ |
| OSAIRIS | | Oktay 2020 ⁷² |
| RayStation | | Almberg 2022 ⁷³ Rigaud 2021 ⁷⁴ |
| Multiple tech comparison | | |

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Table 3: Evidence landscape

| Technology | Prospective studies (full text) | Retrospective studies (full text) | Conference abstracts |
|----------------------------|---|---|--|
| Al-Rad Companion Organs RT | | Ginn 2023 ^{6*} Hu 2023 ⁷ Marschner 2022 ⁸ | Peng 2022 ⁹ Ginn 2022 ¹⁰ Maduro Bustos 2022 ¹¹ |
| ART-Plan | | | Blanchard 2020 ¹² Nachbar 2021 ¹³ Buatti 2022 ¹⁴ Costea 2021 ¹⁵ Rivera 2020 ¹⁶ Gregoire 2020 ¹⁷ |
| AutoContour | | | Leyva 2022 ¹⁸ Bice 2022 ¹⁹ Marasco 2022 ²⁰ |
| DLCExpert | Hague 2020 ²¹ Van Dijk 2020 ²² Vaassen 2021 ²³ | Walker 2022 ²⁴ Vaassen 2022 ²⁵ Brouwer 2020 ²⁶ Brunenberg 2020 ²⁷ Lustberg 2018 ²⁸ | Van de Glind 2022 ²⁹ Alty 2022 ³⁰ Boukerroui 2022 ³¹ Vaassen 2021 ³² Gibbons 2021 ³³ Geng 2021 ³⁴ Brunenberg 2020 ³⁵ Hague 2020 ²¹ |

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^{*} Note that the Ginn 2023 study has both prospective and retrospective components (see Table 4 for more details)

| INTContour | | Duan 2022 ⁴² | South 2020 ³⁶ Liu 2020 ³⁷ Poortmans 2019 ³⁸ Lustberg 2018 ²⁸ Aljabar 2018 ³⁹ Bakker 2018 ⁴⁰ Gooding 2018 ⁴¹ |
|-------------------------------------|---|--|---|
| INTOCHECUI | | Chen 2020 ⁴³ | |
| Limbus Contour | Radici 2022 ⁴⁴ Wong 2021 ⁴⁵ Wong 2020 ⁴⁶ | Wong 2021 ⁴⁷ D'Aviero 2022 ⁴⁸ Zabel 2021 ⁴⁹ | Kirkby 2022 ⁵⁰ Kucharczyk 2022 ⁵¹ Coughlan 2022 ⁵² Wong 2020 ⁵³ Wong 2020 ⁵⁴ Wong 2019 ⁵⁵ Fong 2019 ⁵⁶ Wong 2019 ⁵⁷ |
| MIM Contour ProtégéAI | <u>Urago 2021⁵⁸</u> | Lastrucci 2022 ⁵⁹ | Lancellotta 2022 ⁶⁰ Tsai 2022 ⁶¹ Martinez 2022 ⁶² Kruzer 2020 ⁶³ Cole 2020 ⁶⁴ Halley 2020 ⁶⁵ |
| MRCAT Prostate plus Auto-contouring | Kuisma 2020 ⁶⁶ | | Maspero 2018 ⁶⁷ |
| MVision Segmentation Service | | <u>Strolin 2023⁶⁸</u> Kiljunen 2020 ⁶⁹ | Suresh 2021 ⁷⁰ Heikkila 2020 ⁷¹ |
| OSAIRIS | | Oktay 2020 ⁷² | |

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| RayStation | Almberg 2022 ⁷³ | Liu 2022 ⁷⁵ |
|--------------------------|----------------------------|------------------------------|
| | Rigaud 2021 ⁷⁴ | Sidorski 2021 ⁷⁶ |
| Multiple tech comparison | | Borkvel 2022 ⁷⁷ |
| | | Rong 2022 ⁷⁸ |
| | | Liao 2022 ⁷⁹ |
| | | Yuan 2022 ⁸⁰ |
| | | Gorgisyan 2022 ⁸¹ |
| | | Doolan 2021 ⁸² |

Bold and underlined text = extracted study (see Table 4)

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Table 4: Study design and characteristics of prioritised clinical effectiveness studies

| Reference | Study design & country | Sample & structures | Intervention | Comparator(s) | Outcomes | Study limitations |
|---------------------------------|--|---|--|---|--|--|
| Al-Rad Com | panion Organs R1 | (number of prioriti | sed studies = 1) | | | |
| Ginn 2023 ⁶ | Part retrospective and part prospective USA | Retrospective 100 cases H&N (x46) Pelvic (x56) Prospective 20 cases H&N (x10) Pelvic (x10) | Auto-contours were generated by Siemens using the stand-alone automatic contouring application (which does not allow any user specific configuration). | Retrospective: original clinical contours used to benchmark the automatic contouring software were generated by several different dosimetrists Prospective: manual contouring was performed by a trained medical dosimetrist. All patients and contouring tasks were assigned in a random order to mitigate bias from having previously seen either the automatic or manual contours | Time-saving metrics (contouring time, including the time required to edit automatic contours) Qualitative assessment (scale scoring of clinical acceptability by physicians on a 4-point scale) Geometric analysis (DICE, HD, Jaccard) | No information on how patients were selected. No comparative element to the qualitative assessment. The timing component of the study only used a small subset of the total dataset. |
| ART-Plan (n | umber of prioritised | studies = 1) | | | | |
| Blanchard 2020 ¹² | Abstract (prospective) France | 100 cases H&N | Auto-contours were generated by ART-Plan. Two subsets were created: | Manual contouring was performed by five or two experts, depending on the OARs | Qualitative assessment (scale scoring of clinical usability by experts, including intra- and inter-observer | Abstract only means that reporting is brief hence there is uncertainty considerable over |

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| Reference | Study design & country | Sample & structures | Intervention | Comparator(s) | Outcomes | Study limitations |
|-----------------------------|------------------------------------|--------------------------------------|--|--|--|---|
| | | | Auto (v.1.0): trained using 6,000 cases per organ. | | rating between the two datasets) | exact methods and evaluation. |
| | | | Auto (v.2.0): trained using 21,000 cases per organ. | | Geometric analysis (DICE, HD) | |
| AutoConto | ır (number of priorit | ised studies = 1) | | | | |
| Leyva 2022 ¹⁸ | Abstract (retrospective) USA | 224 structures H&N | Auto-contours were generated by AutoContour. No local training was reported. | Clinically approved organ structures | Geometric analysis (DICE, mean surface distance) | Abstract only means that reporting is brief hence there is uncertainty considerable over exact methods and evaluation. |
| DLCExpert | number of prioritise | ed studies = 3) | | | • | |
| <u> </u> | Prospective UK | 38 cases H&N | Auto-contouring models were generated using DLCExpert: 1) CT models (x2) 2) Diagnostic MRI model 3) Planning MRI model 4) MR-Linac model | Manual contouring was performed by a clinician using MR scans | Qualitative assessment (scale scoring of goodness of fit by independent observers on a 7- point scale) | The MRI model was trained and tested on a small dataset from a single institution. No information on how scans used for testing were selected. No |
| | | The CT model was trained on 72 local | | Geometric analysis (DICE, distance to agreement) | blinding during assessment is reported. | |

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| Reference | Study design & country | Sample & structures | Intervention | Comparator(s) | Outcomes | Study limitations |
|--------------------------------|-----------------------------|---------------------|--|--|--|---|
| | | | scans and 549 vendor scans. The MRI model was trained on 100 and validated on 28 datasets. | | | |
| Van Dijk 2020 ²² | Prospective The Netherlands | 104 H&N | Auto-contours were generated by DLCExpert, trained on 589 local head and neck cancer patients. | 1. Manual contouring was performed by a dedicated team of experts according to international consensus delineation guidelines. Clinically available atlas contours were often used as a basis for the contouring. 2. Atlas contouring was performed by WorkflowBox 1.4, Mirada Medical, designed using a representative set of 30 H&N patients taken from the training set. | Time-saving metrics (time for two RO's—an expert and a beginner—to adjust contours as necessary to make them suitable for clinical use) Qualitative assessment (blinded testing via a Turing test of ability to distinguish auto-contours from human generated contours) Dosimetric analysis (Dose constraints were computed and compared) | The basis for manual contouring was often atlas contours, meaning that the study may be biased towards atlas contouring. The time evaluation, Turing test, and interobserver evaluation were performed on a subset of the sample. |

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| Reference | Study design & country | Sample & structures | Intervention | Comparator(s) | Outcomes | Study limitations |
|-------------------------------|-----------------------------|---|---|--|---|--|
| | | | | | Geometric analysis (DICE, HD, absolute dose difference | |
| Vaassen 2021 ²³ | Prospective The Netherlands | 20 cases Non-small cell lung cancer | Auto-contours were generated by a prototype deep-learning contouring method (DLCExpert), followed by manual adjustment. No algorithm training was reported. | 1. Manual contouring (and adjustments to Al and Atlas contours) was performed by one experienced RTT. 2. Atlas contouring was performed by the commercial atlasbased method (EmbraceCT, Mirada Medical) | Dosimetric analysis (comparison of dose-volume histograms between manual, atlas, auto, and auto + adjusted contours, including estimation of changes in dose distributions on treatment plans) Geometric analysis (DICE, HD) | Small sample size and relatively small number of outcomes measured. All treatment plans were optimized by a "knowledge-based planning model"; it is unclear how this may have impacted the findings. |
| INTContour | (number of prioritise | ed studies = 1) | 1 | 1 | | |
| Duan 2022 ⁴² | Retrospective USA | 23 cases Prostate | Auto-contours were generated by INTContour, trained on 84 local cases. | Manual contouring was performed by a resident RO, and then were reviewed and modified by an RO with 20 years of clinical experience. These were then further reviewed by a | Qualitative assessment (scale scoring of clinical acceptability by an RO on a 5-point scale) Dosimetric analysis (Dose constraints | Small sample size and a small training set. A single RO performed all the manual contouring, which may have led to errors in the reference contours. |

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| Reference | Study design & country | Sample & structures | Intervention | Comparator(s) | Outcomes | Study limitations |
|------------------------------|------------------------|---|---|---|--|--|
| | | | | third RO with 10 years' experience. | were computed and compared) | |
| | | | | | Geometric analysis (DICE, HD, mean surface distance, inter-observer variability analysis) | |
| Limbus Con | ntour (number of pri | oritised studies = 3 |) | | | |
| Radici 2022 ⁴⁴ | Prospective Italy | 12 cases H&N (x3) Prostate (x3) Rectum (x3) Breast (x3) | Auto-contours were generated by Limbus Contour (using the same CT scans as manual contouring), reviewed by the competent RO and, if necessary, the contours were modified. No local training of the algorithm was reported. | Manual contouring was performed by four different RO's, each with expertise in the specific clinical setting, following national and international consensus guidelines. Additional imaging was used, if necessary. | Time-saving metrics (contouring time; absolute and relative differences) Dosimetric analysis (differences in dose volume histograms) Geometric analysis (DICE, volume variation, centre of mass shift) | Very small sample sizes. No information on how patients were selected. |
| Wong 2021 ⁴⁵ | Prospective Canada | ~606 RT plans. A selection of which were assessed via scale scoring and geometric analysis: | Limbus Contour auto- segmentation software version 1.0.22 was implemented at two centres. Generated contours underwent manual review and were | Unedited auto- contours were compared to the edited treatment approved contours | Qualitative assessment (scale scoring of the degree of edits required by RTT's/D's and RO's on a 5-point scale) | Relatively limited outcome metrics evaluated. No independent controls (i.e. no manual contours, and hence no |

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| Reference | Study design & country | Sample & structures | Intervention | Comparator(s) | Outcomes | Study limitations |
|-----------------------------|--------------------------|--|--|--|--|--|
| | | CNS (x27) H&N (x54) Prostate (x93) | edited as needed prior to being used for treatment planning. | | Geometric analysis (DICE, HD) | comparative component for the qualitative assessment). Only a relatively small proportion of included plans were formally assessed, which may bias the outcomes. |
| Wong 2020 ⁴⁶ | Prospective Canada | 60 cases CNS (x20) H&N (x20) Prostate (x20) | Auto-contours were generated using the auto-segmentation models in Limbus Contour. There was no local training of the algorithm. | Manual contouring was performed by volunteer ROs using the same scans as used for Al contouring: three ROs for CNS, four for H&N, and three for prostate. ROs contoured according to their training and clinical judgement without viewing preexisting contours. | Time-saving metrics (contouring time) Geometric analysis (DICE, HD) | Small sample size for each structure. Only certain patients were eligible for inclusion, and patient selection was unclear. Limited number of outcomes metrics. |
| MIM Contou | <i>r ProtégéAI</i> (numb | er of prioritised stud | dies = 1) | | | |
| Urago 2021 ⁵⁸ | Prospective Japan | 51 cases H&N (x30) Prostate (x21) | Auto-contours were generated by MIM Contour ProtégéAl (ver. 0.9). No local training of the algorithm occurred. | 1. Manual contouring was performed by three RO's for patients with prostate cancer, and five RO's for patients with H&N cancer. | Time-saving metrics (delineation time) Qualitative assessment (visual | Small sample size for each structure. Uncertainty over how patients were selected. |

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| Reference | Study design & country | Sample & structures | Intervention | Comparator(s) | Outcomes | Study limitations |
|-------------------------------|------------------------|---|---|--|---|---|
| | | | | 2. Atlas contouring was performed by the commercial software MIM Maestro (ver. 7.0.3) | evaluation of errors by RO's) Geometric analysis (DICE, HD, mean distance to agreement | |
| MRCAT Pro | state plus Auto-co | intouring (number | of prioritised studies = 1) | | 1 | |
| Kuisma 2020 ⁶⁶ | Prospective Finland | 65 cases Prostate | Auto-contours were generated by MRCAT after all standard manual delineations had been finished. No local training occurred. | Manual contouring was performed by the clinical investigator (CI) RO's. The CI was blinded to the structures contoured by the RO, and vice versa | Geometric analysis (DICE, HD, absolute volume difference, centre of mass shift) | Limited range of (only geometric) outcome metrics collected. A radiotherapist inspected the autocontours for outliers (e.g. cases where auto-contouring clearly misperformed). It is unclear how this may have impacted |
| MVision Se | gmentation Servic | e (number of prioriti | ised studies = 1) | | | the findings. |
| Strolin 2023 ⁶⁸ | Retrospective Italy | 111 cases H&N (x20) Breast (x20) Abdomen (x21) | Auto-contours were generated by MVision and manually adjusted if necessary. The time between manual contouring and | Manual contouring was performed by at least one senior and two in-training ROs, according to institutional protocols. | Time-saving metrics (contouring time, including time for manual | Small sample size for each structure. Uncertainty on how patients were selected. |

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| Reference | Study design & country | Sample & structures | Intervention | Comparator(s) | Outcomes | Study limitations |
|-------------------------------|-------------------------|--|---|--|--|---|
| | | Thorax (x20) Male pelvis (x20) Female pelvis (x10) | modifying auto-contours was at least six months to remove potential bias. No local training of the algorithm occurred. | An Atlas-based approach was permitted only for lung segmentations | adjustment of auto-contours) Qualitative assessment (scale scoring of level of satisfaction by RO's on a 5-point scale) Geometric analysis (DICE, mean distance agreement) | |
| Oktay 2020 ⁷² | Retrospective UK | 178 cases Pelvic male (x132) H&N (x46) | Auto-contours were generated by an Al model trained using a subset of the data. For pelvis the algorithm was trained on 345 scans and validated on 42 scans. For head and neck it was trained on 176 scans and validated on 20 scans. | Manual contouring was performed by one expert and later reviewed by another oncologist | Time-saving metrics (contouring time) Geometric analysis (DICE, HD, mean surface-to-surface distance) | Uncertain how patients were selected. Limited number of outcomes metrics. |
| RayStation | (number of prioritise | ed studies = 1) | | | | |
| Almberg 2022 ⁷³ | Retrospective Norway | 30 cases Left-sided breast cancer | Deep learning segmentation models were trained by | Manual contouring. The heart, left anterior descending artery, and | Qualitative assessment (scale scoring of level of | Uncertain how patient datasets were selected. |

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| Reference | Study design & country | Sample & structures | Intervention | Comparator(s) | Outcomes | Study limitations |
|-----------|------------------------|---------------------|--|--|--|---|
| | | | RaySearch on 170 cases from two centres in Norway. The final model was integrated into RayStation v9B. | thyroid gland were delineated by oncologists (delineation of the heart was based on a previous atlas). The remaining structures were delineated by radiation therapists. | satisfaction by RO's on a 4-point scale) Dosimetric analysis (changes in dose distributions on OARs) Geometric analysis (DICE, HD) | Uncertain generalisability as training from local cases were used. Time savings are discussed but not formally evaluated. |

Abbreviations: CI = clinical investigator. CNS = central nervous system. CT = computerised tomography. D = dosimetrist. DICE = Dice similarity coefficient. H&N = head and neck; HD = Hausdorff distance. MRI = magnetic resonance imaging. H&N = head and neck. OAR = organs at risk; RO = radiation oncologist. ROI= regions of interest. RTT = radiation therapist. UK = United Kingdom. USA = United States of America.

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8.1. Overview of methodologies of all included studies

All studies described in Table 4 had some methodological limitations or misalignment with the NICE decision problem for this appraisal.

8.2. Study design, intervention and comparator

Of the 15 prioritised papers, eight were prospective, five were retrospective (although one of these had a part prospective and part retrospective design) and two were conference abstracts (one of which was retrospective while the other appeared to be prospective).

Study methods were often poorly reported. The sequence of events, such as when and how patient selection occurred, and when manual and auto-contours were performed, was frequently unclear. It was often challenging to ascertain whether a study was prospective or retrospective in design. Similarly, it was regularly unclear what the intervention consisted of. Software or algorithm version numbers were typically unreported, and it was not always clear whether local training or other user-specific adjustments might have occurred, and if so, what difference this might have made to the "off the shelf" versions of the technology. This impacted on the generalisability of the evidence base. It was also often uncertain whether the intervention was the named technology, the algorithm that powers it, or the local adjustments or scripting algorithm that was performed in the tool.

The most common comparator was manual contouring (in 13 of the 15 prioritised studies)—these typically were used as a reference contour, against which autocontours were judged. Sometimes manual contouring was performed by a single radiation oncologist, after which the contour may or may not have been reviewed, sometimes by a single of sometimes multiple radiation oncologists. Consequently, there was in some studies ambiguity about the reliability of the "ground truth" contour. SCM commentary also noted that there are tools in widespread use in treatment planning systems to (semi-) automate contouring for certain structures based on CT values, so even "manual contouring" isn't always entirely manual. There was, for example, at least one instance in the prioritised studies where atlas contours were used as a basis for manual contouring (Van Dijk 2020²²)

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The two studies that did not use manual contours as a reference instead used unedited auto-contours as the comparator to edited auto-contours. Four studies used atlas-contouring alongside manual contouring as a comparator; in one of these studies, atlas contouring was only used for lung segmentations.

Evidence gap: There was a paucity of high quality, prospective studies. This may be understandable, as prospective studies are often more time-consuming and hence more expensive to conduct, but they offer greater control over potential confounding variables and are less susceptible to selection, allocation, and recall bias.

Generalisability gap: The description of the intervention was often poor, meaning that it was unclear which version of a technology was being used.

Alongside this, there is the question of whether local training sets had been used to train a technology, and if so, how this might affect the generalisability of the findings to other clinics or hospitals using ostensibly the same technology.

However, the EAG notes that the question of local training does not apply to all software—some, such as Al-Rad, does not have the functionality to use local training sets.

8.3. Samples and structures

Sample sizes were often small—mostly under 100 cases. Larger sample sizes tended to come from retrospective studies, although in such studies it was often unclear how samples were selected. Some studies reported how patients were selected, for example, as consecutive patients from a certain date in the author's institution. However, it was often unclear how patients were selected and why.

The most commonly assessed structures were head and neck (11 studies) and the pelvis/prostate (10 studies). Other sites included thorax/lungs/breast (3 studies); rectum (1 study), abdomen (1 study), CNS (1 study). Only three of the prioritised studies were from the UK (one prioritised study for DLCExpert, one for OSAIRIS, and one for RayStation).

Evidence gap: First, sample sizes were generally small, but more concerning is that details were often lacking in how the patients, cases or scans were selected for the study. This raises concerns of potential selection bias. Second, the

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evidence base is focused on a) head and neck cancer and b) prostate cancer—other parts of the body are less well covered by the evidence.

Generalisability gap: The prioritised literature was global in scope, with only three of the prioritised studies coming from the UK. This raises generalisability concerns, though given the technical nature of most studies, the EAG expects that the evidence will be reasonably generalisable across borders. The rise in guidelines endorsed by regional and international bodies should further improve generalisability^{84,85}.

8.4. Outcomes reported

Outcome metrics were classified into four subtypes, based on Mackay et al⁸⁶: time-saving, qualitative, dosimetric, and geometric. In line with the wider literature, most of our studies focused on geometric outcomes. Few studies were designed to capture real-world impact or patient outcomes: there was a lack of real-world, prospective trials.

Fourteen of the studies reported geometric analyses. These are the quantitative assessment of similarity between a contour produced by an included technology and a ground truth contour. Metrics include the Dice Similarity Coefficient (DICE), the Hausdorff distance (HD), Jaccard indices, and the mean distance to agreement. These were by far the most reported outcomes, even though research suggests that they may not be clinically meaningful—for example there is often only a weak correlation between geometric and dosimetric outcomes⁸⁶⁻⁸⁹. It has been suggested that a more practical assessment procedure should mimic clinical practice as much as possible⁸⁷. As such, the categories of metrics described below (qualitative, dosimetric, and time-saving metrics) may be more meaningful.

Eight studies used qualitative assessments of auto-contours. This was most often via some form of scale scoring, where radiation oncologists or other experts would rank auto-contours on an ordinal scale according to whether they are, for example, "ready to use", or would require "major" or "minor" adjustments before use, or are "completely unacceptable". One study used a blinded Turing test, where assessors had to guess whether a contour had been created via auto- or manual contouring.

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Seven studies measure time savings from the use of auto-contours. This metric should not just measure the amount of time taken for the creation of an auto- vs a manual contour, but also any time needed to check and edit auto-contours and prepare them for clinical use. Time-saving outcomes are reported both in the clinical section of the report and also in Table 6, on page 68, in the economic evidence section.

The least reported metrics, reported by just five studies, were dosimetric outcomes. These compare radiotherapy dosing plans generated for manual contours as against auto-contours, for example by comparing dose volume histograms and/or by assessing dose constraints.

Evidence gap: Future studies should focus on outcomes beyond geometric analysis. Compared to geometric analyses, satisfaction scores offer a more practical real-world test of the usefulness of plans, while dosimetric analyses are more applicable to potential patient outcomes. Time-saving metrics are required to understand the cost-effectiveness of auto-contouring technology. However, they are often not reported, or only partially reported (e.g., the time required for editing or correcting auto-contours is not reported). As the technology potentially becomes more embedded in systems, then evidence of impact on patients should be prioritised, ideally via randomised, real-world, prospective trials (if ethically possible).

8.5. Results from the evidence base

Short narrative summaries of the evidence base for each technology are provided. For the prioritised extracted studies, see Table 4 for more details on study characteristics, and Table 11 (in the appendices) for a more detailed breakdown of the results. Following this results section is the EAG's interpretation of the clinical evidence (section 9), which summarises the results as follows:

- 1. That there is strong evidence for the potential usefulness of Al-based autocontouring.
- 2. That while most auto-contours were clinically useful, there were always some that either needed major editing or were unusable. The same structures

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- repeatedly had lower DICE scores or were marked down in qualitative assessment.
- 3. That while timesaving has been reported by many studies, only reports that include the time taken for manual correction or editing of auto-contours are useful.
- 4. That the evidence base is focused predominantly on a) head and neck cancer and b) prostate cancer.
- 5. That it is not possible to say with any certainty that one software is more effective to another.
- 6. That those studies that included atlas contouring as a comparator were conclusive in showing that Al-based auto-contouring gives superior results to atlas contouring.

DICE is the most reported metric below. While there is no clear consensus on what an "acceptable" DICE score is, Strolin 2023⁶⁸ suggests that a score of ≥0.8 is considered agreement between two radiation oncologists. However, expert commentary for this EVA has suggested that this cut-off may not be meaningful as it varies across structures.

8.5.1. Al-Rad Companion Organs RT

The EAG identified three retrospective studies on Al-Rad. Ginn 2023⁶ was prioritised for extraction due to its recency, its relatively large sample size, and because it had a partial prospective study design. The study involved 100 patients in its retrospective analysis, and 20 in its prospective component—both parts of the study included a mix of head and neck and pelvis scans. The study reviewed the time savings accrued, concluding that editing auto-contours was faster than manual contouring, with an average time saving of 43.4% or 11.8 minutes per patient. Over 95% of the auto-contours were clinically usable or only needed minor edits to make them so. Problematic structures for the algorithm included the prostate, the oesophagus, and the optic nerves. The authors concluded that the results were promising, but that human review and some editing remains required prior to use.

The other retrospective papers for Al-Rad were Hu 2023⁷ and Marschner 2022⁸. Hu looked at a wider range of structures (head and neck, thorax, breast, and the male

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and female pelvis) and, similar to Ginn, found that auto-contours were clinically acceptable and only required minimal editing. Marschner 2022 focused on the algorithm used in Al-Rad rather than the technology itself and reported high alignment for both geometric and qualitative analysis. The few auto-contours that required manual corrections were mostly for the heart and rectum.

8.5.2. ART-Plan

The EAG identified six abstracts for ART-Plan (no full text studies were identified). Blanchard 2020¹² was prioritised because of its large sample size and its incorporation of a blinded analysis. The study included 100 head and neck cases and looked at versions of ART-Plan that had been trained on either 6000 cases per organ (v.1.0) or 21,000 cases per organ (v.2.0). Both geometric and qualitative analyses showed higher alignment to manual contours for v.2.0 over v.1.0. Auto-contours that were deemed clinically usable (i.e., either "acceptable" or "acceptable after minor corrections") ranged from 100% for mandibles to 92% for the submandibular gland. The authors concluded that the auto-contours were very close to expert contouring and clinically usable in most cases.

Of the remaining abstracts identified for ART-Plan, Rivera 2020¹⁶ focused on breast cancer, Nachbar 2021¹³ on the pelvis, and Buatti 2022¹⁴, Costea 2021¹⁵, and Gregoire 2020¹⁷ on head and neck. Gregoire reported on time savings, finding that two minutes on average were needed to correct the contours after auto-segmentation versus 30 minutes for manual delineation. Three of the abstracts, Costea 2022, Gregoire 2020 and Nachbar 2021, noted in their conclusions that dosimetric analysis is required to complement the geometric analyses presented.

8.5.3. AutoContour

The EAG identified three abstracts for AutoContour (no full text studies were retrieved). Leyva 2022¹⁸ was prioritised for extraction because of its larger sample size. The study included 224 head and neck structures and focused on a geometric analysis of auto-contours vs manual contours. Good agreement was found between contours, with DICE scores of greater than 0.7 for 60% of the sample included. A larger variance in DICE scores was seen for small structures, such as pituitary, chiasm and cochlea. The authors concluded that the tool was efficient in removing inter-user segmentation variability that occurs with manual segmentation, but that External assessment group report: Artificial intelligence auto-contouring to aid radiotherapy treatment planning [GID-HTE10015]

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while good quantitative agreement was found, further analysis was needed using a randomized qualitative scoring method and a larger segment sample.

One of the other abstracts, Bice 2022¹⁹, looked at the head and neck, thorax, and pelvis regions, while Marasco 2022²⁰ focused on prostate cancer patients. Bice 2022, unlike Leyva, included a qualitative assessment, which incorporated an element of time-saving analysis. On a scale of 1 ("zero edits required") to 5 ("entirely unusable output"), with 3 indicating "no time saved by using the software after editing", the mean score for the auto-contours generated was 2.09. Marasco 2022, focusing on geometric analysis on the delineation of the rectum, reported an average DICE score of 0.8 between manual and auto-contours.

8.5.4. DLCExpert

The EAG identified three prospective studies, four retrospective studies, and 15 conference abstracts for DLCExpert. The three prospective studies were prioritised for extraction: Hague 2020²¹ and Van Dijk 2020²² both focused on the head and neck, while Vaassen 2021²³ selected non-small cell lung cancer patients. Hague 2020 collected qualitative and geometric outcomes that compared manual with autocontouring using CT scans and MRI scans (MR offers improved soft tissue contrast and may therefore be superior to CT for auto-contouring). Qualitative assessment found that auto-contours were clinically acceptable for diagnostic and planning MRI scans, but not for Magnetic Resonance Linear Accelerator (MR-Linac) scans. Geometric analysis of auto-contours from the MR-Linac scans were correspondingly lower, with particularly low scores for the left and right submandibular glands (mean DICE of 0.1 and 0, respectively). The authors concluded that MR auto-contouring shows promise, with statistically improved performance vs a CT based model, although performance is affected by the method of MR acquisition and further work is needed.

Van Dijk 2020 reported greater time savings and improved qualitative scores for Al over atlas generated contours. Dosimetric analysis also showed a lower mean dose for organs at risk for Al over atlas contours. Al-contours further showed an improved alignment via geometric analysis with manual contouring compared to atlas contours for the thyroid gland, and all upper digestive tract and airway organs (except the oral cavity). The authors concluded that a suitably trained deep learning algorithm

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outperformed atlas contouring for the majority of head and neck organs at risk, and that deep learning contouring had the potential to replace atlas contouring currently used in their institution.

Vaassen 2021 similarly found that dose differences for the various AI generated treatment plans, when evaluated against the treatment plans generated via manual contouring, were small (on average below 1 Gy/1%) and that the majority of treatment plans fulfilled the planning objectives. The authors noted some instances where organs, contoured by AI, would have received doses above the clinical constraint: this happened on occasion for the heart, the lungs and the spinal cord. From the geometric analysis, the highest DICE scores were for lungs (1.0), while the lowest DICE score was for the oesophagus (0.46). The authors concluded with some specific thoughts about the checking required for individual structures. For example, the authors suggested that for the heart (Heart D_{mean}):

- "Overlap between heart and PTV: the heart contour should be checked and adjusted.
- No overlap between heart and PTV: only a quick check is sufficient."

Similar heuristics were set out for the spinal cord, oesophagus and mediastinum.

Among the retrospective studies, Walker 2022 investigated head and neck and prostate structures, finding time savings compared to the existing clinical method (which may have differed slightly depending on the three centres) of 5.9 +/- 3.5 min for prostate contouring, and 16.2 +/- 8.6 min for head and neck structures. The results also showed acceptable geometric alignment.

Brouwer 2020²⁶ and Brunenberg 2020²⁷ both focused solely on the head and neck. Brouwer 2020 found that while most contours needed very little editing, some structures occasionally required large adjustments. The authors reported that autocontouring tended to under-segment the desired contour (i.e., that enlarging of the contour was needed). Brunenberg 2020 similarly reported—using geometric and qualitative analysis—that auto-contours provided a reasonable starting point for delineation, noting that some organs at risk were better contoured than others.

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Vaassen 2022²⁵ focused on the thorax region, comparing auto-contours to manual contours using qualitative real-world analysis. The authors reported that, similar to Brouwer 2020, while most contours needed very little editing, some structures occasionally required large adjustments, and that in such cases the auto-contours tended to need enlarging.

Finally, Lustberg 2018²⁸ looked at auto-contouring for lung cancer. A prototype of DLCExpert was trained with scans from 450 patients and evaluated on 20 patients (vs manual and atlas contouring). The median time for manual contouring was 20 min. Atlas contouring saved a median time of 7.8 min, while deep learning contouring saved a median time of 10 min. Deep learning also scored better (scale scoring) than atlas contours for most, but not all, structures. The authors concluded that deep learning contouring showed promising results compared to existing solutions.

Because of the large number of full text studies, the EAG offers no commentary on the conference abstracts for DLCExpert.

8.5.5. INTContour

The EAG identified two retrospective studies. Duan 2022⁴² was prioritised because of its recency. The study focused on 23 people with prostate cancer. Geometric analysis showed good alignment between auto- and manual contours, with slightly lower scores for the seminal vesicles and penile bulb (DICE scores of 0.72 and 0.53 respectively). A qualitative analysis showed that 95.7% of the auto-contours were either "perfect" or "acceptable". And dosimetric analysis similarly showed good alignment with the manual approach, with no statistically significant differences between the two for organs at risk, except for the bladder (where the auto-contour had generated a lower dose). The authors concluded that, using the investigated model, the implementation of an automated prostate treatment planning process was clinically feasible.

The second retrospective study, Chen 2020⁴³, focused on head and neck images, comparing deep learning contours with atlas and manual contouring. Geometric and dosimetric analyses both found that deep learning contours were more closely matched with that of manual contours when compared with atlas contours.

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8.5.6. Limbus Contour

The EAG identified three prospective studies, three retrospective studies, and eight conference abstracts. The three prospective studies were prioritised for extraction: Radici 2022⁴⁴, Wong 2021⁴⁵ and Wong 2020⁴⁶. Radici 2022 investigated 12 cases: three head and neck, three prostate, three rectum and three breast. Time savings were identified for head and neck contours (-65%), breast (-46%), prostate (-18%), and rectum contours (-17%). Good geometric alignment was found between auto-and manual contours, although the penile bulb scored slightly lower (DICE score of 0.39). Dose distributions were also similar, except for the bowel, the reason for which was discussed. The authors concluded that auto-contouring was able to save time, simplify the workflow, and reduce interobserver variability, and that its implementation improved the radiation therapy workflow in their department.

Wong 2021 reported looking at 601 plans, from a subset of which assessment surveys were reported for the central nervous system (n = 27), head and neck (n = 54), and prostate (n = 93). They reported that satisfaction, as measured on a five-point scale (from 1 ["poor"] to 5 ["high"]), was scored at an average of 4.1 for the CNS, 4.4 for head and neck, and 4.6 for the prostate. The optic chiasm had the poorest geometric scores and needed the most editing. The authors concluded that the high user satisfaction suggested that the auto-contours served as appropriate starting points for patient specific edits.

Wong 2020 included 60 cases, twenty for each of central nervous system, head and neck, and prostate. Similar to Radici 2022, Wong also reported time savings: the mean auto and manual contouring times were, respectively: 0.4 vs 7.7 min for CNS; 0.6 vs 26.6 min for head and neck; 0.4 vs 21.3 min for prostate. Geometric analyses showed that deep learning contours approximated the expert Inter-observer-variability seen for organs at risk, although deep learning contours for clinical target volumes were less accurate. The authors concluded that auto-contours would likely serve as a usable starting template for patient specific adjustments.

Among the retrospective studies, Wong 2021⁴⁷ focused on the training and validation of lung auto-contours, reporting good geometric alignment to clinical contours for most organs, but slightly poorer alignment for the brachial plexus (DICE score 0.52). D'Aviero 2022⁴⁸ looked at the head and neck. Geometric analysis showed that

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alignment with manual contours was good, particularly for the brain (DICE score 1), left and right eye globes and the mandible (DICE score 0.98). The structures that required greater editing were the optic chiasm, optic nerves, and cochleae. Finally, Zabel 2021⁹⁰ focused on the bladder and rectum, and found that more editing of atlas contours was needed than deep learning contours. Time savings were also reported. Mean durations for initial contour generation were 10.9 min, 1.4 min, and 1.2 min for manual, deep learning, and atlas contours, respectively. However, because initial deep learning contours were more similar to manual contours, the mean durations of the editing steps for manual, deep learning, and atlas contours were 4.1 min, 4.7 min, and 10.2 min, respectively, leading to overall time savings for deep learning autocontouring.

Because of the large number of full text studies, the EAG offers no commentary on the conference abstracts for Limbus Contour.

8.5.7. MIM Contour ProtégéAl

The EAG identified one prospective study and one retrospective study for MIM Contour. The prospective study was prioritised for extraction. Urago looked at 51 cases, 30 from the head and neck and 21 from the prostate, and compared Alcontours with manual and atlas contours. The researchers reported that Al-based delineations were more consistent with the manual ones than the atlas contours were. There were no significant differences between manual and Al-contours except for some small delineations such as the optic chiasm and optic nerve. For prostate patients the processing time to create delineations was approximately 3 min per case for atlas and approximately 5 min per case for Al, while for patients with head and neck cancer the processing times were both approximately 6 min. The authors concluded that the effectiveness of the Al-based model can be expected to improve efficiency and to significantly shorten delineation time.

The retrospective study by Lastrucci 2022⁵⁹ focused on prostate cancer and compared AI against both atlas and manual contours. The geometric analysis reported that AI performed consistently better than atlas contouring: for example, mean DICE scores for the prostate were AI 0.78 vs atlas 0.64, and for the rectum AI 0.86 vs atlas 0.58.

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Because of the full text studies, and large number of abstracts, the EAG offers no commentary on the conference abstracts for MIM Contour ProtégéAI.

8.5.8. MRCAT Prostate plus Auto-contouring

The EAG identified one prospective study and one conference abstract. The prospective study by Kuisma 2020⁶⁶ looked at 65 prostate cases and compared them via geometric analysis to manual contouring. The researchers reported that DICE scores showed high alignment for delineating prostate (0.84), bladder (0.92), and rectum (0.86), although scores were lower for seminal vesicles (0.56) and penile bulb (0.69). The authors concluded that the auto-contours showed good agreement and repeatability compared with manual contours, although manual review and adjustment of some structures in individual cases remained important.

The abstract by Maspero 2018⁶⁷ investigated whether MRCAT, designed for patients with prostate cancer, might also be suitable for patients with rectal cancer. The geometric results showed good alignment and the dosimetric results suggested that dose distributions were accurate—and therefore that MRCAT appears feasible for use in a clinical radiotherapy workflow for patients with rectal cancer.

8.5.9. MVision Segmentation Service

The EAG identified two retrospective studies and two abstracts. Of the two retrospective studies, Strolin 2023⁶⁸, who looked at 111 cases of head and neck, breast, abdomen, thorax, male pelvis, and female pelvis cases, was prioritised because of its recency and large sample size. The authors reported that median DICE scores, when comparing manually adjusted auto-contours vs unedited auto-contours, were higher than 0.8 for all the organs except for the oesophagus and glottis. Qualitative analysis showed that radiation oncologists scored 44% of unedited auto-contours as 4 ("well done") and 43% as 5 ("very well done"). The median time for manual delineation, deep learning-based segmentation, and subsequent manual corrections were 25, 2.3 and 10 minutes, respectively. The authors concluded that the tool offered a high level of user satisfaction, saved time, and improved consistency among radiation oncologists.

The second retrospective study, by Kiljunen 2020⁶⁹, focused on 30 prostate cancer patients across six clinics. The study reported that mean time saved by using auto-

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segmentation as against manual contouring was 12 minutes for the whole data set (-46%). In terms of geometric outcomes, mean DICE scores, when comparing manual with auto-contours (across all six clinics), were 0.82 for prostate, 0.72 for seminal vesicles, 0.93 for bladder, 0.84 for rectum, 0.69 for femoral heads and 0.51 for penile bulb. The authors concluded that using auto-contouring saves time and improves consistency.

The two abstracts looked at prostate cancer (Suresh 2021⁷⁰) and breast cancer (Heikkila 2020⁷¹). Suresh reported that geometric and dosimetric outcomes showed good alignment between manual and auto-contours. Heikkila investigated Al contours against both manual and four atlas-based segmentation software. They reported that the Al method resulted in equal or better contours as compared to atlas-based methods.

8.5.10. OSAIRIS

The EAG identified one study (Oktay 2020⁷²), a retrospective analysis of 132 pelvic male and 46 head and neck cases that compared auto-contours to manual contouring. Geometric analysis revealed that auto-contouring achieved levels of clinical accuracy within the bounds of expert interobserver variability for 13 of 15 structures (the left and right submandibular glands were the only two structures outside the bounds). Auto-contouring also saved time. Manual segmentation of nine organs at risk took 86.75 min/scan for an expert reader and 73.25 min/scan for a radiation oncologist. Whereas the correction time of auto-contours was 4.98 min/scan for head and neck scans and 3.40 min/scan for prostate scans. The authors concluded that, with the availability of open-source libraries and reliable performance, the tool creates significant opportunities for the transformation of radiation treatment planning.

8.5.11. RayStation

The EAG identified two retrospective studies and two abstracts. Of the two retrospective studies, Almberg 2022⁷³ was prioritised because of its currency. The study focused on breast cancer, and the algorithm was trained on 170 patients and evaluated on a further 30. The authors reported that "no" or only "minor corrections" were required for 14% and 71% of the clinical target volumes and 72% and 26% of the organs at risk, respectively; the most frequent corrections were made for the External assessment group report: Artificial intelligence auto-contouring to aid radiotherapy treatment planning [GID-HTE10015]

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cranial and caudal parts of the structures. Geometric analysis revealed that auto-contour variation was generally less than observed manual inter-observer variation. Dosimetric analysis also found consistency between auto- and manual contours—while some statistically significant differences were found, no differences in organs at risk dosage were considered clinically relevant. Finally, although not formally measured, the authors estimated that the use of AI reduced total delineation time from roughly 1 hour to 15 minutes per patient.

The second retrospective study, by Rigaud 2021⁷⁴, focussed on cervical cancer, with the algorithm in RayStation 9B trained on 255 scans and tested on 61 validation, 62 internal test (at a centre in the US) and 30 external test scans (at a centre in France). The authors found similar performance between the two institutional data sets and reasonable dosimetric accuracies. Auto-contouring challenges were found when there was an absence of clear contrast between organs (e.g. between the cervix and bladder) or other difficulties with the scan, though the authors noted that in these cases segmentation failures could be easily identified visually and quickly corrected manually.

Both of the abstracts focused on the male pelvis. Liu 2022⁷⁵ reported that geometric analysis showed that the agreement between auto-segmented structures and manually segmented structures was similar to previously reported values of interobserver variability. DICE scores between auto- and manual contours were 0.95, 0.85, 0.82, 0.92 and 0.91 for the bladder, prostate, rectum, left femur, and right femur, respectively. The second abstract, Sidorski 2021⁷⁶, reported an average DICE score of 0.85 for organs at risk (bladder, rectum and femoral heads) and 0.7 for prostate.

8.5.12. Multiple technology comparison

The EAG retrieved six abstracts that compared two or more of the included technologies: Borkvel 2022⁷⁷, Rong 2022⁷⁸, Liao 2022⁷⁹, Yuan 2022⁸⁰, Gorgisyan 2022⁸¹, and Doolan 2021⁸². Because they are abstracts, reported details are sparse.

Borkvel 2022⁷⁷ compared MVision, ART-Plan, DLCExpert, RayStation and AutoContour, for prostate and head and neck cancer. For prostate, they reported that, on average, manual contours took 43.1 min and that the range of time reduction provided by AI tools was between 1.1 and 67.4%, with a median value of 50.7%. External assessment group report: Artificial intelligence auto-contouring to aid radiotherapy treatment planning [GID-HTE10015]

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(The EAG noted the abstract did not report the mean reduction, which is the statistic required for decision making.) Larger time savings were seen for tools with higher average DICE scores and for a tool that included a local training data set (from the North Estonia Medical Centre). For head and neck, manual contouring took an average 2.81 hours. Al tools were found to reduce this time by nearly 50% (though the EAG noted that the authors do not report whether this is a median or mean figure). The authors also noted in their conclusion the importance of training the algorithm, and that including local data in the algorithm training set can improve outcomes.

Rong 2022⁷⁸ compared MIM, Limbus Contour, DLCExpert, INTContour and AutoContour, for head and neck cancer. They reported that lower DICE scores (compared to manual contours) were found for the optical chiasm, oral cavity, optical nerves, and the cochlea. The authors also reported that some AI platforms showed better consistency compared to the corresponding manual contours, especially for those soft tissue organs that are difficult to identify on CT images—the authors do not report which platforms.

Liao 2022⁷⁹ compared MIM, Limbus, DLCExpert, INTContour and AutoContour, also for head and neck cancer. The authors report that AI generated contours were accurate for high contrast and relatively large organs, such as: mandible, brain, parotids, eyes, and sub mandibular glands, with DICE scores of around 0.8-0.9 from all modules. However, for low contrast regions, more complex and/or smaller structures, such as the brachial plexus and chiasm, DICE scores decrease to <0.5. The score also varied among modules for long structures like spinal cord and oesophagus. The study further incorporated a qualitative assessment of the AI contours by two dosimetrists and two physicists using a 4-point scoring scale, in which the authors report that Limbus was the top performer.

Yuan 2022⁸⁰ looked at MIM, Limbus Contour, DLCExpert, INTContour and AutoContour for three anatomical sites: thorax, abdomen, and pelvis. Among the 25 organs investigated, 10 had DICE scores of >0.9, including lung, liver, kidney, femoral head, bladder, and heart. Eight averaged DICE scores of 0.7 to 0.89, including spinal cord, rectum, and stomach. The remaining organs, including gallbladder, bronchus, duodenum, seminal vesicle, penile bulb, and brachial plexus, reported average DICE scores of <0.7. The authors concluded that AI contouring External assessment group report: Artificial intelligence auto-contouring to aid radiotherapy treatment planning [GID-HTE10015]

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results in large variations in accuracy among organs of interest, indicating that quality assurance of these tools is necessary before clinical implementation.

Gorgisyan 2022⁸¹ investigated MVision and RayStation for the male pelvis. The study found that both AI models demonstrated good performance for the bladder and rectum, but that clinic specific training data might be necessary to achieve segmentation results in accordance with the clinical specific standard for some anatomical structures, such as the femoral heads in the case of the authors' institution. The authors also noted that manual delineation took on average 13 minutes compared to 0.5 minutes (RaySearch) and 1.4 minutes (MVision), although this did not include manual correction.

Doolan 2021⁸² compared MVision and DLCExpert. They focused on breast, head and neck, lung, and prostate. The authors reported that both commercial Al contouring solutions generated contours with consistently high DICE and low HD scores, offering good quality structures across all anatomical sites. Also, it was noted that the time to correct the Al contours was less than the time required to contour the structures manually, for both technologies.

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9. INTERPRETATION OF THE CLINICAL EVIDENCE

The rapid review of the evidence for eleven AI-based auto-contouring technologies has identified some key themes in terms of the clinical evidence.

First, there is strong evidence for the potential usefulness of Al-based auto-contouring in healthcare systems. All the studies reported either geometric, dosimetric or satisfaction scores which showed that Al-based auto-contouring creates contours, segmentations or plans similar to those created by manual contouring for most organs at risk and clinical target volumes. The majority of auto-contours were either ready to use or usable with only minor edits.

Second, while most auto-contours were clinically useful, there were always some that either needed major editing or were unusable. The same structures repeatedly had lower DICE scores or were marked down in qualitative assessment. Mostly, they were smaller structures, including the pituitary gland, the optic chiasm and optic nerves, the cochlea, the submandibular glands, the oesophagus, the seminal vesicles, and the penile bulb (SCMs note that these difficulties with small volumes may arise because of CT slice thickness, or could simply be a function of how DICE is calculated*). This suggests that auto-contours should be used—at least at the present time—as starting points for clinical contouring; that all contours need to be evaluated and edited as necessary before clinical use. While there is anticipation that auto-contouring accuracy will continue to improve, the EAG are interpreting the evidence as it currently stands.

This leads onto the third point, which is that while timesaving has been reported by many studies, only reports that include the time taken for manual correction or editing of auto-contours are useful. An SCM noted that, "unless perfect, which is unlikely, there will always be a requirement for an expert to review and modify". Therefore, some of the larger claims of time-savings need to be considered carefully, particularly if they are a crude comparison of time to perform a manual contour vs time for Al-

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^{*} See, for example, the AAPM (American Association of Physicists in Medicine) report on image assessment in radiotherapy at: https://aapm.onlinelibrary.wiley.com/doi/10.1002/mp.12256

based auto-contouring, with no assessment of the entire workflow, including quality assurance.

Fourth, the evidence base is focused predominantly on a) head and neck cancer and b) prostate cancer. While the included studies have not exclusively investigated these cancers and the relevant structures (some studies evaluated auto-contouring in, for example, the CNS and thorax), other parts of the body are less covered by the evidence-base.

Fifth, because of the multiple metrics reported, the lack of clinical relevance of many of the metrics used, the limited generalisability due to local software training, and the risk of bias in the trials, the EAG cannot say with any certainty that one software is more effective to another. The EAG notes that three technologies have a larger evidence-base than others (measured simply in terms of numbers of studies identified): these are DLCExpert and Limbus Contour, followed by MIM Contour ProtégéAI.

Sixth, those studies that included atlas contouring as a comparator were conclusive in showing that Al-based auto-contouring gives superior results to atlas contouring. This was seen in satisfaction scores, geometric and dosimetric outcomes. And because Al-based contours were often initially closer to the (reference standard) manual contours, they took less editing time too.

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10. ADVERSE EVENTS AND TECHNOLOGY CONSIDERATIONS

None of the prioritised studies reported adverse effects. This is most likely because all the AI-based auto-contours required approval by a qualified clinician before use; clinicians were on hand to manually delineate structures in cases where automated tools failed to produce a suitable contour.

Nevertheless, adverse events in the field of auto-contouring are possible. Alcontouring may occasionally misidentify organs, leading to potential serious errors; for example, by mistaking the bladder for one of the kidneys (company submission: INTContour). There is also the risk that as Al contouring tools become more successful, there becomes an increased reliance on these tools, resulting in decreased human review of Al-generated contours. Even with quality assurance processes, there remains a risk of poor-quality contouring if Al segmentation is inaccurate or not based on guidelines, which could misguide staff members during segmentation. It will be necessary to prevent the deskilling of the workforce and ensure that clinicians maintain their skills to mitigate potential safety issues.

MRCAT relies on images generated by Magnetic Resonance Imaging (MRI) (company submission; MRCAT). MRI is often considered superior to Computed Tomography (CT), in terms of soft tissues detailing, and therefore using MRI offers the potential for improved contouring—at least for some structures⁹¹. However, it is important to note that MRI is contraindicated in patients with certain implants due to the high magnetic field involved⁹².

There are also software considerations. The EAG searched MAUDE (U.S. Food and Drug Administration) and MHRA (UK Government alerts), for the last five years, and identified two safety alerts. The first, from MAUDE in July 2021⁹³, described a software implementation error concerning dose tracking in RayStation; the second, from MHRA in February 2023⁹⁴, also concerned dose tracking in RayStation. In both cases the event happened in the wider treatment planning system—rather than in the auto-contouring algorithm itself. The company stated that this will be corrected in a new release of the technology in June 2023.

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Finally, processing data locally or sending it to the cloud of a host company after pseudonymization, as done with software like MVision (company submission; MVision) or building an NHS cloud as OSAIRIS (company submission; OSAIRIS), entails data protection risks that should be considered⁹⁵. The EAG notes that companies who acknowledge this risk emphasise that patient-identifiable data would not be compromised.

Evidence gap: There is currently very little reporting of adverse effects because the evidence base relates primarily to technological over patient outcomes. However, if auto-contouring becomes embedded into systems then, as noted above, real-world, prospective trials with patient outcomes should be performed, at which time evidence of harms should be collected. The EAG noted that there are numerous reports in the evidence base of individual auto-contours being clinically unusable or would have led to a dangerously high dose to an organ at risk. There is, therefore, real potential for patient harm if quality assurance procedures are not followed.

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11. ECONOMIC EVIDENCE

11.1. Published economic evidence

Based on the NICE scope, the EAG did not identify any published cost effectiveness evidence within the literature i.e., cost utility analyses, cost effectiveness analyses, cost minimisation analyses or cost consequences analyses comparing the listed Alauto contouring technologies to either manual or atlas-based contouring were not available.

Evidence gap: There is currently a lack of published cost effectiveness evidence comparing AI auto-contouring interventions (as outlined in the NICE scope) to manual or atlas-based auto contouring in people having radiotherapy treatment planning for external beam radiotherapy. There is a need for robust evidence generation regarding AI intervention costs in clinical practice and how these technologies impact on healthcare resource use and/or patient outcomes.

11.2. Economic evaluation

Conventional health economic evaluation techniques with patient-focused outcomes were not considered appropriate for analysis. A cost utility analysis was not feasible due to the lack of quality of life/patient reported outcome data within the published literature for the interventions of interest. Similarly, a cost effectiveness analysis was not considered appropriate due to the lack of quantifiable health outcomes reported such as life years gained. The EAG hypothesised that patient outcomes were unlikely to be affected by use of Al auto-contouring, the benefit being primarily a reduction in time taken in treatment planning. A case could be made for improved patient outcomes if Al auto-contouring were shown to be superior to manual, for example through better targeted radiation leading to a reduction in dose and less damage to surrounding healthy tissues, but this is purely conjectural. A cost minimisation analysis was considered, but due to the heterogeneity in Al intervention cost data provided to the EAG, it was not feasible to identify the lowest cost Al intervention (see Section 6211.2.1.1).

A simple cost consequences analysis was selected as the most appropriate evaluation method given the extent and quality of data available. A cost consequences analysis is a form of economic evaluation that compares the costs External assessment group report: Artificial intelligence auto-contouring to aid radiotherapy treatment planning [GID-HTE10015]

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and consequences of different healthcare interventions/programmes. It provides a comprehensive assessment of the costs incurred and the outcomes achieved, without aggregating them into a single metric such as cost effectiveness or cost utility ratios.

Based on the heterogeneity/variability of the evidence available with respect to published clinical outcomes (consequences) and technology costs (see section 11.2.1 for more detail), a robust cost consequences analysis reporting incremental results (Al interventions vs manual contouring and atlas-based auto contouring), was not possible. The EAG has therefore opted to take a summative approach which lists the costs associated with each Al technology, and where available, reports information on a key consequence identified in the literature. In this instance resource use or time associated with the use of Al auto-contouring technology (vs manual or atlas) was considered as the primary consequence, as it was the health economic outcome most consistently reported in the literature.

11.2.1. Costs

11.2.1.1. Intervention costs

The costs associated with each AI technology were provided by the manufacturers (See Table 5: AI intervention costs Error! Reference source not found. Ultimately the EAG considered there to be significant variability in the pricing and reimbursement strategies for each technology. The lack of complete costing information from all manufacturers and variability in annual treated patient numbers/CT scans introduced further uncertainty. It is challenging to therefore identify the true cost for each intervention.

Evidence gap: The cost per technology appears to be dependent on multiple factors including utilisation rates in clinical practice. For a meaningful assessment of technology costs, it would be helpful to have hospital case study evidence for all interventions.

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Table 5: Al intervention costs

). Intervention costs consisted of software costs (including license and subscription costs), hardware costs (one-off installation costs), data storage costs and maintenance costs.

The EAG noted considerable heterogeneity and uncertainty surrounding the reporting of intervention costs, including the following.

- Costs for OSAIRIS, DLCExpert and ART-Plan were only reported costs on a per patient basis i.e., there was no information on the cost of the software, hardware of maintenance costs for these interventions.
- Per patient costs ranged from £4 to £50. However, the cost per patient reported by manufacturers was dependent on hospital size/patient throughput and number of scans. Note that cost per patient was not reported for all technologies.
- Data storage costs were dependent on whether cloud storage would be used or if data were to be stored on a hardware device. The applicability of these costs
- Some intervention costs were noted to be exclusive of VAT, whilst the VAT status of others was not clear.

therefore depend on each individual hospital's data storage set up.

Ultimately the EAG considered there to be significant variability in the pricing and reimbursement strategies for each technology. The lack of complete costing information from all manufacturers and variability in annual treated patient numbers/CT scans introduced further uncertainty. It is challenging to therefore identify the true cost for each intervention.

Evidence gap: The cost per technology appears to be dependent on multiple factors including utilisation rates in clinical practice. For a meaningful assessment of technology costs, it would be helpful to have hospital case study evidence for all interventions.

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Table 5: Al intervention costs

| Technology | Software cost | Hardware cost | Maintenance cost | Cost per patient |
|-------------|--|--|--|--------------------------------|
| INTContour | | | | |
| Al-Rad | | | | |
| ART-Plan | | | | |
| AutoContour | | | | |
| MRCAT | The software can be added to existing MR for | The MR scanners range from £750K to £950K depending on configuration requirement Note: The full RT imaging capability may be more than this if LAP laser | Customer support agreement would be per annum for this equipment. Costs (ex VAT) | No limit on number of patients |

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| | | bridges are required in addition | | |
|------------|----|----------------------------------|----|-------------|
| MVision | | | | |
| OSAIRIS | NR | NR | NR | £4/ patient |
| Raystation | | | | |
| DLCExpert | | | | |
| Limbus AI | | | | |
| MIM | | | | |

Abbreviations: Al artificial intelligence, GPU graphic processing unit, LAP laser ablation of the prostate, MR magnetic resonance, NHS National Health Service, NR not reported, RT radiotherapy, USD United States dollars, VAT value added tax,

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11.2.2. Consequences

Time taken to delineate organs at risk and review and edit images associated with Al auto-contouring (vs manual or atlas) was considered an outcome of economic interest on the basis that this could be quantified and therefore potentially be used to inform an economic analysis. As previously mentioned in Section 8, seven prioritised studies were identified that measured time from the use of Al auto-contours, these included Ginn et al. (2023)⁶, Van Dijk et al. (2020)²², Radici et al. (2022)⁴⁴, Wong et al. (2020)⁴⁶, Strolin et al. (2023)⁶⁸, Oktay et al. (2020)⁷², and Urago et al. (2021)⁵⁸. Results are reported in Table 6. The EAG noted the following concerns surrounding the reporting time as an outcome measure/consequence within the published literature.

- There was considerable heterogeneity between studies in terms of study design, number of patients included and site of tumour/Al-auto-contouring use, thus making it challenging to compare interventions and meaningfully interpret reported times.
- There was variability between studies in relation the time outcome itself i.e., some studies only reported time taken to delineate organs at risk whilst others reported delineation time and the editing time required by a clinician.
- Time estimates were not available for all AI auto-contouring technologies i.e.,
 ART-Plan, AutoContour, INTContour and MRCAT.

On balance, the majority of studies which assessed time as an outcome measure, reported time savings associated with the use of AI auto-contouring compared to manual contouring. However, the EAG noted one study by Urago et al. (2021)⁵⁸ reported that an atlas-based model resulted in less processing time to create delineations compared to the AI-based model for the patients with prostate cancer (see Table 6).

Due to the variability of reported data, SCMs were asked to provide additional commentary that could help fill data gaps and potentially be used to inform an economic evaluation. The full list of EAG 'cost effectiveness' questions and SCM responses are provided in Table 12, Appendix EError! Reference source not found. The majority of SCMs confirmed that Al auto-contouring is likely to result in a External assessment group report: Artificial intelligence auto-contouring to aid radiotherapy treatment planning [GID-HTE10015]

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reduction in clinician time compared to standard approaches used (manual and atlas-based contouring), though one clinician noted this may not be the case when AI auto-contouring is used in patients who have unusual anatomy/post-surgical changes in anatomy. When asked how much time clinicians spent editing AI auto-contours, responses ranged from 10 minutes to 30 minutes. Several clinicians noted that editing time is highly variable and depends on the different structures and tumour sites which are contoured in clinical practice.

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Table 6: Studies from the prioritised literature which contained a 'time saved' component

| Study | Name of Tech/Manufacturer | Comparator | Results |
|--|--|-------------------------|---|
| Ginn et al. (2023) ⁶ | Al Rad-Companion organs RT (Siemens Healthineers) | Manual contouring | Edited Al saved 11.8 mins per patient |
| Van Dijk et al. (2020) ²² | DLCExpert (Mirada Medical) | Manual contouring/Atlas | Atlas contours: Average expert delineation time: 36 ± 7 Average beginner delineation time: 59 ± 14 minutes Deep learning contours: Average expert delineation time: 34 ± 6 Average beginner delineation time: 54 ± 8 minutes |
| Radici et al (2022) ⁴⁴ | Limbus Contour (Limbus AI, AMG Medtech) | Manual contouring | Time savings absolute and relative for: Head and neck contours (80 min, -65%) Breast contours (7 min, -46%) Prostate contours (4 min, -18%) Rectum contours (3 min, -17%). |
| Wong et al. (2020) ⁴⁶ | Limbus Contour (Limbus AI, AMG Medtech) | Manual contouring | Mean auto and manual contouring times: 0.4 vs 7.7 min for CNS 0.6 vs 26.6 min for head and neck 0.4 vs 21.3 min for prostate. |
| Strolin et al. (2023) ⁶⁸ | MVision Segmentation Service (MVision AI, oy Xiel) | Manual contouring | The median (range) time (mins) for: Manual delineation 25.0 (8.0-115.0) Deep learning-based segmentation, and subsequent manual corrections were, 2.3 (1.2-8) and 10.0 minutes (0.3-46.3), respectively |

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| Oktay et al. (2020) ⁷² | OSAIRIS | Manual contouring | Manual segmentation of nine organs at risk took 86.75 min/scan for expert reader and 73.25 min/scan for radiation oncologist. With AI to assist them in reviewing and editing it took: 4.98 (95% CI, 4.44-5.52) min/scan for head and neck 3.40 (95% CI, 1.60-5.20) min/scan for prostate The autogenerated contours represented a 93% reduction in time |
|-----------------------------------|---------|-------------------|---|
| Urago et al. (2021) ⁵⁸ | MIM | Atlas contouring | Delineation time per case: Prostate cancer atlas: approximately 3 min Prostate cancer Al-based approximately 5 min Head and neck cancer using both atlas and Al-based: approximately 6 min (range, 3–8 min). |

Abbreviations: Al artificial intelligence, CI confidence interval, CNS central nervous system, SIB simultaneous integrated boost, VMAT volumetric arc therapy

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12. INTERPRETATION OF THE ECONOMIC EVIDENCE

No cost effectiveness evidence was found for any of the 11 Al auto-contouring interventions listed in the NICE scope. There remains uncertainty surrounding the cost effectiveness of these Al auto-contouring interventions compared to manual contouring, atlas-based auto contouring and model-based segmentation in people having radiotherapy treatment planning for external beam radiotherapy. The EAG initially aimed to utilise manufacturer cost data and published outcome data (on time taken to delineate organs at risk and review and edit images associated with Al auto-contouring vs manual or atlas) in order to create a bespoke cost consequences analysis that could help to inform healthcare decision making. However, due to the variability in the pricing and reimbursement strategies for each technology and limitations surrounding the economic outcome of interest within the published literature, a robust analysis was not considered feasible. A summative approach, collating manufacturer Al technology costs, SCM opinion and published data on time to delineate organs at risk and review and edit images was therefore adopted to provide a comprehensive overview of the information available to the EAG.

Overall, the key costs and outcomes that are of relevance to the decision problem are intervention costs (licence fees and upgrade costs, service contracts, data storage and capital equipment, costs involved in commissioning—i.e. the testing and evaluation of systems prior to clinical use—and costs involved in preparing data for training models should this be required), differences in time taken to contour and plan a course of treatment, and user (i.e. clinician) satisfaction. It may be possible to hypothesise improved patient outcomes from more carefully directed radiation (via increased dose delivered to the cancer and reduced damage to surrounding tissue), however the evidence base to support or refute this is still emerging. The evidence base focuses on head and neck and prostate cancers and overall intervention costs ranged from £4 to £50 per planning session. Evidence on reduced time for treatment planning was heterogeneous, including by site of contouring, and thus unclear in the magnitude of effect: a critical element is the time required for any manual editing, which was not reported consistently across studies. The majority opinion of SCMs was that Al-based auto-contouring was likely to reduce treatment planning time, but manual editing may negate some (or all) of this. Estimates of time saved from the

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literature ranged widely from approximately 3 to 80 minutes, depending largely on tumour site.

The EAG have produced a simple, interactive, cost offset calculator to illustrate the relationship between time savings and staffing costs (see Appendix F for more details)

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13. EVIDENCE GAP ANALYSIS AND FUTURE RESEARCH

13.1. Evidence gap analysis

A summary of evidence gaps, pertaining to outcomes, study design and structures covered, is summarised in Table 7. The table was populated based on full text evidence. Therefore, ART-Plan and AutoContour have been marked up as 'N/A', as the EAG only identified conference abstracts for these technologies. A narrative assessment of evidence gaps in other methodological areas besides outcomes is presented within the clinical section of the report. In terms of gaps in the cost effectiveness evidence the EAG noted that there is a need for robust evidence generation regarding AI intervention costs in clinical practice and how these technologies impact on healthcare resource use and/or patient outcomes.

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Table 7: Evidence Gap Analysis (based on full-text evidence only)

| | Al-Rad | ART- Plan | Auto contour | DLC Expert | INT Contour | Limbus Contour | MIM Contour | MRCAT Prostate | MVision | OSAIRIS | Ray Station |
|---------------------------|------------------------|--------------|--------------|--------------------|--------------------|--------------------|------------------|-------------------|--------------------|------------------|------------------------|
| Outcomes | | | | | | | | | | | |
| Time-saving metrics | ✓ | N/A | N/A | ✓ | | ✓ | ✓ | | ✓ | ✓ | |
| Qualitative assessment | ✓ | N/A | N/A | ✓ | ✓ | ✓ | ✓ | | ✓ | | ✓ |
| Dosimetric analyses | | N/A | N/A | ✓ | ✓ | ✓ | | | | ✓ | ✓ |
| Geometric analyses | ✓ | N/A | N/A | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | | ✓ |
| Adverse effects | | N/A | N/A | | | | | | | | |
| Study design | | | | | • | • | | • | | | |
| Prospective (full text) | RED* | RED | RED | GREEN 3 studies | RED | GREEN 3 studies | AMBER 1 study | AMBER 1 study | RED | RED | RED |
| Retrospective (full text) | GREEN 3 studies | RED | RED | GREEN 4 studies | GREEN 2 studies | GREEN 3 studies | AMBER 1 study | RED | GREEN 2 studies | AMBER 1 study | GREEN 2 studies |
| Structures covered | | | | | | | | | | | |
| Central nervous system | | N/A | N/A | | | ✓ | | | | | |
| Head and neck | ✓ | N/A | N/A | ✓ | ✓ | ✓ | ✓ | | ✓ | ✓ | |
| Pelvis | ✓ | N/A | N/A | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ |
| Thorax/abdomen | ✓ | N/A | N/A | ✓ | | ✓ | | | ✓ | | ✓ |

Colour coding: Green = ≥2 studies; Amber = 1 study; Red = no studies

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^{*} Note that Ginn 2023, as previously described, has both prospective and retrospective study components.

There are a number of evidence gaps in respect of the clinical evidence base as it pertains to the decision problem. Key gaps included:

Population gaps

- The prioritised literature was global in scope, with only three of the prioritised studies coming from the UK. This raises generalisability concerns, though given the technical nature of the decision question, the EAG expects that the evidence will be reasonably generalisable across borders.
- Sample sizes were mostly small, but more concerningly is that there were often
 no details provided on how the patients, cases or scans were selected for the
 study. This raises concerns of potential selection bias.
- The evidence base is focused on a) head and neck cancer and b) prostate cancer—other structures of the body are less well covered by the evidence.
- There is uncertainty about the availability of training sets for certain demographics, such as children or people with disabilities. This should be addressed as a potential equity issue.

Intervention gaps

- The description of the intervention was often poor—it was often unclear which version of a technology was being used.
- There is the question of whether local training sets had been used to train a technology, and if so, how this might affect the generalisability of the findings to other clinics using the same technology.
- There is no full-text evidence available for ART-Plan and AutoContour.

Comparator gaps

- Manual contouring was the most common comparator. There is relatively less evidence of Al-based auto-contouring vs atlas contouring.
- There is no full text evidence comparing any of the included technologies against each other.

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Outcome gaps

- The most common outcomes across the included studies were one or geometric metrics (such as DICE or HD). However, there is a lack of an agreed, high-quality metric which can be universally applied, which makes comparison of geometric performance across studies difficult. Furthermore, satisfaction scores offer a more useful real-world test of the useful of plans, while dosimetric analyses are more applicable to potential patient outcomes. (Although Borkvel 2022⁷⁷ did find that larger time savings were seen for tools with higher average DICE scores.)
- Time-saving metrics were often not reported, or only partially reported. Without reporting of the time spent to quality assure and edit auto-contours, timings are of limited use.
- As the technology potentially becomes more embedded in the NHS, evidence of impact on patients will be required, ideally via randomised, real-world, prospective trials. While process efficiency is important, there is nevertheless potential to improve patient outcomes. For example, accurate organ at risk delineation should lead to a reduction in treatment toxicity. Also, cluster trials may be able to identify improvements in throughput, leading to reduced treatment waiting times, and therefore improved outcomes for patients treated more swiftly.
- Outcomes differ sharply between different structures. The evidence-base suggests that larger structures are generally well delineated by AI-based autocontour software, but that smaller and/or elongated structures are more difficult to delineate. More evidence is needed on which structures can best be handled by auto-contouring, and where extra caution may be required.

Other considerations

There was a paucity of high quality, prospective studies. This may be
understandable, as prospective studies are often time-consuming and hence
expensive to conduct, but they offer more control over potential confounding
variables and are less susceptible to selection, allocation, and recall bias.

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• Most contouring is done with CT scans. However, some recent research has investigated the use of MRI, because of its perceived superiority to CT in terms of soft tissues detailing. While some articles have compared MRI to CT scans for auto-contouring (such as Hague 2020²¹), the evidence base remains limited. SCM commentary also noted that MRI datasets have only limited applicability for dose calculation, which is required in radiotherapy treatment planning. CT datasets are more routinely used, so although MR images may have superior soft tissue detail, it is often not appropriate for creating the radiotherapy treatment plan alone. Therefore, CT datasets must also be used. Further, it was noted that some structures may be better visualised using MRI rather than CT (or potentially vice versa), and that it may be that a software works better with one modality than another for specific structures.

13.2. Integration into the NHS

In addition to the formal evidence gaps identified above, there are wider issues to consider with regards to the integration of AI-based auto-contouring technology in the NHS.

Company submissions report that there is already some use of the scoped auto-contouring technologies within certain NHS trusts. If further adopted, wider use of the technology would involve upscaling across more trusts. Potential challenges include ensuring sufficient appropriate staff resource and training to deliver such interventions—including promoting critical reflection on current clinical practice. There is also the question of deciding for which structures auto-contouring technology should be used—as noted above, the evidence base is focused mostly on the head and neck and pelvis. Beyond these two anatomical sites, the evidence base is weaker.

Related to this is the fact that SCMs reported that contouring is already challenging for structures where there is no shared consensus on definitions and process. For example, different protocols exist for inguinal nodes and for head and neck lymph nodes. It is therefore very unlikely that Al-based systems, however good, will be acceptable to all users within or between hospitals. This raises the need to ensure adequate quality assurance and safety protocols. SCMs notify us that validation of protocols is currently done via a mixture of metrics (such as DICE) and visual review External assessment group report: Artificial intelligence auto-contouring to aid radiotherapy treatment planning [GID-HTE10015]

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by experts/trained staff on a patient-by-patient basis. Such processes would have to be incorporated into any workflow.

One of the key questions that would need to be considered if further integration is to take place is whether to promote "off the shelf" Al-contouring use or rather to encourage hospitals to use local training datasets. The previous paragraph noted that there are different protocols between hospitals, at least for some structures, and SCMs have suggested that off the shelf use would encourage harmonisation. It may also save time and resource, as re-training algorithms could be very demanding in terms of number of 'perfectly' manually contoured datasets required. Finally, retraining could result in errors or bias, if staff doing this are inexperienced, or could perpetuate unwarranted variation in local institutions.

On the other hand, the SCMs also noted that there is a risk in off the shelf use that if only commercially trained software packages are available, especially for rare tumour sites, this may not be an economically viable model for a commercial manufacturer to create and maintain. SCMs also noted that local training may be useful for site-specific requirements and specific patient cohorts, such as may be found in paediatrics. Alternatively, there may be a middle way between "off the shelf" and local training. One SCM did suggest that if training were to occur, then perhaps this would best be done at a national level, to ensure consistency across centres. Finally, a barrier to off the shelf use, or NHS wide solutions, is that treatment protocols and equipment can differ significantly between hospitals.

13.3. Ongoing studies

Ongoing studies were identified for three of the technologies, either through company submissions or EAG searches, and are listed below in Table 8. It is uncertain whether any of them will address the evidence gaps and integration issues described.

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Table 8: Ongoing studies

| DLCExpert | Limbus | MVision |
|-----------|---|---------|
| | CTRI/2019/09/021316 ⁹⁶ Evaluation of performance of artificial intelligence based auto-contouring software in delineation of tumor and organs-at-risk for image-based radiotherapy planning. | |

In addition to the studies described in Table 8, NICE have informed the EAG that, as part of the NHS England AI awards*, King's technology evaluation centre (KiTEC) is setting up two studies to gather further evidence on a number of AI-based autocontouring technologies. One study will focus on the qualitative aspects, including acceptability of the contours and ease of integration into the treatment planning workflow. The other will gather evidence to help inform a future cost-effectiveness analysis. There studies are planned to report within two years, by 2025.

13.4. Key areas for evidence generation

Given the gaps and issues raised in this section, the EAG presents some specific evidence generation recommendations in Table 9. Any proposed trials should follow the best practice recommendations of the Radiotherapy Trials Quality Assurance (RTTQA).†Error! Reference source not found.

Table 9: Evidence generation recommendations

| Research question | Possible study design | Outcomes | | |
|---|---|---|--|--|
| Which technology or technologies are most suitable for NHS use? | Comparative cohort studies of two or more included technologies in a prospective RWE setting with manual contours as a reference standard and blinded assessment. | Time-saving metrics, dosimetrics, and qualitative assessment (blinded scale scoring). | | |

^{*} For more information, see the AI in Health and Care Award website, available at: https://transform.england.nhs.uk/ai-lab/ai-lab-programmes/ai-health-and-care-award-winners/

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[†] For more information, see https://rttrialsqa.org.uk/

| 2. Do Al-based auto- contouring technologies improve process efficiency and/or patient outcomes in the NHS? | Cluster randomised controlled trial or, failing that, a before and after cohort study | Throughput, patient outcomes, adverse events. |
|--|---|---|
| 3. What is the cost effectiveness of AI autocontouring interventions to manual contouring or atlasbased contouring, within an NHS context? | Cluster randomised controlled trial or, failing that, a before and after cohort study | Time saving metrics, technology costs, resource use estimates (clinician time, staff training costs), patient reported outcomes i.e. QALYs (if appropriate) |

Abbreviations: Al artificial intelligence, NHS National Health Service, QALY quality-adjusted life year, RWE real world evidence

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14. CONCLUSIONS

Based on clinical opinion to the EAG and evidence from published literature, Al auto-contouring produces contours that are mostly either ready to use or just need minor edits. However, Al software is less able to consistently create such accurate contours for certain organs at risk, typically organs with a small volume or that have an elongated shape, meaning that at the present all Al produced auto-contours should be checked by a clinician before use. I.e., that auto-contouring facilitates, rather than replaces, manual contouring. Where Al-contours were compared with atlas contours, the Al approach consistently outperformed atlas contouring.

Al auto-contouring (including editing and reviewing time) also appears to result in time savings when compared to current contouring approaches used in clinical practice (albeit there is considerable time variation based on tumour site and the structures typically contoured). However, due to the lack of published cost effectiveness evidence and heterogeneity in the pricing and reimbursement strategy for each technology, it was not possible to draw firm conclusions on the cost effectiveness of Al auto-contouring compared to manual or atlas-based segmentation approaches.

There was not sufficient evidence to draw a conclusion on which of the eleven technologies were either most clinical or cost-effective. The three technologies with the largest evidence base were: DLCExpert (Mirada Medical) and Limbus Contour (Limbus AI, AMG Medtech), followed by MIM Contour ProtégéAI (MIM Software).

More robust evidence is required for the following: 1) Al intervention costs in clinical practice and how these technologies impact on healthcare resource use and/or patient outcomes in a UK context. 2) The impact of local NHS training sets rather than an "off the shelf" approach, from both a clinical and harmonisation/cost-effectiveness point of view. 3) Al auto-contouring effectiveness for body structures beyond head and neck and the pelvis, and identification of those organs at risk that are particularly susceptible to being poor contoured. 4) Relative clinical and cost-effectiveness of auto-contouring using MRI vs CT scans. 5) Direct, head-to-head trials between alternative Al auto-contouring technologies.

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16. APPENDICES

Appendix A: Searches for clinical and cost effectiveness evidence

Table 10: Resources searched for clinical and cost effectiveness studies

| Database/Resource | Host | Date Searched | Results |
|--|---|---------------|---------|
| MEDLINE and Epub Ahead of Print, In-Process & Other Non-Indexed Citations and Daily | Ovid | 03.05.23 | 241 |
| Embase | Ovid | 03.05.23 | 837 |
| Cochrane Database of Systematic Reviews | Cochrane Library: Wiley | 04.05.23 | 5 |
| Cochrane CENTRAL | | 04.05.23 | 62 |
| INAHTA HTA database | https://database.inahta.org/ | 04.05.23 | 6 |
| Company websites | | 04.05.23 | 32 |
| ClinicalTrials.gov | http://www.clinicaltrials.gov/ | 03.05.23 | 56 |
| WHO ICTRP | https://trialsearch.who.int/ | 03.05.23 | 12 |
| NICE Guidelines | | 04.05.23 | 6 |
| SIGN Guidelines | | 04.05.23 | 0 |
| MHRA | https://www.gov.uk/drug-device-alerts | | 1 |
| MAUDE | https://www.accessdata.fda.gov/script s/cdrh/cfdocs/cfmaude/search.cfm | | 1 |
| ScharrHUD | https://www.scharrhud.org/ | 03.05.23 | 0 |
| CEA Registry | https://cear.tuftsmedicalcenter.org/ | 03.05.23 | 0 |
| Total records retrieved | | | 1259 |
| Total records after deduplica | ition | | 933 |

Ovid MEDLINE(R) ALL

| # | Searches | Results |
|---|--|---------|
| 1 | (Al-rad companion* or "Art-plan" or Autocontour or DLCexpert* or DLC-expert* or "DLC expert*" or INTContour or "INT Contour" or limbusAl or "limbus Al" or "limbus-Al" or "Limbus Contour" or "MIM contour" or ProtegeAl or MRCAT or | 1305 |

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| | MVision* or Osairis or Osiris or "Ray station*" or Raystation* or "workflow box").af. | |
|----|---|--------|
| 2 | ("AMG medtech" or mirada or philips or raysearch or "ray search" or "Oncology systems" or therapanacea or "MIM software" or radformation or carina or "Siemens Healthineers" or MVision or "Cambridge University Hospitals NHS Foundation Trust").in. | 21511 |
| 3 | 1 or 2 | 22752 |
| 4 | Organs at Risk/ | 4663 |
| 5 | ("organ*?at?risk*" or "organs-at-risk").ti,ab,kw,kf. | 5931 |
| 6 | exp *Radiotherapy/ | 113501 |
| 7 | ("clinical target volume" or CTV or "target volume" or "planning target volume" or PTV or "gross tumour volume" or "gross tumor volume" or GTV).ti,ab,kw,kf. | 19191 |
| 8 | (radiotherap* or irradiation* or "gamma knife" or "cyberknife" or "linear accelerator" or linac or wbrt or (radiation adj2 (therap* or dose*))).ti,ab. | 496046 |
| 9 | or/4-8 | 539523 |
| 10 | ((Al or intelligen* or auto* or radiomic*) and (contour* or autocontour* or "autocontour*" or segment* or plan* or optimi*)).ti,ab. | 141438 |
| 11 | (((deep* or machine*) adj2 learn*) and (contour* or autocontour* or "autocontour*" or segment* or plan* or optimi*)).ti,ab. | 25391 |
| 12 | or/10-11 | 155988 |
| 13 | 3 and 9 and 12 | 157 |
| 14 | ((Al or intelligen* or (deep adj2 learn*) or (machine adj2 learn*)) adj3 (contour* or autocontour* or "auto contour*" or segment* or plan* or optimi*)).ti,ab. /freq=2 | 749 |
| 15 | 14 and 9 | 102 |
| 16 | 13 or 15 | 241 |

Embase

| # | Searches | Results |
|---|--|---------|
| 1 | (Al-rad companion* or "Art-plan" or Autocontour or DLCexpert* or DLC-expert* or "DLC expert*" or INTContour or "INT Contour" or limbusAl or "limbus Al" or "limbus-Al" or "Limbus Contour" or "MIM contour" or ProtegeAl or MRCAT or MVision* or Osairis or Osiris or "Ray station*" or Raystation* or "workflow box").af. | 3029 |
| 2 | ("AMG medtech" or mirada or philips or raysearch or "ray search" or "Oncology systems" or therapanacea or "MIM software" or radformation or carina or "Siemens Healthineers" or MVision or "Cambridge University Hospitals NHS Foundation Trust").in. | 24497 |

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| 3 | 1 or 2 | 27362 |
|----|--|--------|
| 4 | Organs at Risk/ or exp clinical target volume/ | 15992 |
| 5 | ("organ*?at?risk*" or "organs-at-risk").ti,ab,kw,kf. | 13249 |
| 6 | exp *Radiotherapy/ | 256603 |
| 7 | ("clinical target volume" or CTV or "target volume" or "planning target volume" or PTV or "gross tumour volume" or "gross tumor volume" or GTV).ti,ab,kw,kf. | 43208 |
| 8 | (radiotherap* or irradiation* or "gamma knife" or "cyberknife" or "linear accelerator" or linac or wbrt or (radiation adj2 (therap* or dose*))).ti,ab. | 692274 |
| 9 | or/4-8 | 769069 |
| 10 | ((Al or intelligen* or auto* or radiomic*) and (contour* or autocontour* or "autocontour*" or segment* or plan* or optimi*)).ti,ab. | 204823 |
| 11 | (((deep* or machine*) adj2 learn*) and (contour* or autocontour* or "autocontour*" or segment* or plan* or optimi*)).ti,ab. | 33577 |
| 12 | or/10-11 | 222878 |
| 13 | 3 and 9 and 12 | 596 |
| 14 | ((Al or intelligen* or (deep adj2 learn*) or (machine adj2 learn*)) adj3 (contour* or autocontour* or "auto contour*" or segment* or plan* or optimi*)).ti,ab. /freq=2 | 1197 |
| 15 | 14 and 9 | 325 |
| 16 | 13 or 15 | 842 |

Cochrane Library

- #1 (("AI-rad" NEXT companion*) OR Art-plan OR Autocontour OR DLCexpert* OR DLC-expert* OR ("DLC" NEXT expert*) OR INTContour OR "INT Contour" OR limbusAI OR "limbus AI" OR limbus-AI OR "Limbus Contour" OR "MIM contour" OR ProtegeAI OR MRCAT OR MVision* OR Osairis OR Osiris OR ("Ray" NEXT station*) OR Raystation* OR "workflow box") 89
- #2 ("AMG medtech" OR mirada OR philips OR raysearch OR "ray search" OR "Oncology systems" OR therapanacea OR "MIM software" OR radformation OR carina OR "Siemens Healthineers" OR MVision OR "Cambridge University Hospitals NHS Foundation Trust") 1620
- #3 #1 OR #2 1693
- #4 ("organ* at risk*":ti,ab OR organs-at-risk:ti,ab) 124
- #5 MeSH descriptor: [Radiotherapy] explode all trees 10296
- #6 ("clinical target volume":ti,ab OR CTV:ti,ab OR "target volume":ti,ab OR "planning target volume":ti,ab OR PTV:ti,ab OR "gross tumour volume":ti,ab OR "gross tumor volume":ti,ab OR GTV:ti,ab) 1734

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#7 (radiotherap*:ti,ab OR irradiation*:ti,ab OR "gamma knife":ti,ab OR cyberknife:ti,ab OR "linear accelerator":ti,ab OR linac:ti,ab OR wbrt:ti,ab OR (radiation:ti,ab NEAR/2 (therap*:ti,ab OR dose*:ti,ab))) 41486

#8 #4 OR #5 OR #6 OR #7 44223

#9 ((Al:ti,ab OR intelligen*:ti,ab OR auto*:ti,ab OR radiomic*:ti,ab) AND (contour*:ti,ab OR autocontour*:ti,ab OR ("auto" NEXT contour*):ti,ab OR segment*:ti,ab OR plan*:ti,ab OR optimi*:ti,ab)) 10968

#10 (((deep*:ti,ab OR machine*:ti,ab) NEAR/2 learn*:ti,ab) AND (contour*:ti,ab OR autocontour*:ti,ab OR ("auto" NEXT contour*):ti,ab OR segment*:ti,ab OR plan*:ti,ab OR optimi*:ti,ab)) 784

#11 #9 OR #10 11342

#12 #3 AND #8 AND #11 25

#13 ((Al:ti,ab OR intelligen*:ti,ab OR (deep:ti,ab NEAR/2 learn*:ti,ab) OR (machine:ti,ab NEAR/2 learn*:ti,ab)) NEAR/3 (contour*:ti,ab OR autocontour*:ti,ab OR ("auto" NEXT contour*):ti,ab OR segment*:ti,ab OR plan*:ti,ab OR optimi*:ti,ab)) 196

#14 #13 and #8 46

#15 #12 or #14 67

= 5 reviews and 62 trials

INAHTA

((radiotherap* or irradiation* or "gamma knife" or "cyberknife" or "linear accelerator" or linac or wbrt*) AND (contour* or autocontour* or "auto contour*" or segment* or plan* or optimi*) AND (AI or intelligen* or auto* or radiomic* or machine*)) OR ((AI-rad companion* or "Art-plan" or Autocontour or DLCexpert* or DLC-expert* or "DLC expert*" or INTContour or "INT Contour" or limbusAI or "limbus AI" or "limbus-AI" or "Limbus Contour" or "MIM contour" or ProtegeAI or MRCAT or MVision* or Osairis or Osiris or "Ray station*" or Raystation* or "workflow box"))

= 6 hits

ClinicalTrials.gov

| Search string | Results |
|----------------------------|---------|
| autocontour/all studies | 0 |
| autocontouring/all studies | 0 |

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| artificial intelligence AND radiotherapy AND contour/all studies | 6 |
|---|----|
| machine learning AND radiotherapy AND contour/all studies | 1 |
| artificial intelligence AND radiotherapy AND plan/all studies | 18 |
| machine learning AND radiotherapy AND plan/all studies | 16 |
| artificial intelligence AND radiotherapy AND optimization/all studies | 4 |
| machine learning AND radiotherapy AND optimization/all studies | 4 |
| Al-rad companion/all studies | 0 |
| Art-plan/all studies | 1 |
| Autocontour/all studies | 0 |
| DLCexpert/all studies | 0 |
| DLC-expert/all studies | 0 |
| DLC expert/all studies | 0 |
| INTContour/all studies | 0 |
| INT Contour/all studies | 1 |
| limbusAl/all studies | 0 |
| limbus Al/all studies | 0 |
| limbus-Al/all studies | 0 |
| Limbus Contour/all studies | 1 |
| MIM contour/all studies | 3 |
| ProtegeAl/all studies | 0 |
| MRCAT/all studies | 0 |
| MVision/all studies | 0 |
| Osairis/all studies | 0 |
| Raystation/all studies | 1 |
| workflow box/all studies | 0 |
| | |

ICTRP (basic search)

| Search string | Results |
|---|---------|
| Autocontour | 0 |
| Autocontouring | 0 |
| artificial intelligence AND radio* AND contour* | 2 |
| machine learning AND radiotherapy AND contour | 0 |
| artificial intelligence AND radio* AND plan* | 6 |
| machine learning AND radio* AND plan* | 2 |

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| artificial intelligence AND radio* AND optimi* | 0 |
|--|---|
| machine learning AND radio* AND optimi* | 1 |
| Al-rad companion | 0 |
| Art-plan | 0 |
| Autocontour | 0 |
| DLCexpert | 0 |
| DLC-expert | 0 |
| DLC expert | 0 |
| INTContour | 0 |
| INT Contour | 0 |
| limbusAl | 0 |
| limbus Al | 0 |
| limbus-Al | 0 |
| Limbus Contour | 1 |
| MIM contour | 0 |
| ProtegeAl | 0 |
| MRCAT | 0 |
| MVision | 0 |
| Osairis | 0 |
| Raystation | 0 |
| Workflow box | 0 |

CEA Registry

| Search string | Results |
|---|---------|
| contour* OR auto-contour* or autocontour* | 0 |
| Artificial intelligence | 5 (0) |
| Machine learning | 5 (0) |

ScharrHUD

| Search string | Results |
|---|---------|
| contour* OR auto-contour* or autocontour* | 0 |
| Artificial intelligence | 0 |
| Machine learning | 0 |

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| (radio* or radiation) AND (map* or plan* or segment* or contour*) 6 (0) |
|---|
|---|

NICE

contour or contouring or autocontour or autocontouring or artificial intelligence or machine learning (as separate searches)

= 6 guidelines

SIGN

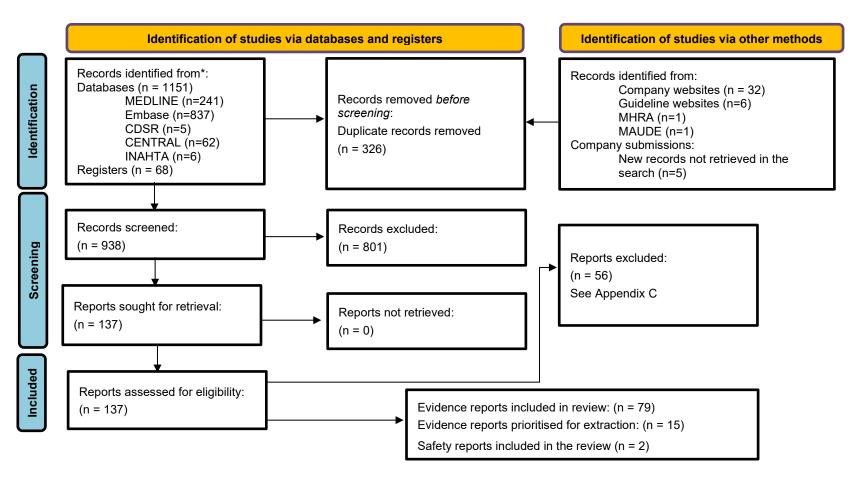
contour or contouring or autocontour or autocontouring or artificial intelligence or machine learning (as separate searches)

= 0 guidelines

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Appendix B: PRISMA flow diagram



From: Page MJ, McKenzie JE, Bossuyt PM, Boutron I, Hoffmann TC, Mulrow CD, et al. The PRISMA 2020 statement: an updated guideline for reporting systematic reviews. BMJ 2021;372:n71. doi: 10.1136/bmj.n71. For more information, visit: http://www.prisma-statement.org/

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Appendix C: List of excluded studies

| Author | Reason for exclusion | | | |
|-----------------------------------|------------------------|--|--|--|
| Ahn, 2019 ⁹⁷ | Wrong intervention | | | |
| Alves, 2021 ⁹⁸ | Wrong study design | | | |
| Aoyama, 2021 ⁹⁹ | Wrong intervention | | | |
| Azria, 2022 ¹⁰⁰ | Wrong intervention | | | |
| Bakx, 2021 ¹⁰¹ | Wrong intervention | | | |
| Byun, 2021 ¹⁰² | Wrong intervention | | | |
| Canters, 2021 ¹⁰³ | Wrong intervention | | | |
| Chan, 2018 ¹⁰⁴ | Wrong intervention | | | |
| Chen, 2021 ¹⁰⁵ | Wrong intervention | | | |
| Choi, 2020 ¹⁰⁶ | Wrong intervention | | | |
| Chuter, 2018 ¹⁰⁷ | Wrong intervention | | | |
| Crouzen, 2021 ¹⁰⁸ | Wrong intervention | | | |
| Ewinckele, 2020 ¹⁰⁹ | Background article | | | |
| Feng, 2019 ¹¹⁰ | Wrong intervention | | | |
| Feng, 2020 ¹¹¹ | Wrong intervention | | | |
| Feng, 2020 ¹¹² | Wrong intervention | | | |
| Feng, 2021 ¹¹³ | Wrong publication type | | | |
| Fi, 2019 ¹¹⁴ | Wrong intervention | | | |
| Fong, 2021 ¹¹⁵ | Wrong intervention | | | |
| Gan, 2021 ¹¹⁶ | Wrong intervention | | | |
| Garcia-Perez, 2018 ¹¹⁷ | Wrong intervention | | | |
| Ghimire, 2021 ¹¹⁸ | Wrong intervention | | | |
| Ghimire, 2022 ¹¹⁹ | Wrong intervention | | | |
| Giaddui, 2016 ¹²⁰ | Wrong intervention | | | |
| Giaddui, 2016 ¹²¹ | Wrong intervention | | | |
| Gleeson (2023) ¹²² | Wrong intervention | | | |
| Gooding, 2022 ¹²³ | Background article | | | |
| Gungor, 2022 ¹²⁴ | Wrong intervention | | | |
| Hammers 2020 ¹²⁵ | Wrong intervention | | | |
| He, 2022 ¹²⁶ | Wrong intervention | | | |
| Hedrick, 2022 ¹²⁷ | Wrong study design | | | |
| Hern, 2022 ¹²⁸ | Wrong intervention | | | |

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| Johansson, 2022 ¹²⁹ | Wrong intervention |
|------------------------------------|--------------------|
| Khalifa, 2022 ¹³⁰ | Wrong study design |
| Loap, 2023 ¹³¹ | Wrong intervention |
| Lu, 2021 ¹³² | Wrong intervention |
| Magallon-Baro, 2022 ¹³³ | Wrong intervention |
| Nash, 2022 ¹³⁴ | Wrong intervention |
| Newman, 2022 ¹³⁵ | Wrong intervention |
| Nicolae, 2020 ¹³⁶ | Wrong intervention |
| O'Hara, 2022 ¹³⁷ | Wrong intervention |
| Okada, 2022 ¹³⁸ | Wrong intervention |
| Shelley, 2023 ¹³⁹ | Wrong intervention |
| Sibolt, 2021 ¹⁴⁰ | Wrong study design |
| Singh, 2019 ⁹⁶ | Wrong study design |
| Tsui 2021 ¹⁴¹ | Wrong intervention |
| van de Sande, 2021 ¹⁴² | Wrong intervention |
| Visak, 2023 ¹⁴³ | Wrong intervention |
| Vrtovec, 2020 ¹⁴⁴ | Background article |
| Wang, 2019 ¹⁴⁵ | Wrong intervention |
| Wang, 2019 ¹⁴⁶ | Wrong intervention |
| Yang, 2020 ¹⁴⁷ | Wrong intervention |
| Yedekci, 2022 ¹⁴⁸ | Wrong intervention |
| Zabel, 2020 ⁹⁰ | Wrong study design |
| Yedekci 2023 ¹⁴⁹ | Wrong intervention |
| Zhong, 2021 ¹⁵⁰ | Wrong intervention |

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Appendix D. Additional study results

This table presents results for clinical effectiveness outcomes. Further details compared to the results presented in the main clinical section are provided where relevant. However, there has been a focus on making the results understandable rather than presenting all minutiae.

Table 11: Study results for clinical effectiveness

| References | Structures | Results | Authors conclusions | | | | |
|---|---|---|--|--|--|--|--|
| Al-Rad Compa | AI-Rad Companion Organs RT | | | | | | |
| Ginn 2023 ⁶ | Head & neck Pelvis | Time-saving metrics: Editing contours was faster than manual contouring with an average time saving of 43.4% or 11.8 minutes per patient. Qualitative assessment: 240 structures were scored, with > 95% of structures receiving a score of 3 (only minor edits needed) or 4 (clinically usable). Of the structures reviewed, only 11 structures needed major revision or to be redone entirely. Structures that needed more revision included the prostate, the oesophagus and the optic nerves. Geometric analysis: Dice and Jaccard scores showed high alignment (> 0.8) for relatively large organs such as the lungs, brain, liver, femurs, and kidneys. Smaller elongated structures had lower scores. Poor performing outlier cases included overestimation of the bladder and incorrect truncation of the spinal cord and femur contours. | Our results indicate the evaluated auto-contouring solution has the potential to reduce clinical contouring time. The algorithm's performance is promising, but human review and some editing is required prior to clinical use. | | | | |
| ART-Plan | | | • | | | | |
| Blanchard 2020 ¹² (abstract) | v1.0 (trained on 6,000 cases): 96% of all manual contours were classified as clinically | | This study illustrates the potential of AI for automatic contouring of organs at risk in radiotherapy planning. Automatic contouring with this CE-marked software was very | | | | |

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| References | Structures | Results | Authors conclusions |
|-------------------------------------|-------------|---|---|
| | | v2.0 (trained on 21,000 cases): contours classified as clinically useable (A + B) increased significantly, reaching 100% for mandibles, 98% for brain stem, 98% for optic nerve and 92% for submandibular gland, versus 100%, 97%, 63% and 50% for v1.0, respectively. | close to expert contouring and clinically usable in the vast majority of cases. |
| | | Geometric analysis : For optic nerve and submandibular gland, mean DICE score improved from 0.53 to 0.70 and 0.70 to 0.78 between v1.0 and v2.0 of the software, whereas mean HD score decreased by 30% and 17%, respectively. | |
| AutoContour | | | |
| Leyva 2022 ¹⁸ (abstract) | Head & neck | Geometric analysis : Good agreement was found between the AI generated contours and manually drawn clinical contours. Nine out of ten had mean surface distance less than 5mm, while DICE scores of greater than 0.7 were found for 60% of the sample included. However, a larger variance in DICE scores was seen for structures with small volume (< 5cc) such as pituitary, chiasm and cochlea, as well as for structures that were manually drawn solely in the area of interest, such as the spinal cord and oesophagus. | AutoContour tool generates clinically acceptable normal structure contours and is efficient in removing inter-user segmentation variability that occurs with manual segmentation but more qualitative research is needed. |
| DLCExpert | • | | |
| Hague 2020 ²¹ | Head & neck | Qualitative assessment: Scores were from 1 (good agreement') to 7 (gross error); a score of 5 or less was defined as clinically acceptable. Scores were assigned based on scans from three different MRI machines (diagnostic, planning and MR-linac). The mean score using diagnostic scans was 1.4, for planning scans it was 1.9, while for MR-linac it was 5.4. Geometric analysis: Automated contours showed good agreement with manual contours on the diagnostic and planning scans for bilateral parotid glands and submandibular glands (with a mean DICE score of 0.8 or above). The agreement was lower for the MR-linac scan for the bilateral parotid glands (mean DICE of 0.70). There | MR -based deep learning auto-contouring models show promise as an aid to clinician OAR contouring. A model trained on diagnostic MR images has been shown to work well on planning images as well as diagnostic images. However, extending this MR-linac images shows that these models remain sensitive to the MR sequence used. |

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| References | Structures | Results | Authors conclusions |
|-----------------------------|-------------|--|--|
| | | was a lack of overlap between automated and manual contours on the MR-linac images for the left and right submandibular glands (mean DICE of 0.10 and 0) | |
| Van Dijk 2020 ²² | Head & neck | Time-saving metrics : Deep learning contouring reduced the delineation time compared to atlas contours. The average delineation time for the expert and beginner observer for the atlas contours were 36 ± 7 and 59 ± 14 minutes, respectively, reducing slightly to 34 ± 6 and 54 ± 8 minutes, respectively, for the deep-learning contours. | The deep learning contouring, trained on a large head and neck cancer patient cohort, outperformed atlas contouring for the majority of head and |
| | | Qualitative assessment: Deep learning contours were more often preferable to the atlas contours overall, were considered to be more precise, and were more often confused with manual contours (in the Turing test). The overall misclassification of manual contours was 41%, with 32% of them being marked as requiring correction. However, manual contours still outperformed both deep learning and atlas contours. Nevertheless, deep learning contour results were within or bordering the inter-observer variability for the manual edited contours. | neck outcomes of interest. |
| | | Dosimetric analysis : The mean dose differences ($ \Delta$ mean-dose $ $) between the glandular manual and auto-contours were lower for deep learning contours (0.9 ± 1.3 Gy) than for atlas contours (1.9 ± 2.7 Gy). Likewise, the mean dose differences decreased significantly for all upper digestive tract and airway organs, except for the right buccal mucosa. For the CNS, mean dose differences were comparable between atlas and deep learning contours, while deep learning contouring reduced the mean dose distance in the carotid arteries. | |
| | | Geometric analysis: For glandular organs at risk, DICE values for deep learning contours significantly improved over atlas, with the largest difference for the thyroid gland, where DICE increased from 0.60 ± 0.15 (atlas) to 0.83 ± 0.08 (deep learning). Similarly, for the upper digestive tract and airway organs, DICE values were significantly higher for deep learning compared to atlas for all except for the oral cavity. For the CNS, DICE values were slightly higher for atlas than for deep learning contouring (DICE >0.86 and >0.84, respectively) for the brainstem, cerebrum, and | |

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| References | Structures | Results | Authors conclusions | |
|----------------------------|--------------------------------|--|---|--|
| | | spinal cord. Finally, DICE values were substantially higher for deep learning (0.68 \pm 0.11) than for atlas (0.29 \pm 0.12) for the carotid arteries. | | |
| Vaassen 2021 ³² | Thorax (lung cancer) | Dosimetric analysis: Dosimetric effect of intra-observer contour variability was highest for Heart D _{max} (3.4 ± 6.8 Gy) and lowest for Lungs D _{mean} (0.3 ± 0.4 Gy). The effect of contour variation on treatment plan evaluation was highest for Heart D _{max} (6.0 ± 13.4 Gy) and oesophagus D _{max} (8.7 ± 17.2 Gy). However, dose differences for the various treatment plans, evaluated against the manual contour, were on average below 1 Gy/1%, and the majority of treatment plans fulfilled the planning objectives. Some patients were assigned doses by auto-contouring above the clinical constraint: this happened for the heart (x3), the lungs (x6) and the spinal cord (x1). For the heart, the clinical constraint was exceeded for patients where the target was located close to, or overlapped with, the heart. For Lungs, this was due to large tumour size. For the spinal cord, the tumour was located next to the spinal cord. Geometric analysis: The highest DICE scores were for lungs (1.0), while the lowest DICE score was for the oesophagus (0.46) (although the highest HD score—and therefore poorest alignment according to that metric—was for the heart). | This study shows the potential for procedures to use automatic delineation and planning in the thorax in clinical practice. Dose differences arising from automatic contour variations were of the same magnitude or lower than intra-observer contour variability. | |
| INTContour | ! | | | |
| Duan 2022 ⁴² | Pelvis (prostate cancer) | Qualitative assessment: In the double-blinded evaluation, 95.7% of the Al contours were scored as either "perfect" (34.8%) or "acceptable" (60.9%), while only one (prostate) case (4.3%) was scored as "unacceptable with minor changes required." The reference contour was picked as the better contour in seven of 23 cases, and the Al contour was picked as the better contour in three cases. The remaining 13 cases were "too close to call". | Automated treatment plans created from the Al contours produced similar and clinically acceptable dosimetric distributions as those from plans created from reference contours. | |
| | | Dosimetric analysis : Al contours produced a statistically significant difference in bladder dose (a lower dose). No statistically significant differences were found in other organs at risk. All organs at risk satisfied the dose constraints of RTOG-0815 (contouring guidelines) | | |

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| References | Structures | Results | Authors conclusions | | | | |
|---------------------------|--|--|--|--|--|--|--|
| | Geometric analysis: The AI contours demonstrated good accuracy on organs at risk and prostate contours, with average DICE scores for bladder, rectum, femoral heads, seminal vesicles, and penile bulb of 0.93, 0.85, 0.96, 0.72, and 0.53, respectively. The DICE, HD, and mean surface distance for the prostate were 0.83 ± 0.05, 6.07 ± 1.87 mm, and 2.07 ± 0.73 mm, respectively. | | | | | | |
| Limbus Contou | ur | | | | | | |
| Radici 2022 ⁴⁴ | Pelvis (prostate cancer) Bowel (rectal cancer) Thorax (breast cancer) | Time-saving metrics: The maximum time saving, both absolute and relative, was in head and neck contours (80 min, -65%). Time savings were also seen for breast (7 min, -46%); prostate (4 min, -18%), and rectum contours (3 min, -17%). Dosimetric analysis: The most relevant difference between auto and manual contours was found in the bowel for rectal cancer treatments: the mean volume covered by the 45 Gy isodose was 10.4 cm³ for the manually contoured structures versus 289.4 cm³ for the auto-contoured ones. The reason for this large difference is discussed later in the paper, where the authors noted that differences in the definition of the bowel between automatic and manual contours justified the dosimetric variation observed. Otherwise, dose distributions were similar between auto and manual contours. Geometric analysis: The lowest DICE score was for the penile bulb (0.39), while the best results were found for lungs (0.99). Good agreements were found for bladder, heart, and femoral heads, all with values greater than or close to 0.9. Considering all structures, the average DISC score was 0.72 | Automatic contouring was able to significantly reduce the time required in the procedure, simplifying the workflow, and reducing interobserver variability. Its implementation was able to improve the radiation therapy workflow in our department. | | | | |
| Wong 2021 ⁴⁵ | Central nervous system Head & neck | Qualitative assessment: "Satisfaction scores" could be assigned from 1 (poor) to 5 (high). The mean score for CNS was 4.1, for head and neck it was 4.4, and for prostate it was 4.6. "Editing scores", on the other hand, ranged from 1 (minimal editing required) to 5 (significant editing required). Most deep learning contours required minimal edits (mean editing score ≤2). The highest editing scores were for optic chiasm (3.4), prostate (2.8) and mandible (2.3). | Previously validated deep learning contouring models for CNS, head and neck, and prostate radiotherapy planning required minimal subjective and objective edits. High user satisfaction suggests that the auto | | | | |

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| References | Structures | Results | Authors conclusions |
|--------------------------|---|--|---|
| | Pelvis (prostate cancer) | Geometric analysis : Unedited DCs were compared to the edited treatment approved contours. Mean DICE HD scores were ≥ 0.90 and ≤ 2.0mm, respectively. The poorest scores were for the optic chiasm. | contours may have served as appropriate starting points. |
| Wong 2020 ⁴⁶ | Central nervous system Head & neck Pelvis (prostate cancer) | Time-saving metrics: The mean auto and manual contouring times were, respectively: 0.4 vs 7.7 min for CNS; 0.6 vs 26.6 min for head and neck; 0.4 vs 21.3 min for prostate. Geometric analysis: Geometric analyses focused on inter-observer variation, to determine if the variation between deep learning and manual contours is comparable to variation among manual contours by ROs. For CNS structures, geometric metrics were not significantly different for the optic chiasm. However, variation was greater between deep learning and manual contours vs among manual contours alone for the optic globe (DICE score 0.85 vs 0.87, respectively). For head and neck, geometric metrics were not significantly different for spinal cord, parotid gland, submandibular gland. Variation was greater, however, between deep learning and manual contours vs among manual contours alone for the neck clinical target volume (DICE score 0.72 vs 0.79). For prostate, geometric metrics were not significantly different for seminal vesicles and rectum. However, variation was greater between deep learning and manual contours | We found that deep learning contours take significantly less time to generate than manual contours and approximate the expert Interobserver-variability seen for organs at risk. Deep learning contours for clinical target volumes were less accurate, but given that these volumes highly depend on the clinical scenario and clinical judgement of the treating physician, they would likely serve as a usable starting template for patient specific adjustments. |
| MIM Contour D | va 4 ś n ś A I | vs among manual contours alone for bladder (DICE score 0.97 vs 0.96), femoral head (0.91 vs 0.91) and prostate (0.79 vs 0.83). | |
| MIM Contour Pi | rotegeAl | T | |
| Urago 2021 ⁵⁸ | Head & neck Pelvis (prostate cancer) Time-saving metrics: The processing time to create delineations was approxim min per case on the atlas-based model and approximately 5 min (range, 3–10 m case on the Al-based model for the patients with prostate cancer. For patients whead and neck cancer, the processing times were both approximately 6 min (range, 3–10 m case on the Al-based model for the patients with prostate cancer. For patients whead and neck cancer, the processing times were both approximately 6 min (range, 3–10 m case on the Al-based model for the patients with prostate cancer. For patients whead and neck cancer, the processing times were both approximately 6 min (range, 3–10 m case on the Al-based model for the patients with prostate cancer. For patients whead and neck cancer, the processing times were both approximately 6 min (range, 3–10 m case on the Al-based model for the patients with prostate cancer. For patients whead and neck cancer, the processing times were both approximately 6 min (range, 3–10 m case on the Al-based model for the patients with prostate cancer. For patients whead and neck cancer, the processing times were both approximately 6 min (range, 3–10 m case on the Al-based model for the patients with prostate cancer. For patients whead and neck cancer, the processing times were both approximately 6 min (range, 3–10 m case on the Al-based model for the patients where the processing times were both approximately 6 min (range, 3–10 m case on the Al-based model for the patients). | | The effectiveness of the commercial Al-based model can be expected to improve the segmentation efficiency and to significantly shorten the delineation time. |

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| References | Structures | Results | Authors conclusions |
|----------------------------|--------------------------------|--|--|
| | | Qualitative assessment: For prostate cancer patients, some errors were observed in the atlas-based delineations when the boundary between the small bowel or the seminal vesicle and the bladder was unclear. The Al-based delineations, on the other hand, were more consistent with the manual ones. For patients with head and neck cancer, no significant differences were observed between the two models for almost all organs at risk, except small delineations such as the optic chiasm and optic nerve (for both atlas and Al-based delineations). | |
| | | Geometric analysis : In both the atlas- and AI-based models, the median DICE score exceeded 0.8, indicating good agreement with the manual delineations. In the AI contours, the median value was closer to 1, and the interquartile range was smaller than that of the atlas contours. Similarly, for HD, the median and interquartile range of AI-based assessment was smaller than that of the atlas-based assessment in both the bladder and the rectum. Mean distance to agreement results were similar to those of HD. | |
| MRCAT Prostat | te plus Auto-con | ntouring | |
| Kuisma 2020 ⁶⁶ | Pelvis (prostate cancer) | Geometric analysis : DICE scores (mean, SD) showed high alignment for delineating prostate were 0.84, for bladder they were 0.92, and for rectum 0.86. DICE scores were lower (showing moderate alignment) for seminal vesicles (0.56) and penile bulb (0.69). In repeat assessment, using a second scan taken a median of 8 days after the first scan, consistency of prostate delineation resulted in a mean DICE score of 0.89 for auto-contours, while mean DICE scores for manual delineation was 0.82. | Fully automated MRI segmentation tool showed good agreement and repeatability compared with manual segmentation and was found clinically robust in patients with PC. However, manual review and adjustment of some structures in individual cases remain important in clinical use |
| MVision Segme | entation Service | | |
| Strolin 2023 ⁶⁸ | Head & neck | Time-saving metrics : The median (range) time for manual delineation, deep learning-based segmentation, and subsequent manual corrections were 25.0 (8.0-115.0), 2.3 (1.2-8) and 10.0 minutes (0.3-46.3), respectively. The overall time for volume of | Our analysis revealed the positive impact of introducing and validating a novel CE- |

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| References | Structures | Results | Authors conclusions | |
|--------------------------|------------------------|---|---|--|
| | Thorax | interest retrieving and modification was statistically significantly lower than for manual contouring (p<0.001) | and FDA- approved commercial deep learning tool | |
| | Abdomen | Qualitative assessment: The deep learning tool was generally appreciated by ROs, | for automatic segmentation in terms of; i) a high level of clinicians' satisfaction, | |
| | Male and female pelvis | with 44% of vote 4 (well done) and 43% of vote 5 (very well done), correlated with the saved time (p<0.001). The average satisfactory grade per district was higher than 4, except for the female pelvis. | particularly for complex cases including large and numerous organs, ii) saving time, and iii) improving the consistencies of | |
| | | Geometric analysis: Overall, DICE scores increased with the volume of the investigated volume of interest. Median DICE scores, when comparing manually adjusted auto-contours vs unedited auto-contours were higher than 0.8 for all the organs except for the oesophagus and glottis in the head and neck district. The relative volume differences and similarity indexes suggested a better inter-agreement of manually adjusted auto-contours than manually segmented ones. | volumes of interest amongst different ROs. | |
| OSAIRIS | | | | |
| Oktay 2020 ⁷² | Pelvis (male) | Time-saving metrics : Manual segmentation of nine organs at risk took 86.75 min/scan for expert reader and 73.25 min/scan for radiation oncologist. With AI to assist them in | The models achieved levels of clinical accuracy within | |
| | Head & neck | reviewing and editing it took 4.98 (95% CI, 4.44-5.52) min/scan for head and neck scans and 3.40 (95% CI, 1.60-5.20) min/scan for prostate scans. The autogenerated contours represented a 93% reduction in time. | expert inter-observer variability while reducing manual contouring time and performing consistently well | |
| | | Geometric analysis : The auto-contouring models achieved levels of clinical accuracy within the bounds of expert interobserver variability for 13 of 15 structures (the left and right submandibular glands were the only two structures outside the bounds). The models also performed consistently well according to DICE scores and similar metrics. The lowest DICE score for the head and neck structures was 0.79, for the right submandibular gland, while the highest was 0.96, for the mandible. For pelvic structures the lowest DICE score was 0.73, for the seminal vesicles, and the highest was 0.982, for the left femur. | across previously unseen heterogeneous data sets. With the availability of open-source libraries and reliable performance, this creates significant opportunities for the transformation of radiation treatment planning. | |
| RayStation | 1 | ' | 1 | |

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| References | Structures | Results | Authors conclusions |
|----------------------------|--|---|--|
| Almberg 2022 ⁷³ | Thorax (left- sided breast cancer) | Qualitative assessment: No or only minor corrections were required for 14% and 71% of the CTVs and 72% and 26% of the OARs, respectively. Major corrections were required for 15% of the CTVs and 2% of the OARs. None of the structures, neither target volumes nor OARs, were scored as "not usable". The lungs and sternum did not need any corrections, while the most frequent corrections occurred in the cranial and caudal parts of the structures. | The model is now clinically implemented at both hospitals and will be combined with other existing models and soon be available world-wide. |
| | | Dosimetric analysis: VMAT-plans were automatically optimised based on the autocontours using an in-house developed script in RayStation. Dose coverage (D98; lowest dose to 98% of the volume) for auto-contours was compared to those from the manual reference contours. While some statistically significant differences were found, these differences were considered clinically irrelevant. | Alongside implementation, quality assurance and monitoring should be performed, to gain further understanding of both the capabilities and limitations of the model. |
| | | Geometric analysis : The metrics (DICE and HD) for auto-contours were better than manual inter-observer variation for all target volumes, reaching statistical significance for all except the breast. The trend was the same for the OARs: one or both metrics were significantly better for auto-contours than for manual inter-observer variation; the only exception was the thyroid gland. | |

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Appendix E. SCM responses to cost-effectiveness question

Table 12 SCM responses to the EAGs cost effectiveness questions

| Question | SCM 1 | SCM 2 | SCM 3 | SCM 4 | SCM 5 | SCM 6 | SCM 7 | SCM 8 |
|--|--|-------------------------------|---|--|---|---|--|---|
| posed to SCM | response | response | response | response | response | response | response | response |
| In your experience, does the use of AI auto contouring result in a reduction in clinician time compared to the standard approach used? | Yes (mostly). Apart from structures where a different definition/guideli nes may be used Or for (small number) of patients who are known to have unusual anatomy / post-surgical changes in anatomy, which current Al segmentation cannot manage well. | Yes | Yes | If used correctly with the right software, then yes | At the moment its hard to tell, although I expect the degree of time saving will improve. Our experience was that currently there was no benefit. | In general no. OARs are often not contoured by clinicians, but rather by technical staff (radiographers, physicists, dosimetrists) and quickly checked for gross error by clinicians. Introducing Al contouring for OARs is therefore not reducing clinician time in our experience | Yes, very clearly. Both expected time savings for OARs that were previously outlined manually. Also, some unexpected benefits e.g. lung tumour CTV definition, still done manually but time is reduced by being able to exclude local normal structures (outlined with AI) from the CTV using TPS Boolean tools. | Yes; there will be some reduction in clinician time. It could potentially speed up RT pathways and hence enable quicker access to treatment as well as clinician's ability to do more cases per unit of time. |
| From your perspective, what is the primary | Efficiency and harmonisation gains. | Reduction in delineation time | It saves time in contouring routine organs at risk , good | Frees staff for more complex cases where AI not appropriate | the primary benefit is time saving. | Primary benefit is greater standardisation of contours | Time saving. Hopefully improved consistency too | Reduction in the time required for contouring OARs – may |

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| benefit of using Al- auto contouring, compared to current standard practice | Allows all staff to have a harmonised vocabulary and knowledge to discuss contours. Allows us to realistically design audit or research questions using large numbers of patients, with full contouring (which would be un-feasible if this was all manually contoured). | | standardised approach in contouring and labelling as compared to manual process. | and increasing clinical load Standardises contours among observers | | | | facilitate shortening of RT pathways and better access for patients. There may be some harmonisation of practices and reductions in inter-observer variability |
|---|--|---|---|--|--|---|---|--|
| Do you foresee any improvement in patient disease progression or survival outcomes from these technologies? | This would be very difficult to demonstrate in a scientifically and statistically rigorous way, and potentially not very ethical to test this prospectively in a full randomised controlled trial. It is **possible** that efficiency gains could | Yes. Accurate Organ at Risk delineation will lead to a reduction in treatment toxicity. Al delineation of target structures may lead to improved clinical outcomes. | Yes, if there is a complete solution from contouring to planning technique. | Could be if shortening treatment pathways, but this is less relevant for prostate cancer. In theory in centres where Al contours are more clinically appropriate than the local clinician contours. Might also help if more accurate | If centres follow current guidelines and peer review all contours (whether human or machine generated), there should be no difference in contours and plan dosimetry. If Al contours are not properly reviewed, it could lead to | Not currently. More likely to reduce toxicity | Not where it is being used to simply replicate manual contouring. Perhaps where it allows structures to be outlined where they weren't before or for techniques to be changed e.g., breast Radiotherapy changing from a VSIM approach | No. OAR delineation would not be anticipated to have an impact on disease progression. Some tools offer prophylactic nodes delineation but I would not anticipate this to have an impact |

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| | | | | , |
|----------------------|-----------------|--------------------|------------------|---|
| shorten patient | bowel etc | worse outcomes | to target volume | |
| pathways, and | contouring for | as it could result | based | |
| that could | toxicity rates. | in suboptimal | | |
| influence | | treatments. | | |
| outcomes in a | | Conversely, if we | | |
| positive | | ever reach a | | |
| way. Also, any | | point where AI | | |
| reduction in | | contours result in | | |
| unwarranted | | significant time | | |
| variations (e.g. | | saving, this | | |
| on contour | | could | | |
| definition or | | | | |
| accuracy) would | | | | |
| also have a | | | | |
| positive impact | | | | |
| on patient | | | | |
| outcomes. | | | | |
| | | | | |
| It is possible that | | | | |
| use of Al | | | | |
| segmentation on | | | | |
| retrospective | | | | |
| patient cohorts | | | | |
| (where follow up | | | | |
| data on disease | | | | |
| progression or | | | | |
| survival was | | | | |
| available) could | | | | |
| facilitate audit | | | | |
| and research. | | | | |
| This sort of | | | | |
| retrospective | | | | |
| audit/registry trial | | | | |
| could be a good | | | | |
| way of | | | | |
| way or | | 1 | | |

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| | the many consecutive steps of patient treatment pathway. | | | | if the systems are good enough | regular as the models are not yet fully mature in most cases). | | |
|--|--|--------|--|--|---|--|---|-----|
| On average, how much time do you spend editing AI auto contours? | Varies hugely depending on tumour site and individual structure. Also can be very patient dependant. HN lymph nodes – estimated around 10 mins average editing time | 30mins | less than 15 minutes if it is only organ at risk | It would depend on how accurate the contour is, and the structure. IF accurate then a minute or so for prostate. Can be more for SV. Many contours are appropriate for clinical use but we are just so used to 'tweaking' them | We have decided not to use these systems currently as we did not feel they are currently worth the expense. | Difficult to say as once AI models are in routinely clinical use, we do not monitor time spent changing AI auto contours. We know from local data that <3% of AI auto contours are grossly incorrect, usually due to unusual patient set-up or anatomy. | It varies greatly for different treatment sites and different structures, and depends on how the AI is implemented, what imaging protocols are used | N/A |

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Appendix F. Description of cost offset calculator

The purpose of this appendix is to provide a user guide and summary implications of the Excel cost offset calculator for AI auto-contouring.

To use:

- Enter the estimated cost of the AI intervention per radiotherapy planning session. This should include any licence fee and any specific equipment required over and above that required for manual contouring. If the licence fee is on a per centre or per period of time basis rather than per plan then an estimate of the cost per plan must be made based on expected number of plans per period of time.
- Enter the time saved from auto-contouring vs manual. This should be the estimated time to prepare a manual plan less the estimated time to prepare an auto-contouring plan.
- Select the grade of staff who performs the contouring from the dropdown list of options.

Based on this information, an hourly cost for the staff grade is estimated based on 2021 unit costs (the latest available at the time of writing). Multiplying this by the time saved gives an estimate of the value of the time. The net cost is simply the cost of the AI system less the cost of time saved.

For example, suppose the AI system cost £8 per plan and reduced the time taken to prepare a plan by 30 minutes and a registrar usually performed the contouring. At the time of writing, the hourly cost of a registrar is £52, so the value of time saved is £26 (£8 - £26 = -£18). Therefore, the cost saving per plan is £18.

Under this scenario, the AI system can cost up to the £26 value of time saved for it to be cost-neutral to the NHS.

Likewise, If a band 7 radiographer were to perform the contouring (£65ph) and the per-plan cost of AI was only £4, it would have to save only 4/65 = 0.062 of an hour = ~4 minutes for it to be cost neutral. If the per plan cost was £50, then it has to save at least 50/65 = 0.769 of an hour = ~47 minutes for it to be cost-neutral.

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NATIONAL INSTITUTE FOR HEALTH AND CARE EXCELLENCE

Health technology evaluation

Assessment report overview

Artificial intelligence technologies to aid contouring for radiotherapy treatment planning

This assessment report overview has been prepared by the Medical Technologies Evaluation Programme team to highlight the significant findings of the external assessment group (EAG) report. It includes **brief** descriptions of the key features of the evidence base and the cost analysis, any additional analysis carried out, and additional information, uncertainties and key issues the committee may wish to discuss. It should be read along with the external assessment report. The overview forms part of the information received by the medical technologies advisory committee when it develops its recommendations on the technology.

Key issues for consideration by the committee are described in Section 9, following the brief summaries of the clinical and cost evidence.

This report contains information that has been supplied in confidence and will be redacted before publication. This information is underlined and highlighted in either (for academic in confidence information) or in (for commercial in confidence information). Any depersonalised data in the submission document is underlined and highlighted in

This overview also contains:

• Appendix A: Sources of evidence

1 The technology

This early value assessment (EVA) focuses on the use of artificial intelligence (AI) technologies to aid contouring for radiotherapy treatment planning. Contouring is an important part of the radiotherapy treatment planning process. It involves outlining the target volumes and organs at risk (OAR) to guide radiotherapy so that treatment is effective and radiation toxicity is reduced. AI technologies aim to improve contouring efficiency by automatically contouring the OAR and perhaps the target volumes before radiotherapy. They have been trained to process images from CT or MRI scans to produce an initial contour. Images and contours are then reviewed by trained healthcare professionals and modified as needed before use. A total of 11 AI technologies are included in this assessment:

- Al-Rad Companion Organs RT (Siemens Healthineers)
- ART-Plan (TheraPanacea, Oncology Systems)
- AutoContour (Radformation)
- DLCExpert (Mirada Medical)
- INTContour (Carina Medical)
- Limbus Contour (Limbus AI, AMG Medtech)
- MIM Contour ProtégéAl (MIM Software)
- MRCAT Prostate plus Auto-contouring (Philips)
- MVision Segmentation Service (MVision Al Oy, Xiel)
- OSAIRIS (Cambridge University Hospitals NHS Foundation Trust)
- RayStation (RaySearch)

Details on these technologies are provided in the topic scope and assessment report on the NICE website.

2 Proposed use of the technology

2.1 Disease or condition

External beam radiotherapy uses ionising radiation to kill cancer cells in the treated area. It aims to give a high dose of radiation to cancer cells but as low a dose as possible to nearby healthy cells. The National Disease Registration Service reports that there were over 2.2 million people living with cancer in England in 2020. The most common types of cancer were breast, prostate, lung and colorectal. Cancer survival varies depending on the type of cancer. NHS Digital's statistics on cancer survival in England reports that survival is lowest for pancreatic cancer. Low survival rates were also reported for gallbladder, liver, brain, oesophagus and lung cancer.

2.2 Patient group

The patient group for this assessment is people having radiotherapy treatment planning for external beam radiotherapy. The National Cancer Registration and Analysis Service and Cancer Research UK's statistics on chemotherapy, radiotherapy and surgical tumour resections in England reports that between 2013 and 2019, about 40% of people with cancer had radiotherapy as part of their cancer treatment. NHS England's radiotherapy dataset shows that there were 134,419 radiotherapy episodes in England in April 2021 to March 2022 and 1,507,521 attendances. Episodes refer to continuous periods of care while attendances are the number of visits to a radiotherapy department for treatment in an episode of care. The highest number of radiotherapy episodes and attendances were reported for female breast, prostate, lung, head and neck, and small intestine and colorectal cancer.

2.3 Current management

Manual contouring is the most common method used in standard care.

Manual contouring of target regions is usually done by clinical oncologists,
while contouring of OAR may also be done by clinical technologists,
dosimetrists or therapeutic radiographers. There are guidelines for contouring

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OAR and disease sites from organisations such as <u>European Society for Radiotherapy and Oncology</u> and the <u>Royal College of Radiologists</u>. Other contouring methods include atlas-based contouring and model-based segmentation, but these are not as widely used. Contours regardless of contouring method should be reviewed before being used in treatment planning in line with guidance such as the <u>Royal College of Radiologists</u> guidance on radiotherapy, target volume definition and peer review.

2.4 Proposed management with new technology

Al technologies would be used to provide an initial contour as part of the standard workflow for radiotherapy treatment planning. Clinical experts advised that they could be used to aid contouring for everyone having external beam radiotherapy. Al generated contours would be reviewed and edited as needed by trained healthcare professionals before being used in treatment planning.

There is some evidence that AI auto-contouring with healthcare professional review is quicker than manual or atlas-based contouring. It may save costs by reducing healthcare professional time needed to do contouring. It may also improve consistency of contouring between people, standardise processes and improve adherence to international guidelines. Clinical experts considered that AI auto-contouring could result in quicker radiotherapy treatment planning pathways and shorter time to treatment for patients. Increased efficiency from using AI auto-contouring may increase capacity, allow healthcare professionals to focus on patient-facing tasks and reduce waiting lists.

3 The decision problem

Details of the decision problem are described in the scope. No changes were made to the decision problem during the assessment. The topic title was changed after scoping to better reflect the proposed use of AI technologies as an aid in contouring with healthcare professional review and edits as needed.

4 The evidence

4.1 Summary of evidence of clinical benefit

The EAG found 27 full-text publications and 52 conference abstracts that were potentially relevant to the decision problem (Table 1). Because of the large number of publications, the EAG extracted data from 15 prioritised papers and provided only brief summaries of the other full-text publications. The rationale for selecting these studies is outlined in section 7.2 of the assessment report. The distribution of the potentially relevant evidence (79 studies) across the 11 technologies is provided in Table 1 below and Table 3 of the assessment report.

Table 1. Evidence landscape by technology with prioritised studies identified

| Technology | Publications | | |
|-------------------------------|--|--|--|
| AI-Rad Companion Organs RT | 1 prioritised study:1 retrospective and prospective study (Ginn et al. 2023) | | |
| | Other potentially relevant evidence: • 2 retrospective studies (Hu et al. 2023, Marschner et al. 2022) • 3 abstracts (Ginn et al. 2022, Maduro Bustos et al. 2022, Peng et al. 2022) | | |
| ART-Plan | 1 prioritised study: • 1 abstract (Blanchard et al. 2020) | | |
| | Other potentially relevant evidence: • 5 abstracts (Buatti et al. 2022, Costea et al. 2021, Gregoire et al. 2020, Nachbar et al. 2021, Rivera et al. 2020) | | |
| AutoContour | 1 prioritised study: • 1 abstract (Leyva et al. 2022) | | |
| | Other potentially relevant evidence: • 2 abstracts (Bice et al. 2022, Marasco et al. 2022) | | |
| DLCExpert | 3 prioritised studies: 3 prospective studies (Hague et al. 2021, Van Dijk et al. 2020, Vaassen et al. 2021a) | | |
| | Other potentially relevant evidence: 5 retrospective studies (Brouwer et al. 2020, Brunenberg et al. 2020a, Lustberg et al. 2018a, Vaassen et al. 2022, Walker et al. 2022) 15 abstracts (Aljabar et al. 2018, Alty et al. 2022, Bakker et al. 2018, Boukerroui et al. 2022, Brunenberg et al. 2020b, Geng et al. 2021, Gibbons et al. 2021, Gooding et al. 2018, Hague et al. 2020, Liu et al. 2020, Lustberg et al. 2018b, Poortmans | | |

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| | et al. 2019, South et al. 2020, Vaassen et al. 2021b, van de |
|--|---|
| | Glind et al. 2022) |
| INTContour | 1 prioritised study: 1 retrospective study (Duan et al. 2022) |
| | Other potentially relevant evidence: 1 retrospective study (Chen et al. 2020) |
| Limbus Contour | 3 prioritised studies: 3 prospective studies (Radici et al. 2022, Wong et al. 2021a, Wong et al. 2020a) |
| | Other potentially relevant evidence: 3 retrospective studies (D'Aviero et al. 2022, Wong et al. 2021b, Zabel et al. 2021) 8 abstracts (Coughlan et al. 2022, Fong et al. 2019, Kirkby et al. 2022, Kucharczyk et al. 2022, Wong et al. 2019a, Wong et al. 2019b, Wong et al. 2020c) |
| MIM Contour ProtégéAl | 1 prioritised study:1 prospective study (Urago et al. 2021) |
| | Other potentially relevant evidence: 1 retrospective study (Lastrucci et al. 2022) 6 abstracts (Cole et al. 2020, Halley et al. 2020, Kruzer et al. 2020, Lancellotta 2022, Martinez et al. 2022, Tsai et al. 2022) |
| MRCAT Prostate plus Auto-contouring | 1 prioritised study: 1 prospective study (Kuisma et al. 2020) |
| | Other potentially relevant evidence: 1 abstract (Maspero et al. 2018) |
| MVision Segmentation Service | 1 prioritised study: • 1 retrospective study (Strolin et al. 2023) |
| | Other potentially relevant evidence: 1 retrospective study (Kiljunen et al. 2020) 2 abstracts (Heikkila et al. 2020, Suresh et al. 2021) |
| OSAIRIS | 1 prioritised study: 1 retrospective study (Oktay et al. 2020) |
| RayStation | 1 prioritised study:1 retrospective study (Almberg et al. 2022) |
| | Other potentially relevant evidence: 1 retrospective study (Riquad et al. 2021) 2 abstracts (Liu et al. 2022, Sidorski et al. 2021) |
| Multiple technologies comparison | Other potentially relevant evidence: • 6 abstracts (Borkvel et al. 2022, Doolan et al. 2021, Gorgisyan et al. 2022, Liao et al. 2022, Rong et al. 2022, Yuan et al. 2022) |

A summary of the prioritised studies is presented for each technology with details in <u>Table 2</u> of this overview. More information on all the potentially relevant clinical evidence is provided in section 8 of the assessment report.

4.1.1 Study design

Of the 15 prioritised studies, the EAG categorised 8 as prospective, 5 retrospective and 2 as conference abstracts. Study methods were often poorly reported, and the sequence of events was often unclear. The EAG advised that this impacted on the generalisability of the evidence base. It considered that there was a paucity of high-quality prospective studies which would better control for confounding variables and potential bias.

4.1.2 Population

Sample sizes were often small with less than 100 cases. There were larger samples in retrospective studies but it was often unclear how these were selected and why. The EAG considered that this raised concerns of potential selection bias. The most assessed anatomical sites were head and neck (11 studies) and pelvis or prostate (10 studies). Only 2 of the prioritised studies were from the UK (DLCExpert and OSAIRIS). But the EAG considered that the evidence would be reasonably generalisable because of the technical nature of the studies and the use of international guidelines.

4.1.3 Interventions

The EAG reported that it was often unclear what the interventions in the studies consisted of. Software and algorithm version numbers were generally unreported, and it was not always clear if local training occurred for AI technologies with this capability. The EAG concluded that this may affect the generalisability of findings to other settings.

4.1.4 Comparator

The most common comparator was manual contouring, used in 13 of the 15 prioritised studies. Healthcare professionals varied across studies, with contouring sometimes done by a single radiation oncologist with or without

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peer review. Two studies compared unedited AI auto-contours with edited AI auto-contours, while 4 used atlas-based contouring alongside manual contouring. Comparators aligned with the decision problem in the scope.

4.1.5 Outcomes

There were 4 main types of outcomes: geometric, dosimetric, qualitative and time-saving. The most common outcome was geometric analyses, reported in all prioritised studies. But these may not be as clinically meaningful as the other 3 types of outcomes. Nine studies used qualitative assessment to rate the clinical usability of contours or degree of edits needed before use. Seven studies measured contouring time or time saved from using Al auto-contours, and 5 studies reported dosimetric outcomes. The EAG considered that future research should focus on clinically meaningful outcomes beyond geometric analyses.

4.1.6 Results

There is strong evidence for the potential usefulness of AI technologies as an aid in contouring for radiotherapy treatment planning. Al auto-contours were generally similar to manual contours with most rated as clinically acceptable. Most Al auto-contours were either ready to use or usable with only minor edits for most organs at risk (OAR) and clinical target volumes, but there were some that needed major edits or were unusable. Structures with larger volumes typically had higher DICE scores. Some smaller OAR structures repeatedly had lower DICE scores or needed more edits before use. These included the cochlea, optic chiasm, optic nerve, oesophagus, penile bulb and pituitary gland. Because of this, the EAG considered that current AI technologies should be used as an aid in contouring. Healthcare professionals will still need to review and edit auto-contours as needed before being used in radiotherapy treatment planning. Evidence of time saving showed Al autocontouring was consistently quicker than manual contouring, but the EAG advised that only reports of the time taken for Al auto-contouring plus manual edits are useful. This was reported for Al-Rad Companion Organs RT (Ginn et

al. 2023), Limbus Contour (Radici et al. 2022), MVision (Strolin et al. 2023) and OSAIRIS (Oktay et al. 2020). The EAG considered that it was not possible to say if any of the AI technologies were more effective. But it was clear from the evidence that AI auto-contouring performed better than atlasbased contouring. Detailed findings for each technology are presented in Table 2.

4.1.7 Adverse events

None of the prioritized studies reported adverse events. The EAG considered that this was most likely because all AI auto-contours needed approval by a qualified healthcare professional before use. In cases where an AI technology failed to produce a suitable contour, healthcare professionals performed manual corrections before contours were used in treatment planning.

The EAG identified 2 MAUDE (U.S. Food and Drug Administration) safety alerts in the last 5 years. Both were for RayStation and were related to the wider treatment planning system and not specifically Al auto-contouring. The company stated these issues will be corrected in a new release in June 2023.

The EAG considered that adverse events from AI auto-contouring are possible. These include occasionally misidentifying organs or poor-quality contouring if AI technologies are inaccurate or not based on guidelines. There is also a risk that as AI technologies become more successful, there is increased reliance on these tools which may result in decreased human review of auto-contours. It will be necessary to prevent the deskilling of the workforce and ensure that healthcare professionals maintain their skills to mitigate potential safety issues. There are also potential data protection risks with the processing of patient-data and sending it to the cloud of a host company after pseudonymization. AI technologies should have NHS England Digital Technology Assessment Criteria (DTAC) and local sites should conduct necessary risk assessments including data protection and security needs to use AI auto-contouring in clinical practice.

Table 2. Summary of results from prioritised studies

| Study | Geometric analysis | Dosimetric analysis | Qualitative assessment | Time saving | | | | |
|--|--|---------------------|---|--|--|--|--|--|
| Al-Rad Companion Organs RT (number of studies=1) | | | | | | | | |
| Ginn et al. (2023) Retrospective and prospective study 100 cases with head and neck (n=46) and pelvic (n=56) structures Al-Rad Companion Organs RT vs manual contouring Location: US | DICE and Jaccard scores were >0.8 for larger organs such as lungs, brain, liver, femurs and kidneys. Lower scores for smaller elongated organs. | | Over 95% of structures were rated as needing only minor edits or clinically usable. Only 11/240 structures needed major revisions. Structures needing more revisions were prostate, oesophagus and optic nerve. | Al auto-contouring with manual edits was quicker than manual contouring. Average time saved: 11.8 minutes (43%) per patient | | | | |
| ART-Plan (number of studies=1) | | | | | | | | |
| Blanchard et al. (2020) Abstract (prospective study) 100 cases with head and neck structures ART-Plan (v1.0 and v2.0) vs manual contouring Location: France | Mean DICE for optic nerve and submandibular gland improved from 0.53 to 0.70 (v1.0) to 0.70 to 0.78 (v2.0). Mean HD decreased 30% to 17%. | | 96% of manual contours were clinically usable, with 75% rated acceptable and 21% acceptable after minor corrections. 95% of ART-Plan (v1.0) contours were clinically usable, with 56% acceptable and 39% acceptable after minor corrections. Usability increased from v1.0 to v2.0. | | | | | |
| AutoContour (number of studies=1) | | | | | | | | |
| Leyva et al. (2022) • Abstract (retrospective study) • 224 head and neck structures • AutoContour vs clinically approved structures • Location: US | 90% of AutoContour contours had mean surface distance less than 5mm compared with manual contours. DICE >0.7 for 60% of contours. Larger variance in | | | | | | | |

| Study | Geometric analysis | Dosimetric analysis | Qualitative assessment | Time saving |
|---|--|---|---|---|
| | scores in structures with small volume such as pituitary, chiasm and cochlea. | | | |
| DLCExpert (number of studies=3) | | | | |
| Hague et al. (2021) Prospective study 38 cases with head and neck structures DLCExpert CT and MRI models vs manual contouring using MRI Location: UK | DICE from MRI diagnostic and planning scans was ≥0.8 for bilateral parotid glands and submandibular glands. Mean DICE from MR-Linac: • bilateral parotid: 0.7 • submandibular: 0 to 0.10 | | Clinical acceptability of contours from MRI diagnostic and planning scans was good. Contours from MR-Linac scans were not rated as clinically acceptable. | |
| Vaassen et al. (2021a) Prospective study 20 cases with non-small cell lung cancer DLCExpert plus manual edits vs manual contouring and atlasbased contouring plus manual adjustment Location: The Netherlands | DICE ranged 0.46 (oesophagus) to 1.0 (lungs). Largest HD was for heart. | Dose differences between DLCExpert plus manual edits vs manual contours were on average below 1 Gy/1%. Most treatment plans fulfilled the planning objectives. Some would have received doses by auto-contouring above the clinical constraint (heart=3, lungs=6, spinal cord=1). | | |
| Van Dijk et al. (2020) Prospective study 104 cases with head and neck structures DLCExpert vs manual contouring and atlas-based contouring Location: The Netherlands | DICE for atlas-based vs AI auto-contouring respectively: • thyroid: 0.60 vs 0.83 • CNS: >0.86 vs >0.84 • carotid arteries: 0.29 vs 0.68 Higher for AI auto-contours than atlas-based for upper digestive tract and airway organs except oral cavity. | Mean dose differences vs manual contours were lower for AI than atlas-based contours for glandular, upper digestive tract and airway organs (except right buccal mucosa). Differences were comparable for CNS. | Al auto-contours were preferred over atlas-based contours. Manual contours outperformed both. | Average contouring (minutes) for expert and beginner: • atlas: 36 ± 7 and 59 ± 14 • Al: 34 ± 6 and 54 ± 8 |

| Study | Geometric analysis | Dosimetric analysis | Qualitative assessment | Time saving | | | | | | |
|--|---|---|---|--|--|--|--|--|--|--|
| INTContour (number of studies=1) | INTContour (number of studies=1) | | | | | | | | | |
| Duan et al. (2022) Retrospective study 23 cases with prostate structures INTContour vs manual contouring plus peer review Location: The Netherlands | Average DICE: • bladder: 0.93 • rectum: 0.85 • femoral heads: 0.96 • seminal vesicles: 0.72 • penile bulb: 0.53 • prostate: 0.83 | Al auto-contouring produced statistically lower dose for bladder but no other OAR. | 96% of AI auto-contours scored as perfect (35%) or acceptable (61%). Only 1 was unacceptable with minor changes needed. | | | | | | | |
| | Prostate HD and mean surface distance: 6.07 and 2.07 mm. | | | | | | | | | |
| Limbus Contour (number of studies=3) | | | | | | | | | | |
| Radici et al. (2022) Prospective study 12 cases with head and neck (n=3), prostate (n=3), rectum (n=3) and breast (n=3) structures Limbus Contour plus manual edits vs manual contouring Location: Italy | Average DICE for all structures was 0.72. Individual DICE scores: • penile bulb: 0.39 • lungs: 0.99 • bladder, heart and femoral head: all greater than or close to 0.9 | Most relevant difference was in bowel for rectal cancer treatment, which was affected by definition of the bowel in manual and AI auto-contours. Mean volume covered: • manual: 10.4 cm³ • AI: 289.4 cm³ Other dose distributions were similar | | Absolute (relative) time saving: • head and neck: 80 min (-65%) • breast: 7 min (-46%) • prostate: 4 min (-18%) • rectum: 3 min (-17%) | | | | | | |
| Wong et al. (2021) Prospective study 606 plans for central nervous system, head and neck and prostate structures. Only 174 plans were formally assessed | Mean DICE: ≥0.90 Mean HD: ≤2.0mm Poorest scores were for optic chiasm | | Mean satisfaction (rated 1 to 5, with 5 meaning high): • CNS: 4.1 • head and neck: 4.4 • prostate: 4.6 | | | | | | | |

| Study | Geometric analysis | Dosimetric analysis | Qualitative assessment | Time saving |
|--|--|---------------------|---|--|
| unedited AI auto-contours vs AI auto-contours plus manual edits. All AI auto-contours were produced by Limbus Contour Location: Canada | | | Mean editing score (1 to 5, with 5 meaning significant edits required): • optic chiasm: 3.4 • prostate: 2.8 • mandible: 2.3 Most Al auto-contours needed minimal edits (mean editing score less than 2) | |
| Wong et al. (2020) Prospective study 60 cases with central nervous system (n=20), head and neck (n=20) and prostate (n=20) structures Limbus Contour vs manual contouring Location: Canada | DICE for (1) AI vs manual contours and (2) interobserver variation in manual contours: • optic globe: 0.85 vs 0.87 • neck CTV: 0.72 vs 0.79 • bladder: 0.97 vs 0.96 • femoral head: 0.91 vs 0.91 • prostate: 0.79 vs 0.83 Geometric metrics were not significantly different for optic chiasm, spinal cord, parotid gland, submandibular gland, seminal vesicles and rectum. | | | Mean times for CNS: • Al: 0.4 min • manual: 7.7 min Mean times for head and neck: • Al: 0.6 min • manual: 26.6 min Mean times for prostate: • Al: 0.4 min • manual: 21.3 min |
| MIM Contour ProtégéAl (number of s | tudies=1) | | | |
| Urago et al. (2021) Prospective study 51 cases with head and neck (n=30) and prostate (n=21) structures | Median DICE for AI and atlasbased contouring vs manual were above 0.8, with values for AI auto-contours closer to 1. Range for AI auto-contours were smaller than atlas-based. | | Al auto-contours were more consistent with manual than atlas-based contours. Only significant differences between Al and manual contours for head and neck | Average contouring time for prostate (per case): • atlas: 3 min • Al: 5 min (range 3 to 10 mins) Average contouring time for head and neck (per case): |

| Study | Geometric analysis | Dosimetric analysis | Qualitative assessment | Time saving |
|--|---|---------------------|--|---|
| MIM Contour ProtégéAl vs manual and atlas-based contouring Location: Japan | Similar results found for HD. | | were for small structures (optic chiasm, optic nerve). | atlas: 6 min Al: 6 min (range 3 to 8 mins) |
| MRCAT Prostate plus Auto-contouring | (number of studies=1) | | | |
| Kuisma et al. (2020) Prospective study 65 cases with prostate structures MRCAT Prostate plus Autocontouring vs manual contouring Location: Finland | Mean DICE scores: • prostate: 0.84 • bladder: 0.92 • rectum: 0.86 • seminal vesicles: 0.56 • penile bulb: 0.69 | | | |
| | Consistency of prostate contours done median 8 days apart was mean 0.89 for autocontouring and 0.82 manual | | | |
| MVision Segmentation Service (number | er of studies=1) | | | |
| Strolin et al. (2023) Retrospective study 111 cases with head and neck (n=20), breast (n=20), abdomen (n=21), thorax (n=20), male (n=20) and female pelvic (n=10) structures MVision plus manual edits vs manual contouring and atlas-based segmentation (lung only) Location: Italy | DICE scores increased with volume of structure. Better inter-agreement of MVision with manual edits than without. | | 44% of radiation oncologists rated auto-contouring as well done and 43% very well done except for female pelvis. Ratings correlated with time savings (p<0.001). | Median time (range, mins): • manual contours: 25 (8 to 115) • MVision: 2.3 (1.2 to 8) • manual edits after MVision: 10 (0.3 to 46.3) |
| OSAIRIS (number of studies=1) | | | | |
| Oktay et al. (2020) • Retrospective study | Auto-contouring had clinical accuracy within bounds of expert interobserver variability | | | Manual segmentation of 9 OAR: 73 to 86 min/scan |

| Study | Geometric analysis | Dosimetric analysis | Qualitative assessment | Time saving |
|--|--|---|---|---|
| 178 cases with male pelvic (n=132) and head and neck (n=46) structures | for 13/15 structures. Left and right submandibular glands were outside bounds. | | | OSAIRIS with review and edits: 3.4 to 5 min/scan. Auto-contouring with review and |
| OSAIRIS vs manual contouring Location: UK | DICE for head and neck was 0.79 (right submandibular gland) to 0.96 (mandible). Pelvis was 0.73 (seminal vesicles) to 0.98 (left femur). | | | edits took 93% less time. |
| RayStation (number of studies=1) | | | | |
| Almberg et al. (2022) | DICE and HD for Al auto- | Some statistically significant | No or only minor corrections | |
| Retrospective study 30 cases with left breast cancer RayStation vs manual contouring Location: Norway | contours were better than manual inter-observer variation for target volumes and OARs | differences found in dose coverage for AI vs manual contours, but not considered clinically irrelevant | for 14% and 71% of target volumes, and 72% and 26% of OAR. No structures were 'not usable'. | |
| Abbreviations: CNS: central nervous system, CT | TV: clinical target volume, DICE: Dice simi | larity coefficient, HD: Hausdorff distance, | OAR: organs at risk | |

4.2 Summary of economic evidence

The EAG did not identify any published cost-effectiveness evidence comparing the listed AI technologies to manual or atlas-based contouring.

4.2.1 Economic evaluation

The EAG considered that conventional health economic evaluation techniques with patient-focused outcomes were not appropriate for this topic. Methods such as cost-utility and cost-effectiveness analyses were not feasible because of the lack of health and quality of life outcome data. The EAG acknowledged that if evidence showed Al auto-contouring was superior to manual contouring, for example through better targeted radiation leading to a reduction in dose and less damage to surrounding healthy tissues, then a case for improved patient outcomes could be made. However this evidence is not available and the EAG considered a cost minimisation analysis based on equivalence of AI auto-contouring with healthcare professional review and edits and manual contouring as an appropriate approach. The heterogeneity in AI technology cost data meant it was not feasible to identify the lowest cost Al intervention to perform the cost minimisation analysis. As a result, the EAG conducted a simple cost consequences analysis comparing the costs and consequences of using Al auto-contouring with manual edits as an alternative to manual contouring. The EAG used a summative approach to list the costs associated with each AI technology along with the primary consequence of time savings from using AI auto-contouring compared with standard contouring methods. The EAG also produced a simple cost offset calculator to estimate the potential cost offset associated with these time savings.

4.2.2 Costs

Costs of each AI technology were provided by the companies and include software (including licence and subscription), hardware, data storage, and upgrade and maintenance costs (<u>Table 3</u>). These were used to produce a 'per patient cost', which was either provided by the companies or calculated by the EAG. SCMs advised that there may be additional costs involved in

commissioning (such as testing and evaluating systems before use) and costs for preparing data for training models if needed. The EAG noted that there was considerable variation in per patient costs, with these costs ranging £4 to £50. There was also variation in methods of data storage, unclear reporting of VAT and missing detailed costs for some technologies. Because of this variability in factors impacting on cost, the EAG advised that it is challenging to identify the true cost for each technology.

Table 3. Al intervention costs as reported in the assessment report

| Technology | Software cost | Hardware cost | Maintenance cost | Cost per patient |
|----------------------------|-------------------|---------------|------------------|------------------|
| Al Rad Companion Organs RT | | | | |
| ART- Plan | Not reported (NR) | NR | NR | |
| Auto-Contour | | | | |
| DLCExpert | | | | |
| INTContour | | | | |
| Limbus Al | | | | |
| MIM Contour ProtégéAl | | | | |

| MRCAT Prostate plus Auto-Contouring | The software can be added to existing MR for | The MR scanners range from £750K to £950K depending on configuration requirement Note: The full RT imaging capability may be more than this if LAP laser bridges are required in addition | Customer support agreement would be per annum for this equipment. Costs (ex VAT) | No limit on number of patients |
|-------------------------------------|--|---|--|--------------------------------|
| MVision Segmentation Service | | | | |
| OSAIRIS | NR | NR | NR | £4 |
| RayStation | | | | |

4.2.3 Consequences

Time taken to contour OAR and review and edit images associated with AI auto-contouring (in comparison with manual or atlas) was considered an outcome of economic interest on the basis that this could be quantified and therefore potentially used to inform an economic analysis. The time taken to contour, review and edit images was reported in 7 studies (<u>Table 2</u>). Most studies reported time savings with AI technologies, with only 1 study (Urago et al. 2021) finding atlas-based contouring was marginally quicker. The evidence varied widely with time savings ranging from 3 to 80 minutes.

There were limitations to using the reporting time as an outcome measure:

- There was a large amount of heterogeneity in study design, including number of patients and structures contoured which made it difficult to compare interventions and interpret time saving.
- There was variability in the start and endpoint for the time taken outcome, for example some studies reported time for auto-contouring only while others included time for healthcare professional review and edits.
- There was no data on contouring time or time saving for ART-Plan,
 AutoContour, INTContour, MRCAT Prostate plus Auto-Contouring and RayStation.

Due to the variability of the reported data, specialist committee members (SCMs) were asked to provide additional input to further inform the analysis. Details of SCM responses to economic evaluation questions are provided in Table 11, Appendix E of the assessment report. Most SCMs considered that Al auto-contouring is likely to reduce healthcare professional time compared with standard contouring methods. One SCM advised that this may not be the case when contouring atypical anatomy or post-surgical changes in anatomy. The SCMs estimated that it would take between 10 to 30 minutes to edit Al auto-contours. But this is highly variable and depends on the number of structures and tumour sites contoured in clinical practice.

To estimate the possible cost offset from using AI technologies to aid contouring, the EAG included unit cost per hour of relevant healthcare professional time to perform manual contours. These are outlined in Table 4.

Table 4. Grade of staff performing manual contours

| Band | £ per working hour | Source |
|----------------------|--------------------|---|
| Band 4 | 37 | PSSRU 2021, p135, cost per working hour, radiographers |
| Band 5 | 41 | PSSRU 2021, p135, cost per working hour, radiographers |
| Band 6 | 54 | PSSRU 2021, p135, cost per working hour, radiographers |
| Band 7 | 65 | PSSRU 2021, p135, cost per working hour, radiographers |
| Band 8a | 74 | PSSRU 2021, p135, cost per working hour, radiographers |
| Band 8b | 87 | PSSRU 2021, p135, cost per working hour, radiographers |
| Band 8c | 102 | PSSRU 2021, p135, cost per working hour, radiographers |
| Band 8d | 122 | PSSRU 2021, p135, cost per working hour, radiographers |
| Band 9 | 147 | PSSRU 2021, p135, cost per working hour, radiographers |
| FY1 | 31 | PSSRU 2021, p141, cost per working hour, hospital based doctors |
| FY2 | 35 | PSSRU 2021, p141, cost per working hour, hospital based doctors |
| Registrar | 52 | PSSRU 2021, p141, cost per working hour, hospital based doctors |
| Associate specialist | 120 | PSSRU 2021, p141, cost per working hour, hospital based doctors |
| Consultant: surgical | 122 | PSSRU 2021, p141, cost per working hour, hospital based doctors |

4.2.4 Results

The key costs and outcomes that are relevant to the decision problem are intervention costs (licence fees, data storage and capital equipment), differences in time for AI auto-contouring with manual edits compared with manual contouring, healthcare professional grade and healthcare professional satisfaction with contours. The evidence and expert opinion of SCMs suggests that AI auto-contouring is likely to reduce contouring time, but this depends on the degree of manual editing needed.

The simple cost offset calculator shows that the possible cost offset from using Al auto-contouring as an alternative to manual contouring varies

depending on the technology cost per session, time saved and healthcare professional grade. For example:

- with the lowest per plan cost for AI auto-contouring of £4 and a band 7
 radiographer (cost £65 per hour) performing contouring, time saved would
 need to be around 4 minutes for the AI technology to be considered cost
 neutral
- with the highest per plan cost of £50 and a band 7 radiographer performing contouring, the time saved must be around 47 minutes for the AI technology to be considered cost neutral
- if Al auto-contouring reduces the time taken for a registrar (cost £52 per hour) to prepare contours by 30 minutes, it would have a value of time saved of £26. This means the Al technology can cost up to the £26 per plan for it to be cost-neutral to the NHS.

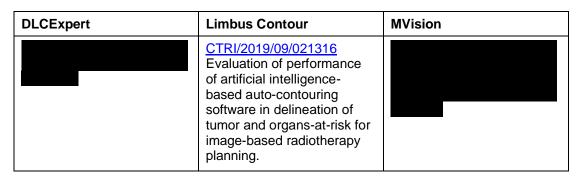
This demonstrates that as technology costs increase, the time saving needed to offset costs also increase. The same can be applied to the healthcare professional grade required to perform contouring.

The EAG advised that there are several factors in this analysis that may cause a wide variation in results. This makes drawing a concise conclusion on the time saving potential of AI auto-contouring technologies difficult. Overall, the EAG concluded that due to the limited cost-effectiveness evidence it was difficult to draw firm conclusions on the potential cost-effectiveness of the time saving in comparison to current contouring approaches used in clinical practice. More robust evidence is needed on AI intervention costs in clinical practice and how these technologies impact on healthcare resource use and/or patient outcomes in a UK context.

5 Ongoing research

The EAG identified ongoing studies for DLCExpert, Limbus Contour and MVision Segmentation Service (<u>Table 5</u>). It was uncertain whether any of them would address the evidence gaps identified in the assessment.

Table 5. Ongoing studies



King's technology evaluation centre (KiTEC) is planning 2 studies to generate evidence on a number of Al auto-contouring technologies. One study will focus on the qualitative aspects and the other will gather evidence to help inform a future cost-effectiveness analysis. These studies are planned to report by 2025.

6 Evidence gap analysis

The EAG identified several evidence gaps based on the full-text publications (<u>Table 6</u>). Key evidence gaps related to the decision problem include:

Population. Only 2 studies were done in the UK but given the technical nature of the topic, the evidence will be reasonably generalisable. Samples were mostly small and focused on head and neck and prostate cancers. More evidence is needed on AI contouring of other anatomical sites. There is uncertainty about the availability of training sets for certain demographics such as children or people with disabilities.

Intervention. There was no full-text evidence on ART-Plan and AutoContour. Interventions in studies were often poorly described. The technology version was often unclear and whether local data sets had been used for training.

Comparator. Manual contouring was the most common comparator with less evidence comparing AI contouring with atlas-based contouring.

Outcomes. Geometric analyses were the most common outcomes but these may not be as clinically meaningful as qualitative assessment of clinical acceptability or satisfaction. Only 7 studies reported time saving metrics, with some only reporting time for auto-contouring without reporting time for manual edits. There was no evidence on patient outcomes such as reduced toxicity. Evidence is also needed on AI technology costs in clinical practice and the impact on resource use and patient outcomes. The evidence showed AI auto-contouring performed better for some structures. More evidence is needed on which structures may be most suitable or unsuitable for AI auto-contouring.

Other considerations. There were limited high quality prospective studies. The EAG considered that prospective studies may offer more control over confounding variables and reduce potential bias. There was also limited evidence on contouring using MRI with more evidence needed.

Table 6. Evidence gap analysis based on full-text evidence only

| | Al-Rad | ART- Plan | Auto contour | DLC Expert | INT Contour | Limbus Contour | MIM Contour | MRCAT Prostate | MVision | OSAIRIS | Ray Station |
|-----------------------------|--------------------|---------------|-----------------|------------------------|--------------------|--------------------|------------------|-------------------|--------------------|------------------|--------------------|
| Outcomes | | | | | | | | | | | |
| Time-saving metrics | ✓ | N/A | N/A | ✓ | | ✓ | ✓ | | ✓ | ✓ | |
| Qualitative assessment | ✓ | N/A | N/A | ✓ | ✓ | ✓ | ✓ | | ✓ | | ✓ |
| Dosimetric analyses | | N/A | N/A | ✓ | ✓ | ✓ | | | | ✓ | ✓ |
| Geometric analyses | ✓ | N/A | N/A | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | | ✓ |
| Adverse effects | | N/A | N/A | | | | | | | | |
| Study design | | • | • | • | | | | | • | | • |
| Prospective (full text) | RED* | RED | RED | GREEN 3 studies | RED | GREEN 3 studies | AMBER 1 study | AMBER 1 study | RED | RED | RED |
| Retrospective (full text) | GREEN 3 studies | RED | RED | GREEN 4 studies | GREEN 2 studies | GREEN 3 studies | AMBER 1 study | RED | GREEN 2 studies | AMBER 1 study | GREEN 2 studies |
| Structures covered | <u>'</u> | • | | 1 | 1 | 1 | • | • | • | • | • |
| Central nervous system | | N/A | N/A | | | ✓ | | | | | |
| Head and neck | ✓ | N/A | N/A | ✓ | ✓ | ✓ | ✓ | | ✓ | ✓ | |
| Pelvis | ✓ | N/A | N/A | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ | ✓ |
| Thorax/abdomen | ✓ | N/A | N/A | ✓ | | ✓ | | | ✓ | | ✓ |
| Colour coding: Green = ≥2 s | tudies: Amher | := 1 study: I | Red = no stud | ies | | | | | 1 | 1 | 1 |

Colour coding: Green = ≥2 studies; Amber = 1 study; Red = no studies

N/A: technology had no full-text publications

^{*}Note that Ginn 2023, as previously described, has both prospective and retrospective study components.

The EAG outlined specific evidence generation recommendations to address these evidence gaps. These are presented in Table 9 of the assessment report. Key research questions were:

- Which technology or technologies are most suitable for NHS use?
- Do Al auto-contouring technologies improve process efficiency and/or patient outcomes in the NHS?
- What is the cost effectiveness of AI auto-contouring interventions to manual contouring or atlas-based contouring, within an NHS context?

Recommended outcomes included time saving metrics, dosimetric analyses, qualitative assessment of clinical suitability, patient outcomes and adverse events, and technology costs and resource use. The EAG advised that any proposed trials should follow the best practice recommendations of the Radiotherapy Trials Quality Assurance (RTTQA).

7 Equalities considerations

NICE is committed to promoting equality of opportunity, eliminating unlawful discrimination and fostering good relations between people with particular protected characteristics and others. Potential equality issues for AI technologies to aid contouring have been identified in line with equality considerations for this class of technology:

- Al models can contain algorithmic bias depending on the population used in training. Populations used in training datasets may not be representative of patient populations in clinical practice which can cause potential age, gender, disability and ethnic bias.
- Experts advised that there may be a lack of representation of female pelvis and male breast cancer in some training datasets. Training datasets may also underrepresent children and young people. This may affect the performance of Al auto-contouring for these populations.
- All auto-contouring may perform best with certain CT or MRI sequences or with the person being in a specific position such as supine head-first.

Training datasets may not include data on atypical positioning which may make AI auto-contouring less accurate for some people with limited mobility who have difficulty with these positions. Experts advised that AI auto-contouring may also not work as well for people with atypical anatomy for example after some surgeries.

Potential risk of bias of a specific technology should be considered
when deciding if to use that technology in research or clinical settings.
This should form part of a local assessment process before purchase
and clinical decision-making. Companies should also provide detailed
information on training datasets as part of their product information
pack, including guidelines used and demographics such as age range,
gender ratios and inclusion of disabilities.

Cancer is considered a disability under the Equality Act 2010. Incidence rates in the UK for all cancers combined are highest in people aged 85 to 89 with more than a third of diagnoses each year being in people aged 75 and older. Age, gender, disability and race are protected characteristics under the Equality Act (2010).

8 Implementation

Potential implementation issues are outlined in the topic scope on the NICE website. The EAG also outlined additional considerations for integrating AI technologies to aid contouring in the NHS:

- Some of the scoped technologies are already used in the NHS. Wider use would involve upscaling across more trusts. This would require appropriate staff resources and training to use AI technologies.
- Sites should consider which structures may be most suitable for AI
 auto-contouring. Experts advised that there is no shared consensus on
 definitions and processes for contouring some structures. So AI
 technologies may not be acceptable to all healthcare professionals.

- Adequate quality assurance and safety protocols are needed and should be incorporated into workflows.
- Treatment protocols and equipment can differ significantly between hospitals which may be a barrier to 'off the shelf' use of AI technologies or NHS wide solutions.
- Sites should consider the suitability of specific AI technologies to their local population and setting. There are benefits to 'off the shelf' use of AI technologies including increased standardisation and compliance with guidelines, less time and resources to re-train algorithms and potential errors or bias if this was done incorrectly. There may be benefits to local training but this may be best done at a national level.

9 Issues for consideration by the committee

9.1 Population

 Most of the evidence was in head and neck and prostate cancers, although there was some evidence on other anatomical sites. The evidence showed that while Al auto-contours were generally similar to manual contours, the clinical acceptability, degree of edits needed and geometric accuracy of Al auto-contouring differed across structures.

9.2 Clinical evidence

- The clinical evidence varied for the 11 technologies and ranged from conference abstracts only to several prospective and retrospective fulltext studies. The EAG noted that many studies had limitations in their reporting of study design and interventions.
- All studies reported geometric analyses but this may be less clinically
 meaningful than qualitative assessment of clinical acceptability. Eight of
 the 11 technologies had some qualitative assessment of clinical
 acceptability, satisfaction or degree of edits needed.
- The evidence was generally consistent in showing that AI autocontours were largely clinically acceptable or needing only minor edits.

There was evidence that AI auto-contouring is quicker than manual contouring even when including time for healthcare professional review and edits. But few studies reported this time saving metric.

9.3 Cost evidence

- There was no economic evidence on any of the technologies.
- The EAG conducted a cost consequences analysis comparing AI autocontouring plus healthcare professional review and edits with manual contouring. Possible cost offset of AI technologies is driven by technology costs, time saving and healthcare professional grade to perform manual contouring.
- The clinical evidence and expert opinion of SCMs suggests that Al auto-contouring is likely to reduce contouring time. But there are several factors that may cause a wide variation in time savings.
- The EAG concluded that due to the limited cost-effectiveness evidence
 it was difficult to draw firm conclusions on the potential costeffectiveness of the time saving in comparison to current contouring
 approaches used in clinical practice.

10 Authors

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Bernice Dillon, technical adviser

NICE Medical Technologies Evaluation Programme

July 2023

11 Appendix A: Sources of evidence considered in the preparation of the overview

- A Details of assessment report:
- Lovell A, Robinson S, O'Toole B, et al. Artificial intelligence auto-contouring to aid radiotherapy treatment planning [GID-HTE10015]: external assessment group report. June 2023.

A list of registered stakeholders and expert adviser Specialist Committee Members can be found in the published project documents.

- B Companies of technologies included in the final scope:
- Cambridge University Hospitals NHS Foundation Trust
- Carina Medical
- Limbus AI, AMG Medtech
- MIM Software
- Mirada Medical
- MVision Al Oy, Xiel
- Philips
- Radformation
- RaySearch Laboratories AB
- Siemens Healthineers
- TheraPanacea, Oncology Systems
- C Related NICE guidance
- Cancer of the upper aerodigestive tract: assessment and management in people aged 16 and over (2016) NICE guideline 36. Last updated 6 June 2018
- Early and locally advanced breast cancer: diagnosis and management
 (2018) NICE guideline 101. Last updated 14 June 2023

- <u>Lung cancer: diagnosis and management</u> (2019) NICE guideline 112. Last updated 14 March 2023
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NATIONAL INSTITUTE FOR HEALTH AND CARE EXCELLENCE

124th MTAC meeting – Friday 14th July 2023

DECLARATIONS OF INTEREST – MTAC 14th July 2023

| | | D | eclaration of interests | s register | | | |
|-----------------------|-----------------------------------|----------------------------|--|----------------|-------------------|-----------------|--|
| Medical Tech | nologies Advisor | y Committe | е | | Publica | ation Date: | 20 September |
| 2023 | | - | | | | | <u>-</u> |
| Topic: GID-H | TE100015 Artificia | ıl intelligen | ce auto-contouring fo | r radiother | apy treatr | nent plann | ning |
| Name | Role with NICE | Type of interest | Description of interest | Re | elevant dates | S | Comments |
| | | intorost | | Interest arose | Interest declared | Interest ceased | |
| Dr Samantha Warren | Specialist Committee member | Indirect - financial | Standard class train travel and accommodation to attend 1 day workshop at LEO Cancer Care (Horley, UK) to act as an independent advisor on prototype new cancer treatment technology | 22/08/2022 | July 2023 | 23/08/202 | No action other than open declaration |
| | | Direct- financial | Standard class train travel and accommodation to attend 1 day workshop (Sheffield, UK) for UK users of MVision software (paid ny Xiel UK distributor) | 22/11/2022 | July 2023 | 23/11/202 | No action other than open declaration? |
| | | Direct- financial | Travel and accommodation to attend 1 day workshop (Helsinki, Finland) for MVision users (paid by MVision) | 24/03/2023 | July 2023 | 25/03/202 3 | No action other than open declaration? |
| | | Non-financial professional | Oral presentation at IPEM meeting (Glasgow, UK) and round table discussion in Al in radiotherapy session | 28/02/2023 | July 2023 | 28/02/202 3 | No action other than open declaration |

| | | Non-financial professional | Preliminary discussion and attendance at online meeting for KiTEC HTA assessment of AI contouring in RT as external advisor, to give feedback on protocol for data collection to be sent to NHS users for feedback and information. | 27/03/2023 | July 2023 | 27/03/202 3 | |
|---------------------------|-----------------------------------|-------------------------------|---|------------------|-----------|------------------|---------------------------------------|
| Dr Angela Pathmanathan | Specialist Committee member | Non-financial professional | Member of the Elekta AI assisted contouring focus group. This included an article for FOCUS magazine 'AI technology to simplify Unity prostate workflow'. There were no payments received for this role or article. | December 2020 | July 2023 | October 2021 | No action other than open declaration |
| | | Non-financial professional | Elekta MR-Linac consortium- research, travel costs funded by Elekta for MD(research). Honoraria for talks given on MR-guided radiotherapy during this time period. | October 2015 | July 2023 | February 2019 | No action other than open declaration |
| | | Indirect | The Royal Marsden Hospital NHS Foundation Trust and Institute of Cancer Research have ongoing partnership with Elekta as part of the MR-Linac research consortium. | | July 2023 | Ongoing | No action other than open declaration |
| Dr Jacqueline Poxon | Specialist Committee member | Indirect- financial | Current employment as a HCPC registered Radiotherapy Physicist at Barts Health NHS Trust. | July 2022 | July 2023 | Ongoing | No action other than open declaration |
| | | Indirect | Currently working on implementation of Limbus AI auto-contouring software at our NHS Trust. No | 2022 | July2023 | Ongoing | No action other than open declaration |

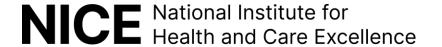
| | | | particular affiliation with Limbus AI other than as a user. | | | | |
|------------------------------------|-----------------------------------|-------------------------------|--|------------|-----------|----------|---------------------------------------|
| Dr Theresa Guerrero Urbano | Specialist Committee Member | Non-financial professional | Collaboration with Therapanacea to validate an autosegmentation algorithm | Sept 22 | July 2023 | Ongoing | No action other than open declaration |
| | | Non-financial professional | Collaboration with MIRADA to generate an autosegmentation algorithm | 2019 | July 2023 | 2022 | No action other than open declaration |
| Mr Mayur Nilkanthbhai Munshi | Specialist Committee Member | Nil | n/a | n/a | n/a | n/a | No action |
| Dr David Bernstein | Specialist Committee Member | Non-financial professional | Am part of a research collaboration looking at Al auto contouring in radiotherapy | March 2018 | July 2023 | Ogoing | No action other than open declaration |
| Mr Syed Moinuddin | Specialist Committee Member | Non-financial professional | I as part of UCLH radiotherapy department have collaborated with google health deepmind on evaluation of AI delineation in Head and Neck patients and co-authored two papers in 2018 and 2021. | 2018 | July 2023 | 2021 | No action other than open declaration |
| | | Non-financial professional | I co-authored a review article on auto-segmentation on head and neck patients. | 2021 | July 2023 | 2021 | No action other than open declaration |
| Dr Carl Rowbottom | Specialist Committee Member | Non-financial professional | Deputy Director, Professional & Standards Council, Institute of Physics & Engineering in Medicine | Sept 2021 | July 2023 | Ongoing | No action other than open declaration |
| | | Non-financial professional | DClinSCi Research Supervisor (University of Manchester): Research title: An evaluation of AI auto-segmentation for Head & Neck cancer | Jan 2019 | July 2023 | Dec 2022 | No action other than open declaration |

Private and confidential – for internal use only

| Non-financial professional | Publication (in Press): Patient views on the implementation of artificial intelligence in radiotherapy, Radiography, hiips://doi.org/10.1016/j.radi | Jan 2023 | July 2023 | Jan 2023 | No action other than open declaration |
|-------------------------------|---|-------------|-----------|----------|---------------------------------------|
| Non-financial professional | Patient Safety in Radiotherapy Steering Group | 2023.03.006 | July 2023 | Jan 2023 | No action other than |
| professional | member, UK Health Security | | | | open declaration |
| | Agency (formerly | | | | |
| | Public Health England) | | | | |

Implementation Considerations for Medical Technologies and Diagnostics Guidance

Implementation Support Team Last updated July 2023





About these slides:

- These slides have been created by the Implementation Support Team (formally known as the Adoption and Implementation team) to support the development of both medical technology and diagnostic guidance.
- The slides contain some of the most common barriers and levers to implementation.
- Not all the considerations identified in the slides will be relevant to the guidance being developed.
- The NICE development team, committee members, and specialist committee members should use their knowledge, professional experience, and logic to consider which may be of relevance to the guidance being developed.
- If you require further support or have any questions, please contact: ImplementationSupport@nice.org.uk

Lack of buy in from commissioners

- This issue may include lack of clarity over who the key decision-makers are from a commissioning perspective and lack of support by commissioners for the innovation.
- Innovation may not align with local or organisational priorities which will contribute to commissioner buy in.

Lack of buy in from the multi-disciplinary team

• This includes all stakeholders required to ensure product implementation and use (including clinicians, social prescribers, patients). Anti- innovation culture.

Lack of funding for implementation

- Issues around initial outlay and or consumable costs.
- Issues around tariff being insufficient.
- Includes project and change-management as well as evaluation (design, data collection and analysis)
- No reimbursement route and sustainable funding to support wide scale implementation.
- Unclear return on investment or savings gained in separate department/sector (silo budgeting).

Financial loss

• Risk of financial losses for services that are being replaced by innovations e.g., digital technologies.

Difficult procurement

- Technology cannot easily get on an accessible procurement framework.
- Need for a business case to allocate funding.

Lack of capacity and capability

- Staff may not have the time, experience, permission, and resource to adopt innovations. May also include the capacity of departments required to interpret use of the device/technology e.g., pathology.
- Ongoing system pressures following the pandemic are having a big impact on capacity preventing the ability to adopt new technologies.

Complexity of adoption/implementation

- Unclear, multistep implementation processes Including business case sign off and implementation planning.
- Lack of user centred design and flexibility: design of a technology does not meet the user's needs,
 and/or there is no tailoring of the scale-up approach to the local situation.
- No opportunity for trialability and observability.
- High implementation effort- effort and disruption of implementation, is high e.g. implementation requires change to current care pathway, change in setting.

Clinical resistance

- To the acceptance of the innovation and/or organisational/pathway change.
- Lack of perceived or actual added value may result in clinical resistance.

Limited awareness, skills and knowledge (inc. training)

- This includes lack of staff or clinical awareness of product and insufficient training.
- Also relates to patient education and lack of investment and time to educate patients about how
 to use the technology and about how to manage those elements of their care which are
 supported by the technology.

Lack of patient involvement

Including lack of co-production in the design, adoption and roll out. Lack of diverse set of people
with different experiences and skills who therefore do not promote the benefits of the innovation
and support others to use it.

Lack of endorsement

By funding award, national program/body etc.

Level of evidence

Limited supporting credible evidence presents a barrier.

No alignment with priorities

- No alignment with institutional, national priorities, or local problems and needs.
- Lack of engagement to understand stakeholder needs can lead to this.

Patient safety

The innovation is not perceived to be safe.

Patient selection

• If the process of patient selection is complex, this may present a barrier.

Lack of support

By central NHS teams, AHSN'S or other government or industry bodies.

Data issues

Poor access and sharing of inappropriate data.

Interoperability

Poor integration into existing systems.

Comparators

Another device in use for the same indication/population.

Transformation fatigue

• The level of change and transformation occurring within the system may lead to organisations and individuals resisting the want to adopt 'another' new thing.

Barriers relating to artificial intelligence (AI):

- IT capacity and compatibility issues with other software.
- Governance issues due to sending personal data on a cloud-based server.
- Often requires a dedicated person to read an Al report.
- Skill / experience / opinion of the person reading the AI report may affect how it is 'interpreted'
- Some clinicians may feel that they are experienced enough and that AI technologies are not needed.
- May cause added anxiety to the patient (and clinician) if additional pathologies are identified.
- Version control / updates to the software is often managed by the Al developers which clinicians may not be happy with.

Levers relating to artificial intelligence (AI):

- Can assist with workload prioritisation and streamlining.
- May provide reassurance and reinforce decision-making.
- Often requires minimal training from the clinicians using it.
- Most Al reports are often produced quickly and in an 'easy to read' format.
- Al technologies that are applied to medical images can often be applied to different types of images.
- Some AI technology may be able to identify additional incidental pathologies.
- Using AI can often be less invasive than its comparators.
- The Al software can often be tailored and or updated by the developers to meet the specific needs of the end user.