#### NATIONAL INSTITUTE FOR CLINICAL EXCELLENCE

### INTERVENTIONAL PROCEDURES ADVISORY COMMITTEE

# Interventional procedures overview of artificial metacarpophalangeal and interphalangeal joints for osteoarthritis of the hand

#### Introduction

This overview has been prepared to assist members of the Interventional Procedures Advisory Committee (IPAC) advise on the safety and efficacy of an interventional procedure previously reviewed by SERNIP. It is based on a rapid survey of published literature, review of the procedure by one or more specialist advisors and review of the content of the SERNIP file. It should not be regarded as a definitive assessment of the procedure.

#### Procedure name

 Artificial metacarpophalangeal (MCP) and interphalangeal (IP) joints for osteoarthritis of the hand.

#### SERNIP procedure number

3

#### Specialty society

British Society for Surgery of the Hand.

#### Description

#### Indication

Osteoarthritis of the metacarpophalangeal (MCP) and interphalangeal (IP) joints of the hand.

Osteoarthritis of the hand joints is a common condition that deteriorates over time, although severity of symptoms, rate of deterioration and functional effects are variable. Common sites of osteoarthritis that may be suitable for artificial implants include the trapeziometacarpal joint of the thumb (also called carpometacarpal joint); and MCP and IP joints of the fingers and thumb.

#### **Current treatment and alternatives**

Conservative treatments for osteoarthritis of the hand include anti-inflammatory and analgesic medication, and steroid injections. Other treatments include complete joint excision without replacement (also called excision arthroplasty), native graft arthroplasties, in which the patient's own tissue (typically tendons) is interposed in the space left after joint excision, and fusion of the joint (arthrodesis).

#### What the procedure involves

A general anaesthetic is usually used and a tourniquet is applied to the affected arm to maintain a blood-free operation site. An incision is made over the diseased joint to expose the tendons. The tendons are retracted and the joint is removed with an oscillating saw. A prosthetic joint, typically made of a silicone based material, is inserted in place of the original joint. Local anaesthetic may be injected into the arm at the end of the operation. The

incisions are sutured and a splint is applied to the fingers. Most patients stay in hospital overnight.

Proponents of artificial hand joints have suggested that they reduce pain, increase mobility and improve function compared with alternative treatments.

#### **Efficacy**

Four studies reported efficacy data on a total of 125 patients and 202 joints. Two studies, including 74 joints with osteoarthritis, reported that there was no significant improvement in the range of movement. The proportion of patients with less pain after the procedure ranged from 29% (2/7) to 100% (31/31). Two studies reported that 95% (18/19) and 87% (27/31) of patients were satisfied with the result of the surgery, after mean follow-ups of 3 years and 6 years respectively.

The Specialist Advisors noted concerns regarding the long term benefits compared to joint arthrodesis.

#### Safety

A systematic review, including 70 articles (15,556 MCP and IP joint replacements), reported on complications. The most common complication was change to surrounding bone, including bone cysts, osteolysis, resorption, and heterotopic bone, in 4% (577/15,556) of implants. Other complications included implant fracture in 2% of joints (352/15,556), implant loosening in 0.7% (114/15,556), and infection in 0.6% (86/15,556). Removal was necessary in 1% (143/15,556) of joints. The reasons for removal included implant fracture, infection, loosening, pain, and synovitis. Two small case series reported that 7% (5/69) and 3% (1/31) of implants had fractured after mean follow-up periods of 3 and 6 years respectively.

The Specialist Advisors listed potential adverse effects including stiffness, loosening of the prosthesis, generation of wear debris, bone resorption, nerve injury, wound haematoma, silicone synovitis, infection and prosthesis fatigue.

#### Literature review

#### Appraisal criteria

Studies examining effects of artificial MCP and IP joints in people with hand joint osteoarthritis were included.

#### List of studies found

#### Interphalangeal (IP) joints

No controlled studies were found.

Fourteen case series were found. Four are described in the table. 1,2,3,4 The remaining studies are listed in the Appendix.

One systematic review was found, reporting on complications of IP and MCP joints taken together (see table).<sup>4</sup>

One paper written in German was identified (Sauerbier M et al 2000), which described a series of arthroplasties in 60 people who did not all have osteoarthritis. Further details were not available from the English abstract (see Appendix).

#### Metacarpophalangeal (MCP) joints

One systematic review was found, reporting on complications of IP and MCP joints taken together (see table).<sup>1</sup>

No controlled studies were found.

Two case series and one case report were found. In total, these included only five patients with degenerative arthritis or osteoarthritis, who were not reported on separately. References to these studies are provided in the Appendix.

### Summary of key efficacy and safety findings

Study details	Key efficacy findings	Key safety findings	Key reliability and validity issues
Herren DB <sup>1</sup> (2000)	No significant improvement in range of movement from	No complications reported	Small uncontrolled series.
Case series	baseline (p = 0.78)		Main results compared outcomes between diagnostic groups and
Zurich, Switzerland			between palmar and dorsal approach groups.
Proximal IP joint			No further detail presented about
n = 38 adults (mean age 65) who had 59 implants:			preoperative versus postoperative pain or mobility.
<ul><li>38 palmar approach</li><li>21 dorsal approach</li></ul>			pain of modility.
<ul><li> 36 for osteoarthritis</li><li> 23 for inflammatory arthritis</li></ul>			
Follow-up: > 12 months (mean 28 months)			

Study details	Key efficacy findings	Key safety findings	Key reliability and validity issues
Lin HH <sup>2</sup> (1995)	Average preoperative and postoperative extension deficit	Complications • Implant fracture 7.2% (5/69)	Small uncontrolled series.
Case series	(degrees) Osteoarthritis:	<ul> <li>Malrotation 4.3% (3/69)</li> <li>Subsequent joint fusion</li> </ul>	Complications were not presented separately for people with
Cincinnati, USA  Date not stated	<ul> <li>preop = 9, postop = 4</li> <li>Post-traumatic arthritis:</li> <li>preop = 30, postop = 22</li> </ul>	performed 2.9% (2/69) (1 rheumatoid digit and 1 with sceleroderma)	osteoarthritis.
	Rheumatoid arthritis:	Osteophyte excision 1.4% (1/69)	
Proximal IP joint  n = 36 adults (mean age 52 years);	• preop = 20, postop = 5 Psoriatic arthritis:	<ul> <li>Prominent implant 1.4% (1/69)</li> <li>Implant infection 1.4% (1/69)</li> <li>Suture abscess 1.4% (1/69)</li> </ul>	
69 joints	• preop = 18, postop = 10 Scleroderma:	Reflex sympathetic dystrophy     1.4% (1/69)	
10 for post-traumatic arthritis     (10 patients)	• preop = 70, postop = 75	• Chronic pain 1.4% (1/69)	
13 for rheumatoid arthritis (5 patients)	Average preoperative and postoperative total active motion (degrees)		
<ul> <li>6 for psoriatic arthritis (2 patients)</li> <li>2 for scleroderma (1 patient)</li> </ul>	Osteoarthritis:		
, , ,	• preop = 59, postop = 58 Post-traumatic arthritis:		
Mean follow-up: 3.4 years	• preop = 26, postop = 39 Rheumatoid arthritis:		
	<ul><li>preop = 35, postop = 32</li><li>Psoriatic arthritis:</li></ul>		
	• preop = 11, postop = 19 Scleroderma:		
	• preop = 20, postop = 13		
	97% (67/69) immediate pain relief		

Study details	Key efficacy findings	Key safety findings	Key reliability and validity issues
Pellegrini VD <sup>3</sup>	Silicone arthroplasty Pain relief 100% (19/19)	Complications of silicone arthroplasty:	No randomisation.
Case series	Satisfaction 95% (18/19) Revisional surgery 0% (0/26)	Unsightly appearance of operated finger 26% (5/19)	No adverse changes were seen in joints with silicone prostheses with
USA	Alignment within 5 degrees of the neutral axis 81% (21/26)	• Erosion of the cut end of the phalanx 27% (7/26)	less than 2 years follow-up.
1975 – 1985	Biomeric device	• Periprosthetic endosteal erosion = 8% (2.26)	Manufacture of the biomeric device
Proximal IP joint	Pain relief 29% (2/7) Revisional surgery 100% (7/7)	"More than one third of all implants	was terminated while this manuscript was being prepared.
n = 24 adults (mean age 57 years);	Alignment within 5 degrees of the	followed a minimum of 2 years	was soming propared.
43 joints	neutral axis 14% (1/7)	demonstrated destructive bone	
<ul> <li>26 Swanson silicone implants (24</li> </ul>		changes adjacent to the implant joint	
erosive osteoarthritis, 1 psoriatic arthritis, 1 degenerative disease	Athrodesis Pain relief 100% (7/7)	space."	
after trauma)	Successful solid fusion 90%	Complications of biomeric device:	
<ul> <li>7 biomeric implants (7 erosive</li> </ul>	(9/10)	Progressive inability to straighten	
osteoarthritis)		finger 100% (7/7)	
<ul> <li>10 arthrodeses (5 erosive</li> </ul>		Implant removal because of	
osteoarthritis, 4 psoriatic arthritis, 1 degenerative disease after trauma)		mechanical failure of device 100% (7/7)	
Mean follow-up: 3 years (range 1 to 9 years)			

Study details	Key efficacy findings	Key safety findings	Key reliability and validity issues
Zimmerman NB <sup>4</sup> (1989)	Pain relief 100% (31/31)	Complications	Small uncontrolled series.
Case series	Improved cosmetic appearance 87% (27/31) Improved power 71% (22/31)	Implant removal 9.7% (3/31)  Reason for implant removal	11-year study period.
USA	Improved dexterity 81% (25/31) Overall satisfaction 87% (27/31)	• Erosion through the skin: 3% (1/31)	Power and dexterity were subjectively evaluated by the patient.
Date not stated	Mean active range of motion	<ul><li>Infection: 3% (1/31)</li><li>Fracture: 3% (1/31)</li></ul>	
Distal IP joint	(23 fingers) = 33.2° 43% (10/23) joints stable to	1 Tacture: 376 (1731)	
n = 18 adults (mean age 58 years); 31 joints	lateral stress		
17 patients with osteoarthritis 1 patient with rheumatoid arthritis			
Mean follow-up: 6 years (range 1 to 10 years)			
Foliart D <sup>5</sup>	No efficacy measures reported	Complications (% of implants)  • Implant fracture (2)	Search limited to English language articles on Medline.
Systematic review of long term complications of Swanson silicone MCP		<ul> <li>Implant removal (1)</li> <li>Implant loosening (0.7)</li> </ul>	Specific inclusion criteria described.
and IP implants in people with rheumatoid or osteoarthritis		<ul><li>Infection (0.6)</li><li>Lymphadenopathy (0.1)</li><li>Particulate synovitis (0.1)</li></ul>	Rates based on number of implants, not people.
Literature search 1965 to 1994 Primary source: Medline		4/13 people with lymphadenopathy diagnosed with non-Hodgkins	Outcomes not presented separately for people with osteoarthritis.
<ul><li>70 articles reporting complications</li><li>13,031 MCP implants</li></ul>		lymphoma	for people with osteodrimins.
• 2,525 IP implants		Reason for implant removal (% of removed implants):  Fracture (47)  Infection (22)  Loosening (8)	
		<ul><li>Continued pain (7)</li><li>Synovitis (7)</li></ul>	

#### Validity and generalisability of the studies

The settings of all described studies appear applicable to the UK.

#### IP joints

The search strategy of the systematic review on complications of IP and MCP joints was limited, which may bias the results. <sup>5</sup> Separate results were not provided for people with osteoarthritis or for IP joints.

Information on efficacy is limited to small uncontrolled case series.<sup>1,2,3,4</sup> All the studies included at least one patient with an indication other than osteoarthritis and most did not report the results separately by indication.

#### MCP joints

The search strategy of the systematic review on complications of IP and MCP joints was limited, which may bias the results.<sup>5</sup> Separate results were not provided for people with osteoarthritis or for MCP joints.

All other case series described the procedure's outcomes in fewer than ten people with osteoarthritis.

#### Specialist advisor's opinion

Specialist advice was sought from consultants who have been nominated or ratified by their Specialist Society or Royal College.

The procedures are currently uncommon and largely confined to specialist hand surgeons. Spread of the technique is likely to reflect the growth of hand surgery as a specialty. Uptake will probably remain limited for many years, because most patients are managed adequately with conservative treatments.

IP and MP silastic replacements are considered an established technique.

The Specialist Advisors drew attention to the range of joints and joint implants that are available and note that newer implants are unproven. They expressed concern over long term effects compared with older techniques, such as arthrodesis, and concurred that evidence is limited.

The British Society for Surgery of the Hand has recently set up a voluntary register for artificial hand joint procedures. There are no suitable codes for these procedures.

#### Issues for consideration by IPAC

None other those discussed above.

#### References

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- 2. Lin HH, Wyrick JD, Stern PJ. Proximal interphalangeal joint silicone replacement arthroplasty: Clinical results using an anterior approach. *Journal of Hand Surgery* 1995; 20(1):123–32.
- 3. Pellegrini VD, Burton RI. Osteoarthritis of the proximal interphalangeal joint of the hand: arthroplasty or fusion? *Journal of Hand Surgery* 1990; 15A: 194–209.
- 4. Zimmerman NB, Suhey PV, Clark GL et al. Silicone interpositional arthroplasty of the distal interphalangeal joint. *Journal of Hand Surgery* 1989; 14(5): 882–7.
- 5. Foliart DE. Swanson silicone finger joint implants: A review of the literature regarding long-term complications. *Journal of Hand Surgery* American Volume 1995; 20(3):445–9.

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# Appendix: references for relevant studies excluded from summary table

Interphalangeal joint implants  Möller K, Geijer M, Sollerman C et al. Radiographic evaluation of osseointegration and loosening of titanium implants in the MCP and PIP joints. The Journal of Hand Surgery 2004;	<b>n</b> 86
29: 32-8.  Sauerbier M, Cooney WP, Berger RA et al. [Complete superficial replacement of the middle finger joint-long-term outcome and surgical technique] [German]. <i>Handchirurgie</i> ,	mixed group 60
Mikrochirurgie, Plastische Chirurgie 2000; 32(6):411-8.	mixed group
Wilgis EF. Distal interphalangeal joint silicone interpositional arthroplasty of the hand. <i>Clinical Orthopaedics &amp; Related Research</i> 1997;(342):38–41.	23
Condamine JL, Fourquet M, Marcucci L et al. [Primary metacarpophalangeal and proximal interphalangeal arthrosis of the hand. Indications and results of 27 DJOA arthroplasty] [French]. Annales de Chirurgie de la Main et du Membre Superieur 1997; 16(1):66–78.	20
Lundborg G, Branemark PI. Osseointegrated proximal interphalangeal joint prostheses with a replaceable flexible joint spacerlong-term results. <i>Scandinavian Journal of Plastic &amp; Reconstructive Surgery &amp; Hand Surgery</i> 2000; 34(4):345–53.	19
Johnstone BR. Proximal interphalangeal joint surface replacement arthroplasty. <i>Hand Surgery</i> 2001; 6(1):1–11.	13
Brown LG. Distal interphalangeal joint flexible implant arthroplasty. <i>Journal of Hand Surgery</i> 1989; 14(4):653–6.	13
Lang E, Schmidt A, Ishida A et al. [Experiences with the alloplastic joint prosthesis of the interphalangeal joint]. [German]. <i>Handchirurgie, Mikrochirurgie, Plastische Chirurgie</i> 2000; 32(1):44-9.	12
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Mentzel M, Hoss H, Ebinger T et al. [DIGITOS-prosthesis for the proximal interphalangeal joint. A 2-year follow-up] [German]. <i>Handchirurgie, Mikrochirurgie, Plastische Chirurgie</i> 2000; 32(5):347-52.	7
Metacarpopharyngeal joint references  Harris D, Dias JJ. Five-year results of a new total replacement prosthesis for the finger	8
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McGovern RM, Shin AY, Beckenbaugh RD et al. Long-term results of cemented Steffee arthroplasty of the thumb metacarpophalangeal joint. <i>Journal of Hand Surgery</i> 2001; 26(1):115-22.	2
Netscher D, Eladoumikdachi F, Gao YH. Resurfacing arthroplasty for metacarpophalangeal joint osteoarthritis: a good option using either perichondrium or extensor retinaculum. <i>Plastic &amp; Reconstructive Surgery</i> 2000; 106(6):1430–3.	1
Cook SD, Beckenbaugh RD, Redondo J et al. Long-term follow-up of pyrolytic carbon metacarpophalangeal implants. <i>Journal of Bone &amp; Joint Surgery</i> 1999; 81(5):635–48.	3?