NATIONAL INSTITUTE FOR HEALTH AND CLINICAL EXCELLENCE

INTERVENTIONAL PROCEDURES PROGRAMME

Interventional procedure overview of corneal implants

for keratoconus

Keratoconus is a disease of the cornea which affects the shape of the eyeball and causes refractive errors, some of which cannot be corrected by spectacles or contact lenses. The insertion of clear plastic implants into the cornea is an interventional procedure aiming to restore eyesight in patients with this condition.

Introduction

This overview has been prepared to assist members of the Interventional Procedures Advisory Committee (IPAC) in making recommendations about the safety and efficacy of an interventional procedure. It is based on a rapid review of the medical literature and specialist opinion. It should not be regarded as a definitive assessment of the procedure.

Date prepared

This overview was prepared in January 2007.

Procedure name

• Corneal implants for corneal disease

Specialty societies

• Royal College of Ophthalmologists

Description

Indications

Keratoconus

Keratoconus is a progressive disease in which the normally round corneal surface becomes thinner and begins to bulge into a cone-like shape. This changes the normal physical properties of the cornea, and affects refraction.

Keratoconus is often associated with astigmatism. Patients should have clear central corneas in order for them to be suitable candidates for the insertion of corneal implants.

Several scales and instruments have been used to grade the severity of keratoconus: these include: the Amsler-Krumeich scale which grades keratoconus severity from grade I mild, to grade IV severe.

This procedure can also be used for pellucid marginal degeneration, a noninflammatory, peripheral corneal thinning disorder characterised by thinning of the peripheral band of the inferior cornea. It is not known whether pellucid marginal degeneration and keratoconus are distinct diseases or different manifestations of the same disorder. The cornea within and adjacent to the thinned area is ectatic.

It is not known whether pellucid marginal degeneration and keratoconus are distinct diseases or different manifestations of the same disorder.

Current treatment and alternatives

In the mild to moderate keratoconus, spectacles or a range of contact lenses may help, although as the corneal shape continues to deteriorate contact lenses may become intolerable due to ill-fitting, and refractive correction becomes difficult. In more severe disease other treatments may include collagen cross-linking riboflavin eye drops which can be used to strengthen corneal tissue and limit bulging of the eye's surface.

Invasive procedures include penetrating keratoplasty to modify the shape of the cornea. Ultimately a corneal transplant may be required in some patients.

What the procedure involves

Corneal implants are flexible, crescent-shaped rings of polymethyl methacrylate that are placed in the periphery of the cornea. They effect refraction in the eye by physically changing the shape of the cornea, to flatten the front of the eye.

The procedure is undertaken under local or general anaesthesia. An incision is made in the cornea at the 12 o'clock position, of approximately 1.2mm length (vertically) and two-thirds of the corneal thickness. Either a lamellar dissector is introduced at the incision and rotated to create a channel in either direction, or a channel is created using a femtosecond laser. One corneal implant segment is introduced to each channel and a suture may be used to close the original incision. A number of implants have been employed for this procedure, and a range of implant thicknesses are available for different degrees of correction.

Postoperative care consists of steroid and antibiotic treatment for a few days or weeks, and a bandage soft contact lens may be worn for a few days.

If required the procedure is reversible with minimal permanent visual effect, and it is unlikely to impact on future corneal transplant procedures.

Efficacy

The key efficacy outcomes for this procedure identified by the specialist advisers were uncorrected visual acuity (UCVA), best spectacle-corrected visual acuity (BSCVA), refractive error and ocular topography or keratometry measurements. Outcomes used to measure visual acuity varied between studies, making comparisons difficult. Length of follow-up also varied between studies, and it was not always clear how many patients (or eyes) were available at each time point.

Visual acuity

One case series (n = 34 eyes) reported that BSCVA had improved significantly at 6 months after insertion of corneal ring segments; 3% of eyes gained 6–8 lines, 59% gained 2–5 lines, 32% had no change and 6% lost 2 or more lines (p < 0.001).¹ In this study UCVA also improved significantly: 24% (8/34) of eyes had a score of 20/40 or better at 12 months' follow-up compared with 4% (2/53) of eyes at baseline (p < 0.001).

A second case series reported that UCVA had improved by 2 lines or more in 72% (53/74) of eyes and BSCVA in 45% (33/74) of eyes at 9 months' followup.² A third case series of 31 eyes reported that BSCVA improved by 2 lines or more in 87% (27/31 eyes) and UCVA by the same amount in 81% (25/31 eyes) at 12 months' follow-up.³

Mean UCVA improved significantly in 58 eyes undergoing corneal ring implantation, the score improving from 20/200 at baseline to 20/50 at 12 months' follow-up (p < 0.001). The change in BSCVA over the same period was not statistically significant, however.⁴

In one case series of 8 eyes treated with intercorneal ring segments for pellucid marginal degeneration, the UCVA improved in all 8 eyes from a mean score of 20/325 at baseline to 20/50 at 12 months follow up. The mean BSCVA improved from a mean score of 20/45 at baseline to 20/30 at 12 months follow up⁵.

Resolution of keratoconus-related astigmatism

In one case series of 51 eyes, the mean refractive astigmatism decreased from $3.69 \pm 2.20 \text{ D}$ (dioptres) at baseline to $2.21 \pm 1.96 \text{ D}$ after surgery (p < 0.01) (follow-up not stated).⁶ One case series of 13 eyes treated with corneal ring implants reported that average keratoconus improved from 48.46 \pm 3.72 D at baseline to $45.32 \pm 3.01 \text{ D}$ at 6 months' follow-up, although this was not sustained at 3 years' follow-up (47.00 \pm 3.57 D).⁷ A third case series of 100 eyes reported that mean keratometry improved from 50.1 \pm 5.6 D at baseline to $46.6 \pm 5.3 \text{ D}$ at one year and $46.8 \pm 4.9 \text{ at } 2 \text{ years}$ (p<0.001 for both).

Contact lens compatibility

In one case series of 13 eyes with 3 years' follow-up, all patients who were contact-lens intolerant at baseline were able to wear a contact lens after surgery.⁷

Surgical parameters

One case series reported successful corneal segment implantation in 98% (58/59) of eyes.¹ Adjustment of the implants was required in 10% (6/58) of eyes in a second series.⁴ In a third series, poor-quality vision required penetrating keratoplasty in 6% (2/36) of eyes.³

Safety

The Specialist Advisers considered the following outcomes to be the most important in considering the safety of this procedure: infection, infiltration, pain, implant extrusion and anterior chamber perforation.

The safety outcomes reported and the definitions used varied between the studies. It was not always clear how many patients (or eyes) were included in the analysis of safety outcomes.

Intra-operative complications

One case series of 57 eyes reported that there were no intraoperative complications, and any postoperative complications were not considered to be clinically significant.¹ In another case series, creation of a superficial channel perforated Bowman's layer in 1% (1/74) of eyes, although this was successfully re-channelled and the implant fitted.²

Extrusion rates

Implant segment extrusion occurred in 0%,⁴ 1% (1/74),² 14% (5/36)³ and 20% (10/51) of eyes.⁶ Bacterial infection following corneal implant procedures occurred in 0%,² 0%⁷, 2% (1/51),⁶ and 3% (1/36)³ of eyes.

Miscellaneous adverse events

One case series reported that there was a feeling of discomfort in 2% (1/57) of eyes,¹ and in a second series, chronic foreign body sensation required removal of the implants in 4% (3/74) of eyes.² In a third case series, corneal channel deposits were found in 31% (4/13) of eyes, although these did not affect visual outcome.⁷

Various visual disturbances were reported, the most common being halos or glare, which occurred in between $3\% (2/74)^2$ and $5\% (3/57)^1$ of eyes.

Literature review

Rapid review of literature

The medical literature was searched to identify studies and reviews relevant to corneal implants for corneal disease. Searches were conducted via the following databases, covering the period from their commencement to 26/09/06 and updated to 14/11/06: Medline, PreMedline, EMBASE, Cochrane Library and other databases. Trial registries and the Internet were also searched. No language restriction was applied to the searches. (See Appendix C for details of search strategy.)

The following selection criteria (Table 1) were applied to the abstracts identified by the literature search. Where these criteria could not be determined from the abstracts the full paper was retrieved.

Characteristic	Criteria
Publication type	Clinical studies were included. Emphasis was placed on identifying good-quality studies. Abstracts were excluded where no clinical outcomes were reported, or where the paper was a review, editorial or laboratory or animal study. Conference abstracts were also excluded because of the difficulty of appraising methodology.
Patients	Patients with corneal disease (including keratoconus, ectasia or pellucid marginal degeneration)
Intervention/test	Corneal implants
Outcome	Articles were retrieved if the abstract contained information relevant to the safety and/or efficacy.
Language	Non-English-language articles were excluded unless they were thought to add substantively to the English-language evidence base.

Table 1 Inclusion criteria for identification of relevant studies

List of studies included in the overview

This overview is based on nine case series.^{1-8,10} and one non randomised controlled trial ⁹

Other studies that were considered to be relevant to the procedure but were not included in the main extraction table (Table 2) are listed in Appendix A.

Existing reviews on this procedure

There were no published reviews identified at the time of the literature search.

Related NICE guidance

Below is a list of NICE guidance related to this procedure. Appendix B details the recommendations made in each piece of guidance listed below.

Interventional procedures

IPG XXX corneal implants for refractive error

Technology appraisals

None applicable.

Clinical guidelines None applicable.

Public health

None applicable.

Study details	Key efficacy findings			Key safety findings		Comments		
Colin J (2007) ⁸	Operative success.					Complications		Prospective study.
Case series	Ring segments (100/100) of eye				n 100%	Complication	Rate (n=82)	All procedures undertake by one experiences surgeon.
France	Visual outcome BSCVA	es				Secondary displacement or migration	0%	Outcome assessments were no
n= 82 (100 eyes)	Outcome	Baseline	1 year	2 years		Vascularisation at incision	0%	undertaken by independent
Study period: 2001 to 2003	<0.1 0.1 to 0.2 0.6 to 0.4	3.7% 30.5% 43.9%	0% 18.3% 30.5%	0% 13.4% 32.9%		site Non-progressive epithelial cysts	26% (21/82)	clinicians. Patients were discontinued from
Population: Mean age = ? years, Male = 65%, Keratoconus grade I n = 16 eyes, grade II n=26, grade III n= 40. Indications: patients with Amsler-	\geq 0.5 P<0.001at both 68% of eyes gai unchanged and	22.0% 1 and 2 yea ned 1 or m	51.2% ars Vs ba ore lines	53.7% aseline. , 17% of e		White-yellow channel deposits (no effects on vision)	(21/02) 27% (22/82)	the study if they had reason to remove the implants (4 eyes), o an operative or post operative event occurred. 82 eyes of 68 patients were available for 2 yea
Krumeich grade I to III keratoconus with clear central cornea, and contact lens intolerance.	UCVA Outcome	Baseline	1 year	2 years				follow up. 14% (14/100) eyes were lost to follow up.
Intervention: Under general or local anaesthesia a 1.0 mm incision was made to 70% of the corneal depth. Semi circular tunnels were created using specialised dissectors, and Intacs ring segment s of various thicknesses	<0.1 0.1 to 0.2 0.6 to 0.4 \geq 0.5 P value not state 81% of eyes gai unchanged and	ned 1 or m	22.2% 12.4% ore lines	9.8% , 13% of e				2 year BSCVA and UCVA result were within 2 lines of those reported at 1 year in 89% of eyes, demonstrating stability over time.
were inserted. The incision was closed without sutures, and postoperative topical antibiotics and steroids were prescribed.	Outcome MRSE (D)	Baseline -6.93 ± 3.91	1 year -4.01 ± 3.16	2 years -3.80 ± 2.73	p= <0.001 both Vs baseline			
Follow up: 24 months.	Mean Keratometry	50.1 ± 5.6	46.6 ± 5.3	46.8 ± 4.9	<0.001 both Vs			
Conflict of interest: First author is a consultant to manufacturer, no author has a proprietary or financial interest in any material or method described.	(D) Of 44 patients w myopia and or a tolerant at 1 yea	stigmatism	89% (39	9/44) were	e still			

Table 2 Summary of key efficacy and safety findings on corneal implants for Keratoconus

Study details	Key efficacy finding	gs			Key safety findings	Comments
Colin J (2006) ¹	Operative success				Complications	Prospective study
	Ring segments were			98%	No intraoperative complications were	
Case series	(58/59) of patients er	nrolled to the st	udy.		reported.	Eyes were excluded from the
						study if the implant was
European – 5 sites	Visual outcomes		10		The most common outcomes were	explanted in the first year or
n - 57 avaa	Outcome Bas	eline 6 mont	12 mont	p value	intrastromal deposits on or near the inserts, and haze in the incision area,	where there was an operative of
n = 57 eyes	Intraocular N/A	hs	hs	N/R	rates N/R.	postoperative adverse event.
Study period: Sept 1999 to Mar 2002	pressure –	0.2 ± 2.4	–0.7 ± 2.8	IN/IN	Tales N/R.	One patient was lost to follow-up
	from baseline	(n = 15)	-		At 6 months, 16% (9/57) of patients	
Population: Mean age = not stated	UCVA 20/40 4%	(/	24%	<0.001	reported moderate or severe visual	Complication rates were
years, Male = not stated, mean UCVA =	or better (2/5		(8/34)	0.001	symptoms. Three patients reported	calculated on the assumption
'slightly worse than' 20/200, mean	(-, (,	()		glare (5% of eyes), and 1 patient (2%	that procedures were carried out
BSCVA = 20/50	BSCVA improved sig	nificantly from	baseline a	t	of eyes) reported discomfort, itching,	on one eye in each patient.
	6 months;3% gained	6–8 lines, 59%	ained 2-	-5 lines,	burning, photophobia, difficulty with	
Indications: patients with moderate-to-	32% had no change,	and 6% lost \geq	2 lines (p ·	< 0.001)	night vision or fluctuating vision.	It is not clear how visual acuity
severe keratoconus with clear central	(n = 34).					outcomes were analysed,
cornea				_	Dissatisfaction with the procedure	whether it was based on the
Intervention: Under general or legal	84% of eyes had a s				leading to request for implant removal	proportion of patients at each
Intervention: Under general or local anaesthesia, a 1.2 mm incision was	lines change betwee	n visits) for all i	ntervals fro	om 1 to	occurred in 12% (7/57) of patients.	level of acuity across all outcome times.
made to 70% of the corneal depth.	12 months.					umes.
Semi-circular tunnels were created	The mean MRSE va	lue improved si	anificantly	from _		The authors state that if outcome
using a pocketing hook, and Intacs ring	4.6 ± 3.5 D at baselin					is not acceptable following
segments of various thicknesses were	(p < 0.001) (n = 30).	10 10 0.1 ± 2.0 1				insertion of ring segments, they
inserted. The incision was closed with	(p 0.001) (11 00).					can be removed and corneal
one suture, and postoperative topical	Changes to refractive	e astigmatism v	vere evalu	ated by		transplantation performed.
antibiotics and steroids were	the absolute value of					
prescribed. In some cases either a	which decreased sig					
unilateral segment was implanted, or a	baseline to -1.52 ± 1					
thicker implant was used on one side.						
Follow up: 12 months	Patient-reported	Baseline	6 montl	าร		
	quality of vision					
Conflict of interest: None stated	Poor	69% (27/39)				
	Fair	21% (8/39)	29% (6			
	Good Excellent	10% (4/39) 0%	38% (8			
	Statistical significance		10% (2	<i>1</i> ∠1)		
	Statistical significant					

Study details	Key efficacy findings		Key safety findings		Comments
Boxer Wachler BS (2003) ² Case series USA n = 50 (74 eyes) Study period: Dec 1999 to May 2001 Population: Mean age = 35 years; male = 84% Indications: patients with keratoconus with severity ranging from forme fruste to advanced cones with scarring, intolerant to rigid gas-permeable contact lenses Intervention: Anaesthesia type not reported. An incision was made to 66% of the corneal depth, often in the same meridian as the axis of the positive cylinder. Intacs inserts of various thicknesses were implanted. The incision was not sutured. Topical antibiotics and steroids were prescribed for up to 7 days postoperatively. In some cases a thicker implant was used on the inferior side. Follow up: 9 months Conflict of interest: None stated	Visual outcomesOutcomeImproved ≥No ch 2 linesBSCVA45% (33/74)51% (UCVAT2% (53/74)19% (Post-hoc analysis found that eyes th of both BSCVA and UCVA had wors baseline than those that showed no (p < 0.0001 and p < 0.001 respective	$\geq 2 \text{ lines} \\ (38/74) 4\% (3/74) \\ (14/74) 9\% (7/74) \\ hat had gained lines \\ se scores at \\ o change \\ vely). \\ valent was reduced \\ -1.46 \pm 4.11 D after \\ follow up N/R) \\ bup \\ stoperative p value \\ CVA \\ 8 (\pm 0.23) 0.006 \\ 8 (\pm 0.40) 0.006 \\ 9 (\pm 0.24) 0.23 \\ stoperative p value \\ VA \\ 8 (\pm 0.47) < 0.0001 \\ 2 (\pm 0.52) 0.0004 \\ \end{cases}$	Complications Perioperative complication A superficial channel dissecti perforation of the anterior Boy layer occurred in one eye (1% was successfully rechannelle ring segment inserted. Complication Transient inflammatory reaction to epithelium in the incision Segment migration and externalisation (1 day follow-up) explanted Chronic foreign body sensation requiring explantation 	on with wman's 6). This d and a Rate 3% (2/74) 1% (1/74) 4% (3/74) 4% (3/74) 3% (2/74) 0% 0% e use sight uperior	Retrospective study All procedures were undertaken by the same surgeon. Length of follow-up for outcome evaluation was not well reported. It is assumed to be 9 months, the stated mean follow-up period. The units used for visual acuity outcomes were not reported.

Study details	Key efficacy findings	Key safety findings	Comments
Kwitko S (2004) ⁶ Case series Brazil n = 47 (51 eyes)	Visual outcomes UCVA improved in 86% (44/51) of eyes, there was no change in 8% (4/51*), and it worsened in 6% (3/51). *figure reported in paper was 3 eyes but this does not tally with either the total sample size or the fraction stated.	Key safety findings Complications Additional penetrating keratoplasty was required in 25% (13/51) of eyes: 3 because of no improvement in BSCVA, 5 because of segment extrusion, 4 because of poor-quality visual acuity, and 1 because of segment decentration.	All procedures were undertaken by one surgeon. Visual acuity outcomes were not analysed quantitatively against baseline scores. Individual patient (eye) data
Study period: Not stated Population: Mean age = ? years, male = ?, inferior keratoconus = 27 eyes, central keratoconus = 24 eyes Indications: patients with stage II or III keratoconus on the Amsler –Krumeich classification with clear central cornea. Intolerant to contact lenses and awaiting penetrating keratoplasty. Intervention: Under local anaesthesia, two incisions of 5.0 mm and 6.0 mm were made to 70–80% of the corneal depth at 180° degrees to each other. Semi-circular tunnels were created using a purpose-designed spatula, and Ferrara ring segments of various thickness were inserted. One incision was closed with one suture. Topical antibiotics and steroids were prescribed for 30 days postoperatively. Follow up: 13 months Conflict of interest: None stated	BSCVA improved in 86% (44/51) of eyes, there was no change in 2% (1/51), and it worsened in 12% (6/51). The mean refractive spherical equivalent decreased from -6.08 ± 5.01 D at baseline to -3.81 ± 3.99 D at 13 months (p < 0.01) The mean refractive astigmatism decreased from -3.69 ± 2.20 D at baseline to 2.21 ± 1.96 D 'postoperatively' (p < 0.01). The mean corneal curvature decreased from 48.76 \pm 3.97 D at baseline to 43.17 \pm 4.79 D at 13 months (p < 0.001). Outcomes of topographic astigmatism, spherical equivalent and refraction cylinder were better among eyes with central keratoconus than among those with inferior keratoconus (p < 0.05 for each). However, there were no significant differences in outcomes of central corneal curvature, surface regularity index, surface asymmetry index, UCVA or BSCVA.	Other postoperative complications OutcomeRateRing decentration Ring extrusion4% (2/51) 20% (10/51) 20% (10/51) 2% (1/51) to the segment (resolved with topical prednisolone) Presumed bacterial (cleared after removal and intense topical ofloxacin and cephalothin)2% (1/51) 2% (1/51)	 were presented but have not been extracted here. Patients' previous operative experience was not reported No details were provided of method of case selection or accrual. No details were provided of independent outcome assessment.

Study details	Key efficacy findings	Key safety findings	Comments
Levinger S (2005) ^₄ Case series	Operative success Adjustment of the implants(removal, exchange, addition or shifting) was required in 10% (6/58) of eyes.	Complications Patients completed a brief questionnaire regarding sight disturbance at 9–12 months' follow-up.	Retrospective study Outcomes were evaluated at 1 day, 1 week, 1, 3, 6 and
Israel	Visual outcomes Outcom Baseline Postoperative p =	These are not reported in the study paper.	12 months.
n = 43 (58 eyes) Study period: Mar 2001 to Aug 2002	e (n = 58) (n = 58) Mean 20/200 $20/50^{-3}$ < 0.001 UCVA (± 0.1 line) (± 3.1 lines)	Outcome Rate	No details were provided of method of case selection or
Population: Mean age = 36 years; male = 58% Forme fruste stage = 7 eyes definitive	Mean 20/30 ⁻¹ 20/32 0.75 BSCVA (± 0.23 (± 0.18 lines) lines) Snellen line equivalents	Increased astigmatism 7% (4/58) Increased hyperopia 2% (1/58) Insufficient correction 2% (1/58) requiring a second implant	accrual. No details were provided of independent outcome
keratoconus = 51 eyes	Most eyes with baseline BSCVA > 0.2 (20/32) fell into the fair or good outcome group.	Superficial corneal 0% 'buttonholing' Segment extrusion 0%	assessment.
varying severity (diagnosed by slitlamp signs or video keratography) who were intolerant to rigid contact lenses. Patients with pupils >7.0 mm were not	Outcome Baseline Postoperative p value (n = 58) (n = 58)		Analysis was based on outcomes and included 6 eyes where adjustment surgery was required.
excluded.	Mean manifest -3.88 -1.04 < 0.001 spherical (± 1.64) (± 1.51) equivalent (D) -1.97 < 0.001		sulgery was required.
1.8 mm radial incision was made at the steepest meridian of the cornea to 66% of the corneal depth. Intacs inserts of	astigmatic (± 2.32) (± 1.51) correction (D)		
various thicknesses were implanted. The incision was closed with one suture. Topical antibiotics, steroids and artificial tears were prescribed for up to 3 weeks posoperatively. In some cases,	Multiple regression analysis of the effect of baseline characteristics on postoperative UCVA found that baseline BSCVA and degree of astigmatism were independent predictors of visual outcome.		
a thicker implant was used on the inferior side.	There were no significant difference in UCVA, BSCVA, refraction, corneal topography or patient-satisfaction outcomes between eyes treated with symmetric		
Follow up: 12 months	implants and those treated with asymmetric implants.		
Conflict of interest: None stated			

Study details	Key efficacy findir	gs			Key safety findings		Comments
Miranda D (2003) ³	Visual outcomes 12-month outcome	(n = 31 ey	yes)		Postoperative complicatio	Outcomes were evaluated at 1, 3, 6 and 12 months.	
Case series		roved ≥	No change	worsened ≥ 2 lines	Outcome	Rate (n = 36)	
Brazil	BSCVA 87%	6 (27/31)	13% (4/31) 19% (6/31)	0% 0%	Segment decentration Segment asymmetry	3% (1/36) 6% (2/36)	5/40 patients (13%) were lost to follow-up, one who had
n = 35 (36 eyes)	Snellen lines	(20/01)		0,0	Inadequate implant depth Segment migration	6% (2/36) 6% (2/36)	segments removed because of severe irritation, two who
Study period: Not stated		aseline = 36)	1 month (n = 36)	12 months (n = 30)	Segment extrusion Conjunctivitis	14% (5/36)had penetrating keratoplasty and two who were
Population: Mean age = 26 years; male = 51%	MRSE (D) –7	.29 [°]	–5.57 [′]	-4.80	Hydrops (not otherwise defined)	3% (1/36)	
Keratoconus grade III or IV = 100%	Meaurement of pr				Infection (<i>Nocardia</i> Sp.)	3% (1/36)	
Indications: patients with severe keratoconus, defined as highly disabling	difficult because of		2				change in visual acuity from baseline was reported.
spectacle or contact lens visual acuity, total intolerance of contact lenses, and	Penetrating keratop of eyes because of						Keratoconus grades based
a previous indication for penetrating keratoplasty; minimum corneal	Corneal topograp						on the stage of the cone evolution (no further details
thickness 400 µm	Mean (and range) flat keratometric	Baseli (n = 2		2 months n = 21)			given)
Intervention: Under local anaesthesia and with topical antiseptic solution	power (D) EyeSys test	58.1		0.6			
applied, two incisions of 1.0 mm were made to 80% of the corneal depth at 180° to each other. Semi-circular	Orbscan test	(46.6– 51.9 (55.0–	4	38.6–70.0) 9.8 39.8–61.2)			
tunnels were created using a purpose- designed spatula, and Ferrara ring segments of various thicknesses were	Mean (and range) steep keratometric power (D)	Baseli (n = 2		2 months 1 = 21)			
nserted. No sutures were used. A bandage contact lens was placed. Topical antibiotics and steroids were	EyeSys test	62.3 (50.9–		2.7 41.2–71.3)			
prescribed for 30 days postoperatively.	Orbscan test	60.1 (50.6–	5	4.9 43.4–67.2)			
Follow up: 12 months	Mean central corne 'significantly' from the	al curvatu	ire décreased	,			
Conflict of interest: One author has a financial interest in the implant manufacturers.	postoperative exam						

Study details	Key efficacy findi	ngs			Key safety findings		Comments
Alió J L (2006) ⁷ Case series Spain / Egypt	Visual outcomes Parameter Sphere (D)	and Cornea Baseline (n = 13) -2.84	6 months (n = 13) -2.80	3 years (n = 13) –3.19	Complications Outcome Corneal channel deposits (not affecting visual	Rate 31% (4/13)	Retrospective study 7/26 eyes (27%) were excluded from the original study cohort because the implant was
n = 11 (13 eyes) Study period: Apr 2000 to Dec 2001 Population: Mean age = 28 years; male =? Amsler –Krumeich keratoconus grade I = 31%, grade II = 46%, grade III = 23%, grade IV = 0% Indications: patients with keratoconus (no further details) Intervention: Under local anaesthesia, a 1.8 mm incision was made to 70% of the corneal depth. Semi-circular pockets were created using a semi- automated device, and Intacs ring segments were inserted. The incision was closed with one suture. Topical antibiotics and steroids were prescribed for 10 days postoperatively. The decision to undertake asymmetrical implantation was made according to corneal topography, in these cases either a unilateral segment was implanted, or a thicker implant was used on one side. Follow up: 3 years Conflict of interest: None stated	Cylinder (D) Spherical equivalent (D) BSCVA Keratoconus maximum (D) Keratoconus minimum (D) Average keratoconus (D) Statistical different * $p \le 0.001$ vs bas There were no sigr BSCVA score at 6 with two or one ring Contact lens toler All patients who we baseline were able	seline. †p ≤ nificant diffe months betv g segments. rance ere contact-l	0.5 vs 6 mo rences in inc ween patient ens intolerar	nths. rease in s treated nt at	outcome) Superficial revascularisation at incision site and peripheral corneal tunnel at 6 months (regressed at 24 months)	15% (2/13)	explanted in the first year of follow-up, and 6 eyes because of loss to follow-up. The authors state that the extrusion rate may have been a reflection of a learning curve for this procedure, although advanced keratoconus may be a factor, as 3/7 eyes where extrusion occurred had advanced disease. Clinical complications were reported by an independent observer. Keratoconus was graded on the Amsler–Krumeich scale. All operations were undertaken by one surgeon. The authors state that an increase in mean keratoconus between 6-month and 3-year outcomes may reflect the progressive nature of the disease.

Study details	Key efficacy findings	Key safety findings		Comments
	Visual outcomes The UCVA improved in all 8 eyes from a mean score of 20/325 at baseline to 20/50 at 12 months follow up. The mean BSCVA improved from a mean score of 20/45 at baseline to 20/30 at 12 months follow up. 4 patients received a prescription for glasses, 1 patient could tolerate contact lenses again, and 3 were satisfied without correction. The mean MRSE improved from -4.75 \pm 3.56 D at baseline to -1.36 \pm 3.24 at 12 months follow up	Key safety findings Complications Outcome White channel deposits	Rate 50% (4/8)	Comments All procedures undertaken by one surgeon. No patients were lost to follow up The eye with greater astigmatism was treated in each patient. No measures of statistical significance between baseline and follow up scores are reported.
ntervention: Under local anaesthesia, a 1.8 mm incision was made to 70% of he corneal depth. Semi-circular bockets were created using specialised nstruments and asymmetrical insertion of Intacs ring segments was undertaken with thicker segment placed inferiorly. The incision was closed with one suture. Topical antibiotics and steroids were prescribed for 15 days bostoperatively.	The mean cylinder improved from -6.31 \pm 1.81 D at baseline to -1.72 \pm 6.20 at 12 months follow up The mean keratometry improved from 43.95 \pm 2.08 D at baseline to 42.46 \pm 1.84 at 12 months follow up			Although mean follow up was 25 months, and final visual acuity outcomes are reported for each patient, mean scores for the group are only reported to 12 months.
Follow up: 25 months Conflict of interest: None				

Study details	Key efficacy findings	Key safety findings	Comments
Rodriguez L A (2007) ⁹	Visual outcomes Asymmetrical keratoconus	Complications No intraoperative complications	In the asymmetrical
Non randomised controlled study	Asymmetrical keratoconus	occurred in either group.	keratoconus group baseline
Non randomioca controlled study	Keratoplasty n=4 FU 2 years minimum		visual acuity was worse in
Venezuela	Outcome Baseline Final follow P value up		patients undergoing keratoplasty than intacs, as
n = 17 (17 eyes keratoplasty 17 eyes	BSCVA 1.41 (± 0.61) 0.42 (± 0.32) <0.0001		the eye with severe corneal
corneal ring segments)	UCVA 1.71 (± 0.43) 0.69 (± 0.34) <0.0001		ectasia was chosen for treatment.
Study period: not reported	intacs n=4 FU 10 months minimum		
	Outcome Baseline Final follow P value		In the asymmetrical
Population: Mean age = 30 years; male			keratoconus group no
= 77%	BSCVA 0.30 (± 0.33) 0.50 (± 0.16) 0.33 UCVA 0.91 (± 0.49) 0.50 (± 0.30) 0.0088		analysis was undertaken to
Indications: patients with keratoconus	0.0008		compare intacs vs
(no further details). Patients with other	Symmetrical Keratokonus		keratoplasty
ocular disease were excluded from the	UCVA was significantly different at all follow up points	;	
study.	and better with intacs than keratoplasty (p=0.0046)		
Intervention: an 1.8 mm incision was made to 80% of the corneal depth.			
Semi-circular pockets were created			
using specialised instruments, and			
intacs inserted. The incision was closed			
with one or two sutures. Postoperative antibiotics and steroids were prescribed			
for 14 days. Or penetrating keratoplasty			
2 to 10 years previously.			
Follow up: 10 months (ring segments)			
Conflict of interest: None			

Study details	Key efficacy findings	Key safety findings	Comments
Study details Kymionis G D (2006) ¹⁰ Case series USA n = 15 (17 eyes) Study period: not reported Population: Mean age = 34 years; male = 53% Indications: patients with keratoconus with clear central corneas and contact lens intolerance	I acuity; PMD – pellucid marginal degeneration. Key efficacy findings Visual outcomes Spherical equivalent error was significantly reduced from -5.54 ± 5.02 D baseline to -3.02 ± 2.65 at 5 years follow up (P=0.01). The mean UCVA improved by 2.8 Snellen lines at final follow up The mean BSCVA improved by 1.4 Snellen lines at final follow up The mean keratometry value was reduced significantly from 49.95 ± 5.10 D at baseline to 48.02 ± 4.99 at final follow up (p=0.009). Mean keratometricadn topographic astigmatism	Key safety findings Complications All procedures were uneventful Superficial wound site neovascularisation and channel deposits were found in 69% (12/17) eyes at 5 year follow up. These outcomes were clinically insignificant with no loss to BSCVA	Comments This study cohort is taken from 28 patients who were initially entered in a clinical trial. 5 patients asked for Intacs to be removed, and 8 patients were lost to follow up
 Indications, patients with Relatoconds with clear central corneas and contact lens intolerance Intervention: Under local anaesthesia, a 0.9 mm incision was made to 70% of the corneal depth. Semi-circular pockets were created using specialised instruments and Intacs ring segments (0.45 mm). The incision was closed with one suture. Topical antibiotics and steroids were prescribed for 14 days postoperatively. Follow up: 67 months Conflict of interest: None 	48.02 ± 4.99 at final follow up (p=0.009).		

Study details	rected visual acuity; PMD – pellucid marginal degener Key efficacy findings	Key safety findings	Comments
aluay actalis			Comments

Validity and generalisability of the studies

- None of the studies was a randomised controlled trial. It is well known from other ophthalmological procedures assessed against sham/placebo interventions that visual acuity outcomes can be subject to considerable placebo effects.
- Overall, the degree of myopia of the patients included in the studies does not appear to have been severe.
- Variation in the severity of keratoconus between studies makes comparison difficult.
- Although one of the intended benefits of corneal implants is that they may allow patients to wear contact lenses, only one study reported directly on this outcome.

Specialist adviser's opinions

Specialist advice was sought from consultants who have been nominated or ratified by their Specialist Society or Royal College.

Mr D O'Brart, Mr M Leyland, Mr S Daya.

- Two specialist advisers thought that this was an established procedure, and no longer new, while one considered it to be novel and of uncertain safety and efficacy.
- The procedure aims to reduce astigmatism in keratoconus and reduce the need for corneal transplant, with a rapid recovery time and little ocular morbidity.
- The procedure is performed in an attempt to delay corneal transplantation which would be the inevitable next step.
- Theoretical adverse events include occasional ring erosion, and inflammation around the ring segments, as well as intraoperative damage to the retina / optic nerve due to increased intraocular pressure, and a loss of effect over time.
- There is some unreliability of effect from patient to patient.
- Wet-laboratory training is required before the procedure is undertaken.
- The implants can be removed easily and the effect is reversible.
- In advanced cases of keratoconus the refractive effect may be too small to be useful.
- The procedure may have a moderate impact on the NHS; 1 in 2000 people suffer from keratoconus, of whom up to 50% may be suitable for implantation of corneal ring segments.

Issues for consideration by IPAC

- The procedure is intended to be reversible and adjustable.
- Intacs inserts were approved by the US FDA in July 2004 for use in adults aged 21 years or older who have clear central corneas and a corneal

thickness of 450 μ m or thicker at the site of incision, who have experienced a progressive deterioration in their vision, such that they can no longer achieve adequate functional vision on a daily basis with their contact lenses or spectacles, and who have corneal transplantation as the only remaining option to improve their functional vision. http://www.fda.gov/cdrh/pdf4/h040002a.pdf

A summary of the benefits and safety data relating to this decision is available at <u>http://www.fda.gov/cdrh/pdf4/h040002b.pdf</u>

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- 4 Levinger S and Pokroy R. (2005) Keratoconus managed with intacs: one-year results. *Archives of Ophthalmology* 123: 1308-1314.
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- 7 Alio JL, Shabayek MH, and Artola A. (2006) Intracorneal ring segments for keratoconus correction: long-term follow-up. *Journal of Cataract & Refractive Surgery* 32: 978-985.
- 8 Colin J and Malet FJ. (2007) Intacs for the correction of keratoconus: Two-year follow-up. *Journal of Cataract & Refractive Surgery* 33: 69-74.
- 9 Rodriguez LA, Guillen PB, Benavides MA et al. (2006) Penetrating keratoplasty versus intrastromal rign segments to correct bilateral corneal ectasia:Preliminary study. *Journal of Cataract Refractive Surgery* 33: 488-496.
- 10 Kymionis GD, Siganos CS, Tsiklis NS et al. (2006) Long-term Follow-up of Intacs in Keratoconus. *American Journal of Ophthalmology* 143: 236-244.

Appendix A: Additional papers on corneal implants for Keratoconus not included in summary Table 2

The following table outlines the studies that are considered potentially relevant to the overview but were not included in the main data extraction table (Table 2). It is by no means an exhaustive list of potentially relevant studies

(Table 2). It is by no means an exhaustive list of potentially relevant studies.			
Article title	Number of patients/ follow-up (FU)	Direction of conclusions	Reasons for non-inclusion in Table 2
Alio JL, Artola A, Hassanein A, Haroun H, Galal A. One or 2 Intacs segments for the correction of keratoconus. Journal of Cataract & Refractive Surgery 2005; 31(5):943–953.	Case series n = 26 eyes FU = to 1 year	Spherical equivalent error and refractive astigmatism were significantly reduced.	Larger studies are included in Table 2. Most outcomes compare subgroups treated with unilateral or bilateral implants.
Alio JL, Shabayek MH, Belda JI, Correas P, Feijoo ED. Analysis of results related to good and bad outcomes of Intacs implantation for keratoconus correction. Journal of Cataract & Refractive Surgery 2006; 32(5):756–761.	Case series n = 25 eyes FU = 6 months	Poor results can be anticipated in advanced keratoconus (K reading \geq 55 D).	Larger studies are included in Table 2.
Barbara A, Shehadeh-Masha'our R, Zvi F, Garzozi HJ. Management of pellucid marginal degeneration with intracorneal ring segments. Journal of Refractive Surgery 2005; 21(3):296-298.	Case report n = 1 FU = 12 months	UCVA improved from 2/60 to 6/60, and completely eliminated myopia of -8.00 D. Irregularity of astigmatism was improved although magnitude was not.	Larger studies are included in table 2
Chalita MR, Krueger RR. Wavefront aberrations associated with the Ferrara intrastromal corneal ring in a keratoconic eye. Journal of Refractive Surgery 2004; 20(6):823–830.	Case report n = 1 FU = 1 month	Ring implant notably increased higher- order aberrations compared with the fellow eye.	Larger studies are included in Table 2. Largely surrogate outcomes were used.
Chan-Colin-C-K, Wachler-Brian-S- Boxer. Reduced best spectacle- corrected visual acuity from inserting a thicker intacs above and thinner intacs below in keratoconus. <i>JOURNAL OF REFRACTIVE</i> <i>SURGERY</i> , 2007, V23, N1, JAN, pp 93- 95	Case report n=1 FU=N/S	Correction of insert thickness produced improved visual acuity	Case series from these authors is described in table 2. This report does not highlight additional safety outcomes
Colin J, Cochener B, Savary G, Malet F, Holmes-Higgin D. INTACS inserts for treating keratoconus: one-year results. Ophthalmology 2001; 108(8):1409– 1414.	Case series n = 10 FU = 12 months	Corneal steepening and associated astigmatism was reduced.	Larger studies are included in Table 2.
Ertan, A. and Bahadir, M. Topography- guided vertical implantation of Intacs using a femtosecond laser for the treatment of keratoconus. <i>Journal of</i> <i>Cataract & Refractive Surgery</i> , 2007, 33 (1) 148-151.	Case report n=2	No further details available	Larger studies are included in Table 2.
Ertan-A, Bahadir-M. Intrastromal ring segment insertion using a femtosecond laser to correct pellucid marginal corneal	Case series n=6 (9 eyes)	Successful implantation in all eyes. Significant	Larger studies are included in Table 2.

	1		
degeneration. JOURNAL OF CATARACT AND REFRACTIVE SURGERY, 2006, V32, N10, OCT, pp 1710-1716	FU=6 months	difference between the preoperative and postoperative UCVA	
Hellstedt T, Makela J, Uusitalo R, Emre S, Uusitalo R. Treating keratoconus with intacs corneal ring segments. Journal of Refractive Surgery 2005; 21(3):236– 246.	Case series n = 37 (50 eyes) FU = 6 months	92% operative success Patient satisfaction with vision improved from 24% to 88%.	Studies with longer follow-up are included in Table 2.
Hofling-Lima AL, Branco BC, Romano AC, Campos MQS, Moreira H, Miranda D et al. Corneal infections after implantation of intracorneal ring segments. Cornea 2004; 23(6):547–549.	Case series n = 8 FU = to 22 months	Infectious keratitis reported in all cases,, onset 1– 22 months after insertion. Two patients required penetrating keratoplasty to control the infection.	Larger studies are included in Table 2.
Kanellopoulos AJ, Pe LH, Perry HD, Donnenfeld ED. Modified intracorneal ring segment implantations (INTACS) for the management of moderate to advanced keratoconus: efficacy and complications. Cornea 2006; 25(1):29– 33	Case series n = 15 (20 eyes) FU = 6– 12 months	Segment implantation improved UCVA and BSCVA significantly. There was one case of anterior chamber perforation.	Larger studies are included in Table 2. Studies with longer follow-up are included in Table 2.
Kymionis GD, Aslanides IM, Siganos CS, Pallikaris IG. Intacs for early pellucid marginal degeneration. Journal of Cataract & Refractive Surgery 2004; 30(1):230–233.	Case report n = 1 FU = 11 months	UCVA improved to 20/200 from counting fingers at baseline. BSCVA improved from 20/50 to 20/25. Corneal topography was improved.	Larger studies are included in Table 2.
McAlister JC, Ardjomand N, Ilari L, Mengher LS, Gartry DS. Keratitis after intracorneal ring segment insertion for keratoconus. Journal of Cataract & Refractive Surgery 2006; 32(4):676– 678.	Case report n = 1 FU = 1 month	A case of sterile keratitis was reported, which resolved within a few days of implant removal.	Larger studies are included in Table 2.
Siganos CS, Kymionis GD, Kartakis N, Theodorakis MA, Astyrakakis N, Pallikaris IG. Management of keratoconus with Intacs. American Journal of Ophthalmology 2003; 135(1):64–70.	Case series n = 26 eyes FU = 11 months	Ring segments improved UCVA and BSCVA in the majority of patients.	Larger studies are included in Table 2. Studies with longer follow-up are included in Table 2.
Siganos D, Ferrara P, Chatzinikolas K, Bessis N, Papastergiou G. Ferrara intrastromal corneal rings for the correction of keratoconus. Journal of Cataract & Refractive Surgery 2002; 28(11):1947–1951	Case series n = 26 FU = 6 months	Corneal implants reduced corneal steepening and reduced astigmatism in eyes where the rings were left in place. Two rings were removed because they had been placed incorrectly.	Larger studies are included in Table 2. Studies with longer follow up are included in Table 2.

Appendix B: Related published NICE guidance for corneal implants for Keratoconus

Guidance programme	Recommendation
Interventional procedures	IPG XXX corneal implants for refractive error
	In production.
Technology appraisals	None applicable
Clinical guidelines	None applicable
Public health	None applicable

Appendix C: Literature search for corneal implants for corneal disease

IP: 391 Semi-circular corneal implants			
Database	Date searched	Version searched	
Cochrane Library	26/09/06	2006 Issue 3	
CRD databases (DARE & HTA)	26/09/06	2006 Issue 3	
Embase	26/09/06	1980 to 2006 Week 38	
Medline	26/09/06	1966 to September Week 2 2006	
Premedline	26/09/06	September 25, 2006	
CINAHL	26/09/06	1982 to September Week 4 2006	
British Library Inside Conferences	26/09/06	-	
NRR	26/09/06	2006 Issue 3	
Controlled Trials Registry	26/09/06	-	

The following search strategy was used to identify papers in Medline. A similar strategy was used to identify papers in other databases.

1	intacs.tw.	74
2	keravision.tw.	24
3	(cornea\$ adj3 (implant\$ or insert\$ or ring\$ or disc\$ or disk\$)).tw.	1082
4	(intrastromal adj3 (implant\$ or insert\$ or ring\$ or disc\$ or disk\$)).tw.	120
5	(ferrara adj3 (implant\$ or insert\$ or ring\$ or disc\$ or disk\$)).tw.	10
6	(prescription adj3 (implant\$ or insert\$ or ring\$ or disc\$ or disk\$)).tw.	300
7	icrs.tw.	135
8	or/1-7	1526
9	Myopia/	9145
10	Keratoconus/	1864

11	myop\$.tw.	24250
12	keratoconus.tw.	1869
13	nearsighted\$.tw.	50
14	shortsighted\$.tw.	62
15	Astigmatism/	3969
16	astigmatism.tw.	4048
17	(refractive adj3 (error\$ or defect\$ or disorder\$)).tw.	3482
18	Refractive Errors/	4938
19	Dilatation, Pathologic/	6180
20	((cone or conical) adj3 (ectasia or cornea)).tw.	14
21	or/9-20	42648
22	8 and 21	320
23	animals/	4094980
24	humans/	9775865
25	23 not (23 and 24)	3098534
26	22 not 25	306
27	limit 26 to english language	256