# NATIONAL INSTITUTE FOR HEALTH AND CLINICAL EXCELLENCE

### INTERVENTIONAL PROCEDURES PROGRAMME

### Interventional procedure overview of intraoperative

### nerve monitoring during thyroid surgery

The thyroid gland lies close to the vocal cords and the nerves that control movement of the vocal cords (recurrent laryngeal nerves). When surgery is performed on the thyroid gland, a nerve monitor is sometimes used during the operation with the aim of helping to prevent potential damage to the nerves.

### Introduction

This overview has been prepared to assist members of the Interventional Procedures Advisory Committee (IPAC) in making recommendations about the safety and efficacy of an interventional procedure. It is based on a rapid review of the medical literature and specialist opinion. It should not be regarded as a definitive assessment of the procedure.

### Date prepared

This overview was prepared in August 2007.

### **Procedure name**

• Intraoperative recurrent laryngeal nerve monitoring during thyroid surgery

## **Specialty societies**

The following societies were approached to nominate Specialist Advisers:

- Association of British Neurologists
- British Association of Head and Neck Oncologists
- British Association of Oral and Maxillofacial Surgeons
- British Association of Otorhinolaryngologists, Head and Neck Surgeons
- British Association of Thyroid and Endocrine Surgeons

### Description

#### Indications

#### Thyroid disease requiring surgery

The thyroid gland is an H-shaped gland that lies just in front of the trachea in the neck. Thyroid hormones have a major role in regulating metabolism. If the gland is overactive or enlarged, or if thyroid cancer is suspected, surgery may be necessary. Surgery may involve removal of the whole thyroid gland (total thyroidectomy) or part of the gland (subtotal thyroidectomy, hemithyroidectomy or lobectomy). The thyroid gland lies close to the voice box (vocal cords in the larynx) and its nerves (the right and left recurrent laryngeal nerves [RLN]). Occasionally, these nerves are damaged during thyroid surgery (through traction, diathermy injury, or ligation and division). Injury to the RLN can result in temporary or permanent paralysis of the vocal cords. Unilateral nerve damage may result in breathing difficulties and an inability to speak. The external branch of the superior laryngeal nerve is also at risk of injury during thyroid surgery. Damage to this nerve may cause a slight deterioration in vocal quality.

#### Current treatment and alternatives

Conventional thyroid surgery is done without the aid of continuous intraoperative nerve monitoring (IONM). Under general anaesthesia, an incision is made in the front of the neck. The underlying muscles are opened to expose the thyroid gland, some or all of which is removed. Care is taken to avoid injury of nearby nerves, usually by visual identification alone. A handheld nerve stimulator can also be used, in combination with palpation of the posterior surface of the larynx. A finger is placed behind the larynx to feel the contraction of the vocal cord muscles and the arytenoid cartilages on nerve stimulation.

### What the procedure involves

Several techniques and devices can be used for intraoperative electrophysiological nerve monitoring, including specially designed endotracheal tubes, laryngeal surface electrodes attached to the standard endotracheal tube, or intramuscular vocal cord electrodes that are placed through the cricothyroid ligament during surgery, before dissection of the thyroid gland. General anaesthesia is used and a short-acting muscle relaxant is typically administered while the trachea is being intubated. Muscle relaxant drugs are not used during the procedure itself as they can interfere with the nerve monitoring process. The patient is intubated and depending on the exact technique and device being used, this may involve the use of a standard endotracheal tube, with or without surface electrodes, or a specially designed endotracheal tube with integral electrodes. If the endotracheal tube has either integral electrodes or surface electrodes attached to it, these are positioned close to the vocal cords. An incision is made in the front of the neck and the underlying muscles are dissected to expose the thyroid gland. If intramuscular vocal cord electrodes are used, they are placed through the cricothyroid ligament into the ipsilateral vocal muscle at this point. The electrodes are connected to the neuromonitoring device, which uses sound and graphics on the monitor screen to alert the surgeon when a surgical instrument comes close to one of the RLNs. A hand-held probe can also be used to confirm the location of the nerve at any time during the operation. Postoperative laryngoscopy is used to assess RLN function. The aim of IONM may be to help prevent damage to the RLN during the operation or to diagnose that the nerve has been damaged.

#### Efficacy

A non-randomised controlled trial of 29,998 nerves at risk that compared no RLN identification, visual RLN identification only, and visual RLN identification with IONM reported no statistically significant difference between IONM and visual RLN identification only with regard to permanent paralysis: 0.80% (95% confidence interval, 0.67 to 0.93) of nerves in the IONM group had permanent paralysis, compared with 0.89% (95% confidence interval, 0.64 to 1.14) in the visual RLN identification group and 0.93% (95% confidence interval, 0.70 to 1.16) in the group where the RLN was not routinely identified.<sup>1</sup>

Three other non-randomised controlled trials (n = 1000, 1043 and 190 nerves at risk) reported permanent rates of RLN paralysis as 0.8%, 0.3% and 2.4% in the IONM groups, compared with 1.2%, 0.3% and 0.9%, respectively, in the control groups (routine RLN identification). Rates of transient RLN palsy were 3.4%, 3.3% and 4.8% in the IONM groups, compared with 4.0%, 4.3% and 2.8%, respectively, in the control groups. None of these differences was reported to be statistically significant.<sup>2,3,4</sup> An additional non-randomised controlled trial reported rates of transient RLN palsy as 3.5% (4/116) nerves at risk in the IONM group and 4.2% (5/120) in the control group (p = 0.89).<sup>5</sup>

Three case series reported rates of transient RLN palsy as 8.6% (43/502), 8.7% (37/429) and 4.8% (13/271).<sup>6,7,8</sup> Rates of permanent RLN paralysis were 3% (15/502), 1.4% (6/429) and 0.7% (2/271), respectively.

The non-randomised controlled trial of 1000 nerves at risk, comparing IONM with visual identification only, reported an overall sensitivity and specificity of 52% and 94%, respectively, for accurate prediction of the postoperative vocal cord outcome (assessed by laryngoscopy). The positive predictive value was 29% and the negative predictive value was 98%.<sup>2</sup>

### Safety

No adverse events resulting from IONM were reported in the studies.

### Literature review

#### Rapid review of literature

The medical literature was searched to identify studies and reviews relevant to IONM during thyroid surgery. Searches of Medline, PreMedline, EMBASE, Cochrane Library and other databases were made, covering the period from their start to 23/08/2007. Trial registries and the Internet were also searched. No language restriction was applied to the searches. (See appendix B for details of search strategy.)

The following selection criteria (Table 1) were applied to the abstracts identified by the literature search. Where these criteria could not be determined from the abstracts the full paper was retrieved.

Characteristic	Criteria
Publication type	Clinical studies were included. Emphasis was placed on identifying good quality studies. Abstracts were excluded where no clinical outcomes were reported, or where the paper was a review, editorial, or laboratory or animal study. Conference abstracts were also excluded because of the difficulty of appraising methodology.
Patient	Patients undergoing thyroid surgery.
Intervention/test	Intraoperative nerve monitoring.
Outcome	Articles were retrieved if the abstract contained information relevant to safety and/or efficacy.
Language	Non-English-language articles were excluded unless they were thought to add substantively to the English-language evidence base.

#### Table 1 Inclusion criteria for identification of relevant studies

### List of studies included in the overview

This overview is based on five non-randomised comparative studies  $^{1\text{-}5}$  and three case series.  $^{6\text{-}8}$ 

Other studies that were considered to be relevant to the procedure but were not included in the main extraction table (table 2) have been listed in appendix A.

#### Existing reviews on this procedure

There were no published systematic reviews with meta-analysis or evidence based guidelines identified at the time of the literature search.

### Related NICE guidance

No NICE guidance related to this procedure was identified.

#### Abbreviations used: CI. confidence interval: IONM. intraoperative nerve monitoring; OR, odds ratio; RLN, recurrent laryngeal nerve; RR, relative risk. Study details Key efficacy findings Key safety findings Comments Dralle H (2004)<sup>1</sup> RLN paralysis persisting 6 months after the operation was No safety outcomes were Consecutive operations – IONM defined as being permanent. reported. was done according to the Non-randomised controlled study (prospective) individual surgeon's Overall rate of permanent RLN paralysis (univariate analysis): intraoperative decision and availability of RLN monitoring Germany (multicentre) No RLN identification = 0.93% (95% CI 0.70 to 1.16) • Visual RLN identification = 0.89% (95% CI 0.64 to device. Study period: 1998-2001 1.14) The sample sizes in the three IONM = 0.80% (95% CI 0.67 to 0.93) • aroups were auite different. n = 16,448 operations (29,998 nerves at risk) which affected the subgroup Major significant risk factors for postoperative permanent RLN Population: patients undergoing thyroid surgical calculations of RLN paralysis paralysis identified on multivariate analysis were: procedures under general anaesthesia frequency between the groups. Recurrent malignant goitre (OR = 6.66, p < 0.0001) • The authors state that the study No RLN identification = 22% (6649/29.998) Recurrent benign goitre (OR = 4.67, p < 0.0001) • was underpowered to detect a nerves Primary surgery in thyroid malignancy (OR = 2.04, p = • significant difference between • Visual RLN identification = 18% 0.0002) visual RLN identification and (5517/29,998) nerves Lobectomy (OR = 1.8, p < 0.0001) • IONM. Visual nerve identification with electromyographic monitoring = 59% (17832 Minor significant risk factors for postoperative permanent RLN The number of thyroid nerves/29998) paralysis identified on multivariate analysis were: operations performed in each Interventions without any type of nerve identification participating centre ranged from Median age: 54 years (range 3-99) (OR = 1.37, p < 0.05) <20 to >1000. Medium hospital volume (OR = 1.34, p < 0.05) • Male = 30% (6833/22973) Low volume surgeon (OR = 1.2, p = 0.12) ٠ In patients with thyroid malignancy, significantly more Indications: no inclusion and exclusion criteria The study indicates that there is no significant benefit of IONM patients underwent either visual were described. overall compared with visual nerve identification with regard to nerve identification or IONM as functional outcome of the RLN. compared with no nerve Thyroid disease: identification (p < 0.0001). A • Benian multinodular goitre = 82% significantly higher proportion of (24.693/29.998)subtotal resections were done Immunogenic goitre = 6% (1868/29,998) with no nerve identification. Benjan recurrent aoitre = 5% (1480/29.998) compared with lobectomy (28% Thyroid malignancy = 6% (1833/29.998) ٠ versus 5%, p < 0.001). Recurrent thyroid malignancy = <1%(124/29,998)

#### Table 2 Summary of key efficacy and safety findings on intraoperative nerve monitoring during thyroid surgery

dy details	Key efficacy findings	Key safety findings	Comments
lle H (2004) continued.			The relative proportion of thyroid
			malignancy and lobectomy were
haigues agree monitor Neuropiga 100			significantly higher in the group
hnique: nerve monitor – Neurosign 100			
chine (Inomed GmbH, Teningen, Germany).			of surgeons with higher number
ndard endotracheal tubes were used for			of operated sites per year (≥ 45
pation and intramuscular vocal cord electrodes			sites, p < 0.001).
e inserted through the cricothyroid ligament			
the ipsilateral vocal muscle before dissection			At end of study, follow-up for
e thyroid gland.			patients with postoperative RLN
			paralysis was 83% (736/884
atients were investigated by pre- and			nerves at risk). Patients with
operative laryngoscopy to assess RLN			postoperative RLN paralysis wh
tion.			were unavailable for follow-up
			were defined as missing values
ow-up: 6 months			and interpolated for the statistic
			analysis.
ntial conflict of interest: The paper states that			)
stic support for the study was provided by			The authors conclude that visua
ning Berlin GmbH & Co KG, Berlin, Germany			identification is the gold standa
by Inomed GmbH, Teningen, Germany.'			of RLN treatment in thyroid
			surgery but that IONM is a
			promising tool for nerve
			identification and protection in
			extended thyroid resection
			procedures.

Study details	Key efficacy	y findings				Key safety findings	Comments
Chan WF (2006) <sup>2</sup> Non-randomised controlled study (prospective)	after thyroide			s of surgery)	No safety outcomes were reported.	Consecutive patients - intraoperative nerve monitoring was performed on the basis of availability of equipment and	
China			b (26/499), p	> 0.05			choice of operating surgeon.
Study period: 2002–2005	Rates of trar thyroidector	•	months) RLN	palsy after			There were no significant differences between the group
n = 639 patients (1000 RLNs at risk of injury)	• ION	IM = 3.4% (1	17/501) 5 (20/499), p	> 0.05			in terms of age, gender, type of the type of type
Population: patients undergoing thyroidectomy							bilateral procedures, concomitant neck dissections
<ul> <li>IONM = 49% (316/639) of patients, 501 nerves at risk</li> </ul>	Rates of per thyroidector			months) RLM	I paisy aπer		and pathological condition.
<ul> <li>Routine visual identification of RLN (controls) = 51% (323/639) of patients, 499 nerves at risk</li> </ul>	• Cor	1.2%	6(6/499), p >			The weight of resected specimens was significantly heavier in the IONM group that the control group (p < 0.008).	
Mean age: 49 years (range 8–93)	Correlation o		-		erative		A greater proportion of nerves
Male = 21% (133/639)			tive outcome				risk that were undergoing reoperation and thyroidectomy
<ul> <li>Total or near-total thyroidectomy = 56% (358/639)</li> </ul>	IONM	Paralysis	No paralysis	Total (n)			for non-toxic goitre had IONM $(p = 0.024 \text{ and } 0.012)$
• Unilateral lobectomy = 38% (241/639)	No signal Intact	11 10	27 453	38 463			respectively).
(36/639)	signal Total	21	480	501			The authors note that an impractically large number of
<ul> <li>Subtotal thyroidectomy = &lt;1% (4/639)</li> <li>Unilateral procedure = 43% (277/639)</li> <li>Indications: inclusion criteria not stated. Patients</li> </ul>	Sensitivity = Specificity = Positive precipient Negative precipient	94% dictive value				patients would be needed for a prospective RCT because of the rarity of RLN injury achieved in specialised centres.	
with intraoperative finding of tumour involvement of RLN were excluded.	Multivariate	analysis sho and operative	wed that ma e time were a	ignant diseas all independe		The authors state that there w some selection bias, favouring the use of IONM in patients of certain high-risk subgroups, particularly in reoperation.	

Abbreviations used: CI, confidence interval; IONM, intraoperative nerve monitoring; OR, odds ratio; RLN, recurrent laryngeal nerve; RR, relative risk.								
Study details	Key efficacy findings	Key safety findings	Comments					
Chan WF (2006) <sup>2</sup> continued. Final pathological condition: • Benign nodular goitre = 56% (357/639) • Thyroid malignancy = 22% (141/639) • Graves disease = 13% (86/639) • Follicular adenoma = 8% (50/639) • Thyroiditis = 1% (5/639) Technique: Nerve monitor –Neurosign 100 machine (Magstim Clarify Company, Whitland, UK) consisting of laryngeal surface electrode attached to endotracheal tube. Routine postoperative vocal cord examination was done using an indirect or flexible laryngoscope. Any reduction in the movement of the cord was recorded as postoperative cord paralysis. Median follow-up = 6 months (range 1–12 months) for patients with postoperative cord palsy. Potential conflict of interest: none stated	Rates of transient RLN palsy after secondary thyroidectomy: IONM = 5.2% (2/38) Controls = 14.2% (3/21), $p > 0.05$ Rates of permanent RLN palsy after secondary thyroidectomy: IONM = 2.6% (1/38) Controls = 4.8% (1/21), $p > 0.05$ Rates of transient RLN palsy in patients with carcinoma: IONM = 6.3% (9/142) Controls = 6.1% (7/115), $p > 0.05$ Rates of permanent RLN palsy in patients with carcinoma: IONM = 0.7% (1/142) Controls = 1.7% (2/115), $p > 0.05$ Rates of transient RLN palsy in patients with retrosternal goitre: IONM = 1.7% (1/59) Controls = 7.7% (4/52), $p > 0.05$ Rates of permanent RLN palsy in patients with retrosternal goitre: IONM = 1.7% (1/59) Controls = 0% (0/52), $p > 0.05$ Rates of transient RLN palsy in patients with toxic goitre: IONM = 1.7% (1/24), $p > 0.05$ Rates of transient RLN palsy in patients with toxic goitre: IONM = 1.6% (2/124), $p > 0.05$ Rates of permanent RLN palsy in patients with toxic goitre: IONM = 1.6% (2/124), $p > 0.05$ Rates of transient RLN palsy in patients with toxic goitre: IONM = 0% (0/92) Controls = 0.8% (1/124), $p > 0.05$							

Study details	Key efficacy findings	Key safety findings	Comments
Shindo M (2007) <sup>3</sup> Non-randomised controlled study (retrospective)	Impairment of postoperative vocal fold mobility (paresis or paralysis): • IONM = 5.8% (25/427) of patients • Controls = 6.6% (17/257) of patients	'No patients experienced a complication from the intubation of either type of tube.'	The unmonitored cases were those performed when the nerve monitoring system was not available for use, primarily in the earlier years of the study.
<ul> <li>USA</li> <li>Study period: 1998–2005</li> <li>n = 684 patients (1043 nerves at risk)</li> <li>Population: patients undergoing thyroid surgery under general anaesthesia <ul> <li>IONM = 62% (427/684) of patients, 64% (671/1043) nerves at risk</li> <li>Routine identification of RLN (controls) = 38% (257/684) of patients, 36% (372/1043) nerves at risk</li> </ul> </li> <li>Indications: inclusion and exclusion criteria not stated. Patients undergoing only a paratracheal node dissection were excluded.</li> <li>Total thyroidectomy = 52% (359/684)</li> <li>Technique: nerve integrity monitoring EMG endotracheal tube (Medtronic Xomed, Jacksonville). The tube was positioned so that the electrodes were situated at the level of the true vocal folds. A standard endotracheal tube was used when IONM was not done. All patients underwent pre- and postoperative laryngoscopy.</li> <li>Follow-up: not stated</li> </ul>	<ul> <li>Controls = 0.0% (17/207) of patients</li> <li>None of the patients experienced bilateral vocal cord paralysis.</li> <li>Unexpected postoperative vocal fold paresis: <ul> <li>IONM = 3.3% (14/427) of patients</li> <li>Controls = 4.3% (11/257) of patients</li> </ul> </li> <li>Vocal cord function returned to all 9 patients in the IONM group and all 7 patients in the control group available for long-term follow-up (period not stated).</li> <li>Unexpected postoperative vocal cord paralysis: <ul> <li>IONM = 1.6% (11/427) of patients</li> <li>Controls = 1.6% (6/257) of patients</li> </ul> </li> <li>In 2 of the paralysis cases, the vocal cord did not regain full function and the paralysis was considered to be permanent. In both cases, the injury was because of inadvertent transection that was recognised intraoperatively (the paper does not state whether these were monitored or control patients).</li> <li>Rate of permanent paralysis: <ul> <li>IONM = 0.3% (2/671) of patients</li> <li>Controls = 0.3% (1/372) of patients</li> </ul> </li> <li>There was no significant difference in the rates of postoperative vocal cord paralysis between the 2 groups.</li> </ul>		<ul> <li>available for doe, printing in the earlier years of the study.</li> <li>All procedures were done by a single senior surgeon.</li> <li>5 of the 14 monitored patients with paresis were lost to follow-up. 4 of the 11 control patients with paresis were lost to follow-up.</li> <li>2 of the 11 monitored patients with paralysis were lost to follow up. 3 of the 6 control patients with paralysis were lost to follow up.</li> <li>Follow-up is described as 'long-term' but not specified.</li> </ul>

Study details	Key efficacy findings	Key safety findings	Comments
Witt RL (2005) <sup>4</sup>	Permanent vocal fold immobility was defined as lasting more than 1 year.	No safety outcomes were reported.	Retrospective survey of consecutive patients.
Non randomised controlled study			
(retrospective)	None of the RLNs were severed.		All operations were performed b one surgeon.
USA	<ul> <li>Rate of transient vocal fold immobility:</li> <li>IONM = 4.8% (4/83) nerves at risk</li> </ul>		
Study period: 1998–2003	<ul> <li>Controls = 2.8% (3/107) nerves at risk, p &gt; 0.05</li> </ul>		
n = 136 patients (190 nerves at risk)	Rate of permanent vocal fold immobility:		
Population: patients undergoing thyroidectomy	<ul> <li>IONM = 2.4% (2/83) nerves at risk</li> <li>Controls = 0.9% (1/107) nerves at risk, p &gt; 0.05</li> </ul>		
<ul> <li>IONM = 44% (83/190) nerves at risk</li> <li>Routine identification of RLN (controls) = 56% (107/190) of nerves at risk</li> </ul>	Both cases of permanent vocal fold immobility that were monitored had a positive electrophysiological stimulation of the RLN at the conclusion of the RLN dissection, before wound		
Indications: inclusion and exclusion criteria not stated.	closure, suggesting electrophysiological integrity.		
Technique: Nerve Integrity Monitor (Medtronic, Minneapolis) was used, consisting of an endotracheal tube integrated with surface electromyography electrodes. Vocal fold mobility was evaluated pre- and postoperatively for all patients (method not described in paper).			
Follow-up: not stated			
Conflict of interest: none stated			

Study details				Key efficacy findings	Key safety findings	Comments		
Robertson ML Non-randomis (retrospective	ed controlle	ed study		Postoperative RLN paralysis (not further defined): • IONM = 0.86% (1/116) nerves at risk • Controls = 2.54% (3/120) nerves at risk RR = 0.34, 95% CI = 0.04 to 3.27, p = 0.62	No safety outcomes were reported.	Surgery was performed by 5 different surgeons at a single centre.		
USA Study period: 1 n <b>= 165 patien</b>		ves at risk)		Postoperative RLN paresis (described as temporary): • IONM = 3.45% (4/116) nerves at risk • Controls = 4.24% (5/120) nerves at risk RR = 0.90, 95% CI = 0.23 to 3.55, p = 0.89		Patients with preoperative RLN injuries were included in the study but the nerves were excluded from the analyses of nerves at risk.		
Population: pat hyroidectomy IONM = 5 (116/236) Routine ic	ients underg 0% (82/165) nerves at ris lentification o	oing total or of patients, sk	49% rols) = 50%	<ul> <li>Total RLN injury: <ul> <li>IONM = 4.31% (5/116) nerves at risk</li> <li>Controls = 6.78% (8/120) nerves at risk</li> </ul> </li> <li>RR = 0.66, 95% CI = 0.21 to 2.09, p = 0.48</li> </ul> <li>Among patients with thyroid malignancy, T-stage was a significant predictor of RLN paresis (p = 0.006) but not paralysis (p = 1.0). Preoperatively functional RLN with T4 malignant tumours were associated with 8-fold RR increase of</li>		There was a statistically significant difference between groups with respect to sex, history of irradiation, history of radioiodine ablation and concurrent lateral neck dissection. Sample size was calculated		
	IONM (n = 116 nerves)	Controls (n = 120 nerves)	p value	RLN paresis following thyroidectomy when compared with all other nerves at risk.		assuming a control rate of RLN paralysis of 3% and setting an absolute risk difference of 2% a		
Age (years)	42.8	46.4	0.06	No other baseline characteristic (other than tumour stage) was		clinically relevant. The sample		
Female	85%	69%	0.003	significant for RLN paralysis, paresis or total injury (age, sex,		size was calculated at 121 nerves at risk in each group fo		
Revision	4.3%	1.7%	0.3	substernal goitre, history of irradiation or radioiodine ablation,		80% power.		
History of neck irradiation	0%	5.1%	0.03	central or lateral neck dissection, or revision surgery). RLN paresis in nerves at risk with advanced stage tumours		As the control rate of RLN		
History of radioiodine ablation	9.6%	0%	0.0003	<ul> <li>(T4):</li> <li>IONM = 16.7% (1/6) nerves at risk</li> <li>Controls = 33.3% (2/6) nerves at risk</li> </ul>		paralysis was lower than assumed when calculating sample size, the study was under powered to detect a		
Substernal goitre	6.9%	7.0%	1.0	RR = 0.5, 95% CI = 0.06 to 4.15, p = 1.0		significant difference.		
Malignancy	32.8%	34.8%	0.7	RLN paresis in nerves at risk with advanced stage tumours (T 3		Multiple ourgoone wore in take		
Lateral neck 2.6% 9.2% 0.05 dissection		0.05	and T4): • IONM = 10.0% (1/10) nerves at risk • Controls = 30.0% (3/10) nerves at risk		Multiple surgeons were involve with different rates of IONM usage.			
				RR = 0.36, 95% CI = 0.04 to 3.0, p = 0.59 Univariate analysis did not show a significant association between attending surgeon and RLN paralysis.				

Study details	Key efficacy findings	Key safety findings	Comments
Robertson ML (2004) continued.			
ndications: patients undergoing thyroidectomy in			
conjunction with laryngectomy were excluded.			
Patients who underwent thyroidectomy by			
surgeons who infrequently perform thyroidectomy			
< 10 during the study period) were also excluded			
Technique: NIM and NIM2 EMG endotracheal tub	e		
(Medtronic Xomed, Jacksonville). The tube was			
positioned such that the electrodes were situated			
at the level of the true vocal folds. A standard			
endotracheal tube was used when IONM was not done. All patients underwent pre- and			
postoperative laryngoscopy.			
ootoporativo iaryngoooopy.			
Follow-up: not stated			
Conflict of interest: none			

Abbreviations used: CI, confidence interval; IONM, intraoperative nerve monitoring; OR, odds ratio; RLN, recurrent laryngeal nerve; RR, relative risk.

Study details	Key efficacy findings					Key safety findings	Comments	
Hermann M (2004) <sup>6</sup>	Postoperative	injury to F	RLN			No safety outcomes were	Consecutive patients in a single	
		Nerves	RLN	Permanent		reported.	centre (8 surgeons, 2 surgical	
Case series (prospective)		at risk	paresis	RLN palsy			trainees).	
	Total	502	43 (9%)	15 (3%)				
Austria	Benign	179	7 (4%)	0 (0%)				
	disease,							
Study period: not stated	first							
	operation							
n = 328 patients (502 nerves at risk)	Benign	197	19 (10%)	8 (4%)				
	disease,							
Population: Patients undergoing thyroid surgery for	re-							
different indications.	operation							
Indicational induction and evolution evitaria not	Malignant	74	11	4 (5%)*				
Indications: inclusion and exclusion criteria not	disease,		(15%)*					
stated. The paper states that inclusion criteria were changed during the study to subsequently	first							
select patients with relapses or malignant disease	operation							
to obtain reasonably comparable numbers and to	Malignant	31	4 (13%)	1 (3%)				
test the performance of neuromonitoring in	disease,							
challenging cases.	re-							
challenging cases.	operation		l					
Technique: nerve monitor – Neurosign 100				red to allow for				
machine (Inomed GmbH, Teningen, Germany). Standard endotracheal tubes were used for		e tumour; t	hese were e	xcluded from s				
intubation and intramuscular vocal cord electrodes	analysis.							
were inserted through the cricothyroid ligament								
into the ipsilateral vocal muscle, via the neck		d negative	predictive va	alue (n = 475 n	erves at			
incision, before dissection of the thyroid gland. The	risk):							
routine procedure called for dissection of the entire	Sensitivity							
RLN but surgeons were left the option to adapt the	Sensitivity							
dissection procedure according to the extent of				paresis) = 96.				
resection and to the anatomic situation. All patients	<ul> <li>Negative p</li> </ul>	redictive v	alue (perma	nent palsy) = 9				
were investigated by pre- and postoperative								
laryngoscopy to assess RLN function.	technical prob			% (99.3% if intr	raoperative			
Follow-up: 12 months								
i onow up. 12 months				esis) = 62.5% (	(87% if			
Conflict of interest: none stated	technical prob							
				it palsy) = 25%	(57.1% if			
	technical prob	lems exclu	uded)					

Abbreviations used: CI, confidence interval; IONM, i	ntraoperative n	nerve monito	oring; OR, o	dds ratio; RLN,	recurrent lar	yngeal nerve; RR, relative risk.			
Study details	Key efficacy findings					Key safety findings	Comments		
Beldi G (2004) <sup>7</sup>	The monitoring failed in 39 (13%) procedures because of technical problems.					No safety outcomes were reported.	Patient selection not described.		
Case series (prospective) Switzerland	Transient RL Permanent F						Operations were performed by 36 surgeons (3 'expert' surgeons with > 65 operations, 15		
Study period: 1996–2002			``				'experienced' surgeons with 15– 65 operations and 18 residents).		
n = 288 patients (296 operations, 429 nerves at risk)		Number of nerves at risk	Transient RLN palsy	Permanent RLN palsy			Only patients with postoperative palsy were given follow-up laryngoscopy (period not stated).		
Population: patients undergoing thyroid surgery	Benign disease	333	7.2%	0.6%					
Mean age: 49 years (range 13-82)	Malignant disease	70	11.4%	2.9%					
Male = 20% (58/288)	Recurrent goitre	26	19.2%	7.7%					
Indications: inclusion and exclusion criteria not stated. Thyroid disease: Benign goitre = 59% (176/296) Adenoma = 10% (30/296) Graves' disease = 8% (23/296) De Quervain's disease = 2% (7/296) Malignancy = 14% (40/296) Recurrent benign goitre = 5% (15/296) Recurrent malignancy = 2% (5/296) Technique: nerve monitor - Neurosign 100 machine (Magstim Clarify Company, Whitland, UK), consisting of a laryngeal surface electrode attached to endotracheal tube. All patients were investigated by pre- and postoperative laryngoscopy to assess RLN function. Patients with postoperative palsy had follow-up laryngoscopy (follow-up period not defined).	were correctl falsely negat patients with Sensitivity = Specificity = Positive pred Negative pred	ly assessed ive. False p out perman 40% 98% dictive value dictive value batients with operative sig	during the cositive result ent RLN lesite $= 33\%$ e = 99% transient pot gnal was fou = 67%	stoperative par	were n 4 of 252				
Follow-up: not stated									
Conflict of interest: none stated									

Abbreviations used: CI, confidence interval; IONM,	•		, UR, 000	is fallo, RL	IN, recurrent lary	<b>u</b>	
Study details	Key efficacy	findings				Key safety findings	Comments
Chan WF (2006) <sup>8</sup>	The presence regarded as	e of vocal cord p permanent.	aralysis f	or > 12 mc	onths was	No safety outcomes were reported.	There may be some overlap of patients with Chan 2006 <sup>2</sup> study
Case series (prospective)							also in table 2.
China	were classifie	ergoing primary ed as low risk. H econdary thyroi	igh risk p	atients cor	nsisted of those		Patient selection not described. Procedures were done by a
Study period: not stated	surgery for m		<b>,</b>				single endocrine surgical team.
n = 171 patients (271 nerves at risk)		RLN palsy diagi					Three patients were excluded from the analysis because of
Population: patients undergoing thyroidectomy		Low risl		n risk 🛛 🛛	rotal n = 271)		recognised machine failure and two were excluded because of
Median age: 46 years (range 8–93)	Transient p	(n = 179	9) (n =	92)	13 (4.8%)		the need for inevitable nerve transection due to tumour
Male = 24% (41/171)	Permanent		2 (2	.2%) 2	2 (0.7%) 15 (5.5%)		invasion.
Indications: inclusion and exclusion criteria not stated.		f neuromonitorir				The authors state that IONM is not a replacement for routine RLN identification and	
<ul> <li>Final pathological condition:</li> <li>Nodular goitre = 48% (83/171)</li> </ul>	IONM	Paresis			paresis		meticulous surgical technique. It is neither sensitive enough nor able to predict accurately the
<ul> <li>Thyroid carcinoma = 25% (43/171)</li> <li>Graves disease = 11% (19/171)</li> </ul>	No signal	Low risk F	ligh risk 6	Low risk 11			presence of an injured or
<ul> <li>Benign adenoma = 8% (14/171)</li> <li>Recurrent nodular goitres = 5% (9/171)</li> </ul>	Intact signal	6	1	160	81		malfunctioning RLN intraoperatively. Routine application of IONM is not
<ul> <li>Thyroiditis = 1% (2/171)</li> <li>Recurrent Graves disease = &lt; 1% (1/171)</li> </ul>	Total	8	7	171	85		recommended except for
	Validation of RLN function	performance of	IONM for	predicting	postoperative		selected high risk patients.
Technique: nerve monitor - Neurosign 100 machine (Magstim Clarify Company, Whitland,		Low risk	High	risk	Overall		
UK), comprising laryngeal surface electrode	Sensitivity	25		86%	53%		
attached to endotracheal tube. Routine	Specificity	94		95%	94%		
postoperative vocal cord examination was done	Positive						
using an indirect or flexible laryngoscope. Any	predictive	15	%	60%	35%		
reduction in the movement of the cord was	value						
recorded as postoperative cord paralysis.	Negative		~	000/	070/		
Follow-up: 12 months	predictive value	96		99%	97%		
Conflict of interest: none stated	Accuracy	91	%	95%	92%		
כטווווטו טו ווונפופטו. ווטוופ טומופט	1					1	

#### Validity and generalisability of the studies

- Although there were five comparative studies, none of them randomly assigned patients to receive either monitoring or no monitoring.
- The rate of RLN injury during thyroid surgery without IONM is low and a large number of patients would be needed to show a statistically significant difference. The authors of the largest study, involving nearly 30,000 nerves at risk, stated that the study was underpowered to detect a statistically significant difference between visual identification of the nerve and IONM.<sup>1</sup>
- The definition of permanent paralysis varied between studies. One study defined it as paralysis persisting at 6 months after surgery<sup>1</sup> and three studies defined it as paralysis persisting at 12 months.<sup>2,4,8</sup> The remaining studies did not report a definition.
- Different methods of electrode placement were used (attached to an endotracheal tube or inserted through the cricothyroid ligament before dissection of the thyroid gland).
- One study excluded patients who were operated on by a surgeon who had performed fewer than 10 thyroidectomies during the study period.<sup>5</sup>
- One non-randomised controlled study and one case series were reported from the same study centre and there may be some patient overlap between these studies.<sup>2,8</sup>

### **Specialist Advisers' opinions**

Specialist advice was sought from consultants who have been nominated or ratified by their Specialist Society or Royal College. The advice received is their individual opinion and does not represent the view of the society.

Mr H Mehanna, Mr M Papesch, Mr M Quraishi, Mr N Tolley (British Association of Otorhinolaryngologists, Head and Neck Surgeons) Mr P Pracy, Mr F Stafford (British Association of Head and Neck Oncologists) Mr G Sadler, Mr W James Smellie (British Association of Endocrine and Thyroid Surgeons), Mr B Visavadia (British Association of Oral and Maxillofacial Surgeons)

- Six Specialist Advisers perform the procedure regularly, two have performed it at least once, and one adviser has never performed the procedure.
- Four advisers described the procedure to be definitely novel and of uncertain safety and efficacy, two described it as a minor variation of an established procedure, and three described it as established practice.
- One adviser commented that there are significantly different opinions between surgeons as to whether this technology improves outcomes or whether it gives false reassurance to inexperienced surgeons.
- Two advisers stated that the procedure is useful for teaching. One also commented that a nerve monitor provides hard evidence of nerve function if questions arise in litigation.
- There are several devices available.

- If the electrodes are not placed correctly, there is potential for false signals.
- The main safety concern raised was that false negative or false positive readings may lead to misidentification of the nerve. One Specialist Adviser also mentioned that an anaesthetist inadvertently kept the patient on muscle relaxant during most of the procedure and so the device gave no signal when the nerve was stimulated.
- The endotracheal tube is larger than usual and there is a risk of cord ulceration and temporary hoarseness postoperatively.
- One adviser commented that there has been concern regarding the electrodes on the endotracheal tube being dislodged, and causing direct laryngeal injury. A device warning was sent out about this problem, and it is believed that the matter has since been addressed.
- Anaesthetists need to be trained in the use of unparalysed anaesthesia.
- The procedure is likely to be most useful in more difficult cases such as those with particularly large thyroid glands, malignancy or revision thyroid surgery.
- One adviser commented that IONM should be used regularly so that staff was experienced in its use ready for cases where there is a clinical need for it.
- When thyroid surgery is undertaken by experienced surgeons the rate of vocal cord palsy is very low.
- Key efficacy outcomes are reduction in nerve damage and subsequent vocal cord palsy.
- Patients should be assessed preoperatively and postoperatively by laryngoscopy.
- Suggested audit criteria include postoperative vocal cord weakness (temporary or permanent) with or without normal intraoperative nerve monitoring, false negative rate of monitor, technical problems such as endotracheal tube misplacement and direct laryngeal injury from electrodes, and operative time.
- Two Specialist Advisers commented that published evidence shows that IONM does not improve outcomes and does not decrease complication rates over the direct visualisation technique.
- Four Specialist Advisers thought that the procedure would have a minor impact on the NHS in terms of use of resources and numbers of patients. Three advisers thought the impact would be moderate and one thought it would be major.

### Issues for consideration by IPAC

- There is a large evidence base for this procedure, including a number of non-English language papers.
- There are several different types of devices available for intraoperative monitoring of the recurrent laryngeal nerve.
- Evidence has only been presented on intraoperative monitoring of the recurrent laryngeal nerve. The literature also includes a small number of reports on the superior laryngeal nerve.

### References

- Dralle H, Sekulla C, Haerting J et al. (2004) Risk factors of paralysis and functional outcome after recurrent laryngeal nerve monitoring in thyroid surgery. Surgery 136: 1310–22.
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- 3. Shindo M, Chheda NN (2007) Incidence of vocal cord paralysis with and without recurrent laryngeal nerve monitoring during thyroidectomy. *Archives of Otolaryngology Head and Neck Surgery* 133: 481–5.
- 4. Witt RL (2005) Recurrent laryngeal nerve electrophysiologic monitoring in thyroid surgery: the standard of care? *Journal of Voice* 19: 497–500.
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- Hermann M, Hellebart C, Freissmuth M (2004) Neuromonitoring in thyroid surgery: prospective evaluation of intraoperative electrophysiological responses for the prediction of recurrent laryngeal nerve injury. *Annals of Surgery* 240: 9–17.
- 7. Beldi G, Kinsbergen T, Schlumpf R (2004) Evaluation of intraoperative recurrent nerve monitoring in thyroid surgery. *World Journal of Surgery* 28: 589–91.
- 8. Chan WF, Lo CY (2006) Pitfalls of intraoperative neuromonitoring for predicting postoperative recurrent laryngeal nerve function during thyroidectomy. *World Journal of Surgery* 30: 806–12.

# Appendix A: Additional papers on intraoperative nerve monitoring during thyroid surgery not included in summary table 2

The following table outlines studies considered potentially relevant to the overview not included in the main data extraction table (table 2). It is by no means an exhaustive list of potentially relevant studies.

Article title	Number of patients/ follow-up	Direction of conclusions	Reasons for non- inclusion in table 2
Bailleux S, Bozec A, Castillo L et al. (2006) Thyroid surgery and recurrent laryngeal nerve monitoring. <i>Journal of Laryngology</i> <i>and Otology</i> 566–9.	n = 36	Sensitivity = 98% Specificity = 86%	Small case series.
Brauckhoff M, Gimm O, Thanh PN et al. (2002) First experience in intraoperative neurostimulation of the recurrent laryngeal nerve during thyroid surgery of children and adolescents. <i>Journal of Paediatric</i> <i>Surgery</i> 37: 1414–8.	n = 97 children non- randomised comparative study	Temporary RLN palsy: • IONM = 1.9% (1/53) • Controls = 4.6% (2/44) Permanent RLN palsy: • IONM = 0% (0/53) • Controls = 2.3% (1/44) In all cases, results of intraoperative neurostimulation were identical to postoperative function of vocal cords.	Larger non- randomised studies are included.
Brennan J, Moore EJ, Shuler KJ. (2001) Prospective analysis of the efficacy of continuous intraoperative nerve monitoring during thyroidectomy, parathyroidectomy and parotidectomy. <i>Otolaryngology – Head</i> <i>and Neck Surgery</i> 124: 537–43.	n = 140 nerves at risk (96 RLN, 44 facial nerves)	Temporary RLN paralysis = 1% (1/96) Permanent RLN paralysis = 0%	Larger case series are included.
Dackiw AP, Rotstein LE, Clark OH (2002) Computer-assisted evoked electromyography with stimulating surgical instruments for recurrent/external laryngeal nerve identification and preservation in thyroid and parathyroid operation. <i>Surgery</i> 132: 1100–6.	n = 176 RLN and 152 external branches of the superior laryngeal nerve at risk	91.5% (161/176) RLN were correctly identified.	Larger case series are included.
Eisele DW (1996) Intraoperative electrophysiogic monitoring of the recurrent laryngeal nerve. <i>Laryngoscope</i> 106: 443–9.	n = 31 patients	42 RLNs were successfully monitored in 31 patients.	Small case series.
Hemmerling TM, Schmidt J, Bosert C et al. (2003) Intraoperative monitoring of the recurrent laryngeal nerve in 151 consecutive patients undergoing thyroid surgery. <i>Anesthesia and Analgesia</i> 93: 396–9.	n = 151 patients (266 nerves at risk)	Temporary paresis = 2%	Larger case series are included.
Horn D, Rotzscher VM (1999) Intraoperative electromyogram monitoring of the recurrent laryngeal nerve: experience with an intralaryngeal surface electrode. A method to reduce the risk of recurrent laryngeal nerve injury during thyroid surgery. <i>Langenbecks Archives of</i> <i>Surgery</i> 384: 392–5.	n = 167 nerves at risk	Postoperative transient and permanent nerve palsy = 0.6% of nerves (1% of patients). Rate of failure of method = 7.3%	Larger case series are included.

Article title	Number of patients/ follow-up	Direction of conclusions	Reasons for non- inclusion in table 2
Horne SK, Gal TJ, Brennan JA (2007) Prevalence and patterns of intraoperative nerve monitoring for thyroidectomy. <i>Otolaryngology – Head and Neck Surgery</i> 136: 952–6.	n = 685 question- naires	41% (685/1685) questionnaires received from random selection of otolaryngologists in the US. 28.6% reported using IONM routinely for all thyroidectomy cases. Surgeons currently using IONM during thyroidectomy were 41% less likely to report a history of permanent RLN injury.	Study reports on current usage of IONM in the United States.
Lambert AW, Cosgrove C, Barwell J et al. (2000) Vagus nerve stimulation: quality control in thyroid and parathyroid surgery. <i>Journal of Laryngology &amp; Otology</i> 114: 125–7.	n = 59 RLNs, 40 patients	One case of equipment failure (electrode misplacement). 'The use of the Neurosign 100 Nerve Monitor is no substitute for meticulous surgery'.	Small case series.
Meyer T, Hocht B (2006) Recurrent laryngeal nerve monitoring during thyroid surgery in childhood. <i>European Journal of</i> <i>Pediatric Surgery</i> 16: 149–54.	n = 16 nerves at risk, 10 children	Clear and reliable identification of RLN in all cases. Partial temporary paralysis in one patient despite constant intraoperative signal of the RLN.	Small case series.
Petro ML, Schweinfurth JM, Petro AB (2006) Transcricothyroid intraoperative monitoring of the vagus nerve. <i>Archives of</i> Otolaryngology – <i>Head and Neck Surgery</i> 124: 537–43.	n = 31	All RLNs were identified with continuous electromyographic monitoring. Vocal cord paresis or paralysis was not observed. In 70% cases, the technique was given a rating of 1 (most useful) on a 5-point scale.	Small case series.
Snyder SK, Hendricks JC (2005) Intraoperative neurophysiology testing of the recurrent laryngeal nerve: plaudits and pitfalls. <i>Surgery</i> 138: 1183–91.	n = 100 patients, 185 RLNs	<ul> <li>7.6% (14/185) nonfunction of visually intact RLNs at some point during the operation.</li> <li>Temporary paralysis = 2.2% (4/185)</li> <li>4.3% (8/185) altered RLN function with no altered vocal cord function postoperatively.</li> <li>Nerve stimulator aided dissection of the RLN in 17 instances (9.2%). There were 7 episodes (3.8%) of equipment dysfunction that hampered surgical dissection.</li> </ul>	Larger case series are included.
Thomusch O, Sekulla C, Walls G et al. (2002) Intraoperative neuromonitoring of surgery for benign goiter. <i>American</i> <i>Journal of Surgery</i> 183: 673–8.	n = 4382 patients	Transient RLN palsy = 1.4% Permanent RLN palsy = 0.4% Rates of RLN palsy were significantly lower with IONM than for visual identification p < 0.05.	Earlier results from a subset of the same study as Dralle et al, 2004 that is summarised in table 2. <sup>1</sup>

Article title	Number of patients/ follow-up	Direction of conclusions	Reasons for non- inclusion in table 2
Thomusch O, Sekulla C, Timmermann W et al. (2003) Intraoperative neuromonitoring in thyroid surgery – results of the German prospective multicentre study. <i>European Surgery</i> – <i>Acta Chirurgica Austriaca Supplement</i> 35: 240–5.	n = 8900 patients, 16,148 nerves at risk	The use of IONM significantly decreased the early RLN palsy rate (3.3% vs 4.9%, p = 0.04). The difference for permanent RLN palsy was not statistically significant (0.7% vs 0.9%). Overall, IONM was beneficial in high-risk procedures such as recurrent goitre, thyroid carcinoma and extended resections.	Earlier results from a subset of the same study as Dralle et al, 2004 that is summarised in table 2. <sup>1</sup>
Thomusch O, Sekulla C, Machens A et al. (2004) Validity of intra-operative neuromonitoring signals in thyroid surgery. <i>Langenbecks Archives of Surgery</i> 389: 499–503.	n = 8534 patients, 15,403 nerves at risk	Transient RLN palsy = 2.8% Permanent RLN palsy = 0.7% Indirect IONM stimulation via the vagal nerve was more reliable than direct RLN stimulation.	Earlier results from a subset of the same study as Dralle et al, 2004 that is summarised in table 2. <sup>1</sup>
Yarborough DE, Thompson GB, Kasperbauer JL et al. (2004) Intraoperative electromyographic monitoring of the recurrent laryngeal nerve in reoperative thyroid and parathyroid surgery. <i>Surgery</i> 136: 1107–15.	n = 52 procedures with IONM, 59 patients with no monitoring	<ul> <li>Rate of unintended permanent nerve damage:</li> <li>IONM = 1.9% (1/52)</li> <li>Controls = 1.7% (1/59)</li> <li>Seven false-negative and two false-positive findings occurred.</li> </ul>	Larger non- randomised comparative studies are included.

## Appendix B: Literature search for intraoperative nerve

Database	Date searched	Version searched
Cochrane Library	22/08/2007	Issue 3, 2007
CRD databases (DARE	22/08/2007	Issue 3, 2007
& HTA)		
Embase	21/08/2007	1980 to 2007 Week 33
Medline	21/08/2007	1950 to August Week 2
		2007
Premedline	21/08/2007	August 20, 2007
CINAHL	21/08/2007	1982 to August Week 3
		2007
British Library Inside	23/08/2007	-
Conferences		
NRR	22/08/2007	2007 Issue 3
Controlled Trials	13/08/2007	-
Registry		

### monitoring during thyroid surgery

#### Search strategy used in Medline

The search strategy was adapted for use in the databases above

1	Thyroid Gland/su [Surgery]
2	Thyroid Diseases/su [Surgery]
3	Thyroidectomy/
4	thyroidectomy.tw.
5	Parathyroidectomy/
6	parathyroidectomy.tw.
7	Parathyroid Glands/su [Surgery]
8	(thyroid\$ adj3 (surg\$ or operat\$)).tw.
9	(parathyroid\$ adj3 (surg\$ or operat\$)).tw.
10	or/1-9
11	Monitoring, Intraoperative/
12	Electromyography/
13	(electromyograph\$ or EMG).tw.
14	(intraoperative\$ adj3 nerve\$ adj3 monitor\$).tw.
15	(intraoperative\$ adj3 monitor\$ adj3 nerve\$).tw.
16	(intraoperative\$ adj3 (neuromonitor\$ or neuro- monitors)).tw.

17	IOM.tw.
18	Electrophysiology/
19	(electrophysiologic\$ adj3 monitor\$).tw.
20	(nerve\$ adj3 integrity adj3 monitor\$).tw.
21	NIM.tw.
22	Neurosign.tw.
23	(cascade adj3 IOM).tw.
24	EpochXP.tw.
25	(Nicolet adj3 Bravo).tw.
26	or/11-25
27	10 and 26
28	Animals/
29	Humans/
30	28 not (28 and 29)
31	27 not 30