## NATIONAL INSTITUTE FOR HEALTH AND CARE EXCELLENCE

### INTERVENTIONAL PROCEDURES PROGRAMME

# Interventional procedure overview of implantation of a duodenal–jejunal bypass sleeve for managing obesity

### Inserting a plastic sleeve into the bowel for managing obesity

In this procedure a plastic tube-like sleeve or liner is inserted through the mouth into the bowel to line the upper part of the bowel. This is usually removed through the mouth after a year. It forms a barrier between food and the bowel and slows digestion.

### Introduction

The National Institute for Health and Care Excellence (NICE) has prepared this overview to help members of the Interventional Procedures Advisory Committee (IPAC) make recommendations about the safety and efficacy of an interventional procedure. It is based on a rapid review of the medical literature and specialist opinion. It should not be regarded as a definitive assessment of the procedure.

### **Date prepared**

This overview was prepared in October 2012.

### Procedure name

• Implantation of a duodenal-jejunal bypass sleeve for managing obesity

### **Specialist societies**

- British Obesity and Metabolic Surgery Society
- British Society of Gastroenterology
- Association of Upper Gastrointestinal Surgeons of Great Britain and Ireland
- Diabetes UK.

### Description

### Indications and current treatment

Obesity is defined as a body mass index (BMI) of 30 kg/m<sup>2</sup> or more. It is a risk factor for comorbidities such as type 2 diabetes, coronary heart disease and

hypertension. Weight loss reduces the risks of comorbidities and improves long-term survival.

Obesity is managed by dietary advice, exercise, lifestyle changes and medication. Bariatric surgery is considered as a treatment option in selected patients whose BMI is over 40 kg/m<sup>2</sup>, or over 35 kg/m<sup>2</sup> for patients with other significant comorbidities, if they have not lost enough weight using non-surgical measures.

Surgical procedures aim to help patients lose weight by restricting the size of the stomach (for example, gastric banding or sleeve gastrectomy) and/or by decreasing the patient's capacity to absorb food (for example, Roux-en-Y gastric bypass or biliopancreatic diversion).

### What the procedure involves

Endoscopic implantation of a duodenal-jejunal bypass sleeve (DJBS) is a minimally invasive procedure that has been used to promote weight loss in patients with obesity and with a view to improving comorbidities, including diabetes.

The procedure is done with the patient under general anaesthesia or sedation, using image guidance. The sleeve is positioned endoscopically (via the mouth). Using a delivery catheter, a capsule containing a single-use impermeable DJBS is positioned in the duodenal bulb just distal to the pylorus and is secured there using an integral spring metal anchor. The sleeve is advanced distally into the jejunum with the aid of a tension wire which is part of the introducer device. It extends approximately 60 cm down the small intestine and forms a barrier between food and the intestinal wall, delaying the mixing of digestive enzymes with the food.

After the procedure, patients are placed on a diet that typically involves progression from fluids to semi-solid foods, before returning to solid foods.

After a maximum of a year, the sleeve is removed under sedation, using endoscopy and image guidance. The anchor incorporates a drawstring mechanism that enables it to be collapsed and partly withdrawn into a plastic hood fitted to the endoscope. The entire device is then withdrawn.

### Literature review

### Rapid review of literature

The medical literature was searched to identify studies and reviews relevant to implantation of a duodenal-jejunal bypass sleeve for managing obesity. Searches were conducted of the following databases, covering the period from their commencement to 30 October 2012: MEDLINE, PREMEDLINE, EMBASE, Cochrane Library and other databases. Trial registries and the Internet were also searched. No language restriction was applied to the searches (see appendix C for details of search strategy). Relevant published

studies identified during consultation or resolution that are published after this date may also be considered for inclusion.

The following selection criteria (table 1) were applied to the abstracts identified by the literature search. Where selection criteria could not be determined from the abstracts the full paper was retrieved.

Characteristic	Criteria
Publication type	Clinical studies were included. Emphasis was placed on identifying good quality studies.
	Abstracts were excluded where no clinical outcomes were reported, or where the paper was a review, editorial, or a laboratory or animal study.
	Conference abstracts were also excluded because of the difficulty of appraising study methodology, unless they reported specific adverse events that were not available in the published literature.
Patient	Patients with obesity and with or without type 2 diabetes.
Intervention/test	Implantation of a duodenal-jejunal bypass sleeve.
Outcome	Articles were retrieved if the abstract contained information relevant to the safety and/or efficacy.
Language	Non-English-language articles were excluded unless they were thought to add substantively to the English-language evidence base.

Table 1 Inclusion criteria for identification of relevant studies

### List of studies included in the overview

This overview is based on approximately 335 patients from 4  $RCTs^{1-4}$  and 5 case series<sup>5-9</sup>.

Other studies that were considered to be relevant to the procedure but were not included in the main extraction table (table 2) have been listed in appendix A.

#### Table 2 Summary of key efficacy and safety findings on implantation of a duodenal-jejunal bypass sleeve for managing obesity

Abbreviations used: BMI: body mass index; DJBS: duodenal-jejunal bypass sleeve; EWL: excess weight loss; FPG: fasting plasma glucose; GA: general anaesthesia; GI: gastrointestinal; HbA1c: glycated haemoglobin; HDL: high-density lipoprotein; ITT: intention to treat; KUB: kidneys, ureters and bladder; LOCF: last observation carried forward; NIH: National Institutes of Health; PPI: proton pump inhibitors; SD: standard deviation; T2DM: Type 2 diabetes mellitus; TG/HDL ratio: triglyceride to high-density lipoprotein ratio.

Study details	Key efficacy findin	igs			Key safety finding	gs			Comments
Gersin K (2010) <sup>1</sup>	Number of patients	analysed: 2	5 DJBS vs 26	sham endoscopy	Early explantation	าร			Follow-up issues:
RCT USA (4 centres)	(ITT) Implantation outco	omes			Total explants		38% (8/21)		6 patients in the     DJBS arm (2 who
Recruitment period: 2007–8	Implantation succe			21/25 (4/25)	GI bleeding with haematemesis at	3		withdrew prior to implantation, 4 who had	
Study population: obese patients needing to lose weight before bariatric	(1 due to combination and investigator in (3 due to short		and 43 days post implantation. (severe in 2 patients, treated with sclerotherapy and				unsuccessful procedures) and 3 patients in the		
surgery n=56 (27 DJBS vs 29 sham endoscopy)	Weight loss at 12	•			endoscopic clips further treatment the other 2).	in 1, no			sham arm (who all withdrew prior to implantation)
patients Age: DJBS: 45years, sham: 43 years		DJBS (n=13)	Sham endoscopy (n=24)	p value	Abdominal pain, i and/or vomiting a and 36 days (reso	t 3, 9, 30	4		were lost to follow-up at the beginning of the
Sex: 81% female (DJBS	Mean % EWL	11.9±1.4	2.7±2.0	0.001	no treatment)				study. A further 10 patients (8 in
71% female, sham 89% female) Mean BMI: 46 kg/m <sup>2</sup>	Mean % of patients with >10% EWL	62 (8/13)	17 (4/24)	0.01	unrelated illness carcinoma)		1		the DJBS arm and 2 in the sham arm) were lost to
Patient selection criteria: age 18-55 years, baseline	Total weight change (kg)	-8±1.3	-2.1±1.1	0.002		struction or	uction or obstruction or follow		
BMI 40kg/m <sup>2</sup> to 60 kg/m <sup>2</sup> , or 35 kg/m <sup>2</sup> or more for patients with	Weight decrease (%)	5.8 ±0.7	1.5 ±0.9	0.002	Adverse events in than 1% frequenc 108)				Study design issues:
comorbidities.					Adverse event	% (n)			Patients were
(EndoBarrier) implanted and explanted under					Upper abdominal pain	13 (14)			blinded but study personnel were not.
fluoroscopy and endoscopy. PPI	oroscopy and doscopy. PPI			Procedural nausea	9.3 (10)			Study population	
prescribed for duration of study period.					Procedural	5.6 (6)			issues:

tudy details	Key efficacy findings	Key safety finding	IS	Comments
ham arm; endoscopy		vomiting		The DJBS
nd mock implantation		Nausea	5.6 (6)	patients had more
lutritional counselling at aseline.		Vomiting	3.7 (4)	comorbidities that patients in the
ollow-up: <b>12 weeks</b>		Constipation	2.8 (3)	sham endoscopy
Conflict of interest/source f funding: the study was		GI bleeding (with decrease in	2.7 (3)	group.
unded by GI Dynamics manufacturer). The first		haemoglobin and haematocrit)		<ul><li>Other issues:</li><li>Lack of data on</li></ul>
uthor is a consultant and		Haematemesis	2.7 (3)	calorie intake.
hareholder in GI		Abdominal pain	1.9 (2)	
ynamics.		Dyspepsia	1.9 (2)	
		Anaemia	1.9 (2)	
		Pyrexia	1.9 (2)	
		moderate. GI bleed table might overlap above (not clear wh separately).	erse events were mild of ling presented in this with those presented by these are reported or the sham arm were	

Abbreviations used: BMI: body mass index; DJBS: duodenal-jejunal bypass sleeve; EWL: excess weight loss; FPG: fasting plasma glucose; GA: general anaesthesia; GI: gastrointestinal; HbA1c: glycated haemoglobin; HDL: high-density lipoprotein; ITT: intention to treat; KUB: kidneys, ureters and bladder; LOCF: last observation carried forward; NIH: National Institutes of Health; PPI: proton pump inhibitors; SD: standard deviation; T2DM: Type 2 diabetes mellitus; TG/HDL ratio: triglyceride to high-density lipoprotein ratio.

Study details	Key efficacy fin	dings				Key safety findings		Comments
Rodriguez L (2009) <sup>2</sup> R <b>CT</b>	Number of patien Change in glyca population) (me	aemic control				Explants during 12 we (n)		Follow-up issues: • 42% (5/12) of
Chile (single centre) Recruitment period: 2007–8	Mean HbA <sub>1c</sub>	DJBS arm (n=12)	Sham arm	p value		Anchor migration (1 turned or migrated)	42 (5/12)	patients in the device arm (with explanted
Study population: patients vith type 2 diabetes and	Baseline	9.2	<b>(n=6)</b> 9.0	>0.05		Migration with sympto (moderate pain (n=1),		devices) and 249 (2/6) of patients i the sham ITT arm
bbesity. h= <b>18 (12 DJBS ∨s 6</b>	12 weeks	-1.3±0.9	0.8±0.3	>0.05		nausea and moderate vomiting (n=1) and mi		were lost to
sham endoscopy)	24 weeks	-2.4±0.7	-0.8± 0.4	>0.05		abdominal pain and vomiting (n=1)		follow-up at 12 weeks. Study design
Mean age: DJBS arm 45 rears, sham arm 51 years Sex: DJBS arm 67% emale, sham arm 50% emale	HbA <sub>1c</sub> change in 0.05 at all time p Mean weight lo	oints between	both arms.	re than	Migration with no symptoms (noted at removal (n=1) and at scheduled endoscopy	40 (2/5)	<ul> <li>The method of randomisation was not reported</li> </ul>	
/lean BMI: DJBS arm 8.9 kg/m <sup>2</sup> , sham arm 9.0 kg/m <sup>2</sup> Mean HbA <sub>1c</sub> :	Mean weight change (kg)	DJBS a (n=12)	(	Sham arm (n=6)		(n=1). Adverse events (total	64)	There was no allocation concealment.
0.1%	Week 1         -4.0±0           Week 20         -10.2±					Adverse events	DJBS % (n=episode	<ul> <li>There was no significant</li> </ul>
Patient selection criteria:	For the first 12 w	_	-		le		difference	
aged 18–55 years with ype 2 diabetes for more	(p>0.05) for both groups. At week	24, there were	only 3 sha	m patients re	maining.	Upper abdominal pain (in 12 patients)	30.8 (20)	<ul><li>between groups at baseline.</li><li>Diabetic</li></ul>
han 10 years and an	Change in FPG		• • •			Vomiting ( in 4 patient	s) 10.8 (7)	medications used
lbA <sub>1c</sub> 7–10%, fasting lasma glucose under	Mean FPG mg/dl	DJBS arm (n=12)	Sham arm (n	=6) p value	9	Abdominal pain	4.6 (3)	were metformin and/or
240 mg/dL and BMI 30–	Baseline	193±24	140±38	-		Nausea	7.7 (5)	sulfonylurea.
50 kg/m2.	Week 1	-50±18	+25±29			Symptoms of hypoglycaemia (blood	7.7 (5)	Patients in DJBS arm were taken
Fechnique: DJBS	12 weeks	-45±26	-8±35			glucose more than 10		off metformin
(EndoBarrier) procedures	24 weeks	-83±39	+16±42			mg/dl)		more than sham
used fluoroscopy and	Both arms had e	quivalent base	line FPG co	oncentrations		Decreased blood iron	6.2 (4)	group.

gastrointestinal; HbA1c: glycat	mass index; DJBS: duodenal-jejunal bypass sleeve; EWL: excess weig ed haemoglobin; HDL: high-density lipoprotein; ITT: intention to treat; KU PI: proton pump inhibitors; SD: standard deviation; T2DM: Type 2 diabet	B: kidneys, ureters and bladder; LOCF: last c	bservation carried forward; NIH:
Study details	Key efficacy findings	Key safety findings	Comments

Study details	Key efficacy findings					Key safety findings		Comments
endoscopy. Endoscopy 3	Oral antidiabetic med	lication use				Serum ferritin (not clear	1.5 (1)	
days and 4 weeks after explantation.		Follow- up	DJBS arm %	Sham arm %		why it is reported separately from the one above)		
Sham procedure; upper GI endoscopy.	Ceased drug use (ITT group)*	Week 12	42	17		Flatulence	4.6 (3)	
Liquid diet for the first	Ceased drug use	Week	50	25		Procedural vomiting	4.6 (3)	
week, pureed food during the second week and solids thereafter.	(group who completed	12				increased blood cholesterol	3.1 (2)	
Recommended intake	treatment)**					Erosive duodenitis	1.5 (1)	
1200 calories per day for	Ceased drug use	Week	40	25		Constipation	1.5 (1)	
women and 1500 calories	(remaining patients)***	24				Diarrhoea	1.5 (1)	
per day for men. 2.	*All treated patients. **	All patients v	vho complet	ed at least	t 24	Gastritis	1.5 (1)	
Follow-up: <b>24 weeks</b>	weeks.					Headache	1.5 (1)	
	***Patients remaining of	on the study.				Decreased HDL cholesterol	1.5 (1)	
Conflict of interest/source of funding: study funded	Postprandial 7-point	blood gluco	se profile			Esophagitis	1.5 (1)	
by manufacturer. Authors	Mean postprandial	DJBS arm	Sham	p value	•	Pain	1.5 (1)	
are consultants/ shareholder for GI	plasma glucose AUC*	(n=12)	arm (n=6)			All events were mild or mo	oderate.	1
Dynamics.	Baseline mg/dL	31,226± 11,570	27,558± 11,480	>0.05				
	Week 1	22% decrease	16% increase	0.016				
	*Area under the curve.			•				
	There was no change either arm.	in postprand	al insulin co	oncentratio	ns in			

### IP 986 [IPG 471]

Study details	Key efficacy	findings				Key safety findings			Comments	
Schouten R (2010) <sup>3</sup>	Number of pa	tients analy	sed: 41 [30	) DJBS vs	s 11 diet alone]	Explants prior to study completion % (n)			Follow-up issues:	
RCT	Procedure ou	utcomes %	(n)			Total early explants	279		Overall, 69%	
Netherlands (2 centres)	Implantation	success			88 (26/30)	(8/26) Migration (30 cm device 5		26)	(18/26) of patients	
Recruitment period: not reported Study population: patients	ported anatomy at the beginning of anatomy at the beginning of udy population: patients (sharp curve between pylor)				12 (4/30)	Migration (30 cm device migration at 4 months=1 24 weeks=4)			completed the study.	
with obesity who needed	duodenal bu		, ,			Dislocation of the ancho	or 1		Study design	
to lose weight before bariatric surgery	success			100	(after 3 months with epigastric pain*)			<ul><li>issues:</li><li>There was no</li></ul>		
n = 41 (30 DJBS vs 11 diet alone)	Weight loss a			-		Sleeve obstruction (after 1 1 week with nausea and			allocation concealment and	
Mean age: device group		Follow-	DJBS	Diet	p value	vomiting*)			the outcome assessors were	
40.9 years, control group 41.2 years	Mean %	<b>up</b> 12	<b>arm</b> 19%	alone 6.9%	<0.002	Continuous epigastric p (removed at 3 months*)	ain 1		not blinded.	
Sex: DJBS arm 73% female, control arm 81% female		weeks	(n=24)	(n=11)		*Resolved after explantat	ion.		Study population	
	Mean % of patients12 weekswith >10%EWL	88% 27.3%	27.3%	s <0.05	Device in situ adverse events			issues		
Mean BMI: DJBS arm 48.9 kg/m <sup>2</sup> ; control arm				5.5 1.9 kg/m <sup>2</sup> kg/m <sup>2</sup>			DJBS	Control		
$47.4 \text{ kg/m}^2$	Decrease	12			-		arm % arm %	obesity-related		
Patients with diabetes: DJBS arm 8, control arm	in BMI (kg/m²)	weeks kg/m <sup>2</sup>	kg/m <sup>2</sup>			Patients with at least 1 adverse event	100	(n=11) 27.3 (3/11)	than the diet	
2	Mean %	24	24.3	-	-	Nausea (first week)	76.9	9.1	• 10 patients (8 in	
Patient selection criteria:	EWL	weeks	(n=3)				(20/26)	(1/11)	DJBS arm and 2 in control arm)	
aged 18– 55 years, BMI	Type 2 diabe	tes at 12 w	eeks (mea	n±SD)		Upper abdominal pain (first week)	50 (13/26)		had type 2 diabetes for a	
40 –60 kg/m <sup>2</sup> , or over 35kg/m <sup>2</sup> with related comorbidities. Patients were screened by a dietician and a psychologist and on a		Follow- up	DJBS arm (n=8)	Contro arm (n=2)	l p value	Pseudopolyp formation (noted at endoscopy or during device	50 (13/26)		mean period of 3 years.	
	Fasting glucose	Baseline	11.1±4. 3	7.6±2.4	0.23	explantation)			Other issues:	

Study details	Key efficacy	findings				Key safety findings			Comments	
waiting list for aparoscopic gastric	(mmol/L)	12 weeks	9.3±3.8	6.7±1.1	0.13		Implant site inflammation (noted at	38.5 (10/26)		Investigators took     less time with the
bypass.	HbA <sub>1c</sub> %	Baseline		7.3±0.1	0.04		endoscopy or during device explantation)			procedure as they gained
Technique: DJBS (EndoBarrier) implanted		12 weeks	7.7±1.8	6.9±0.6	0.32		Vomiting (first week)	23 (6/26)		experience and modified the
under GA and direct endoscopic guidance.	Diabetic statu after 1 week ( medication us	lower gluco			n the device a reduction in	m	Adverse drug reaction	7.7 (2/26)		technique with fluoroscopy guidance.
Fluoroscopic guidance used after the first 8 mplantations.							HbA <sub>1c</sub> increase		9.1 (1/11)	guidanoc.
All patients followed ow-calorie diet under							Hypercholesterolaemia		9.1 (1/11)	
supervision by a dietician. Patients also received PPI and multivitamin supplements during the							Other (such as transient pyrosis, perioperative hypoxia or chest pain)	73.1 (19/26)	9.1 (1/11)	
Follow-up: <b>3 months</b>							None of the events were were mild, and 38.7% we minor events resolved aft medication.	re modera	ate. All	
Conflict of interest/source of funding: the study was supported by GI Dynamics (manufacturer of the device).										

Abbreviations used: BMI: body mass index; DJBS: duodenal-jejunal bypass sleeve; EWL: excess weight loss; FPG: fasting plasma glucose; GA: general anaesthesia; GI: gastrointestinal; HbA1c: glycated haemoglobin; HDL: high-density lipoprotein; ITT: intention to treat; KUB: kidneys, ureters and bladder; LOCF: last observation carried forward; NIH: National Institutes of Health; PPI: proton pump inhibitors; SD: standard deviation; T2DM: Type 2 diabetes mellitus; TG/HDL ratio: triglyceride to high-density lipoprotein ratio.

Study details	Key efficacy findings				Key safety findings		Comments	
Tarnoff M (2009) <sup>4</sup>	Number of patients and		OJBS and	ow-calorie diet	Device in situ related eve	nts	Follow-up issues:	
RCT (multicentre pilot trial)	vs 14 low-calorie diet Procedural outcomes	,			Adverse events	Device arm	The device was not implanted in 1     netions because	
Chile	Implantation success	%		100		% (n=25)	patient because of difficulties with	
Recruitment period: not reported Study population: patients	(5 patients needed m attempts due to difficu or positioning the and	ulty advancing t	he cathete		At least 1 adverse event	64 (16/25) (56	their duodenal anatomy.	
with obesity who needed	Explantation success	%		100		events)	<ul> <li>20% (5/25) of patients in the</li> </ul>	
to lose weight before				I	Severe adverse events	20 (5/25)	device group	
bariatric surgery	Weight loss at 12 wee	eks (mean±SD)	)			5 events	(who had their	
n=40 (26 DJBS and low-calorie diet vs 14 low-calorie diet alone)		DJBS arm	Diet alone	p value	Upper gastrointestinal bleeding at mean of 14 days (n=3),	12 (3/25)	devices explanted early) and 71% (10/14) of patients	
Mean age: DJBS arm 38					Anchor migration 2 cm		in the control	
years; control arm 43	% EWL	22.1±8	5.3±6.6	0.02	from original position on		group were lost to follow-up at 12	
years Sex: DJBS arm- 60%		(n=19)	(n=4)		day 47 with abdominal	4 (1/25)	weeks.	
female, control arm 57% female	Absolute weight reduction	10.3±3.2 kg (n=19)	2.6±3.5 kg		pain and several episodes of haematemesis (n=1;		Study design	
Mean BMI: DJBS arm 42	% of patients who	00 (00/05)	(n=4)	0.0001	blood transfusion given),		issues:	
kg/m <sup>2</sup> , control arm 40 kg/m <sup>2</sup>	achieved at least	92 (23/25)	21 (3/14	0.0001	Sleeve obstruction presented with	4 (1/25)	Outcome     assessors were	
Diabetes: DJBS arm 3,					abdominal pain and		not blinded.	
Control arm 1	Improvement in type	2 diabetes sta	tus		vomiting on day 30 (n=1) (all devices were		Study population	
Patient selection criteria:		DJBS arm		alone	explanted, symptoms		issues:	
reflected current NIH		(n=3)	(n=	1)	resolved, endoscopic		Four patients had	
guidelines for bariatric surgery :18–55 years old,	Diabetic status (week 1)	Improved		roved	examination showed no defined bleeding source and no further		type 2 diabetes (3 in the DJBS arm, 1 in the control	
BMI over 35 kg/m <sup>2</sup> with significant comorbidities or BMI 40-60 kg/m <sup>2</sup> with	Diabetic status (12 weeks)	Improved* ir and resolved		roved	intervention was needed)		<ul><li>arm).</li><li>5 explanted</li></ul>	

Study details	Key efficacy findin	igs		Key safety findings		Comments
or without a comorbid condition, a history of failure with nonsurgical weight loss methods, candidates for Roux-en-Y		on in HbA <sub>1c</sub> and/or	+0.8 reduction of medications. rith normal fasting plasma	Mild to moderate adverse events	80 (20/25) (51 events)	patients had gastric bypass surgery within weeks of
gastric bypass.	glucose and glycos	ylated haemoglobir		Device related	86% (48 events)	explantation.
Technique: DJBS (EndoBarrier) implanted		DJBS arm % (n=19)	Diet alone % (n=4)	Abdominal pain	100 (16/16) 43 (7/16)	Several technical limitations such
under GA, with fluoroscopy and	Greater satiety	89 (17/19)	0	Vomiting	50 (8/16)	as modification of the anchor barb
endoscopy guidance. PPI used throughout the study	Less satiety Same satiety	5 (1/19) 5 (1/19)	100 0	Abdominal distension	68 (11/16)	design, which caused bleeding
beriod. Liquid diet for 1 week and pureed diet for the second week. All patients counselled on diet, exercise, and			i	Gastrointestinal haemorrhage and drop in haemoglobin and haematocrit.	25 (4/16)	or haematemesis, migration and early learning curve issues such as the multiple
lifestyle change. at				Constipation	6 (1/16)	implantation
baseline.				Epigastric discomfort	6 (1/16)	attempts in 5
Follow-up: <b>12 week</b> Conflict of interest/source of funding: none reported.				Mild degrees of residual duodenal inflammation at 4 weeks post explant EGD	32% (8/25)	patients and patency of the device were identified by the authors.
				No signs or symptoms of b pancreatic duct obstruction		
				Implant procedure relate events	d adverse	
				Non-cardiac chest pain (du inadvertently placed endos catheter in the oesophagus distension of the oesophag manifesting as significant of	cope and s causing jus and	

National Institutes of Health; P Study details	Key efficacy find			,		Key safety findings	Comments			
de Moura (2011) <sup>5</sup>	Number of patien	-	54			Early explantations		Follow-up issues:		
Case series	Procedural outc	omes % (n)				Total explants	16	38/54 patients		
Brazil	Implantation suc	ccess		96 (78/81)		Migration	9	completed the		
Recruitment period: not reported	Implantation fail duodenal bulb)	ure (due to s	hort	4 (3/81)		Observation of a free device anchor	4	- study (26 completed 24 weeks, 12		
Study population:	during endoscopy							completed 20		
morbidly obese and type 2 diabetes patients	Improvement in at 6 months	insulin resi	stance and	l metabolic	syndrome	Bleeding without migration	1	weeks).		
n= <b>81</b>		Patients	Initial	Final	p value	Patient request	1	Study design		
Age: mean 50.8 years		N*	average	average		Investigator decision	1	issues:		
Sex: 84.4% female			TG/HDL ratio	TG/HDL ratio		12 devices were remov		• 70% (54/77) of		
Mean BMI: 43.8 kg/m <sup>2</sup>		00			0.004	12 weeks and 2 at 4 we	eks.	the patients had an initial TG/HDL		
Patient selection criteria: aged 18- 65 years with a	Controlled TG/HDL	23	5.15	2.85	<0.001			ratio greater than		
BMI over 35 kg/m <sup>2</sup> , T2DM with or without	Not controlled TG/HDL ratio	31	6.2	5.47	0.1641			or equal to 3.5 indicating insulin		
comorbidities, TG/HDL ratio ≥3.5,	Total	54	5.75	4.36	<0.001			resistance and metabolic		
Technique: DJBS	*Patients present syndrome	ed with insul	in resistanc	e and metal	oolic			syndrome.		
(EndoBarrier)procedures used fluoroscopy and	Control of diabe	tes (HbA1c	improvem	ent) at 6 mo	onths			Study population		
endoscopy. PPI used in entire study. Liquid diet	All patients impla HbA1c (p<0.001)		device ach	nieved reduc	tions in			Comorbidities: 86% had hypertension,		
initially, solid diet in 3rd	Weight loss							36.7% had hyperlipidaemia.		
week.	Average weight lo	oss of 12.6%	of their init	ial weight.				пуретприаетна.		
Follow-up: 6 months	Relationship bet	ween TG/H	DL ratio co	ontrol and w	eight loss					
Conflict of interest/source of funding: 2 authors independent consultants of GI Dynamics.	Comparing the pa controlled their To between a weight control of TG/HD	G/HDL ratio, t loss greater	an associa than 10%	tion can be o of initial weig	observed ght and					

Case series Chile Recruitment period: 2009–10 Study population: morbidly obese patients n=42	Number of patien Procedural out Implantation su Implantation fai duodenal bulb) Explantation su Clinical parame Total weight	iccess ilure (due to sh	93 ort 7 ( 10	(39/42) (3/42) 0	Device in situ adverse events Gastrointestinal events (mild to moderate) Upper abdominal pain Nausea Vomiting	s % (n=39) % 81 41 33	<ul> <li>Follow-up issues:</li> <li>38% (15/42) of patients were lost to follow-up at 24 weeks.</li> </ul>
Case series Chile Recruitment period: 2009–10 Study population: morbidly obese patients m=42	Implantation su Implantation fai duodenal bulb) Explantation su Clinical parame	ilure (due to sh ilure sh iluccess eters (n=24) (m	ort 7 ( 10	(3/42)	(mild to moderate) Upper abdominal pain Nausea Vomiting	81 41	patients were lost to follow-up at 24
Chile Recruitment period: 2009–10 Study population: morbidly obese patients	Implantation fai duodenal bulb) Explantation su Clinical parame	ilure (due to sh iccess eters (n=24) (m	ort 7 ( 10	(3/42)	Upper abdominal pain Nausea Vomiting	41	to follow-up at 24
Recruitment period: 2009–10 Study population: norbidly obese patients n= <b>42</b>	duodenal bulb) Explantation su Clinical parame	eters (n=24) (m	10	, ,	Nausea Vomiting	41	
2009–10 Study population: norbidly obese patients	Explantation su	iccess eters (n=24) (m		0	Vomiting		
Study population: morbidly obese patients	Clinical parame	eters (n=24) (m		0	-	33	Study design issues:
norbidly obese patients		. , .	nean±SD)				
		. , .	nean±SD)		Gastroenteritis	4.8	
	Total waight	Baseline			Early explantation	38	<ul> <li>Efficacy outcomes</li> </ul>
Age: mean 36 years	Total waight		24 weeks			(15/39)	for patients in whom the device
		110.6±3.4	93.9±2.9	88.2±2.8			was explanted
Appa BMI: $43.7 \text{ kg/m}^2$	(kg)		(p<0.0001	, , ,	Anchor movement leading to device migration (<5 cm)	53 (8/15)	were not
	Total weight			-22.1±2.1	at week 12-24 (n=1), week	(0/13)	evaluated.
diabetes: 6	change (%)			(p<0.0001)	24-36 (n=2), after week 36		% EWL is the amount of weight
_				(19.9±1.8)	(n=5)		in kg that
	BMI change kg/m <sup>2</sup>			-9.1±0.9	Device obstruction (at weeks	20	exceeded a BMI
petween 18 and 55 years	8			(p<0.0001)	1, 8 and 10)	(3/15)	of 25 kg/m <sup>2</sup> .
gi e e e e e e e e e e e e e e e e e e e	Mean EWL%			47.0±4.4	Abdominal pain (at weeks 1	13	Device migration     of more than 2 cm
35 kg/m <sup>2</sup> if presenting with comorbidities such				(p<0.0001)	and 11)	(2/15)	with or without
as hypertension	Diastolic BP	85±1	81±3	71±2	Acute cholecystitis (at week	7 (1/15)	symptoms
diabetes, and/or	(mm Hg)		(p=0.17)	(p<0.0001)	12) resolved after explantation		mandated
	Total	197±7	164±8	161±8	1	7 (4 (4 5)	removal.
J	cholesterol (mg/dL)		(p<0.0001	) (p<0.0001)	Patient request (at week 24, had Roux-en-Y gastric	7 (1/15)	Other issues:
	Triglycerides	160±16	133±12	115±11	bypass)		A new anchoring
,	(mg/dL)		(p=0.07)	(p=0.002)			design was used in this study.
mplanted and explanted	HbA <sub>1c</sub> (%)	6.3±0.3	5.8±0.1	6.0±0.2			in this study.
endoscopy. Patients were			(p=0.03)	(p=0.09)			

Abbreviations used: BMI: body mass index; DJBS: duodenal-jejunal bypass sleeve; EWL: excess weight loss; FPG: fasting plasma glucose; GA: general anaesthesia; GI: gastrointestinal; HbA1c: glycated haemoglobin; HDL: high-density lipoprotein; ITT: intention to treat; KUB: kidneys, ureters and bladder; LOCF: last observation carried forward; NIH: National Institutes of Health; PPI: proton pump inhibitors; SD: standard deviation; T2DM: Type 2 diabetes mellitus; TG/HDL ratio: triglyceride to high-density lipoprotein ratio.

Study details	Key efficacy findings			Key safety findings	Comments
take a liquid and pureed diet for 2 weeks, followed by normal diet and moderate physical therapy for the rest of the study period. PPI, multivitamins and iron	Prevalence of metabol treatment panel III crite patients (p=0.012). Change from baseline diabetic patients	eria) was reduced	d from 83.3% to 41.6% of		
supplements were used during the study period. Surveillance endoscopies		Diabetes patients (n=6)	Obese patients (n=18)		
were performed at 12, 24 and 36 weeks.	Total weight change (kg)	-17.1±4.3 (p=0.01)	-24.1±2.4 (p<0.0001)		
Follow-up: <b>52 weeks</b>	BMI (kg/m <sup>2</sup> )	-7.3±1.8 (p=0.01)	-9.8±0.9 (p<0.0001)		
Conflict of interest/source of funding: study was	Diastolic blood pressure (mm Hg)	-16±2 (p=0.0003)	-13±2 (p<0.0001)		
unded by GI Dynamics (manufacturer). Two authors disclosed a	Total cholesterol (mg/dL)		-40±7 (p<0.0001)		
inancial relationship with he manufacturer.	Triglycerides (mg/dL)		-49±14 (p=0.003)		
	HbA <sub>1c</sub> (%)	-1.4±0.6 (p=0.052)			
		following remova ogramme (giving	w-up regained a mean of I of the DJBS without any g a weight change of		

Study details	Key efficacy fir	•				Key safety finding			Comments
de Moura E (2012) <sup>7</sup>	Number of patients analysed: 22					Early device expl		.,	Follow-up issues:
	Implantation suc	ccess: 100	)%			Total explantation	ons	40 (9/22)	18/22 patients
<b>Case series</b> Brazil	Effect on metal	bolic para	ameters (Me	an ±SD values	5)	Device related (i 31 weeks)	nedian	27 (6/22)	completed 24 weeks' follow-up. Only 13/22
Recruitment period: not reported Study population: obese		Base- line (n=22)	24 weeks (n=16)	52 weeks (n=13)	LCOF* (n=22)	Device migration rotation (48 week implant)		14 (3/22)	patients completed 52 weeks' follow-up.
patients with type 2 diabetes	Fasting glucose	179.4± 68.8	-33.4± 9.2	-37.1±11.8 (p<0.01)	-30.3± 10.2	Gastrointestinal b (4 weeks after im		4 (1/22)	Study design
n= <b>22</b> Age: mean 46.2 years	mg/dL		(p<0.01)		(p<0.01)	Abdominal pain ( weeks after impla		9 (2/22)	<ul><li>issues:</li><li>The study was</li></ul>
Sex: 86.4% female	HbA1c %	8.9±	-1.5±0.4	-2.3±0.3	-2.1±0.3	Non-device relation	ted	14 (3/22)	small.
Mean BMI: 44.8kg/m <sup>2</sup>		1.7	(p<0.001)	(p<0.0001)	(p<0.0001 )	Investigator required weeks 20 and 32		9 (2/22)	The drug     treatment for type
Patient selection criteria: patients with type 2	Fasting insulin U/mL	19.5± 14.7	-5.2±2.8	−10.1±4.2 (p<0.05)	−7.3±2.6 (p<0.05)	patients non-com with follow-up)	pliance		2 diabetes was not specified or standardised.
diabetes between 18 and 55 years with a BMI over 40 kg/m <sup>2</sup> and below 60	Total cholesterol mg/dL	201± 37	-16.7±6.9 (p<0.05)	-28.1±5.6 (p<0.01)	-19.7±5.9 (p<0.01)	Unrelated malign 17 weeks due to metastatic ovaria	•	4 (1/22)	Study population
(g/m <sup>2</sup> . Fechnique: DJBS	Triglyceride s mg/dL	213± 89	-56.8±25 (p=0.05)	-62.4±18.3 (p=0.01)	-44.8± 17.4 (p<0.05)	Adverse events that occurred in mo than 10% of patients		ed in more	<ul> <li>77% (17/22) of patients had drugs for</li> </ul>
EndoBarrier) was mplanted and explanted after 52 weeks using luoroscopy and endoscopy. PPI were	Diastolic blood pressure (mm Hg)	79±10		before evelopt	-1.6±3.5 (p=0.65)	Adverse event	% (n)	Device or proced ure related	diabetes. Other issues: • Only 1 patient
sed until 2 weeks after xplantation. Follow-up xaminations were done	*last observation carried forward on or before explantation Improvement in glycaemic control Improvements in HbA <sub>1c</sub> were reported regardless of baseline					Gastrointestinal disorders	95 (21/22)	(n) 12	<ul><li>needed GA for explantation.</li><li>Improvement in</li></ul>
at 1, 3 and 6 months after explantation.	values. At the er					Upper	91	11	glycaemic contro was also seen in

Abbreviations used: BMI: body mass index; DJBS: duodenal-jejunal bypass sleeve; EWL: excess weight loss; FPG: fasting plasma glucose; GA: general anaesthesia; GI: gastrointestinal; HbA1c: glycated haemoglobin; HDL: high-density lipoprotein; ITT: intention to treat; KUB: kidneys, ureters and bladder; LOCF: last observation carried forward; NIH: National Institutes of Health; PPI: proton pump inhibitors; SD: standard deviation; T2DM: Type 2 diabetes mellitus; TG/HDL ratio: triglyceride to high-density lipoprotein ratio.

Study details	Key efficacy findings		Key safety findin	Key safety findings		
Patients received 30	HbA <sub>1c</sub> under 7% compared with o	nly 4.5% (1/22) at baseline.	abdominal pain	(20/22)		patients with early
minutes' nutritional counselling (on diet, lifestyle and behaviour) at	Weight loss (Mean ±SD values)	Nausea	50 (11/22)	7	<ul><li>explants.</li><li>Authors suggest</li></ul>	
baseline and monthly follow-up visits. Liquid	Mean % EWL at 52 weeks         39.0±3.9 (p<0.0001)           (n=13)         39.0±3.9 (p<0.0001)		Vomiting	63 (14/22)	7	that changes in antidiabetic drug treatment
diet for 2 weeks. Daily	Mean % of EWL (LOCF, n=22)	35.5±3.1 (p<0.0001)	Diarrhoea	13	1	regimens may
vitamin and iron supplements were recommended.	Decrease in mean BMI (kg/m <sup>2</sup> ) -6.7±0.7 (LOCF, n=22)		Procedural end other	(3/22)		have influenced the results.
	Mean reduction in waist circumference (cm) (LOCF,	-13.0±1.7	and other complications			
Follow-up: 52 weeks	n=22)		Procedural nausea	45 (10/22)	4	
Conflict of interest/source of funding: study memory by Cl	· · · ·	Procedural vomiting	32 (7/22)	3		
sponsored by GI Dynamics (manufacturer).	removal in 11 patients ( $-1.7\pm0.7\%$		Back pain	59 (13/22)	5	
		All events were mi severe event caus malignancy.			1	

Study details	Key efficacy fin	0			Key safety findings		Comments
Rodriguez-Grunert L	Number of patier	nts analysed: 12	2		Early explantations		Follow-up issues:
(2008) <sup>8</sup>	Implantation suc				Excessive abdominal pa		No patients were
Case series	Explantation suc	cess: 100%			and discomfort related to device placement (at 9 of		lost to follow-up.
Country not reported					device placement (at 9 t	iays)	Other issues:
Recruitment period: not reported	Weight loss at 1	2 weeks (n=10	,	_	Adverse events (proced	ure and device	Three different
Study population: patients	Mean % EWL		23.6		related)	ule allu device	<ul> <li>Three different physicians with</li> </ul>
awaiting gastric bypass surgery.	Mean % of patie	ents with >10%	100		Total adverse events	71 (n=12)	distinct skill sets
n= <b>12</b>	Average total w	eight loss (kg)	10.2	-	Device related	78% (55/71)	procedures.
Age: mean 41 years	Average decrea	ase in mean BN	11 3.8	-	(possible and definite)		Early
Sex: 58.3% female	(kg/m <sup>2</sup> )				Abdominal pain (week 1)	,	explantations too
Mean BMI: 43 kg/m <sup>2</sup>				-	Diarrhoea	1	later ones
Patients with diabetes: 4	All patients repor intake after impla		iety and reduced for	ood volume	Anchor site inflammation (noted on endoscopy)	n 12	because of difficulty in
Patient selection criteria:					Nausea (week 1)	18	dislodging the
candidates for gastric bypass by 1991 NIH	Change in como	orbid status at	12 weeks		Vomiting (week 1)	16	anchor, and caused mucosal
guidelines. Technique: DJBS (EndoBarrier) procedures		Hyper- lipidaemia (n=3)	Hypertension (n=4)	Diabetes (n=4)	Inflammatory pseudopolyps (noted on 72-hour surveillance endoscopy)	'frequent'	tears.
used fluoroscopy and endoscopy. Weight loss	Improvement*	2/3	1/4	-	Procedure related	2/12	
counselling at each	Resolved**	-	1/4	3/4	Oral pharyngeal mucosa	-	
follow-up visit : 1000- calorie low-fat diet.	No improvement	1/3	2/4	1/4	tear (at device removal)		
Follow-up: 12 weeks	*reduction in FPG or HbA <sub>1c</sub> , systolic or diastolic components, lab values and decrease in medication use.				GI mucosal disorder and oesophageal mucosal te (at device removal)		
Follow-up: <b>12 weeks</b> Conflict of interest/source of funding: study was funded by GI Dynamics (manufacturer).	values and decre	ease in medicat c, systolic or dia	ion use. astolic components	-			

Abbreviations used: BMI: body gastrointestinal; HbA1c: glycat National Institutes of Health; P	ed haemoglobin;	HDL: high-de	ensity lipoprote	ein; ITT: intenti	on to treat; KUE	3: kidneys, ureters and bla	dder; LOCF: last observ	vation carried forward; NIH:
Study details	Key efficacy findings					Key safety findings		Comments
Cohen RV (2013) <sup>9</sup>	Number of pati	ents analys	sed: 23					Follow-up issues:
Case series	Procedural ou	itcomes %	(n)				% (n)	Only 16 patients
Brazil (single centre)	Implantation s	success		87 (20/23	)	At least 1 adverse	96% (22/23)	completed 1 year
Recruitment period: not reported	Implantation fundation	ailure (due anatomy)	to	13 (3/23)		event (mild or moderate)		treatment. Study design issues
Study population: patients with lower BMI and T2DM	Mean implant	ation durati	ion	348 days		Most common devi related adverse eve		Patients with type
n= <b>23</b> Age: mean 49.8 years	Body weight g	DJBS			-	Gastrointestinal disorders (including	13/23	<ul> <li>1 diabetes, insulin use, autoimmune disease, weight loss of &gt;4.5 kg</li> </ul>
Sex: 58.3% female Mean BMI: 30 kg/m <sup>2</sup> , T2DM duration: 6.6 years		Baselin e (n=20)	Week 12 (n=19)	Week 52 (n=16)	p value	abdominal pain, nausea and		within 12 weeks, previous
Patient selection criteria:	Body weight(kg)	84.0±16 .6	79.0±16.8	77.2±17.6	<0.0001	vomiting)		gastrointestinal surgeries, active
aged 18 and 55 years with T2DM of <10 years, with oral glucose lowering	BMI (kg/m <sup>2</sup> )	30.0±3. 6	28.3±3.7	28.5±3.3	<0.0001	Metabolism and nutritional	14/23	Helicobacter pylori, on non-
medications, HbA1c 7.5 -	FPG (mg/dl)	207±61	132±41	155±52	.012	disorders, including		inflammatory drugs, weight loss
10%, BMI 26-50 kg/m².	HbA1c (%)	8.7±0.9	7.0±0.9	7.5±1.6	.004	hypoglycaemia and iron deficiency		medication,
Technique: EndoBarrier deployed and removed	Total cholesterol	221±50	167±38	188±32	NR	Early device removals	80% (4/20)	uncontrolled reflux disease
under GA. Nutritional counselling, PPIs before implantation and 2 weeks	Low density lipoprotein	135±40	95±33	108±31	NR	(In 1 patient at 10 weeks due to		<ul><li>were excluded.</li><li>Women either</li></ul>
after explantation. Liquid	HDL	42±11	39±7	40±10	NR	noncompliance		postmenopausal, sterile or on oral
diet in first week and 1200-1500 calories intake thereafter.	62.5% (10/16) levels <7% at v did not show a	week 52. 4/	5 patients wit			with follow-up, in 1 at 7 months due to recurring		<ul> <li>contraceptives were included.</li> <li>Sulfonylurea</li> </ul>
Follow-up: <b>52 weeks</b> Conflict of interest/source	<b>Diabetic medications:</b> 7 patients decreased and 4 increased the number of drugs or the doses of antidiabetic drugs. No significant correlation between change in body weight and			abdominal pain, in 2 due to device rotation and/or		dosage reduced to avoid hypoglycaemic		
of funding: study was funded by GI Dynamics	change in FPG paper).					migration at 6 and 10 months)		events.

### Efficacy

### Weight loss

### Excess weight loss

A randomised controlled trial (RCT) of 56 patients with obesity comparing duodenal–jejunal bypass sleeve (DJBS) (n=27) against sham endoscopy (n=29) reported a significantly higher percentage of excess weight loss (EWL) at 12-week follow-up for the DJBS group (n=13) than for the sham endoscopy group (n=24) (11.9%±1.4% and 2.7%±2.0% respectively, p=0.001). In the DJBS group, 62% (8/13) of patients achieved at least 10% EWL compared with 17% (4/24) in the sham endoscopy group (p=0.01)<sup>1</sup>.

An RCT of 40 patients with obesity compared DJBS plus low-calorie diet (n=25) against low-calorie diet alone (n=14). The DJBS group achieved a greater mean percentage EWL than the diet group (22% compared with 5%; p=0.02) at 12 weeks' follow-up. An EWL of greater than 10% was achieved by 92% (23/25) of the patients in the DJBS group and 21% (3/14) of patients in the diet group (p=0.0001) at 12-week follow-up<sup>4</sup>.

A case series of 42 patients with obesity treated by DJBS reported excess weight loss of  $47.0\pm4.4\%$  (p<0.0001) at 52-week follow-up<sup>6</sup>.

### Mean weight loss

In an RCT of 18 patients with obesity and type 2 diabetes, the mean weight loss in the DJBS (n=12) and sham endoscopy (n=6) groups was comparable (p>0.05) for both the patients who completed treatment and the intent to treat (ITT). At week 20, the mean ITT weight reduction was  $10.2\pm1.3$  kg for the DJBS group compared with 7.3±4.3 kg for the sham group<sup>2</sup>.

### **Diabetic control**

The RCT of 18 patients with obesity and type 2 diabetes comparing DJBS (n=12) against sham endoscopy (n=6) reported that ITT HbA<sub>1c</sub> values decreased by 1.3±0.9% for the DJBS group and by 0.8±0.3% in the sham endoscopy group (p>0.05) at 12-week follow-up. At 24-week follow-up, the HbA1c had decreased by -2.4±0.7% –in the DJBS group and by 0.8±0.4% in the sham endoscopy group (p>0.05). These differences were not statistically significant. Mean postprandial glucose area under the curve was reduced in the DJBS arm by 22% from baseline, compared with a 16% increase in the sham endoscopy group (p=0.016)<sup>2</sup>.

The RCT of 41 patients with obesity comparing DJBS plus diet (n=30) against diet alone (n=11) reported a reduction in fasting plasma glucose (FPG) and HbA1c levels in both groups at 12 weeks, with no significant difference in change

between groups (FPG p=0.13; HbA1c p=0.32). Diabetic control, defined as a decrease in glucose levels, HbA1c and medications, had improved in 75% (6/8) of patients in DJBS group at 12-week follow-up  $^{3}$ .

### Lipid profile

The case series of 42 patients treated by DJBS reported a significant reduction in total cholesterol (from 197±7 mg/dL at baseline to 161±8 mg/dL at 52 weeks; p<0.0001) and triglycerides (from 160±16 mg/dL at baseline to 115±11 mg/dL at 52 weeks;  $p=0.002)^6$ .

The case series of 22 patients treated by a DJBS reported significant reductions in total cholesterol (19.7 $\pm$ 5.9 mg/dL; p<0.01) and triglycerides (44.8 $\pm$ 17.4 mg/dL; p<0.05) at last observation carried forward (LCOF) on or before explanation<sup>7</sup>.

### Blood pressure

The case series of 42 patients reported significant reduction from baseline in systolic (from  $134\pm3$  mm Hg to  $125\pm2$  mm Hg; p=0.01) and diastolic (from  $85\pm1$  mm Hg to  $71\pm2$  mm Hg; p<0.0001) blood pressure at 52-week follow-up. In this group, 6 patients with type 2 diabetes also reported significant reductions in blood pressure<sup>6</sup>.

The case series of 22 patients reported non-significant decreases in mean systolic (from  $134\pm14$  mm Hg at baseline to  $6.6\pm4.4$  mm Hg at LCOF; p=0.15) and diastolic (from  $79\pm10$  mm Hg at baseline to  $-1.6\pm3.5$  mm Hg at LCOF, p=0.65) blood pressure on or before explanation<sup>7</sup>.

### Implantation failure or difficulties

In the RCT of 56 patients the DJBS could not be implanted in 20% (4/25) of patients because of a short duodenal bulb (n=3) or a combination of patient anatomy and investigator inexperience  $(n=1)^{1}$ .

In the RCT of 40 patients, 19% (5/26) of patients in the DJBS group needed multiple implantation attempts because of difficulties advancing the catheter or positioning the anchor in the duodenal bulb<sup>4</sup>.

### Weight regain after removal of device

The case series of 42 patients with obesity reported that, without any kind of maintenance programme, patients who completed 52-week follow-up had regained a mean of 4.4 kg at 6 months after removal of the DJBS<sup>6</sup>.

### Glycaemic control after removal of device

The case series of 22 patients with obesity and type 2 diabetes reported that  $HbA_{1c}$  response continued for up to 6 months after device removal in 11 patients (mean percentage decrease  $1.7\pm0.7\%$ )<sup>7</sup>.

### Safety

### Gastrointestinal haemorrhage

Gastrointestinal bleeding with haematemesis was reported in 14% (3/21) patients at 11, 25 and 43 days post implant respectively in the DJBS group of the RCT of 56 patients. The devices were removed. One patient needed sclerotherapy and endoscopic clips and 2 did not need further interventions to stop the bleeding<sup>1</sup>.

Gastrointestinal bleeding was reported at a mean of 14 days in 3 patients in the DJBS group of the RCT of 40 patients. The devices were explanted and endoscopic examination showed no defined bleeding source that needed further intervention. In the same study, several episodes of haematemesis and abdominal pain were reported in 1 patient, caused by an anchor migrating 2 cm from its original position on day 47. Symptoms resolved after explantation of the device and a blood transfusion was given<sup>4</sup>.

### Early explantation

In the RCT of 18 patients 41% (5/18) of devices were explanted early, because of device migration with symptoms such as pain, nausea and vomiting (2 were asymptomatic)<sup>2</sup>.

The RCT of 41 patients reported that 27% (8/26) of the devices were removed early because of severe nausea and vomiting (caused by sleeve obstruction, n=1), epigastric pain (n=2) and device migration (n=5)<sup>3</sup>.

### Chest pain during implantation

Non-cardiac chest pain was reported in 1 patient during DJBS implantation (because of an inadvertently placed endoscope and catheter causing distension of the oesophagus) in the RCT of 40 patients<sup>4</sup>.

### Pharyngeal tears

One pharyngeal mucosal tear and 1 oesophageal mucosal tear occurred during device removal in a case series of 12 patients. Further intervention was not needed<sup>8</sup>.

### **Device migration**

Device migration was reported in 41% (4/12) of patients in the DJBS group (4 because of anchor migration and 1 because of 'device turning or migration') during 12 weeks of follow-up in the RCT of 18 patients. All the devices were removed. Three patients presented with symptoms (1 with moderate pain, 1 with nausea, and 1 with vomiting and abdominal pain). Two patients had no symptoms, but device migration was noted at follow-up endoscopy (n=1) and at the time of device removal (n=1).

The RCT of 41 patients reported 30 cm device migration at 4 months in 1 patient and dislocation of the anchor after 3 months with epigastric pain in another patient. Symptoms resolved after explantation of the devices<sup>3</sup>.

### Sleeve obstruction

Sleeve obstruction with severe nausea and vomiting on day 30 was reported in 1 patient in the RCT of 40 patients<sup>4</sup>. The RCT of 41 patients reported 1 patient with sleeve obstruction, severe nausea and vomiting after 1 week. Symptoms resolved after removal of the devices<sup>3</sup>.

### Acute cholecystitis

Acute cholecystitis was reported in 1 patient 12 weeks after implantation in the case series of 42 patients. This resolved after device explantation<sup>6</sup>.

### Non-specific mild or moderate upper abdominal symptoms including pain

### and nausea

Procedural nausea and vomiting were reported in 10 and 6 patients in the DJBS arm of the RCT of 56 patients<sup>1</sup>.

Nausea and upper abdominal pain were reported in 77% (20/26) and 50% (13/26) of patients respectively (mainly in the first week after the procedure) in the DJBS group of the RCT of 41 patients. All events resolved with medication<sup>3</sup>.

Continuous epigastric pain was reported in 1 patient in the RCT of 41 patients. This resolved following explantation of the device at 3 months<sup>3</sup>.

### Pseudopolyp formation and implant site inflammation

Pseudopolyp formation and implant site inflammation were noted during explantation or at follow-up endoscopy in 50% (13/26) and 38% (10/26) of DJBS patients in the RCT of 41 patients<sup>3</sup>.

### Validity and generalisability of the studies

- Most of the studies published were small and implanted the device for a period of 3, 6 or 12 months only.
- The evidence is mainly from studies in South America and Europe (none from the UK).
- There is a lack of data on management after explantation.
- There is lack of long term data on how long any beneficial effect may last after removal of the device.

- There is a lack of patient reported outcomes data.
- The majority of the studies are sponsored by the manufacturer.

### Existing assessments of this procedure

A Horizon Scanning Prioritising Summary Report conducted for Australia and New Zealand in 2010 concluded that 'EndoBarrier appears to have the potential to induce significant weight loss and improve diabetic symptoms'. It is mainly based on evidence from 4 RCTs<sup>1-4</sup>. In addition, it concludes that 'additional comparative studies with appropriate controls are necessary as the evidence base for this device is limited and lacks long-term follow-up results'<sup>10</sup>.

The American College of Surgeons' report on endoluminal treatments for obesity in 2010 assessed the DJBS procedure using EndoBarrier. It concluded that 'the early evidence on the effectiveness of the EndoBarrier was encouraging. In comparison to diet control alone, patient who received the EndoBarrier lost significantly more weight and also experienced considerable improvements in their diabetic symptoms. However, when compared to patients who received sham endoscopy, those who underwent EndoBarrier treatment did not lose significantly more weight compared to the sham controls at 20 weeks' follow up'. Self-limiting nausea (up to 77%) and upper abdominal pain (up to 30%) were common in patients who received the EndoBarrier and some serious complications were evident, with early removal being required in 20% to 40% of patients'. It considered that 'additional long-term comparative studies (with appropriate controls) are necessary before any firm conclusions can be made regarding the safety and efficacy of the emerging procedures and devices. Until then these procedures and devices should only be used in a clinical trial setting'. In addition, it concluded that 'future research is necessary to determine if there are any particular patients' subgroups that may particularly benefit from certain procedures'. It also recommends that 'these procedures and devices are new and are undergoing active development and should be monitored as refinements will alter their safety and efficacy profiles'<sup>11</sup>.

### Related NICE guidance

Below is a list of NICE guidance related to this procedure. Appendix B gives details of the recommendations made in each piece of guidance listed.

### Interventional procedures

 Laparoscopic gastric plication for the treatment of severe obesity. NICE interventional procedures guidance 432 (2012). Available from <u>www.nice.org.uk/guidance/IPG432</u>

### Clinical guidelines

 Obesity: guidance on the prevention, identification, assessment and management of overweight and obesity in adults and children. NICE clinical guideline 43 (2006). Available from <u>www.nice.org.uk/guidance/CG43</u>

### specialist advisers' opinions

Specialist advice was sought from consultants who have been nominated or ratified by their Specialist Society or Royal College. The advice received is their individual opinion and does not represent the view of the society.

Mr James Byrne, Mr Alberic Fiennes, Mr Sean Woodcock (British Obesity & Metabolic Surgery Society); Professor McLaughlin, Dr J P Teare (British Society of Gastroenterology).

- One specialist adviser performs this procedure regularly, 2 specialist advisers have performed it at least once and 2 specialist advisers have never performed this procedure.
- Four specialist advisers considered the procedure to be novel and of uncertain safety and efficacy and 1 specialist adviser considered this to be a first in a new class of procedure.
- One specialist adviser listed the relevant comparators as best medical treatment of type 2 diabetes, intensive weight management in tandem with the above or laparoscopic proximal gastric bypass Roux-en-Y or laparoscopic sleeve gastrectomy in patients who meet the criteria in NICE clinical guideline 43. Two advisers stated that there is no accepted comparator to this procedure. They suggested that close diet supervision and gastric balloon may be considered as the closest comparators. A gastric balloon is placed freely in the stomach whereas a DJBS is placed in the duodenum/proximal jejunum and is secured in position with tissue anchors. Two advisers stated that standard weight loss surgical procedures such as gastric bands and bypasses are well established permanent procedures that are not comparable with a DJBS, as it is a temporary intervention.
- Four specialist advisers stated that the procedure is likely to be performed by less than 10% of specialists and 1 stated that an estimate could not be given but suspects it could be less than 1%.
- One adviser suggested that the title should be 'obesity with diabetes' as patients are often confused by the wording, thinking they could be treated for just obesity or just type 2 diabetes.
- Two advisers state there may be interspecialty controversy over the procedure between bariatric surgeons and gastroenterologists. They suggested that the

procedure may not be suitable for use in gastroenterology departments that lack standard bariatric or diabetological multidisciplinary support.

- The specialist advisers stated that key efficacy outcomes were glycaemic control in type 2 diabetes, reduction in HbA1c over time, reduction in type 2 diabetes medication use, weight loss or percentage EWL, improved plasma lipid profile, reduction in arterial blood pressure, patient reported outcomes such as quality of life, maintenance of benefit after device removal.
- One specialist adviser stated that the main uncertainties relate to the extent of clinical benefit during implant, the durability of the intervention's effect after explantation and how patient or other factors that may affect this, the identification of subgroups of patients most likely to derive benefit and patients for whom this intervention is likely to be cost effective. One specialist adviser noted that there was uncertainty about the mechanism of action of DJBS, as the device does not mimic gastric bypass or sleeve gastrectomy. He also states that efficacy depends on accurate placement and good diabetic care and/or weight management with lifestyle and dietary support. The same adviser suggests that DJBS should not be seen as a substitute for gastric bypass or sleeve gastrectomy and will need to demonstrate added sustained efficacy. Another specialist adviser noted that there was uncertainty about what percentage of people benefit, the durability of effect after device removal, and any medical therapies needed to maintain or enhance the benefit from the procedure. One specialist adviser noted that current results were still early and long-term results are needed.
- The specialist advisers stated that adverse events reported in the literature were bleeding, oesophageal laceration, device displacement, pain, nausea, vomiting, pharyngeal tears on removal, obstruction, migration and inflammation at the site of the sleeve.
- The specialist advisers listed anecdotal adverse events as bleeding, bolus obstruction needing removal, twisted or folded sleeve needing removal, migration with pain needing removal, multiple linear ulcerated areas with perforation in the proximal jejunum (repaired at laparotomy), erosion of the duodenal wall, device malplacement, device intolerance with abdominal pain and discomfort, misplacement of endoscope hood in pharynx during endoscopic removal of device, and inability to remove an obstructed and migrated device endoscopically (needing a laparotomy for removal).
- The specialist advisers listed theoretical adverse events as implantation failure; bleeding; perforation of the oesophagus, stomach, duodenum or proximal jejunum and consequent laparotomy; laceration of the oesophagus, stomach or duodenum; device malplacement during implantation or explantation; discomfort; duodenal ulceration; reduced absorption of dietary calcium and iron; loss of the hood positioned on the tip of the endoscope into the pharynx or larynx during device removal.

- Training: the specialist advisers stated that good interventional and upper gastrointestinal endoscopic skills are needed to perform the procedure. Practical training on live animal models followed by placement and retrieval of devices under supervision by an experienced proctor is needed. 2 advisers also stated that radiation protection training and good knowledge of patient selection and management at all stages (implantation and explantation, device in situ and post explantation) is essential. advisers stated that the multidisciplinary team structure should be comparable to that for type 2 diabetes care and/or bariatric surgery, and that endoscopic facilities with suitable equipment and ready access to emergency units in the event of serious complications such as bleeding or obstruction are needed. One adviser also suggested that treatment-specific training for nurse/dietician/physician follow-up teams is needed. He also stated that provision of patient information and continuous long-term follow-up is needed.
- One adviser stated that this procedure needs to be part of a comprehensive bariatric service as opposed to a standalone procedure. One adviser states that this procedure would be within the ability of all advanced endoscopists and does not need facilities beyond those in current units.
- One adviser stated that the role of this procedure in obesity treatment is more controversial and unclear than its role in type 2 diabetes treatment. One adviser stated that there are hazards if the device is marketed or promoted to teams wholly contained within specialities that lack an established multidisciplinary team support structure, or private practitioners who function without a similar robust framework.
- Two advisers stated that the manufacturer has a registry of all implants and a post-market UK study is in progress.
- Two advisers stated that the likely speed of diffusion is slow, as the adverse event rates are high and the device is currently expensive. One adviser stated that with the currently available evidence the procedure should only be offered within the context of long-term trials. Two advisers stated that there will be rapid uptake of the procedure in the next 2–5 years, mainly in the private sector.
- Two advisers stated that the procedure is likely to be carried out in most district general hospitals in the UK, and 3 advisers stated that it is likely to be done in a minority of hospitals. One adviser stated that it is likely to be done in bariatric units that offer a comprehensive service. In terms of patient numbers and use of resources, 4 specialist advisers stated that the impact on the NHS would be moderate and 1 specialist adviser stated that it would be minor. One adviser stated that the device cost is too high for widespread adoption. One adviser stated that it has a place as a staging procedure in patients with supermorbid obesity, to help them lose weight and control metabolic comorbidities before surgery. One adviser stated that if the procedure is shown to be cost

effective in certain subgroups of patients with diabetes (such as patients on injection therapy and patients with quality of life significantly compromised by difficulties with glycaemic control) then it is likely to have an impact on resource use.

### **Patient Commentators' opinions**

NICE's Patient and Public Involvement Programme sent 23 questionnaires to 2 trusts for distribution to patients who had the procedure (or their carers). NICE received 8 completed questionnaires.

The Patient Commentators' views on the procedure were consistent with the published evidence and the opinions of the specialist advisers.

### Issues for consideration by IPAC

- It is currently used only in post-marketing studies in NHS hospitals (in London, Manchester and Southampton) for specific patients in whom standard treatments are ineffective or inappropriate (standard treatments in the context of this guidance are the therapies which clinicians might recommend for the management of weight loss).
- The device has not yet received US Food and Drug Administration (FDA) approval.
- Ongoing trials:
  - NCT01114438: Post Marketing Study in Subjects Who Have Type 2
     Diabetes Using the EndoBarrier<sup>™</sup> Gastrointestinal Liner; type: open-label
     single-group assignment; location: United Kingdom (Imperial College/St.
     Mary's Hospital, London; Trafford General Hospital/NOSC, Manchester;
     Southampton General Hospital, Southampton); estimated enrolment: 45
     patients; inclusion criteria: subjects with type 2 diabetes for more than 1 and
     up to 10 years who are on oral diabetic medications and/or insulin, with an
     Hb A<sub>1c</sub> level over 7.5 and up to 10.0 and a BMI over 30 and under 50;
     primary outcome: HbA<sub>1c</sub> at 12 months; estimated primary completion date:
     January 2013.

- NCT00985491: Study for Short Term Weight Loss in Candidates for Bariatric Surgery: type: open label single group assignment; location: Chile; estimated enrolment: 180 patients; inclusion criteria: BMI over 35 with comorbidities, or BMI over 40 and under 60 without comorbidities, candidate for Roux-en-Y gastric bypass, failed on non-surgical weight loss methods; primary outcome: percentage of excess weight loss at 36 months; estimated study completion date: July 2016.
- NTC01372501: Study of obese subjects previously implanted with the Endobarrier Gastrointestinal Liner, type: open label single group assignment; location: Chile; estimated enrolment: 24 patients; inclusion criteria: previously implanted with Endobarrier, aged over 18 years and under 55 years; primary outcome: percentage of EWL at 52 weeks; estimated study completion date: April 2012.
- NCT00985114: Safety and efficacy study of Endobarrier in subjects with type II diabetes and obesity; type: multicentre RCT with crossover (after 12month washout); location: Netherlands; estimated enrolment: 70 patients; inclusion criteria: type 2 diabetes treated for under 10 years, BMI over 30 and under 50, with an HbA<sub>1c</sub> level over 7.5 and under 10%; primary end point: percentage of patients who achieve a greater than 0.5% reduction in HbA<sub>1c</sub> at 24 weeks or last visit from baseline; study completion date: January 2012.
- NCT01728116: Safety and efficacy of Endobarrier in subjects with type 2 diabetes who are obese (ENDO); type: RCT; location: USA; estimated enrolment: 500; inclusion criteria: HbA<sub>1c</sub> over 8.0% and under 10%, BMI over 30 and under 50; primary outcome: improvement in HbA<sub>1c</sub> at 12 months; estimated study completion date: June 2015.
- NCT01718457: Endobarrier treatment in obese subjects with type 2 diabetes; type: interventional, single group assignment; location: Israel; estimated enrolment: 45; estimated study completion date: January 2018.

- NCT01724060: Effects of obesity on food preferences and metabolism (FPS); type: Observational case control study; location: UK; estimated enrolment: 400; estimated study completion date: October 2014.
- EME MRC study: location: United Kingdom; type: RCT; estimated enrolment: 140 patients; A grant application was submitted to the EME (Efficacy and Mechanism) programme with the Medical Research Council. Decision for approval expected in quarter 4 2012.

### References

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11. Endoluminal treatments for obesity (2010). Horizon scanning in surgery: application to surgical practice and education. Prepared by Australian Safety and Efficacy Register of New Interventional Procedures – Surgical for the American College of Surgeons

# Appendix A: Additional papers on implantation of a duodenal-jejunal bypass sleeve for managing obesity

The following table outlines the studies that are considered potentially relevant to the overview but were not included in the main data extraction table (table 2). It is by no means an exhaustive list of potentially relevant studies.

Article	Number of patients/follow- up	Direction of conclusions	Reasons for non-inclusion in table 2
de Moura et al (2012). Six month results of the duodenal-jejunal bypass liner for the treatment of obesity and type 2 diabetes. J Gastroint Dig Syst S2:003.doi:10.4172/2161- 069X.S2-003	Case series n=22 Obese and T2DM patients for bariatric surgery EndoBarrier implanted. Follow-up=24 weeks	100% technical success. At week 24 mean weight loss was 14kg (p<0.001). BMI dropped on average 5.4 points and excess weight loss was 22.2%. Fasting blood glucose significantly reduced (baseline 171.8 mg/dl, wk 24=141.5mg/dl). Glycosated haemoglobin level significantly reduced from 8.8% to 7.3%. Anti- diabetic medication use reduced except metformin.	Study with longer follow-up included in table 2.
de Jonge C, Rensen SS et al. (2013) Endoscopic Duodenal-Jejunal Bypass Liner Rapidly Improves Type 2 Diabetes. <u>Obes Surg.</u> Mar 23. [Epub ahead of print]	Case series n=17 Obese patients (BMI 30-50 kg/m <sup>2</sup> ) with type 2 diabetes DJBL for 24 weeks Follow-up:24 weeks	At 24 weeks patients lost 12.7 $\pm$ 1.3 kg (p < 0.01), while HbA <sub>1c</sub> had improved from 8.4 $\pm$ 0.2 to 7.0 $\pm$ 0.2 % (p < 0.01). Both fasting glucose levels and the postprandial glucose response were decreased at 1 week and remained decreased at 24 weeks (both p < 0.01). In parallel, the glucagon response decreased (23,762 $\pm$ 4,732 vs. 15,989 $\pm$ 3,193 vs. 13,1207 $\pm$ 1,946 pg/mL/min, p < 0.05) and the GLP-1 response increased (4,440 $\pm$ 249 vs. 6,407 $\pm$ 480 vs. 6,008 $\pm$ 429 pmol/L/min, p < 0.01). The GIP response was decreased at week 24 (baseline-115,272 $\pm$ 10,971 vs. week 24- 88,499 $\pm$ 10,971 pg/mL/min, p < 0.05). Insulin levels did not change significantly. Glycemic control was still improved 1 week after	Larger studies included in table 2. Reports changes in gut peptides.

		explantation.	
Escalona A, Yanez R et al (2010). Initial human experience with restrictive duodenal-jejunal bypass liner for treatment of morbid obesity.Surgery for Obesity & Related Diseases 6 (2) 126- 131.	Case series n=10 BMI: 40.8kg/m <sup>2</sup> DJBS combined with a restrictor orifice (flow restrictor). Follow-up: 12 weeks	Devices implanted and removed after 12 weeks. The % EWL and TWL at explantation was 40% +/- 3% and 16.7 +/- 1.4 kg. The 4-hour GE was 98% +/- 1% at baseline, 72% +/- 6% at 4 weeks (P = 0.001 versus baseline), and 84% +/- 5% at 12 weeks (P <.05 versus baseline). After explantation, the rate of GE returned to normal in 7 of 8 subjects, but remained slightly delayed in 1 subject (84% at 4 hours). Episodes of nausea, vomiting, and abdominal pain required endoscopic dilation of the restrictor orifice with a 6- mm through-the-scope balloon in 7 patients and a 10-mm balloon in 1, with no clinically significant adverse events.	Implantation of a flow restrictor with DJBS to induce weight loss (adjunct procedure).
Gagner, M (2011). Intragastric balloons appear safer and better than the endoscopic duodenojejunal bypass liners (DJBL) for preoperative weight loss in bariatric surgery. Gastrointestinal Endoscopy 73 (4): 850-851.			Letter to editor.
Gersin KS, Keller JE, et al (2007). Duodenal- jejunal bypass sleeve: a totally endoscopic device for the treatment of morbid obesity. Surgical Innovation 14 (4) 275-278.	Case report n=1 36 year old woman BMI: 45.2kg/m <sup>2</sup> follow-up= 3 months	Device placed with no complications. Device removed after 3 months. Total weight lost was 9.09 kg.	Larger studies with longer follow-up included in table 2.
Levine A, Ramos A, et al (2009). Radiographic appearance of endoscopic duodenal-jejunal bypass liner for treatment of obesity and type 2 diabetes. Surgery for Obesity & Related Diseases 5 (3): 371- 374.	Case series n=8 (from 3 studies, 3 centres) DJBS (Endobarrier) Radiographic appearance of the device in	The anchor on the device provides a good seal that remains intact for <197 days. 1 leak from a tear in the proximal end of liner material was observed at removal (occurred in vivo as a result of inadequate fabrication techniques that	Study reports radiographic appearance of device in vivo. Larger studies with longer follow-up included in table 2.

Malik A, Mellinger JD et al.	vivo by contrast swallow or direct injection of water soluble contrast media. Review	have subsequently improved. Considerable variability in the position and orientation of anchor in images.	Literature
(2006) Endoluminal and transluminal surgery current status and future possibilities. Surgical Endoscopy, 20: 1179-92			review, no new data.
Montana R, Slako M, and Escalona A (2012). Implantation of the duodenal- jejunal bypass sleeve under conscious sedation: A case series. Surgery for Obesity and Related Diseases.8 (5): pp e63-e65.	Case series n=3 BMI: 36 to 48 kg/m <sup>2</sup> DJBS under conscious sedation.	Mean procedure time -23 minutes. Patients remained stable during recovery phase. No adverse effects were observed. Discharged next day tolerating a liquid diet.	Larger studies with longer follow-up included in table 2.
Patel SR, Hakim D et al. (2013) The dueodenal- jejunal bypass sleeve (Endobarrier Gastrointestinal Liner) for weight loss and treatment of type 2 diabetes. Surgery for Obesity Related Disorders Feb 4. pii: S1550- 7289(13)00034-8. doi: 10.1016/j.soard.2013.01.015. [Epub ahead of print]	Non-systematic review DJBS	Most studies used 12-week excess weight loss (EWL) as a primary outcome measure with results ranging from 11.9%– 23.6%. One study to date used 52-week EWL as its primary measure with a significant outcome of 47%. Our group has seen this technology cause significant weight loss, resolution of type 2 diabetes mellitus, and improvement in cardiovascular risk factor profile.	Non-systematic review
Sandler BJ, Rumbaut, R, Swain CP et al (2011). Human experience with an endoluminal, endoscopic, gastrojejunal bypass sleeve. Surgical Endoscopy 25 (9) 3028-3033.	Case ceries n= 24 Device: GDJBS (ValenTX) Mean BMI: 42kg/m <sup>2</sup> 7 patients with diabetes. Follow-up: 12 weeks	22 patients implanted with device. 17 maintained it for 12 weeks. 39.7% excess weight loss noted at 12 weeks. Device was explanted early because of early postoperative dysphagia. All patients with diabetes mellitus had normal blood glucose levels and none required antihyperglycemic medications. All four patients with elevated hemoglobin A1c levels preoperatively showed improvement.	Different device (gastroduodenoj ejunal bypass sleeve- ValenTX) of longer length (120cm) secured at the esophagogastri c junction with endoscopic and laparoscopic techniques.

Guidance	Recommendations
Interventional procedures	Laparoscopic gastric plication for the treatment of severe obesity. NICE interventional procedures guidance 432 (2012)
	1.1 The evidence on laparoscopic gastric plication for severe obesity raises no major safety concerns in the short term. There is inadequate evidence about safety in the long term, specifically with regard to the reversibility of the procedure and how it affects the safety of any further gastric surgery that may be necessary. There is limited evidence of efficacy in the short and medium term but more evidence is needed about the long-term efficacy of the procedure. Therefore, laparoscopic gastric plication for the treatment of severe obesity should only be used with special arrangements for clinical governance, consent and audit or research.
	1.2 Clinicians wishing to undertake laparoscopic gastric plication for the treatment of severe obesity should take the following actions.
	Inform the clinical governance leads in their Trusts.
	• Ensure that patients understand the uncertainties about the procedure's long-term efficacy and about how the procedure may affect the safety of any further gastric surgery that they may need. Clinicians should provide patients with clear written information. In addition, the use of NICE's <u>information for the public</u> is recommended.
	1.3 Laparoscopic gastric plication for severe obesity should only be carried out in units specialising in bariatric surgery that can offer the procedure as one of a range of treatment options. This recommendation is consistent with <u>Obesity: guidance on the prevention, identification, assessment and management of overweight and obesity in adults and children</u> (NICE clinical guideline 43; see section 1.2.6 of the guideline for details on surgical interventions).
	1.4 Clinicians should submit data on all patients undergoing laparoscopic gastric plication for severe obesity to the <u>National</u> <u>Bariatric Surgery Registry</u> . Data should be entered into the register under the 'other' procedure category. Clinicians should also collect and review these data as part of local audit.
	1.5 NICE encourages further research on laparoscopic gastric plication for severe obesity, which should include information about long-term efficacy and safety, and specifically how the procedure influences further gastric surgery. Comparison with alternative procedures would be useful.
Clinical guidelines	Obesity: guidance on the prevention, identification, assessment and management of overweight and obesity in adults and children. NICE clinical guideline 43 (2006). Available from <u>www.nice.org.uk/CG43</u> <b>1.2.6 Surgical interventions</b>
	Adults and children
	1.2.6.1 Bariatric surgery is recommended as a treatment option for

# Appendix B: Related NICE guidance for implantation of a duodenal-jejunal bypass sleeve for managing obesity

<ul> <li>people with obesity if all of the following criteria are fulfilled:</li> <li>they have a BMI of 40 kg/m<sup>2</sup> or more, or between 35 kg/m<sup>2</sup> and 40 kg/m<sup>2</sup> and other significant disease (for example, type 2 diabetes or high blood pressure) that could be improved if they lost weight</li> <li>all appropriate non-surgical measures have been tried but have failed to achieve or maintain adequate, clinically beneficial weight loss for at least 6 months</li> <li>the person has been receiving or will receive intensive management in a specialist obesity service</li> <li>the person is generally fit for anaesthesia and surgery</li> <li>the person commits to the need for long-term follow-up. See recommendations 1.2.6.12 and 1.2.6.13 for additional criteria to use when assessing children, and recommendation 1.2.6.7 for additional criteria for adults.</li> <li>1.2.6.2 Severely obese people who are considering surgery to aid weight reduction (and their families as appropriate) should discuss in detail with the clinician responsible for their treatment (that is, the hospital specialist and/or bariatric surgeon) the potential benefits and longer-term implications of surgery, as well as the associated risks, including complications and perioperative mortality.</li> <li>1.2.6.3 The choice of surgical intervention should be made jointly by the person and the clinician, and taking into account:     <ul> <li>the degree of obesity</li> <li>comorbidities</li> </ul> </li> </ul>
<ul> <li>the best available evidence on effectiveness and long-term effects</li> <li>the facilities and equipment available</li> <li>the experience of the surgeon who would perform the operation.</li> <li>1.2.6.4 Regular, specialist postoperative dietetic monitoring should be provided, and should include:</li> </ul>
<ul> <li>information on the appropriate diet for the bariatric procedure</li> <li>monitoring of the person's micronutrient status</li> <li>information on patient support groups</li> <li>individualised nutritional supplementation, support and guidance to achieve long-term weight loss and weight maintenance.</li> </ul>
<ul> <li>1.2.6.5 Arrangements for prospective audit should be made, so that the outcomes and complications of different procedures, the impact on quality of life and nutritional status, and the effect on comorbidities can be monitored in both the short and the long term.</li> <li>1.2.6.6 The surgeon in the multidisciplinary team should: <ul> <li>have undertaken a relevant supervised training programme</li> <li>have specialist experience in bariatric surgery</li> </ul> </li> </ul>
<ul> <li>be willing to submit data for a national clinical audit scheme.</li> </ul>

Database	Date searched	Version/files
Cochrane Database of Systematic Reviews – CDSR (Cochrane Library)	27/03/13	Issue 2 Feb 2013
Database of Abstracts of Reviews of Effects – DARE (CRD website)	27/03/13	Issue 2 Feb 2013
HTA database (CRD website)	27/03/13	Issue 2 Feb 2013
Cochrane Central Database of Controlled Trials – CENTRAL (Cochrane Library)	27/03/13	Issue 2 Feb 2013
MEDLINE (Ovid)	27/03/13	1946 to March Week 2 2013
MEDLINE In-Process (Ovid)	27/03/13	March 26, 2013
EMBASE (Ovid)	26/03/13	1974 to 2013 Week 12
CINAHL (NLH Search 2.0/EBSCOhost)	27/03/13	1981-present

Appendix C: Literature search for implantation of a duodenal-jejunal bypass sleeve for managing obesity

Trial sources searched on 31 October 2012

- Current Controlled Trials metaRegister of Controlled Trials mRCT
- Clinicaltrials.gov
- National Institute for Health Research Clinical Research Network Coordinating Centre (NIHR CRN CC) Portfolio Database

Websites searched 31 October 2012

- National Institute for Health and Clinical Excellence (NICE)
- Food and Drug Administration (FDA) MAUDE database
- French Health Authority (FHA)
- Australian Safety and Efficacy Register of New Interventional Procedures Surgical (ASERNIP – S)
- Australia and New Zealand Horizon Scanning Network (ANZHSN)
- Conference search
- Evidence Updates (NHS Evidence)
- General internet search

The following search strategy was used to identify papers in MEDLINE. A similar strategy was used to identify papers in other databases.

1 Duodenum/su [Surgery]

- 2 (Duoden\* adj3 surg\*).tw.
- 3 ((bypass or gasterointest\*) adj3 (sleeve\* or line\*)).tw.
- 4 ((Bypass or gastero-intest\*) adj3 (sleeve\* or line\*)).tw.
- 5 (Duoden\* adj3 (sleeve or line\*)).tw.
- 6 (jejun\* adj3 (sleeve\* or line\*)).tw.
- 7 Endobarrier\*.tw.
- 8 DJBL.tw.
- 9 DJBS.tw.
- 10 or/1-9
- 11 obesity/ or obesity, morbid/
- 12 obesit<sup>\*</sup>.tw.
- 13 Diabetes Mellitus, Type 2/
- 14 (Type 2 adj diabetes\*).tw.
- 15 non-insulin-dependent-diabetes mellit\*.tw.
- 16 ((adult or matur\* or late\*) adj onset adj diabete\* mellit\*).tw.
- 17 or/11-16
- 18 10 and 17
- 19 Animals/ not Humans/
- 20 18 not 19
- 21 limit 20 to ed=20121031-20130331