NATIONAL INSTITUTE FOR HEALTH AND CARE EXCELLENCE

Interventional Procedures Programme

Specialist Adviser questionnaire

Before completing this questionnaire, please read <u>Conflicts of Interest for Specialist Advisers</u>. Certain conflicts exclude you from offering advice, however, please return the questionnaire to us incomplete for our records.

Please respond in the boxes provided.			
Plea	se complete and return to:	Sally.Jones@nice.or.uk or Hawra.Abugulal@nice.org.uk	
Procedure Name:		Extracorporeal shockwave therapy for refractory Achilles tendinopathy	
Nam	e of Specialist Advisor:	Dr Anand Kirwadi	
Specialist Society:		British Society of Skeletal Radiologists	
1	Do you have adequate knowledge of this procedure to provide advice?		
\boxtimes	Yes.		
	No – please return the form	answer no more questions.	
1.1	1.1 Does the title used above describe the procedure adequately?		
\boxtimes] Yes.		
	No. If no, please enter any other titles below.		
Com	nments:		
2	Your involvement in the procedure		
2.1	Is this procedure relevant to your specialty?		
\boxtimes	Yes.		
	Is there any kind of inter-spe	ecialty controversy over the procedure?	

	No. If no, then answer no more questions, but please give any information you can about who is likely to be doing the procedure.		
Comn	nents:		
	procedure is being undertaken by radiologists, orthopaedicians, sposts medicine cians and specialist physiotherapists as well.		
patier pleas	ext 2 questions are about whether you carry out the procedure, or referents for it. If you are in a specialty that normally carries out the procedure e answer question 2.2.1. If you are in a specialty that normally selects or a patients for the procedure, please answer question 2.2.2.		
2.2.1	If you are in a specialty that does this procedure, please indicate your experience with it:		
\boxtimes	I have never done this procedure.		
	I have done this procedure at least once.		
	I do this procedure regularly.		
Comn	Comments:		
2.2.2	If your specialty is involved in patient selection or referral to another specialty for this procedure, please indicate your experience with it.		
	I have never taken part in the selection or referral of a patient for this procedure.		
	I have taken part in patient selection or referred a patient for this procedure at least once.		
	I take part in patient selection or refer patients for this procedure regularly.		
Comn	nents:		
	Please indicate your research experience relating to this procedure (please choose one or more if relevant):		
	I have done bibliographic research on this procedure.		
	I have done research on this procedure in laboratory settings (e.g. device-related research).		
	I have done clinical research on this procedure involving patients or healthy volunteers.		

	I have had no involvement in research on this procedure.	
	Other (please comment)	
Com	iments:	
I hav	ve read few articles recently.	
3	Status of the procedure	
3.1	Which of the following best describes the procedure (choose one):	
	Established practice and no longer new.	
	A minor variation on an existing procedure, which is unlikely to alter the procedure's safety and efficacy.	
	Definitely novel and of uncertain safety and efficacy.	
	The first in a new class of procedure.	
Com	iments:	
3.2	What would be the comparator (standard practice) to this procedure?	
Cons	servative management.	
3.3	Please estimate the proportion of doctors in your specialty who are doing this procedure (choose one):	
	More than 50% of specialists engaged in this area of work.	
	10% to 50% of specialists engaged in this area of work.	
\boxtimes	Fewer than 10% of specialists engaged in this area of work.	
	Cannot give an estimate.	
Com	ments:	
4	Safety and efficacy	
4.1	What is the potential harm of the procedure?	
	se list adverse events and major risks (even if uncommon) and, if possible, nate their incidence, as follows:	

1. Adverse events reported in the literature (if possible please cite literature)

Transient skin reddening, mild to moderate discomfort during the procedure, calf ache

2. Anecdotal adverse events (known from experience)

Tendon rupture

3. Theoretical adverse events

Persistent or worsening of symptoms

Reference: Extracorporeal shockwave therapy (ESWT) for refractory Achilles tendinopathy: a prospective audit with 2-year follow up J Taylor, S Dunkerley, D Silver, A Redfern, N Talbot... - The Foot, 2015

4.2 What are the key efficacy outcomes for this procedure?

Pain relief

4.3 Are there uncertainties or concerns about the *efficacy* of this procedure? If so, what are they?

There is still need for further research to be undertaken to quantify the efficacy of this procedure.

4.4 What training and facilities are needed to do this procedure safely?

Training in using the equipment and recognising the complications.

4.5 Are there any major trials or registries of this procedure currently in progress? If so, please list.

There have been few studies published so far. I am not aware current trials in UK.

4.6 Are you aware of any abstracts that have been *recently* presented/ published on this procedure that may not be listed in a standard literature search, for example PUBMED? (This can include your own work). If yes, please list.

Please note that NICE will do a literature search: we are only asking you for any very recent or potentially obscure abstracts and papers. Please do not feel the need to supply a comprehensive reference list (but you may list any that you think are particularly important if you wish).

4.7 Is there controversy, or important uncertainty, about any aspect of the way in which this procedure is currently being done or disseminated?

Comments:

5 Audit Criteria Please suggest a minimum dataset of criteria by which this procedure could be audited.		
5.1 Outcome measures of benefit (including commonly used clinical outcomes, both short and long - term; and quality-of-life measures):		
Improvement in the pain score (like VAS) Improvement in the activity scores (like VISA-A) Quality of life improvement scores		
5.2 Adverse outcomes (including potential early and late complications):		
Early: Skin reddening, calf ache and discomfort during the procedure Late: Tendon rupture, persistence or recurrence of symptoms.		
6 Trajectory of the procedure		
6.1 In your opinion, how quickly do you think use of this procedure will spread?		
Over the next 2-3 years		
6.2 This procedure, if safe and efficacious, is likely to be carried out in (choose one):		
Most or all district general hospitals.		
A minority of hospitals, but at least 10 in the UK.		
Fewer than 10 specialist centres in the UK.		
Cannot predict at present.		
Comments:		
6.3 The potential impact of this procedure on the NHS, in terms of numbers of patients eligible for treatment and use of resources, is:		
☐ Major.		
_ ,		
☐ Moderate.		

7 Other information

7.1 Is there any other information about this procedure that might assist NICE in assessing the possible need to investigate its use?

8 Data protection and conflicts of interest

8. Data protection, freedom of information and conflicts of interest

8.1 Data Protection

The information you submit on this form will be retained and used by the NICE and its advisers for the purpose of developing its guidance and may be passed to other approved third parties. Your name and specialist society will be published in NICE publications and on the NICE website. The specialist advice questionnaire will be published in accordance with our guidance development processes and a copy will be sent to the nominating Specialist Society. Please avoid identifying any individual in your comments.

I have read and understood this statement and accept that personal information sent to us will be retained and used for the purposes and in the manner specified above and in accordance with the Data Protection Act 1998.

8.2 Declarations of interest by Specialist Advisers advising the NICE Interventional Procedures Advisory Committee

Nothing in your submission shall restrict any disclosure of information by NICE that is required by law (including in particular, but without limitation, the Freedom of Information Act 2000).

Please submit a conflicts of interest declaration form _listing any potential conflicts of interest including any involvement you may have in disputes or complaints relating to this procedure.

Please use the "Conflicts of Interest for Specialist Advisers" policy (attached) as a guide when declaring any conflicts of interest. Specialist Advisers should seek advice if needed from the Associate Director – Interventional Procedures.

Do you or a member of your family¹ have a **personal pecuniary** interest? The main examples are as follows:

¹ 'Family members' refers to a spouse or partner living in the same residence as the member or employee, children for whom the member or employee is legally responsible, and adults for

Consultancies or directorships attracting regular or occasional payments in cash or kind			YES NO
Fee-paid work – any work commissioned by the healthcare industry – this includes income earned in the course of private practice			YES NO
Shareholdings – any shareholding, or oth of the healthcare industry	er beneficial interest, in shares		YES NO
Expenses and hospitality – any expenses provided by a healthcare industry company beyond those reasonably required for accommodation,			YES
meals and travel to attend meetings and conferences Investments – any funds that include investments in the healthcare industry			NO YES NO
Do you have a personal non-pecuniary interest – for example have you made a public statement about the topic or do you hold an office in a			YES
professional organisation or advocacy group with a direct interest in the topic?			NO
Do you have a non-personal interest? The	e main examples are as follows:		
Fellowships endowed by the healthcare industry			YES
		\boxtimes	NO
Support by the healthcare industry or NICE that benefits his/her position or department, eg grants, sponsorship of posts			YES
		\boxtimes	NO
If you have answered YES to any of the above statements, please describe the nature of the conflict(s) below.			
Comments:			
Thank you very much for your help.			
Professor Bruce Campbell, Chairman, Interventional Procedures Advisory Committee Professor Carole Longson, Director, Centre for Health Technology Evaluation.			or,
April 2014			

whom the member or employee is legally responsible (for example, an adult whose full power of attorney is held by the individual).

Conflicts of Interest for Specialist Advisers

- 1 Declarations of interest by Specialist Advisers advising the NICE Interventional Procedures Advisory Committee
- 1.1 Any conflicts of interest set out below should be declared on the questionnaire the Specialist Adviser completes for the procedure.
- 1.2 Specialist Advisers should seek advice if required from the Associate Director Interventional Procedures.

2 Personal pecuniary interests

- 2.1 A personal pecuniary interest involves a current personal payment to a Specialist Adviser, which may either relate to the manufacturer or owner of a product or service being evaluated, in which case it is regarded as 'specific' or to the industry or sector from which the product or service comes, in which case it is regarded as 'non-specific'. The main examples are as follows.
- 2.1.1 **Consultancies** any consultancy, directorship, position in or work for the healthcare industry that attracts regular or occasional payments in cash or kind (this includes both those which have been undertaken in the 12 months preceding the point at which the declaration is made and which are planned but have not taken place).
- 2.1.2 **Fee-paid work** any work commissioned by the healthcare industry for which the member is paid in cash or in kind (this includes both those which have been undertaken in the 12 months preceding the point at which the declaration is made and which are planned but have not taken place).
- 2.1.3 **Shareholdings** any shareholding, or other beneficial interest, in shares of the healthcare industry that are either held by the individual or for which the individual has legal responsibility (for example, children, or relatives whose full Power of Attorney is held by the individual). This does not include shareholdings through unit trusts, pensions funds, or other similar arrangements where the member has no influence on financial management.
- 2.1.4 **Expenses and hospitality** any expenses provided by a healthcare industry company beyond that reasonably required for accommodation, meals and travel to attend meetings and conferences (this includes both those which have been undertaken in the 12 months preceding the point at which the declaration is made and which are planned but have not taken place.
- 2.1.5 **Investments** any funds which include investments in the healthcare industry that are held in a portfolio over which individuals have the ability to instruct the fund manager as to the composition of the fund.
- 2.2 No personal interest exists in the case of:
- 2.2.1 assets over which individuals have no financial control (for example, wide portfolio unit trusts and occupational pension funds) and where the fund manager has full discretion as to its composition (for example, the Universities Superannuation Scheme)
- 2.2.2 accrued pension rights from earlier employment in the healthcare industry.

3 **Personal family interest**

- 3.1 This relates to the personal interests of a family member and involves a current payment to the family member of the Specialist Adviser. The interest may relate to the manufacturer or owner of a product or service being evaluated, in which case it is regarded as 'specific', or to the industry or sector from which the product or service comes, in which case it is regarded as 'non-specific'. The main examples include the following.
- 3.1.1 Any consultancy, directorship, position in or work for a healthcare industry that attracts regular or occasional payments in cash or in kind.
- 3.1.2 Any fee-paid work commissioned by a healthcare industry for which the member is paid in cash or in kind.
- 3.1.3 Any shareholdings, or other beneficial interests, in a healthcare industry which are either held by the family member or for which an individual covered by this Code has legal responsibility (for example, children, or adults whose full Power of Attorney is held by the individual).
- 3.1.4 Expenses and hospitality provided by a healthcare industry company (except where they are provided to a general class of people such as attendees at an open conference)
- 3.1.5 Funds which include investments in the healthcare industry that are held in a portfolio over which individuals have the ability to instruct the fund manager as to the composition of the fund.
- 3.2 No personal family interest exists in the case of:
- 3.2.1 assets over which individuals have no financial control (for example, wide portfolio unit trusts and occupational pension funds) and where the fund manager has full discretion as to its composition (for example, the Universities Superannuation Scheme)
- 3.2.2 accrued pension rights from earlier employment in the healthcare industry.

4 Personal non-pecuniary interests

These might include, but are not limited to:

- 4.1 a clear opinion, reached as the conclusion of a research project, about the clinical and/or cost effectiveness of an intervention under review
- 4.2 a public statement in which an individual covered by this Code has expressed a clear opinion about the matter under consideration, which could reasonably be interpreted as prejudicial to an objective interpretation of the evidence
- 4.3 holding office in a professional organisation or advocacy group with a direct interest in the matter under consideration
- 4.4 other reputational risks in relation to an intervention under review.

5 Non-personal interests

A non-personal interest involves payment that benefits a department or organisation for which a Specialist Advisor is responsible, but that is not received by the Specialist Advisor personally. This may either relate to the product or service being evaluated, in which case it is regarded as 'specific,' or to the manufacturer or owner of the product or service, but is unrelated to the matter under consideration, in which case it is regarded as 'non-specific'. The main examples are as follows.

- 5.1.1 **Fellowships** the holding of a fellowship endowed by the healthcare industry.
- 5.1.2 **Support by the healthcare industry or NICE** any payment, or other support by the healthcare industry or by NICE that does not convey any pecuniary or material benefit to a member personally but that does benefit his/her position or department. For example:
- a grant from a company for the running of a unit or department for which a Specialist Advisor is responsible
- a grant, fellowship or other payment to sponsor a post or member of staff in the unit for which a Specialist Adviser is responsible. This does not include financial assistance for students
- the commissioning of research or other work by, or advice from, staff who work in a unit for which the specialist advisor is responsible
- one or more contracts with, or grants from, NICE.
- 5.2 Specialist Advisers are under no obligation to seek out knowledge of work done for, or on behalf of, the healthcare industry within departments for which they are responsible if they would not normally expect to be informed.

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NATIONAL INSTITUTE FOR HEALTH AND CARE EXCELLENCE

Interventional Procedures Programme

Specialist Adviser questionnaire

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Please respond in the boxes pro	wided.	
Please complete and return to:	Sally.Jones@nice.org.uk or Hawra.Abugulal@nice.org.uk	
Procedure Name:	Extracorporeal shockwave therapy for refractory Achilles tendinopathy	
Name of Specialist Advisor:	Mr Anthony Perera	
Specialist Society:	British Orthopaedic Foot Surgery Society	
1 Do you have adequate kn	owledge of this procedure to provide advice?	
Yes.		
No - please return the for	m/answer no more questions.	
1.1 Does the title used above	e describe the procedure adequately?	
Yes.	and the state of t	
No. If no, please enter any	y other titles below,	
Comments:		
2 Your involvement in the		
2.1 is this procedure releva	nt to your specialty?	
Yes.		
☐ Is there any kind of inte	r-specialty controversy over the procedure?	

	No. If no, then answer no more questions, but please give any information you can about who is likely to be doing the procedure.
Comm	nents:
:9	
patier	ext 2 questions are about whether you carry out the procedure, or refernts for it. If you are in a specialty that normally carries out the procedure e answer question 2.2.1. If you are in a specialty that normally selects or a patients for the procedure, please answer question 2.2.2.
2.2.1	If you are in a specialty that does this procedure, please indicate your experience with it:
	I have never done this procedure.
	I have done this procedure at least once.
V	I do this procedure regularly.
Com	ments:
	to the control to another
2.9 7	If your specialty is involved in patient selection or referral to another specialty for this procedure, please indicate your experience with it.
	I have never taken part in the selection or referral of a patient for this procedure.
	I have taken part in patient selection or referred a patient for this procedure at least once.
	I take part in patient selection or refer patients for this procedure regularly.
Cor	mments:
	9
2.3	Please indicate your research experience relating to this procedure (please choose one or more if relevant):
	I have done bibliographic research on this procedure.
	I have done research on this procedure in laboratory settings (e.g. device-related research).
	I have done clinical research on this procedure involving patients or healthy volunteers.
	I have had no involvement in research on this procedure.

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	Other (please comment)
om	ments:
3	Status of the procedure
3.1	Which of the following best describes the procedure (choose one):
J /	Established practice and no longer new.
	A minor variation on an existing procedure, which is unlikely to alter the procedure's safety and efficacy.
	Definitely novel and of uncertain safety and efficacy.
	The first in a new class of procedure.
Con	nments:
3.2	What would be the comparator (standard practice) to this procedure?
9~	jutur of contributerord
Ph	ynisherry.
3.3	Please estimate the proportion of doctors in your specialty who are doing this procedure (choose one):
	More than 50% of specialists engaged in this area of work.
	10% to 50% of specialists engaged in this area of work.
	Fewer than 10% of specialists engaged in this area of work.
	Cannot give an estimate.
Co	omments:
4	Safety and efficacy
4.	
Pl	lease list adverse events and major risks (even if uncommon) and, if possible, stimate their incidence, as follows:
1	Adverse events reported in the literature (if possible please cite literature)

******* *** RX REPORT *** *******

INCOMPLETE RECEPTION

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RESULT

2. Anecdot	al adverse events (known from experience)
Nine	kunn.

Theoretical adverse events

Norma known though rephre is a risk there are 4.2 What are the key efficacy outcomes for this procedure?

Pain Relief - Visa A I Visa B.

4.3 Are there uncertainties or concerns about the efficacy of this procedure?

I do not believe that it works for interhinal accules interactive also does not deminstrate this tendenipathy. The

4.4 What training and facilities are needed to do this procedure safely?

ampany provided training - I session on how to use the machine

- 4.5 Are there any major trials or registries of this procedure currently in progress? If so, please list.
- Are you aware of any abstracts that have been recently presented! published on this procedure that may not be listed in a standard literature search, for example PUBMED? (This can include your own work). If yes, Please note that NICE will do a literature search: we are only asking you please list. for any very recent or potentially obscure abstracts and papers. Please do not feel the need to supply a comprehensive reference list (but you may list any that you think are particularly important if you wish).
- is there controversy, or important uncertainty, about any aspect of the way in which this procedure is currently being done or disseminated?

I do not believe that it works for inserhound actually tendenopathy and this condution showhere is very particle

Please suggest a minimum dataset of criteria by which this procedure could be audited.

VAP. VWG. A 13. MOXFO

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i.1 Outcome measures of benefit (including commonly used clinical butcomes, both short and long - term; and quality-of-life measures):
VAS. VUCALB MOKFQ.
5.2 Adverse outcomes (including potential early and late complications):
Ruptive
Rupture Pain Trajectory of the procedure
6.1 In your opinion, how quickly do you think use of this procedure will spread?
Showing amongst during but more papidly with
Showing amongst whose but more papidly with physiotherpus b / podietrists. 6.2 This procedure, if safe and efficacious, is likely to be carried out in (choose one):
Most or all district general hospitals.
A minority of hospitals, but at least 10 in the UK.
Fewer than 10 specialist centres in the UK.
Cannot predict at present.
Comments:
6.3 The potential impact of this procedure on the NHS, in terms of numbers of patients eligible for treatment and use of resources, is:
Major.
Moderate.
Minor.
Comments:
7 Other Information
7.1 Is there any other information about this procedure that might assist NICE in assessing the possible need to investigate its use?

8 Data protection and conflicts of interest

8. Data protection, freedom of information and conflicts of interest

8.1 Data Protection

The information you submit on this form will be retained and used by the NICE and its advisers for the purpose of developing its guidance and may be passed to other approved third parties. Your name and specialist society will be published in NICE publications and on the NICE website. The specialist advice questionnaire will be published in accordance with our guidance development processes and a copy will be sent to the nominating Specialist Society. Please avoid identifying any individual in your comments.

I have read and understood this statement and accept that personal information sent to us will be retained and used for the purposes and in the manner specified above and in accordance with the Data Protection Act 1998.

8.2 Declarations of interest by Specialist Advisers advising the NICE Interventional Procedures Advisory Committee

Nothing in your submission shall restrict any disclosure of information by NICE that is required by law (including in particular, but without limitation, the Freedom of Information Act 2000).

Please submit a conflicts of interest declaration form _listing any potential conflicts of interest including any involvement you may have in disputes or complaints relating to this procedure.

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Do you or a member of your family have a **personal pecuniary** interest? The main examples are as follows:

Consultancles or directorships attracting regular or occasional	☐ YES
payments in cash or kind	□ /NO
Fee-paid work – any work commissioned by the healthcare industry – this includes income earned in the course of private practice	
of the healthcare industry	NO

¹ 'Family members' refers to a spouse or partner living in the same residence as the member or employee, children for whom the member or employee is legally responsible, and adults for whom the member or employee is legally responsible (for example, an adult whose full power of attorney is held by the individual).

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xpenses and hospitality – any expenses produstry company beyond those reasonably repeals and travel to attend meetings and conf	edanca in anatum	☐ YES NO
nvestments – any funds that include investi ndustry		YES NO
Do you have a personal non-pecuniary into made a public statement about the topic or coprofessional organisation or advocacy group topic?	with a direct interest in the	☐ YES
Do you have a non-personal interest? The	main examples are as follows:	
Fellowships endowed by the healthcare inc	dustry	☐ YES
Support by the healthcare industry or Nicposition or department, eg grants, sponsors	CE that benefits his/her hip of posts	YES NO
If you have answered YES to any of the anature of the conflict(s) below.	above statements, please de	scribe the
Comments:		
Thank you very much for your help.		
Professor Bruce Campbell, Chairman, Interventional Procedures Advisory Committee	Professor Carole Longson, Centre for Health Technolo Evaluation.	Director, gy
April 2014	Alone	na 116.

Conflicts of Interest for Specialist Advisers

- 1 Declarations of Interest by Specialist Advisers advising the NICE Interventional Procedures Advisory Committee
- 1.1 Any conflicts of interest set out below should be declared on the questionnaire the Specialist Adviser completes for the procedure.
- 1.2 Specialist Advisers should seek advice if required from the Associate Director Interventional Procedures.
- 2 Personal pecuniary interests

2016-01-25 00:39 MR.PERERA.FAX

- 2.1 A personal pecuniary interest involves a current personal payment to a Specialist Adviser, which may either relate to the manufacturer or owner of a product or service being evaluated, in which case it is regarded as 'specific' or to the industry or sector from which the product or service comes, in which case it is regarded as 'non-specific'. The main examples are as follows.
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- 2.2 No personal interest exists in the case of:
- 2.2.1 assets over which individuals have no financial control (for example, wide portfolio unit trusts and occupational pension funds) and where the fund manager has full discretion as to its composition (for example, the Universities Superannuation Scheme)
- 2.2.2 accrued pension rights from earlier employment in the healthcare industry.

P 10/11

Personal family interest 3

- This relates to the personal interests of a family member and involves a current payment to the family member of the Specialist Adviser. The interest 3.1 may relate to the manufacturer or owner of a product or service being evaluated, in which case it is regarded as 'specific', or to the industry or sector from which the product or service comes, in which case it is regarded as 'non-specific'. The main examples include the following.
- Any consultancy, directorship, position in or work for a healthcare industry that attracts regular or occasional payments in cash or in kind.
- 3.1.2 Any fee-paid work commissioned by a healthcare industry for which the member is paid in cash or in kind.
- 3.1.3 Any shareholdings, or other beneficial interests, in a healthcare industry which are either held by the family member or for which an individual covered by this Code has legal responsibility (for example, children, or adults whose full Power of Attorney is held by the individual).
- Expenses and hospitality provided by a healthcare industry company (except where they are provided to a general class of people such as attendees at an open conference)
- Funds which include investments in the healthcare industry that are held in a portfolio over which individuals have the ability to instruct the fund manager as to the composition of the fund.
- No personal family interest exists in the case of: 3.2
- assets over which individuals have no financial control (for example, wide portfolio unit trusts and occupational pension funds) and where the fund 3.2.1 manager has full discretion as to its composition (for example, the Universities Superannuation Scheme)
- 3.2.2 accrued pension rights from earlier employment in the healthcare industry.

Personal non-pacuniary interests

These might include, but are not limited to:

- a clear opinion, reached as the conclusion of a research project, about the clinical and/or cost effectiveness of an intervention under review 4.1
- a public statement in which an individual covered by this Code has expressed a clear opinion about the matter under consideration, which could reasonably 4.2 be interpreted as prejudicial to an objective interpretation of the evidence
- holding office in a professional organisation or advocacy group with a direct 4.3 interest in the matter under consideration
- other reputational risks in relation to an intervention under review. 4.4

Non-personal interests 5

A non-personal interest involves payment that benefits a department or organisation for which a Specialist Advisor is responsible, but that is not 5.1 received by the Specialist Advisor personally. This may either relate to the product or service being evaluated, in which case it is regarded as 'specific,' or to the manufacturer or owner of the product or service, but is unrelated to the matter under consideration, in which case it is regarded as 'nonspecific'. The main examples are as follows.

P 11/11

Fellowships - the holding of a fellowship endowed by the healthcare 5.1.1 industry.

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- Support by the healthcare industry or NICE any payment, or other support by the healthcare industry or by NICE that does not convey any 5.1.2 pecuniary or material benefit to a member personally but that does benefit his/her position or department. For example:
- a grant from a company for the running of a unit or department for which a Specialist Advisor is responsible
- a grant, fellowship or other payment to sponsor a post or member of staff in the unit for which a Specialist Adviser is responsible. This does not include financial assistance for students
- the commissioning of research or other work by, or advice from, staff who work in a unit for which the specialist advisor is responsible
- one or more contracts with, or grants from, NICE.
- Specialist Advisers are under no obligation to seek out knowledge of work done for, or on behalf of, the healthcare industry within departments for which 5.2 they are responsible if they would not normally expect to be informed.

************ *** RX REPORT *** *********

RECEPTION OK

TX/RX NO 6248

DESTINATION TEL # DESTINATION ID

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NATIONAL INSTITUTE FOR HEALTH AND CARE EXCELLENCE

Interventional Procedures Programme

Specialist Adviser questionnaire

Before completing this questionnaire, please read <u>Conflicts of Interest for Specialist Advisers</u>. Certain conflicts exclude you from offering advice, however, please return the questionnaire to us incomplete for our records.

Please respond in the boxes pro	ovided.
Please complete and return to:	Sally.Jones@nice.or.uk or Hawra.Abugulal@nice.org.uk
Procedure Name:	Extracorporeal shockwave therapy for refractory Achilles tendinopathy (IP719/2)
Name of Specialist Advisor:	Dr Silver
Specialist Society:	BSSR
Y☐ Yes. ☐ No – please return the form	n/answer no more questions. describe the procedure adequately? other titles below.
2 Your involvement in the pr	ocedure
2.1 Is this procedure relevant	to your specialty?
Y□ Yes.	
☐ Is there any kind of inter-sp	pecialty controversy over the procedure?

	No. If no, then answer no more questions, but please give any information you can about who is likely to be doing the procedure.
Comr	nents:
patier pleas	ext 2 questions are about whether you carry out the procedure, or referents for it. If you are in a specialty that normally carries out the procedure e answer question 2.2.1. If you are in a specialty that normally selects or a patients for the procedure, please answer question 2.2.2.
2.2.1	If you are in a specialty that does this procedure, please indicate your experience with it:
	I have never done this procedure.
	I have done this procedure at least once.
Y	I do this procedure regularly.
Comr	nents:
2.2.2	If your specialty is involved in patient selection or referral to another specialty for this procedure, please indicate your experience with it.
	I have never taken part in the selection or referral of a patient for this procedure.
	I have taken part in patient selection or referred a patient for this procedure at least once.
Υ□	I take part in patient selection or refer patients for this procedure regularly.
Comr	nents:
	Please indicate your research experience relating to this procedure (please choose one or more if relevant):
	I have done bibliographic research on this procedure.
	I have done research on this procedure in laboratory settings (e.g. device-related research).
ΥΠ	
	I have done clinical research on this procedure involving patients or healthy volunteers.

	Other (please comment)
Com	ments:
3	Status of the procedure
3.1	Which of the following best describes the procedure (choose one):
Y _	Established practice and no longer new.
	A minor variation on an existing procedure, which is unlikely to alter the procedure's safety and efficacy.
	Definitely novel and of uncertain safety and efficacy.
	The first in a new class of procedure.
Com	ments:
3.2	What would be the comparator (standard practice) to this procedure?
NON	E
3.3	Please estimate the proportion of doctors in your specialty who are doing this procedure (choose one):
	More than 50% of specialists engaged in this area of work.
	10% to 50% of specialists engaged in this area of work.
Υ□	Fewer than 10% of specialists engaged in this area of work.
	Cannot give an estimate.
Com	ments:
MOS	TLY FOOT AND ANKLE ORTHODS FOR THIS INDICATION?
4	Safety and efficacy
4.1	What is the potential harm of the procedure?
	se list adverse events and major risks (even if uncommon) and, if possible,

1. Adverse events reported in the literature (if possible please cite literature)

LOCAL BRUISING, TENDON RUPTURE BUT VERY VERY RARE

2. Anecdotal adverse events (known from experience) NIL SIGNIFICANT

3. Theoretical adverse events

BRUISING

4.2 What are the key efficacy outcomes for this procedure?

PAIN RELIEF IN GREATER THAT 70%

4.3 Are there uncertainties or concerns about the *efficacy* of this procedure? If so, what are they?

NOT FROM MY EXPERIENCE

4.4 What training and facilities are needed to do this procedure safely?

TRAINING IN CLINICAL DIAGNOSIS, USE OF IMAGING (ULTRASOUND) AND USE OF SHOCKWAVE MACHINE

4.5 Are there any major trials or registries of this procedure currently in progress? If so, please list.

ASSERT 1/2

4.6 Are you aware of any abstracts that have been *recently* presented/ published on this procedure that may not be listed in a standard literature search, for example PUBMED? (This can include your own work). If yes, please list.

Please note that NICE will do a literature search: we are only asking you for any very recent or potentially obscure abstracts and papers. Please do not feel the need to supply a comprehensive reference list (but you may list any that you think are particularly important if you wish).

ATTACHED

4.7 Is there controversy, or important uncertainty, about any aspect of the way in which this procedure is currently being done or disseminated?

THERE WAS PREVIOUS CONTROVERSY BUT THIS WAS DUE TO THE PREVIOUS NICE guidance which failed to separate radial from non-radial devices

5 Audit Criteria

Please audite	e suggest a minimum dataset of criteria by which this procedure could be
5.1 outco	Outcome measures of benefit (including commonly used clinical mes, both short and long - term; and quality-of-life measures):
pain r	elief and functional scores
5.2	Adverse outcomes (including potential early and late complications):
6	Trajectory of the procedure
6.1 spread	In your opinion, how quickly do you think use of this procedure will d?
has sig	gnificantly increased in last 5 years
6.2 (choos	This procedure, if safe and efficacious, is likely to be carried out in se one):
y□	Most or all district general hospitals.
	A minority of hospitals, but at least 10 in the UK.
	Fewer than 10 specialist centres in the UK.
	Cannot predict at present.
Comm	nents:
	LARITY HAS BEEN DRIVEN IN LAST 5 YRS BY COMMISSIONING IN NHS PMI REIMBURSEMENT
6.3 of pati	The potential impact of this procedure on the NHS, in terms of numbers ients eligible for treatment and use of resources, is:
	Major.
Υ	Moderate.
	Minor.
Comm	nents:
7	Other information

5

7.1 Is there any other information about this procedure that might assist NICE in assessing the possible need to investigate its use?

NOTE THAT MOST PUBLISHED SERIES SUGGEST PAIN RELIEF IS AFTER 3 MONTHS RATHER THAN 6 WKS FOR OTHER CLINICAL INDICATIONS. THE CURRENT NICE PATIENT QUESTIONNAIRE OVERLOOKS THIS

8 Data protection and conflicts of interest

8. Data protection, freedom of information and conflicts of interest

8.1 Data Protection

The information you submit on this form will be retained and used by the NICE and its advisers for the purpose of developing its guidance and may be passed to other approved third parties. Your name and specialist society will be published in NICE publications and on the NICE website. The specialist advice questionnaire will be published in accordance with our guidance development processes and a copy will be sent to the nominating Specialist Society. Please avoid identifying any individual in your comments.

I have read and understood this statement and accept that personal information sent to us will be retained and used for the purposes and in the manner specified above and in accordance with the Data Protection Act 1998.

8.2 Declarations of interest by Specialist Advisers advising the NICE Interventional Procedures Advisory Committee

Nothing in your submission shall restrict any disclosure of information by NICE that is required by law (including in particular, but without limitation, the Freedom of Information Act 2000).

Please submit a conflicts of interest declaration form _listing any potential conflicts of interest including any involvement you may have in disputes or complaints relating to this procedure.

Please use the "Conflicts of Interest for Specialist Advisers" policy (attached) as a guide when declaring any conflicts of interest. Specialist Advisers should seek advice if needed from the Associate Director – Interventional Procedures.

Do you or a member of your family¹ have a **personal pecuniary** interest? The main examples are as follows:

Consultancies or directorships attracting regular or occasional	
payments in cash or kind	□ NO

¹ 'Family members' refers to a spouse or partner living in the same residence as the member or employee, children for whom the member or employee is legally responsible, and adults for whom the member or employee is legally responsible (for example, an adult whose full power of attorney is held by the individual).

		N	
Fee-paid work – any work commissioned this includes income earned in the course		Y	YES
Shareholdings – any shareholding, or other of the healthcare industry	er beneficial interest, in shares		NO
Expenses and hospitality – any expenses industry company beyond those reasonably meals and travel to attend meetings and co	required for accommodation,		YES
means and traver to attend meetings and co	inerences		NO
Investments – any funds that include inves	stments in the healthcare		
industry			NO
Do you have a personal non-pecuniary interest – for example have you made a public statement about the topic or do you hold an office in a			YES
professional organisation or advocacy groutopic?	p with a direct interest in the		NO
Do you have a non-personal interest? The	e main examples are as follows:		
Fellowships endowed by the healthcare in	dustry		
			NO
Support by the healthcare industry or NICE that benefits his/her			
position or department, eg grants, sponsorship of posts		П	NO
If you have answered YES to any of the above statements, please describe the nature of the conflict(s) below.			
Comments: PRESIDENT ELECT OF BSSR. HAVE LECTURED FOR INDUSTRY SPONSORED EVENTS. OWN MY OWN MACHINE Thank you very much for your help.			
Professor Bruce Campbell, Chairman, Interventional Procedures Advisory Committee	Professor Carole Longson, D Centre for Health Technology Evaluation.		or,
April 2014			

Conflicts of Interest for Specialist Advisers

- 1 Declarations of interest by Specialist Advisers advising the NICE Interventional Procedures Advisory Committee
- 1.1 Any conflicts of interest set out below should be declared on the questionnaire the Specialist Adviser completes for the procedure.
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2 Personal pecuniary interests

- 2.1 A personal pecuniary interest involves a current personal payment to a Specialist Adviser, which may either relate to the manufacturer or owner of a product or service being evaluated, in which case it is regarded as 'specific' or to the industry or sector from which the product or service comes, in which case it is regarded as 'non-specific'. The main examples are as follows.
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- 2.1.3 **Shareholdings** any shareholding, or other beneficial interest, in shares of the healthcare industry that are either held by the individual or for which the individual has legal responsibility (for example, children, or relatives whose full Power of Attorney is held by the individual). This does not include shareholdings through unit trusts, pensions funds, or other similar arrangements where the member has no influence on financial management.
- 2.1.4 **Expenses and hospitality** any expenses provided by a healthcare industry company beyond that reasonably required for accommodation, meals and travel to attend meetings and conferences (this includes both those which have been undertaken in the 12 months preceding the point at which the declaration is made and which are planned but have not taken place.
- 2.1.5 **Investments** any funds which include investments in the healthcare industry that are held in a portfolio over which individuals have the ability to instruct the fund manager as to the composition of the fund.
- 2.2 No personal interest exists in the case of:
- 2.2.1 assets over which individuals have no financial control (for example, wide portfolio unit trusts and occupational pension funds) and where the fund manager has full discretion as to its composition (for example, the Universities Superannuation Scheme)
- 2.2.2 accrued pension rights from earlier employment in the healthcare industry.

3 **Personal family interest**

- 3.1 This relates to the personal interests of a family member and involves a current payment to the family member of the Specialist Adviser. The interest may relate to the manufacturer or owner of a product or service being evaluated, in which case it is regarded as 'specific', or to the industry or sector from which the product or service comes, in which case it is regarded as 'non-specific'. The main examples include the following.
- 3.1.1 Any consultancy, directorship, position in or work for a healthcare industry that attracts regular or occasional payments in cash or in kind.
- 3.1.2 Any fee-paid work commissioned by a healthcare industry for which the member is paid in cash or in kind.
- 3.1.3 Any shareholdings, or other beneficial interests, in a healthcare industry which are either held by the family member or for which an individual covered by this Code has legal responsibility (for example, children, or adults whose full Power of Attorney is held by the individual).
- 3.1.4 Expenses and hospitality provided by a healthcare industry company (except where they are provided to a general class of people such as attendees at an open conference)
- 3.1.5 Funds which include investments in the healthcare industry that are held in a portfolio over which individuals have the ability to instruct the fund manager as to the composition of the fund.
- 3.2 No personal family interest exists in the case of:
- 3.2.1 assets over which individuals have no financial control (for example, wide portfolio unit trusts and occupational pension funds) and where the fund manager has full discretion as to its composition (for example, the Universities Superannuation Scheme)
- 3.2.2 accrued pension rights from earlier employment in the healthcare industry.

4 Personal non-pecuniary interests

These might include, but are not limited to:

- 4.1 a clear opinion, reached as the conclusion of a research project, about the clinical and/or cost effectiveness of an intervention under review
- 4.2 a public statement in which an individual covered by this Code has expressed a clear opinion about the matter under consideration, which could reasonably be interpreted as prejudicial to an objective interpretation of the evidence
- 4.3 holding office in a professional organisation or advocacy group with a direct interest in the matter under consideration
- 4.4 other reputational risks in relation to an intervention under review.

5 Non-personal interests

A non-personal interest involves payment that benefits a department or organisation for which a Specialist Advisor is responsible, but that is not received by the Specialist Advisor personally. This may either relate to the product or service being evaluated, in which case it is regarded as 'specific,' or to the manufacturer or owner of the product or service, but is unrelated to the matter under consideration, in which case it is regarded as 'non-specific'. The main examples are as follows.

- 5.1.1 **Fellowships** the holding of a fellowship endowed by the healthcare industry.
- 5.1.2 **Support by the healthcare industry or NICE** any payment, or other support by the healthcare industry or by NICE that does not convey any pecuniary or material benefit to a member personally but that does benefit his/her position or department. For example:
- a grant from a company for the running of a unit or department for which a Specialist Advisor is responsible
- a grant, fellowship or other payment to sponsor a post or member of staff in the unit for which a Specialist Adviser is responsible. This does not include financial assistance for students
- the commissioning of research or other work by, or advice from, staff who work in a unit for which the specialist advisor is responsible
- one or more contracts with, or grants from, NICE.
- 5.2 Specialist Advisers are under no obligation to seek out knowledge of work done for, or on behalf of, the healthcare industry within departments for which they are responsible if they would not normally expect to be informed.

NATIONAL INSTITUTE FOR HEALTH AND CARE EXCELLENCE

Interventional Procedures Programme

Specialist Adviser questionnaire

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Please respond in the boxes provided.		
Plea	se complete and return to:	Sally.Jones@nice.or.uk or Hawra.Abugulal@nice.org.uk
Prod	edure Name:	Extracorporeal shockwave therapy for refractory Achilles tendinopathy
Nam	e of Specialist Advisor:	Mr Matthew Solan
Spe	cialist Society:	British Orthopaedic Foot Surgery Society
1	Do you have adequate know	vledge of this procedure to provide advice?
\boxtimes	Yes.	
	No – please return the form	answer no more questions.
1.1	Does the title used above de	escribe the procedure adequately?
	Yes.	
\boxtimes	No. If no, please enter any ot	her titles below.
Com	nments:	
Title	should specify whether MAIN I	BODY of tendon or the INSERTION
2	Your involvement in the pro	ocedure
2.1	Is this procedure relevant to	o your specialty?
\boxtimes	Yes.	
	Is there any kind of inter-spe	ecialty controversy over the procedure?

	No. If no, then answer no more questions, but please give any information you can about who is likely to be doing the procedure.
Com	ments:
	procedure can be done by other specialists - but only after FULL ASSESSMENT fter SIMPLER FIRST LINE Therapy has failed
patie pleas	next 2 questions are about whether you carry out the procedure, or referents for it. If you are in a specialty that normally carries out the procedure see answer question 2.2.1. If you are in a specialty that normally selects or spatients for the procedure, please answer question 2.2.2.
2.2.1	If you are in a specialty that does this procedure, please indicate your experience with it:
	I have never done this procedure.
	I have done this procedure at least once.
	I do this procedure regularly.
Com	ments:
not ge	reatment is useful but reserved STRICTLY for the (minority) of patients who do et better with proper physio stretching regimens. Many patients "fail" because do not stretch properly or often enough
2.2.2	If your specialty is involved in patient selection or referral to another specialty for this procedure, please indicate your experience with it.
	I have never taken part in the selection or referral of a patient for this procedure.
	I have taken part in patient selection or referred a patient for this procedure at least once.
\boxtimes	I take part in patient selection or refer patients for this procedure regularly.
Com	ments:
2.3	Please indicate your research experience relating to this procedure (please choose one or more if relevant):
\boxtimes	I have done bibliographic research on this procedure.
\boxtimes	I have done research on this procedure in laboratory settings (e.g. device-related research).

4.1	What is the potential harm of the procedure?
4	Safety and efficacy
Com	ments:
	Cannot give an estimate.
	Fewer than 10% of specialists engaged in this area of work.
	10% to 50% of specialists engaged in this area of work.
	More than 50% of specialists engaged in this area of work.
3.3	Please estimate the proportion of doctors in your specialty who are doing this procedure (choose one):
Injec	tions (high volume or prolotherapy) or surgery
3.2	What would be the comparator (standard practice) to this procedure?
Com	ments:
	The first in a new class of procedure.
	Definitely novel and of uncertain safety and efficacy.
	A minor variation on an existing procedure, which is unlikely to alter the procedure's safety and efficacy.
\boxtimes	Established practice and no longer new.
3.1	Which of the following best describes the procedure (choose one):
3	Status of the procedure
	a specialist clinic for refractory Heel Pain that includes a lot of Achilles tendon lems of the MAIN BODY and the INSERTION
Com	ments:
	Other (please comment)
	I have had no involvement in research on this procedure.
	I have done clinical research on this procedure involving patients or healthy volunteers.

Please list adverse events and major risks (even if uncommon) and, if possible, estimate their incidence, as follows:

- 1. Adverse events reported in the literature (if possible please cite literature) discomfort, red skin, bruising, FAILURE TO RESPOND
- 2. Anecdotal adverse events (known from experience) Failure to respond (approx 30%)
- Theoretical adverse eventsTendon rupture

4.2 What are the key efficacy outcomes for this procedure?

Reduced pain, improved function

4.3 Are there uncertainties or concerns about the *efficacy* of this procedure? If so, what are they?

Uncertainties. Again the results depend upon which part of the TENDON (Main Body vs Insertion to heel bone) is considered. These 2 are very different conditions and I recommend that the Guidance is dealt with in 2 parts to distinguish them.

4.4 What training and facilities are needed to do this procedure safely?

Training can be achieved in one day, but patient selection and decision to treat is more complex. There is a concern that shockwave will be used indiscriminantly as a "miracle" for patients who would reover with diligent use of physio stretching regimens.

4.5 Are there any major trials or registries of this procedure currently in progress? If so, please list.

The ASSERT protocol, which I contribute to, run by Professor Maffuli

4.6 Are you aware of any abstracts that have been *recently* presented/ published on this procedure that may not be listed in a standard literature search, for example PUBMED? (This can include your own work). If yes, please list.

Please note that NICE will do a literature search: we are only asking you for any very recent or potentially obscure abstracts and papers. Please do not feel the need to supply a comprehensive reference list (but you may list any that you think are particularly important if you wish).

ASSERT is not yet reported. Good outcomes for both tyes of Achilles problem are anticipated from preliminary results. ASSERT 2 in progress

4.7 Is there controversy, or important uncertainty, about any aspect of the way in which this procedure is currently being done or disseminated?

Selection. Allowing indiscriminant use of this treatment will be expensive and strict rules should be applied re completing PROPER PHYSIO exercises first.

5 Audit Criteria

Please suggest a minimum dataset of criteria by which this procedure could be audited.

5.1 Outcome measures of benefit (including commonly used clinical outcomes, both short and long - term; and quality-of-life measures):

Pain score; general QUAL score eg SF-12, EQ5D; specific Foot and Ankle Score eg MOX-FQ; Specific Achilles tendon score eg VISA-A. NB need to distinguish MAIN BODY achilles problems vs INSERTIONAL type. They are very different.

5.2 Adverse outcomes (including potential early and late complications):

Failure to improve; Rupture of tendon

6 Trajectory of the procedure

6.2

6.1 In your opinion, how quickly do you think use of this procedure will spread?

Too fast if the indications are not managed carefully. Most patients recover without this treatment

This procedure, if safe and efficacious, is likely to be carried out in

(choo	se one):
\boxtimes	Most or all district general hospitals.
	A minority of hospitals, but at least 10 in the UK.
	Fewer than 10 specialist centres in the UK.
	Cannot predict at present.
Comm	nents:
see ne	ext comment
6.3 of pat	The potential impact of this procedure on the NHS, in terms of numbers ients eligible for treatment and use of resources, is:
	Major.

	Moderate.				
\boxtimes	Minor.				
MINO	ments: PR if used sensibly, but potentially wastefully if used for "all-comers" in a non-tive way. There is a real danger of this				
7	Other information				
7.1 NICE	Is there any other information about this procedure that might assist in assessing the possible need to investigate its use?				
No					
8	Data protection and conflicts of interest				
8. Da	ta protection, freedom of information and conflicts of interest				
8.1 D	ata Protection				
The information you submit on this form will be retained and used by the NICE and its advisers for the purpose of developing its guidance and may be passed to other approved third parties. Your name and specialist society will be published in NICE publications and on the NICE website. The specialist advice questionnaire will be published in accordance with our guidance development processes and a copy will be sent to the nominating Specialist Society. Please avoid identifying any individual in your comments.					
▽ Ir	nave read and understood this statement and accept that personal information				
	sent to us will be retained and used for the purposes and in the manner specified				
	e and in accordance with the Data Protection Act 1998.				
8.2	Declarations of interest by Specialist Advisers advising the NICE Interventional Procedures Advisory Committee				
requir	ng in your submission shall restrict any disclosure of information by NICE that is red by law (including in particular, but without limitation, the Freedom of nation Act 2000).				
intere	e submit a conflicts of interest declaration form _listing any potential conflicts of st including any involvement you may have in disputes or complaints relating to rocedure.				
guide	e use the "Conflicts of Interest for Specialist Advisers" policy (attached) as a when declaring any conflicts of interest. Specialist Advisers should seek a if needed from the Associate Director – Interventional Procedures.				

Do you or a member of your family ¹ have a examples are as follows:	personal pecuniary interest?	The r	main
Consultancies or directorships attracting payments in cash or kind	regular or occasional		YES NO
Fee-paid work – any work commissioned by this includes income earned in the cours	-		YES NO
Shareholdings – any shareholding, or other of the healthcare industry	er beneficial interest, in shares		YES NO
Expenses and hospitality – any expenses industry company beyond those reasonably meals and travel to attend meetings and co	required for accommodation,		YES NO
Investments – any funds that include investindustry	tments in the healthcare		YES NO
Do you have a personal non-pecuniary in made a public statement about the topic or professional organisation or advocacy grou topic?	do you hold an office in a		YES NO
Do you have a non-personal interest? The	main examples are as follows:		
Fellowships endowed by the healthcare in	dustry		YES NO
Support by the healthcare industry or NI position or department, eg grants, sponsors			YES NO
If you have answered YES to any of the a nature of the conflict(s) below.	above statements, please des	cribe	the
Comments: NHS and Private Heel Pain Clinic, treating training Fellowship for post CCT Trainee. F and Ankle Surgery. Lectures advising on Tr Thank you very much for your help.	unds to support Training Course	es for	Foot
Professor Bruce Campbell, Chairman, Interventional Procedures Advisory Committee	Professor Carole Longson, E Centre for Health Technology Evaluation.		or,
April 2014			

¹ 'Family members' refers to a spouse or partner living in the same residence as the member or employee, children for whom the member or employee is legally responsible, and adults for whom the member or employee is legally responsible (for example, an adult whose full power of attorney is held by the individual).

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- 5.1.2 **Support by the healthcare industry or NICE** any payment, or other support by the healthcare industry or by NICE that does not convey any pecuniary or material benefit to a member personally but that does benefit his/her position or department. For example:
- a grant from a company for the running of a unit or department for which a Specialist Advisor is responsible
- a grant, fellowship or other payment to sponsor a post or member of staff in the unit for which a Specialist Adviser is responsible. This does not include financial assistance for students
- the commissioning of research or other work by, or advice from, staff who work in a unit for which the specialist advisor is responsible
- one or more contracts with, or grants from, NICE.
- 5.2 Specialist Advisers are under no obligation to seek out knowledge of work done for, or on behalf of, the healthcare industry within departments for which they are responsible if they would not normally expect to be informed.

NATIONAL INSTITUTE FOR HEALTH AND CARE EXCELLENCE

Interventional Procedures Programme

Specialist Adviser questionnaire

Before completing this questionnaire, please read <u>Conflicts of Interest for Specialist Advisers</u>. Certain conflicts exclude you from offering advice, however, please return the questionnaire to us incomplete for our records.

Plea	Please respond in the boxes provided.			
Please complete and return to:		Sally.Jones@nice.or.uk or Hawra.Abugulal@nice.org.uk		
Prod	cedure Name:	Extracorporeal shockwave therapy for refractory Achilles tendinopathy		
Nam	ne of Specialist Advisor:	Dr Richard Graham		
Spe	cialist Society:	British Society of Skeletal Radiologists		
1	Do you have adequate know	vledge of this procedure to provide advice?		
X	Yes.			
	No – please return the form	answer no more questions.		
1.1 Does the title used above describe the procedure adequately?				
X	Yes.			
	No. If no, please enter any of	her titles below.		
Com	nments:			
2	Your involvement in the pro	ocedure		
2.1	Is this procedure relevant to	o your specialty?		
X	Yes.			
	Is there any kind of inter-spe	ecialty controversy over the procedure?		

	No. If no, then answer no more questions, but please give any information you can about who is likely to be doing the procedure.
Comr	nents:
patier pleas	ext 2 questions are about whether you carry out the procedure, or referents for it. If you are in a specialty that normally carries out the procedure e answer question 2.2.1. If you are in a specialty that normally selects or a patients for the procedure, please answer question 2.2.2.
2.2.1	If you are in a specialty that does this procedure, please indicate your experience with it:
X	I have never done this procedure.
	I have done this procedure at least once.
	I do this procedure regularly.
	nents:
	orm an alternative technique for this condition regularly called "high volume es stripping".
2.2.2	If your specialty is involved in patient selection or referral to another specialty for this procedure, please indicate your experience with it.
X	I have never taken part in the selection or referral of a patient for this procedure.
	I have taken part in patient selection or referred a patient for this procedure at least once.
	I take part in patient selection or refer patients for this procedure regularly.
Comr	nents:
	Please indicate your research experience relating to this procedure (please choose one or more if relevant):
	I have done bibliographic research on this procedure.
	I have done research on this procedure in laboratory settings (e.g. device-related research).
	I have done clinical research on this procedure involving patients or healthy volunteers.

Х	I have had no involvement in research on this procedure.
	Other (please comment)
Com	nments:
3	Status of the procedure
3.1	Which of the following best describes the procedure (choose one):
	Established practice and no longer new.
	A minor variation on an existing procedure, which is unlikely to alter the procedure's safety and efficacy.
X	Definitely novel and of uncertain safety and efficacy.
	The first in a new class of procedure.
Com	nments:
3.2	What would be the comparator (standard practice) to this procedure?
	High volume Achilles Stripping
3.3	Please estimate the proportion of doctors in your specialty who are doing this procedure (choose one):
	More than 50% of specialists engaged in this area of work.
X	10% to 50% of specialists engaged in this area of work.
	Fewer than 10% of specialists engaged in this area of work.
	Cannot give an estimate.
Com	nments:
4	Safety and efficacy
4.1	What is the potential harm of the procedure?
	se list adverse events and major risks (even if uncommon) and, if possible, nate their incidence, as follows:

1. Adverse events reported in the literature (if possible please cite literature)

Skin trauma and bruising

Tendon rupture (rare)

- 2. Anecdotal adverse events (known from experience)
- 3. Theoretical adverse events

4.2 What are the key efficacy outcomes for this procedure?

Reduction in pain at rest and during activity. Increase in function e.g distance can walk/run

4.3 Are there uncertainties or concerns about the *efficacy* of this procedure? If so, what are they?

It is becoming accepted practice. It is thought to work better in non-insertional that insertional Achilles tedinopathy

4.4 What training and facilities are needed to do this procedure safely?

A extracorporeal shockwave therapy machine, a couch and a room. Minimal training < 1 day

4.5 Are there any major trials or registries of this procedure currently in progress? If so, please list.

Not that I am aware of

4.6 Are you aware of any abstracts that have been *recently* presented/ published on this procedure that may not be listed in a standard literature search, for example PUBMED? (This can include your own work). If yes, please list.

Please note that NICE will do a literature search: we are only asking you for any very recent or potentially obscure abstracts and papers. Please do not feel the need to supply a comprehensive reference list (but you may list any that you think are particularly important if you wish).

No

4.7 Is there controversy, or important uncertainty, about any aspect of the way in which this procedure is currently being done or disseminated?

No

5	Δ	п	d	iŧ	C	rite	ria
J .	_	v	u	II.	•	ILC	па

Please suggest a minimum dataset of criteria by which this procedure could be audited.

5.1 Outcome measures of benefit (including commonly used clinical outcomes, both short and long - term; and quality-of-life measures):

VAS pain score at 2 weeks both at rest and during exercise

Simple functional questions at 2 weeks:

- 1. Do your Achilles tendon symptoms limit your activity (Y/N)?
- 2. How far can you walk/run symptom free? (measure also prior to the procedure)
- 3. Have your symptoms improved/stayed the same/worsened?

A more thorough assessment can be made with the VISA-A questionnaire:

http://bjsm.bmj.com/content/suppl/2001/11/09/35.5.335.DC1/01055_Fig_1_data_supplement.pdf

5.2 Adverse outcomes (including potential early and late complications):

Tendon rupture, bruising, worsening of symptoms

- 6 Trajectory of the procedure
- 6.1 In your opinion, how quickly do you think use of this procedure will spread?

There are no great treatments for refractory Achilles tendinopathy and since this treatment has low risks and the equipment needed to perform it is relatively inexpensive I think it will become common practice soon.

6.2	This procedure,	if safe and efficacious,	is likely to be	carried out in
(choo	se one):			

X	Most or all district general hospitals.		
	A minority of hospitals, but at least 10 in the UK.		
	Fewer than 10 specialist centres in the UK.		
	Cannot predict at present.		
Comments:			

6.3 The potential impact of this procedure on the NHS, in terms of numbers of patients eligible for treatment and use of resources, is:

Comments:		
X Mino	or.	
	Moderate.	
	Major.	

7 Other information

- 7.1 Is there any other information about this procedure that might assist NICE in assessing the possible need to investigate its use?
- 8 Data protection and conflicts of interest
- 8. Data protection, freedom of information and conflicts of interest
- 8.1 Data Protection

The information you submit on this form will be retained and used by the NICE and its advisers for the purpose of developing its guidance and may be passed to other approved third parties. Your name and specialist society will be published in NICE publications and on the NICE website. The specialist advice questionnaire will be published in accordance with our guidance development processes and a copy will be sent to the nominating Specialist Society. Please avoid identifying any individual in your comments.

I have read and understood this statement and accept that personal information sent to us will be retained and used for the purposes and in the manner specified above and in accordance with the Data Protection Act 1998.

8.2 Declarations of interest by Specialist Advisers advising the NICE Interventional Procedures Advisory Committee

Nothing in your submission shall restrict any disclosure of information by NICE that is required by law (including in particular, but without limitation, the Freedom of Information Act 2000).

Please submit a conflicts of interest declaration form _listing any potential conflicts of interest including any involvement you may have in disputes or complaints relating to this procedure.

Please use the "Conflicts of Interest for Specialist Advisers" policy (a guide when declaring any conflicts of interest. Specialist Advisers advice if needed from the Associate Director – Interventional Procedure	should	
Do you or a member of your family ¹ have a personal pecuniary interest examples are as follows:	t? The	main
Consultancies or directorships attracting regular or occasional payments in cash or kind	☐ X	YES NO
Fee-paid work – any work commissioned by the healthcare industry – this includes income earned in the course of private practice	x	YES NO
Shareholdings – any shareholding, or other beneficial interest, in share of the healthcare industry		YES NO
Expenses and hospitality – any expenses provided by a healthcare industry company beyond those reasonably required for accommodation meals and travel to attend meetings and conferences		YES
Investments – any funds that include investments in the healthcare industry	х х	NO YES NO
Do you have a personal non-pecuniary interest – for example have you made a public statement about the topic or do you hold an office in a professional organisation or advocacy group with a direct interest in the topic?	Ш	YES
Do you have a non-personal interest? The main examples are as follow	ws:	
Fellowships endowed by the healthcare industry	□ x	YES NO
Support by the healthcare industry or NICE that benefits his/her position or department, eg grants, sponsorship of posts		YES
If you have answered YES to any of the above statements, please on nature of the conflict(s) below.	X describe	NO the
Comments:		
I undertake private practice in radiology at BMI Bath Clinic, Circle Bath a Royal United Hospital Bath. I have shares in Circlehealth My wife has a unit trust, Framlington Health, which invest in healthcare	and at th	ne
Thank you very much for your help.		

¹ 'Family members' refers to a spouse or partner living in the same residence as the member or employee, children for whom the member or employee is legally responsible, and adults for whom the member or employee is legally responsible (for example, an adult whose full power of attorney is held by the individual).

Professor Bruce Campbell, Chairman, Interventional Procedures Advisory Committee Professor Carole Longson, Director, Centre for Health Technology Evaluation.

April 2014

Conflicts of Interest for Specialist Advisers

- 1 Declarations of interest by Specialist Advisers advising the NICE Interventional Procedures Advisory Committee
- 1.1 Any conflicts of interest set out below should be declared on the questionnaire the Specialist Adviser completes for the procedure.
- 1.2 Specialist Advisers should seek advice if required from the Associate Director Interventional Procedures.

2 Personal pecuniary interests

- 2.1 A personal pecuniary interest involves a current personal payment to a Specialist Adviser, which may either relate to the manufacturer or owner of a product or service being evaluated, in which case it is regarded as 'specific' or to the industry or sector from which the product or service comes, in which case it is regarded as 'non-specific'. The main examples are as follows.
- 2.1.1 **Consultancies** any consultancy, directorship, position in or work for the healthcare industry that attracts regular or occasional payments in cash or kind (this includes both those which have been undertaken in the 12 months preceding the point at which the declaration is made and which are planned but have not taken place).
- 2.1.2 **Fee-paid work** any work commissioned by the healthcare industry for which the member is paid in cash or in kind (this includes both those which have been undertaken in the 12 months preceding the point at which the declaration is made and which are planned but have not taken place).
- 2.1.3 Shareholdings any shareholding, or other beneficial interest, in shares of the healthcare industry that are either held by the individual or for which the individual has legal responsibility (for example, children, or relatives whose full Power of Attorney is held by the individual). This does not include shareholdings through unit trusts, pensions funds, or other similar arrangements where the member has no influence on financial management.
- 2.1.4 **Expenses and hospitality** any expenses provided by a healthcare industry company beyond that reasonably required for accommodation, meals and travel to attend meetings and conferences (this includes both those which have been undertaken in the 12 months preceding the point at which the declaration is made and which are planned but have not taken place.
- 2.1.5 **Investments** any funds which include investments in the healthcare industry that are held in a portfolio over which individuals have the ability to instruct the fund manager as to the composition of the fund.
- 2.2 No personal interest exists in the case of:
- 2.2.1 assets over which individuals have no financial control (for example, wide portfolio unit trusts and occupational pension funds) and where the fund manager has full discretion as to its composition (for example, the Universities Superannuation Scheme)
- 2.2.2 accrued pension rights from earlier employment in the healthcare industry.

3 **Personal family interest**

- 3.1 This relates to the personal interests of a family member and involves a **current payment** to the family member of the Specialist Adviser. The interest may relate to the manufacturer or owner of a product or service being evaluated, in which case it is regarded as '**specific**', or to the industry or sector from which the product or service comes, in which case it is regarded as '**non-specific**'. The main examples include the following.
- 3.1.1 Any consultancy, directorship, position in or work for a healthcare industry that attracts regular or occasional payments in cash or in kind.
- 3.1.2 Any fee-paid work commissioned by a healthcare industry for which the member is paid in cash or in kind.
- 3.1.3 Any shareholdings, or other beneficial interests, in a healthcare industry which are either held by the family member or for which an individual covered by this Code has legal responsibility (for example, children, or adults whose full Power of Attorney is held by the individual).
- 3.1.4 Expenses and hospitality provided by a healthcare industry company (except where they are provided to a general class of people such as attendees at an open conference)
- 3.1.5 Funds which include investments in the healthcare industry that are held in a portfolio over which individuals have the ability to instruct the fund manager as to the composition of the fund.
- 3.2 No personal family interest exists in the case of:
- 3.2.1 assets over which individuals have no financial control (for example, wide portfolio unit trusts and occupational pension funds) and where the fund manager has full discretion as to its composition (for example, the Universities Superannuation Scheme)
- 3.2.2 accrued pension rights from earlier employment in the healthcare industry.

4 Personal non-pecuniary interests

These might include, but are not limited to:

- 4.1 a clear opinion, reached as the conclusion of a research project, about the clinical and/or cost effectiveness of an intervention under review
- 4.2 a public statement in which an individual covered by this Code has expressed a clear opinion about the matter under consideration, which could reasonably be interpreted as prejudicial to an objective interpretation of the evidence
- 4.3 holding office in a professional organisation or advocacy group with a direct interest in the matter under consideration
- 4.4 other reputational risks in relation to an intervention under review.

5 Non-personal interests

5.1 A non-personal interest involves payment that benefits a department or organisation for which a Specialist Advisor is responsible, but that is not received by the Specialist Advisor personally. This may either relate to the product or service being evaluated, in which case it is regarded as 'specific,' or to the manufacturer or owner of the product or service, but is unrelated to the matter under consideration, in which case it is regarded as 'non-specific'. The main examples are as follows.

- 5.1.1 **Fellowships** the holding of a fellowship endowed by the healthcare industry.
- 5.1.2 **Support by the healthcare industry or NICE** any payment, or other support by the healthcare industry or by NICE that does not convey any pecuniary or material benefit to a member personally but that does benefit his/her position or department. For example:
- a grant from a company for the running of a unit or department for which a Specialist Advisor is responsible
- a grant, fellowship or other payment to sponsor a post or member of staff in the unit for which a Specialist Adviser is responsible. This does not include financial assistance for students
- the commissioning of research or other work by, or advice from, staff who work in a unit for which the specialist advisor is responsible
- one or more contracts with, or grants from, NICE.
- 5.2 Specialist Advisers are under no obligation to seek out knowledge of work done for, or on behalf of, the healthcare industry within departments for which they are responsible if they would not normally expect to be informed.

NATIONAL INSTITUTE FOR HEALTH AND CARE EXCELLENCE

Interventional Procedures Programme

Specialist Adviser questionnaire

Before completing this questionnaire, please read <u>Conflicts of Interest for Specialist Advisers</u>. Certain conflicts exclude you from offering advice, however, please return the questionnaire to us incomplete for our records.

Please respond in the boxes provided.			
Please complete and return to:	Sally.Jones@nice.or.uk or Hawra.Abugulal@nice.org.uk		
Procedure Name:	Extracorporeal shockwave therapy for refractory Achilles tendinopathy (IP719/2)		
Name of Specialist Advisor:	Mr Robert Clayton		
Specialist Society:	British Orthopaedic Foot Surgery Society		
Do you have adequate knowledge of this procedure to provide advice? Yes. No – please return the form/answer no more questions.			
1.1 Does the title used above de	scribe the procedure adequately?		
X Yes.			
No. If no, please enter any other titles below.			
Comments:			
It is important to differentiate between mid substance Achilles tendinopathy and insertional Achilles tendinopathy. The two are different disease entities with different treatments			

Your involvement in the procedure

Is this procedure relevant to your specialty?

2

2.1

X	Yes.	
	Is there any kind of inter-specialty controversy over the procedure?	
X	No. If no, then answer no more questions, but please give any information you can about who is likely to be doing the procedure.	
Comi	ments:	
I am not sure what is meant by controversy. There is no particular controversy, but some physiotherapists, sports medicine physicians and musculoskeletal radiologists also perform this procedure. Similarly I have patients referred to me from specialists in these disciplines.		
The next 2 questions are about whether you carry out the procedure, or refer patients for it. If you are in a specialty that normally carries out the procedure please answer question 2.2.1. If you are in a specialty that normally selects or refers patients for the procedure, please answer question 2.2.2.		
2.2.1	If you are in a specialty that does this procedure, please indicate your experience with it:	
	I have never done this procedure.	
	I have done this procedure at least once.	
X	I do this procedure regularly.	
Comi	ments:	
I perfe	orm this procedure on average on two patients every week	
2.2.2	If your specialty is involved in patient selection or referral to another specialty for this procedure, please indicate your experience with it.	
	I have never taken part in the selection or referral of a patient for this procedure.	
	I have taken part in patient selection or referred a patient for this procedure at least once.	
	I take part in patient selection or refer patients for this procedure regularly.	
Comi	Comments:	
2.3	Please indicate your research experience relating to this procedure (please choose one or more if relevant):	
П	I have done bibliographic research on this procedure.	

	I have done research on this procedure in laboratory settings (e.g. device-related research).	
	I have done clinical research on this procedure involving patients or healthy volunteers.	
	I have had no involvement in research on this procedure.	
Χ	Other (please comment)	
Com	ments:	
I had intended to sign up to the ASSERT study (a multicentre study on efficacy) but could not receive adequate reassurance about control of my data, access to my own results and whether the ethical, Caldicott and other approvals gained were valid in Scotland.		
3	Status of the procedure	
3.1	Which of the following best describes the procedure (choose one):	
X	Established practice and no longer new.	
	A minor variation on an existing procedure, which is unlikely to alter the procedure's safety and efficacy.	
	Definitely novel and of uncertain safety and efficacy.	
	The first in a new class of procedure.	
Com	ments:	
This	procedure has been in widespread use in Europe for over ten years	
3.2	What would be the comparator (standard practice) to this procedure?	
Physiotherapy (which is used, in my practice, in combination with this treatment). There is no good alternative. This procedure saves many patients from having to undergo surgery		
3.3	Please estimate the proportion of doctors in your specialty who are doing this procedure (choose one):	
X	More than 50% of specialists engaged in this area of work.	
	10% to 50% of specialists engaged in this area of work.	
	Fewer than 10% of specialists engaged in this area of work.	
	Cannot give an estimate.	
Com	ments:	

This is a proportion of specialist Orthopaedic foot and ankle surgeons, not a proportion of all orthopaedic surgeons

4 Safety and efficacy

4.1 What is the potential harm of the procedure?

Please list adverse events and major risks (even if uncommon) and, if possible, estimate their incidence, as follows:

1. Adverse events reported in the literature (if possible please cite literature)

Transient pain, swelling, numbness, erythema. I am aware of two cases of Achilles rupture in the large scale trials of this treatment (Rompe et al) – considering achilles rupture is in any case occasionally observed in patients with achilles tendinopathy I am not sure that the rupture in these patients was due to the ESWT but I do advise my patients of these two cases.

2. Anecdotal adverse events (known from experience)

Temporary pain, numbness and erythema. I have not seen this to last more than 48 hours.

I have never seen, or even heard of, a patient with a tendon rupture in my own or anyone else's practice

3. Theoretical adverse events

Achilles tendon rupture as above.

4.2 What are the key efficacy outcomes for this procedure?

Relief of pain. Usually measured by Visual Analogue Pain Scores, Foot Function Index. Manchester-Oxford Foot Questionnaire.

4.3 Are there uncertainties or concerns about the *efficacy* of this procedure? If so, what are they?

There are a few studies suggesting that the procedure may not bring about significant lasting pain relief

4.4 What training and facilities are needed to do this procedure safely?

One ESWT machine is needed (which involves capital outlay but minimal running costs). It takes about one hour to train a doctor or physiotherapist to perform the procedure. The procedure is performed in a clinic room or physiotherapy treatment area.

4.5 Are there any major trials or registries of this procedure currently in progress? If so, please list.

ASSERT trial

4.6 Are you aware of any abstracts that have been *recently* presented/ published on this procedure that may not be listed in a standard literature search, for example PUBMED? (This can include your own work). If yes, please list.

Please note that NICE will do a literature search: we are only asking you for any very recent or potentially obscure abstracts and papers. Please do not feel the need to supply a comprehensive reference list (but you may list any that you think are particularly important if you wish).

4.7 Is there controversy, or important uncertainty, about any aspect of the way in which this procedure is currently being done or disseminated?

No, other than a minority of clinicians who do not perform the procedure because they doubt its efficacy. The treatment is not universally available

5 Audit Criteria

Please suggest a minimum dataset of criteria by which this procedure could be audited.

Visual analogue Pain Score with one or both of Manchester-Oxford Foot Questionnaire and Foot Function Index measured pre-treatment and again at three months and one year

5.1 Outcome measures of benefit (including commonly used clinical outcomes, both short and long - term; and quality-of-life measures):

As above

5.2 Adverse outcomes (including potential early and late complications):

As above under adverse effects.

- 6 Trajectory of the procedure
- 6.1 In your opinion, how quickly do you think use of this procedure will spread?

I anticipate it being available in most NHS hospitals within the next five years.

- 6.2 This procedure, if safe and efficacious, is likely to be carried out in (choose one):
- Most or all district general hospitals.
 A minority of hospitals, but at least 10 in the UK.
 Fewer than 10 specialist centres in the UK.
 Cannot predict at present.

Comments:

At a consensus setting meeting of foot and ankle surgeons' meeting three years ago, an informal poll suggested around a third of surgeons had access to this in their NHS practice

6.3 of pa	The potential impact of this procedure on the NHS, in terms of numbers tients eligible for treatment and use of resources, is:	
X	Major.	
	Moderate.	
	Minor.	
Comi	ments:	
fasciit becau inject	es tendinopathy is a very common condition. ESWT is also used for plantar tis, another common treatment. Other treatment options are not ideal, either use they lack efficacy (such as physiotherapy alone), or carry risks (such as ions). Surgery has uncertain outcomes and significant risks and recovery times chilles tendinopathy.	
7	Other information	
7.1 NICE	Is there any other information about this procedure that might assist in assessing the possible need to investigate its use?	
8	Data protection and conflicts of interest	
8. Da	ta protection, freedom of information and conflicts of interest	
8.1 D	ata Protection	
The information you submit on this form will be retained and used by the NICE and its advisers for the purpose of developing its guidance and may be passed to other approved third parties. Your name and specialist society will be published in NICE publications and on the NICE website. The specialist advice questionnaire will be published in accordance with our guidance development processes and a copy will be sent to the nominating Specialist Society. Please avoid identifying any individual in your comments.		
sent t	ave read and understood this statement and accept that personal information to us will be retained and used for the purposes and in the manner specified and in accordance with the Data Protection Act 1998.	

8.2 Declarations of interest by Specialist Advisers advising the NICE Interventional Procedures Advisory Committee

required by law (including in particular, but without limitation, the Fr Information Act 2000).			
Please submit a conflicts of interest declaration form _listing any potential of interest including any involvement you may have in disputes or complaints this procedure.			
Please use the "Conflicts of Interest for Specialist Advisers" policy (attacguide when declaring any conflicts of interest. Specialist Advisers shadvice if needed from the Associate Director – Interventional Procedures.			
Do you or a member of your family ¹ have a personal pecuniary interest? examples are as follows:	The r	nain	
Consultancies or directorships attracting regular or occasional payments in cash or kind	□ X	YES NO	
Fee-paid work – any work commissioned by the healthcare industry – this includes income earned in the course of private practice	X	YES NO	
Shareholdings – any shareholding, or other beneficial interest, in shares of the healthcare industry	□ X	YES NO	
Expenses and hospitality – any expenses provided by a healthcare industry company beyond those reasonably required for accommodation, meals and travel to attend meetings and conferences		YES	
Investments – any funds that include investments in the healthcare industry	X X	NO YES NO	
Do you have a personal non-pecuniary interest – for example have you made a public statement about the topic or do you hold an office in a professional organisation or advocacy group with a direct interest in the		YES	
topic?	X	NO	
Do you have a non-personal interest? The main examples are as follows:			
Fellowships endowed by the healthcare industry		YES	
	X	NO	
Support by the healthcare industry or NICE that benefits his/her position or department, eg grants, sponsorship of posts		YES	
	X	NO	
If you have answered YES to any of the above statements, please describe the nature of the conflict(s) below.			
Comments:			

¹ 'Family members' refers to a spouse or partner living in the same residence as the member or employee, children for whom the member or employee is legally responsible, and adults for whom the member or employee is legally responsible (for example, an adult whose full power of attorney is held by the individual).

I carry out ESWT as a small part of a specialist foot and ankle private practice. I receive fees for the provision of this treatment at standard commercial rates. The fees I receive for this comprise less than 5% of my private practice income.

I own a shareholding in Spire Healthcare plc worth £1000. My wife owns a shareholding of £1000 in Quintiles plc

I own a standard portfolio of investment funds, some of which will contain healthcare shares but I do not know the specific of which companies these funds invest in and I have no ability to instruct the fund managers as to where to direct their investments.

Thank you very much for your help.

Professor Bruce Campbell, Chairman, Interventional Procedures Advisory Committee Professor Carole Longson, Director, Centre for Health Technology Evaluation.

April 2014

Conflicts of Interest for Specialist Advisers

- 1 Declarations of interest by Specialist Advisers advising the NICE Interventional Procedures Advisory Committee
- 1.1 Any conflicts of interest set out below should be declared on the questionnaire the Specialist Adviser completes for the procedure.
- 1.2 Specialist Advisers should seek advice if required from the Associate Director Interventional Procedures.

2 Personal pecuniary interests

- 2.1 A personal pecuniary interest involves a current personal payment to a Specialist Adviser, which may either relate to the manufacturer or owner of a product or service being evaluated, in which case it is regarded as 'specific' or to the industry or sector from which the product or service comes, in which case it is regarded as 'non-specific'. The main examples are as follows.
- 2.1.1 **Consultancies** any consultancy, directorship, position in or work for the healthcare industry that attracts regular or occasional payments in cash or kind (this includes both those which have been undertaken in the 12 months preceding the point at which the declaration is made and which are planned but have not taken place).
- 2.1.2 **Fee-paid work** any work commissioned by the healthcare industry for which the member is paid in cash or in kind (this includes both those which have been undertaken in the 12 months preceding the point at which the declaration is made and which are planned but have not taken place).
- 2.1.3 Shareholdings any shareholding, or other beneficial interest, in shares of the healthcare industry that are either held by the individual or for which the individual has legal responsibility (for example, children, or relatives whose full Power of Attorney is held by the individual). This does not include shareholdings through unit trusts, pensions funds, or other similar arrangements where the member has no influence on financial management.
- 2.1.4 **Expenses and hospitality** any expenses provided by a healthcare industry company beyond that reasonably required for accommodation, meals and travel to attend meetings and conferences (this includes both those which have been undertaken in the 12 months preceding the point at which the declaration is made and which are planned but have not taken place.
- 2.1.5 **Investments** any funds which include investments in the healthcare industry that are held in a portfolio over which individuals have the ability to instruct the fund manager as to the composition of the fund.
- 2.2 No personal interest exists in the case of:
- 2.2.1 assets over which individuals have no financial control (for example, wide portfolio unit trusts and occupational pension funds) and where the fund manager has full discretion as to its composition (for example, the Universities Superannuation Scheme)
- 2.2.2 accrued pension rights from earlier employment in the healthcare industry.

3 **Personal family interest**

- 3.1 This relates to the personal interests of a family member and involves a **current payment** to the family member of the Specialist Adviser. The interest may relate to the manufacturer or owner of a product or service being evaluated, in which case it is regarded as '**specific**', or to the industry or sector from which the product or service comes, in which case it is regarded as '**non-specific**'. The main examples include the following.
- 3.1.1 Any consultancy, directorship, position in or work for a healthcare industry that attracts regular or occasional payments in cash or in kind.
- 3.1.2 Any fee-paid work commissioned by a healthcare industry for which the member is paid in cash or in kind.
- 3.1.3 Any shareholdings, or other beneficial interests, in a healthcare industry which are either held by the family member or for which an individual covered by this Code has legal responsibility (for example, children, or adults whose full Power of Attorney is held by the individual).
- 3.1.4 Expenses and hospitality provided by a healthcare industry company (except where they are provided to a general class of people such as attendees at an open conference)
- 3.1.5 Funds which include investments in the healthcare industry that are held in a portfolio over which individuals have the ability to instruct the fund manager as to the composition of the fund.
- 3.2 No personal family interest exists in the case of:
- 3.2.1 assets over which individuals have no financial control (for example, wide portfolio unit trusts and occupational pension funds) and where the fund manager has full discretion as to its composition (for example, the Universities Superannuation Scheme)
- 3.2.2 accrued pension rights from earlier employment in the healthcare industry.

4 Personal non-pecuniary interests

These might include, but are not limited to:

- 4.1 a clear opinion, reached as the conclusion of a research project, about the clinical and/or cost effectiveness of an intervention under review
- 4.2 a public statement in which an individual covered by this Code has expressed a clear opinion about the matter under consideration, which could reasonably be interpreted as prejudicial to an objective interpretation of the evidence
- 4.3 holding office in a professional organisation or advocacy group with a direct interest in the matter under consideration
- 4.4 other reputational risks in relation to an intervention under review.

5 Non-personal interests

5.1 A non-personal interest involves payment that benefits a department or organisation for which a Specialist Advisor is responsible, but that is not received by the Specialist Advisor personally. This may either relate to the product or service being evaluated, in which case it is regarded as 'specific,' or to the manufacturer or owner of the product or service, but is unrelated to the matter under consideration, in which case it is regarded as 'non-specific'. The main examples are as follows.

- 5.1.1 **Fellowships** the holding of a fellowship endowed by the healthcare industry.
- 5.1.2 **Support by the healthcare industry or NICE** any payment, or other support by the healthcare industry or by NICE that does not convey any pecuniary or material benefit to a member personally but that does benefit his/her position or department. For example:
- a grant from a company for the running of a unit or department for which a Specialist Advisor is responsible
- a grant, fellowship or other payment to sponsor a post or member of staff in the unit for which a Specialist Adviser is responsible. This does not include financial assistance for students
- the commissioning of research or other work by, or advice from, staff who work in a unit for which the specialist advisor is responsible
- one or more contracts with, or grants from, NICE.
- 5.2 Specialist Advisers are under no obligation to seek out knowledge of work done for, or on behalf of, the healthcare industry within departments for which they are responsible if they would not normally expect to be informed.

NATIONAL INSTITUTE FOR HEALTH AND CARE EXCELLENCE

Interventional Procedures Programme

Specialist Adviser questionnaire

Before completing this questionnaire, please read <u>Conflicts of Interest for Specialist Advisers</u>. Certain conflicts exclude you from offering advice, however, please return the questionnaire to us incomplete for our records.

Please respond in the boxes provided.			
Please complete and return to:		Sally.Jones@nice.or.uk or Hawra.Abugulal@nice.org.uk	
Procedure Name:		Extracorporeal shockwave therapy for refractory Achilles tendinopathy	
Nam	e of Specialist Advisor:	Mr Roland Russell	
Specialist Society:		British Orthopaedic Foot Surgery Society	
1	Do you have adequate know	ledge of this procedure to provide advice?	
x□	Yes.		
	No – please return the form/answer no more questions.		
1.1	Does the title used above de	escribe the procedure adequately?	
x□	☐ Yes.		
	No. If no, please enter any other titles below.		
Com	ments:		
2	Your involvement in the pro	cedure	
2.1	Is this procedure relevant to	your specialty?	
χ	Yes.		
	Is there any kind of inter-spe	cialty controversy over the procedure?	

	No. If no, then answer no more questions, but please give any information you can about who is likely to be doing the procedure.	
Comr	ments:	
The next 2 questions are about whether you carry out the procedure, or refer patients for it. If you are in a specialty that normally carries out the procedure please answer question 2.2.1. If you are in a specialty that normally selects or refers patients for the procedure, please answer question 2.2.2.		
2.2.1	If you are in a specialty that does this procedure, please indicate your experience with it:	
x	I have never done this procedure.	
	I have done this procedure at least once.	
	I do this procedure regularly.	
Comr	nents:	
2.2.2	If your specialty is involved in patient selection or referral to another specialty for this procedure, please indicate your experience with it.	
2.2.2		
2.2.2 □ x□	specialty for this procedure, please indicate your experience with it. I have never taken part in the selection or referral of a patient for this	
	specialty for this procedure, please indicate your experience with it. I have never taken part in the selection or referral of a patient for this procedure. I have taken part in patient selection or referred a patient for this procedure at	
□ x□ □	specialty for this procedure, please indicate your experience with it. I have never taken part in the selection or referral of a patient for this procedure. I have taken part in patient selection or referred a patient for this procedure at least once.	
□ x□ □	specialty for this procedure, please indicate your experience with it. I have never taken part in the selection or referral of a patient for this procedure. I have taken part in patient selection or referred a patient for this procedure at least once. I take part in patient selection or refer patients for this procedure regularly.	
□ x□ □ Comr	specialty for this procedure, please indicate your experience with it. I have never taken part in the selection or referral of a patient for this procedure. I have taken part in patient selection or referred a patient for this procedure at least once. I take part in patient selection or refer patients for this procedure regularly.	
□ x□ □ Comr	specialty for this procedure, please indicate your experience with it. I have never taken part in the selection or referral of a patient for this procedure. I have taken part in patient selection or referred a patient for this procedure at least once. I take part in patient selection or refer patients for this procedure regularly. ments: Please indicate your research experience relating to this procedure	
□ x□ □ Comr	specialty for this procedure, please indicate your experience with it. I have never taken part in the selection or referral of a patient for this procedure. I have taken part in patient selection or referred a patient for this procedure at least once. I take part in patient selection or refer patients for this procedure regularly. ments: Please indicate your research experience relating to this procedure (please choose one or more if relevant):	
□ x□ □ Comr	specialty for this procedure, please indicate your experience with it. I have never taken part in the selection or referral of a patient for this procedure. I have taken part in patient selection or referred a patient for this procedure at least once. I take part in patient selection or refer patients for this procedure regularly. ments: Please indicate your research experience relating to this procedure (please choose one or more if relevant): I have done bibliographic research on this procedure. I have done research on this procedure in laboratory settings (e.g. device-	

	Other (please comment)			
Com	Comments:			
3	Status of the procedure			
3.1	Which of the following best describes the procedure (choose one):			
	Established practice and no longer new.			
x	A minor variation on an existing procedure, which is unlikely to alter the procedure's safety and efficacy.			
	Definitely novel and of uncertain safety and efficacy.			
	The first in a new class of procedure.			
Com	ments:			
3.2	What would be the comparator (standard practice) to this procedure?			
Phys	Physiotherapy and ultrasoundtherapy(low frequency)			
3.3	Please estimate the proportion of doctors in your specialty who are doing this procedure (choose one):			
	More than 50% of specialists engaged in this area of work.			
	10% to 50% of specialists engaged in this area of work.			
x	Fewer than 10% of specialists engaged in this area of work.			
	Cannot give an estimate.			
Com	ments:			
4	Safety and efficacy			
4.1	What is the potential harm of the procedure?			
Please list adverse events and major risks (even if uncommon) and, if possible, estimate their incidence, as follows:				

1. Adverse events reported in the literature (if possible please cite literature)

- 2. Anecdotal adverse events (known from experience)
- 3. Theoretical adverse events

There is a theoretical risk of making pain worse and a small risk of Achilles tendon rupture

- 4.2 What are the key efficacy outcomes for this procedure? Moxfd score and VAS pain score
- 4.3 Are there uncertainties or concerns about the *efficacy* of this procedure? If so, what are they?

As far as I am aware there is a lack of randomised controlled studies to show the efficacy of this procedure

- 4.4 What training and facilities are needed to do this procedure safely? Physio/clinician that is trained to do this procedure
- 4.5 Are there any major trials or registries of this procedure currently in progress? If so, please list.

Not that I am aware of.

4.6 Are you aware of any abstracts that have been *recently* presented/ published on this procedure that may not be listed in a standard literature search, for example PUBMED? (This can include your own work). If yes, please list.

Please note that NICE will do a literature search: we are only asking you for any very recent or potentially obscure abstracts and papers. Please do not feel the need to supply a comprehensive reference list (but you may list any that you think are particularly important if you wish).

Eccentric loading versus Eccentric loading with shock wave for midportion Achilles tendonopathy; a randomised controlled study;Rompe. Am Journal of Medicine 2009 37, 463

4.7 Is there controversy, or important uncertainty, about any aspect of the way in which this procedure is currently being done or disseminated?

In my view there is a lack of cocnclusive scientific evidence to advocate this procedure

5 Audit Criteria Please suggest a minimum dataset of criteria by which this procedure could be audited.		
5.1 outco	Outcome measures of benefit (including commonly used clinical mes, both short and long - term; and quality-of-life measures):	
5.2	Adverse outcomes (including potential early and late complications):	
6	Trajectory of the procedure	
6.1 spread	In your opinion, how quickly do you think use of this procedure will d?	
6.2 (choos	This procedure, if safe and efficacious, is likely to be carried out in se one):	
	Most or all district general hospitals.	
	A minority of hospitals, but at least 10 in the UK.	
	Fewer than 10 specialist centres in the UK.	
	Cannot predict at present.	
Comments:		
6.3 of pati	The potential impact of this procedure on the NHS, in terms of numbers ients eligible for treatment and use of resources, is:	
	Major.	
	Moderate.	
	Minor.	
Comm	nents:	
7	Other information	

7.1 Is there any other information about this procedure that might assist NICE in assessing the possible need to investigate its use?

as above

8 Data protection and conflicts of interest

8. Data protection, freedom of information and conflicts of interest

8.1 Data Protection

The information you submit on this form will be retained and used by the NICE and its advisers for the purpose of developing its guidance and may be passed to other approved third parties. Your name and specialist society will be published in NICE publications and on the NICE website. The specialist advice questionnaire will be published in accordance with our guidance development processes and a copy will be sent to the nominating Specialist Society. Please avoid identifying any individual in your comments.

I have read and understood this statement and accept that personal information sent to us will be retained and used for the purposes and in the manner specified above and in accordance with the Data Protection Act 1998.

8.2 Declarations of interest by Specialist Advisers advising the NICE Interventional Procedures Advisory Committee

Nothing in your submission shall restrict any disclosure of information by NICE that is required by law (including in particular, but without limitation, the Freedom of Information Act 2000).

Please submit a conflicts of interest declaration form _listing any potential conflicts of interest including any involvement you may have in disputes or complaints relating to this procedure.

Please use the "Conflicts of Interest for Specialist Advisers" policy (attached) as a guide when declaring any conflicts of interest. Specialist Advisers should seek advice if needed from the Associate Director – Interventional Procedures.

Do you or a member of your family¹ have a **personal pecuniary** interest? The main examples are as follows:

¹ 'Family members' refers to a spouse or partner living in the same residence as the member or employee, children for whom the member or employee is legally responsible, and adults for whom the member or employee is legally responsible (for example, an adult whose full power of attorney is held by the individual).

Fee-paid work – any work commissioned by the healthcare industry – this includes income earned in the course of private practice			YES	
			NO	
Shareholdings – any shareholding, or other	er beneficial interest, in shares		YES	
of the healthcare industry				
Expenses and hospitality – any expenses provided by a healthcare industry company beyond those reasonably required for accommodal			YES	
meals and travel to attend meetings and co	onferences	□ x	NO	
Investments – any funds that include investigation	stments in the healthcare		YES	
industry		□ x	NO	
Do you have a personal non-pecuniary interest – for example have you made a public statement about the topic or do you hold an office in a			YES	
professional organisation or advocacy groutopic?	p with a direct interest in the	□ x	NO	
Do you have a non-personal interest? The	Do you have a non-personal interest? The main examples are as follows:			
Fellowships endowed by the healthcare industry			YES	
		 х	NO	
Support by the healthcare industry or NICE that benefits his/her position or department, eg grants, sponsorship of posts			YES	
			NO	
If you have answered YES to any of the above statements, please describe the nature of the conflict(s) below.				
Comments: No to all above				
Thank you very much for your help.				
Professor Bruce Campbell, Chairman, Interventional Procedures Advisory Committee Professor Carole Longson, Director, Centre for Health Technology Evaluation.				
April 2014				

Conflicts of Interest for Specialist Advisers

- 1 Declarations of interest by Specialist Advisers advising the NICE Interventional Procedures Advisory Committee
- 1.1 Any conflicts of interest set out below should be declared on the questionnaire the Specialist Adviser completes for the procedure.
- 1.2 Specialist Advisers should seek advice if required from the Associate Director Interventional Procedures.

2 Personal pecuniary interests

- 2.1 A personal pecuniary interest involves a current personal payment to a Specialist Adviser, which may either relate to the manufacturer or owner of a product or service being evaluated, in which case it is regarded as 'specific' or to the industry or sector from which the product or service comes, in which case it is regarded as 'non-specific'. The main examples are as follows.
- 2.1.1 **Consultancies** any consultancy, directorship, position in or work for the healthcare industry that attracts regular or occasional payments in cash or kind (this includes both those which have been undertaken in the 12 months preceding the point at which the declaration is made and which are planned but have not taken place).
- 2.1.2 **Fee-paid work** any work commissioned by the healthcare industry for which the member is paid in cash or in kind (this includes both those which have been undertaken in the 12 months preceding the point at which the declaration is made and which are planned but have not taken place).
- 2.1.3 **Shareholdings** any shareholding, or other beneficial interest, in shares of the healthcare industry that are either held by the individual or for which the individual has legal responsibility (for example, children, or relatives whose full Power of Attorney is held by the individual). This does not include shareholdings through unit trusts, pensions funds, or other similar arrangements where the member has no influence on financial management.
- 2.1.4 **Expenses and hospitality** any expenses provided by a healthcare industry company beyond that reasonably required for accommodation, meals and travel to attend meetings and conferences (this includes both those which have been undertaken in the 12 months preceding the point at which the declaration is made and which are planned but have not taken place.
- 2.1.5 **Investments** any funds which include investments in the healthcare industry that are held in a portfolio over which individuals have the ability to instruct the fund manager as to the composition of the fund.
- 2.2 No personal interest exists in the case of:
- 2.2.1 assets over which individuals have no financial control (for example, wide portfolio unit trusts and occupational pension funds) and where the fund manager has full discretion as to its composition (for example, the Universities Superannuation Scheme)
- 2.2.2 accrued pension rights from earlier employment in the healthcare industry.

3 **Personal family interest**

- 3.1 This relates to the personal interests of a family member and involves a current payment to the family member of the Specialist Adviser. The interest may relate to the manufacturer or owner of a product or service being evaluated, in which case it is regarded as 'specific', or to the industry or sector from which the product or service comes, in which case it is regarded as 'non-specific'. The main examples include the following.
- 3.1.1 Any consultancy, directorship, position in or work for a healthcare industry that attracts regular or occasional payments in cash or in kind.
- 3.1.2 Any fee-paid work commissioned by a healthcare industry for which the member is paid in cash or in kind.
- 3.1.3 Any shareholdings, or other beneficial interests, in a healthcare industry which are either held by the family member or for which an individual covered by this Code has legal responsibility (for example, children, or adults whose full Power of Attorney is held by the individual).
- 3.1.4 Expenses and hospitality provided by a healthcare industry company (except where they are provided to a general class of people such as attendees at an open conference)
- 3.1.5 Funds which include investments in the healthcare industry that are held in a portfolio over which individuals have the ability to instruct the fund manager as to the composition of the fund.
- 3.2 No personal family interest exists in the case of:
- 3.2.1 assets over which individuals have no financial control (for example, wide portfolio unit trusts and occupational pension funds) and where the fund manager has full discretion as to its composition (for example, the Universities Superannuation Scheme)
- 3.2.2 accrued pension rights from earlier employment in the healthcare industry.

4 Personal non-pecuniary interests

These might include, but are not limited to:

- 4.1 a clear opinion, reached as the conclusion of a research project, about the clinical and/or cost effectiveness of an intervention under review
- 4.2 a public statement in which an individual covered by this Code has expressed a clear opinion about the matter under consideration, which could reasonably be interpreted as prejudicial to an objective interpretation of the evidence
- 4.3 holding office in a professional organisation or advocacy group with a direct interest in the matter under consideration
- 4.4 other reputational risks in relation to an intervention under review.

5 Non-personal interests

A non-personal interest involves payment that benefits a department or organisation for which a Specialist Advisor is responsible, but that is not received by the Specialist Advisor personally. This may either relate to the product or service being evaluated, in which case it is regarded as 'specific,' or to the manufacturer or owner of the product or service, but is unrelated to the matter under consideration, in which case it is regarded as 'non-specific'. The main examples are as follows.

- 5.1.1 **Fellowships** the holding of a fellowship endowed by the healthcare industry.
- 5.1.2 **Support by the healthcare industry or NICE** any payment, or other support by the healthcare industry or by NICE that does not convey any pecuniary or material benefit to a member personally but that does benefit his/her position or department. For example:
- a grant from a company for the running of a unit or department for which a Specialist Advisor is responsible
- a grant, fellowship or other payment to sponsor a post or member of staff in the unit for which a Specialist Adviser is responsible. This does not include financial assistance for students
- the commissioning of research or other work by, or advice from, staff who work in a unit for which the specialist advisor is responsible
- one or more contracts with, or grants from, NICE.
- 5.2 Specialist Advisers are under no obligation to seek out knowledge of work done for, or on behalf of, the healthcare industry within departments for which they are responsible if they would not normally expect to be informed.

NATIONAL INSTITUTE FOR HEALTH AND CARE EXCELLENCE

Interventional Procedures Programme

Specialist Adviser questionnaire

Before completing this questionnaire, please read <u>Conflicts of Interest for Specialist Advisers</u>. Certain conflicts exclude you from offering advice, however, please return the questionnaire to us incomplete for our records.

Please respond in the boxes provided.			
Please complete and return to:	Sally.Jones@nice.or.uk or Hawra.Abugulal@nice.org.uk		
Procedure Name:	Extracorporeal shockwave therapy for refractory Achilles tendinopathy		
Name of Specialist Advisor:	Mr Tim Clough		
Specialist Society:	British Orthopaedic Foot Surgery Society		
1 Do you have adequate know	rledge of this procedure to provide advice?		
□x Yes.			
No – please return the form/answer no more questions.			
	scribe the procedure adequately?		
x Yes.			
No. If no, please enter any oth	No. If no, please enter any other titles below.		
Comments:			
Your involvement in the pro condition	cedure: Regularly assess and treat this		
2.1 Is this procedure relevant to	Is this procedure relevant to your specialty?		
□x Yes.			

	Is there any kind of inter-specialty controversy over the procedure?			
	No. If no, then answer no more questions, but please give any information you can about who is likely to be doing the procedure.			
Comr	nents:			
The next 2 questions are about whether you carry out the procedure, or refer patients for it. If you are in a specialty that normally carries out the procedure please answer question 2.2.1. If you are in a specialty that normally selects or refers patients for the procedure, please answer question 2.2.2.				
2.2.1	If you are in a specialty that does this procedure, please indicate your experience with it:			
	I have never done this procedure.			
	I have done this procedure at least once.			
□x	I do this procedure regularly.			
Comments:				
2.2.2	If your specialty is involved in patient selection or referral to another specialty for this procedure, please indicate your experience with it.			
	I have never taken part in the selection or referral of a patient for this procedure.			
	I have taken part in patient selection or referred a patient for this procedure at least once.			
	I take part in patient selection or refer patients for this procedure regularly.			
Comr	ments:			
	Please indicate your research experience relating to this procedure (please choose one or more if relevant):			
□ x	I have done bibliographic research on this procedure.			
	I have done research on this procedure in laboratory settings (e.g. device-related research).			
	I have done clinical research on this procedure involving patients or healthy volunteers.			

	I have had no involvement in research on this procedure.			
	Other (please comment)			
Comments:				
3	Status of the procedure			
3.1	Which of the following best describes the procedure (choose one):			
□ x	Established practice and no longer new.			
	A minor variation on an existing procedure, which is unlikely to alter the procedure's safety and efficacy.			
	Definitely novel and of uncertain safety and efficacy.			
	The first in a new class of procedure.			
Com	iments:			
3.2	What would be the comparator (standard practice) to this procedure?			
3.3	Please estimate the proportion of doctors in your specialty who are doing this procedure (choose one):			
	More than 50% of specialists engaged in this area of work.			
x	10% to 50% of specialists engaged in this area of work.			
	Fewer than 10% of specialists engaged in this area of work.			
	Cannot give an estimate.			
Com	ments:			
4	Safety and efficacy			
4.1	What is the potential harm of the procedure?			
	se list adverse events and major risks (even if uncommon) and, if possible, nate their incidence, as follows:			

1. Adverse events reported in the literature (if possible please cite literature)

Pain, Bruising, swelling, numbness

- 2. Anecdotal adverse events (known from experience)
- 3. Theoretical adverse events

Ligament rupture and damage to the soft tissues

4.2 What are the key efficacy outcomes for this procedure?

Resolution of pain

4.3 Are there uncertainties or concerns about the *efficacy* of this procedure? If so, what are they?

Success rate of procedure

4.4 What training and facilities are needed to do this procedure safely?

Training on use of the equipment.

4.5 Are there any major trials or registries of this procedure currently in progress? If so, please list.

ASSERT protocol (online national database)

4.6 Are you aware of any abstracts that have been *recently* presented/ published on this procedure that may not be listed in a standard literature search, for example PUBMED? (This can include your own work). If yes, please list.

Please note that NICE will do a literature search: we are only asking you for any very recent or potentially obscure abstracts and papers. Please do not feel the need to supply a comprehensive reference list (but you may list any that you think are particularly important if you wish).

Translational Medicine UniSal 2014; Sep-Dec: 10: 46-51: Maffulli G, Hemmings S, Maffulli N

4.7 Is there controversy, or important uncertainty, about any aspect of the way in which this procedure is currently being done or disseminated?

5 Audit Criteria

Please suggest a minimum dataset of criteria by which this procedure could be audited.

VISA-A + MOXFQ scores (before, 3, 6, 12 months after treatment)

5.1 outco	Outcome measures of benefit (including commonly used clinical omes, both short and long - term; and quality-of-life measures):					
	see above					
5.2	Adverse outcomes (including potential early and late complications):					
	pain, tendon rupture, failure of benefit					
6	Trajectory of the procedure					
6.1 sprea	6.1 In your opinion, how quickly do you think use of this procedure will spread?					
	in common use					
6.2 (choc	This procedure, if safe and efficacious, is likely to be carried out in ose one):					
□ x	Most or all district general hospitals.					
	A minority of hospitals, but at least 10 in the UK.					
	Fewer than 10 specialist centres in the UK.					
	Cannot predict at present.					
Comr	nents:					
6.3 of par	The potential impact of this procedure on the NHS, in terms of numbers tients eligible for treatment and use of resources, is:					
	Major.					
□x	Moderate.					
	Minor.					
Comr	nents:					
7	Other information					
7.1 NICE	Is there any other information about this procedure that might assist in assessing the possible need to investigate its use?					

8 Data protection and conflicts of interest

8. Data protection, freedom of information and conflicts of interest

8.1 Data Protection

The information you submit on this form will be retained and used by the NICE and its advisers for the purpose of developing its guidance and may be passed to other approved third parties. Your name and specialist society will be published in NICE publications and on the NICE website. The specialist advice questionnaire will be published in accordance with our guidance development processes and a copy will be sent to the nominating Specialist Society. Please avoid identifying any individual in your comments.

xI have read and understood this statement and accept that personal information sent to us will be retained and used for the purposes and in the manner specified above and in accordance with the Data Protection Act 1998.

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Please submit a conflicts of interest declaration form _listing any potential conflicts of interest including any involvement you may have in disputes or complaints relating to this procedure.

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Do you or a member of your family¹ have a **personal pecuniary** interest? The main examples are as follows:

Consultancies or directorships attracting regular or occasional		YES
payments in cash or kind	□ x	NO
Fee-paid work – any work commissioned by the healthcare industry – this includes income earned in the course of private practice		YES
	x	NO

¹ 'Family members' refers to a spouse or partner living in the same residence as the member or employee, children for whom the member or employee is legally responsible, and adults for whom the member or employee is legally responsible (for example, an adult whose full power of attorney is held by the individual).

Shareholdings – any shareholding, or other beneficial interest, in shares of the healthcare industry			YES		
of the ficalticate industry					
Expenses and hospitality – any expenses provided by a healthcare industry company beyond those reasonably required for accommodation,			YES		
meals and travel to attend meetings and conferences					
Investments – any funds that include investments in the healthcare industry		□ □ x	YES		
madda y					
Do you have a personal non-pecuniary interest – for example have you made a public statement about the topic or do you hold an office in a			YES		
professional organisation or advocacy group with a direct interest in the topic?					
Do you have a non-personal interest? The	e main examples are as follows:				
Fellowships endowed by the healthcare industry			YES		
		□ x	NO		
Support by the healthcare industry or NICE that benefits his/her position or department, eg grants, sponsorship of posts			YES		
position of department, eg grants, sponsorship of posts					
If you have answered YES to any of the above statements, please describe the nature of the conflict(s) below.					
Comments:					
Thank you very much for your help.					
Professor Bruce Campbell, Chairman, Interventional Procedures Advisory Committee	Professor Carole Longson, Director, Centre for Health Technology Evaluation.				
April 2014					

Conflicts of Interest for Specialist Advisers

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- 2.1.1 **Consultancies** any consultancy, directorship, position in or work for the healthcare industry that attracts regular or occasional payments in cash or kind (this includes both those which have been undertaken in the 12 months preceding the point at which the declaration is made and which are planned but have not taken place).
- 2.1.2 **Fee-paid work** any work commissioned by the healthcare industry for which the member is paid in cash or in kind (this includes both those which have been undertaken in the 12 months preceding the point at which the declaration is made and which are planned but have not taken place).
- 2.1.3 **Shareholdings** any shareholding, or other beneficial interest, in shares of the healthcare industry that are either held by the individual or for which the individual has legal responsibility (for example, children, or relatives whose full Power of Attorney is held by the individual). This does not include shareholdings through unit trusts, pensions funds, or other similar arrangements where the member has no influence on financial management.
- 2.1.4 **Expenses and hospitality** any expenses provided by a healthcare industry company beyond that reasonably required for accommodation, meals and travel to attend meetings and conferences (this includes both those which have been undertaken in the 12 months preceding the point at which the declaration is made and which are planned but have not taken place.
- 2.1.5 **Investments** any funds which include investments in the healthcare industry that are held in a portfolio over which individuals have the ability to instruct the fund manager as to the composition of the fund.
- 2.2 No personal interest exists in the case of:
- 2.2.1 assets over which individuals have no financial control (for example, wide portfolio unit trusts and occupational pension funds) and where the fund manager has full discretion as to its composition (for example, the Universities Superannuation Scheme)
- 2.2.2 accrued pension rights from earlier employment in the healthcare industry.

3 **Personal family interest**

- 3.1 This relates to the personal interests of a family member and involves a current payment to the family member of the Specialist Adviser. The interest may relate to the manufacturer or owner of a product or service being evaluated, in which case it is regarded as 'specific', or to the industry or sector from which the product or service comes, in which case it is regarded as 'non-specific'. The main examples include the following.
- 3.1.1 Any consultancy, directorship, position in or work for a healthcare industry that attracts regular or occasional payments in cash or in kind.
- 3.1.2 Any fee-paid work commissioned by a healthcare industry for which the member is paid in cash or in kind.
- 3.1.3 Any shareholdings, or other beneficial interests, in a healthcare industry which are either held by the family member or for which an individual covered by this Code has legal responsibility (for example, children, or adults whose full Power of Attorney is held by the individual).
- 3.1.4 Expenses and hospitality provided by a healthcare industry company (except where they are provided to a general class of people such as attendees at an open conference)
- 3.1.5 Funds which include investments in the healthcare industry that are held in a portfolio over which individuals have the ability to instruct the fund manager as to the composition of the fund.
- 3.2 No personal family interest exists in the case of:
- 3.2.1 assets over which individuals have no financial control (for example, wide portfolio unit trusts and occupational pension funds) and where the fund manager has full discretion as to its composition (for example, the Universities Superannuation Scheme)
- 3.2.2 accrued pension rights from earlier employment in the healthcare industry.

4 Personal non-pecuniary interests

These might include, but are not limited to:

- 4.1 a clear opinion, reached as the conclusion of a research project, about the clinical and/or cost effectiveness of an intervention under review
- 4.2 a public statement in which an individual covered by this Code has expressed a clear opinion about the matter under consideration, which could reasonably be interpreted as prejudicial to an objective interpretation of the evidence
- 4.3 holding office in a professional organisation or advocacy group with a direct interest in the matter under consideration
- 4.4 other reputational risks in relation to an intervention under review.

5 Non-personal interests

A non-personal interest involves payment that benefits a department or organisation for which a Specialist Advisor is responsible, but that is not received by the Specialist Advisor personally. This may either relate to the product or service being evaluated, in which case it is regarded as 'specific,' or to the manufacturer or owner of the product or service, but is unrelated to the matter under consideration, in which case it is regarded as 'non-specific'. The main examples are as follows.

- 5.1.1 **Fellowships** the holding of a fellowship endowed by the healthcare industry.
- 5.1.2 **Support by the healthcare industry or NICE** any payment, or other support by the healthcare industry or by NICE that does not convey any pecuniary or material benefit to a member personally but that does benefit his/her position or department. For example:
- a grant from a company for the running of a unit or department for which a Specialist Advisor is responsible
- a grant, fellowship or other payment to sponsor a post or member of staff in the unit for which a Specialist Adviser is responsible. This does not include financial assistance for students
- the commissioning of research or other work by, or advice from, staff who work in a unit for which the specialist advisor is responsible
- one or more contracts with, or grants from, NICE.
- 5.2 Specialist Advisers are under no obligation to seek out knowledge of work done for, or on behalf of, the healthcare industry within departments for which they are responsible if they would not normally expect to be informed.