NATIONAL INSTITUTE FOR HEALTH AND CARE EXCELLENCE

Interventional Procedures Programme

Specialist Adviser questionnaire

Before completing this questionnaire, please read <u>Conflicts of Interest for Specialist</u> <u>Advisers</u>. Certain conflicts exclude you from offering advice, however, please return the questionnaire to us incomplete for our records.

Please respond in the boxes provided.

| Please complete and return to: | tristan.mckenna@nice.org.uk |
|--------------------------------|-----------------------------|
| | |

| Procedure Name: | IP651/2 Canaloplasty for primary open-angle glaucoma |
|-----------------------------|--|
| Name of Specialist Advisor: | Mr Au |
| Specialist Society: | The Royal College of Ophthalmologists |

1 Do you have adequate knowledge of this procedure to provide advice?

x Yes.

No – please return the form/answer no more questions.

1.1 Does the title used above describe the procedure adequately?

- Yes.
- **x** No. If no, please enter any other titles below.

Comments:

There are now twotypes of canaloplasty. The traditional canaloplasty performed externally as previously described, a tried and tested technique with years of publication and follow ups. The new development is the ab-internal canaloplasty where similar equipment (fibre-optic) is used to dilate the schlemm canal but it's performed internally without cutting the conjunctiva or sclera. This is classed as minimally invasive glaucoma surgery whiler the traditional external canaloplasty is definitely NOT

2 Your involvement in the procedure

2.1 Is this procedure relevant to your specialty?

x Yes.

- Is there any kind of inter-specialty controversy over the procedure?
 - No. If no, then answer no more questions, but please give any information you can about who is likely to be doing the procedure.

Comments:

The next 2 questions are about whether you carry out the procedure, or refer patients for it. If you are in a specialty that normally carries out the procedure please answer question 2.2.1. If you are in a specialty that normally selects or refers patients for the procedure, please answer question 2.2.2.

- 2.2.1 If you are in a specialty that does this procedure, please indicate your experience with it:
- **x** I have never done this procedure.
- I have done this procedure at least once.
- \mathbf{x} I do this procedure regularly.

Comments:

As per my previous comment, the traditional canaloplasty I don't perform. The new ab-internal canaloplasty I do but it is at its very early stage and there is no published result yet

- 2.2.2 If your specialty is involved in patient selection or referral to another specialty for this procedure, please indicate your experience with it.
- **x** I have never taken part in the selection or referral of a patient for this procedure.
- I have taken part in patient selection or referred a patient for this procedure at least once.
- I take part in patient selection or refer patients for this procedure regularly.

Comments:

Above answer based on the traditional canaloplasty

2.3 Please indicate your research experience relating to this procedure (please choose one or more if relevant):

| | I have done bibliographic research on this procedure. |
|---|---|
| | I have done research on this procedure in laboratory settings (e.g. device-related research). |
| | I have done clinical research on this procedure involving patients or healthy volunteers. |
| x | I have had no involvement in research on this procedure. |
| | Other (please comment) |
| | |

Comments:

3 Status of the procedure

| 3.1 | Which of the following | y best describes the | procedure (choose one): |
|-----|------------------------|----------------------|-------------------------|
|-----|------------------------|----------------------|-------------------------|

- **x** Established practice and no longer new.
- A minor variation on an existing procedure, which is unlikely to alter the procedure's safety and efficacy.
- Definitely novel and of uncertain safety and efficacy.
- The first in a new class of procedure.

Comments:

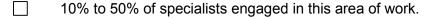
Traditional canaloplasty is established and no longer new. The ab-internal canaloplasty is definitely novel and of uncertain efficacy at present. We've seen company data, individual surgeons result from USA and Germany. Very limited sites with 12 months follow up

3.2 What would be the comparator (standard practice) to this procedure?

Trabeculaectomy, deep sclerectomy, visco-canulostomy

3.3 Please estimate the proportion of doctors in your specialty who are doing this procedure (choose one):

More than 50% of specialists engaged in this area of work.



- **x** Fewer than 10% of specialists engaged in this area of work.
- Cannot give an estimate.

Comments:

4 Safety and efficacy

4.1 What is the potential harm of the procedure?

Please list adverse events and major risks (even if uncommon) and, if possible, estimate their incidence, as follows:

- 1. Adverse events reported in the literature (if possible please cite literature)
- 2. Anecdotal adverse events (known from experience)
- 3. Theoretical adverse events

4.2 What are the key efficacy outcomes for this procedure?

IOP and medication reduction

4.3 Are there uncertainties or concerns about the *efficacy* of this procedure? If so, what are they?

For traditional canaloplasty – no For ab-internal – yes

4.4 What training and facilities are needed to do this procedure safely?

traditional – extensive training to be able to dissection the sclera down to the right level to locate schlemm canal to canulate and tie the suture. Ab-internal – far easier to learn and much lower risk of complication due to its minimally invasive nature

4.5 Are there any major trials or registries of this procedure currently in progress? If so, please list.

don't know

4.6 Are you aware of any abstracts that have been *recently* presented/ published on this procedure that may not be listed in a standard literature search, for example PUBMED? (This can include your own work). If yes, please list. Please note that NICE will do a literature search: we are only asking you for any very recent or potentially obscure abstracts and papers. Please do not feel the need to supply a comprehensive reference list (but you may list any that you think are particularly important if you wish).

unsure

4.7 Is there controversy, or important uncertainty, about any aspect of the way in which this procedure is currently being done or disseminated?

There is ongoing debate and scepticism that canaloplasty (tying a suture around the canal) is any better that viscocanulostomy or conventional deep sclerectomy. In fact the published result of canaloplasty is not quite as good as deep sclerectomy or trabeculectomy

5 Audit Criteria

Please suggest a minimum dataset of criteria by which this procedure could be audited.

5.1 Outcome measures of benefit (including commonly used clinical outcomes, both short and long - term; and quality-of-life measures):

IOP, medication reduction

5.2 Adverse outcomes (including potential early and late complications):

sight loss

6 Trajectory of the procedure

6.1 In your opinion, how quickly do you think use of this procedure will spread?

It's been around for over a decade and has not been taken up in the UK. Hence unlikely to be adopted, especially with the fact that reimbursement does NOT cover the cost of the equipment used!

6.2 This procedure, if safe and efficacious, is likely to be carried out in (choose one):

- Most or all district general hospitals.
- A minority of hospitals, but at least 10 in the UK.
- **x** Fewer than 10 specialist centres in the UK.
- Cannot predict at present.

Comments:

6.3 The potential impact of this procedure on the NHS, in terms of numbers of patients eligible for treatment and use of resources, is:

Major.

Moderate.

x Minor.

Comments:

7 Other information

7.1 Is there any other information about this procedure that might assist NICE in assessing the possible need to investigate its use?

already mentioned the new ab-internal canaloplasty

8 Data protection and conflicts of interest

8. Data protection, freedom of information and conflicts of interest

8.1 Data Protection

The information you submit on this form will be retained and used by the NICE and its advisers for the purpose of developing its guidance and may be passed to other approved third parties. Your name and specialist society will be published in NICE publications and on the NICE website. The specialist advice questionnaire will be published in accordance with our guidance development processes and a copy will be sent to the nominating Specialist Society. Please avoid identifying any individual in your comments.

xI have read and understood this statement and accept that personal information sent to us will be retained and used for the purposes and in the manner specified above and in accordance with the Data Protection Act 1998.

8.2 Declarations of interest by Specialist Advisers advising the NICE Interventional Procedures Advisory Committee

Nothing in your submission shall restrict any disclosure of information by NICE that is required by law (including in particular, but without limitation, the Freedom of Information Act 2000).

Please submit a conflicts of interest declaration form listing any potential conflicts of interest including any involvement you may have in disputes or complaints relating to this procedure.

Please use the "Conflicts of Interest for Specialist Advisers" policy as a guide when declaring any conflicts of interest. Specialist Advisers should seek advice if needed from the Associate Director – Interventional Procedures.

Do you or a member of your family¹ have a **personal pecuniary** interest? The main examples are as follows:

| Consultancies or directorships attracting regular or occasional payments in cash or kind | | YES NO |
|--|-----------|-----------|
| Fee-paid work – any work commissioned by the healthcare industry – this includes income earned in the course of private practice | | YES NO |
| Shareholdings – any shareholding, or other beneficial interest, in shares of the healthcare industry | | YES NO |
| Expenses and hospitality – any expenses provided by a healthcare industry company beyond those reasonably required for accommodation, meals and travel to attend meetings and conferences | | YES |
| Investments – any funds that include investments in the healthcare industry | | NO YES |
| Do you have a personal non-pecuniary interest – for example have you made a public statement about the topic or do you hold an office in a professional organisation or advocacy group with a direct interest in the topic? | | NO YES |
| | | NO |
| Do you have a non-personal interest? The main examples are as follows: | | |
| Fellowships endowed by the healthcare industry | | YES |
| | \square | NO |
| Support by the healthcare industry or NICE that benefits his/her position or department, eg grants, sponsorship of posts | | YES |
| | | NO |
| If you have answered YES to any of the above statements, please desonature of the conflict(s) below. | cribe | the |

Comments:

Thank you very much for your help.

Dr Tom Clutton-Brock, Interventional P Procedures Advisory Committee Chair

Professor Carole Longson, Director, Centre for Health Technology Evaluation.

¹ 'Family members' refers to a spouse or partner living in the same residence as the member or employee, children for whom the member or employee is legally responsible, and adults for whom the member or employee is legally responsible (for example, an adult whose full power of attorney is held by the individual).

Jan 2016

Conflicts of Interest for Specialist Advisers

1 Declarations of interest by Specialist Advisers advising the NICE Interventional Procedures Advisory Committee

- 1.1 Any conflicts of interest set out below should be declared on the questionnaire the Specialist Adviser completes for the procedure.
- 1.2 Specialist Advisers should seek advice if required from the Associate Director – Interventional Procedures.

2 **Personal pecuniary interests**

- 2.1 A personal pecuniary interest involves a current personal payment to a Specialist Adviser, which may either relate to the manufacturer or owner of a product or service being evaluated, in which case it is regarded as **'specific'** or to the industry or sector from which the product or service comes, in which case it is regarded as **'non-specific'**. The main examples are as follows.
- 2.1.1 **Consultancies** any consultancy, directorship, position in or work for the healthcare industry that attracts regular or occasional payments in cash or kind (this includes both those which have been undertaken in the 12 months preceding the point at which the declaration is made and which are planned but have not taken place).
- 2.1.2 **Fee-paid work** any work commissioned by the healthcare industry for which the member is paid in cash or in kind (this includes both those which have been undertaken in the 12 months preceding the point at which the declaration is made and which are planned but have not taken place).
- 2.1.3 **Shareholdings** any shareholding, or other beneficial interest, in shares of the healthcare industry that are either held by the individual or for which the individual has legal responsibility (for example, children, or relatives whose full Power of Attorney is held by the individual). This does not include shareholdings through unit trusts, pensions funds, or other similar arrangements where the member has no influence on financial management.
- 2.1.4 **Expenses and hospitality** any expenses provided by a healthcare industry company beyond that reasonably required for accommodation, meals and travel to attend meetings and conferences (this includes both those which have been undertaken in the 12 months preceding the point at which the declaration is made and which are planned but have not taken place.
- 2.1.5 **Investments** any funds which include investments in the healthcare industry that are held in a portfolio over which individuals have the ability to instruct the fund manager as to the composition of the fund.
- 2.2 No personal interest exists in the case of:
- 2.2.1 assets over which individuals have no financial control (for example, wide portfolio unit trusts and occupational pension funds) and where the fund manager has full discretion as to its composition (for example, the Universities Superannuation Scheme)
- 2.2.2 accrued pension rights from earlier employment in the healthcare industry.

3 **Personal family interest**

- 3.1 This relates to the personal interests of a family member and involves a **current payment** to the family member of the Specialist Adviser. The interest may relate to the manufacturer or owner of a product or service being evaluated, in which case it is regarded as '**specific**', or to the industry or sector from which the product or service comes, in which case it is regarded as '**non-specific**'. The main examples include the following.
- 3.1.1 Any consultancy, directorship, position in or work for a healthcare industry that attracts regular or occasional payments in cash or in kind.
- 3.1.2 Any fee-paid work commissioned by a healthcare industry for which the member is paid in cash or in kind.
- 3.1.3 Any shareholdings, or other beneficial interests, in a healthcare industry which are either held by the family member or for which an individual covered by this Code has legal responsibility (for example, children, or adults whose full Power of Attorney is held by the individual).
- 3.1.4 Expenses and hospitality provided by a healthcare industry company (except where they are provided to a general class of people such as attendees at an open conference)
- 3.1.5 Funds which include investments in the healthcare industry that are held in a portfolio over which individuals have the ability to instruct the fund manager as to the composition of the fund.
- 3.2 No personal family interest exists in the case of:
- 3.2.1 assets over which individuals have no financial control (for example, wide portfolio unit trusts and occupational pension funds) and where the fund manager has full discretion as to its composition (for example, the Universities Superannuation Scheme)
- 3.2.2 accrued pension rights from earlier employment in the healthcare industry.

4 **Personal non-pecuniary interests**

These might include, but are not limited to:

- 4.1 a clear opinion, reached as the conclusion of a research project, about the clinical and/or cost effectiveness of an intervention under review
- 4.2 a public statement in which an individual covered by this Code has expressed a clear opinion about the matter under consideration, which could reasonably be interpreted as prejudicial to an objective interpretation of the evidence
- 4.3 holding office in a professional organisation or advocacy group with a direct interest in the matter under consideration
- 4.4 other reputational risks in relation to an intervention under review.

5 Non-personal interests

5.1 A non-personal interest involves payment that benefits a department or organisation for which a Specialist Advisor is responsible, but that is not received by the Specialist Advisor personally. This may either relate to the product or service being evaluated, in which case it is regarded as **'specific,'** or to the manufacturer or owner of the product or service, but is unrelated to the matter under consideration, in which case it is regarded as **'non-specific'**. The main examples are as follows.

- 5.1.1 **Fellowships** the holding of a fellowship endowed by the healthcare industry.
- 5.1.2 **Support by the healthcare industry or NICE** any payment, or other support by the healthcare industry or by NICE that does not convey any pecuniary or material benefit to a member personally but that does benefit his/her position or department. For example:
- a grant from a company for the running of a unit or department for which a Specialist Advisor is responsible
- a grant, fellowship or other payment to sponsor a post or member of staff in the unit for which a Specialist Adviser is responsible. This does not include financial assistance for students
- the commissioning of research or other work by, or advice from, staff who work in a unit for which the specialist advisor is responsible
- one or more contracts with, or grants from, NICE.
- 5.2 Specialist Advisers are under no obligation to seek out knowledge of work done for, or on behalf of, the healthcare industry within departments for which they are responsible if they would not normally expect to be informed.

NATIONAL INSTITUTE FOR HEALTH AND CARE EXCELLENCE

Interventional Procedures Programme

Specialist Adviser questionnaire

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Please respond in the boxes provided.

| Please complete and return to: | tristan.mckenna@nice.org.uk |
|--------------------------------|-----------------------------|
| | |

| Procedure Name: | IP651/2 Canaloplasty for primary open-angle glaucoma |
|-----------------------------|--|
| Name of Specialist Advisor: | Keith Barton |
| Specialist Society: | The Royal College of Ophthalmologists |

1 Do you have adequate knowledge of this procedure to provide advice?

- X Yes.
- No please return the form/answer no more questions.

1.1 Does the title used above describe the procedure adequately?

- X Yes.
- No. If no, please enter any other titles below.

Comments:

- 2 Your involvement in the procedure
- 2.1 Is this procedure relevant to your specialty?
- X Yes.
- Is there any kind of inter-specialty controversy over the procedure?



No. If no, then answer no more questions, but please give any information you can about who is likely to be doing the procedure.

Comments:

The next 2 questions are about whether you carry out the procedure, or refer patients for it. If you are in a specialty that normally carries out the procedure please answer question 2.2.1. If you are in a specialty that normally selects or refers patients for the procedure, please answer question 2.2.2.

- 2.2.1 If you are in a specialty that does this procedure, please indicate your experience with it:
- X I have never done this procedure.
- I have done this procedure at least once.
- I do this procedure regularly.

Comments:

- 2.2.2 If your specialty is involved in patient selection or referral to another specialty for this procedure, please indicate your experience with it.
- I have never taken part in the selection or referral of a patient for this procedure.
- I have taken part in patient selection or referred a patient for this procedure at least once.
- **X** I take part in patient selection or refer patients for this procedure regularly.

Comments:

I have a high volume tertiary referral specialist glaucoma surgical practice. I don't perform this procedure out of choice rather than lack of availability. I select and operate on several hundred patients a year for glaucoma surgery but do not refer for this procedure.

2.3 Please indicate your research experience relating to this procedure (please choose one or more if relevant):

- I have done bibliographic research on this procedure.
- I have done research on this procedure in laboratory settings (e.g. device-related research).
- I have done clinical research on this procedure involving patients or healthy volunteers.

I have had no involvement in research on this procedure.

X Other (please comment)

Comments:

As a specialist in glaucoma surgery I offer most of the available procedures to my patients. I consult widely with industry and lecture on glaucoma surgery at more than 20 international conferences each year. I don't offer this procedure or research on it because of:

- 1. Perceived lack of efficacy
- 2. Lack of high level research into efficacy
- 3. There are currently many other options for glaucoma patients requiring surgery
- 4. It is not widely practiced in the UK

3 Status of the procedure

3.1 Which of the following best describes the procedure (choose one):

- **X** Established practice and no longer new.
- A minor variation on an existing procedure, which is unlikely to alter the procedure's safety and efficacy.
- Definitely novel and of uncertain safety and efficacy.
- The first in a new class of procedure.

Comments:

Not new. Has been around for 10-11 years, but is not widely used in the UK. I ran a Royal College of Ophthalmologists seminar on glaucoma surgery last year and was unable to find an expert speaker from the UK. I had to fly someone in from Canada.

3.2 What would be the comparator (standard practice) to this procedure?

In terms of efficacy, it is perceived to be less effective but safer than trabeculectomy which is the conventional standard comparator of glaucoma surgery. However, there are many other procedures that are similarly less effective but safer. Many of the newer devices iStent, Hydrus, Cypass, MicroShunt have been subjected to stringent phase III clinical trials for FDA approval. Canaloplasty has not

3.3 Please estimate the proportion of doctors in your specialty who are doing this procedure (choose one):

More than 50% of specialists engaged in this area of work.

10% to 50% of specialists engaged in this area of work.

- **X** Fewer than 10% of specialists engaged in this area of work.
- Cannot give an estimate.

Comments:

4 Safety and efficacy

4.1 What is the potential harm of the procedure?

Please list adverse events and major risks (even if uncommon) and, if possible, estimate their incidence, as follows:

1. Adverse events reported in the literature (if possible please cite literature)

Full thickness penetration of the anterior chamber with the catheter can result in bleeding in the anterior chamber or leakage through the wound. These are generally not serious complications.

Lewis RA, von Wolff K, Tetz M, et al. Canaloplasty: Three year results of circumferential viscodilation and tensioning of Schlemm's canal using a microcatheter to treat of openangle glaucoma J Cataract Refract Surg. 2011;37:682-690.

2. Anecdotal adverse events (known from experience)

None apart from the above and a possible opportunity cost in that the canaloplasty procedure, unlike some of the newer minimally invasive procedures, uses up an area of conjunctiva at the superior limbus, and thereby reducing the chance of success of a later trabeculectomy procedure, should one be required.

3. Theoretical adverse events

none

4.2 What are the key efficacy outcomes for this procedure?

Lowering intraocular pressure in order to prevent progression of glaucoma

4.3 Are there uncertainties or concerns about the *efficacy* of this procedure? If so, what are they?

Yes. There are no substantive well-conducted trials of efficacy

4.4 What training and facilities are needed to do this procedure safely?

The portion of the surgery requiring most skill is the insertion of a catheter through 360 degees of Schlemm's canal. This does not require a great deal of training but does require some, ideally with some wetlab training in advance.

4.5 Are there any major trials or registries of this procedure currently in progress? If so, please list.

No

4.6 Are you aware of any abstracts that have been *recently* presented/ published on this procedure that may not be listed in a standard literature search, for example PUBMED? (This can include your own work). If yes, please list.
Please note that NICE will do a literature search: we are only asking you for any very recent or potentially obscure abstracts and papers. Please do not feel the need to supply a comprehensive reference list (but you

No

4.7 Is there controversy, or important uncertainty, about any aspect of the way in which this procedure is currently being done or disseminated?

may list any that you think are particularly important if you wish).

Not particularly

5 Audit Criteria

Please suggest a minimum dataset of criteria by which this procedure could be audited.

5.1 Outcome measures of benefit (including commonly used clinical outcomes, both short and long - term; and quality-of-life measures):

Intraocular pressure lowering

5.2 Adverse outcomes (including potential early and late complications):

Hyphaema (bleeding in the anterior chamber) Ocular hypotony Wound leakage

6 Trajectory of the procedure

6.1 In your opinion, how quickly do you think use of this procedure will spread?

Too late for that. It has been around for 10 years and hasn't really taken off. There are many new types of surgical device for glaucoma now, driven by large companies. I think canaloplasty is more likely to slowly disappear than take off, although there are a hard core of users in Germany and Switzerland.

6.2 This procedure, if safe and efficacious, is likely to be carried out in (choose one):

- Most or all district general hospitals.
- A minority of hospitals, but at least 10 in the UK.
- **X** Fewer than 10 specialist centres in the UK.
- Cannot predict at present.

Comments:

6.3 The potential impact of this procedure on the NHS, in terms of numbers of patients eligible for treatment and use of resources, is:

- Major.
- Moderate.
- X Minor.

Comments:

7 Other information

7.1 Is there any other information about this procedure that might assist NICE in assessing the possible need to investigate its use?

Cost. Requires a new disposable catheter each time which is expensive.

8 Data protection and conflicts of interest

8. Data protection, freedom of information and conflicts of interest

8.1 Data Protection

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□ I have read and understood this statement and accept that personal information sent to us will be retained and used for the purposes and in the manner specified above and in accordance with the Data Protection Act 1998.

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Do you or a member of your family¹ have a **personal pecuniary** interest? The main examples are as follows:

| Consultancies or directorships attracting regular or occasional payments in cash or kind | | YES |
|--|---|-----|
| | | NO |
| Fee-paid work – any work commissioned by the healthcare industry – | Χ | YES |
| this includes income earned in the course of private practice | | NO |
| Shareholdings – any shareholding, or other beneficial interest, in shares | Χ | YES |
| of the healthcare industry | | NO |
| Expenses and hospitality – any expenses provided by a healthcare industry company beyond those reasonably required for accommodation, | x | YES |
| meals and travel to attend meetings and conferences | | NO |
| Investments – any funds that include investments in the healthcare industry | | YES |
| | | NO |
| Do you have a personal non-pecuniary interest – for example have you made a public statement about the topic or do you hold an office in a professional organisation or advocacy group with a direct interest in the topic? | | YES |
| | | NO |
| Do you have a non-personal interest? The main examples are as follows: | | |
| Fellowships endowed by the healthcare industry | | YES |
| | Χ | NO |

¹ 'Family members' refers to a spouse or partner living in the same residence as the member or employee, children for whom the member or employee is legally responsible, and adults for whom the member or employee is legally responsible (for example, an adult whose full power of attorney is held by the individual).

Support by the healthcare industry or NICE that benefits his/her **YES** position or department, eg grants, sponsorship of posts

X NO

If you have answered YES to any of the above statements, please describe the nature of the conflict(s) below.

| Comments: Financial disclosures Lecture honoraria: | (last 5 years): Allergan, Thea, Alcon |
|---|--|
| Advisory boards: | Glaukos, Alcon, Merck, Kowa, Amakem, Thea, Refocus, Ivantis |
| Consultancy: | Alcon, Aquesys, Ivantis, Refocus, Carl Zeiss Meditec, Kowa, Santen, Transcend Medical |

Educational Grants / Research funding: AMO, Alcon, Merck, Allergan, Refocus

Stock: AqueSys (Options), Vision Futures (UK) Itd (Director), London Claremont Clinic Ltd (Director), Vision Medical Events Ltd (Director)

In terms of non-pecuniary interests, I am the chair of a charity for patients with glaucoma, the International Glaucoma Association.

Thank you very much for your help.

| Dr Tom Clutton-Brock, Interventional | Professor Carole Longson, Director, |
|--------------------------------------|-------------------------------------|
| Procedures Advisory Committee Chair | Centre for Health Technology |
| | Evaluation. |

Jan 2016

Conflicts of Interest for Specialist Advisers

1 Declarations of interest by Specialist Advisers advising the NICE Interventional Procedures Advisory Committee

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- 2.1.1 **Consultancies** any consultancy, directorship, position in or work for the healthcare industry that attracts regular or occasional payments in cash or kind (this includes both those which have been undertaken in the 12 months preceding the point at which the declaration is made and which are planned but have not taken place).
- 2.1.2 **Fee-paid work** any work commissioned by the healthcare industry for which the member is paid in cash or in kind (this includes both those which have been undertaken in the 12 months preceding the point at which the declaration is made and which are planned but have not taken place).
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3 **Personal family interest**

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- 3.1.1 Any consultancy, directorship, position in or work for a healthcare industry that attracts regular or occasional payments in cash or in kind.
- 3.1.2 Any fee-paid work commissioned by a healthcare industry for which the member is paid in cash or in kind.
- 3.1.3 Any shareholdings, or other beneficial interests, in a healthcare industry which are either held by the family member or for which an individual covered by this Code has legal responsibility (for example, children, or adults whose full Power of Attorney is held by the individual).
- 3.1.4 Expenses and hospitality provided by a healthcare industry company (except where they are provided to a general class of people such as attendees at an open conference)
- 3.1.5 Funds which include investments in the healthcare industry that are held in a portfolio over which individuals have the ability to instruct the fund manager as to the composition of the fund.
- 3.2 No personal family interest exists in the case of:
- 3.2.1 assets over which individuals have no financial control (for example, wide portfolio unit trusts and occupational pension funds) and where the fund manager has full discretion as to its composition (for example, the Universities Superannuation Scheme)
- 3.2.2 accrued pension rights from earlier employment in the healthcare industry.

4 **Personal non-pecuniary interests**

These might include, but are not limited to:

- 4.1 a clear opinion, reached as the conclusion of a research project, about the clinical and/or cost effectiveness of an intervention under review
- 4.2 a public statement in which an individual covered by this Code has expressed a clear opinion about the matter under consideration, which could reasonably be interpreted as prejudicial to an objective interpretation of the evidence
- 4.3 holding office in a professional organisation or advocacy group with a direct interest in the matter under consideration
- 4.4 other reputational risks in relation to an intervention under review.

5 Non-personal interests

5.1 A non-personal interest involves payment that benefits a department or organisation for which a Specialist Advisor is responsible, but that is not received by the Specialist Advisor personally. This may either relate to the product or service being evaluated, in which case it is regarded as **'specific,'** or to the manufacturer or owner of the product or service, but is unrelated to the matter under consideration, in which case it is regarded as **'non-specific'**. The main examples are as follows.

- 5.1.1 **Fellowships** the holding of a fellowship endowed by the healthcare industry.
- 5.1.2 **Support by the healthcare industry or NICE** any payment, or other support by the healthcare industry or by NICE that does not convey any pecuniary or material benefit to a member personally but that does benefit his/her position or department. For example:
- a grant from a company for the running of a unit or department for which a Specialist Advisor is responsible
- a grant, fellowship or other payment to sponsor a post or member of staff in the unit for which a Specialist Adviser is responsible. This does not include financial assistance for students
- the commissioning of research or other work by, or advice from, staff who work in a unit for which the specialist advisor is responsible
- one or more contracts with, or grants from, NICE.
- 5.2 Specialist Advisers are under no obligation to seek out knowledge of work done for, or on behalf of, the healthcare industry within departments for which they are responsible if they would not normally expect to be informed.

NATIONAL INSTITUTE FOR HEALTH AND CARE EXCELLENCE

Interventional Procedures Programme

Specialist Adviser questionnaire

Before completing this questionnaire, please read <u>Conflicts of Interest for Specialist</u> <u>Advisers</u>. Certain conflicts exclude you from offering advice, however, please return the questionnaire to us incomplete for our records.

Please respond in the boxes provided.

Please complete and return to: tristan.mckenna@nice.org.uk

| Procedure Name: | IP651/2 Canaloplasty for primary open-angle glaucoma |
|-----------------------------|--|
| Name of Specialist Advisor: | Mr Gupta |
| Specialist Society: | The Royal College of Ophthalmologists |

1. Do you have adequate knowledge of this procedure to provide advice?

Yes.

1.1. Does the title used above describe the procedure adequately?

Yes.

Comments:

- 2. Your involvement in the procedure
- 2.1. Is this procedure relevant to your specialty?

Yes.

Comments:

The next 2 questions are about whether you carry out the procedure, or refer patients for it. If you are in a specialty that normally carries out the procedure please answer question 2.2.1. If you are in a specialty that normally selects or refers patients for the procedure, please answer question 2.2.2.

2.2.1 If you are in a specialty that does this procedure, please indicate your experience with it:

I have never done this procedure.

Comments:

2.2.2 If your specialty is involved in patient selection or referral to another specialty for this procedure, please indicate your experience with it.

I have never taken part in the selection or referral of a patient for this procedure.

Comments:

2.3. Please indicate your research experience relating to this procedure (please choose one or more if relevant):

I have had no involvement in research on this procedure.

Other (please comment)

Comments:

3. Status of the procedure

3.1. Which of the following best describes the procedure (choose one):

Established practice and no longer new.

Comments:

3.2. What would be the comparator (standard practice) to this procedure?

Trabeculectomy Viscocanulostomy

3.3. Please estimate the proportion of doctors in your specialty who are doing this procedure (choose one):

Fewer than 10% of specialists engaged in this area of work.

Cannot give an estimate.

Comments:

4. Safety and efficacy

4.1. What is the potential harm of the procedure?

Please list adverse events and major risks (even if uncommon) and, if possible, estimate their incidence, as follows:

1. Adverse events reported in the literature (if possible please cite literature)

Descenent Membrane Shipping Hyphaema , High prosure

- 2. Anecdotal adverse events (known from experience)
- 3. Theoretical adverse events

4.2. What are the key efficacy outcomes for this procedure?

Pressure control at 12 months again procedure 852 results in literature

4.3. Are there uncertainties or concerns about the *efficacy* of this procedure? If so, what are they?

Singical technique is Important

4.4. What training and facilities are needed to do this procedure safely?

Training by a Comultant Experienced in doing procedure

4.5. Are there any major trials or registries of this procedure currently in progress? If so, please list.

Please for represents attached to document

4.6. Are you aware of any abstracts that have been recently presented/ published on this procedure that may not be listed in a standard literature search, for example PUBMED? (This can include your own work). If yes, please list.

Please note that NICE will do a literature search: we are only asking you for any very recent or potentially obscure abstracts and papers. Please do not feel the need to supply a comprehensive reference list (but you may list any that you think are particularly important if you wish).

See a tached

4.7. Is there controversy, or important uncertainty, about any aspect of the way in which this procedure is currently being done or disseminated?

No

5 Audit Criteria

Please suggest a minimum dataset of criteria by which this procedure could be audited.

5.1 Outcome measures of benefit (including commonly used clinical outcomes, both short and long - term; and quality-of-life measures):

- Fandomised Control Tinal against Inabeculatory 5.2 Adverse outcomes (including potential early and late complications):

Aspontof Randomisid Guber trial

6 Trajectory of the procedure

In your opinion, how quickly do you think use of this procedure will 6.1 spread? Slowly

6.2 This procedure, if safe and efficacious, is likely to be carried out in (choose one):

Most or all district general hospitals.

A minority of hospitals, but at least 10 in the UK.

Fewer than 10 specialist centres in the UK.

Cannot predict at present.

Comments:

6.3 The potential impact of this procedure on the NHS, in terms of numbers of patients eligible for treatment and use of resources, is:

Major.

Moderate. Needs more Equipment than Trabeuleching Minor. Most costly than Trab but his comp failure

Comments:

7 Other information

7.1 Is there any other information about this procedure that might assist NICE in assessing the possible need to investigate its use?

NO

8 Data protection and conflicts of interest

8. Data protection, freedom of information and conflicts of interest

8.1 Data Protection

The information you submit on this form will be retained and used by the NICE and its advisers for the purpose of developing its guidance and may be passed to other approved third parties. Your name and specialist society will be published in NICE publications and on the NICE website. The specialist advice questionnaire will be published in accordance with our guidance development processes and a copy will be sent to the nominating Specialist Society. Please avoid identifying any individual in your comments.

I have read and understood this statement and accept that personal information sent to us will be retained and used for the purposes and in the manner specified above and in accordance with the Data Protection Act 1998.

8.2 Declarations of interest by Specialist Advisers advising the NICE Interventional Procedures Advisory Committee

Nothing in your submission shall restrict any disclosure of information by NICE that is required by law (including in particular, but without limitation, the Freedom of Information Act 2000).

Please submit a conflicts of interest declaration form listing any potential conflicts of interest including any involvement you may have in disputes or complaints relating to this procedure.

Please use the "Conflicts of Interest for Specialist Advisers" policy as a guide when declaring any conflicts of interest. Specialist Advisers should seek advice if needed from the Associate Director – Interventional Procedures.

Do you or a member of your family have a **personal pecuniary** interest? The main examples are as follows:

| Consultancies or directorships attracting regular or occasional payments in cash or kind | YES |
|--|-----|
| Fee-paid work – any work commissioned by the healthcare industry – this includes income earned in the course of private practice | YES |
| Shareholdings – any shareholding, or other beneficial interest, in shares of the healthcare industry | YES |
| Expenses and hospitality – any expenses provided by a healthcare industry company beyond those reasonably required for accommodation, meals and travel to attend meetings and conferences | YES |
| Investments – any funds that include investments in the healthcare industry | YES |
| Do you have a personal non-pecuniary interest – for example have you made a public statement about the topic or do you hold an office in a professional organisation or advocacy group with a direct interest in the topic? | YES |

Do you have a **non-personal** interest? The main examples are as follows:

| Fellowships endowed by the healthcare industry | YES |
|--|-----|
| Support by the healthcare industry or NICE that benefits his/her position or department, eg grants, sponsorship of posts | NO |
| | YES |
| | NO |

If you have answered YES to any of the above statements, please describe the nature of the conflict(s) below.

Comments:

Thank you very much for your help.

| i i i i i i i i i i i i i i i i i i i |
|---------------------------------------|
| Evaluation. |

Jan 2016