# NATIONAL INSTITUTE FOR HEALTH AND CARE EXCELLENCE

Interventional Procedures Programme

# **Specialist Adviser questionnaire**

Before completing this questionnaire, please read <u>Conflicts of Interest for Specialist Advisers</u>. Certain conflicts exclude you from offering advice, however, please return the questionnaire to us incomplete for our records.

Please respond in the boxes provided.			
Plea	se complete and return to: De	eonee.Stanislaus@nice.org.uk	
Procedure Name:		Intranasal phototherapy for allergic rhinitis	
Nan	ne of Specialist Advisor:	Samuel Leong	
Spe	cialist Society:	ENT UK	
1	Do you have adequate knowledge of this procedure to provide advice?		
$\boxtimes$	Yes.		
	No – please return the form/answer no more questions.		
1.1	Does the title used above o	describe the procedure adequately?	
$\boxtimes$	Yes.		
	No. If no, please enter any other titles below.		
Comments:			
2	Your involvement in the pr	rocedure	
2.1	Is this procedure relevant	to your specialty?	
$\bowtie$	Yes.		

Is there any kind of inter-specialty controversy over the procedure?

	No. If no, then answer no more questions, but please give any information you can about who is likely to be doing the procedure.			
Comr	nents:			
patier pleas	The next 2 questions are about whether you carry out the procedure, or refer patients for it. If you are in a specialty that normally carries out the procedure please answer question 2.2.1. If you are in a specialty that normally selects or refers patients for the procedure, please answer question 2.2.2.			
2.2.1	If you are in a specialty that does this procedure, please indicate your experience with it:			
$\boxtimes$	I have never done this procedure.			
	I have done this procedure at least once.			
	I do this procedure regularly.			
Comr	nents:			
2.2.2	If your specialty is involved in patient selection or referral to another specialty for this procedure, please indicate your experience with it.			
$\boxtimes$	I have never taken part in the selection or referral of a patient for this procedure.			
	I have taken part in patient selection or referred a patient for this procedure at least once.			
	I take part in patient selection or refer patients for this procedure regularly.			
Comr	nents:			
	Please indicate your research experience relating to this procedure (please choose one or more if relevant):			
$\boxtimes$	I have done bibliographic research on this procedure.			
	I have done research on this procedure in laboratory settings (e.g. device-related research).			
	I have done clinical research on this procedure involving patients or healthy volunteers.			
	I have had no involvement in research on this procedure.			

	Other (please comment)	
Com	nments:	
	ng SC. Rhinophototherapy: gimmick or an emerging treatment option for allergic tis? Rhinology. 2011 Dec;49(5):499-506. doi: 10.4193/Rhino11.118. Review.	
3	Status of the procedure	
3.1	Which of the following best describes the procedure (choose one):	
	Established practice and no longer new.	
	A minor variation on an existing procedure, which is unlikely to alter the procedure's safety and efficacy.	
$\boxtimes$	Definitely novel and of uncertain safety and efficacy.	
	The first in a new class of procedure.	
Com	nments:	
3.2	What would be the comparator (standard practice) to this procedure?	
3.3	Please estimate the proportion of doctors in your specialty who are doing this procedure (choose one):	
	More than 50% of specialists engaged in this area of work.	
	10% to 50% of specialists engaged in this area of work.	
	Fewer than 10% of specialists engaged in this area of work.	
$\boxtimes$	Cannot give an estimate.	
Com	nments:	
Intra	nasal phototherapy for allergic rhinitis is not undertaken in the United Kingdom.	
4	Safety and efficacy	
4.1	What is the potential harm of the procedure?	
Please list adverse events and major risks (even if uncommon) and, if possible, estimate their incidence, as follows:		

1. Adverse events reported in the literature (if possible please cite literature)

Leong SC. Rhinophototherapy: gimmick or an emerging treatment option for allergic rhinitis? Rhinology. 2011 Dec;49(5):499-506. doi: 10.4193/Rhino11.118. Review.

2. Anecdotal adverse events (known from experience)

Nil known

3. Theoretical adverse events

Nil known

## 4.2 What are the key efficacy outcomes for this procedure?

- 1. Change in patient-reported outcome measures, including quality of life assessments
- 2. Overall reduction in the requirement for nasal allergy medication

# 4.3 Are there uncertainties or concerns about the *efficacy* of this procedure? If so, what are they?

Inconsistent treatment protocols and outcome measures across various published studies compound objective assessment of efficacy.

## 4.4 What training and facilities are needed to do this procedure safely?

Instructional courses are required for clinicians undertaking this procedure which can be undertaken in standard out-patient setting.

4.5 Are there any major trials or registries of this procedure currently in progress? If so, please list.

Nil known

4.6 Are you aware of any abstracts that have been *recently* presented/ published on this procedure that may not be listed in a standard literature search, for example PUBMED? (This can include your own work). If yes, please list.

Please note that NICE will do a literature search: we are only asking you for any very recent or potentially obscure abstracts and papers. Please do not feel the need to supply a comprehensive reference list (but you may list any that you think are particularly important if you wish).

Nil known

- 4.7 Is there controversy, or important uncertainty, about any aspect of the way in which this procedure is currently being done or disseminated?
  - 1. Patient selection and suitability for phototherapy requires clarity.
  - 2. Treatment protocol requires standardisation.

5 Please audite	Audit Criteria ease suggest a minimum dataset of criteria by which this procedure could be dited.			
	5.1 Outcome measures of benefit (including commonly used clinical outcomes, both short and long - term; and quality-of-life measures). Please suggest the most appropriate method of measurement for each:			
2. 3.	Sinonasal outcome test (SNOT-22) Total Nasal Symptom scores (TNSS) Rhinoconjunctivitis Quality of Life Questionnaires (RQLQ) Peak nasal inspiratory flow (PNIF)			
	5.2 Adverse outcomes (including potential early and late complications). Please state timescales for measurement e.g. bleeding complications up to 1 month post-procedure:			
4 weel	ks, 8 weeks, 12 weeks			
6	Trajectory of the procedure			
6.1 spread	In your opinion, how quickly do you think use of this procedure will d?			
Slow ir rapidly	nitially but once more clinical data is disseminated, it is likely to grow more			
6.2 (choos	This procedure, if safe and efficacious, is likely to be carried out in se one):			
	Most or all district general hospitals.			
$\boxtimes$	A minority of hospitals, but at least 10 in the UK.			
	Fewer than 10 specialist centres in the UK.			
	Cannot predict at present.			
Comments:				
6.3 of pati	The potential impact of this procedure on the NHS, in terms of numbers ients eligible for treatment and use of resources, is:			
	Major.			
$\bowtie$	Moderate			

Minor.

#### Comments:

#### 7 Other information

# 7.1 Is there any other information about this procedure that might assist NICE in assessing the possible need to investigate its use?

Cho HK, Jeong YM, Lee HS, Lee YJ, Hwang SH. Efficacy of endonasal phototherapy for relieving the symptoms of allergic rhinitis: Meta-analysis. Am J Rhinol Allergy. 2015 Jul-Aug;29(4):283-91. doi: 10.2500/ajra.2015.29.4190.

# 8 Data protection and conflicts of interest

## 8. Data protection, freedom of information and conflicts of interest

#### 8.1 Data Protection

The information you submit on this form will be retained and used by the NICE and its advisers for the purpose of developing its guidance and may be passed to other approved third parties. Your name and specialist society will be published in NICE publications and on the NICE website. The specialist advice questionnaire will be published in accordance with our guidance development processes and a copy will be sent to the nominating Specialist Society. Please avoid identifying any individual in your comments.

I have read and understood this statement and accept that personal information sent to us will be retained and used for the purposes and in the manner specified above and in accordance with the Data Protection Act 1998.

# 8.2 Declarations of interest by Specialist Advisers advising the NICE Interventional Procedures Advisory Committee

Nothing in your submission shall restrict any disclosure of information by NICE that is required by law (including in particular, but without limitation, the Freedom of Information Act 2000).

Please submit a conflicts of interest declaration form listing any potential conflicts of interest including any involvement you may have in disputes or complaints relating to this procedure.

Please use the "Conflicts of Interest for Specialist Advisers" policy as a guide when declaring any conflicts of interest. Specialist Advisers should seek advice if needed from the Associate Director – Interventional Procedures.

Do you or a member of your family have a examples are as follows:	n personal pecuniary interest?	The m	nain
<b>Consultancies or directorships</b> attracting payments in cash or kind	g regular or occasional		YES NO
Fee-paid work – any work commissioned this includes income earned in the cour	,		YES NO
<b>Shareholdings</b> – any shareholding, or oth of the healthcare industry	er beneficial interest, in shares		YES NO
<b>Expenses and hospitality</b> – any expenses provided by a healthcare industry company beyond those reasonably required for accommodation, meals and travel to attend meetings and conferences			YES
<b>Investments</b> – any funds that include inveindustry			NO YES
Do you have a <b>personal non-pecuniary</b> interest – for example have you made a public statement about the topic or do you hold an office in a			NO YES
professional organisation or advocacy group with a direct interest in the topic?		$\boxtimes$	NO
Do you have a <b>non-personal</b> interest? The	e main examples are as follows:		
Fellowships endowed by the healthcare industry			YES
		$\boxtimes$	NO
Support by the healthcare industry or N			YES
position or department, eg grants, sponsor	ship of posts	$\boxtimes$	NO
If you have answered YES to any of the above statements, please describe the nature of the conflict(s) below.			
Comments:  Receive a fee from John Wiley & Sons Inc. for services as the Associate Editor of Clinical Otolaryngology journal.			
Thank you very much for your help.			
Dr Tom Clutton-Brock, Interventional Procedures Advisory Committee Chair	Professor Carole Longson, D Centre for Health Technology Evaluation.		or,
Jan 2016			

<sup>&</sup>lt;sup>1</sup> 'Family members' refers to a spouse or partner living in the same residence as the member or employee, children for whom the member or employee is legally responsible, and adults for whom the member or employee is legally responsible (for example, an adult whose full power of attorney is held by the individual).

## **Conflicts of Interest for Specialist Advisers**

- 1 Declarations of interest by Specialist Advisers advising the NICE Interventional Procedures Advisory Committee
- 1.1 Any conflicts of interest set out below should be declared on the questionnaire the Specialist Adviser completes for the procedure.
- 1.2 Specialist Advisers should seek advice if required from the Associate Director

   Interventional Procedures.

# 2 Personal pecuniary interests

- 2.1 A personal pecuniary interest involves a current personal payment to a Specialist Adviser, which may either relate to the manufacturer or owner of a product or service being evaluated, in which case it is regarded as 'specific' or to the industry or sector from which the product or service comes, in which case it is regarded as 'non-specific'. The main examples are as follows.
- 2.1.1 Consultancies any consultancy, directorship, position in or work for the healthcare industry that attracts regular or occasional payments in cash or kind (this includes both those which have been undertaken in the 12 months preceding the point at which the declaration is made and which are planned but have not taken place).
- 2.1.2 **Fee-paid work** any work commissioned by the healthcare industry for which the member is paid in cash or in kind (this includes both those which have been undertaken in the 12 months preceding the point at which the declaration is made and which are planned but have not taken place).
- 2.1.3 Shareholdings any shareholding, or other beneficial interest, in shares of the healthcare industry that are either held by the individual or for which the individual has legal responsibility (for example, children, or relatives whose full Power of Attorney is held by the individual). This does not include shareholdings through unit trusts, pensions funds, or other similar arrangements where the member has no influence on financial management.
- 2.1.4 **Expenses and hospitality** any expenses provided by a healthcare industry company beyond that reasonably required for accommodation, meals and travel to attend meetings and conferences (this includes both those which have been undertaken in the 12 months preceding the point at which the declaration is made and which are planned but have not taken place.
- 2.1.5 **Investments** any funds which include investments in the healthcare industry that are held in a portfolio over which individuals have the ability to instruct the fund manager as to the composition of the fund.
- 2.2 No personal interest exists in the case of:
- 2.2.1 assets over which individuals have no financial control (for example, wide portfolio unit trusts and occupational pension funds) and where the fund manager has full discretion as to its composition (for example, the Universities Superannuation Scheme)
- 2.2.2 accrued pension rights from earlier employment in the healthcare industry.

# 3 Personal family interest

- 3.1 This relates to the personal interests of a family member and involves a **current payment** to the family member of the Specialist Adviser. The interest may relate to the manufacturer or owner of a product or service being evaluated, in which case it is regarded as '**specific**', or to the industry or sector from which the product or service comes, in which case it is regarded as '**non-specific**'. The main examples include the following.
- 3.1.1 Any consultancy, directorship, position in or work for a healthcare industry that attracts regular or occasional payments in cash or in kind.
- 3.1.2 Any fee-paid work commissioned by a healthcare industry for which the member is paid in cash or in kind.
- 3.1.3 Any shareholdings, or other beneficial interests, in a healthcare industry which are either held by the family member or for which an individual covered by this Code has legal responsibility (for example, children, or adults whose full Power of Attorney is held by the individual).
- 3.1.4 Expenses and hospitality provided by a healthcare industry company (except where they are provided to a general class of people such as attendees at an open conference)
- 3.1.5 Funds which include investments in the healthcare industry that are held in a portfolio over which individuals have the ability to instruct the fund manager as to the composition of the fund.
- 3.2 No personal family interest exists in the case of:
- 3.2.1 assets over which individuals have no financial control (for example, wide portfolio unit trusts and occupational pension funds) and where the fund manager has full discretion as to its composition (for example, the Universities Superannuation Scheme)
- 3.2.2 accrued pension rights from earlier employment in the healthcare industry.

## 4 Personal non-pecuniary interests

These might include, but are not limited to:

- 4.1 a clear opinion, reached as the conclusion of a research project, about the clinical and/or cost effectiveness of an intervention under review
- 4.2 a public statement in which an individual covered by this Code has expressed a clear opinion about the matter under consideration, which could reasonably be interpreted as prejudicial to an objective interpretation of the evidence
- 4.3 holding office in a professional organisation or advocacy group with a direct interest in the matter under consideration
- 4.4 other reputational risks in relation to an intervention under review.

# 5 Non-personal interests

A non-personal interest involves payment that benefits a department or organisation for which a Specialist Advisor is responsible, but that is not received by the Specialist Advisor personally. This may either relate to the product or service being evaluated, in which case it is regarded as 'specific,' or to the manufacturer or owner of the product or service, but is unrelated to the matter under consideration, in which case it is regarded as 'non-specific'. The main examples are as follows.

- 5.1.1 **Fellowships** the holding of a fellowship endowed by the healthcare industry.
- 5.1.2 **Support by the healthcare industry or NICE** any payment, or other support by the healthcare industry or by NICE that does not convey any pecuniary or material benefit to a member personally but that does benefit his/her position or department. For example:
- a grant from a company for the running of a unit or department for which a Specialist Advisor is responsible
- a grant, fellowship or other payment to sponsor a post or member of staff in the unit for which a Specialist Adviser is responsible. This does not include financial assistance for students
- the commissioning of research or other work by, or advice from, staff who work in a unit for which the specialist advisor is responsible
- one or more contracts with, or grants from, NICE.
- 5.2 Specialist Advisers are under no obligation to seek out knowledge of work done for, or on behalf of, the healthcare industry within departments for which they are responsible if they would not normally expect to be informed.

# NATIONAL INSTITUTE FOR HEALTH AND CARE EXCELLENCE

Interventional Procedures Programme

# **Specialist Adviser questionnaire**

Before completing this questionnaire, please read <u>Conflicts of Interest for Specialist Advisers</u>. Certain conflicts exclude you from offering advice, however, please return the questionnaire to us incomplete for our records.

Please respond in the boxes provided.				
Pleas	Please complete and return to: Deonee.Stanislaus@nice.org.uk			
Proc	edure Name:	Intranasal phototherapy for allergic rhinitis		
Name	e of Specialist Advisor:	Sean Carrie		
Spec	ialist Society:	ENT UK		
1	Do you have adequate know	ledge of this procedure to provide advice?		
x	Yes.			
	No – please return the form/answer no more questions.			
1.1	1.1 Does the title used above describe the procedure adequately?			
x	Yes.			
	No. If no, please enter any other titles below.			
Comments:				
2	Your involvement in the production	cedure		
2.1	Is this procedure relevant to	your specialty?		
x	Yes.			

	Is there any kind of inter-specialty controversy over the procedure?			
	No. If no, then answer no more questions, but please give any information you can about who is likely to be doing the procedure.			
Com	ments:			
patie pleas	The next 2 questions are about whether you carry out the procedure, or refer patients for it. If you are in a specialty that normally carries out the procedure please answer question 2.2.1. If you are in a specialty that normally selects or refers patients for the procedure, please answer question 2.2.2.			
2.2.1	If you are in a specialty that does this procedure, please indicate your experience with it:			
X	I have never done this procedure.			
	I have done this procedure at least once.			
	I do this procedure regularly.			
Com	ments:			
2.2.2	If your specialty is involved in patient selection or referral to another specialty for this procedure, please indicate your experience with it.			
x	I have never taken part in the selection or referral of a patient for this procedure.			
	I have taken part in patient selection or referred a patient for this procedure at least once.			
	I take part in patient selection or refer patients for this procedure regularly.			
Com	ments:			
2.3	Please indicate your research experience relating to this procedure (please choose one or more if relevant):			
	I have done bibliographic research on this procedure.			
	I have done research on this procedure in laboratory settings (e.g. device-related research).			

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	I have done clinical research on this procedure involving patients or healthy volunteers.		
X	I have had no involvement in research on this procedure.		
	Other (please comment)		
Com	ments:		
3	Status of the procedure		
3.1	Which of the following best describes the procedure (choose one):		
	Established practice and no longer new.		
	A minor variation on an existing procedure, which is unlikely to alter the procedure's safety and efficacy.		
x	Definitely novel and of uncertain safety and efficacy.		
	The first in a new class of procedure.		
Com	ments:		
3.2	What would be the comparator (standard practice) to this procedure?		
Medical treatment with an antihistamine preparation either orally or intranasally is first line treatment. Second line therapy would involve treatment with a nasal steroid spray. Failure of these treatments would involve further discussion with the patient and, if relevant, consideration of immunotherapy in appropriate cases.			
3.3	Please estimate the proportion of doctors in your specialty who are doing this procedure (choose one):		
	More than 50% of specialists engaged in this area of work.		
	10% to 50% of specialists engaged in this area of work.		
x	Fewer than 10% of specialists engaged in this area of work.		
	Cannot give an estimate.		
Comments:			

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# 4 Safety and efficacy

# 4.1 What is the potential harm of the procedure?

Please list adverse events and major risks (even if uncommon) and, if possible, estimate their incidence, as follows:

- 1. Adverse events reported in the literature (if possible please cite literature) local irritation to nasal lining
- 2. Anecdotal adverse events (known from experience) n/a
- 3. Theoretical adverse events none known

# 4.2 What are the key efficacy outcomes for this procedure?

improvement in patients' symptoms

4.3 Are there uncertainties or concerns about the *efficacy* of this procedure? If so, what are they?

There is a lack of good evidence to underpin recommendation as a treatment at this time, I suspect.

4.4 What training and facilities are needed to do this procedure safely?

Limited training would be required to train the surgeon/patient in how to administer and control the treatment

4.5 Are there any major trials or registries of this procedure currently in progress? If so, please list.

none known

4.6 Are you aware of any abstracts that have been *recently* presented/ published on this procedure that may not be listed in a standard literature search, for example PUBMED? (This can include your own work). If yes, please list.

Please note that NICE will do a literature search: we are only asking you for any very recent or potentially obscure abstracts and papers. Please

do not feel the need to supply a comprehensive reference list (but you may list any that you think are particularly important if you wish).

none known

4.7 Is there controversy, or important uncertainty, about any aspect of the way in which this procedure is currently being done or disseminated?

Overall lack of evidence on type of light therapy, duration of treatment and outcomes.

#### 5 Audit Criteria

Please suggest a minimum dataset of criteria by which this procedure could be audited.

5.1 Outcome measures of benefit (including commonly used clinical outcomes, both short and long - term; and quality-of-life measures). Please suggest the most appropriate method of measurement for each:

Suggest a PROM such as the SNOT22 scale or the NOSE scale. Consideration should also be given to an objective assessment of nasal airflow. However, the latter is not commonly available routinely in UK ENT clinics.

5.2 Adverse outcomes (including potential early and late complications). Please state timescales for measurement e.g. bleeding complications up to 1 month post-procedure:

Bleeding upto 2 weeks, Nasal irritation up to 4 weeks

- 6 Trajectory of the procedure
- 6.1 In your opinion, how quickly do you think use of this procedure will spread?

Not quickly unless there is good evidence for efficacy and side effect profile.

6.2 This procedure, if safe and efficacious, is likely to be carried out in (choose one):		
	Most or all district general hospitals.	
	A minority of hospitals, but at least 10 in the UK.	
	Fewer than 10 specialist centres in the UK.	
X	Cannot predict at present.	

#### **Comments:**

Depends on factors listed in Q6.1 and costs.

6.3 The potential impact of this procedure on the NHS, in terms of numbers of patients eligible for treatment and use of resources, is:			
☐ Major.			
Moderate.			
<b>x</b> Minor.			
Comments: I think most patients would continue with medical therapy alone.			
7 Other information			
7.1 Is there any other information about this procedure that might assist NICE in assessing the possible need to investigate its use?			
none known			
8 Data protection and conflicts of interest			
8. Data protection, freedom of information and conflicts of interest			
8.1 Data Protection			
The information you submit on this form will be retained and used by the NICE and its advisers for the purpose of developing its guidance and may be passed to other approved third parties. Your name and specialist society will be published in NICE publications and on the NICE website. The specialist advice questionnaire will be published in accordance with our guidance development processes and a copy will be sent to the nominating Specialist Society. Please avoid identifying any individual in your comments.			
x I have read and understood this statement and accept that personal information sent to us will be retained and used for the purposes and in the manner specified above and in accordance with the Data Protection Act 1998.			

8.2 Declarations of interest by Specialist Advisers advising the NICE Interventional Procedures Advisory Committee

Nothing in your submission shall restrict any disclosure of information by NICE that is required by law (including in particular, but without limitation, the Freedom of Information Act 2000).

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Do you or a member of your family<sup>1</sup> have a **personal pecuniary** interest? The main examples are as follows:

Consultancies or directorships attracting regular or occasional payments in cash or kind	Ш	YES
payments in easi of kind		NO
Fee-paid work – any work commissioned by the healthcare industry – this includes income earned in the course of private practice		YES
		NO
<b>Shareholdings</b> – any shareholding, or other beneficial interest, in shares of the healthcare industry		YES
of the healthcare industry	X	NO
<b>Expenses and hospitality</b> – any expenses provided by a healthcare industry company beyond those reasonably required for accommodation,		YES
meals and travel to attend meetings and conferences	X	NO
Investments – any funds that include investments in the healthcare		YES
industry	X	NO
Do you have a <b>personal non-pecuniary</b> interest – for example have you made a public statement about the topic or do you hold an office in a		YES
professional organisation or advocacy group with a direct interest in the topic?	x	NO
Do you have a <b>non-personal</b> interest? The main examples are as follows:		
Fellowships endowed by the healthcare industry		YES
	X	NO
Support by the healthcare industry or NICE that benefits his/her position or department, eg grants, sponsorship of posts		YES
position of department, og grante, openiosiernp er posite		NO
If you have answered YES to any of the above statements, please describe the nature of the conflict(s) below.		
Comments:		

<sup>&</sup>lt;sup>1</sup> 'Family members' refers to a spouse or partner living in the same residence as the member or employee, children for whom the member or employee is legally responsible, and adults for whom the member or employee is legally responsible (for example, an adult whose full power of attorney is held by the individual).

FEE PAID WORK: I have an ENT private practice and treat patients with nasal disorders who are referred by their GPs to me.

SUPPORT BY HEALTHCARE INDUSTRY: I am Chief Investigator of an NIHR finded grant into the clinical and cost effectiveness of nasal septoplasty surgery. OFFICE BEARER: I am President of the British Rhinological Society and Presidentelect of the European Rhinological Society, both of which are professional societies aimed to improve education of clinicians and patients alike in nasal disorders.

Thank you very much for your help.

**Dr Tom Clutton-Brock. Interventional Procedures Advisory Committee Chair Centre for Health Technology** 

**Professor Carole Longson, Director,** Evaluation.

Jan 2016

## **Conflicts of Interest for Specialist Advisers**

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- 2.2 No personal interest exists in the case of:
- 2.2.1 assets over which individuals have no financial control (for example, wide portfolio unit trusts and occupational pension funds) and where the fund manager has full discretion as to its composition (for example, the Universities Superannuation Scheme)
- 2.2.2 accrued pension rights from earlier employment in the healthcare industry.

# 3 Personal family interest

- 3.1 This relates to the personal interests of a family member and involves a **current payment** to the family member of the Specialist Adviser. The interest may relate to the manufacturer or owner of a product or service being evaluated, in which case it is regarded as '**specific**', or to the industry or sector from which the product or service comes, in which case it is regarded as '**non-specific**'. The main examples include the following.
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- 3.2 No personal family interest exists in the case of:
- 3.2.1 assets over which individuals have no financial control (for example, wide portfolio unit trusts and occupational pension funds) and where the fund manager has full discretion as to its composition (for example, the Universities Superannuation Scheme)
- 3.2.2 accrued pension rights from earlier employment in the healthcare industry.

# 4 Personal non-pecuniary interests

These might include, but are not limited to:

- 4.1 a clear opinion, reached as the conclusion of a research project, about the clinical and/or cost effectiveness of an intervention under review
- 4.2 a public statement in which an individual covered by this Code has expressed a clear opinion about the matter under consideration, which could reasonably be interpreted as prejudicial to an objective interpretation of the evidence
- 4.3 holding office in a professional organisation or advocacy group with a direct interest in the matter under consideration
- 4.4 other reputational risks in relation to an intervention under review.

#### 5 Non-personal interests

5.1 A non-personal interest involves payment that benefits a department or organisation for which a Specialist Advisor is responsible, but that is not received by the Specialist Advisor personally. This may either relate to the product or service being evaluated, in which case it is regarded as 'specific,' or to the manufacturer or owner of the product or service, but is unrelated to

- the matter under consideration, in which case it is regarded as 'non-specific'. The main examples are as follows.
- 5.1.1 **Fellowships** the holding of a fellowship endowed by the healthcare industry.
- 5.1.2 **Support by the healthcare industry or NICE** any payment, or other support by the healthcare industry or by NICE that does not convey any pecuniary or material benefit to a member personally but that does benefit his/her position or department. For example:
- a grant from a company for the running of a unit or department for which a Specialist Advisor is responsible
- a grant, fellowship or other payment to sponsor a post or member of staff in the unit for which a Specialist Adviser is responsible. This does not include financial assistance for students
- the commissioning of research or other work by, or advice from, staff who work in a unit for which the specialist advisor is responsible
- one or more contracts with, or grants from, NICE.
- 5.2 Specialist Advisers are under no obligation to seek out knowledge of work done for, or on behalf of, the healthcare industry within departments for which they are responsible if they would not normally expect to be informed.

# NATIONAL INSTITUTE FOR HEALTH AND CARE EXCELLENCE

Interventional Procedures Programme

# **Specialist Adviser questionnaire**

Before completing this questionnaire, please read <u>Conflicts of Interest for Specialist Advisers</u>. Certain conflicts exclude you from offering advice, however, please return the questionnaire to us incomplete for our records.

	•				
Please respond in the boxes provided.					
Pleas	Please complete and return to: Deonee.Stanislaus@nice.org.uk				
Proc	edure Name:	Intranasal phototherapy for allergic rhinitis			
Name of Specialist Advisor:		Dr Glenis Scadding,			
Specialist Society:		The Royal College of Physicians			
1	1 Do you have adequate knowledge of this procedure to provide advice?				
<b>x</b>	Yes.				
	No – please return the form/answer no more questions.				
1.1	Does the title used above de	scribe the procedure adequately?			
<b>x</b>	Yes.				
	No. If no, please enter any other titles below.				
Comments:					
2	Your involvement in the procedure				
2.1	Is this procedure relevant to	your specialty?			

<b>x</b>	Yes.			
	Is there any kind of inter-specialty controversy over the procedure?			
	No. If no, then answer no more questions, but please give any information you can about who is likely to be doing the procedure.			
Comn	nents:			
There are concerns about safety and efficacy.  By analogy with phototherapy used for skin disease there is a risk of malignant change with repeated exposure to UVA/ UVB/ natural light. Melanomas tend to occur when light exposure occurs onto tissue not regularly exposed- thus the nasal mucosa is a risk area. Melanomas can(rarely)occur de novo inside the nose.  The next 2 questions are about whether you carry out the procedure, or refer patients for it. If you are in a specialty that normally carries out the procedure please answer question 2.2.1. If you are in a specialty that normally selects or refers patients for the procedure, please answer question 2.2.2.				
2.2.1	If you are in a specialty that does this procedure, please indicate your experience with it:			
	I have never done this procedure.			
<b>x</b>	I have done this procedure at least once.			
	I do this procedure regularly.			
Comments:				
Several years ago I undertook a small double blind, placebo- controlled trial of phototherapy , using light of a different wavelength to that currently employed, with negative results.				
2.2.2	If your specialty is involved in patient selection or referral to another specialty for this procedure, please indicate your experience with it.			
<b>x</b>	I have never taken part in the selection or referral of a patient for this procedure.			
	I have taken part in patient selection or referred a patient for this procedure at least once.			
	I take part in patient selection or refer patients for this procedure regularly.			
Comments:				
	I have never used this treatment in routine clinical care.			

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2.3	(please choose one or more if relevant):				
<b>x</b>	I have done bibliographic research on this procedure.				
	I have done research on this procedure in laboratory settings (e.g. device-related research).				
х□	I have done clinical research on this procedure involving patients or healthy volunteers.				
	I have had no involvement in research on this procedure.				
	Other (please comment)				
Com	Comments:				
	Different wavelength used.				
3	Status of the procedure				
3.1	Which of the following best describes the procedure (choose one):				
	Established practice and no longer new.				
	A minor variation on an existing procedure, which is unlikely to alter the procedure's safety and efficacy.				
<b>x</b>	Definitely novel and of uncertain safety and efficacy.				
	The first in a new class of procedure.				
Com	ments:				
3.2	What would be the comparator (standard practice) to this procedure?				
	macotherapy (topical corticosteroid, antihistamine or a combination of the two), unotherapy- sublingual or subcutaneous.				
3.3	Please estimate the proportion of doctors in your specialty who are doing this procedure (choose one):				
	More than 50% of specialists engaged in this area of work.				
	10% to 50% of specialists engaged in this area of work.				
<b>x</b>	Fewer than 10% of specialists engaged in this area of work.				

	Cannot give an estimate.
Comm	nents:

# 4 Safety and efficacy

# 4.1 What is the potential harm of the procedure?

Please list adverse events and major risks (even if uncommon) and, if possible, estimate their incidence, as follows:

- 1. Adverse events reported in the literature (if possible please cite literature)
- 2. Anecdotal adverse events (known from experience)
- 3. Theoretical adverse events
- x Possibility of malignant change in nasal epithelium: melanomas can occur inside the nose.

# 4.2 What are the key efficacy outcomes for this procedure?

Reduction in symptoms of hay fever: nasal running, blocking, itching, sneezing , possibly plus eye itching, watering and redness

# 4.3 Are there uncertainties or concerns about the efficacy of this procedure? If so, what are they?

Recent meta- analysis suggests that phototherapy is as effective as antihistamines- ie not very effective – 7-10% average symptom reduction.

<u>Am J Rhinol Allergy.</u> 2015 Jul-Aug;29(4):283-91. doi: 10.2500/ajra.2015.29.4190.

# 5 Efficacy of endonasal phototherapy for relieving the symptoms of allergic rhinitis: Meta-analysis.

Cho HK1, Jeong YM, Lee HS, Lee YJ, Hwang SH.

#### ALSO:

#### **CONCLUSIONS FROM THE ABOVE PAPER:**

Phototherapy could provide nasal symptom relief and improve quality of life related to AR. However, when considering the insufficient evaluation of the efficacy of phototherapy according to the treatment methods and the high heterogeneity apparent in some

parameters, further clinical trials with robust research methodologies should be conducted to confirm the results of this study.

5.1 What training and facilities are needed to do this procedure safely?

Ability to examine the nasal lining with an endoscope, to insert the device correctly and to monitor any nasal epithelial changes.

5.2 Are there any major trials or registries of this procedure currently in progress? If so, please list.

I do not know.

5.3 Are you aware of any abstracts that have been *recently* presented/ published on this procedure that may not be listed in a standard literature search, for example PUBMED? (This can include your own work). If yes, please list.

Please note that NICE will do a literature search: we are only asking you for any very recent or potentially obscure abstracts and papers. Please do not feel the need to supply a comprehensive reference list (but you may list any that you think are particularly important if you wish).

I am not aware of any.

5.4 Is there controversy, or important uncertainty, about any aspect of the way in which this procedure is currently being done or disseminated?

The product is being advertised for use without sufficient safety monitoring.

#### 5 Audit Criteria

Please suggest a minimum dataset of criteria by which this procedure could be audited.

Efficacy on nasal and eye symptoms, quality of life, cost and safety.

5.1 Outcome measures of benefit (including commonly used clinical outcomes, both short and long - term; and quality-of-life measures). Please suggest the most appropriate method of measurement for each:

Total nasal symptom scores, total ocular symptom scores, RQLQ – in seasonal AR and pre and postnasal allergen challenge – all with placebo controls.

5.2 Adverse outcomes (including potential early and late complications). Please state timescales for measurement e.g. bleeding complications up to 1 month post-procedure:

Nasal irritation, epistaxis – immediate and post use for 1 week. Malignancy- most likely after repeated use – over 1 year. 6 Trajectory of the procedure 6.1 In your opinion, how quickly do you think use of this procedure will spread? Slowly This procedure, if safe and efficacious, is likely to be carried out in 6.2 (choose one): Most or all district general hospitals.  $\mathbf{x}$ A minority of hospitals, but at least 10 in the UK. Fewer than 10 specialist centres in the UK. Cannot predict at present. **Comments:** 6.3 The potential impact of this procedure on the NHS, in terms of numbers of patients eligible for treatment and use of resources, is:  $\mathbf{x}$ Major. Moderate. Minor. **Comments:** AR is very common- around a quarter of the population. 7 Other information Is there any other information about this procedure that might assist NICE in assessing the possible need to investigate its use? Checking previously treated individuals for any nasal mucosal changes would be sensible, especially those repeatedly treated.

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Data protection and conflicts of interest

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## 8. Data protection, freedom of information and conflicts of interest

#### 8.1 Data Protection

The information you submit on this form will be retained and used by the NICE and its advisers for the purpose of developing its guidance and may be passed to other approved third parties. Your name and specialist society will be published in NICE publications and on the NICE website. The specialist advice questionnaire will be published in accordance with our guidance development processes and a copy will be sent to the nominating Specialist Society. Please avoid identifying any individual in your comments.

I have read and understood this statement and accept that personal information sent to us will be retained and used for the purposes and in the manner specified above and in accordance with the Data Protection Act 1998.

# 8.2 Declarations of interest by Specialist Advisers advising the NICE Interventional Procedures Advisory Committee

Nothing in your submission shall restrict any disclosure of information by NICE that is required by law (including in particular, but without limitation, the Freedom of Information Act 2000).

Please submit a conflicts of interest declaration form listing any potential conflicts of interest including any involvement you may have in disputes or complaints relating to this procedure.

Please use the "Conflicts of Interest for Specialist Advisers" policy as a guide when declaring any conflicts of interest. Specialist Advisers should seek advice if needed from the Associate Director – Interventional Procedures.

Do you or a member of your family<sup>1</sup> have a **personal pecuniary** interest? The main examples are as follows:

Consultancies or directorships attracting regular or occasional payments in cash or kind	<b>x</b>	YES
		NO
Fee-paid work – any work commissioned by the healthcare industry – this includes income earned in the course of private practice	<b>x</b>	YES
		NO

<sup>&</sup>lt;sup>1</sup> 'Family members' refers to a spouse or partner living in the same residence as the member or employee, children for whom the member or employee is legally responsible, and adults for whom the member or employee is legally responsible (for example, an adult whose full power of attorney is held by the individual).

<b>Shareholdings</b> – any shareholding, or other of the healthcare industry	<b>areholdings</b> – any shareholding, or other beneficial interest, in shares the healthcare industry		YES			
			NO			
<b>Expenses and hospitality</b> – any expenses provided by a healthcare industry company beyond those reasonably required for accommodation, meals and travel to attend meetings and conferences			YES			
		<b>x</b>	NO			
<b>Investments</b> – any funds that include investments in the healthcare industry		<b>x</b>	YES			
Do you have a <b>personal non-pecuniary</b> interest – for example have you made a public statement about the topic or do you hold an office in a professional organisation or advocacy group with a direct interest in the		<b>x</b>	YES			
topic?	p with a direct interest in the		NO			
Do you have a <b>non-personal</b> interest? The	e main examples are as follows:					
Fellowships endowed by the healthcare industry			YES			
		<b>X</b>	NO			
Support by the healthcare industry or NICE that benefits his/her position or department, eg grants, sponsorship of posts			YES			
			NO			
If you have answered YES to any of the above statements, please describe the nature of the conflict(s) below.						
<b>Comments:</b> I have advised most major pharmaceutical companies working in the area of rhinitis over the past 3 decades. I see a few private patients, have shares in funds which include some health care companies but are administered by my financial advisers and have recently chaired the BSACI Rhinitis guidelines in which this procedure was not recommended- on the advice of one of the authors (not myself) who had reviewed the literature and with the acceptance of the BSACI Standards of Care Committee.						
Thank you very much for your help.						
Dr Tom Clutton-Brock, Interventional Procedures Advisory Committee Chair	Professor Carole Longson, D Centre for Health Technology Evaluation.		or,			
Jan 2016						

## **Conflicts of Interest for Specialist Advisers**

- 1 Declarations of interest by Specialist Advisers advising the NICE Interventional Procedures Advisory Committee
- 1.1 Any conflicts of interest set out below should be declared on the questionnaire the Specialist Adviser completes for the procedure.
- 1.2 Specialist Advisers should seek advice if required from the Associate Director Interventional Procedures.

# 2 Personal pecuniary interests

- 2.1 A personal pecuniary interest involves a current personal payment to a Specialist Adviser, which may either relate to the manufacturer or owner of a product or service being evaluated, in which case it is regarded as 'specific' or to the industry or sector from which the product or service comes, in which case it is regarded as 'non-specific'. The main examples are as follows.
- 2.1.1 **Consultancies** any consultancy, directorship, position in or work for the healthcare industry that attracts regular or occasional payments in cash or kind (this includes both those which have been undertaken in the 12 months preceding the point at which the declaration is made and which are planned but have not taken place).
- 2.1.2 **Fee-paid work** any work commissioned by the healthcare industry for which the member is paid in cash or in kind (this includes both those which have been undertaken in the 12 months preceding the point at which the declaration is made and which are planned but have not taken place).
- 2.1.3 **Shareholdings** any shareholding, or other beneficial interest, in shares of the healthcare industry that are either held by the individual or for which the individual has legal responsibility (for example, children, or relatives whose full Power of Attorney is held by the individual). This does not include shareholdings through unit trusts, pensions funds, or other similar arrangements where the member has no influence on financial management.
- 2.1.4 **Expenses and hospitality** any expenses provided by a healthcare industry company beyond that reasonably required for accommodation, meals and travel to attend meetings and conferences (this includes both those which have been undertaken in the 12 months preceding the point at which the declaration is made and which are planned but have not taken place.
- 2.1.5 **Investments** any funds which include investments in the healthcare industry that are held in a portfolio over which individuals have the ability to instruct the fund manager as to the composition of the fund.
- 2.2 No personal interest exists in the case of:
- 2.2.1 assets over which individuals have no financial control (for example, wide portfolio unit trusts and occupational pension funds) and where the fund manager has full discretion as to its composition (for example, the Universities Superannuation Scheme)
- 2.2.2 accrued pension rights from earlier employment in the healthcare industry.

# 3 Personal family interest

- 3.1 This relates to the personal interests of a family member and involves a **current payment** to the family member of the Specialist Adviser. The interest may relate to the manufacturer or owner of a product or service being evaluated, in which case it is regarded as '**specific**', or to the industry or sector from which the product or service comes, in which case it is regarded as '**non-specific**'. The main examples include the following.
- 3.1.1 Any consultancy, directorship, position in or work for a healthcare industry that attracts regular or occasional payments in cash or in kind.
- 3.1.2 Any fee-paid work commissioned by a healthcare industry for which the member is paid in cash or in kind.
- 3.1.3 Any shareholdings, or other beneficial interests, in a healthcare industry which are either held by the family member or for which an individual covered by this Code has legal responsibility (for example, children, or adults whose full Power of Attorney is held by the individual).
- 3.1.4 Expenses and hospitality provided by a healthcare industry company (except where they are provided to a general class of people such as attendees at an open conference)
- 3.1.5 Funds which include investments in the healthcare industry that are held in a portfolio over which individuals have the ability to instruct the fund manager as to the composition of the fund.
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