

1 **NATIONAL INSTITUTE FOR HEALTH AND CARE**
2 **EXCELLENCE**

3 **Guideline**

4 **Chronic obstructive pulmonary disease in over**
5 **16s: diagnosis and management**

6 **Draft for consultation, July 2018**
7

This guideline covers diagnosing and managing chronic obstructive pulmonary disease in people aged 16 and over. The 2018 update makes recommendations on the most effective inhaled therapies, oxygen therapies, lung volume reduction procedures, and self-management and exacerbation plans.

Who is it for?

- Healthcare professionals
- Commissioners and providers
- People with COPD, their families and carers

This guideline will update NICE guideline CG101 (published June 2010).

We have reviewed the evidence on diagnosis and prognosis, inhaled combination therapies, prophylactic antibiotics, oxygen therapy, managing pulmonary hypertension and cor pulmonale, lung surgery and lung volume reduction procedures, education, self-management and telehealth monitoring for COPD. You are invited to comment on the new and updated recommendations. These are marked as **[2018]**.

You are also invited to comment on recommendations that NICE proposes to delete from the 2010 guideline.

We have not reviewed the evidence for the recommendations shaded in grey, and cannot accept comments on them. In some cases, we have made minor wording changes for clarification.

See [update information](#) for a full explanation of what is being updated.

This draft guideline contains:

- the draft recommendations
- recommendations for research
- rationale and impact sections that explain why the committee made the 2018 recommendations and how they might affect practice
- the guideline context.

Full details of the evidence and the committee's discussion on the 2018 recommendations are in the [evidence reviews](#). Evidence for the 2004 and 2010 recommendations is in the [full version](#) of the 2010 guideline.

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1 Recommendations

People have the right to be involved in discussions and make informed decisions about their care, as described in [your care](#).

[Making decisions using NICE guidelines](#) explains how we use words to show the strength (or certainty) of our recommendations, and has information about prescribing medicines (including off-label use), professional guidelines, standards and laws (including on consent and mental capacity), and safeguarding.

2 1.1 *Diagnosing COPD*

3 The diagnosis of COPD depends on thinking of it as a cause of breathlessness or
4 cough. The diagnosis is suspected on the basis of symptoms and signs and
5 supported by spirometry.

6 **Symptoms**

7 1.1.1 Suspect a diagnosis of COPD in people over 35 who have a risk factor
8 (generally smoking or a history of smoking) and who present with one or
9 more of the following symptoms:

- 10 • exertional breathlessness
- 11 • chronic cough
- 12 • regular sputum production
- 13 • frequent winter 'bronchitis'
- 14 • wheeze. **[2004]**

15 1.1.2 When thinking about a diagnosis of COPD, ask the person if they have:

- 16 • weight loss
- 17 • reduced exercise tolerance
- 18 • waking at night with breathlessness
- 19 • ankle swelling
- 20 • fatigue
- 21 • occupational hazards

- 1 • chest pain
- 2 • haemoptysis (coughing up blood).

3 These last 2 symptoms are uncommon in COPD and raise the possibility
4 of alternative diagnoses. **[2004]**

5 1.1.3 One of the primary symptoms of COPD is breathlessness. The Medical
6 Research Council (MRC) dyspnoea scale (see table 1) should be used to
7 grade the breathlessness according to the level of exertion required to
8 elicit it. **[2004]**

9 **Table 1 MRC dyspnoea scale**

Grade	Degree of breathlessness related to activities
1	Not troubled by breathlessness except on strenuous exercise
2	Short of breath when hurrying or walking up a slight hill
3	Walks slower than contemporaries on level ground because of breathlessness, or has to stop for breath when walking at own pace
4	Stops for breath after walking about 100 metres or after a few minutes on level ground
5	Too breathless to leave the house, or breathless when dressing or undressing

Adapted from Fletcher CM, Elmes PC, Fairbairn MB et al. (1959) The significance of respiratory symptoms and the diagnosis of chronic bronchitis in a working population. British Medical Journal 2: 257–66.

10 **Spirometry**

11 1.1.4 Perform spirometry:

- 12 • at diagnosis
- 13 • to reconsider the diagnosis, for people who show an exceptionally good
- 14 response to treatment
- 15 • **to monitor disease progression. [2004, amended 2018]**

16 1.1.5 Measure post-bronchodilator spirometry to confirm the diagnosis of
17 COPD. **[2010]**

18 1.1.6 Think about alternative diagnoses or investigations for older people who
19 have an FEV1/FVC ratio below 0.7 but do not have typical symptoms of
20 COPD. **[2010]**

- 1 1.1.7 Think about a diagnosis of COPD in younger people who have symptoms
2 of COPD, even when their FEV1/FVC ratio is above 0.7. **[2010]**
- 3 1.1.8 All healthcare professionals who care for people with COPD should have
4 access to spirometry and be competent in interpreting the results. **[2004]**
- 5 1.1.9 Spirometry can be performed by any healthcare worker who has had
6 appropriate training and has up-to-date skills. **[2004]**
- 7 1.1.10 Spirometry services should be supported by quality control processes.
8 **[2004]**
- 9 1.1.11 It is recommended that [ERS 1993 reference values](#) are used, but it is
10 recognised that these values may lead to under-diagnosis in older people
11 and are not applicable in black and Asian populations. **[2004]**

12 **Incidental findings on chest X-ray or CT scans**

- 13 1.1.12 Consider primary care respiratory review and spirometry (see
14 recommendations 1.1.1 to 1.1.11) for people with emphysema or signs of
15 chronic airways disease on a chest X-ray or CT scan. **[2018]**
- 16 1.1.13 If the person is a current smoker, their spirometry results are normal and
17 they have no symptoms or signs of respiratory disease:
- 18
 - 19 • offer smoking cessation advice and treatment, and referral to specialist
20 stop smoking services (see the NICE guideline on [stop smoking
21 interventions and services](#))
 - 22 • warn them that they are at higher risk of lung disease
 - 23 • advise them to return if they develop respiratory symptoms
 - 24 • be aware that the presence of emphysema on a CT scan is an
independent risk factor for lung cancer. **[2018]**
- 25 1.1.14 If the person is not a current smoker, their spirometry is normal and they
26 have no symptoms or signs of respiratory disease:

- 1 • ask them if they have a personal or family history of lung or liver
- 2 disease and consider alternative diagnoses, such as alpha-1 antitrypsin
- 3 deficiency
- 4 • reassure them that their emphysema or chronic airways disease is
- 5 unlikely to get worse
- 6 • advise them to return if they develop respiratory symptoms. **[2018]**

To find out why the committee made the 2018 recommendations on incidental findings on chest X-ray or CT scans and how they might affect practice see [rationale and impact](#).

7 **Further investigations**

8 1.1.15 At the time of their initial diagnostic evaluation in addition to spirometry all
9 patients should have:

- 10 • a chest radiograph to exclude other pathologies
- 11 • a full blood count to identify anaemia or polycythaemia
- 12 • body mass index (BMI) calculated. **[2004]**

13 1.1.16 Perform additional investigations when needed, as detailed in **table 2**.
14 **[2004, amended 2018]**

1 **Table 2 Additional investigations**

Investigation	Role
Sputum culture	To identify organisms if sputum is persistently present and purulent
Serial home peak flow measurements	To exclude asthma if diagnostic doubt remains
ECG and serum natriuretic peptides*	To assess cardiac status if cardiac disease or pulmonary hypertension are suspected because of: <ul style="list-style-type: none"> • a history of cardiovascular disease, hypertension or hypoxia or • clinical signs such as tachycardia, oedema, cyanosis or features of cor pulmonale
Echocardiogram	To assess cardiac status if cardiac disease or pulmonary hypertension are suspected
CT scan of the thorax	To investigate symptoms that seem disproportionate to the spirometric impairment To investigate signs that may suggest another lung diagnosis (such as fibrosis or bronchiectasis) To investigate abnormalities seen on a chest X-ray To assess suitability for lung volume reduction procedures
Serum alpha-1 antitrypsin	To assess for alpha-1 antitrypsin deficiency if early onset, minimal smoking history or family history
Transfer factor for carbon monoxide (TLCO)	To investigate symptoms that seem disproportionate to the spirometric impairment To assess suitability for lung volume reduction procedures
*See the NICE guideline on chronic heart failure in adults for recommendations on using serum natriuretic peptides to diagnose heart failure.	

2

3 1.1.17 Offer people with alpha-1 antitrypsin deficiency a referral to a specialist
4 centre to discuss how to manage their condition. **[2004]**

5 **Reversibility testing**

6 1.1.18 For most people, routine spirometric reversibility testing is not necessary
7 as part of the diagnostic process or to plan initial therapy with

1 bronchodilators or corticosteroids. It may be unhelpful or misleading
 2 because:

- 3 • repeated FEV1 measurements can show small spontaneous
- 4 fluctuations
- 5 • the results of a reversibility test performed on different occasions can
- 6 be inconsistent and not reproducible
- 7 • over-reliance on a single reversibility test may be misleading unless the
- 8 change in FEV1 is greater than 400 ml
- 9 • the definition of the magnitude of a significant change is purely arbitrary
- 10 • response to long-term therapy is not predicted by acute reversibility
- 11 testing. **[2004]**

12 1.1.19 Untreated COPD and asthma are frequently distinguishable on the basis
 13 of history (and examination) in people presenting for the first time.
 14 Whenever possible, use features from the history and examination (such
 15 as those listed in table 3) to differentiate COPD from asthma. For more
 16 information on diagnosing asthma see the [NICE guideline on asthma](#).
 17 **[2004]**

18 **Table 3 Clinical features differentiating COPD and asthma**

	COPD	Asthma
Smoker or ex-smoker	Nearly all	Possibly
Symptoms under age 35	Rare	Often
Chronic productive cough	Common	Uncommon
Breathlessness	Persistent and progressive	Variable
Night time waking with breathlessness and/or wheeze	Uncommon	Common
Significant diurnal or day-to-day variability of symptoms	Uncommon	Common

19
 20 1.1.20 In addition to the features in table 3, use longitudinal observation of
 21 people (with spirometry, peak flow or symptoms) to help differentiate
 22 COPD from asthma. **[2004]**

1 1.1.21 When diagnostic uncertainty remains, or both COPD and asthma are
2 present, use the following findings to help identify asthma:

- 3 • a large (over 400 ml) response to bronchodilators
- 4 • a large (over 400 ml) response to 30 mg oral prednisolone daily for 2
5 weeks
- 6 • serial peak flow measurements showing 20% or greater diurnal or day-
7 to-day variability.

8 Clinically significant COPD is not present if the FEV1 and FEV1/FVC ratio
9 return to normal with drug therapy. **[2004]**

10 1.1.22 If diagnostic uncertainty remains, think about referral for more detailed
11 investigations, including imaging and measurement of TLCO. **[2004]**

12 1.1.23 Reconsider the diagnosis of COPD for people who report a marked
13 improvement in symptoms in response to inhaled therapy. **[2004]**

14 **Assessing severity and using prognostic factors**

15 COPD is heterogeneous, so no single measure can adequately assess disease
16 severity in an individual. Severity assessment is, nevertheless, important because it
17 has implications for therapy and relates to prognosis.

18 1.1.24 Do not use a multidimensional index (such as BODE) to assess prognosis
19 in people with stable COPD. **[2018]**

20 1.1.25 From diagnosis onwards, when discussing prognosis and treatment
21 decisions with people with stable COPD, think about the following factors
22 that are individually associated with prognosis:

- 23 • FEV1
- 24 • **smoking status**
- 25 • breathlessness (MRC scale)
- 26 • **chronic hypoxia** and/or cor pulmonale
- 27 • low BMI
- 28 • **severity and frequency of exacerbations**

- 1 • hospital admissions
- 2 • symptom burden (for example, CAT score)
- 3 • exercise capacity (for example, 6-minute walk test)
- 4 • transfer factor for carbon monoxide (TLCO)
- 5 • whether the person meets the criteria for long-term oxygen therapy
- 6 and/or home non-invasive ventilation
- 7 • multimorbidity
- 8 • frailty. [2010, amended 2018]

To find out why the committee made the 2018 recommendations on assessing severity and using prognostic factors and how they might affect practice see [rationale and impact](#).

9 **Assessing and classifying the severity of airflow obstruction**

- 10 1.1.26 Assess the severity of airflow obstruction according to the reduction in
- 11 FEV1, as shown in table 4. [2010]
- 12 1.1.27 For people with mild airflow obstruction, only diagnose COPD if they have
- 13 one or more of the symptoms in recommendation 1.1.1. [2010]

1 **Table 4 Gradation of severity of airflow obstruction**

		NICE clinical guideline 12 (2004)	ATS/ERS ¹ 2004	GOLD 2008 ²	NICE clinical guideline 101 (2010)
Post-bronchodilator FEV1/FVC	FEV1 % predicted	Severity of airflow obstruction			
			Post-bronchodilator	Post-bronchodilator	Post-bronchodilator
< 0.7	≥ 80%		Mild	Stage 1 – Mild	Stage 1 – Mild
< 0.7	50–79%	Mild	Moderate	Stage 2 – Moderate	Stage 2 – Moderate
< 0.7	30–49%	Moderate	Severe	Stage 3 – Severe	Stage 3 – Severe
< 0.7	< 30%	Severe	Very severe	Stage 4 – Very severe*	Stage 4 – Very severe*
*Or FEV1 below 50% with respiratory failure.					

2

3 **Identifying early disease**4 1.1.28 Perform spirometry in people who are over 35, current or ex-smokers, and
5 have a chronic cough. **[2004]**6 1.1.29 Consider spirometry in people with chronic bronchitis. A significant
7 proportion of these people will go on to develop airflow limitation. **[2004]**8 **Referral for specialist advice**9 1.1.30 When clinically indicated, refer people for specialist advice. Referral may
10 be appropriate at all stages of the disease and not solely in the most
11 severely disabled people (see table 5). **[2004]**

¹ Celli BR, MacNee W (2004) Standards for the diagnosis and treatment of patients with COPD: a summary of the ATS/ERS position paper. *European Respiratory Journal* 23(6): 932–46.

² Global Initiative for Chronic Obstructive Lung Disease (GOLD) (2008) Global strategy for the diagnosis, management, and prevention of chronic obstructive pulmonary disease.

1 **Table 5 Reasons for referral include**

Reason	Purpose
There is diagnostic uncertainty	Confirm diagnosis and optimise therapy
Suspected severe COPD	Confirm diagnosis and optimise therapy
The person with COPD requests a second opinion	Confirm diagnosis and optimise therapy
Onset of cor pulmonale	Confirm diagnosis and optimise therapy
Assessment for oxygen therapy	Optimise therapy and measure blood gases
Assessment for long-term nebuliser therapy	Optimise therapy and exclude inappropriate prescriptions
Assessment for oral corticosteroid therapy	Justify need for continued treatment or supervise withdrawal
Bullous lung disease	Identify candidates for lung volume reduction procedures
A rapid decline in FEV1	Encourage early intervention
Assessment for pulmonary rehabilitation	Identify candidates for pulmonary rehabilitation
Assessment for a lung volume reduction procedure	Identify candidates for surgical or bronchoscopic lung volume reduction
Assessment for lung transplantation	Identify candidates for surgery
Dysfunctional breathing	Confirm diagnosis, optimise pharmacotherapy and access other therapists
Onset of symptoms under 40 years or a family history of alpha-1 antitrypsin deficiency	Identify alpha-1 antitrypsin deficiency, consider therapy and screen family
Symptoms disproportionate to lung function deficit	Look for other explanations including cardiac impairment, pulmonary hypertension, depression and hyperventilation
Frequent infections	Exclude bronchiectasis
Haemoptysis	Exclude carcinoma of the bronchus

2

3 1.1.31 People who are referred do not always have to be seen by a respiratory
4 physician. In some cases they may be seen by members of the COPD
5 team who have appropriate training and expertise. [2004]

6 1.2 **Managing stable COPD**

7 1.2.1 For guidance on the management of multimorbidity in people with COPD,
8 see the NICE guideline on [multimorbidity](#). [2018]

1 **Smoking cessation**

2 1.2.2 Document an up-to-date smoking history, including pack years smoked
3 (number of cigarettes smoked per day, divided by 20, multiplied by the
4 number of years smoked) for everyone with COPD. **[2004]**

5 1.2.3 At every opportunity, advise and encourage every person with COPD who
6 is still smoking (regardless of their age) to stop, and offer them help to do
7 so. **[2004]**

8 1.2.4 Unless contraindicated, offer nicotine replacement therapy, varenicline or
9 bupropion as appropriate to people who want to stop smoking, combined
10 with an appropriate support programme to optimise smoking quit rates for
11 people with COPD. **[2010]**

12 1.2.5 For more guidance on helping people to quit smoking, see the NICE
13 guideline on [stop smoking interventions and services](#). **[2010]**

14 1.2.6 For more guidance on varenicline see the NICE technology appraisal
15 guidance on [varenicline for smoking cessation](#). **[2010]**

16 **Inhaled therapy**

17 ***Short-acting beta2 agonists (SABA) and short-acting muscarinic antagonists***
18 ***(SAMA)***

19 1.2.7 Use short-acting bronchodilators, as necessary, as the initial empirical
20 treatment to relieve breathlessness and exercise limitation. **[2004]**

21 ***Inhaled corticosteroids (ICS)***

22 1.2.8 Do not use oral corticosteroid reversibility tests to identify which people
23 should be prescribed inhaled corticosteroids, because they do not predict
24 response to inhaled corticosteroid therapy. **[2004]**

1 1.2.9 Be aware of, and be prepared to discuss with the person, the risk of side
2 effects (including pneumonia) in people who take inhaled corticosteroids
3 for COPD³. **[2010, amended 2018]**

4 ***Inhaled combination therapy***

5 Inhaled combination therapy refers to combinations of long-acting muscarinic
6 antagonists (LAMA), long-acting beta2 agonists (LABA), and inhaled corticosteroids
7 (ICS).

8 1.2.10 Do not assess the effectiveness of bronchodilator therapy using lung
9 function alone. Include a variety of other measures such as improvement
10 in symptoms, activities of daily living, exercise capacity, and rapidity of
11 symptom relief. **[2004]**

12 1.2.11 Offer LAMA+LABA⁴ to people who:

- 13 • have spirometrically confirmed COPD **and**
- 14 • do not have [asthmatic features/features suggesting steroid](#)
15 [responsiveness](#) **and**
- 16 • remain breathless or have exacerbations despite:
 - 17 – treatment for tobacco dependence if they smoke **and**
 - 18 – optimised non-pharmacological management and relevant
 - 19 vaccinations **and**
 - 20 – using a short-acting bronchodilator. **[2018]**

21 1.2.12 Consider LABA+ICS for people who:

- 22 • have spirometrically confirmed COPD **and**
- 23 • have [asthmatic features/features suggesting steroid responsiveness](#)
24 **and**
- 25 • remain breathless or have exacerbations despite:
 - 26 – treatment for tobacco dependence if they smoke **and**

³ The MHRA has published advice on the [risk of psychological and behavioural side effects](#) associated with inhaled corticosteroids (2010).

⁴ The MHRA has published advice on the [risk for people with certain cardiac conditions when taking tiotropium delivered via Respimat or Handihaler](#) (2015).

- 1 – optimised non-pharmacological management and relevant
2 vaccinations **and**
3 – using a short-acting bronchodilator. **[2018]**

4 1.2.13 For guidance on managing asthma in people with COPD and asthma, see
5 the NICE guideline on [asthma](#). **[2018]**

6 1.2.14 Offer LAMA+LABA+ICS⁴ to people with COPD with [asthmatic](#)
7 [features/features suggesting steroid responsiveness](#) who remain
8 breathless or have exacerbations despite taking LABA+ICS. **[2010,**
9 **amended 2018]**

10 1.2.15 Base the choice of drugs and inhalers on:

- 11 • how much they improve symptoms
12 • the person’s preferences and ability to use the inhalers
13 • the drugs’ potential to reduce exacerbations, and their side effects and
14 cost.

15 Minimise the number of inhalers and the number of different types of
16 inhaler used by each person as far as possible. **[2018]**

17 1.2.16 When prescribing long-acting drugs, ensure people receive inhalers they
18 have been trained to use (for example, by specifying the brand and
19 inhaler in prescriptions). **[2018]**

To find out why the committee made the 2018 recommendations on inhaled combination therapy and how they might affect practice see [rationale and impact](#).

Delivery systems used to treat stable COPD

21 Most people with COPD – whatever their age – can develop adequate inhaler
22 technique if they are given training. However, people with significant cognitive
23 impairment may be unable to use any form of inhaler device. In most people with
24 COPD, however, a pragmatic approach guided by individual patient assessment is
25 needed when choosing a device.

1 **Inhalers**

2 1.2.17 In most cases bronchodilator therapy is best administered using a hand-
3 held inhaler (including a spacer if appropriate). **[2004]**

4 1.2.18 Provide an alternative inhaler if a person cannot use a particular one
5 correctly or it is not suitable for them. **[2004]**

6 1.2.19 Only prescribe inhalers after people have been trained to use them and
7 can demonstrate satisfactory technique. **[2004]**

8 1.2.20 People with COPD should have their ability to use an inhaler regularly
9 assessed and corrected if necessary by a healthcare professional
10 competent to do so. **[2004]**

11 **Spacers**

12 1.2.21 Provide a spacer that is compatible with the person's metered-dose
13 inhaler. **[2004]**

14 1.2.22 Advise people to use a spacer with a metered-dose inhaler in the following
15 way:

- 16
- 17 • administer the drug by single actuations of the metered-dose inhaler
into the spacer, inhaling after each actuation
 - 18 • there should be minimal delay between inhaler actuation and inhalation
 - 19 • normal tidal breathing can be used as it is as effective as single breaths
 - 20 • repeat if a second dose is required. **[2004]**

21 1.2.23 Advise people on spacer cleaning. Tell them:

- 22
- 23 • not to clean the spacer more than monthly, because more frequent
cleaning affects their performance (because of a build-up of static)
 - 24 • to hand wash using warm water and washing-up liquid, and allow the
25 spacer to air dry. **[2004, amended 2018]**

26 **Nebulisers**

27 1.2.24 Think about nebuliser therapy for people with distressing or disabling
28 breathlessness despite maximal therapy using inhalers. **[2004]**

1 1.2.25 Do not prescribe nebulised therapy without an assessment of the person's
2 and/or carer's ability to use it. **[2004]**

3 1.2.26 Do not continue nebulised therapy without assessing and confirming that
4 one or more of the following occurs:

- 5 • a reduction in symptoms
- 6 • an increase in the ability to undertake activities of daily living
- 7 • an increase in exercise capacity
- 8 • an improvement in lung function. **[2004]**

9 1.2.27 Use a nebuliser system that is known to be efficient⁵. **[2004]**

10 1.2.28 Offer people a choice between a facemask and a mouthpiece to
11 administer their nebulised therapy, unless the drug specifically requires a
12 mouthpiece (for example, anticholinergic drugs). **[2004]**

13 1.2.29 If nebuliser therapy is prescribed, provide the person with equipment,
14 servicing, and ongoing advice and support. **[2004]**

15 **Oral therapy**

16 ***Oral corticosteroids***

17 1.2.30 Long-term use of oral corticosteroid therapy in COPD is not normally
18 recommended. Some people with advanced COPD may need long-term
19 oral corticosteroids when these cannot be withdrawn following an
20 exacerbation. In these cases, the dose of oral corticosteroids should be
21 kept as low as possible. **[2004]**

22 1.2.31 Monitor people who are having long-term oral corticosteroid therapy for
23 osteoporosis, and give them appropriate prophylaxis. Start prophylaxis
24 without monitoring for people over 65. **[2004]**

⁵ The [MHRA](#) has published a safety alert around the use of non CE marked nebulisers for COPD.

1 **Oral theophylline**

2 In this section of the guideline, the term theophylline refers to slow-release
3 formulations of the drug.

4 1.2.32 Theophylline should only be used after a trial of short-acting
5 bronchodilators and long-acting bronchodilators, or for people who are
6 unable to use inhaled therapy, as plasma levels and interactions need to
7 be monitored. **[2004]**

8 1.2.33 Take particular caution when using theophylline in older people, because
9 of differences in pharmacokinetics, the increased likelihood of
10 comorbidities and the use of other medications. **[2004]**

11 1.2.34 Assess the effectiveness of theophylline by improvements in symptoms,
12 activities of daily living, exercise capacity and lung function. **[2004]**

13 1.2.35 Reduce the dose of theophylline for people who are having an
14 exacerbation if they are prescribed macrolide or fluoroquinolone
15 antibiotics (or other drugs known to interact). **[2004]**

16 **Oral mucolytic therapy**

17 1.2.36 Consider mucolytic drug therapy for people with a chronic cough
18 productive of sputum. **[2004]**

19 1.2.37 Only continue mucolytic therapy if there is symptomatic improvement (for
20 example, reduction in frequency of cough and sputum production). **[2004]**

21 1.2.38 Do not routinely use mucolytic drugs to prevent exacerbations in people
22 with stable COPD. **[2010]**

23 **Oral anti-oxidant therapy**

24 1.2.39 Treatment with alpha-tocopherol and beta-carotene supplements, alone or
25 in combination, is not recommended. **[2004]**

26 **Oral anti-tussive therapy**

27 1.2.40 Anti-tussive therapy should not be used in the management of stable
28 COPD. **[2004]**

1 **Oral prophylactic antibiotic therapy**

2 1.2.41 Offer azithromycin (usually 250 mg 3 times a week) to people with COPD
3 if they:

- 4 • do not smoke **and**
- 5 • have optimised non-pharmacological management and inhaled
6 therapies, relevant vaccinations and (if appropriate) have been referred
7 for pulmonary rehabilitation **and**
- 8 • continue to have one or more of the following, particularly if they have
9 significant daily sputum production:
 - 10 – frequent (typically 4 or more per year) exacerbations with sputum
11 production
 - 12 – prolonged exacerbations with sputum production
 - 13 – exacerbations resulting in hospitalisation. **[2018]**

14 1.2.42 Before offering prophylactic antibiotics, ensure that the person has had:

- 15 • sputum culture and sensitivity to rule out resistant organisms and
16 *Pseudomonas aeruginosa* infection
- 17 • training in airway clearance techniques to optimise sputum clearance
18 (see recommendation 1.2.94)
- 19 • a CT thorax scan to rule out bronchiectasis and other lung pathologies.

20 Think about whether respiratory specialist input is needed. **[2018]**

21 1.2.43 Before starting azithromycin, ensure the person has had:

- 22 • an ECG to rule out prolonged QT interval **and**
- 23 • baseline liver function tests. **[2018]**

24 1.2.44 When prescribing azithromycin, advise people about the small risk of
25 hearing loss and tinnitus, and tell them to contact a healthcare
26 professional if this occurs. **[2018]**

- 1 1.2.45 If the criteria for azithromycin in recommendations 1.2.41 to 1.2.42 are
2 met but azithromycin is contraindicated or not tolerated, consider
3 doxycycline (usually 100 mg daily). **[2018]**
- 4 1.2.46 Review antibiotic treatment after the first 3 months, and then at least
5 every 6 months. **[2018]**
- 6 1.2.47 Only continue treatment if the continued benefits outweigh the risks. Be
7 aware that there are no long-term studies on the use of prophylactic
8 antibiotics in people with COPD. **[2018]**
- 9 1.2.48 For people who are taking prophylactic azithromycin and are still at risk of
10 exacerbations, provide a non-macrolide antibiotic to keep at home as part
11 of their exacerbation action plan (see recommendation 1.2.121). **[2018]**

To find out why the committee made the 2018 recommendations on prophylactic oral antibiotic therapy and how they might affect practice see [rationale and impact](#).

12 ***Oral phosphodiesterase-4 inhibitors***

- 13 1.2.49 For guidance on treating severe COPD with roflumilast, see NICE's
14 technology appraisal guidance on [roflumilast for treating chronic](#)
15 [obstructive pulmonary disease](#). **[2018]**

16 **Oxygen**

17 ***Long-term oxygen therapy***

- 18 1.2.50 Be aware that inappropriate oxygen therapy in people with COPD may
19 cause respiratory depression. **[2004]**

- 20 1.2.51 Assess the need for oxygen therapy in people with:

- 21 • very severe airflow obstruction (FEV1 below 30% predicted)
- 22 • cyanosis (blue tint to skin)
- 23 • polycythaemia
- 24 • peripheral oedema (swelling)
- 25 • a raised jugular venous pressure

- 1 • oxygen saturations of 92% or less breathing air.

2 Also consider assessment for people with severe airflow obstruction
3 (FEV1 30–49% predicted). **[2004]**

4 1.2.52 Assess people for long-term oxygen therapy by measuring arterial blood
5 gases on 2 occasions at least 3 weeks apart in people who have a
6 confident diagnosis of COPD, who are receiving optimum medical
7 management and whose COPD is stable. **[2004]**

8 1.2.53 Consider long-term oxygen therapy for people with COPD who do not
9 smoke and who:

- 10 • have a PaO₂ below 7.3 kPa when stable **or**
11 • have a PaO₂ above 7.3 and below 8 kPa when stable, if they also have
12 one of the following:
13 – secondary polycythaemia
14 – peripheral oedema
15 – pulmonary hypertension. **[2018]**

16 1.2.54 Conduct and document a structured risk assessment for people being
17 assessed for long-term oxygen therapy who meet the criteria in
18 recommendation 1.2.53. As part of the risk assessment, cover the risks
19 for both the person with COPD and the people who live with them,
20 including:

- 21 • the risks of falls from tripping over the equipment
22 • the risks of burns and fires, and the increased risk of these for people
23 who live in homes where someone smokes (including e-cigarettes).

24 Base the decision on whether long-term oxygen is suitable on the results
25 of the structured risk assessment. **[2018]**

26 1.2.55 For people who smoke or live with people who smoke, but who meet the
27 other criteria for long-term oxygen therapy, ensure the person who
28 smokes is offered smoking cessation advice and treatment, and referral to

1 specialist stop smoking services (see the NICE guidelines on [stop](#)
2 [smoking interventions and services](#) and [medicines optimisation](#)). **[2018]**

3 1.2.56 Do not offer long-term oxygen therapy to people who continue to smoke
4 despite being offered smoking cessation advice and treatment, and
5 referral to specialist stop smoking services. **[2018]**

6 1.2.57 Advise people who are having long-term oxygen therapy that they should
7 breathe supplemental oxygen for a minimum of 15 hours per day. **[2018]**

8 1.2.58 Do not offer long-term oxygen therapy to treat isolated nocturnal
9 hypoxaemia caused by COPD. **[2018]**

10 1.2.59 To ensure everyone eligible for long-term oxygen therapy is identified,
11 pulse oximetry should be available in all healthcare settings. **[2004]**

12 1.2.60 Oxygen concentrators should be used to provide the fixed supply at home
13 for long-term oxygen therapy. **[2004]**

14 1.2.61 People who are having long-term oxygen therapy should be reviewed at
15 least once per year by healthcare professionals familiar with long-term
16 oxygen therapy. This review should include pulse oximetry. **[2004]**

To find out why the committee made the 2018 recommendations on long-term oxygen therapy and how they might affect practice, see [rationale and impact](#).

17 ***Ambulatory oxygen therapy***

18 1.2.62 Do not offer ambulatory oxygen to manage breathlessness in people with
19 COPD who have [mild or no hypoxaemia](#) at rest. **[2018]**

20 1.2.63 Consider ambulatory oxygen in people with COPD who have exercise
21 desaturation and are shown to have an improvement in **exercise capacity**
22 **with** oxygen, and have the motivation to use oxygen. **[2004, amended**
23 **2018]**

1 1.2.64 Prescribe ambulatory oxygen to people who are already on long-term
2 oxygen therapy, who wish to continue oxygen therapy outside the home,
3 and who are prepared to use it. **[2004]**

4 1.2.65 Only prescribe ambulatory oxygen therapy after an appropriate
5 assessment has been performed by a specialist. The purpose of the
6 assessment is to assess the extent of desaturation, the improvement in
7 exercise capacity with supplemental oxygen, and the oxygen flow rate
8 needed to correct desaturation. **[2004]**

9 1.2.66 Small light-weight cylinders, oxygen-conserving devices and portable
10 liquid oxygen systems should be available for people with COPD. **[2004]**

11 1.2.67 When choosing which equipment to prescribe, take account of the hours
12 of ambulatory oxygen use and oxygen flow rate needed. **[2004]**

To find out why the committee made the 2018 recommendation on ambulatory oxygen and how it might affect practice, see [rationale and impact](#).

13

14 ***Short-burst oxygen therapy***

15 1.2.68 Do not offer short-burst oxygen therapy to manage breathlessness in
16 people with COPD who have [mild or no hypoxaemia](#) at rest. **[2018]**

To find out why the committee made the 2018 recommendation on short-burst oxygen therapy and how it might affect practice, see [rationale and impact](#).

17 ***Non-invasive ventilation***

18 1.2.69 Refer people who are adequately treated but have chronic hypercapnic
19 respiratory failure and have needed assisted ventilation (whether invasive
20 or non-invasive) during an exacerbation, or who are hypercapnic or
21 acidotic on long-term oxygen therapy, to a specialist centre for
22 consideration of long-term non-invasive ventilation. **[2004]**

1 **Managing pulmonary hypertension and cor pulmonale**

2 In this guideline 'cor pulmonale' is defined as a clinical condition that is identified and
3 managed on the basis of clinical features. It includes people who have right heart
4 failure secondary to lung disease and people whose primary pathology is salt and
5 water retention, leading to the development of peripheral oedema (swelling).

6 ***Diagnosing pulmonary hypertension and cor pulmonale***

7 1.2.70 Suspect a diagnosis of cor pulmonale for people with:

- 8 • peripheral oedema (swelling)
- 9 • a raised venous pressure
- 10 • a systolic parasternal heave
- 11 • a loud pulmonary second heart sound. **[2004]**

12 1.2.71 It is recommended that the diagnosis of cor pulmonale is made clinically
13 and that this process should involve excluding other causes of peripheral
14 oedema (swelling). **[2004]**

15 ***Treating pulmonary hypertension***

16 1.2.72 Do not offer the following treatments solely to manage pulmonary
17 hypertension caused by COPD, except as part of a randomised controlled
18 trial:

- 19 • bosentan
- 20 • losartan
- 21 • nifedipine
- 22 • nitric oxide
- 23 • pentoxifylline
- 24 • phosphodiesterase-5 inhibitors
- 25 • statins. **[2018]**

26 ***Treating cor pulmonale***

27 1.2.73 Ensure that people with cor pulmonale caused by COPD are offered
28 optimal COPD treatment, including advice and interventions to help them

1 stop smoking. For people who need treatment for hypoxia, see the section
2 on [long-term oxygen therapy](#). **[2018]**

3 1.2.74 Oedema associated with cor pulmonale can usually be controlled
4 symptomatically with diuretic therapy. **[2004]**

5 1.2.75 Do not use the following to treat cor pulmonale caused by COPD:

- 6
- 7 • alpha-blockers
 - 8 • angiotensin-converting enzyme inhibitors
 - 9 • calcium channel blockers
 - digoxin (unless there is atrial fibrillation). **[2018]**

To find out why the committee made the 2018 recommendations on managing pulmonary hypertension and cor pulmonale and how they might affect practice see [rationale and impact](#).

10 **Pulmonary rehabilitation**

11 Pulmonary rehabilitation is defined as a multidisciplinary programme of care for
12 people with chronic respiratory impairment. It is individually tailored and designed to
13 optimise each person's physical and social performance and autonomy.

14 1.2.76 Make pulmonary rehabilitation available to all appropriate people with
15 COPD (see recommendation 1.2.77), including people who have had a
16 recent hospitalisation for an acute exacerbation. **[2010]**

17 1.2.77 Offer pulmonary rehabilitation to all people who view themselves as
18 functionally disabled by COPD (usually Medical Research Council [MRC]
19 grade 3 and above). Pulmonary rehabilitation is not suitable for people
20 who are unable to walk, who have unstable angina or who have had a
21 recent myocardial infarction. **[2004]**

22 1.2.78 For pulmonary rehabilitation programmes to be effective, and to improve
23 adherence, they should be held at times that suit people, in buildings that
24 are easy to get to and that have good access for people with disabilities.
25 Places should be available within a reasonable time of referral. **[2004]**

1 1.2.79 Pulmonary rehabilitation programmes should include multicomponent,
2 multidisciplinary interventions that are tailored to the individual person's
3 needs. The rehabilitation process should incorporate a programme of
4 physical training, disease education, and nutritional, psychological and
5 behavioural intervention. **[2004]**

6 1.2.80 Advise people of the benefits of pulmonary rehabilitation and the
7 commitment needed to gain these. **[2004]**

8 **Vaccination and anti-viral therapy**

9 1.2.81 Offer pneumococcal vaccination and an annual influenza vaccination to all
10 people with COPD, as recommended by the Chief Medical Officer. **[2004]**

11 1.2.82 For guidance on preventing and treating flu, see the NICE technology
12 appraisals on [oseltamivir, amantadine \(review\) and zanamivir for the](#)
13 [prophylaxis of influenza](#) and [amantadine, oseltamivir and zanamivir for the](#)
14 [treatment of influenza](#). **[2004]**

15 **Lung surgery and lung volume reduction procedures**

16 1.2.83 Offer a respiratory review to assess whether a lung volume reduction
17 procedure is suitable for people with COPD when they complete
18 pulmonary rehabilitation and at other reviews, if all of the following apply:

- 19 • they have severe COPD, with FEV1 less than 50% and breathlessness
20 that affects their quality of life despite optimal medical treatment (see
21 recommendations 1.2.11 to 1.2.14)
- 22 • they do not smoke
- 23 • they can complete a 6-minute walk distance of at least 140 m (if limited
24 by breathlessness)
- 25 • they have completed pulmonary rehabilitation. **[2018]**

26 1.2.84 At the respiratory review, refer the person with COPD to a lung volume
27 reduction multidisciplinary team to assess whether lung volume reduction
28 surgery or endobronchial valves are suitable if they have:

- 1 • hyperinflation, assessed by lung function testing with body
2 plethysmography **and**
- 3 • emphysema on unenhanced CT chest scan **and**
- 4 • optimised treatment for other comorbidities. **[2018]**
- 5 1.2.85 Only offer endobronchial coils as part of a clinical trial and after
6 assessment by a lung volume reduction multidisciplinary team. **[2018]**
- 7 1.2.86 For more guidance on lung volume reduction procedures, see the NICE
8 interventional procedures guidance on [lung volume reduction surgery](#),
9 [endobronchial valves](#) and [endobronchial coils](#). **[2018]**
- 10 1.2.87 Refer people with COPD for an assessment for bullectomy if they are
11 breathless and a CT scan shows a bulla occupying at least one third of
12 the hemithorax. **[2018]**
- 13 1.2.88 Consider referral to a specialist multidisciplinary team to assess for lung
14 transplantation for people who:
- 15 • have severe COPD, with FEV1 less than 50% and breathlessness that
16 affects their quality of life despite optimal medical treatment (see
17 recommendations 1.2.11 to 1.2.14) **and**
- 18 • do not smoke **and**
- 19 • have completed pulmonary rehabilitation **and**
- 20 • do not have contraindications for transplantation (for example,
21 comorbidities or frailty). **[2018]**
- 22 1.2.89 Do not use previous lung volume reduction procedures as a reason not to
23 refer a person for assessment for lung transplantation. **[2018]**

To find out why the committee made the 2018 recommendations on lung volume reduction procedures, bullectomy and lung transplantation and how they might affect practice see [rationale and impact](#).

1 **Alpha-1 antitrypsin replacement therapy**

2 1.2.90 Alpha-1 antitrypsin replacement therapy is not recommended for people
3 with alpha-1 antitrypsin deficiency (see also recommendation 1.1.17).
4 **[2004]**

5 **Multidisciplinary management**

6 1.2.91 COPD care should be delivered by a multidisciplinary team. **[2004]**

7 1.2.92 When defining the activity of the multidisciplinary team, think about the
8 following functions:

- 9 • assessment (including performing spirometry, assessing which delivery
- 10 systems to use for inhaled therapy, the need for aids for daily living and
- 11 assessing the need for oxygen)
- 12 • care and treatment, including:
 - 13 – pulmonary rehabilitation
 - 14 – identifying and managing anxiety and depression
 - 15 – advising people on relaxation techniques
 - 16 – dietary issues
 - 17 – exercise
 - 18 – social security benefits and travel
 - 19 – hospital-at-home/early discharge schemes
 - 20 – non-invasive ventilation and palliative care
- 21 • advising people on self-management strategies
- 22 • identifying and monitoring people at high risk of exacerbations and
- 23 undertaking activities to avoid emergency admissions
- 24 • education for people with COPD, their carers, and for healthcare
- 25 professionals. **[2004]**

26 ***Respiratory nurse specialists***

27 1.2.93 It is recommended that the multidisciplinary COPD team includes
28 respiratory nurse specialists. **[2004]**

29 ***Physiotherapy***

30 1.2.94 If people have excessive sputum, they should be taught:

- 1 • how to use positive expiratory pressure **devices**
- 2 • active cycle of breathing techniques. **[2004, amended 2018]**

3 ***Identifying and managing anxiety and depression***

4 1.2.95 Be alert for **anxiety** and depression in people with COPD. Consider
5 whether people have anxiety or depression, particularly if they:

- 6 • have severe breathlessness
- 7 • are hypoxic
- 8 • have been seen at or admitted to a hospital with an exacerbation of
9 COPD. **[2004, amended 2018]**

10 1.2.96 For guidance on diagnosing and managing depression in people with
11 COPD, see the NICE guideline on [depression in adults with a chronic](#)
12 [physical health problem](#). **[2004]**

13 1.2.97 For guidance on managing anxiety, see the NICE guideline on
14 [generalised anxiety disorder and panic disorder in adults](#). **[2018]**

15 ***Nutritional factors***

16 1.2.98 Calculate BMI for people with COPD:

- 17 • the normal range for BMI is 20 to less than 25 kg/m² ⁶
- 18 • refer people for dietetic advice if they have a BMI that is abnormal (high
19 or low) or changing over time
- 20 • for people with a low BMI, give nutritional supplements to increase their
21 total calorific intake and encourage them to exercise to augment the
22 effects of nutritional supplementation. **[2004]**

23 1.2.99 For guidance on nutrition support, see the NICE guideline on [nutrition](#)
24 [support for adults](#). **[2004]**

⁶ This recommendation was not reviewed as part of the 2018 guideline update. The NICE guideline on [obesity](#) states that a healthy range is 18.5 to 24.9 kg/m², but this range may not be appropriate for people with COPD.

1 1.2.100 Pay attention to changes in weight in older people, particularly if the
2 change is more than 3 kg. **[2004]**

3 ***Palliative care***

4 1.2.101 When appropriate, use opioids to relieve breathlessness in people with
5 end-stage COPD that is unresponsive to other medical therapy. **[2004]**

6 1.2.102 When appropriate, use benzodiazepines, tricyclic antidepressants, major
7 tranquillisers and oxygen for breathlessness in people with end-stage
8 COPD that is unresponsive to other medical therapy. **[2004]**

9 1.2.103 People with end-stage COPD and their family members or carers (as
10 appropriate) should have access to the full range of services offered by
11 multidisciplinary palliative care teams, including admission to hospices.
12 **[2004]**

13 1.2.104 For standards and measures on palliative care, see the NICE quality
14 standard on [end of life care for adults](#). **[2018]**

15 1.2.105 For guidance on care for people in the last days of life, see the NICE
16 guideline on [care of dying adults](#). **[2018]**

17 ***Assessment for occupational therapy***

18 1.2.106 Regularly ask people with COPD about their ability to undertake activities
19 of daily living and how breathless these activities make them. **[2004]**

20 1.2.107 Clinicians that care for people with COPD should assess their need for
21 occupational therapy using validated tools. **[2004]**

22 ***Social services***

23 1.2.108 Consider referring people for assessment by social services if they have
24 disabilities caused by COPD. **[2004]**

1 **Advice on travel**

2 1.2.109 Assess people who are using long-term oxygen therapy and who are
3 planning air travel in line with the BTS recommendations⁷. **[2004]**

4 1.2.110 Assess people with an FEV1 below 50% predicted who are planning air
5 travel in line with the BTS recommendations. **[2004]**

6 1.2.111 Warn people with bullous disease that they are at a theoretically
7 increased risk of a pneumothorax during air travel. **[2004]**

8 **Advice on diving**

9 1.2.112 Scuba diving is not generally recommended for people with COPD.
10 Advise people with queries to seek specialist advice. **[2004]**

11 **Education**

12 1.2.113 There are significant differences in the response of people with COPD
13 and asthma to education programmes. Programmes designed for asthma
14 should not be used in COPD. **[2004]**

15 1.2.114 At diagnosis and at each review appointment, offer people with COPD
16 and their family members or carers (as appropriate):

- 17
- 18 • written information about their condition
 - 19 • opportunities for discussion with a healthcare professional who has
experience in caring for people with COPD. **[2018]**

20 1.2.115 Ensure the information provided is:

- 21
- 22 • available on an ongoing basis
 - 23 • relevant to the stage of the person's condition
 - tailored to the person's needs. **[2018]**

24 1.2.116 Be aware of the obligation to provide accessible information as detailed in
25 the NHS [Accessible Information Standard](#). For more guidance on
26 providing information to people and discussing their preferences with

⁷ British Thoracic Society Standards of Care Committee (2002) [Managing passengers with respiratory disease planning air travel: British Thoracic Society recommendations](#). Thorax 57(4): 289–304.

1 them, see the NICE guideline on [patient experience in adult NHS](#)
2 [services](#). **[2018]**

3 1.2.117 At minimum, the information should cover:

- 4 • an explanation of COPD and its symptoms
- 5 • advice on quitting smoking (if relevant) and how this will help with the
- 6 person's COPD
- 7 • advice on avoiding passive smoke exposure
- 8 • managing breathlessness
- 9 • physical activity and pulmonary rehabilitation
- 10 • medicines, including inhaler technique and the importance of
- 11 adherence
- 12 • vaccinations
- 13 • identifying and managing exacerbations
- 14 • details of local and national organisations and online resources that can
- 15 provide more information and support. **[2018]**

To find out why the committee made the 2018 recommendations on education and how they might affect practice, see [rationale and impact](#).

16

17 1.2.118 Advise people with COPD that the following factors increase their risk of
18 exacerbations:

- 19 • continued smoking or relapse for ex-smokers
- 20 • exposure to passive smoke
- 21 • viral or bacterial infection
- 22 • indoor and outdoor air pollution
- 23 • lack of physical activity
- 24 • seasonal variation (winter and spring). **[2018]**

To find out why the committee made the 2018 recommendation on risk factors for exacerbations and how it might affect practice see [rationale and impact](#).

1 **Self-management**

2 1.2.119 Develop an individualised self-management plan in collaboration with
3 each person with COPD and their family members or carers (as
4 appropriate), and:

- 5 • include education on all relevant points from recommendation 1.2.117
- 6 • review the plan at future appointments. **[2018]**

7 1.2.120 Develop an individualised exacerbation action plan in collaboration with
8 each person with COPD who is at risk of exacerbations. **[2018]**

9 1.2.121 Offer people at risk of exacerbations a short course of oral corticosteroids
10 and a short course of oral antibiotics to keep at home as part of their
11 exacerbation action plan if:

- 12 • they understand and are confident about when and how to take these
13 medicines, and the associated benefits and harms
- 14 • they know to tell their healthcare professional when they have used the
15 medication, and to ask for replacements. **[2018]**

16 1.2.122 For guidance on the choice of antibiotics see the NICE guideline on
17 [antimicrobial prescribing for acute exacerbations of COPD](#)⁸. **[2018]**

18 1.2.123 At all review appointments, discuss corticosteroid and antibiotic use with
19 people who keep these medicines at home, to check that they still
20 understand how to use them. For people who have used 3 or more
21 courses of oral corticosteroids and/or oral antibiotics in the last year,
22 investigate the possible reasons for this. **[2018]**

23 1.2.124 See recommendations 1.3.13 to 1.3.21 for more guidance on oral
24 corticosteroids. **[2018]**

⁸ The NICE antimicrobial prescribing guideline on antimicrobial prescribing for acute exacerbations of COPD is in development and is expected to publish in December 2018. Consultation on the draft guideline starts on 9 July 2018.

- 1 1.2.125 Encourage people with COPD to respond promptly to exacerbation
2 symptoms by:
- 3 • adjusting their short-acting bronchodilator therapy to treat their
4 symptoms
 - 5 • taking a short course of oral corticosteroids if their increased
6 breathlessness interferes with activities of daily living
 - 7 • taking oral antibiotics if their sputum changes colour or increases in
8 volume or thickness beyond their normal day-to-day variation
 - 9 • telling their healthcare professional. **[2018]**
- 10 1.2.126 Ask people with COPD if they experience breathlessness they find
11 frightening. If they do, consider adding a cognitive behavioural component
12 to their self-management plan to help them manage anxiety and cope with
13 breathlessness. **[2018]**
- 14 1.2.127 For people at risk of hospitalisation, explain to them and their family
15 members or carers (as appropriate) what to expect if this happens
16 (including non-invasive ventilation). **[2018]**
- 17 ***Telehealth monitoring***
- 18 1.2.128 Do not offer routine telehealth monitoring of physiological status as part of
19 management for stable COPD. **[2018]**

To find out why the committee made the 2018 recommendations on self-management and telehealth monitoring and how they might affect practice, see [rationale and impact](#).

20 **Fitness for general surgery**

- 21 1.2.129 The ultimate clinical decision about whether or not to proceed with surgery
22 should rest with a consultant anaesthetist and consultant surgeon, taking
23 account of comorbidities, functional status and the need for the surgery.
24 **[2004]**

1 1.2.130 It is recommended that lung function should not be the only criterion used
2 to assess people with COPD before surgery. Composite assessment tools
3 such as the ASA scoring system are the best predictors of risk. **[2004]**

4 1.2.131 If time permits, optimise the medical management of people with COPD
5 before surgery. This might include a course of pulmonary rehabilitation.
6 **[2004]**

7 **Follow-up of people with COPD**

8 1.2.132 Follow-up of all people with COPD should include:

- 9
- 10 • highlighting the diagnosis of COPD in the case record and recording
11 this using Read codes on a computer database
 - 12 • recording the values of spirometric tests performed at diagnosis (both
13 absolute and percent predicted)
 - 14 • offering advice and treatment to help them stop smoking, and referral to
15 specialist stop smoking services (see the NICE guideline on [stop
16 smoking interventions and services](#))
 - 17 • recording the opportunistic measurement of spirometric parameters (a
18 loss of 500 ml or more over 5 years will show which people have
19 rapidly progressing disease and may need specialist referral and
investigation). **[2004, amended 2018]**

20 1.2.133 Review people with COPD at least once per year and more frequently if
21 indicated, and cover the issues listed in table 6. **[2004]**

22 1.2.134 For most people with stable severe COPD regular hospital review is not
23 necessary, but there should be locally agreed mechanisms to allow rapid
24 access to hospital assessment when needed. **[2004]**

25 1.2.135 When people with very severe COPD are reviewed in primary care they
26 should be seen at least twice per year, and specific attention should be
27 paid to the issues listed in table 6. **[2004]**

28 1.2.136 Specialists should regularly review people with severe COPD who need
29 interventions such as long-term non-invasive ventilation. **[2004]**

1 **Table 6 Summary of follow-up of people with COPD in primary care**

	Mild/moderate/severe (stages 1 to 3)	Very severe (stage 4)
Frequency	At least annual	At least twice per year
Clinical assessment	<ul style="list-style-type: none"> • Smoking status and motivation to quit • Adequacy of symptom control: <ul style="list-style-type: none"> – breathlessness – exercise tolerance – estimated exacerbation frequency • Need for pulmonary rehabilitation • Presence of complications • Effects of each drug treatment • Inhaler technique • Need for referral to specialist and therapy services 	<ul style="list-style-type: none"> • Smoking status and motivation to quit • Adequacy of symptom control: <ul style="list-style-type: none"> – breathlessness – exercise tolerance – estimated exacerbation frequency • Presence of cor pulmonale • Need for long-term oxygen therapy • Person with COPD's nutritional state • Presence of depression • Effects of each drug treatment • Inhaler technique • Need for social services and occupational therapy input • Need for referral to specialist and therapy services • Need for pulmonary rehabilitation
Measurements to make	<ul style="list-style-type: none"> • FEV1 and FVC • calculate BMI • MRC dyspnoea score 	<ul style="list-style-type: none"> • FEV1 and FVC • calculate BMI • MRC dyspnoea score • SaO₂

2

3 **1.3 Managing exacerbations of COPD**4 **Definition of an exacerbation**

5 A sustained acute-onset worsening of the person's symptoms from their usual stable
6 state, which goes beyond their normal day-to-day variations. Commonly reported
7 symptoms are worsening breathlessness, cough, increased sputum production and

1 change in sputum colour. The change in these symptoms often necessitates a
2 change in medication.

3 **Assessing the need for hospital treatment**

4 1.3.1 Use the factors in table 7 to assess whether people with COPD need
5 hospital treatment. [2004]

6 **Table 7 Factors to consider when deciding where to treat the person with** 7 **COPD**

Factor	Treat at home	Treat in hospital
Able to cope at home	Yes	No
Breathlessness	Mild	Severe
General condition	Good	Poor/deteriorating
Level of activity	Good	Poor/confined to bed
Cyanosis	No	Yes
Worsening peripheral oedema	No	Yes
Level of consciousness	Normal	Impaired
Already receiving long-term oxygen therapy	No	Yes
Social circumstances	Good	Living alone/not coping
Acute confusion	No	Yes
Rapid rate of onset	No	Yes
Significant comorbidity (particularly cardiac disease and insulin-dependent diabetes)	No	Yes
SaO ₂ < 90%	No	Yes
Changes on chest radiograph	No	Present
Arterial pH level	≥ 7.35	< 7.35
Arterial PaO ₂	≥ 7 kPa	< 7 kPa

8

9 **Investigating an exacerbation**

10 The diagnosis of an exacerbation is made clinically and does not depend on the
11 results of investigations. However, investigations may sometimes be useful in
12 ensuring appropriate treatment is given. Different investigation strategies are needed
13 for people in hospital (who will tend to have more severe exacerbations) and people
14 in the community.

15 **Primary care**

16 1.3.2 For people who have their exacerbation managed in primary care:

- 1 • sending sputum samples for culture is not recommended in routine
2 practice
3 • pulse oximetry is of value if there are clinical features of a severe
4 exacerbation. **[2004]**

5 ***People referred to hospital***

6 1.3.3 In all people **presenting** to hospital with an acute exacerbation:

- 7 • obtain a chest X-ray
8 • measure arterial blood gas tensions and record the inspired oxygen
9 concentration
10 • record an ECG (to exclude comorbidities)
11 • perform a full blood count and measure urea and electrolyte
12 concentrations
13 • measure a theophylline level on admission in people who are taking
14 theophylline therapy
15 • send a sputum sample for microscopy and culture if the sputum is
16 purulent
17 • take blood cultures if the person has pyrexia. **[2004, amended 2018]**

18 **Hospital-at-home and assisted-discharge schemes**

19 1.3.4 Hospital-at-home and assisted-discharge schemes are safe and effective
20 and should be used as an alternative way of caring for people with
21 exacerbations of COPD who would otherwise need to be admitted or stay
22 in hospital. **[2004]**

23 1.3.5 The multiprofessional team that operates these schemes should include
24 allied health professionals with experience in managing COPD, and may
25 include nurses, physiotherapists, occupational therapists and other health
26 workers. **[2004]**

27 1.3.6 There are currently insufficient data to make firm recommendations about
28 which people with COPD with an exacerbation are most suitable for
29 hospital-at-home or early discharge. Selection should depend on the

1 resources available and absence of factors associated with a worse
2 prognosis (for example acidosis). **[2004]**

3 1.3.7 Include people's preferences about treatment at home or in hospital in
4 decision-making. **[2004]**

5 **Pharmacological management**

6 Increased breathlessness is a common feature of COPD exacerbations. This is
7 usually managed by taking increased doses of short-acting bronchodilators.

8 ***Delivery systems for inhaled therapy during exacerbations***

9 1.3.8 Both nebulisers and hand-held inhalers can be used to administer inhaled
10 therapy during exacerbations of COPD. **[2004]**

11 1.3.9 The choice of delivery system should reflect the dose of drug needed, the
12 person's ability to use the device, and the resources available to
13 supervise therapy administration. **[2004]**

14 1.3.10 Change people to hand-held inhalers as soon as their condition has
15 stabilised, because this may allow them to be discharged from hospital
16 earlier. **[2004]**

17 1.3.11 If a person with COPD is hypercapnic or acidotic the nebuliser should be
18 driven by compressed air rather than oxygen (to avoid worsening
19 hypercapnia). If oxygen therapy is needed, administer it simultaneously by
20 nasal cannulae. **[2004]**

21 1.3.12 The driving gas for nebulised therapy should always be specified in the
22 prescription. **[2004]**

23 ***Systemic corticosteroids***

24 1.3.13 In the absence of significant contraindications, use oral corticosteroids, in
25 conjunction with other therapies, in all people admitted to hospital with a
26 COPD exacerbation. **[2004]**

27 1.3.14 In the absence of significant contraindications, consider oral
28 corticosteroids for people in the community who have an exacerbation

- 1 with a significant increase in breathlessness that interferes with daily
2 activities. **[2004]**
- 3 1.3.15 Encourage people who need corticosteroid therapy to present early to get
4 maximum benefits. **[2004]**
- 5 1.3.16 Prescribe prednisolone 30 mg orally for 7 to 14 days. **[2004]**
- 6 1.3.17 It is recommended that a course of corticosteroid treatment should not be
7 longer than 14 days, as there is no advantage in prolonged therapy.
8 **[2004]**
- 9 1.3.18 For guidance on stopping oral corticosteroid therapy it is recommended
10 that clinicians refer to the BNF. **[2004]**
- 11 1.3.19 Think about osteoporosis prophylaxis for people who need frequent
12 courses of oral corticosteroids. **[2004]**
- 13 1.3.20 Make people aware of the optimum duration of treatment and the adverse
14 effects of prolonged therapy. **[2004]**
- 15 1.3.21 Give people (particularly people discharged from hospital) clear
16 instructions on why, when and how to stop their corticosteroid treatment.
17 **[2004]**
- 18 **Antibiotics⁹**
- 19 1.3.22 Use antibiotics to treat COPD exacerbations associated with a history of
20 more purulent sputum. **[2004]**
- 21 1.3.23 People who have exacerbations without more purulent sputum do not
22 need antibiotic therapy unless there is consolidation on a chest X-ray or
23 clinical signs of pneumonia. **[2004]**

⁹ The NICE antimicrobial prescribing guideline on [antimicrobial prescribing for acute exacerbations of COPD](#) is in development and is expected to publish in December 2018. This guideline will replace recommendations 1.3.22–25. Consultation on the draft antimicrobial prescribing guideline starts on 9 July 2018.

1 1.3.24 Use an aminopenicillin, a macrolide, or a tetracycline as initial empirical
2 treatment. When starting empirical antibiotic treatment, prescribers should
3 take account of any guidance issued by their local microbiologists. **[2004]**

4 1.3.25 If sputum has been sent for culture, check the appropriateness of
5 antibiotic treatment against laboratory culture and sensitivities when they
6 become available. **[2004]**

7 ***Theophylline and other methylxanthines***

8 1.3.26 Only use intravenous theophylline as an adjunct to exacerbation
9 management if there is an inadequate response to nebulised
10 bronchodilators. **[2004]**

11 1.3.27 Take care when using intravenous theophylline, because of its
12 interactions with other drugs and potential toxicity if the person has been
13 taking oral theophylline. **[2004]**

14 1.3.28 Monitor theophylline levels within 24 hours of starting treatment, and as
15 frequently as indicated by the clinical circumstances after this. **[2004]**

16 ***Respiratory stimulants***

17 1.3.29 It is recommended that doxapram is used only when non-invasive
18 ventilation is either unavailable or inappropriate. **[2004]**

19 **Oxygen therapy during exacerbations of COPD**

20 1.3.30 Measure oxygen saturation in people with an exacerbation if there are no
21 facilities to measure arterial blood gases. **[2004]**

22 1.3.31 If necessary, prescribe oxygen to keep the SaO₂ within the individualised
23 target range. **[2010]**

24 1.3.32 Pulse oximeters should be available to all healthcare professionals
25 involved in the care of people with exacerbations of COPD, and they
26 should be trained in their use. Clinicians should be aware that pulse
27 oximetry gives no information about the pCO₂ or pH. **[2004]**

1 1.3.33 Measure arterial blood gases and note the inspired oxygen concentration
2 in all people who arrive at hospital with an exacerbation of COPD. Repeat
3 arterial blood gas measurements regularly, according to the response to
4 treatment. **[2004]**

5 **Non-invasive ventilation (NIV) and COPD exacerbations**

6 1.3.34 Use NIV as the treatment of choice for persistent hypercapnic ventilatory
7 failure during exacerbations despite optimal medical therapy. **[2004]**

8 1.3.35 It is recommended that NIV should be delivered in a dedicated setting,
9 with staff who have been trained in its application, who are experienced in
10 its use and who are aware of its limitations. **[2004]**

11 1.3.36 When people are started on NIV there should be a clear plan covering
12 what to do in the event of deterioration, and ceilings of therapy should be
13 agreed. **[2004]**

14 **Invasive ventilation and intensive care**

15 1.3.37 Treat hospitalised exacerbations of COPD on intensive care units,
16 including invasive ventilation when this is thought to be necessary. **[2004]**

17 1.3.38 When assessing suitability for intubation and ventilation during
18 exacerbations, think about functional status, BMI, need for oxygen when
19 stable, comorbidities and previous admissions to intensive care units, in
20 addition to age and FEV1. Neither age nor FEV1 should be used in
21 isolation when assessing suitability. **[2004]**

22 1.3.39 Consider NIV for people who are slow to wean from invasive ventilation.
23 **[2004]**

24 **Respiratory physiotherapy and exacerbations**

25 1.3.40 Consider physiotherapy using positive expiratory pressure **devices** for
26 selected people with exacerbations of COPD, to help with clearing
27 sputum. **[2004, amended 2018]**

1 **Monitoring recovery from an exacerbation**

2 1.3.41 Monitor people's recovery by regular clinical assessment of their
3 symptoms and observation of their functional capacity. **[2004]**

4 1.3.42 Use pulse oximetry to monitor the recovery of people with non-
5 hypercapnic, non-acidotic respiratory failure. **[2004]**

6 1.3.43 Use intermittent arterial blood gas measurements to monitor the recovery
7 of people with respiratory failure who are hypercapnic or acidotic, until
8 they are stable. **[2004]**

9 1.3.44 Do not routinely perform daily monitoring of peak expiratory flow (PEF) or
10 FEV1 to monitor recovery from an exacerbation, because the magnitude
11 of changes is small compared with the variability of the measurement.
12 **[2004]**

13 **Discharge planning**

14 1.3.45 Measure spirometry in all people before discharge. **[2004]**

15 1.3.46 Re-establish people on their optimal maintenance bronchodilator therapy
16 before discharge. **[2004]**

17 1.3.47 People who have had an episode of respiratory failure should have
18 satisfactory oximetry or arterial blood gas results before discharge. **[2004]**

19 1.3.48 Assess all aspects of the routine care that people receive (including
20 appropriateness and risk of side effects) before discharge. **[2004]**

21 1.3.49 Give people (or home carers) appropriate information to enable them to
22 fully understand the correct use of medications, including oxygen, before
23 discharge. **[2004]**

24 1.3.50 Make arrangements for follow-up and home care (such as visiting nurse,
25 oxygen delivery or referral for other support) before discharge. **[2004]**

1 1.3.51 The person, their family and their physician should be confident that they
2 can manage successfully before they are discharged. A formal activities of
3 daily living assessment may be helpful when there is still doubt. [2004]

4 ***Terms used in this guideline***

5 **Asthmatic features/features suggesting steroid responsiveness**

6 This includes any previous, secure diagnosis of asthma or of atopy, a higher blood
7 eosinophil count, substantial variation in FEV1 over time (at least 400 ml) or
8 substantial diurnal variation in peak expiratory flow (at least 20%).

9 **Exacerbation**

10 A sustained acute-onset worsening of the person's symptoms from their usual stable
11 state, which goes beyond their normal day-to-day variations. Commonly reported
12 symptoms are worsening breathlessness, cough, increased sputum production and
13 change in sputum colour. The change in these symptoms often necessitates a
14 change in medication.

15 **Mild or no hypoxaemia**

16 People who are not taking long-term oxygen and who have a mean PaO₂ greater
17 than 7.3k Pa.

18 **Recommendations for research**

19 The guideline committee has made the following recommendations for research. As
20 part of the 2018 update, the guideline committee made additional research
21 recommendations on prognostic indices, inhaled therapies, prophylactic antibiotics,
22 pulmonary hypertension and the diagnosis of COPD through incidental CT scans.

23 ***Key recommendations for research***

24 **1 Pulmonary rehabilitation during hospital admission**

25 In people with COPD, does pulmonary rehabilitation during hospital admission for
26 exacerbation and/or in the early recovery period (within 1 month of an exacerbation)
27 improve quality of life and reduce hospitalisations and exacerbations compared with

1 a later (defined as after 1 month) pulmonary rehabilitation programme, and in which
2 groups is it most clinically and cost effective?

3 **Why this is important**

4 The greatest reconditioning and potential benefit from rehabilitation may occur in the
5 early post-exacerbation phase. If inpatient pulmonary rehabilitation is demonstrated
6 to be effective this may potentially impact upon service delivery (for example, early
7 discharge schemes). The cost effectiveness of early versus later pulmonary
8 rehabilitation programmes should also be evaluated. Studies should be cluster
9 randomised, be of sufficiently long duration and be adequately powered.

10 **2 Multidimensional assessment of outcomes**

11 How can the individual factors associated with COPD prognosis (collected from a
12 range of sources including primary care, imaging and pulmonary rehabilitation
13 results) be combined into a multidimensional analysis that provides accurate and
14 useful information on prognosis?

15 **Why this is important**

16 People with COPD can experience anxiety concerning their disease prognosis and
17 suitable prognostic tools could help alleviate this stress and allow them to make
18 plans for the future. Existing multidimensional indices are either no better at
19 predicting outcomes than FEV1 alone, unable to classify people reliably into high-
20 and low-risk groups better than FEV1 alone, or are time-consuming and consist of
21 components that are not routinely available in primary care. However, many
22 individual factors are known to provide information and the development of an
23 index/indices combining these factors could help with prognosis. These indices
24 should be validated in a general UK COPD population, and in primary care, in a
25 wider range of outcomes than mortality.

26 **3 Inhaled therapies for people with COPD and asthma**

27 What is the clinical and cost effectiveness of inhaled therapies (bronchodilators
28 and/or inhaled corticosteroids) in people with both stable COPD and asthma?

29 **Why this is important**

1 There are a large number of trials that look at the effectiveness of bronchodilators
2 and/or steroids in people with COPD, but the majority of them specifically excluded
3 people with comorbid asthma. As a result, there is a lack of evidence concerning the
4 most clinically and cost effective treatments for this subgroup of people with COPD.
5 Trials that recruit people with asthma and COPD could provide this evidence and
6 ensure that these people receive the most effective maintenance treatments for their
7 COPD and asthma.

8 **4 Inhaled corticosteroid responsiveness**

9 What features predict inhaled corticosteroid responsiveness most accurately in
10 people with COPD?

11 **Why this is important**

12 Bronchodilators and/or steroids are the main pharmacological treatments used to
13 manage COPD. People with asthma or asthmatic features that may make them
14 steroid responsive may require a different combination of drugs to other groups of
15 people with COPD for the most effective treatment of their symptoms. Identification
16 of these people would help ensure that they are treated appropriately.

17 **5 Prophylactic antibiotics for preventing exacerbations**

18 Which subgroups of people with stable COPD who are at high risk of exacerbations
19 are most likely to benefit from prophylactic antibiotics?

20 **Why this is important**

21 People with COPD commonly experience exacerbations, which have a negative
22 impact on their quality of life and are linked to worse disease prognosis. Certain
23 groups of people with COPD are at higher risk of exacerbations and reducing the
24 numbers they experience should improve quality of life for them and their families.
25 However, subgroups of these people may benefit particularly from this treatment.
26 Identifying and targeting these people for prescription of prophylactic antibiotics
27 should help improve their quality of life, whilst reducing the risk of antibiotic
28 resistance developing by reducing the numbers of people taking antibiotics in this
29 manner. Randomised trials that include subgroup analysis of participants based on

1 factors such as biomarkers, clinical features, bacterial patterns and comorbidities
2 could provide useful information on this topic.

3 ***Other recommendations for research***

4 **Diagnosing COPD**

5 What are the characteristics of people diagnosed with COPD as a result of an
6 incidental finding of emphysema on a CT scan, compared with those diagnosed with
7 symptoms?

8 **Inhaled therapy: triple therapy**

9 In people with COPD, does triple therapy improve outcomes when compared with
10 single or double therapy?

11 **Prophylactic antibiotics for preventing exacerbations**

12 What is the long-term clinical and cost effectiveness of prophylactic antibiotics for
13 people with stable COPD who are at high risk of exacerbations?

14 What is the comparative effectiveness of different antibiotics, doses and regimens of
15 prophylactic antibiotics for people with stable COPD who are at high risk of
16 exacerbations?

17 What is the comparative effectiveness of seasonal versus continuous prophylactic
18 antibiotics for people with stable COPD who are at high risk of exacerbations?

19 **Pulmonary hypertension**

20 What are the most clinical and cost-effective treatments for pulmonary hypertension
21 in people with COPD?

22 **Mucolytic therapy**

23 In people with COPD, does mucolytic drug therapy prevent exacerbations in
24 comparison with placebo and other therapies?

1 **Rationale and impact**

2 These sections briefly explain why the committee made the recommendations and
3 how they might affect practice. They link to details of the evidence and a full
4 description of the committee's discussion.

5 ***Incidental findings on chest X-ray or CT scans***

6 Recommendations 1.1.12 to 1.1.14

7 **Why the committee made the recommendations**

8 The evidence showed that CT scans and chest X-rays are accurate tests for
9 identifying people who would test positive for COPD using spirometry, including
10 people without symptoms. However, some of the CT and chest X-ray techniques
11 used in the studies are not routinely used in UK clinical practice. This limited how
12 applicable the evidence was to the NHS, so the committee was unable to make a
13 wider recommendation on using CT scans and chest X-rays for diagnosing COPD.
14 The committee therefore made recommendations on what to do if a CT scan or X-
15 ray that was performed for another reason showed signs of emphysema or chronic
16 airways disease.

17 There was no evidence on what to do for people who have emphysema or signs of
18 chronic airways disease on a CT scan or chest X-ray, but who have no symptoms.
19 Because of this, the committee made consensus recommendations based on their
20 experience and on current practice in the NHS. The committee also made a research
21 recommendation to find out more about the characteristics of this group, to try to
22 determine whether they differ in ways that might mean standard COPD treatment
23 has to be modified for them.

24 The committee also reviewed evidence on using pulse oximetry or high-sensitivity C-
25 reactive protein (hs-CRP) for diagnosing COPD. They did not recommend these
26 because:

- 27 • pulse oximetry is normally used to measure the severity of COPD rather than to
28 diagnose it, and there are other possible causes of low oxygen saturation

- 1 • elevated hs-CRP levels are not specifically linked to COPD, and could be caused
2 by other conditions
3 • the evidence showed that they were not effective diagnostic tests.

4 The committee amended the 'Additional investigations' table, based on their
5 knowledge and experience, to more accurately reflect good practice.

6 **How the recommendations might affect practice**

7 As the recommendation only covers CT scans or chest X-rays taken for other
8 purposes, there would be no additional costs from these tests. The recommendation
9 to consider spirometry and GP respiratory review and the amendments to the
10 'Additional investigations' table all reflect current practice. There may be a small
11 number of additional referrals for spirometry, but this is expected to have a minimal
12 resource impact.

13 Full details of the evidence and the committee's discussion are in [evidence review D:
14 Diagnosing COPD and predicting outcomes](#)

15 [Return to recommendations](#)

16 ***Assessing severity and using prognostic factors***

17 Recommendations 1.1.24 and 1.1.25

18 **Why the committee made the recommendations**

19 The committee recommended against using multidimensional indices, such as
20 BODE, because they were:

- 21 • unable to classify people reliably into high- and low-risk groups better than FEV1
22 alone **or**
23 • no better at predicting outcomes than FEV1 alone **or**
24 • time-consuming and consisted of components that would not be routinely
25 available in primary care.

26 However, the committee recognised the need for an effective prognostic tool that did
27 not have these problems, so they made a research recommendation to address this.

1 The committee used their knowledge and experience to list factors associated with
2 prognosis. In the absence of a single prognostic tool, thinking about these factors
3 can help guide discussions, and help people with COPD to understand how their
4 condition is likely to progress and decide which treatments are right for them.

5 **How the recommendations might affect practice**

6 The BODE index is not used routinely in the NHS and no alternative indices have
7 been recommended, so there should be minimal impact on practice.

8 Full details of the evidence and the committee's discussion are in [evidence review D:
9 Diagnosing COPD and predicting outcomes](#)

10 [Return to recommendations](#)

11 ***Inhaled combination therapy***

12 Recommendations 1.2.11, 1.2.12, 1.2.15 and 1.2.16

13 **Why the committee made the recommendations**

14 The evidence showed that, compared with other dual therapy combinations and with
15 monotherapy, LAMA+LABA:

- 16 • provides the greatest benefit to overall quality of life
- 17 • is better than other inhaled treatments for many individual outcomes (such as
18 reducing the risk of moderate to severe exacerbations)
- 19 • is the most cost-effective option.

20 The committee did not recommend a particular LAMA because they were not
21 convinced that the evidence showed any meaningful differences in effectiveness
22 between the drugs in this class. Instead, they updated the existing recommendation
23 on drug and inhaler choice, based on their experience of what factors should be
24 taken into account. In particular, minimising the number and types of inhalers
25 prescribed will make it easier for people to use their inhalers correctly.

26 Most of the trials specifically excluded people with COPD and asthma, so there was
27 no direct evidence for this group. The committee recommended LABA+ICS based on

1 their clinical experience and knowledge of the likely benefit of inhaled corticosteroids
2 in certain specific COPD phenotypes.

3 Because most of the trials excluded people with asthma, there is a lack of evidence
4 on the most clinically and cost-effective treatments for people with COPD and
5 asthma. There is also no evidence on how to predict steroid responsiveness in
6 people with COPD. The committee made research recommendations to address
7 these points.

8 **How the recommendations might affect practice**

9 The recommendation on LAMA+LABA dual therapy is likely to increase the number
10 of people with COPD who are having this treatment. The higher cost of dual therapy
11 compared with monotherapy may result in a significant resource impact, but cost
12 savings are also likely from a reduction in treatments needed for exacerbations
13 (including hospitalisation).

14 Using LABA+ICS for people with features of [asthma/features suggesting steroid](#)
15 [responsiveness](#) is in line with current practice.

16 The recommendation on how to choose drugs and inhalers covers factors that
17 prescribers routinely consider, so is not a change in practice. However, minimising
18 the number and type of inhaler devices and avoiding unnecessary within-class
19 switching may produce cost savings through lower upfront spending and better
20 symptom control.

21 Full details of the evidence and the committee's discussion are in [evidence review F:](#)
22 [Inhaled therapies](#)

23 [Return to recommendations](#)

24 ***Oral prophylactic antibiotic therapy***

25 Recommendations 1.2.41 to 1.2.48

26 **Why the committee made the recommendations**

27 The evidence showed that prophylactic antibiotics reduce the risk of people having
28 an exacerbation and the number of exacerbations per year in people with COPD and

1 sputum production. However, prescribing these to large numbers of people with
2 COPD could increase levels of antibiotic resistance. Problems with adherence may
3 make this worse, as people are not taking the antibiotics to help with any current
4 symptoms and (for azithromycin) have to remember to take it 3 times a week. With
5 this in mind, the committee made recommendations for the people who would benefit
6 the most from prophylactic antibiotics and whose exacerbations were not being
7 managed well by other treatments.

8 The committee recommended azithromycin because this antibiotic had the most
9 evidence of effectiveness (based on the numbers of trials and study participants).
10 Doxycycline is recommended for people who cannot take azithromycin because it is
11 from a different class of drugs, so is more likely to be tolerated than another drug
12 from the same class. The recommended dosages for both drugs are taken from the
13 trials the committee reviewed.

14 People taking prophylactic azithromycin may also keep antibiotics at home as part of
15 their exacerbation action plan (see recommendation 1.2.121). This should be a
16 different class of antibiotic to ensure that it is effective when they need it, as the
17 person may develop resistance to azithromycin.

18 The committee recommended strict criteria for using and reviewing prophylactic
19 antibiotics, to ensure that:

- 20 • the risk of antibiotic resistance is minimised, both for the person taking them and
21 for society
22 • people only take them if it is safe to do so
23 • people do not continue taking them if there is no benefit.

24 While it is clear that prophylactic antibiotics provide a benefit, none of the trials
25 reviewed lasted longer than 12 months. There was limited evidence on which
26 antibiotics and doses were most effective, and which subgroups of people would
27 benefit the most. Because of this, the committee made research recommendations in
28 these areas.

1 **How the recommendations might affect practice**

2 It is likely that these recommendations will increase the number of people taking
3 prophylactic antibiotics. This is unlikely have a significant resource impact, given the
4 relatively low cost of antibiotics. By reducing exacerbation frequency it is likely to
5 reduce the amount of oral corticosteroids taken by people with COPD.

6 Full details of the evidence and the committee's discussion are in [evidence review E:
7 Predicting and preventing exacerbations](#)

8 [Return to recommendations](#)

9 ***Long-term oxygen therapy***

10 Recommendations 1.2.53 to 1.2.58

11 **Why the committee made the recommendations**

12 There is evidence that continuous long-term oxygen therapy improves survival in
13 people with more severe hypoxaemia, but not for people with mild hypoxaemia. The
14 specific thresholds for long-term oxygen therapy are taken from the trials that
15 provided the evidence.

16 The recommendation that people should use supplemental oxygen for more than 15
17 hours a day is based on the available evidence. There is also evidence that long-
18 term oxygen therapy was not effective for isolated nocturnal hypoxaemia caused by
19 COPD.

20 The evidence showed risks of harm from the use of long-term oxygen, in particular
21 burns and fires as a result of smoking while using oxygen and falls from tripping over
22 equipment. Given these risks to the person with COPD and the people they live with,
23 the committee agreed that it is important to conduct a detailed risk assessment
24 before offering this treatment.

25 The committee decided that there were 2 levels of risk posed by smoking around
26 oxygen and the recommendations they made reflect these differences:

- 27 • People with COPD who do not smoke but who live with people who smoke.
28 Cigarettes could ignite the oxygen, but this risk is likely to be lower because the

1 person who smokes can keep away from the oxygen. Oxygen therapy may benefit
2 these people if they meet the eligibility criteria and the risk assessment is
3 favourable.

- 4 • People with COPD who smoke. They will be smoking in close proximity to the
5 oxygen, and the risks to them and the people they live with outweigh the potential
6 benefits of long-term oxygen therapy.

7 **How the recommendations might affect practice**

8 These recommendations may result in an increase in demand for stop smoking
9 services, but these are known to provide good value for money. Additional time may
10 be needed to conduct risk assessments. As these should prevent people from being
11 given oxygen therapy if they would not benefit or may be harmed by it, it would be an
12 appropriate use of resources and should not lead to an overall increase in resource
13 use. These recommendations may also reduce the cost of managing harms
14 associated with oxygen use, including falls, burns and the wider costs of fires.

15 Full details of the evidence and the committee's discussion are in [evidence review B:](#)
16 [Oxygen therapy in people with stable COPD](#)

17 [Return to recommendations](#)

18 ***Ambulatory and short-burst oxygen therapy***

19 Recommendations 1.2.62 and 1.2.68

20 **Why the committee made the recommendations**

21 The evidence for people with mild or no hypoxaemia showed that neither ambulatory
22 oxygen nor short-burst oxygen provide a clinically meaningful improvement in
23 breathlessness.

24 **How the recommendations might affect practice**

25 Reducing the use of ambulatory and short-burst oxygen therapy in people who would
26 not benefit is likely to be cost saving and will allow resources to be invested in
27 effective treatments for breathlessness instead.

28 Full details of the evidence and the committee's discussion are in [evidence review B:](#)
29 [Oxygen therapy in people with stable COPD](#)

1 [Return to recommendations](#)

2 ***Managing pulmonary hypertension and cor pulmonale***

3 Recommendations 1.2.72, 1.2.73 and 1.2.75

4 **Why the committee made the recommendations**

5 ***Pulmonary hypertension***

6 The committee agreed that there was not enough evidence to recommend any of the
7 reviewed treatments for pulmonary hypertension in people with COPD. Although
8 some of the treatments improved blood pressure readings, there was no evidence
9 that they improved quality of life and the clinical trials only involved small numbers of
10 people.

11 There is a shortage of good evidence in this area, so the committee made an
12 exception for using these treatments in randomised controlled trials, and made a
13 research recommendation.

14 ***Cor pulmonale***

15 The evidence on long-term oxygen therapy for people with COPD and cor pulmonale
16 showed no improvement in survival. However, long-term oxygen therapy can also
17 help with hypoxia. The committee saw no evidence that people with cor pulmonale
18 should be treated or assessed for long-term oxygen therapy differently than other
19 people with COPD.

20 **How the recommendations might affect practice**

21 The recommendations will not change practice, as none of the treatments the
22 committee has recommended against for pulmonary hypertension or cor pulmonale
23 are currently in routine use specifically for these conditions in people with COPD.

24 Full details of the evidence and the committee's discussion are in [evidence review A:
25 *Managing pulmonary hypertension and cor pulmonale*](#)

26 [Return to recommendations](#)

1 ***Lung volume reduction procedures, bullectomy and lung***
2 ***transplantation***

3 Recommendations 1.2.83 to 1.2.89

4 **Why the committee made the recommendations**

5 The evidence showed that people with severe COPD show improvements in lung
6 function, exercise capacity, quality of life and long-term mortality as a result of lung
7 volume reduction surgery. The criteria for who should be referred for this procedure
8 are based on the criteria used in the trials reviewed by the committee and the
9 committee's clinical expertise, taking into account current practice in the NHS.

10 It was not clear from the evidence whether endobronchial coils work better than
11 standard lung volume reduction surgery. In addition, the procedure is relatively new.
12 For these reasons, the committee recommended that it is only offered as part of a
13 clinical trial.

14 The recommendations on referral for bullectomy and lung transplantation are based
15 on the committee's knowledge and experience. The lung transplantation referral
16 criteria were adapted from the criteria used for the respiratory review for lung volume
17 reduction surgery. The committee noted that some people are refused lung
18 transplantation because they have had previous lung volume reduction procedures.
19 These people could still benefit from transplantation, so the committee made a
20 recommendation to reflect this.

21 **How the recommendations might affect practice**

22 It is current clinical practice to assess for future treatment plans after pulmonary
23 rehabilitation. However, the criteria for referring people to a multidisciplinary team
24 (MDT) to assess for lung volume reduction assessment have been broadened, as
25 recommended treatment options now include endobronchial valves. The broadening
26 of criteria will lead to more referrals and improved access to these treatments. This
27 will have an impact on resource use, in particular, as a new group of people for
28 whom lung volume reduction surgery was unsuitable may now be treated with
29 endobronchial valves.

1 Full details of the evidence and the committee's discussion are in [evidence review G:](#)
2 [Referral criteria for lung volume reduction procedures, bullectomy and lung](#)
3 [transplantation](#)

4 [Return to recommendations](#)

5 ***Risk factors for COPD exacerbations***

6 Recommendation 1.2.118

7 **Why the committee made the recommendations**

8 The factors associated with exacerbations are taken from the evidence available and
9 the committee's experience. The evidence on physical activity was not reviewed, but
10 as promoting exercise and physical activity is an important part of management for
11 stable COPD the committee agreed to include it in the list. The factors listed are also
12 the factors that people can avoid or reduce their exposure to. Other factors are also
13 associated with exacerbations (for example, disease-related factors, biomarkers and
14 other medicines), but people cannot avoid these on their own and these factors are
15 addressed in other areas of the guideline.

16 **How the recommendations might affect practice**

17 These recommendations are unlikely to have a significant impact on resources, as
18 the marginal cost of providing advice on exacerbations to people with COPD is very
19 low. An increased emphasis on physical activity may lead to an increase in referrals
20 to pulmonary rehabilitation, which is known to be a highly cost-effective intervention
21 for people with COPD. The recommendations may produce some cost savings by
22 reducing the number of exacerbations people have.

23 Full details of the evidence and the committee's discussion are in [evidence review E:](#)
24 [Predicting and preventing exacerbations](#)

25 [Return to recommendations](#)

26 ***Self-management, education and telehealth monitoring***

27 Recommendations 1.2.114 to 1.2.117 and 1.2.119 to 1.2.128

1 **Why the committee made the recommendations**

2 Evidence showed that self-management plans improve quality of life and reduce
3 hospital admissions. The committee recommended that self-management plans
4 include:

- 5 • patient education, because this was a common component of the self-
6 management plans they examined and because education alone was shown to
7 improve knowledge about COPD
- 8 • cognitive behavioural components for people with frightening breathlessness,
9 because there is some evidence that these reduce distress (although they do not
10 help with the symptoms of breathlessness).

11 The list of topics to be covered in information about COPD is taken from the self-
12 management plans the committee examined and their own clinical and personal
13 experience.

14 Exacerbation action plans were shown to improve quality of life and reduce hospital
15 admissions for people at risk of exacerbations. Most of the exacerbation action plans
16 that the committee examined provided people with short courses of antibiotics and
17 corticosteroids to use at home to respond to symptoms, and monitoring to make sure
18 they were using those medicines appropriately. Therefore these components were
19 included in the recommendations. The committee also discussed the potential for
20 antibiotic overuse, and stressed the importance of continued monitoring to ensure
21 people are using these medicines appropriately.

22 Telehealth monitoring does not improve quality of life or reduce hospitalisations for
23 people with COPD, and it leads to higher costs. However, the committee did not
24 want to prevent telehealth monitoring being used for specific reasons that were not
25 covered in the evidence they reviewed, such as short-term monitoring following
26 hospital discharge, so only recommended against routine telehealth monitoring.

27 **How the recommendations might affect practice**

28 Self-management plans are already in place for some people with COPD. The
29 recommendations may change the content of these plans, and may increase the
30 number of people using a self-management plan. However, self-management plans

1 are highly cost-effective and the increased cost of providing them should be offset by
2 cost savings from a reduction in hospitalisations.

3 The number of people with stable COPD who are having telehealth monitoring
4 should decrease, which is likely to reduce costs

5 Full details of the evidence and the committee's discussion are in [evidence review C:
6 Self-management interventions, education and telehealth monitoring](#)

7 [Return to recommendations](#)

8 **Context**

9 Approximately 1.2 million people have a diagnosis of chronic obstructive pulmonary
10 disease (COPD) in the UK¹⁰. Although there are 115,000 new diagnoses per year,
11 most people with COPD are not diagnosed until they are in their fifties or older and
12 many more people may remain undiagnosed. The UK has the 12th highest recorded
13 deaths from COPD in the world, with an age-standardised mortality rate of 210.7
14 deaths per million people between 2001 and 2010.

15 New evidence has emerged and practice has changed in a number of areas since
16 2010, when the last version of the guideline was published. This evidence and the
17 changes in how care is delivered may have a significant impact on people with
18 COPD, for example by increasing the focus on:

- 19 • treating tobacco dependence
- 20 • optimising inhaled therapy treatments
- 21 • improving access to lung volume reduction procedures
- 22 • predicting and preventing COPD exacerbations.

23 The costs of some inhaled therapies have also reduced, as they are now off-patent
24 and generic versions are available. However, the range and complexity of the
25 inhaled therapies available (drugs and devices) has also increased.

¹⁰ British Lung Foundation. [Chronic obstructive pulmonary disease \(COPD\) statistics](#) [online; accessed 23 April 2018]

1 **Finding more information and resources**

2 To find out what NICE has said on topics related to this guideline, see our web page
3 on [chronic obstructive pulmonary disease](#).

4 **Update information**

5 **June 2018**

6 This guideline is an update of NICE guideline CG101 (published June 2010) and will
7 replace it.

8 We have reviewed evidence on diagnosis and prognosis, inhaled combination
9 therapies, prophylactic antibiotics, oxygen therapy, managing pulmonary
10 hypertension and cor pulmonale, lung surgery and lung volume reduction
11 procedures, education, self-management and telehealth monitoring for people with
12 COPD.

13 Recommendations are marked **[2018]** if the evidence has been reviewed.

14 ***Recommendations that have been deleted or changed***

15 We propose to delete some recommendations from the 2010 guideline. [Table 1](#) sets
16 out these recommendations and includes details of replacement recommendations.
17 If there is no replacement recommendation, an explanation for the proposed deletion
18 is given.

19 In recommendations shaded in grey and ending **[2004, amended 2018]** or **[2010,**
20 **amended 2018]**, we have made changes that could affect the intent without
21 reviewing the evidence. Yellow shading is used to highlight these changes, and
22 reasons for the changes are given in [table 2](#).

23 In recommendations shaded in grey and ending **[2004]** or **[2010]**, we have not
24 reviewed the evidence. In some cases minor changes have been made – for
25 example, to update links, or bring the language and style up to date – without
26 changing the intent of the recommendation. Minor changes are listed in [table 3](#).

27 See also the [previous NICE guideline and supporting documents](#).

1 **Table 1 Recommendations that have been deleted**

Recommendation in 2010 guideline	Comment
Pulse oximetry entry in table 2	This recommendation was removed following an evidence review looking at tests to confirm COPD diagnosis. Pulse oximetry is normally used as a measure of severity of COPD, rather than during diagnosis.
<p>Be aware that disability in COPD can be poorly reflected in the FEV1. A more comprehensive assessment of severity includes the degree of airflow obstruction and disability, the frequency of exacerbations and the following known prognostic factors:</p> <ul style="list-style-type: none"> • FEV1 • TLCO • breathlessness (MRC scale) • health status • exercise capacity (for example, 6-minute walk test) • BMI • partial pressure of oxygen in arterial blood (PaO₂) • cor pulmonale. <p>Calculate the BODE index (BMI, airflow obstruction, dyspnoea and exercise capacity) to assess prognosis where its component information is currently available. (1.1.5.1)</p>	<p>This recommendation was replaced following an evidence review on the effectiveness of multidimensional indices for prognosis.</p> <p>Replaced by recommendations: 1.1.24 to 1.1.25.</p>
Varenicline is recommended within its licensed indications as an option for smokers who have expressed a desire to quit smoking. (1.2.1.4)	This recommendation is from NICE technology appraisal 123 and is linked to rather than repeated in the guideline.
Varenicline should normally be prescribed only as part of a programme of behavioural support. (1.2.1.5)	This recommendation is from NICE technology appraisal 123 and is linked to rather than repeated in the guideline.
Offer once-daily long-acting muscarinic antagonist (LAMA) in preference to four-times-daily short-acting muscarinic antagonist (SAMA) to people with stable COPD who remain breathless or have exacerbations despite using short-acting bronchodilators as required, and in whom a decision has been made to commence regular maintenance bronchodilator therapy with a muscarinic antagonist. (1.2.2.5)	This recommendation has been deleted because the treatment pathway has changed and dual LAMA+LABA therapy is now recommended as first-line long-acting bronchodilator therapy.
In people with stable COPD who remain breathless or have exacerbations despite	This recommendation was replaced as part of the evidence review on inhaled therapies.

<p>using short-acting bronchodilators as required, offer the following as maintenance therapy:</p> <ul style="list-style-type: none"> • if FEV1 \geq 50% predicted: either long-acting beta2 agonist (LABA) or LAMA • if FEV1 < 50% predicted: either LABA with an inhaled corticosteroid (ICS) in a combination inhaler, or LAMA. (1.2.2.6) 	<p>Replaced by recommendations: 1.2.11 to 1.2.16.</p>
<p>In people with stable COPD and an FEV1 \geq 50% who remain breathless or have exacerbations despite maintenance therapy with a LABA:</p> <ul style="list-style-type: none"> • consider LABA+ICS in a combination inhaler • consider LAMA in addition to LABA where ICS is declined or not tolerated. (1.2.2.7) 	<p>This recommendation was replaced as part of the evidence review on inhaled therapies. Replaced by recommendations: 1.2.11 to 1.2.16.</p>
<p>Consider LABA+ICS in a combination inhaler in addition to LAMA for people with stable COPD who remain breathless or have exacerbations despite maintenance therapy with LAMA irrespective of their FEV1. (1.2.2.9)</p>	<p>This recommendation has been deleted because the treatment pathway has changed and dual LAMA+LABA therapy is now recommended as first-line long-acting bronchodilator therapy. Consequently, the guideline does not recommend LAMA monotherapy, and therefore a recommendation for what to add to this monotherapy does not fit in the recommended treatment pathway.</p>
<p>The choice of drug(s) should take into account the person's symptomatic response and preference, and the drug's potential to reduce exacerbations, its side effects and cost. (1.2.2.10)</p>	<p>This recommendation was replaced as part of the evidence review on inhaled therapies. Replaced by recommendations: 1.2.11 to 1.2.16.</p>
<p>If patients remain symptomatic on monotherapy, their treatment should be intensified by combining therapies from different drug classes. Effective combinations include: combinations include:</p> <ul style="list-style-type: none"> • beta₂ agonist and theophylline beta 2 agonist and theophylline • anticholinergic and theophylline. (1.2.4.1) 	<p>This recommendation has been deleted because the treatment pathway has changed and dual LAMA+LABA therapy is now recommended as first-line long-acting bronchodilator therapy. Consequently, the guideline does not recommend bronchodilator monotherapy, and therefore a recommendation for what to add to this monotherapy does not fit in the recommended treatment pathway.</p>
<p>LTOT is indicated in patients with COPD who have a PaO₂ less than 7.3 kPa when stable or a PaO₂ greater than 7.3 and less than 8 kPa when stable and one of: secondary polycythaemia, nocturnal hypoxaemia (oxygen saturation of arterial blood [SaO₂] less than 90% for more than 30% of the time), peripheral oedema or pulmonary hypertension. (1.2.5.2)</p>	<p>This recommendation was replaced following an evidence review of the effectiveness of long-term oxygen therapy for particular subgroups of people with COPD. Replaced by recommendations: 1.2.53 to 1.2.58.</p>

To get the benefits of LTOT patients should breathe supplemental oxygen for at least 15 hours per day. Greater benefits are seen in patients receiving oxygen for 20 hours per day. (1.2.5.3)	This recommendation was replaced following an evidence review effectiveness of long-term oxygen therapy. Replaced by recommendations: 1.2.53 to 1.2.58
Ambulatory oxygen therapy is not recommended in COPD if PaO ₂ is greater than 7.3 kPa and there is no exercise desaturation. (1.2.5.12)	This recommendation was replaced following an evidence review on the effectiveness of ambulatory oxygen therapy. Replaced by recommendation: 1.2.62
Short-burst oxygen therapy should only be considered for episodes of severe breathlessness in patients with COPD not relieved by other treatments. (1.2.5.16)	This recommendation was replaced following an evidence review on the effectiveness of short-burst oxygen therapy. Replaced by recommendation: 1.2.68
Short-burst oxygen therapy should only continue to be prescribed if an improvement in breathlessness following therapy has been documented. (1.2.5.17)	This recommendation was replaced following an evidence review on the effectiveness of short-burst oxygen therapy. Replaced by recommendation: 1.2.68.
When indicated, short-burst oxygen should be provided from cylinders. (1.2.5.18)	This recommendation was replaced following an evidence review on the effectiveness of short-burst oxygen therapy. Replaced by recommendation: 1.2.68
Patients presenting with cor pulmonale should be assessed for the need for long-term oxygen therapy. (1.2.7.2)	This recommendation was replaced following an evidence review on the most effective treatments for cor pulmonale. Replaced by recommendations: 1.2.73 and 1.2.75.
Patients who are breathless, and have a single large bulla on a CT scan and an FEV1 less than 50% predicted should be referred for consideration of bullectomy. (1.2.10.1)	This recommendation was replaced following an evidence review of the referral criteria for lung volume reduction procedures and lung transplantation. Replaced by recommendations: 1.2.83 to 1.2.89.
Patients with severe COPD who remain breathless with marked restrictions of their activities of daily living, despite maximal medical therapy (including rehabilitation), should be referred for consideration of lung volume reduction surgery if they meet all of the following criteria: <ul style="list-style-type: none"> • FEV1 more than 20% predicted • PaCO₂ less than 7.3 kPa • upper lobe predominant emphysema • TLCO more than 20% predicted. (1.2.10.2)	This recommendation was replaced following an evidence review of the referral criteria for lung volume reduction procedures and lung transplantation. Replaced by recommendations: 1.2.83 to 1.2.89.
Patients with severe COPD who remain breathless with marked restrictions of their activities of daily living despite maximal medical therapy should be considered for referral for assessment for lung transplantation bearing in mind	This recommendation was replaced following an evidence review of the of the referral criteria for lung volume reduction procedures and lung transplantation. Replaced by recommendations:

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<p>comorbidities and local surgical protocols. Considerations include:</p> <ul style="list-style-type: none"> • age • FEV1 • PaCO2 • homogeneously distributed emphysema on CT scan • elevated pulmonary artery pressures with progressive deterioration. (1.2.10.3) 	<p>1.2.83 to 1.2.89.</p>
<p>Specific educational packages should be developed for patients with COPD.</p> <ul style="list-style-type: none"> • Suggested topics for inclusion are listed in appendix C of the full guideline (see section 5 for details of the full guideline). • The packages should take account of the different needs of patients at different stages of their disease. (1.2.12.19) 	<p>This recommendation was replaced following an evidence review on the effectiveness of education interventions. Replaced by recommendations: 1.2.114 to 1.2.117.</p>
<p>Patients with moderate and severe COPD should be made aware of the technique of NIV. Its benefits and limitations should be explained so that if it is ever necessary in the future they will be aware of these issues. (1.2.12.20)</p>	<p>This recommendation was replaced following an evidence review on the effectiveness of educational interventions. Replaced by recommendations: 1.2.114 to 1.2.117.</p>
<p>Patients at risk of having an exacerbation of COPD should be given self-management advice that encourages them to respond promptly to the symptoms of an exacerbation. (1.2.12.21)</p>	<p>This recommendation was replaced following an evidence review on the effectiveness of self-management programmes for people with COPD. Replaced by recommendations: 1.2.119 to 1.2.127.</p>
<p>Patients should be encouraged to respond promptly to the symptoms of an exacerbation by:</p> <ul style="list-style-type: none"> • starting oral corticosteroid therapy if their increased breathlessness interferes with activities of daily living (unless contraindicated) • starting antibiotic therapy if their sputum is purulent • adjusting their bronchodilator therapy to control their symptoms. (1.2.12.22) 	<p>This recommendation was replaced following an evidence review on the effectiveness of self-management programmes for people with COPD. Replaced by recommendations: 1.2.119 to 1.2.127.</p>
<p>Patients at risk of having an exacerbation of COPD should be given a course of antibiotic and corticosteroid tablets to keep at home for use as part of a self-management strategy (see recommendation 1.3.5.9). (1.2.12.23)</p>	<p>This recommendation was replaced following an evidence review on the effectiveness of self-management programmes for people with COPD. Replaced by recommendations: 1.2.119 to 1.2.127.</p>

The appropriate use of these tablets should be monitored. (1.2.12.24)	This recommendation was replaced following an evidence review on the effectiveness of self-management programmes for people with COPD. Replaced by recommendations: 1.2.119 to 1.2.127.
Patients given self-management plans should be advised to contact a healthcare professional if they do not improve. (1.2.12.25)	This recommendation was replaced following an evidence review on the effectiveness of self-management programmes for people with COPD. Replaced by recommendations: 1.2.119 to 1.2.127.
There is insufficient evidence to recommend prophylactic antibiotic therapy in the management of stable COPD. (1.2.3.12)	This recommendation was replaced following an evidence review on the effectiveness of prophylactic antibiotics for preventing exacerbations in people with COPD. Replaced by recommendations: 1.2.41 to 1.2.48.
The working definition of COPD has been deleted.	This definition was not based on an evidence review. As a result, it was unclear whether the definition of COPD based on specific spirometry thresholds was correct or whether lower values should be used.

1 **Table 2 Amended recommendation wording (change to intent) without an**
2 **evidence review**

Recommendation in 2010 guideline	Recommendation in current guideline	Reason for change
Spirometry should be performed: <ul style="list-style-type: none"> at the time of diagnosis to reconsider the diagnosis, if patients show an exceptionally good response to treatment. (1.1.2.1) 	Perform spirometry: <ul style="list-style-type: none"> at diagnosis to reconsider the diagnosis, for people who show an exceptionally good response to treatment to monitor disease progression. (1.1.4) 	The bullet point on disease progression was added, based on the information in Table 6 on investigations to perform as part of monitoring.
Additional investigations should be performed to aid management in some circumstances (see table 2). (1.1.3.2)	Perform additional investigations when needed, as detailed in table 2. (1.1.16)	This was labelled as amended to reflect the changes made to table 2 that are detailed below.
In table 2: Transfer factor for carbon monoxide (TLCO)	'To assess suitability for lung volume reduction procedures' was added to this investigation)	The table was amended for clarity following evidence reviews looking at tests to confirm COPD diagnosis.
In table 2: Serial domiciliary peak flow measurements	Serial home peak flow measurements	The wording was amended for clarity following evidence

		reviews looking at tests to confirm COPD diagnosis.
In table 2: ECG Role: To assess cardiac status if features of cor pulmonale	Serum natriuretic peptides were added as an alternative to ECG. The role was amended as follows: To assess cardiac status if cardiac disease or pulmonary hypertension are suspected because of: <ul style="list-style-type: none"> • a history of cardiovascular disease, hypertension or hypoxia or • clinical signs such as tachycardia, oedema, cyanosis or features of cor pulmonale 	The table was amended for clarity following an evidence review looking at tests to confirm COPD diagnosis, and based on the positive recommendations for natriuretic peptides in the NICE guideline on chronic heart failure.
In table 2 CT scan of the thorax Role: <ul style="list-style-type: none"> • To investigate symptoms that seem disproportionate to the spirometric impairment • To investigate abnormalities seen on a chest radiograph • To assess suitability for surgery 	For CT scan of the thorax, a new role was added: 'to investigate signs that may suggest another lung diagnosis (such as fibrosis or bronchiectasis)'. The word 'surgery' was replaced by 'lung volume reduction procedures.' The term 'chest radiograph' was changed to 'chest X-ray'.	The table was amended for clarity following evidence reviews looking at tests to confirm COPD diagnosis, and referral criteria for lung volume reduction procedures. In particular, the new version of the guideline considers non-surgical lung volume reduction procedures.
In table 2: Echocardiogram Role: To assess cardiac status if there are features of cardiac disease or pulmonary hypertension	To assess cardiac status if cardiac disease or pulmonary hypertension are suspected.	The table was rephrased to match the format of the ECG entry as part of the evidence review looking at tests to confirm COPD diagnosis.
In table 2: Serum alpha-1 antitrypsin Role: If early onset, minimal smoking history or family history	To assess for alpha-1 antitrypsin deficiency if early onset, minimal smoking history or family history	The entry was amended to clarify the role of the assessment.
Original order of recommendations in table 2: <ul style="list-style-type: none"> • Serial domiciliary peak flow • measurements • Alpha-1 antitrypsin • Transfer factor for carbon monoxide (TLCO) 	<ul style="list-style-type: none"> • Sputum culture • Serial home peak flow measurements • ECG and serum natriuretic peptides* • Echocardiogram • CT scan of the thorax • Serum alpha-1 antitrypsin 	The table was amended for clarity following an evidence review looking at tests to confirm COPD diagnosis. The order of the investigations was changed to put the investigations that are more commonly available in primary care at the top.

<ul style="list-style-type: none"> • CT scan of the thorax • ECG • Echocardiogram • Pulse oximetry • Sputum culture 	<ul style="list-style-type: none"> • Transfer factor for carbon monoxide (TLCO) 	
<p>Be aware that disability in COPD can be poorly reflected in the FEV1. A more comprehensive assessment of severity includes the degree of airflow obstruction and disability, the frequency of exacerbations and the following known prognostic factors:</p> <ul style="list-style-type: none"> • FEV1 • TLCO • breathlessness (MRC scale) • health status • exercise capacity (for example, 6-minute walk test) • BMI • partial pressure of oxygen in arterial blood (PaO₂) • cor pulmonale. <p>Calculate the BODE index (BMI, airflow obstruction, dyspnoea and exercise capacity) to assess prognosis where its component information is currently available. (1.1.5.1)</p>	<p>From diagnosis onwards, when discussing prognosis and treatment decisions with people with stable COPD, think about the following factors that are individually associated with prognosis:</p> <ul style="list-style-type: none"> • FEV1 • smoking status • breathlessness (MRC scale) • chronic hypoxia and/or cor pulmonale • low BMI • severity and frequency of exacerbations • hospital admissions • symptom burden (for example, CAT score) • exercise capacity (for example, 6-minute walk test) • transfer factor for carbon monoxide (TLCO) • whether the person meets the criteria for long-term oxygen therapy and/or home non-invasive ventilation • multimorbidity • frailty. <p>(1.1.25)</p>	<p>This recommendation was updated and split into 2 parts following the review of multidimensional indices for prognosis.</p> <p>The following changes were made:</p> <ul style="list-style-type: none"> • The order of the investigations was changed, based on the committee's experience, to put the most important factors nearer the top. • Health status was removed from the list and replaced by a number of separate factors (frailty, severity and frequency of exacerbations, hospital admissions, multimorbidity and symptom burden) • The cor pulmonale entry was expanded to include chronic hypoxia, which replaced partial pressure of oxygen in arterial blood (PaO₂) • LTOT and/or home NIV was added to the list • Smoking status was added to the list <p>The bottom part of the recommendation was replaced with a new recommendation based on the evidence review.</p>
<p>Table 4</p> <p>*Symptoms should be present to diagnose COPD in people with mild airflow obstruction (see recommendation 1.1.1).</p>	<p>For people with mild airflow obstruction, only diagnose COPD if they have one or more of the symptoms in recommendation 1.1.1 (1.1.27)</p>	<p>This text was moved out of table 4 and made into a recommendation. This is to make it more prominent and help avoid people with mild airflow obstruction and no symptoms being diagnosed with COPD.</p>

No recommendation	For guidance on the management of multimorbidity in people with COPD, see the NICE guideline on multimorbidity (1.2.1)	This recommendation was added to refer to the guideline on multimorbidity that was not published at the time the previous COPD guideline was developed.
Be aware of the potential risk of developing side effects (including non-fatal pneumonia) in people with COPD treated with inhaled corticosteroids and be prepared to discuss with patients. (1.2.2.3)	Be aware of, and be prepared to discuss with the person, the risk of side effects (including pneumonia) in people who take inhaled corticosteroids for COPD.* (1.2.9) *The MHRA has published advice on the risk of psychological and behavioural side effects associated with inhaled corticosteroids (2010).	A footnote was added to refer to relevant safety information from the MHRA about inhaled corticosteroids that was posted after the 2010 COPD guideline update.
Offer LAMA in addition to LABA+ICS to people with COPD who remain breathless or have exacerbations despite taking LABA+ICS, irrespective of their FEV1. (1.2.2.8)	Offer LAMA+LABA+ICS* to people with COPD with asthmatic features/features suggesting steroid responsiveness who remain breathless or have exacerbations despite taking LABA+ICS. (1.2.14) *The MHRA has published advice on the risk for people with certain cardiac conditions when taking tiotropium delivered via Respimat or Handihaler (2015).	This recommendation was updated to match the new recommendations on bronchodilators and ICS that came out of the inhaled combination therapies evidence review. A footnote was also added to refer to relevant safety information from the MHRA about tiotropium inhalers that was posted after the 2010 COPD guideline update.
Spacers should be cleaned no more than monthly as more frequent cleaning affects their performance (because of a build up of static). They should be cleaned with water and washing-up liquid and allowed to air dry. The mouthpiece should be wiped clean of detergent before use. (1.2.2.17)	Advise people on spacer cleaning. Tell them: <ul style="list-style-type: none"> not to clean the spacer more than monthly, because more frequent cleaning affects their performance (because of a build-up of static) to hand wash using warm water and washing-up liquid, and allow the spacer to air dry. (1.2.23) 	The committee removed the last sentence of this recommendation as it is unnecessary to wipe the mouthpiece if it has been washed and allowed to dry.
No recommendation	For guidance on treating severe COPD with	A recommendation was added to refer to the technology

	roflumilast, see NICE's technology appraisal guidance on roflumilast for treating chronic obstructive pulmonary disease . (1.2.49)	appraisal guidance on roflumilast (2017) that was published after the last COPD guideline update.
Ambulatory oxygen therapy should be considered in patients who have exercise desaturation, are shown to have an improvement in exercise capacity and/or dyspnoea with oxygen, and have the motivation to use oxygen. (1.2.5.11)	Consider ambulatory oxygen in people with COPD who have exercise desaturation and are shown to have an improvement in exercise capacity with oxygen, and have the motivation to use oxygen (1.2.63)	This recommendation was amended following an evidence review on the use of ambulatory oxygen for breathlessness. The reference to dyspnoea (breathlessness) was removed and a recommendation was made to cover this (1.2.62), while the rest of the original recommendation remained out of scope of the review.
If patients have excessive sputum, they should be taught: <ul style="list-style-type: none"> the use of positive expiratory pressure masks active cycle of breathing techniques. (1.2.12.4) 	If people have excessive sputum, they should be taught: <ul style="list-style-type: none"> how to use positive expiratory pressure devices active cycle of breathing techniques (1.2.94) 	The term 'positive expiratory pressure mask' is out of date. 'Positive expiratory pressure device' includes masks and mouthpieces, which are the most common way positive expiratory pressure is currently given to people with COPD to clear sputum.
Healthcare professionals should be alert to the presence of depression in patients with COPD. The presence of anxiety and depression should be considered in patients: <ul style="list-style-type: none"> who are hypoxic who have severe dyspnoea who have been seen at or admitted to a hospital with an exacerbation of COPD (1.2.12.5) 	Be alert for anxiety and depression in people with COPD. Consider whether people have anxiety or depression, particularly if they: <ul style="list-style-type: none"> have severe breathlessness are hypoxic have been seen at or admitted to a hospital with an exacerbation of COPD. (1.2.95) 	To make the recommendation internally consistent, 'anxiety' was added to the first line of the recommendation.
No recommendation	For guidance on managing anxiety, see the NICE guideline on generalised anxiety disorder and panic disorder in adults. (1.2.97)	This guidance was published in 2011 after the last update of this guideline, but is related to a nearby recommendation (1.2.12.5 in old guideline). There is a link to the guidance for depression in this section already.
No recommendation	For standards and measures on palliative	This recommendation was added to refer to a relevant

	care, see the NICE quality standard on end of life care for adults. (1.2.104)	quality standard document that was published after the last COPD guideline update. The quality standard was updated again in 2017.
No recommendation	For guidance on care for people in the last days of life, see the NICE guideline on care of dying adults. (1.2.105)	This recommendation was added to refer to relevant guidance that was published in 2015, after the last COPD guideline update.
<p>Follow-up of all people with COPD should include:</p> <ul style="list-style-type: none"> highlighting the diagnosis of COPD in the case record and recording this using Read codes on a computer database recording the values of spirometric tests performed at diagnosis (both absolute and percent predicted) offering smoking cessation advice recording the opportunistic measurement of spirometric parameters (a loss of 500 ml or more over 5 years will show which people have rapidly progressing disease and may need specialist referral and investigation). (1.2.14.1) 	<p>Follow-up of all people with COPD should include:</p> <ul style="list-style-type: none"> highlighting the diagnosis of COPD in the case record and recording this using Read codes on a computer database recording the values of spirometric tests performed at diagnosis (both absolute and percent predicted) offering advice and treatment to help them stop smoking, and referral to specialist stop smoking services (see the NICE guideline on stop smoking interventions and services) recording the opportunistic measurement of spirometric parameters (a loss of 500 ml or more over 5 years will show which people have rapidly progressing disease and may need specialist referral and investigation). (1.2.132) 	This recommendation was amended to add a link to the NICE guideline on stop smoking interventions and services.
<p>In all patients with an exacerbation referred to hospital:</p> <ul style="list-style-type: none"> a chest radiograph should be obtained arterial blood gas tensions should be 	<p>In all people presenting to hospital with an acute exacerbation:</p> <ul style="list-style-type: none"> obtain a chest X-ray measure arterial blood gas tensions and 	The committee agreed that the slight change in wording clarified the original meaning of the recommendation.

<p>measured and the inspired oxygen concentration should be recorded</p> <ul style="list-style-type: none"> • an ECG should be recorded (to exclude comorbidities) • a full blood count should be performed and urea and electrolyte concentrations should be measured • a theophylline level should be measured in patients on theophylline therapy at admission • if sputum is purulent, a sample should be sent for microscopy and culture • blood cultures should be taken if the patient is pyrexial. (1.3.3.2) 	<p>record the inspired oxygen concentration</p> <ul style="list-style-type: none"> • record an ECG (to exclude comorbidities) • perform a full blood count and measure urea and electrolyte concentrations • measure a theophylline level on admission in people who are taking theophylline therapy • send a sputum sample for microscopy and culture if the sputum is purulent • take blood cultures if the person has pyrexia. (1.3.3) 	
<p>Physiotherapy using positive expiratory pressure masks should be considered for selected patients with exacerbations of COPD, to help with clearing sputum. (1.3.9.1)</p>	<p>Consider physiotherapy using positive expiratory pressure devices for selected people with exacerbations of COPD, to help with clearing sputum. (1.3.40)</p>	<p>The term 'positive expiratory pressure mask' is out of date. 'Positive expiratory pressure device' includes masks and mouthpieces, which are the most common way positive expiratory pressure is currently given to people with COPD to clear sputum.</p>
<p>In table 5 Reason: Assessment for lung volume reduction surgery</p>	<p>Assessment for a lung volume reduction procedure</p>	<p>This was changed to reflect the new recommendations on lung volume reduction, which include surgery and other interventions.</p>
<p>In table 5 Purpose: Identify candidates for surgery</p>	<p>Identify candidates for surgical or bronchoscopic lung volume reduction</p>	<p>This was changed to reflect the new recommendations on lung volume reduction, which include surgery and other interventions.</p>

1 **Table 3 Minor changes to recommendation wording (no change to intent)**

Recommendation numbers in current guideline	Comment
All recommendations except those labelled [2018]	Recommendations have been edited into the direct style (in line with current NICE style for recommendations in guidelines) where possible. Yellow highlighting has not been applied to these changes.

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