

Appendix A: Summary of evidence from surveillance

2-year surveillance (2017) – [Home care: delivering personal care and practical support to older people living in their own homes](#). (2015) NICE guideline NG21

Cochrane systematic reviews				
Study	Related review question/ guideline section	Status	Key findings	Impact on guideline recommendations
Mayo-Wilson et al. (2008) ¹	<p>What approaches to home care planning and delivery are effective in improving outcomes for people who use services?</p> <p>What are the significant features of an effective home care model?</p>	No update.	This review assessed the effectiveness of personal assistance for older adults with impairments, and the impacts of personal assistance on others, compared to other interventions. The results indicated that further studies are required to determine which models of personal assistance are most effective.	<p>This review was considered in the development of the original guideline.</p> <p>There is no impact because the review has not been updated since guideline was developed.</p>
Baker et al. (2016) ²	What are the effects of approaches to promote safe care?	No update.	This review assessed the effectiveness of intervention programmes to reduce or prevent abuse of the elderly in their own home, in organisational or institutional and community settings. The findings indicate that there is inadequate evidence to assess the effects of elder abuse interventions. The effectiveness of educational interventions is also uncertain.	It is unlikely that the findings of this review will impact on recommendations at this point as the results of the review indicated that there is inadequate evidence in this area.

Legislation				
Document	Related review question	Status	Summary and details of update	Impact on guideline recommendations
Health and Social Care (Safety and Quality) Act 2015 ³	Section 1.6 Ensuring safety and safeguarding people using home care services	No update.	This is a Bill to make provisions about the safety of health and social care services in England. It covers the integration and sharing of information, removing individuals with certain convictions from registers, objectives of the relevant regulatory bodies, and disposal of cases concerning a person's fitness to practise a health or social care profession.	The recommendations are in line with the Health and Social Care (Safety and Quality) Act 2015 and therefore no impact is expected.
Care Act 2014 ⁴	No specific related review question. The Care Act 2014 is relevant to the whole guideline.	Updated August 2017	<p>This is a Bill to reform the law relating to care and support for adults and the law relating to support for carers. It covers safeguarding adults, care standards, established Health Education England and the Health Research Authority.</p> <p>This statutory guidance was updated to take into account regulatory changes, feedback from stakeholders and the care sector, and developments following the postponement of social care funding reforms to 2020.</p>	The recommendations are in line with the Care Act 2014 and therefore no impact is expected.
Health and Social Care Act 2008 ⁵	No specific related review question. The Health and Social Care Act 2008 is	No update.	This is a Bill that aims to enhance professional regulation and create a new regulator: Care Quality Commission (CQC). The Bill sets out the powers of the CQC in health and adult social care, including fines and inspections.	This statutory guidance was taken into account during the development of the guideline and there has been no update

	relevant to the whole guideline.		Other key areas include strengthening clinical governance, financial support for mothers-to-be, standards of proof for regulatory bodies, the creation of a new independent adjudicator. It also updates the Public Health (Control of Disease) Act 1984.	<p>since the guideline was published.</p> <p>The recommendations are in line with the Health and Social Care Act 2008 and therefore no impact is expected.</p>
Mental Capacity Act 2005 ⁶	<p>Section 1.1 Ensuring care is person centred</p> <p>Section 1.2 Providing information about care and support options</p>	No update.	This Act aims to protect and empower vulnerable people who lack capacity. The Act applies to everyone involved in the care, treatment and support of people aged 16 and over who are unable to make some or all decisions themselves.	<p>This statutory guidance was taken into account during the development of the guideline and there has been no update since the guideline was published.</p> <p>The recommendations are in line with the Mental Capacity Act 2005 and therefore no impact is expected.</p>
Health and Safety at Work etc. Act 1974 ⁷	No specific guideline section. Discussed in the	Sections of the Act have been repealed by other	This Act makes further provision for securing the health, safety and welfare of persons at work. The key areas include: protection during	This statutory guidance was taken into account during the development

	context section of the guideline.	pieces of guidance since publication in 1974.	work activities, preventing unlawful acquisition, dangerous substances, atmospheric emissions, the employment medical advisory service, and building regulations.	of the guideline. The recommendations are still in line with the Health and Safety at Work etc. Act 1974 and therefore no impact is expected.
<p>The Care Standards Act 2000 (Domiciliary Care Agencies and Nurses Agencies) (Amendment) (England) Regulations 2003⁸</p> <p>The Domiciliary Care Agencies Regulations 2002⁹</p>	No specific related review question or guideline section.	No update since.	<p>The Care Standards Act 2000 (Domiciliary Care Agencies and Nurses Agencies) (Amendment) (England) Regulations 2003 partially amends the Domiciliary Care Agencies Regulations 2002</p> <p>They each set out detailed rules regarding the role of agencies that provide care. They cover areas such as planning and organisation of care, staffing practices and information governance.</p>	These regulations were taken into account during the development of the guideline. The recommendations are in line with the regulations and therefore no impact is expected.
Policy and guidance				
Document	Related review question	Status	Summary and details of update	Impact on guideline recommendations
Adult social care outcomes framework	No specific related review question.	Updated 2016/2017	This guidance provides a detailed definition for each of the outcomes included in the ASCOF, which is a tool used by councils to monitor	All of the studies included in the guideline use the social care-related quality

<p>(ASCOF): handbook of definitions (2017)¹⁰</p>			<p>success of local interventions in improving outcomes and inform outcome-based commissioning models. This information is also used on a national level to monitor the adult social care system as a whole and inform national policy development.</p> <p>The ASCOF was updated for 2016/2017 to include a new indicator: Social Care Related Quality of Life. This measure gives a further insight into the quality of life of users of social care. It is based on the outcome domains of social care-related quality of life identified in the Adult Social Care Outcomes Toolkit (ASCOT) developed by the Personal Social Services Research Unit.</p>	<p>of life measure taken from the ASCOT, which is a validated toolkit that can be compared to other studies.</p> <p>The guideline contains no studies that use the ASCOF so it is unlikely that this update of the framework would impact NG21.</p>
<p>Care and support statutory guidance (2017)¹¹</p>	<p>No specific related review question.</p>	<p>Updated August 2017</p>	<p>This statutory guidance was produced to support the implementation of part 1 of the Care Act 2014 by local authorities. In 2017, some editorial changes were implemented as well as some changes to payment arrangements.</p>	<p>The updated guidance is still in line with the recommendations in NG21 and it is unlikely that the guideline will be affected.</p>
<p>Community adult social care services: information for providers¹²</p>	<p>Section 1.6 Ensuring safety and safeguarding people using home care services</p>	<p>Page updated August 2017</p>	<p>This is a resource produced by the CQC aimed at providers of adult social care. It contains guidance on care standards, registration, notifications, inspections and monitoring, and how to contact them.</p> <p>There have been changes to the key lines of enquiry which are planned to be enforced from Nov 2017.</p>	<p>Section 1.6 of NG21 focusses on ensuring safety and safeguarding and the recommendations are still in line with the updated document. It is unlikely that the guideline will be affected.</p>

Adult social care market shaping (2016) ¹³	No specific related review question.	Updated February 2017	<p>This guidance describes how to create personalised, high quality, sustainable care solutions using a range of care providers and support organisations. It is aimed at people who buy social care services, including local authority and clinical commissioning groups, as well as personal budget holders and people who fund their own care, care service providers and potential investors in the care sector.</p> <p>In February 2017, a link to 'Working with care providers to understand costs' was added to the 'Resources for commissioners' section.</p>	The guideline does not currently have any specific recommendations on market shaping when it comes to providing adult social care so the Department of Health document is unlikely to affect guidance.
Voice, choice and control: how registered nurses, care and support staff in the care sector can support people to achieve these aims (2015) ¹⁴	Section 1.1 Ensuring care is person centred.	No update	This guidance describes how staff in social care settings can ensure adults get personalised care and support. It is aimed at staff across a range of care settings, including care home staff and carers who look after people in their own homes.	The recommendations in NG21 are in line with this guidance and therefore no impact is expected.

Editorial corrections

We will make the following amendments to the recommendations:

- A bullet point in [recommendation 1.3.8](#) will be amended to emphasise that NICE guidance is available to assist with the referral and management of a range of conditions.

The original text reads: “understand common conditions affecting people using home care services, for example, dementia, diabetes, mental health and neurological conditions, physical and learning disabilities and sensory loss (NICE has produced a [range of guidance](#) on these topics and more)”

The suggested revised text will read: “understand common conditions affecting people using home care services, for example, dementia, diabetes, mental health and neurological conditions, physical and learning disabilities and sensory loss. NICE has produced [guidance](#) which covers referral and management strategies for many of these conditions”

- [Recommendation 1.4.8](#) will be amended to ensure it addresses all protected characteristics in the Equality Act 2010.

The original text reads: “Ensure home care workers are able to deliver home care in a way that respects the person's cultural, religious and communication needs.”

The suggested revised text will read: “Ensure home care workers are able to deliver home care in a way that respects the person's cultural, religious and communication needs and reflects all ‘protected characteristics’ in the [Equality Act 2010](#).”

References

1. Mayo-Wilson E, Montgomery P, Dennis JA (2008) Personal assistance for adults (19-64) with physical impairments.
2. Baker PRA, Francis DP, Hairi NN, Othman S, Choo WY (2016) Interventions for preventing abuse in the elderly.
3. HM Government (2015) [Health and Social Care \(Safety and Quality\) Act 2015](#).
4. HM Government (2014) [Care Act 2014](#).
5. HM Government (2008) [Health and Social Care Act 2008](#).
6. HM Government (2005) [Mental Capacity Act 2005](#).
7. HM Government (1974) [Health and Safety at Work etc. Act 1974](#).
8. HM Government (2003) [The Care Standards Act 2000 \(Domiciliary Care Agencies and Nurses Agencies\) \(Amendment\) \(England\) Regulations 2003](#).
9. HM Government (2002) [The Domiciliary Care Agencies Regulations 2002](#).
10. Department of Health (2017) [The Adult Social Care Outcomes Framework 2016/17: handbook of definitions](#). Department of Health
11. Department of Health (2016) [Care and support statutory guidance \(2017\)](#). Department of Health
12. Care Quality Commission (CQC) (2017) [Community adult social care services: information for providers](#).
13. Department of Health (2017) [Adult social care: market shaping](#). Department of Health

14. Department of Health (2015) [Voice, choice and control: how registered nurses, care and support staff in the care sector can support people to achieve these aims.](#) Department of Health