# National Institute for Health and Care Excellence

FINAL

## Epilepsies in children, young people and adults: diagnosis and management

[12] Evidence review: Ketogenic diets for drugresistant epilepsy

NICE guideline NG217

Evidence review underpinning recommendation 8.1.1 and a research recommendation in the NICE guideline

April 2022

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Developed by the National Guideline Centre



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ISBN: 978-1-4731-4513-9

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## 1. Ketogenic diets in drug-resistant epilepsy

## 1.1. Review question

What is the effectiveness of ketogenic diets in drug-resistant epilepsy?

#### 1.1.1. Introduction

The ketogenic diet (KD) is a high-fat, low carbohydrate and protein diet designed to mimic the biochemical response of the body to starvation. Ketogenic diets can refer to any diet that is designed to produce ketones: Classical KD Medium-chain triglyceride (MCT) KD Modified Atkins diet (MAD) Low glycaemic index treatment (LGIT).

The classical diet has been adapted, in-part to improve tolerance, and become part of treatment options in the management of childhood onset drug-resistant epilepsy. There are a number of metabolic epilepsies were dietary treatments have an important role to play, including, but not limited to; GLUT-1 deficiency, and mitochondrial disorders although the exact mechanism of action is unclear. Whilst the role of dietary interventions in childhood epilepsy is more established, it is less clear the effectiveness or tolerability for adults, or the safe duration of therapy. It is recognised the diet requires careful monitoring because of possible adverse effects, including weight loss, elevated total cholesterol and gastrointestinal symptoms.

#### 1.1.2. Cochrane collaboration

An overlap was identified between the Cochrane review 'Ketogenic diets for drug-resistant epilepsy' and the question within the NICE Epilepsies guideline scope on ketogenic diets for people with Epilepsies. NICE and the NGC developers agreed to collaborate with the Cochrane epilepsy group for them to update their review and to incorporate this within the guideline. The NGC technical team and the Epilepsies guideline committee worked with the Cochrane group to finalise the review protocol. The evidence review was conducted in its entirety by the Cochrane team, the full Cochrane review can be found <u>here</u>. A summary of the included studies and evidence is given below.

This review summarises the findings of the Cochrane systematic review to answer what is the effectiveness of the ketogenic diet in epilepsy.

#### 1.1.3. Summary of the protocol

For full details see the review protocol in Appendix A.

	naracteristics of review question
Population	Children, young people and adults with drug-resistant epilepsy Strata: evidence in people with learning disabilities will be presented separately from evidence in people without learning disabilities
Interventions	Ketogenic diet (4:1 ratio of total energy from fat to carbohydrate and protein combined) Any diet that is designed to produce ketones: Classical KD Medium-chain triglyceride (MCT) KD Modified Atkins diet (MAD) Low glycaemic index treatment (LGIT)
Comparisons	Placebo/Usual care/Sham One diet vs another diet

#### Table 1: PICO characteristics of review question

Outcomes	<ul> <li>seizure freedom (100% reduction in seizure frequency at study endpoint</li> <li>seizure frequency (50% or greater reduction in seizure frequency at study endpoint</li> <li>guality of life (as measured by validated assists)</li> </ul>
	<ul> <li>quality of life (as measured by validated scales)</li> <li>adverse events (all e.g., diarrhoea / constipation / vomiting / renal stones (all GI heading)) at study endpoint</li> <li>attrition rate</li> </ul>
Study design	RCTs with a minimum study period of 1 month

#### 1.1.4. Summary of the effectiveness evidence

#### 1.1.4.1. Included studies

We included 13 studies in this review (n = 932). These studies were conducted across various healthcare systems worldwide. Seven studies compared a ketogenic diet (KD) to a usual care group <sup>4, 7, 8, 11, 15, 16, 19</sup>, and six studies compared one KD intervention to another type of KD intervention 1, 5, 6, 9, 13, 14.

#### 1.1.5. Summary of studies included in the effectiveness evidence

	Intervention and			
Study	comparison	Population	Outcomes	Comments
Bergqvist 2005 <sup>1</sup>	Speed of introduction of KD: Fast KD (< 48 hour fast, followed by 4:1 KD with increase in portion size over 6 days) or Grad KD (gradual increase in KD ratio from 1:1 to 4:1 over 6 days)	48 children, 24 in each of the 2 arms, aged 1-14 years (mean 5.3, SD 2.7 years), having $\geq$ 1 seizures per 28 days, tried at least 3 AEDs and a discontinuation of steroidal medication 3 months previous.	Proportion of participants with > 50% seizure reduction in target seizure type. Level of ketosis. Adverse effects.	All generalised and focal seizures included.
El-Rashidy 2013⁴	Participants were randomised into 1 of 3 groups: MAD (15 participants), KD (10 participants) and control (polytherapy) (15 participants). 4:1 refers to 4 g fat to 1 g of carbohydrate and protein combined.	40 children aged 12-36 months (mean 27.13, SD 6.63) with symptomatic intractable epilepsy.	Reduction in seizure frequency. Adverse effects. Attrition rate. Data were collected at 3 and 6 months.	Two children in the classic group had infantile spasms and one child in the classic group had myoclonic encephalopathy.
Kim 2016⁵	Randomised into 1 of 2 groups; MAD (10 g carbohydrate per day for the first month, followed by increase to 10% of	104 participants aged 1 to 18 years, with drug- resistant epilepsy, experiencing more than 4	Seizure reduction. Seizure freedom. Adverse effects.	4:1 refers to 4 g fat to 1 g of carbohydrate and protein combined. All recruited participants were

	Intervention and			
Study	comparison	Population	Outcomes	Comments
	total energy requirements, with energy restriction to 75% of recommended daily intake) and classic KD (4:1 ratio) for a 6-month period.	seizures per month, with treatment failure following 2 or more AEDs.	Compliance. Attrition.	hospitalised to commence the diet and followed a non- fasted initiation protocol. Epilepsy syndromes included Lennox- Gastaut syndrome, West syndrome, myoclonic astatic epilepsy and Dravet syndrome.
Kossoff 2007 <sup>6</sup>	MAD with randomisation either to 10 g (10 children) or 20 g (10 children) of carbohydrate and cross-over at 3 months.	20 children aged 3-18 years with intractable epilepsy, with a prior use of at least 2 AEDs and experiencing daily seizures. All seizure types included.		Epilepsy syndromes included were idiopathic (15 children), Rett syndrome (2 children), cortical dysplasia (2 children) and tuberous sclerosis complex (1 child).
Kverneland 2018 <sup>7</sup>	MAD (up to 16 g carbohydrate per day, excluding fibre; 37 participants) compared to usual care (38 participants) over a three-month period.	75 adult participants aged 16 years or over, with focal or multifocal epilepsy, at least three countable seizures per month, tried at least three AEDs, BMI > 18.5kg/m2, motivated and capable of adhering to the diet, with assistance if required.	Seizure reduction. Adverse effects. Changes in body weight. Changes in selected biomarkers.	
Lambrechts 2017 <sup>8</sup>	Randomised into 1 of 2 groups: KD (classic KD and MCT KD) and control (usual care) for a four-month period	57 participants aged 1 to 18 years with drug- resistant epilepsy, seizures not adequately controlled by 2 or more AEDs and surgical remedial causes of epilepsy not viable.	Seizure reduction. Adverse effects. Attrition. Quality of life. Cost- effectiveness. Cognitive and behavioural changes.	Epilepsy syndromes included West syndrome, Lennox- Gastaut syndrome, Doose syndrome, Dravet syndrome, childhood absence epilepsy, epilepsy with myoclonic absences, generalised epilepsies and localisation-related epilepsies.
McDonald 2018 <sup>9</sup>	A comparison of two MAD interventions: 1.	80 adult participants aged 18 years and	Seizure reduction.	

	Internenting			
Study	Intervention and comparison	Population	Outcomes	Comments
	MAD plus KetoCal during first month, followed by MAD alone in second month (intervention) to 2. MAD alone in the first month, followed by MAD plus KetoCal during second month (control) with MAD consisting of 20 g net carbohydrates per day. The intervention was conducted for two months, with a six- month follow-up period.	over, four quantifiable seizures per month minimum, failed trial of two or more AEDs.	Dietary adherence. Tolerability. Adverse effects.	
Neal 2008 <sup>11</sup>	Participants were randomised to commence a KD (either classic or MCT) immediately (73 participants) or after a further 3 months of seizure recording (usual care group, 72 participants). Those in the KD arm were then randomised to receive classical KD or MCT	145 children (aged 2-16 years), with daily seizures and > 7 seizures/week, who had not responded to $\geq$ 2 AEDs who had not previously been treated with a KD. All seizure types included.	Reduction in seizure frequency. Tolerability.	4:1 refers to 4 g fat to 1 g of carbohydrate and protein combined.
Raju 2011 <sup>13</sup>	Participants were randomised into 1 of 2 groups; a 4:1 ratio KD (19 participants) and 2.5:1 KD (19 participants) and followed for 3 months.	38 children aged 6 months to 5 years, with drug- resistant epilepsy, at least 2 seizures/month, despite appropriate use of at least 2 AEDs and at least 1 newer AED.		4:1 refers to 4 g fat to 1 g of carbohydrate and protein combined. 2.5:1 refers to 2.5 g fat to 1 g of carbohydrate and protein combined. Epilepsy syndromes included were West, Lennox-Gastaut, Doose and unclassified syndromes. The trial included participants with cerebral palsy.
Seo 2007 <sup>14</sup>	Participants were randomised into 2 groups, 4:1 KD group (40 participants) and 3:1 KD group (36 participants) and	76 children (aged 4 months to 16 years), with > 4 seizures/month and seizures were not controlled by at least 3 AEDs.	Seizure reduction rate. Tolerability.	4:1 refers to 4 g fat to 1 g of carbohydrate and protein combined. 3:1 refers to 3 g fat to 1 g carbohydrate

	Intervention and			
Study	comparison	Population	Outcomes	Comments
	the diet was followed for 3 months;	All seizure types included. Epilepsy syndromes included Lennox- Gastaut syndrome, and the study also included participants with infantile spasms.		and protein combined. After a three-month period of the diet, children who were seizure free in the 4:1 group were recommended to change to a 3:1 ratio, and children who were not seizure free in the 3:1 group were recommended to change to a 4:1 ratio and re-evaluated after a further three months.
Sharma 2013 <sup>16</sup>	Randomised into 1 of 2 groups; MAD (50 participants) or a normal diet (52 participants) for a period of 3 months.	102 children aged 2-14 years with drug-resistant epilepsy and 2-14 daily seizures, having previously tried 3 AEDs.	Seizure frequency. Tolerability. Adverse effects.	Epilepsy syndromes included: West syndrome and myoclonic astatic epilepsy.
Sharma 2016 <sup>15</sup>	Randomised into 1 of 2 groups; sMAD (10 g carbohydrate per day, delivered with simplified dietary methods) and usual care (normal diet) for a 3-month period.	81 participants aged 2-14 years, with drug- resistant epilepsy, experiencing daily seizures (or more than 7 seizures per week) despite 2 or more AEDs.	Seizure reduction. Adverse effects. Non-seizure domains. Tolerability.	This study modified the traditional educational techniques used to implement the diet, to promote the inclusion of children with parents who have low levels of literacy and who are of poor socioeconomic status. Epilepsy syndromes included West syndrome and Lennox-Gastaut syndrome.
Zare 2017 <sup>19</sup>	Randomised into 1 of 2 groups; MAD (carbohydrates limited to 15 g per day; approximate macronutrient intakes as a percentage of total energy: 4% to 6% carbohydrate, 20% to 30% protein, 60% to 70% fat) and usual care for a 2-month period.	66 adult participants aged 18 years or over, with drug- resistant epilepsy (2 or more AEDs and 2 or more seizures per month).	Seizure reduction. Adverse effects.	

#### 1.1.5.1. Ketogenic diet (KD) compared to usual care for children with drug-resistant epilepsy

Anticipated absolute effects* (95% CI)			Relative	Nº of	Certainty of the	
Outcomes	Risk with usual care	Risk with KD	effect (95% CI)	participants (studies)	evidence (GRADE)	Comments
Seizure freedom (100% reduction in seizure frequency) Follow-up: 3 months to 4 months	Study population 21 per 1000	66 per 1000 (25 to 174)	RR 3.16 (1.20 to 8.35)	385 (4 RCTs)	⊕⊖⊝⊝ Very low <sup>a,c</sup>	
50% or greater reduction in seizure frequency Follow-up: 3 months to 4 months	Study population 78 per 1000	453 per 1000 (272 to 754)	RR 5.80 (3.48 to 9.65)	385 (4 RCTs)	⊕⊕⊝⊝ Low <sup>a,b</sup>	
Adverse effects Follow-up: 3 months to 4 months	The most frequent adverse effects reported by participants in dietary intervention groups were vomiting, constipation and diarrhoea. These adverse effects were also commonly reported by participants in the usual ca groups. Other less common adverse effects reported included: dysphagia, lethargy, lower respiratory tract infection, hyperammonaemic encephalopathy, weight loss, nausea, infections (pneumonia, sepsis), acute pancreatitis decrease in bone matrix density, gallstones, fatty liver, nephrocalcinosis hypercholesterolaemia, status epileptic acidosis, dehydration, tachycardia, hypoglycaemia, hunger, abdominal pai clinically relevant reduction in height,			425 (5 RCTs)	⊕⊕⊖ Low <sup>a,d</sup>	
Cognition and behaviour Follow-up: 4 months	Children randomis active (P = 0.005), 0.039) and less ar four months, than the usual care gro	, more produ ixious (P = 0 children rand	ctive (P = 0.049) after	57 (1 RCT)	⊕⊖⊖⊖ Very low <sup>a,c,d</sup>	
Quality of life Follow-up: 4 months	There were no sig QALYs between K treatment groups a	D and usual	care	57 (1 RCT)	⊕⊝⊝⊝ Very Iow <sup>a,c,d</sup>	
Treatment withdrawal	Study population 184 per 1000	198 per 1000	RR 1.08 (0.74 to 1.57)	425 (5 RCTs)	⊕⊕⊝⊖ Low <sup>a,b</sup>	

	Anticipated absolute effects* (95% CI)		Relative	Nº of	Certainty of the	
Outcomes	Risk with usual care	Risk with KD	effect (95% CI)	participants (studies)	evidence (GRADE)	Comments
Follow-up: 3 months to 6 months		(136 to 288)				

\*The risk in the intervention group (and its 95% confidence interval) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI).

CI: confidence interval; KD: ketogenic diet; MAD: modified Atkins diet; MCT: medium-chain triglyceride; QALY: quality of life-adjusted year; RCT: randomised controlled trial RR: risk ratio; sMAD: simplified modified Atkins diet

<sup>a</sup>Downgraded once due to risk of bias: some included studies were not blinded, had missing data or unclear methodological details reported.

<sup>b</sup>Downgraded once due to imprecision: low overall sample size, plus low number of events (< 200). Confidence in results from small number of participants is low.

<sup>c</sup>Downgraded twice due to imprecision: low overall sample size, plus low number of events (< 50). Confidence in results from small number of participants is low.

<sup>d</sup>Downgraded once due to imprecision: a narrative synthesis was used for this outcome.

#### 1.1.5.2. Ketogenic diet (KD) compared to usual care for adults with drug-resistant epilepsy

	Anticipated absolute effects* (95% CI)		Relative	Nº of	Certainty of the	
Outcomes	Risk with usual care	Risk with KD	effect (95% CI)	participants (studies)	evidence (GRADE)	Comments
Seizure freedom Follow-up: 2 months to 3 months	No adults in either the MAD or the usual care group achieved seizure freedom, therefore we were unable to calculate an effect.			141 (2 RCTs)	⊕⊝⊝⊝ Very Iow <sup>a,b</sup>	
50% or	Study population		RR 5.03	141	$\oplus \Theta \Theta \Theta$	
greater reduction in seizure frequency Follow-up: 2 months to 3 months	29 per 1000	144 per 1000 (7 to 1000)	(0.26 to 97.68)	(2 RCTs)	Very Iow <sup>a,b,d</sup>	
Adverse effects Follow-up: 2 months to 3 months	Common adverse effects reported by participants receiving MAD were vomiting, constipation and diarrhoea. One study reported a significant reduction in BMI, as well as an increase in cholesterol in the MAD group, whilst the other study reported significant weight loss. Other adverse effects included: anorexia, lethargy, lower respiratory tract infections and hyperammonaemic encephalopathy.			141 (2 RCTs)	⊕⊖⊖⊖ Very Iow <sup>a,b,c</sup>	
Cognition and behaviour	Outcome not reported				N/A	
Quality of life	Outcome not repo	orted			N/A	
	Study population					

	Anticipated absolute effects* (95% CI)			Relative	Nº of	Certainty of the	
Outcomes	Risk with usual care	Risk with KD	effect (95% CI)	participants (studies)	evidence (GRADE)	Comments	
Treatment withdrawal Follow-up: 2 months to 3 months	86 per 1000	461 per 1000 (36 to 1000)	RR 5.38 (0.42 to 69.53)	141 (2 RCTs)	⊕⊖⊖⊖ Very Iow <sup>a,b,d</sup>		

\*The risk in the intervention group (and its 95% confidence interval) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI).

BMI: body mass index; CI: confidence interval; KD: ketogenic diet; MAD: modified Atkins diet; NA: not applicable; RCT: randomised controlled trial; RR: risk ratio.

<sup>a</sup>Downgraded once due to risk of bias: some included studies were not blinded, had missing data or unclear methodological details reported.

<sup>b</sup>Downgraded twice due to imprecision: low overall sample size, plus low number of events (< 50). Confidence in results from small number of participants is low.

<sup>c</sup>Downgraded once due to imprecision: a narrative synthesis was used for this outcome.

<sup>d</sup>Downgraded once due to inconsistency: significant statistical heterogeneity was detected (P < 0.10 and  $I^2 > 50\%$ ).

## 1.1.5.3. Ketogenic diets (KDs) compared with other KDs for children with drug-resistant epilepsy

	Illustrative comparative risks* (95% CI) Assumed risk Correspond -ing risk					
			Relative	No. of	Quality of the	
Outcomes	Other KDs	KDs	effect (95% CI)	Participants (studies)	evidence (GRADE)	Comments
Seizure freedom (1 00% reduction in seizure frequency) Follow-up: 3 months to 6 months	ranged from on MAD. The information at the seizure is varied deperestriction of carbohydrat versus 20 m children on 2 achieved se compared to on 4:1 KD at the 3:1 KD. children on a were seizure months. 219 children ran- fasting-onse	eizure freedom 10% to 25% ere was no about whether freedom nding on the f es (10 mg/d ng/d). 21% of 2:5:1 KD izure freedom 0 26% to 55% nd 35% on 33% of a classic KD e free at 3 % of both domised to et KD and et KD became	Not estimable	286 (5 RCTs)	⊕⊖⊖ Very low <sup>a</sup> ,b,d,e	Due to heterogeneit y of both interventions and methodology, meta- analysis could not be conducted
Seizure reduction (50% or greater reduction in	The proporti achieving se reduction ra 42% to 60% however, the	nged from on MAD,	Not estimable	286 (5 RCTs)	⊕⊖⊖⊖ Very low <sup>a</sup> ,b,c,e	

		Illustrative comparative risks* (95% CI)					
		Assumed risk	Correspond -ing risk	Relative	No. of	Quality of the	
Out	tcomes	Other KDs	KDs	effect (95% CI)	Participants (studies)	evidence (GRADE)	Comments
frec Foll 3 m	zure quency) low-up: nonths to nonths	decreased to 10% when daily carbohydrate intake was increased to 20 mg/d, compared to 10 mg/d. 43% of children on a classic KD achieved seizure reduction with 58% to 85% on 4:1 KD, 72% on the 3:1 KD and 63% on 2.5:1 KD. 58% on the fasting-onset KD and 67% on the gradual-onset KD attained 50% or greater reduction in seizure frequency.					
effe Foll 3 m	verse ects low-up: nonths to nonths	KD attained 50% or greater reduction in		Not estimable	286 (5 RCTs)	⊕⊖⊖ Very low <sup>a</sup>	

	Illustrative comparative risks* (95% CI)					
	Assumed risk	Correspond -ing risk	Relative	No. of	Quality of the	
Outcomes	Other KDs	KDs	effect (95% CI)	Participants (studies)	evidence (GRADE)	Comments
	abdominal p relevant red	mia, hunger, pain, clinically uction in ercalcinaemia				
Cognition and behaviour Follow-up: NA	Outcome no	ot reported			NA	
Quality of life Follow-up: NA	Outcome no	ot reported			NA	
Attrition rate Follow-up: 3 months to 6 months	withdrawing groups were onset KD; 1 KD and 4:1 fasting-onse	e: 8% gradual- 6% on 2:5:1 KD; 17% on et KD and on 32% on MAD;	Not estimable	286 (5 RCTs)	⊕⊖⊖⊖ Very low <sup>a</sup> ,b,c,e	

\*The basis for the assumed risk (e.g., the median control group risk across studies) is provided in footnotes. The corresponding risk (and its 95% confidence interval) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI).

CI: confidence interval; KD: ketogenic diet; MAD: modified Atkins diet; NA: not applicable; RCT: randomised controlled trial

<sup>a</sup>Downgraded once due to risk of bias: some included studies were not blinded, had missing data or unclear methodological details reported.

<sup>b</sup>Downgraded once due to inconsistency: studies are heterogeneous with regards to interventions examined and comparisons made.

<sup>c</sup>Downgraded once due to imprecision: low overall sample size, plus low number of events (< 200). Confidence in results from small number of participants is low.

<sup>d</sup>Downgraded twice due to imprecision: very low overall sample size, plus low number of events (< 50).

Confidence in results from small number of participants is low.

<sup>e</sup>Downgraded once due to imprecision: a narrative synthesis was used for this outcome.

#### 1.1.5.4. Ketogenic diets (KDs) compared with other KDs for adults with drug-resistant epilepsy

	Illustrative comparative risks* (95% CI)		Relative			
	Assumed risk	Corresponding risk	effect (95% CI)	No. of	Quality of the	
Outcomes	Other KDs	KDs		participants (studies)	evidence (GRADE)	Comments
Seizure freedom (10 0%	No adult participants achieved seizure freedom with either MAD plus KetoCal		Not estimable	80 (1 RCT)	⊕⊖⊝⊖ Very low <sub>a,b,c</sub>	No adults in either the MAD or the

		comparative				
	risks* (95% Assumed risk	Corresponding	Relative effect		Quality	
	Other	risk	(95% CI)	No. of participants	of the evidence	-
Outcomes reduction in seizure frequency) Follow-up: 6 months		KDs ne (intervention) or KetoCal in month I).		(studies)	(GRADE)	Comments control group achieved seizure freedom; therefore, we were unable to calculate an effect.
Seizure reduction (50% or greater reduction in seizure frequency) Follow-up: 6 months	achieving 5 reduction in frequency a 32.5% for ti group (MAE month one) the control KetoCal mo decreased 32.5%, resp months. At 10% of adu	at one month was he intervention D plus KetoCal and 42.5% for (MAD plus onth two). This to 25% versus bectively at two three months, lts in both groups a 50% or greater	Not estimable	80 (1 RCT)	⊕⊖⊖⊖ Very low a,b,c	
Adverse effects Follow-up: 6 months	Constipation was reported more frequently by adults in the MAD plus KetoCal group (17.5%) compared to MAD only treatment group (5%). Diarrhoea and increase/change in seizure pattern/semiology were also commonly reported (17.5% to 20% of participants). Other less commonly reported adverse effects included: abdominal pain, headache, irregular menses, halitosis, somnolence, nephrolithiasis, kidney infection, nausea, easy bruising, vaginal odour and brittle hair/nails.		Not estimable	80 (1 RCT)	⊕⊖⊖⊖ Very low <sub>a,b,c</sub>	
Cognition and behaviour	Outcome not reported				NA	
Quality of life	Outcome not reported				NA	
Attrition rate Follow-up: 6 months	from the int (MAD plus	dults withdrew ervention group KetoCal month ared to 32.5%	Not estimable	80 (1 RCT)	⊕⊖⊝⊝ Very low <sub>a,b,c</sub>	

	Illustrative comparative risks* (95% CI)		Relative			
	Assumed risk	Corresponding risk	effect (95% CI)	No. of	Quality of the	
Outcomes	Other KDs	KDs		participants (studies)	evidence (GRADE)	Comments
	from the co	ntrol group (MAD				

plus KetoCal month two).

\*The basis for the assumed risk (e.g., the median control group risk across studies) is provided in footnotes. The corresponding risk (and its 95% confidence interval) is based on the assumed risk in the comparison group and the relative effect of the intervention (and its 95% CI).

CI: confidence interval; KD: ketogenic diet; MAD: modified Atkins diet; NA: not applicable; RCT: randomised controlled trial

<sup>a</sup>Downgraded once due to risk of bias: the study did not appear to be blinded, it was not clear whether there was missing data. Unclear methodological details were reported.

<sup>b</sup>Downgraded twice due to imprecision: low overall sample size, plus low number of events (< 50). Confidence in results from small number of participants is low. Unable to conduct a meta-analysis. <sup>c</sup>Downgraded once due to imprecision: a narrative synthesis was used for this outcome.

#### 1.1.6. Economic evidence

#### 1.1.6.1. Included studies

Three health economic studies comparing ketogenic diet to usual care in children and young people with drug-resistant epilepsy were included in this review.<sup>3 2, 18</sup>These are summarised in the health economic evidence profile below (**Table 2**) and the health economic evidence tables in Appendix D.

No studies with relevant comparisons in adults with drug-resistant epilepsy were identified.

#### 1.1.6.2. Excluded studies

One economic study relating to this review question was identified but was excluded due to a combination of limited applicability and methodological limitations.<sup>17</sup> This is listed in Appendix F, with reasons for exclusion given.

See also the health economic study selection flow chart in Appendix B.

#### 1.1.7. Summary of included economic evidence

#### Table 2: Health economic evidence profile: Ketogenic diet versus usual care

						• •	
Study	Applicability	Limitations	Other comments	Incremental cost	Incremental effects	Cost effectiveness	Uncertainty
De Kinderen 2015 <sup>3</sup> (Netherlands )	Partially applicable <sup>(a)</sup>	Potentially serious limitations <sup>(b)</sup>	<ul> <li>Probabilistic model based on two RCTs (Neal 2008<sup>11</sup> and Sharma 2013<sup>16</sup>)</li> <li>Cost-utility analysis (QALYs)</li> <li>Population: Children (1- 18 years) with intractable epilepsy who have tried two or more drugs and are not eligible for resective surgery</li> <li>Comparators: 1.Usual care 2.Ketogenic diet (80% medium chain triglyceride diet, 15% classic diet and 5% diet via tube feeding)</li> <li>Time horizon: 1 and 5 years</li> </ul>	1 year: £9,346 5 years: £13,855 (c)	1 year: 0.031 QALYs 5 years: 0.185 QALYs	1 year: £302,169 per QALY gained <sup>(d)</sup> 5 years: £74,933 per QALY gained <sup>(d)</sup>	Probability ketogenic diet being cost effective ( $\in 20K$ = circa £17.5K threshold): 0% (at 1 and 5 years) Deterministic sensitivity analyses undertaken to explore different types of ketogenic diet. The percentage of classic diet users was increased from 15% to 100% and simultaneously lowered the ketogenic diet initiation costs by assuming no hospitalisation required. This resulted in a higher probability ketogenic diet was cost effective at 5 years (26% at threshold of $\notin 20K$ = circa £17.5K).
De Kinderen 2016 <sup>2</sup> /Wijne n 2017 <sup>18</sup> (Netherlands )	Partially applicable <sup>(e)</sup>	Potentially serious limitations <sup>(f)</sup>	<ul> <li>Within trial analysis (associated RCT Lambrechts 2017<sup>8</sup>)</li> <li>Cost-utility analysis (QALYs)</li> </ul>	4 months: £3,963 16 months: £8,930 <sup>(g)</sup>	4 months: 0.003 QALYs 16 months: 0.002 fewer QALYs	4 months: £1,321,094 per QALY gained <sup>(h)</sup> 16 months:	Probability ketogenic diet cost effective (€50k = circa £43.5K threshold): 3% Bootstrapping undertaken, presented both from societal (4 months and 16

Study	Applicability	Limitations	Other comments	Incremental cost	Incremental effects	Cost effectiveness	Uncertainty
			<ul> <li>Population: Children and adolescents (age 1 to 18 years) with intractable epilepsy not eligible for epilepsy surgery</li> <li>Comparators: <ol> <li>Usual care</li> <li>Ketogenic diet (69.2% medium chain triglyceride diet, 26.9% classic diet and 3.9% mix of the two diets)</li> </ol> </li> <li>Follow-up: 4 months/16 months</li> </ul>			Usual care dominates ketogenic diet <sup>(h)</sup>	months) and healthcare perspective (4 months only). Healthcare perspective at 4 months presented above. Responder analysis presented (cost per responder) = £12,456 and £181,171 per responder for ketogenic diet compared to usual care at 4 and 16 months respectively. A hypothetical sensitivity analysis (from societal perspective only) undertaken where intervention costs decreased and simultaneously increased classical diet from 32% to 100%. This increased probability of ketogenic diet being cost effective (from 5% to 32% at a threshold of £43.5K).

Abbreviations: ICER= incremental cost-effectiveness ratio; QALY= quality-adjusted life years; RCT= randomised controlled trial

(a) Dutch healthcare perspective. Incorrect discounting applied. Unclear if EQ5D or other utility measure used for estimating quality of life.

(b) Includes 2 of 4 included RCTs and so may not reflect full body of clinical evidence. Dutch costs. Children on ketogenic diet would follow the dietary treatment for a maximum of 24 months, after this period they were treated with usual care. Model assumed that a responder remains a responder and a non-responder remains a non-responder for the rest of the study period (i.e., patients do not switch between health states after 2 4months). Other complications, such as gastrointestinal complaints and hoarseness, were not incorporated in the model; there are many, with generally a limited or short-term impact on quality of life or costs.

(c) 2013 Euros converted to 2013 UK pounds.<sup>12</sup>. Cost components incorporated: number of neurologist visits, number of seizure related hospitalisations (both linked to the health states of how seizure free someone is). Initiation costs of ketogenic diet, including 5-day admission to epilepsy centre, visits of neurologist, paediatrician, dietician and epilepsy

nurse and laboratory costs. Costs related to the ketogenic diet were vitamin and diet supplements and keto sticks. Cost of antiepileptic drugs and the cost of side-effects due to antiepileptic drugs were not taken into account; they were assumed to be equal in both arms.

- (d) Excluding the cost of a five-day inpatient stay (£3,957) the ICER at one year is £266,741 and £53,502 at five years
- (e) Dutch healthcare perspective. Incorrect discounting applied. Does not use EQ5D to estimate quality of life, but rather a non-preference-based measure of quality of life: TAPQOL and TACQOL. Includes parent and child QoL in total QALYs.
- (f) Includes 1 of 4 included RCTs and so may not reflect full body of clinical evidence. Dutch 2013 costs. The primary outcome measure of the trial was seizure reduction therefore, sample size possibly too small to detect QoL changes. Short time horizon for 4-month analysis. Extrapolation of usual care arm from 4 months to 16 months. It was noted that, in some centres offering a ketogenic diet only the classical ketogenic diet may be available which is also cheaper. In addition, at other centres patients initiating ketogenic diet may not incur such high costs due to patients not being admitted to hospital for five days upon initiation of ketogenic diet.
- (g) 2013 Euros converted to 2013 UK pounds<sup>12</sup>. Cost components incorporated: Healthcare costs (including but not limited to): visits (for example GP, nurse, specialist), hospitalisations, EEG and MRIs, other (for example social services) and medication. Intervention costs (including diet and ketosis check costs). Societal costs reported but not presented here.
- (h) Excluding the cost of a five-day inpatient stay (£2,407), assuming the cost of an inpatient stay is equivalent to the intervention cost (Table 3 in the study), the ICER at four months is £62,000 and usual care is dominant at sixteen months.

#### 1.1.8. Economic model

This area was not prioritised for new cost-effectiveness analysis.

#### 1.1.9. Evidence statements

#### 1.1.9.1. Economic

- One cost utility analysis found that ketogenic diet was not cost effective compared to usual care for treating children with drug refractory epilepsy (ICER: £302,169 per QALY gained at 1 year and £74,993 per QALY gained at 5 years). This study was assessed as partially applicable with potentially serious limitations.
- One cost utility analysis found that ketogenic diet was not cost effective compared to usual care for treating children with drug refractory epilepsy (ICER: 1,321,094 per QALY gained at 4 months). At 16 months usual care was dominant (less costly and more effective). This analysis was assessed as partially applicable with potentially serious limitations.

#### 1.1.10. The committee's discussion and interpretation of the evidence

#### 1.1.10.1. The outcomes that matter most

All the outcomes included in this review were of critical importance. 'Seizure freedom' 'reduction in seizure frequency of 50% or greater' and treatment withdrawal were the only outcomes meta-analysed in the review. All other outcomes were reported narratively due to the lack of uniformity in reporting across studies.

#### 1.1.10.2. The quality of the evidence

The quality of the evidence included was rated as low or very low. The trials included in the review had small sample sizes and were downgraded for significant risk of bias. This was largely due to a lack of blinding and unclear methodological reporting. There were missing data for several of the included studies and imprecision in the data for many outcomes. Heterogeneity observed in data sets resulted in further downgrading of the quality for inconsistency. Overall, the committee agreed the evidence for this review had been limited by the associated risk of bias, the observed heterogeneity between studies, and the low number of participants recruited to study populations, and this reduced the confidence the committee had in the findings of the review.

#### 1.1.10.3. Benefits and harms

The evidence from two pooled RCTs suggested ketogenic diets were unable to achieve seizure freedom in people with drug resistant epilepsy when compared to usual care, with a larger number of people withdrawing from treatment in the ketogenic diet arm of trials. Despite a large increase in the number of people achieving 50% or greater seizure reduction with ketogenic diets, one trial suggested increased adverse events with ketogenic diet. As all the outcomes were graded very low quality and the pooled outcomes were highly heterogeneous, the results could not be relied upon to form the basis of recommendations.

Along with the more generically termed drug-resistant epilepsy, some of the children included in the Cochrane review also had the following specific conditions: infantile spasms, myoclonic astatic epilepsy, Dravet syndrome and Lennox-Gastaut syndrome. The committee commented that these childhood onset epilepsies are complex to treat and as the response to ASM therapy is often variable, ketogenic diets are sometimes used as an adjunctive treatment. The meta-analyses included for ketogenic diets versus usual care suggested benefits of ketogenic diets for achieving seizure freedom and reducing seizure frequency by 50% or greater. However, these outcomes graded as very low to low quality respectively were highly uncertain. The committee therefore could not confidently extrapolate to benefit of ketogenic diets for drug-resistant epilepsy in children.

The guideline committee were aware of cases in clinical practice where ketogenic diets have shown credible benefit for select individuals with respect to significant improvements in seizure control and improved quality of life. However, the evidence presented in the Cochrane review was unable to replicate this.

The guideline committee were mindful of the importance of keeping ketogenic diets as an option for people in whom other treatment options are unsuccessful or not appropriate. They therefore agreed that although ketogenic diets should not be routinely recommended, it should continue to be available as a treatment option within the NHS based on individual clinical need.

Determining the effectiveness and tolerability of ketogenic diets in adults was particularly difficult due to the limited trials in adults with epilepsy. Furthermore, as the evidence comparing one type of ketogenic diet to another in both adults and children's populations was only narratively reported, the individual diets could not be adequately assessed. The committee acknowledged more precise data of higher quality is required to truly assess the effectiveness of ketogenic diets. The committee expressed the need for trials to be conducted that compared the effectiveness of specific ketogenic diets and decided to make a research recommendation for both adults and children evaluating the effectiveness of both long-term and short-term ketogenic diets.

#### 1.1.10.4. Cost effectiveness and resource use

Three cost utility analyses were included that compared ketogenic diet (including a 5-day inpatient stay for diet initiation) to usual care for treating children with intractable epilepsy (de Kinderen, 2015, de Kinderen, 2016 and Wijnen, 2017). These analyses were from a Dutch health care perspective.

De Kinderen 2015 was a probabilistic model based on two RCTs (Neal 2008 and Sharma 2013) in which children start on the treatments and after 3 months may have switched from active intervention (ketogenic diet) to usual care or have died. After the first cycle children enter one of these health states: seizure-free, improvement (50% or more seizure reduction), no improvement (less than 50% seizure reduction) or death (from sudden unexpected death in epilepsy or other causes). This study found that ketogenic diet was not cost effective compared to usual care, with incremental cost effectiveness ratios of £304,169 per QALY and £74,933 per QALY at a 1- and 5-year time horizons, respectively. This analysis was assessed as partially applicable (non-UK perspective, incorrect discounting applied, unclear if EQ5D was used for estimating quality of life), with potentially serious limitations (includes 2 of 4 RCTs included in the clinical review, non-UK NHS costs, assumes that if a child responds at 24 months which is the end of the diet, they will remain responsive until the end of the time horizon, 5 years).

The second and third cost-utility analyses (de Kinderen 2016 and Wijnen 2017) were within trial analyses of an RCT by Lambrechts 2017. De Kinderen 2016 presented the results of the four month follow up and Wijnen 2017 presented results of the sixteen month follow up. The studies analysed individual level data for health outcomes (seizure frequency and severity) as well as quality of life (measured using the TAPQOL and TACQOL with parent proxy). Resource use was captured, and unit costs applied. These studies found that ketogenic diet was not cost effective compared to usual care, with an incremental cost effectiveness ratio of  $\pounds1,321,094$  per QALY at 4 months and ketogenic diet was dominated (more costly, less

effective than usual care) at 16 months. These analyses were assessed as partially applicable (non-UK perspective and non-EQ-5D quality of life used) with potentially serious limitations (includes one of the four RCTS included in the clinical evidence non-NHS UK costs, short time horizon and extrapolation of usual care arm from 4 months to 16 months).

In all these analyses, the ketogenic diet was costly, this was due in part due to the inpatient stay to a tertiary epilepsy centre for the diet initiation but also the regular and frequent appointments thereafter with an epilepsy nurse and a dietician. Furthermore, the protocols required regular ketosis level checks and appointments with other health care professionals. The benefit in terms of QALYs reported in these analyses was very small. When this was discussed with the committee, they noted that a reduction in seizures may improve quality of life and reduce the risk of SUDEP, but also noted that a seizure reduction is not as clinically important as seizure freedom. The committee did appreciate that severe drug resistant epilepsy can have a severe negative impact on a person's quality of life and that in people with drug resistant epilepsy any reduction in seizures may be beneficial. The committee also discussed in detail that seizures are not the only factor which may impact adversely on the quality of life in a person with epilepsy. For example, a person's quality of life may be negatively affected if they have reached the end of the epilepsy treatment pathway and are still drug refractory.

The de Kinderen 2016 and Wijnen 2017 analyses were based on Lambrechts 2017 which was the only RCT in the clinical review to report quality of life outcomes. No clinical difference between ketogenic diet and usual care was seen for this outcome. In discussion, the committee noted that ketogenic diet could associated with a decrease in quality of life as it may remove pleasure associated with eating and the gastrointestinal adverse events can be challenging.

The committee noted that the health economic studies included in the evidence review were based on RCTs with small patient populations (de Kinderen 2015 was based on Neal 2008 [n=145] and Shama 2013 [n=102], and de Kinderen 2016 and Wijnen 2017 was based on Lambrechts 2017 [n=57]) and all clinical studies included in the review were graded as low or very low-quality evidence.

The committee acknowledged that the analyses by de Kinderen 2016 and Wijnen 2017 included the cost of 5-day hospital admission when initiating a ketogenic diet. The committee noted this was not reflective of UK current practice, and people initiating a ketogenic diet in the UK would not typically be admitted as an inpatient. However, even when the ICER was calculated with the cost of an inpatient stay excluded (and assuming effectiveness of the intervention remained constant) ketogenic diet was still not cost effective at NICE's £20,000 - £30,000 threshold. The committee considered that the sensitivity analyses where people were not admitted to hospital upon initiation of the diet and 100% of people received the classic ketogenic diet were largely reflective UK current practice. In these sensitivity analyses, although the probability of ketogenic diet being cost effective increased to 26% at a threshold of circa £17,500 in de Kinderen 2015 and 32% at a threshold of circa £43,500 in de Kinderen 2017 (a societal perspective only), these remain low and indicate uncertainty in the cost effectiveness of ketogenic diet in children. No health economic evidence was identified in an adult population.

Due to the low quality clinical and health economic evidence identified for this review question, the committee were only able to make a consider recommendation for ketogenic diet in people with drug resistant epilepsy, or for certain childhood epilepsies (such as, infantile spasms, myoclonic astatic epilepsy, Dravet syndrome, and Lenox-Gastaut syndrome). In general, the committee stressed the importance that people with drug resistant epilepsy to have the option of a ketogenic diet available if other treatment options have been unsuccessful or are not appropriate.

The recommendations are unlikely to constitute a big change in practice as ketogenic diets are not routinely offered in current practice. The committee agreed to make research recommendation given the limited evidence of ketogenic diet in children and adults.

#### 1.1.10.5. Other factors the committee took into account

The committee recognised that ketogenic diet does have a specific role in people with Glut-1 deficiency, however this population was not reviewed in the evidence.

#### 1.1.11. Recommendations supported by this evidence review

This evidence review supports recommendations 8.1.1 and the research recommendation on ketogenic diets.

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## **Appendices**

### Appendix A Review protocols

## A.1 <u>Review protocol for ketogenic diets in drug-resistant epilepsy</u>

ID	Field	Content				
1.	Review title	Ketogenic diets for drug-resistant epilepsy				
2.	Review question	What is the effectiveness of ketogenic diets in drug-resistant epilepsy?				
3.	Objective	The aim of the review is to determine if a ketogenic diet is effective in adults and children with drug-resistant epilepsy. The ketogenic diet is high in fat and low in carbohydrate, and it has been suggested that this diet reduces seizure frequency. This diet is used mainly as an adjunctive treatment for children who continue to have seizures despite treatment with antiepileptic drugs. Recently, there has been interest in less restrictive ketogenic diets such as the modified Atkins diet, and the use of these diets has been extended into adult practice.				
4.	Searches	The following databases will be searched: • Cochrane Epilepsy Group Specialized Register • Cochrane Central Register of Controlled Trials (CENTRAL) • Embase from 1980 to March 2003 • MEDLINE • ClinicalTrials.gov • World Health Organisation (WHO) International Clinical Trials Registry Platform				
		There were no restrictions on date				
		Other searches:				
		Reference lists from screened full text studies				
		The searches may be re-run 6 weeks before final submission of the review and further studies retrieved for inclusion if relevant.				

		The full search strategies for MEDLINE and Cochrane Register of Studies (CRS Web) database will be published in the final review.
5.	Condition or domain being	Drug-resistant epilepsy
	studied	Epilepsy is a common treatable condition, characterised by recurrent involuntary brain activity that manifests as seizures. Although the majority of people have a good response to antiepileptic drugs and become seizure free, approximately 30% continue to have seizures despite taking multiple antiepileptic drugs.
6.	Population	Inclusion:
		Children, young people and adults with drug-resistant epilepsy
		Strata: evidence in people with learning disabilities will be presented separately from evidence in people without learning disabilities
		Exclusion:
		New-born babies (under 28 days) with acute symptomatic seizures
7.	Intervention/Exposure/Test	Ketogenic diet (4:1 ratio of total energy from fat to carbohydrate and protein combined)
		Any diet that is designed to produce ketones:
		Classical KD
		Medium-chain triglyceride (MCT) KD
		Modified Atkins diet (MAD)
		Low glycaemic index treatment (LGIT)
8.	Comparator/Reference	Placebo/Usual care/Sham
	standard/Confounding factors	One diet vs another diet
9.	Types of study to be	RCTs with a minimum study period of 1 month
	included	Non-randomised studies will not be included
		Systematic reviews will not be included
10.	Other exclusion criteria	Non-English language studies.
		Conference abstracts will be excluded as it is expected there will be sufficient full text published studies available.
		RCT study period less than 1 month
11.	Context	The review will update the NICE guideline: Epilepsies: diagnosis and management, published in 2004.

12.	Primary outcomes (critical	seizure freedom (100% reduction in seizure frequency at study endpoint				
	outcomes)	<ul> <li>seizure frequency (50% or greater reduction in seizure frequency at study endpoint</li> </ul>				
		quality of life (as measured by validated scales)				
		• adverse events (all e.g., diarrhoea / constipation / vomiting / renal stones (all GI heading)) at study endpoint				
		attrition rate				
13.	Secondary outcomes (important outcomes)	Cognitive and behavioural outcomes (as measured by validated scales)				
14.	Data extraction (selection and coding)	Reference manager will be used for reference management, sifting, citations and bibliographies. All references identified by the searches and from other sources will be screened for inclusion by 2 review authors independently, resolving disagreements through discussion. The full text of potentially eligible studies will be retrieved and will be assessed in line with the criteria outlined above.				
		The electronic Cochrane data collection form will be used that has been adapted to fit the scope of the review.				
15.	Risk of bias (quality) assessment	Two review authors will independently assess risk of bias for each randomized trial using Cochrane's recommended domain-based evaluation tool for randomized trials, in which critical assessments are made separately for different domains, including selection bias (random sequence generation, allocation concealment), performance bias (blinding of personnel), detection bias (blinding of outcome assessment), attrition bias (incomplete outcome data), reporting bias (selective reporting), and other sources of bias. All outcomes reported in papers for selective outcome reporting will be examined. Disagreements between the review authors over the risk of bias in particular studies will be resolved by discussion. 10% of all evidence reviews are quality assured by a senior research fellow. This includes checking:				
		<ul> <li>papers were included /excluded appropriately</li> </ul>				
		• a sample of the data extractions				
		correct methods are used to synthesise data				
		• a sample of the risk of bias assessments				
		Disagreements between the review authors over the risk of bias in particular studies will be resolved by discussion, with involvement of a third review author where necessary.				
16.	Strategy for data synthesis	<ul> <li>Pairwise meta-analyses will be performed using Cochrane Review Manager (RevMan5). Where meta- analysis is not possible, data will be presented, and quality assessed individually per outcome.</li> </ul>				
		GRADEpro will be used to assess the quality of evidence for each outcome, taking into account individual study quality and the meta-analysis results. The 4 main quality elements (risk of bias, indirectness,				

			ncy and imprecision) will be appraised for each outcome. Publication bias is tested for when there han 5 studies for an outcome.			
		• The risk of bias across all available evidence was evaluated for each outcome using an adaptation of the 'Grading of Recommendations Assessment, Development and Evaluation (GRADE) toolbox' developed by the international GRADE working group http://www.gradeworkinggroup.org/.				
		• Heterogeneity between the studies in effect measures will be assessed using the l <sup>2</sup> statistic and visually inspected. An l <sup>2</sup> value greater than 50% will be considered indicative of substantial heterogeneity. Sensitivity analyses will be conducted based on pre-specified subgroups using stratified meta-analysis to explore the heterogeneity in effect estimates. If this does not explain the heterogeneity, the results will be presented pooled using random effects.				
17.	Analysis of sub-groups	Strata: evidence in people with learning disabilities will be presented separately from evidence in people without learning disabilities If possible, heterogeneity in meta-analyses will investigated according to the following subgroups:				
		Adults' vs children				
		One diet type vs another diet				
		Comparator for example active control vs placebo				
		Duration (<	< 12 weeks, > 3 months)			
18.	Type and method of review	$\boxtimes$	Intervention			
			Diagnostic			
			Prognostic			
			Qualitative			
			Epidemiologic			
			Service Delivery			
			Other (please specify)			
19.	Language	English				
20.	Country	England				

21.	Anticipated or actual start date	Search completed April 2019					
22.	Anticipated completion date	End of 2019					
23.	Stage of review at time of this submission	Review stage	Started	Completed			
		Preliminary searches		V			
		Piloting of the study selection process		V			
		Formal screening of search results against eligibility criteria		V			
		Data extraction		V			
		Risk of bias (quality) assessment		V			
		Data analysis		V			
24.	Named contact	5a. Named contact					
		National Guideline Centre					
		5b Epilepsies@nice.org.uk					
		5e Organisational affiliation of the review					
		National Institute for Health and Care Excellence (NICE) and the	e National Guideline	Centre			
25.	Review team members	Cochrane Collaboration					
		Lead author: KJ Martin-McGill					
		R Bresnahan					
		R G Levy					
		P N Cooper					

	-	-		
26.	Funding sources/sponsor	This systematic review is being completed by the Cochrane Epilepsy Group which receives funding from Cochrane Epilepsy Group.		
27.	Conflicts of interest	All guideline committee members and anyone who has direct input into NICE guidelines (including the evidence review team and expert witnesses) must declare any potential conflicts of interest in line with NICE's code of practice for declaring and dealing with conflicts of interest. Any relevant interests, or changes to interests, will also be declared publicly at the start of each guideline committee meeting. Before each meeting, any potential conflicts of interest will be considered by the guideline committee Chair and a senior member of the development team. Any decisions to exclude a person from all or part of a meeting will be documented. Any changes to a member's declaration of interests will be recorded in the minutes of the meeting. Declarations of interests will be published with the final guideline.		
28.	Collaborators			
29.	Other registration details			
30.	Reference/URL for published protocol	[Give the citation and link for the published protocol if there is one.]		
31.	Dissemination plans	<ul> <li>NICE may use a range of different methods to raise awareness of the guideline. These include standard approaches such as:</li> <li>notifying registered stakeholders of publication</li> <li>publicising the guideline through NICE's newsletter and alerts</li> <li>issuing a press release or briefing as appropriate, posting news articles on the NICE website, using social</li> </ul>		
32.	Keywords	media channels, and publicising the guideline within NICE. Ketogenic diets, epilepsy		
33.	Details of existing review of same topic by same authors	Published 7 November 2018 https://www.cochrane.org/CD001903/EPILEPSY_ketogenic-diets-drug-resistant-epilepsy		
34.	Current review status		Ongoing	
			Completed but not published	

			Completed and published
			Completed, published and being updated
			Discontinued
35.	Additional information		
36.	Details of final publication	www.nice.org.uk	

## A.2 Health economic review protocol

Review	
question	All questions – health economic evidence
Objectives	To identify health economic studies relevant to any of the review questions.
Search criteria	• Populations, interventions and comparators must be as specified in the clinical review protocol above.
	• Studies must be of a relevant health economic study design (cost–utility analysis, cost-effectiveness analysis, cost–benefit analysis, cost–consequences analysis, comparative cost analysis).
	<ul> <li>Studies must not be a letter, editorial or commentary, or a review of health economic evaluations. (Recent reviews will be ordered although not reviewed. The bibliographies will be checked for relevant studies, which will then be ordered.)</li> <li>Unpublished reports will not be considered unless submitted as part of a call for evidence.</li> </ul>
	Studies must be in English.
Search strategy	A health economic study search will be undertaken using population-specific terms and a health economic study filter.
Review strategy	Studies not meeting any of the search criteria above will be excluded. Studies published before 2004, abstract-only studies and studies from non-OECD countries or the USA will also be excluded.
	Studies published after 2004 that were included in the previous guideline(s) will be reassessed for inclusion and may be included or selectively excluded based on their relevance to the questions covered in this update and whether more applicable evidence is also identified.
	Each remaining study will be assessed for applicability and methodological limitations using the NICE economic evaluation checklist which can be found in appendix H of Developing NICE guidelines: the manual (2014). <sup>10</sup>
	Inclusion and exclusion criteria
	• If a study is rated as both 'Directly applicable' and with "Minor limitations" then it will be included in the guideline. A health economic evidence table will be completed, and it will be included in the health economic evidence profile.
	• If a study is rated as either 'Not applicable' or with "Very serious limitations" then it will usually be excluded from the guideline. If it is excluded, then a health economic evidence table will not be completed, and it will not be included in the health economic evidence profile.
	• If a study is rated as 'Partially applicable', with 'Potentially serious limitations' or both then there is discretion over whether it should be included.
	Where there is discretion
	The health economist will make a decision based on the relative applicability and quality of the available evidence for that question, in discussion with the guideline committee if required. The ultimate aim is to include health economic studies that are helpful for decision-making in the context of the guideline and the current NHS setting. If several studies are considered of sufficiently high applicability and methodological quality that they could all be included, then the health economist, in discussion with the committee if required, may decide to include only the most applicable studies and to selectively exclude the remaining studies. All studies excluded on the basis of applicability or methodological limitations will be listed with explanation in the excluded health economic studies appendix below.
	The health economist will be guided by the following hierarchies.

#### Setting:

- UK NHS (most applicable).
- OECD countries with predominantly public health insurance systems (for example, France, Germany, Sweden).
- OECD countries with predominantly private health insurance systems (for example, Switzerland).
- Studies set in non-OECD countries or in the USA will be excluded before being assessed for applicability and methodological limitations.

Health economic study type:

- Cost-utility analysis (most applicable).
- Other type of full economic evaluation (cost-benefit analysis, cost-effectiveness analysis, cost-consequences analysis).
- Comparative cost analysis.
- Non-comparative cost analyses including cost-of-illness studies will be excluded before being assessed for applicability and methodological limitations.

Year of analysis:

- The more recent the study, the more applicable it will be.
- Studies published in 2004 or later (including any such studies included in the previous guideline(s)) but that depend on unit costs and resource data entirely or predominantly from before 2004 will be rated as 'Not applicable'.
- Studies published before 2004 (including any such studies included in the previous guideline(s)) will be excluded before being assessed for applicability and methodological limitations.

Quality and relevance of effectiveness data used in the health economic analysis:

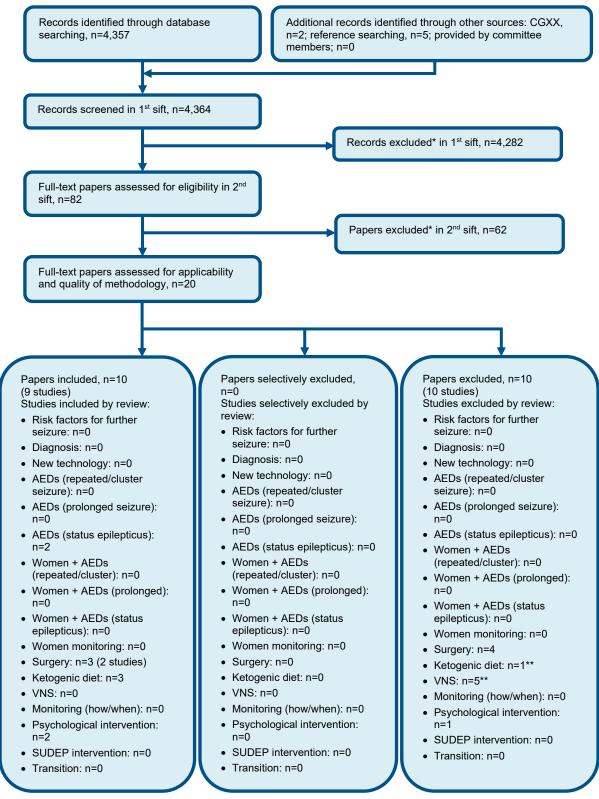
• The more closely the clinical effectiveness data used in the health economic analysis match with the outcomes of the studies included in the clinical review the more useful the analysis will be for decision-making in the guideline.

## Appendix B Literature Search Strategy

None.

Not applicable to Cochrane reviews.

## Appendix C Economic evidence study selection



\* Non-relevant population, intervention, comparison, design or setting; non-English language

\*\*Please note that 1 article related to two questions. For this reason, the numbers listed for each review may not total the

## Appendix D Economic evidence tables

Study	De Kinderen 2015 <sup>3</sup>				
Study details	Population & interventions	Costs	Health outcomes	Cost effectiveness	
Economic analysis: CUA (health outcome: QALYs) Study design: Probabilistic decision analytic model Approach to analysis: Markov model. Children start on the treatments and after 3 months patients may have switched from active intervention (ketogenic diet) to usual care or have died. After the first cycle children enter one of these health states: seizure-free, improvement (50% or more seizure reduction), no improvement (less than 50% seizure reduction) or death (from SUDEP or other causes). 3-month cycle duration.	<ul> <li>Population: Children (1-18 years) with intractable epilepsy who have tried two or more drugs and are not eligible for resective surgery</li> <li>Cohort settings: Start age: NR Male: NR</li> <li>Intervention 1: Usual care</li> <li>Intervention 2: Ketogenic diet (80% medium chain triglyceride diet, 15% classic diet and 5% diet via tube feeding)</li> </ul>	Total costs (mean per patient): <u>1 year</u> Intervention 1: £2,880 Intervention 2: £12,226 Incremental (2–1): £9,346 (95% CI: NR; p=NR) <u>5 years</u> Intervention 1: £13,091 Intervention 2: £26,946 Incremental (2–1): £13,855 (95% CI: NR; p=NR) <u>Currency &amp; cost year:</u> 2013 Euros (presented here as 2013 UK pounds <sup>(b)</sup> ) <u>Cost components</u> incorporated: Number of neurologist visits, number of seizure related hospitalisations (both linked to the health	QALYs (mean per patient): <u>1 year</u> Intervention 1: 0.662 Intervention 2: 0.693 Incremental (2–1): 0.031 (95% CI: NR; p=NR) <u>5 years</u> Intervention 1: 3.153 Intervention 2: 3.338 Incremental (2–1): 0.185 (95% CI: NR; p=NR)	ICER (Intervention 2 versus Intervention 1): <u>1 year</u> £302,169 per QALY gained $(pa)^{(c)}$ 95% CI: NR Probability Intervention 2 cost effective (€20K = circa £17.5K threshold): 0% <u>5 years</u> £74,933 per QALY gained $(pa)^{(c)}$ 95% CI: NR Probability Intervention 2 cost effective (€20K = circa £17.5K threshold): 0% <b>Analysis of uncertainty:</b> Deterministic sensitivity analyses undertaken to explore different types of ketogenic diet. The percentage of classic diet users was increased from 15% to 100% and simultaneously lowered the ketogenic diet initiation costs by assuming no hospitalisation required. This resulted in a higher probability ketogenic diet was cost effective at 5 years (26% at threshold of €20K = circa £17.5K).	

Perspective: Dutch healthcare	
<b>Time horizon:</b> 1 year and 5 years	
<b>Treatment effect</b> <b>duration:</b> <sup>(a)</sup> 24 months extrapolated to 5 years <b>Discounting:</b> Costs: 4%; Outcomes: 1.5%	

states of how seizure free someone is). Initiation costs of ketogenic diet, including 5-day admission to epilepsy centre, visits of neurologist, paediatrician, dietician and epilepsy nurse and laboratory costs. Costs related to the ketogenic diet were vitamin and diet supplements and keto sticks.

#### **Data sources**

**Health outcomes:** Baseline (usual care) and effectiveness data taken from two RCTs identified via literature search (Neal 2008<sup>11</sup> and Sharma 2013<sup>16</sup>). Pooled proportion analyses were used to calculate weighted average probabilities based on a random effects model. Annual age-specific all-cause mortality rates were based on Dutch life tables (2013) and transformed into 3-month mortality rates. SUDEP rates (Shorvon and Tomson 2011) added to all-cause mortality rates. **Quality-of-life weights:** Identified following a literature search and were based on utility values used in a health economic analysis by Messori 1998. Unclear if these utility values were EQ-5D but they appear to be elicited using a time trade-off. **Cost sources:** Resource use based on expert opinion and unit costs taken from Dutch guidelines for costing research. Note, cost of antiepileptic drugs and the cost of side-effects due to antiepileptic drugs were not taken into account; they were assumed to be equal in both arms.

#### Comments

**Source of funding:** The Netherlands Organization for Health Research and Development. **Limitations:** Dutch healthcare perspective. Incorrect discounting applied. Unclear if EQ5D or other utility measure used for estimating quality of life. Includes 2 of 4 included RCTs and so may not reflect full body of clinical evidence. Dutch costs. Children on ketogenic diet would follow the dietary treatment for a maximum of 24 months, after this period they were treated with usual care. Model assumed that a responder remains a responder and a non-responder remains a non-responder for the rest of the study period (i.e., patients do not switch between health states after 24months). Other complications, such as gastrointestinal complaints and hoarseness, were not incorporated in the model; there are many, with generally a limited or short-term impact on quality of life or costs. **Other:** A third comparator (vagus nerve stimulation) was included in this study but not reported here as it was not relevant to this review. Side-effects of antiepileptic drugs not included as assumed to be the same in both treatment arms.

**Overall applicability:** Partially applicable<sup>(d)</sup> **Overall quality:** Potentially serious limitations<sup>(e)</sup>

Abbreviations: CCA= cost–consequences analysis; CEA= cost-effectiveness analysis; 95% CI= 95% confidence interval; CUA= cost–utility analysis; da= deterministic analysis; EQ-5D= Euroqol 5 dimensions (scale: 0.0 [death] to 1.0 [full health], negative values mean worse than death); ICER= incremental cost-effectiveness ratio; NR= not reported; pa= probabilistic analysis; QALYs= quality-adjusted life years; SUDEP= sudden unexpected death in epilepsy

(a) For studies where the time horizon is longer than the treatment duration, an assumption needs to be made about the continuation of the study effect. For example, does a difference in utility between groups during treatment continue beyond the end of treatment and if so for how long.

(b) Converted using 2013 purchasing power parities<sup>12</sup>
(c) Excluding the cost of a five-day inpatient stay (£3,957) the ICER at one year is £266,741 and £53,502 at five years
(d) Directly applicable / Partially applicable / Not applicable
(e) Minor limitations / Potentially serious limitations / Very serious limitations

Study	De Kinderen 2016 <sup>2</sup> and Wijnen 2017 <sup>18</sup>				
Study details	Population & interventions	Costs	Health outcomes	Cost effectiveness	
Economic analysis: CUA (health outcome: QALYs) Study design: Within trial analysis (associated RCT Lambrechts 2017 <sup>8</sup> ) Approach to analysis: Analysis of individual level data for health outcomes (seizure frequency and severity, side effects of anti- epileptic drugs, and quality of life) and resource use. Unit costs applied. Perspective: Dutch healthcare Follow-up: 4 <sup>2</sup> and 16 <sup>18</sup> months Treatment effect duration: <sup>(a)</sup> For 16- month analysis, usual care is extrapolated from 4-month data.	Population: Children and adolescents (age 1 to 18 years) with intractable epilepsy not eligible for epilepsy surgery Cohort settings: Start age: Intervention 1: 8.1 Intervention 2: 7.8 Male: Intervention 1: 40.9% Intervention 2: 69.2% Number of participants: Intervention 1: 22 Intervention 1: 22 Intervention 2: 26 Intervention 1: Usual care (weekly telephone meeting with epilepsy nurse). Intervention 2: Ketogenic diet	Total costs (mean per patient): <u>4 months</u> Intervention 1: £7,981 Intervention 2: £10,574 Incremental (2–1): £3,963 (95% CI: £414, £12,456; p=NR) <u>16 months</u> Intervention 1: £20,842 Intervention 2: £29,773 Incremental (2–1): £8,930 (95% CI: NR; p=NR) <u>Currency &amp; cost year:</u> 2013 Euros (presented here as 2013 UK pounds <sup>(b)</sup> ) <u>Cost components</u> incorporated: Healthcare costs (including but not limited to): visits (for example GP, nurse, specialist),	QALYs (mean per patient): <u>4 months (using</u> <u>TACQOL/TAPCOL</u> ) Intervention 1: 0.250 Intervention 2: 0.253 Incremental (2–1): 0.003 (95% CI: NR; p=NR) <u>16 months (using</u> <u>TACQOL/TAPCOL</u> ) Intervention 1: 0.998 Intervention 2: 0.996 Incremental (2–1): 0.002 fewer QALYs (95% CI: NR; p=NR)	ICER (Intervention 2 versus Intervention 1): 4 months £1,321,094 per QALY gained (pa) <sup>(c)</sup> 95% CI: NR Probability Intervention 2 cost effective (€50K = circa £43.5K threshold): 3% 16 months Usual care dominates ketogenic diet (more costly and less effective) <sup>(c)</sup> . Analysis of uncertainty: Bootstrapping undertaken, presented both from societal (4 months and 16 months) and healthcare perspective (4 months only). Healthcare perspective at 4 months presented above. Responder analysis presented (cost per responder) = £12,456 and £181,171 per responder for ketogenic diet compared to usual care at 4 and 16 months respectively.	

#### Discounting (for 16month analysis only): Costs: 4%; Outcomes: 1.5%

(admitted to tertiary epilepsy centre for a 5dav introduction to the diet. Dietician and parents decided what type of diet the child would receive. Ketogenic diets included the MCT diet, the classical ketogenic diet or a mixture of the two; 69.2%, 26.9% and 3.9% respectively. Separate weekly telephone meetings with epilepsy nurse and dietician.) For both visits at 6 weeks and 4 months with neurologist, paediatrician and epilepsy nurse (and dietician for in ketogenic diet arm only). Continue antiepileptic drugs. From months 4 to 16: Ketogenic diet group: dietician and epilepsy nurse continued patents on monthly basis via

email. 3 monthly visit with neurologist, paediatrician, dietician and epilepsy nurse.

Usual care group: extrapolated data from 4 months to 16 months. hospitalisations, EEG and MRIs, other (for example social services) and medication. Intervention costs (including diet and ketosis check costs). Societal costs reported but not presented here.

In addition, a hypothetical sensitivity analysis undertaken where intervention costs decreased and simultaneously increased classical diet from 32% to 100%. This resulted in an increased probability of ketogenic diet being cost effective (from 5% to 32% at a threshold of £43.5K). These results are from a societal perspective.

#### **Data sources**

**Health outcomes:** Within trial analysis based on RCT (Lambrechts 2017<sup>8</sup>). Intention to treat analysis, no baseline adjustment deemed necessary. Cost per responder results also presented in the economic analyses, ketogenic diet responders n= 13/26 and usual care n=4/22. 1 or 5 trials comparing ketogenic diet to usual care in children. In the second analysis (Wijnen 2017<sup>18</sup>), a longer follow up is presented based on 16 months follow up data for the ketogenic diet comparator. For those receiving usual care, no follow up data was available and so this was an extrapolation from 4 months. **Quality-of-life weights:** TAPQOL and TACQOL age-dependent used derive QoL scores for children and parents (parent pro. These are not preference-based utilities. These were then converted to QALYs using the under the curve method. EQ-5D-Youth was included as an outcome in study was only possible in a minority study participants. **Cost sources:** Resource use cost based on trial costs. Differing protocols may result in different resource use costs. Cost diary used in trial for other health care costs. It was noted that, in some centres offering a ketogenic diet only the classical ketogenic diet may be available which is also cheaper. In addition, at other centres patients initiating ketogenic diet may not incur such high costs due to patients not being admitted to hospital for five days upon initiation of ketogenic diet. Unit costs based on standardised prices such as from the Dutch guidelines for cost research.

#### Comments

**Source of funding:** The Netherlands Organization for Health Research and Development. **Limitations:** Dutch healthcare perspective. Incorrect discounting applied. Does not use EQ5D to estimate quality of life, but rather a non-preference-based measure of quality of life: TAPQOL and TACQOL. Includes parent and child QoL in total QALYs. Includes 1 of 4 included RCTs and so may not reflect full body of clinical evidence. Dutch 2013 costs. The primary outcome measure of the trial was seizure reduction therefore, sample size possibly too small to detect QoL changes. Short time horizon for 4-month analysis. Extrapolation of usual care arm from 4 months to 16 months. It was noted that, in some centres offering a ketogenic diet only the classical ketogenic diet may be available which is also cheaper. In addition, at other centres patients initiating ketogenic diet may not incur such high costs due to patients not being admitted to hospital for five days upon initiation of ketogenic diet. **Other:** 

#### Overall applicability: Partially applicable<sup>(d)</sup> Overall quality: Potentially serious limitations<sup>(e)</sup>

Abbreviations: CCA= cost–consequences analysis; CEA= cost-effectiveness analysis; 95% CI= 95% confidence interval; CUA= cost–utility analysis; da= deterministic analysis; EQ-5D= Euroqol 5 dimensions (scale: 0.0 [death] to 1.0 [full health], negative values mean worse than death); ICER= incremental cost-effectiveness ratio; MCT = medium-chain triglyceride; NR= not reported; pa= probabilistic analysis; QALYs= quality-adjusted life years; TAPQOL= TNO-AZL Preschool Children's Quality of Life) for children aged between 1 and 5 years (parent proxy); TACQOL = TNO-AZL Children's Quality of Life for children aged between 6 and 16 years (parent proxy).

- (a) For studies where the time horizon is longer than the treatment duration, an assumption needs to be made about the continuation of the study effect. For example, does a difference in utility between groups during treatment continue beyond the end of treatment and if so for how long.
- (b) Converted using 2013purchasing power parities<sup>12</sup>
- (c) Excluding the cost of a five-day inpatient stay (£2,407), assuming the cost of an inpatient stay is equivalent to the intervention cost (Table 3 in the study), the ICER at four months is £62,000 and usual care is dominant at sixteen months.
- (d) Directly applicable / Partially applicable / Not applicable
- (e) Minor limitations / Potentially serious limitations / Very serious limitations

## Appendix E Health economic model

No health economic undertaken.

## Appendix F Excluded studies

## F.1 Health Economic studies

Published health economic studies that met the inclusion criteria (relevant population, comparators, economic study design, published 2004 or later and not from non-OECD country or USA) but that were excluded following appraisal of applicability and methodological quality are listed below. See the health economic protocol for more details.

Table 3:	Studies	excluded	from the	e health	economic review
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Reference	Reason for exclusion
Whiting 2017 <sup>17</sup>	Excluded due to a combination of applicability and methodological limitations. Canadian resource use and unit costs in part from pre 2004 and therefore may not reflect the current NHS context. This cost comparison analysis (resource utilisation only) is based on a before and after study which was not included in clinical review. No analyses of uncertainty.

## **Appendix G Research recommendations**

What is the short-term and long-term clinical and cost-effectiveness of ketogenic diets in adults and children with drug-resistant epilepsy and what factors affect the long-term maintenance/tolerability of ketogenic diets?

#### Why this is important

Around a third of people with epilepsy will not respond to currently available anti-seizure medications. A proportion of this group will be suitable for resective epilepsy surgery. There are, however, people with drug resistant epilepsy who are not candidates for epilepsy surgery or in whom surgery is unsuccessful. In these individuals, alternative methods to control seizures should be considered, including neurostimulation or dietary treatments. While, for example, the ketogenic diet is indicated in certain conditions (for example GLUT 1 deficiency), the broader applicability of dietary treatment in people with drug-resistant epilepsy, especially in adults, is uncertain.

#### Rationale for research recommendation

Importance to 'patients' or the population	Treatment options for people with drug-resistant epilepsy, especially those not suitable for epilepsy surgery, can be limited. While novel anti-seizure medications continue to be developed, it is also important to consider non- pharmacological approaches to seizure management. While dietary treatment can offer benefits to certain individuals, it is important to better determine whether dietary treatment can be applied more widely to people with drug- resistant epilepsy and/or whether certain groups may derive specific benefits from such diets.
Relevance to NICE guidance	Ketogenic diet therapy has been considered in this guideline and there is a lack of data on long- term clinical and safety outcomes. Also, the economic data that were reviewed do not reflect practice in the United Kingdom where, for example, ketogenic diet can be initiated as an outpatient, making costs significantly lower.
Relevance to the NHS	A UK based study of ketogenic dietary treatment seems necessary to determine the long-term effectiveness of the treatment, the potential adverse effects and to calculate the cost of providing the treatment within the NHS. Identifying who may be most suitable for the diet would enable the diet to be offered earlier to target groups and as such could be cost saving (for example by avoiding unnecessary trials of anti-seizure medications, reducing hospital admissions)
National priorities	Moderate
Current evidence base	Minimal data for ketogenic diet is currently available, and data are particularly scarce in adults.
Equality considerations	Ketogenic diet tends to be considered in people with drug-resistant epilepsy who are not thought suitable for resective epilepsy surgery. Many of the people who are trialled on ketogenic diet

et,