

Draft for consultation

Faltering Growth – recognition and management

Full Guideline

Clinical Guideline

Methods, evidence and recommendations

April 2017

Draft for Consultation

*Developed by the National Guideline Alliance,
hosted by the Royal College of Obstetricians
and Gynaecologists*

Disclaimer

Healthcare professionals are expected to take NICE clinical guidelines fully into account when exercising their clinical judgement. However, the guidance does not override the responsibility of healthcare professionals to make decisions appropriate to the circumstances of each patient, in consultation with the patient and/or their guardian or carer.

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1 Introduction

The term 'faltering growth' (previously called 'failure to thrive') is widely used to refer to a slower rate of weight gain in childhood than expected for age and sex. The term faltering growth is preferred as periods of slow growth may represent temporary variation from the expected pattern and the word 'failure' may be seen as pejorative. Various definitions of faltering growth have been used in the past, meaning estimates of prevalence in the UK vary widely.

The World Health Organization (WHO) has produced growth standards, based on longitudinal studies of healthy breastfed infants. These standards, along with UK term and preterm infant growth data, have been incorporated into UK WHO growth charts for monitoring growth in UK children. A child's weight, length or height and head circumference can be plotted on these charts to provide a visual representation of growth over time. Epidemiological data suggest that healthy children usually progress relatively consistently along a growth centile.

New-born infants normally lose weight in the first days of life. Persisting or large weight losses can cause concern in parents, carers and health professionals about ineffective establishment of feeding. In older children, faltering growth can occur when nutritional intake does not meet a child's specific energy requirements. Undernutrition presents as a relatively slow weight gain, demonstrated by a fall across weight centiles on the growth chart.

Children with faltering growth may be identified by routine growth monitoring or by parental or health professional concern. Standard management is usually community based, with support and advice provided to increase energy intake and manage challenging feeding behaviour. Some children will be referred to paediatric dietitians or paediatricians for further assessment and management.

Certain health conditions predispose children to faltering growth (for example, cystic fibrosis or coeliac disease). Specific treatment for these conditions can improve or restore expected rates of weight gain. In children with no specific cause for faltering growth, simple interventions to increase nutritional intake may be effective in improving weight gain. Faltering growth in early childhood may be associated with persisting problems with appetite and feeding.

The cause of faltering growth in the absence of a specific underlying health condition is likely to be complex and multifactorial. In the past, child neglect or socioeconomic and educational disadvantage were often considered to be likely contributors. While neglected children may be undernourished, neglect is an uncommon explanation for faltering growth. Similarly, significant associations with socioeconomic or educational factors have not been demonstrated.

There is variation across the UK in care provided for infants, children and families where concerns are raised about early weight loss or faltering growth. There is cultural and socioeconomic variation in starting and continuing breastfeeding, the approach to introducing complementary solid food and choice of foods, feeding behaviour and parental acceptance of feeding support and advice.

1.1 Guideline Committee membership, National Guideline Alliance (NGA) staff and acknowledgements

Table 1: Guideline Committee members

Name	Role
Gordon Allan	General Practitioner
Shel Banks	Lay member
Rachel Bryant-Waugh	Consultant Clinical Psychologist
Anne Marie Frohock	Paediatric Dietitian
Annalou Louw	Speech and language therapist
Russell Peek (Chair)	Consultant Paediatrician
Denise Pemberton	Feeding Lead for Maternity and Neonatal Services
Rachel Pidcock	Lay member
Caroline Roberts	Specialist Health Visitor, Growth and Nutrition
Alison Spiro	Specialist Health Visitor in Infant Feeding
Charlotte Wright	Community Paediatrician
Expert advisers	
Shirley Paddock	Nursery Care Professional

Table 2: NGA Staff

Name	Role
Alexander Bates	Senior Health Economist
Zosia Beckles	Information Scientist (until March 2016)
Nathan Bromham	Senior Systematic Reviewer (from August 2016)
Anne Carty	Project Manager (until September 2016)
Katharina Dworzynski	Guideline Lead
Linyun Fou	Systematic Reviewer (from August 2016)
Eva Gonzalez-Viana	Systematic Reviewer (from February 2016)
Lianne Gwillim	Project Manager (from September 2016)
Stephen Murphy	Clinical Advisor
Amir Omidvari	Research fellow (from January 2016 to March 2016)
Timothy Reeves	Information Scientist (from March 2016)
Valentina Ricci	Senior Systematic Reviewer (until August 2016)

Acknowledgements

Additional support was received from Annabel Flint, Hugo Pedder and Katie Webster.

1.2 Other versions of the guideline

National Institute for Health and Care Excellence (NICE) produce a number of versions of this guideline:

- The 'short guideline' lists the recommendations, context and recommendations for research
- NICE Pathways brings together all connected NICE guidance.

1 **1.3 Schedule for updating the guideline**

2 For the most up-to-date information about guideline reviews, please see the latest version of
3 the NICE guidelines manual available from the NICE website.

2 Development of the guideline

2.1 What is a NICE clinical guideline?

NICE clinical guidelines are recommendations for the care of individuals in specific clinical conditions or circumstances within the NHS – from prevention and self-care through primary and secondary care to more specialised services. We base our clinical guidelines on the best available research evidence, with the aim of improving the quality of healthcare. We use predetermined and systematic methods to identify and evaluate the evidence relating to specific review questions.

NICE clinical guidelines can:

- provide recommendations for the treatment and care of people by healthcare professionals
- be used to develop standards to assess the clinical practice of individual healthcare professionals
- be used in the education and training of healthcare professionals
- help patients to make informed decisions
- improve communication between patients and healthcare professionals.

While guidelines assist the practice of healthcare professionals, they do not replace their knowledge and skills.

- We produce our guidelines using the following steps:
- The guideline topic is referred to NICE from the Department of Health.
- Stakeholders register an interest in the guideline and are consulted throughout the development process.
- The scope is prepared by the NGA.
- The NGA establishes a Guideline Committee.
- A draft guideline is produced after the group assesses the available evidence and makes recommendations.
- There is a consultation on the draft guideline.
- The final guideline is produced.

The NGA and NICE produce a number of versions of this guideline:

- The 'full guideline' contains all the recommendations, together with details of the methods used and the underpinning evidence.
- The 'short guideline' lists the recommendations, context and recommendations for research.
- 'Information for the public' is written using suitable language for people without specialist medical knowledge.
- NICE Pathways brings together all connected NICE guidance.

2.2 Remit

NICE received the remit for this guideline from the Department of Health. It commissioned the NGA to produce the guideline.

The remit for this guideline is to develop a clinical guideline on the recognition and management of faltering growth in children. The scope was drafted by the NGA in collaboration with NICE and then revised and finalised based on stakeholder consultation

1 comments (for the scope of the guideline please refer to Appendix A and the stakeholder list
2 in Appendix B).

3 **2.3 Who developed this guideline?**

4 A multidisciplinary Guideline Committee comprising healthcare professionals and
5 researchers as well as lay members developed this guideline (see the list of group members
6 and acknowledgements).

7 NICE funds the NGA and thus supported the development of this guideline. The Guideline
8 Committee was convened by the NGA and chaired by Russell Peek in accordance with
9 guidance from NICE.

10 The group met every 4 to 6 weeks during the development of the guideline. At the start of the
11 guideline development process all group members declared interests including
12 consultancies, fee-paid work, shareholdings, fellowships and support from the healthcare
13 industry. At all subsequent group meetings, members declared arising conflicts of interest.

14 Members were either required to withdraw completely or for part of the discussion if their
15 declared interest made it appropriate. The details of declared interests and the actions taken
16 are shown in Appendix C.

17 Staff from the NGA provided methodological support and guidance for the development
18 process. The team working on the guideline included a guideline lead, a project manager,
19 systematic reviewers, health economists, a statistician and information scientists. They
20 undertook systematic searches of the literature, appraised the evidence, conducted meta-
21 analysis and cost-effectiveness analysis where appropriate and drafted the guideline in
22 collaboration with the group.

23 **2.4 What this guideline covers**

24 **2.4.1 Groups that will be covered**

- 25 • Infants and preschool children in whom growth concerns have been raised, through either
26 routine monitoring (defined in recommendation 17 of the NICE guideline on maternal and
27 child nutrition) or professional or parental concern.
- 28 • The following subgroups have been identified as needing specific consideration:
 - 29 ○ infants and preschool children who
 - 30 ○ were born prematurely
 - 31 ○ were born with intrauterine growth restriction (IUGR)
 - 32 ○ with a specific disorder known to cause faltering growth, but only with regard to
33 recognition of growth thresholds for concern

34 **2.4.2 Key clinical issues that will be covered**

- 35 1. Recognition of faltering growth, including defining growth thresholds for concern
36 (including, early weight loss after birth).
- 37 2. Identification of risk factors for faltering growth.
- 38 3. Assessment of infants and preschool children with faltering growth. This includes
39 identifying possible causes of faltering growth and, in the absence of any other symptoms
40 or signs, deciding on appropriate investigations.
- 41 4. Growth monitoring in infants and preschool children with suspected or confirmed faltering
42 growth.
- 43 5. Referral to secondary care.
- 44 6. Interventions to manage faltering growth, including:

- 1 ○ breastfeeding support
- 2 ○ support for other types of feeding
- 3 ○ dietary advice and supplementation
- 4 ○ family support.
- 5 7. Design of services for the management of faltering growth.
- 6 8. Information and support for parents and carers of infants and preschool children with
- 7 suspected or confirmed faltering growth.

8 **2.5 What this guideline does not cover**

9 **2.5.1 Clinical issues that will not be covered**

- 10 1. Specialist management of specific disorders causing faltering growth, for example coeliac
- 11 disease.

12 **2.6 Relationship between the guideline and other NICE** 13 **guidance**

14 **2.6.1 Related NICE guidance**

15 NICE is currently developing the following guidance that is closely related to this guideline:

- 16 • Developmental follow-up of children and young people born preterm. NICE Guideline,
- 17 publication expected August (2017).
- 18 • Child abuse and neglect. NICE Guideline. Publication expected September (2017).
- 19 • Child maltreatment: when to suspect maltreatment in under 18s. NICE Guideline CG89
- 20 (2009).
- 21 • Coeliac disease: recognition, assessment and management. NICE Guideline NG20
- 22 (2015).
- 23 • Postnatal care up to 8 weeks after birth. NICE Guideline CG37 (2015).
- 24 • Maternal and child nutrition. NICE Guideline PH11 (2014).

3 Guideline development methodology

This chapter sets out in detail the methods used to review the evidence and to generate the recommendations that are presented in subsequent chapters. This guidance was developed in accordance with the methods outlined in the NICE guidelines manual 2014.

3.1 Developing the review questions and protocols

The 14 review questions developed for this guideline were based on the key areas identified in the guideline scope. They were drafted by the NGA, and refined and validated by the Guideline Committee.

The review questions were based on the following frameworks:

- intervention reviews – using population, intervention, comparison and outcome (a PICO framework)
- reviews of diagnostic test or clinical prediction model accuracy – using population, diagnostic test (index tests), reference standard and target condition
- qualitative reviews – using population, area of interest and themes of interest
- prognostic reviews – using population, presence or absence of a risk factor, and outcome.

Full literature searches, critical appraisals and evidence reviews were completed for all review questions.

3.2 Searching for evidence

3.2.1 Clinical literature searches

Systematic literature searches were undertaken to identify all published clinical evidence relevant to each review question.

Databases were searched using medical subject headings, free-text terms and study type filters where appropriate. Special consideration was given to search terms relating to early weight loss following birth to ensure that relevant studies were captured. Relevant search terms such as hypernatremia and dehydration were used in the searches as well as figures for the percentage of weight change that might cause concern. Where possible, searches were restricted to retrieve articles published in English. All searches were conducted in the following databases: Medline, Embase, Health Technology Assessments (HTA), Cochrane Central Register of Controlled Trials (CCTR), Cochrane Database of Systematic Reviews (CDSR), and Database of Abstracts of Reviews of Effects (DARE). Where relevant to specific review questions the following additional databases were also searched: PsycInfo, AMED (Allied and Complementary Medicine) and CINAHL (Cumulative Index to Nursing and Allied Health Literature). All searches were updated on 20th January 2017. Any studies added to the databases after this date (including those published prior to this date but not yet indexed) were not considered relevant for inclusion.

Search strategies were quality assured by cross-checking reference lists of relevant papers, analysing search strategies from other systematic reviews and asking Guideline Committee members to highlight key studies. All search strategies were also quality assured by an Information Scientist who was not involved in the development of the search. Details of the search strategies, including study type filters that were applied and databases that were searched, can be found in Appendix E.

All references suggested by stakeholders at the time of the scope consultation were considered for inclusion. During the scoping stage, searches were conducted for guidelines, health technology assessments, systematic reviews, economic evaluations and reports on

1 biomedical databases and websites of organisations relevant to the topic. Formal searching
2 for grey literature, unpublished literature and electronic, ahead-of-print publications was not
3 routinely undertaken.

4 **3.2.2 Health economics literature searches**

5 Systematic literature searches were also undertaken to identify relevant published health
6 economic evidence. A broad search was conducted to identify health economic evidence
7 relating to faltering growth in the following databases: NHS Economic Evaluation Database
8 (NHS EED) and Health Technology Assessment (HTA). A broad search was also conducted
9 to identify health economic evidence relating to faltering growth in the following databases
10 with an economic search filter applied: Medline, Cochrane Central Register of Controlled
11 Trials (CCTR) and Embase. Where possible, the search was restricted to articles published
12 in English and studies published in languages other than English were not eligible for
13 inclusion.

14 The search strategies for the health economic literature search are included in Appendix E.
15 All searches were updated on 20th January 2017. Any studies added to the databases after
16 this date (including those published prior to this date but not yet indexed) were not included
17 unless specifically stated in the text.

18 **3.3 Reviewing research evidence**

19 **3.3.1 Types of studies and inclusion and exclusion criteria**

20 For most intervention reviews in this guideline, parallel randomised controlled trials (RCTs)
21 were prioritised because they are considered the most robust type of study design that could
22 produce an unbiased estimate of the intervention effects.

23 For diagnostic, clinical prediction rule or prevalence reviews, cross-sectional, retrospective or
24 prospective cohort studies were considered for inclusion. For prognostic reviews, prospective
25 and retrospective cohort and case-control studies were included.

26 For qualitative reviews, studies using focus groups, or structured or semi-structured
27 interviews were considered for inclusion. Survey data or other types of questionnaires were
28 only included if they provided analysis from open-ended questions, but not if they reported
29 descriptive quantitative data only.

30 Where data from observational studies were included, the Committee agreed that the results
31 for each outcome should be presented separately for each study and meta-analysis was not
32 conducted.

33 The evidence was reviewed following the steps shown schematically in Figure 1:

- 34 • Potentially relevant studies were identified for each review question from the relevant
35 search results by reviewing titles and abstracts. Full papers were then obtained.
- 36 • Full papers were reviewed against pre-specified inclusion and exclusion criteria to identify
37 studies that addressed the review question in the appropriate population, as outlined in
38 the review protocols (review protocols are included in Appendix D).
- 39 • Relevant studies were critically appraised using the appropriate checklist as specified in
40 the NICE guidelines manual.
- 41 • Key information was extracted on the study's methods, according to the factors specified
42 in the protocols and results. These were presented in summary tables (in each review
43 chapter) and evidence tables (in Appendix G).

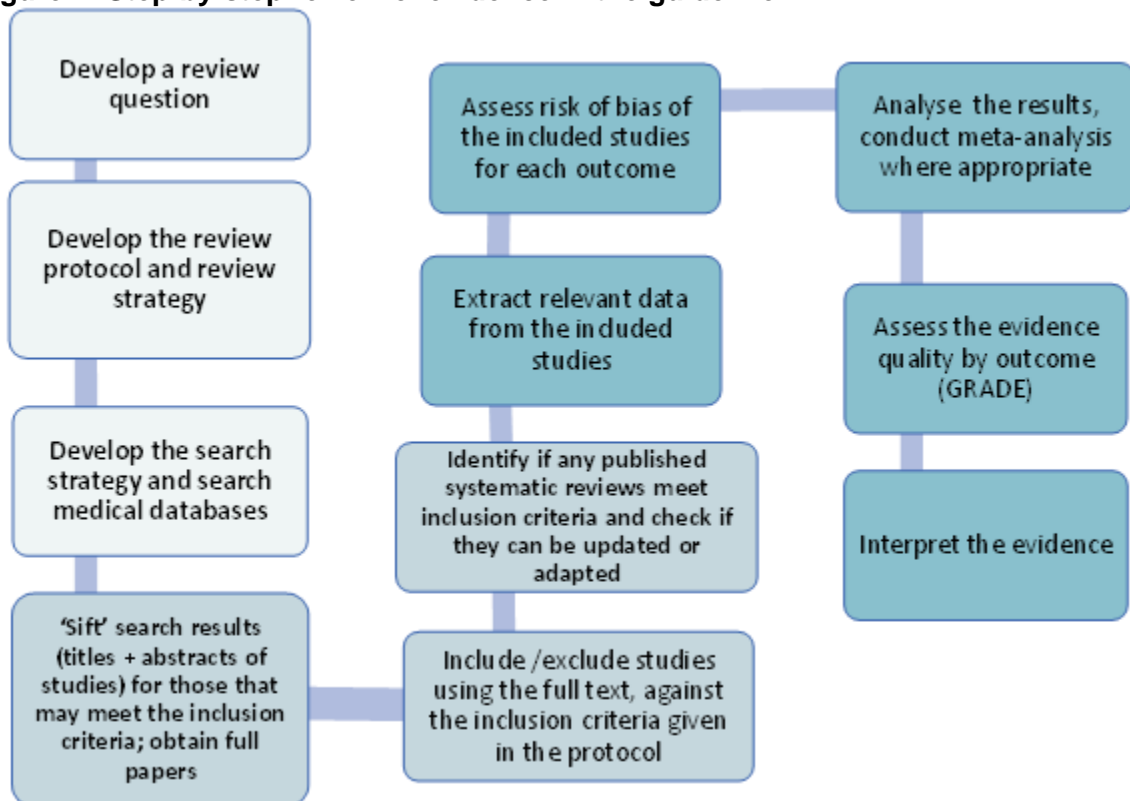
- Summaries of evidence were generated by outcome (included in the relevant review chapters) and were presented in Committee meetings (details of how the evidence was appraised is described in Section 3.5 below):
 - Randomised studies: meta-analysis was carried out where appropriate and results were reported in GRADE profiles (for intervention reviews).
 - Observational studies of interventions: data were presented as a range of values in GRADE profiles.
 - Prognostic studies: data were presented as a range of values, usually in terms of the relative effect as reported by the authors.
 - Prevalence studies: data were presented as a range of values, in terms of the absolute prevalence as reported by the authors.
 - Diagnostic or clinical prediction rule studies: data were presented as measures of diagnostic test accuracy (sensitivity and specificity) and were presented in modified GRADE profiles.

For quality assurance of study identification, a 10% sample of the study searches were double checked by a second reviewer for the following review questions: normal weight loss in the early days of life, weight loss in the early days of life associated with adverse outcomes, thresholds for faltering growth, risk factors for faltering growth, non-nutritional interventions, monitoring and referral.

Any disagreements in study selection were resolved by discussion between the two reviewers.

All drafts of reviews were checked by a second reviewer.

Figure 1: Step-by-step review of evidence in the guideline



23 3.3.1.1 Specific inclusions and exclusions

24 The definitions of the faltering growth condition varied widely between studies. Often cases
25 were only very loosely classified. The Committee therefore decided to include any study

1 referring to a 'faltering growth' population of children even when it was unlikely that the
2 definition would be specific enough to accurately identify all children generally considered to
3 show faltering growth. The definitions were then extracted and the applicability of this was
4 then taken into consideration when the evidence was discussed.

5 Infants showing early weight loss in the first days of life were treated as a separate group.
6 For this group of infants the term 'faltering growth' would not usually be used. We therefore
7 widened the search for this group to include terms such as 'feeding problem', 'weight losses
8 and others.

9 Throughout this guideline only evidence from high income countries
10 (<http://data.worldbank.org/income-level/high-income>) was considered for inclusion. It was
11 agreed that the reasons and interventions for faltering growth in middle and low income
12 countries would not be generalisable to the NHS setting.

13 **3.4 Method of combining clinical studies**

14 When planning reviews (protocols), the following approaches for data synthesis were
15 discussed and agreed with Committee.

16 **3.4.1 Data synthesis for intervention reviews**

17 It was planned to conduct meta-analyses where possible to combine the results of studies for
18 each review question using Cochrane Review Manager (RevMan5) software.

19 Fixed-effects (Mantel–Haenszel 1959) techniques were used to calculate risk ratios (relative
20 risk) for binary outcomes, such as rate of adverse events or rate of people with symptom
21 improvements (Mantel–Haenszel 1959).

22 For continuous outcomes, measures of central tendency (mean) and variation (standard
23 deviation) are required for meta-analysis. Data for continuous outcomes (such as level of
24 pain on a visual analogue scale [VAS]) were analysed using an inverse variance method for
25 pooling weighted mean differences. A generic inverse variance option in RevMan5 is used if
26 any studies reported solely the summary statistics and 95% confidence interval (95% CI) or
27 standard error. However, in cases where standard deviations were not reported per
28 intervention group, the standard error (SE) for the mean difference is calculated from other
29 reported statistics (p values or 95% CIs): meta-analysis was then undertaken for the mean
30 difference and SE using the generic inverse variance method in RevMan5. When the only
31 evidence was based on studies summarising results by presenting medians (and interquartile
32 ranges) or only p values were given, this information was assessed in terms of the study's
33 sample size and was included in the GRADE tables without calculating the relative or
34 absolute effects. Consequently, aspects of quality assessment, such as imprecision of effect,
35 could not be assessed for evidence of this type. However, the limited reporting of this
36 outcome was classified as a risk of bias in study limitations.

37 Stratified analyses were predefined for some review questions at the protocol stage when the
38 Committee identified that these strata are different in terms of biological and clinical
39 characteristics and the interventions were expected to have a different effect.

40 Statistical heterogeneity was assessed by visually examining the forest plots, and by
41 considering the chi-squared test for significance at $p < 0.1$ or an I-squared inconsistency
42 statistic (with an I-squared value of more than 50% indicating considerable heterogeneity).
43 Where considerable heterogeneity was present, predefined subgroup analyses were
44 performed.

45 Assessments of potential differences in effect between subgroups were based on the chi-
46 squared tests for heterogeneity statistics between subgroups. If no sensitivity analysis was
47 found to completely resolve statistical heterogeneity, then a random-effects (DerSimonian

1 and Laird) model was employed to provide a more conservative estimate of the effect –
2 (DerSimonian and Laird 1986).

3 **3.4.2 Data synthesis for predictive accuracy reviews**

4 Weight loss and length/height thresholds can be used as a clinical prediction rule to help
5 identify whether an infant with weight loss in the early days of life or a child with faltering
6 growth is at increased risk of adverse outcomes. For studies using weight or length
7 thresholds as predictors of adverse outcomes, results were summarised as sensitivity,
8 specificity and likelihood ratios. Predictive accuracy data were not pooled but presented as
9 ranges.

10 **3.4.3 Data synthesis for prognostic reviews**

11 Identification of risk factors for faltering growth could aid early identification and management
12 strategies. Odds ratios (ORs) or risk ratios (RRs) with their 95% confidence intervals (95%
13 CIs) for the effect of the pre-specified thresholds on the adverse outcome of interest, were
14 extracted from the papers when reported. For this topic, we looked for studies that took into
15 account possible key confounders (such as age, duration of follow-up and interventions for
16 faltering growth) as reported in multivariable analyses. These studies were typically cohort
17 studies and for this reason the prognostic data were not pooled but ranges were reported.

18 **3.4.4 Data synthesis for prevalence reviews**

19 In rare cases faltering growth is associated with an undiagnosed new clinical symptoms
20 order and the appropriate testing strategy will depend on the prevalence of such disorders.
21 For this topic we sought studies which had investigated cohorts of children with faltering
22 growth and reported the prevalence of undiagnosed underlying disorders. It was agreed with
23 the Committee that any reported prevalence values for each underlying disorder would not
24 be pooled but reported as a range of percentages. This was due to the possible
25 heterogeneous nature of individual cohorts that may report such prevalence rates.

26 **3.4.5 Data synthesis for normal weight loss in the early days of life**

27 For the review of normal weight loss in the early days of life the 50th, 95th and 97.5th centiles
28 of the maximum weight loss compared to birth weight were extracted from cohort studies.
29 The commonest timing of this lowest weight point (nadir) was also noted for each cohort as
30 well as time to return to birth weight. It was agreed with the Committee that these data would
31 not be pooled but reported as a ranges. This was due to the possible heterogeneous nature
32 of individual cohorts.

33 **3.5 Appraising the quality of evidence**

34 For intervention reviews, the evidence for outcomes from the included RCTs and
35 observational studies were evaluated and presented using GRADE, which was developed by
36 the international GRADE working group. For prognostic and prevalence reviews the quality of
37 evidence was summarised on a per-study basis for each reported risk-factor or prevalence
38 estimate.

39 The software developed by the GRADE working group (GRADEpro) was used to assess the
40 quality of each outcome, taking into account individual study quality factors and the meta-
41 analysis results. The clinical/economic evidence profile tables include details of the quality
42 assessment and pooled outcome data, where appropriate, an absolute measure of
43 intervention effect and the summary of quality of evidence for that outcome. In this table, the
44 columns for intervention and control indicate summary measures of effect and measures of
45 dispersion (such as mean and standard deviation or median and range) for continuous

1 outcomes and frequency of events (n/N: the sum across studies of the number of patients
2 with events divided by sum of the number of completers) for binary outcomes. Reporting or
3 publication bias was only taken into consideration in the quality assessment and included in
4 the clinical evidence profile tables if it was apparent.

5 The selection of outcomes for each review question was decided when each review protocol
6 was discussed with the Guideline Committee. However, given the nature of most of the
7 review questions included in this guideline many of which were not intervention reviews the
8 categorisation of outcomes as critical and important did not follow the standard GRADE
9 approach but could be related to which particular risk factor was important, whether
10 sensitivity or specificity would be given more weight, or the outcome maximal weight loss in
11 the early days was divided into three critical outcomes (what percentage of weight loss, when
12 it occurred and when weight would be regained). The outcomes were selected by the
13 Committee for a review question as critical for decision-making in a specific context and
14 recorded in the relevant review protocol.

15 The evidence for each outcome in interventional reviews was examined separately for the
16 quality elements listed and defined in Table 3. Each element was graded using the quality
17 levels listed in Table 4.

18 The main criteria considered in the rating of these elements are discussed below. Footnotes
19 were used to describe reasons for grading a quality element as having serious or very
20 serious limitations. The ratings for each component were summed to obtain an overall
21 assessment for each outcome (Table 5).

22 The GRADE toolbox is designed for intervention reviews of RCTs and observational studies.
23 For diagnostic accuracy, prognostic and prevalence reviews the evidence was assessed per
24 study level.

25 **Table 3: Description of quality elements in GRADE (see details in sections 3.5.1.1 to**
26 **3.5.1.4)**

Quality element	Description
Risk of bias (study limitations)	Limitations in the study design and implementation may bias the estimates of the treatment effect. High risk of bias for the majority of the evidence decreases confidence in the estimate of the effect.
Inconsistency	Inconsistency refers to an unexplained heterogeneity of results or findings.
Indirectness	Indirectness refers to differences in study population, intervention, comparator and outcomes between the available evidence and the review question, such that the effect estimate is changed. This can also related to applicability or generalisability of findings.
Imprecision	Results are imprecise when studies include relatively few patients and few events and thus have wide confidence intervals around the estimate of the effect. Imprecision occurs when the confidence interval is wide and crosses the minimally clinically important threshold.
Publication bias	Publication bias is a systematic underestimate or an overestimate of the underlying beneficial or harmful effect due to the selective publication

Quality element	Description
	of studies.

1 **Table 4: Levels of quality elements in GRADE level**

Levels of quality elements in GRADE level	Description
None	There are no serious issues with the evidence.
Serious	The issues are serious enough to downgrade the outcome evidence by 1 level.
Very serious	The issues are serious enough to downgrade the outcome evidence by 2 levels.

2 **Table 5: Overall quality of outcome evidence in GRADE level**

Overall quality of outcome evidence in GRADE level	Description
High	Further research is very unlikely to change our confidence in the estimate of effect.
Moderate	Further research is likely to have an important impact on our confidence in the estimate of effect and may change the estimate.
Low	Further research is very likely to have an important impact on our confidence in the estimate of effect and is likely to change the estimate.
Very low	Any estimate of effect is very uncertain.

3 **3.5.1 Grading the quality of clinical evidence**

4 After results were pooled, the overall quality of evidence for each outcome was considered.
5 The following procedure was adopted when using the GRADE approach:

- 6 • A quality rating was assigned based on the study design. For intervention reviews RCTs
7 start as high, observational studies as moderate and uncontrolled case series as low or
8 very low.
- 9 • The rating was then downgraded for the specified criteria: risk of bias (study limitations);
10 inconsistency; indirectness; imprecision; and publication bias. These criteria are detailed
11 below. Evidence from observational studies (which had not previously been downgraded)
12 was upgraded if there was a large magnitude of effect or a dose-response gradient, and if
13 all plausible confounding would reduce a demonstrated effect or suggest a spurious effect
14 when results showed no effect. Each quality element considered to have 'serious' or 'very
15 serious' risk of bias was rated down by 1 or 2 points respectively.
- 16 • The downgraded/upgraded ratings were then summed and the overall quality rating was
17 revised. For example, all RCTs started as high and the overall quality became moderate,
18 low or very low if 1, 2 or 3 points were deducted respectively.
- 19 • The reasons or criteria used for downgrading were specified in the footnotes.

20 The details of the criteria used for each of the main quality elements are discussed further in
21 section 3.5.1.1 below.

22 GRADE quality assessment was not performed for the reviews of prevalence, normal weight
23 loss in the early days of life or for prognostic reviews not involving predictive accuracy. In
24 these cases the quality of evidence was informed by the assessment of risk of bias.

1 3.5.1.1 Risk of bias

2 3.5.1.1.1 Intervention studies

3 Bias can be defined as anything that causes a consistent deviation from the truth. Bias can
4 be perceived as a systematic error that could lead to over or underestimation of the effect.

5 The risk of bias for a given study and outcome is associated with the risk of over- or
6 underestimation of the true effect.

7 The sources of risk of bias are listed in Table 6.

8 A study with a poor methodological design would lead to high risk of bias. However, the bias
9 is considered individually for each outcomes and subjectively reported outcomes will be more
10 prone to be affect by risk of bias than objective outcomes.

11 **Table 6: Risk of bias in randomised controlled trials**

Risk of bias	Explanation
Allocation concealment	Those enrolling patients are aware of the group to which the next enrolled patient will be allocated (this is a major problem in 'pseudo' or 'quasi' randomised trials with allocation by, for example, day of week, birth date, chart number).
Lack of blinding	Patient, caregivers, those recording outcomes, those adjudicating outcomes or data analysts are aware of the arm to which patients are allocated.
Incomplete accounting of patients and outcome events	Missing data not accounted for and failure of the trialists to adhere to the intention to treat principle when indicated.
Selective outcome reporting	Reporting of some outcomes and not others on the basis of the results.
Other risks of bias	For example: <ul style="list-style-type: none"> • stopping early for benefit observed in randomised trials, in particular in the absence of adequate stopping rules • use of unvalidated patient-reported outcomes • recruitment bias in cluster randomised trials.

12 3.5.1.1.2 Prognostic and clinical prediction rule studies

13 For prognostic and clinical prediction rule studies, quality was assessed using the Critical
14 Appraisal Skills Programme (CASP) Clinical Prediction Tool Checklist. This checklist consists
15 of 11 questions spread across 3 different sections – 'are the results valid?'; 'what are the
16 results?' and 'will the results help locally/ are the findings applicable to the scenario?'.

17 More details about the quality assessment for prognostic studies are shown in Table 7:

18 **Table 7: Risk of bias for prognostic factor studies**

Risk of bias	Explanation
Are the results of the study valid?	In order for a study to have valid results, it should present with a well-defined rule and derived from a well-represented spectrum of patients. Likewise, the predictor variables and outcome should be evaluated blinded and the statistical methods used to validate the rule should be clearly described.
What are the results?	This section refers to whether the performance of the rule could be calculated and whether the rule is robust enough.
Will the results	This domain refers to how direct are the findings in the study compared with

Risk of bias	Explanation
help locally/ are the findings applicable to the scenario?	the population it will be applied to and how applicable is the rule to a clinical setting (whether is reasonable and whether the information retrieved from it will change the management of the patient.

1 For prognostic reviews not involving predictive accuracy the CASP Clinical Prediction Tool
2 Checklist was used instead of GRADE to derive an overall quality for each study (low,
3 moderate or high) which was recorded in the summary of included studies table for each
4 review. A study with 9 to 11 positive answers on the checklist was rated high quality, 6-8
5 answers moderate quality and 0 to 5 low quality.

6 3.5.1.1.3 Prevalence studies

7 For prevalence studies the risk of bias was assessed using the Joanna Briggs Institute
8 Prevalence Critical Appraisal Tool (Munn 2014) which includes the critical issues of internal
9 and external validity for prevalence studies as shown in Table 8.

10 **Table 8: Risk of bias for prevalence studies**

Risk of bias	Explanation
Sample representative of target population	This refers to how well the characteristics of the sample in the study match the target population of interest.
Participants recruited appropriately	This refers to whether the method of recruitment could have biased the study population by excluding a subset of participants.
Sample size adequate	Ideally the study authors should have conducted a sample size calculation to ensure they included enough participants to produce a reliable estimate of prevalence.
Study subjects and setting described in detail	The demographics of the study subjects and details of the setting need to be reported in sufficient detail to decide whether they are relevant to the target population and setting.
Data analysis conducted with sufficient coverage of identified sample	If a large number of participants drop out of the study then its results may be biased if the participants who drop out have a higher or lower prevalence of the condition.
Objective criteria used for measurement of condition	The condition of interest should be measured using an agreed definition.
Condition measured reliably	Those who determined whether the condition was present or absent in the study participants should do so in an unbiased way.
Appropriate statistical analysis	The methods used to should be reported in sufficient detail and should be suitable for this purpose.
Important confounders accounted for	Prevalence may be associated with confounding factors and subgroups and it is important these are taken into account in the analysis.
Subpopulations identified using objective criteria	Subpopulations of interest should be identified using agreed definitions.

11

12 For prevalence reviews the Joanna Briggs Institute Prevalence Critical Appraisal Tool was
13 used instead of GRADE to derive an overall quality for each study (very low, low, moderate
14 or high) which was recorded in the summary of included studies table for each review. A
15 study with 10 positive answers on the checklist was rated high quality, 6-9 answers moderate
16 quality and 0 to 5 low quality.

1 **3.5.1.1.4 Studies of normal weight loss in the early days of life**

2 For studies of normal weight loss in the early days of life, risk of bias was assessed using the
3 Joanna Briggs Institute Prevalence Critical Appraisal Tool (Munn 2014) as shown in Table 8.
4 This checklist was chosen because relevant studies report the prevalence of weight loss
5 above various thresholds.

6 **3.5.1.1.5 Studies to identify differences in feeding and eating behaviour and practices between**
7 **infants and children with or without faltering growth**

8

9 For case control studies quality was assessed using the checklist for case- control studies
10 (Appendix H in the NICE guidelines manual 2012). The checklist assesses internal validity of
11 the study – selection of participants, assessment, confounding factors, and statistical
12 analysis-. The different domains are rated from well covered to not applicable. See Table 9
13 for a summary of the different domains.

14 **Table 9: Risk of bias for case-control studies**

Risk of bias	Explanation
Selection of participants	This refers to the population the participants were taken from; participation rate, inclusion and exclusion criteria and definition of cases and controls.
Assessment	This refers to when the measures were taken and exposure status as measured in a reliable way.
Confounding factors	Ideally the study authors would have accounted for those variables that are likely to have a hidden effect on the dependent variable in the design of the study as well as analysis.
Statistical analysis	The statistical analysis used should have reported confidence intervals.

15 This checklist was used instead of GRADE to derive an overall quality for each study (very
16 low, low, moderate or high) which was recorded in the summary of included studies table for
17 each review, and used in the evidence statements.

18 **3.5.1.2 Inconsistency**

19 Inconsistency refers to unexplained heterogeneity of effect estimates. When estimates of the
20 treatment effect, prognostic risk factor or diagnostic accuracy measures vary more widely
21 between studies than would be expected due to random error alone (that is, there is
22 heterogeneity or variability in results), this suggests true differences in underlying effects.

23 Heterogeneity in meta-analyses was examined; if present, sensitivity and subgroup analyses
24 were performed as pre-specified in the protocols (appendix D).

25 When heterogeneity existed (chi-squared probability less than 0.1, I-squared inconsistency
26 statistic of greater than 50%, or from visually examining forest plots), but no plausible
27 explanation could be found (for example duration of intervention or different follow-up
28 periods), the quality of the evidence was downgraded in GRADE by 1 or 2 levels, depending
29 on the extent of inconsistency in the results. When outcomes are derived from a single trial,
30 inconsistency is not an issue for downgrading the quality of evidence. However, 'no
31 inconsistency' is nevertheless used to describe this quality assessment in the GRADE tables.
32 In addition to the I-squared and chi-squared values and examination of forest plots, the
33 decision for downgrading was dependent on factors such as whether the uncertainty about
34 the magnitude of benefit (or harm) of the outcome showing heterogeneity would influence the
35 overall judgment about net benefit or harm (across all outcomes).

1 For diagnostic, clinical prediction rule and prognostic evidence, this was assessed visually
2 according to the differences in point estimates and overlap in confidence intervals. For
3 prognostic evidence this could be related to inconsistent findings across different studies for
4 the same risk factor or on the sensitivity / specificity forest plots (looking at the overlap of
5 confidence intervals) or the variability of study results in the summary ROC curve.

6 3.5.1.3 Indirectness

7 For quantitative reviews, directness refers to the extent to which the populations,
8 intervention/risk factor/index test, comparisons and outcome measures are similar to those
9 defined in the inclusion criteria for the reviews. Indirectness is important when these
10 differences are expected to contribute to a difference in effect size, or may affect the balance
11 of harms and benefits considered for an intervention, affect the accuracy estimate of the
12 index test or has an impact on the prognostic effect of a risk factor.

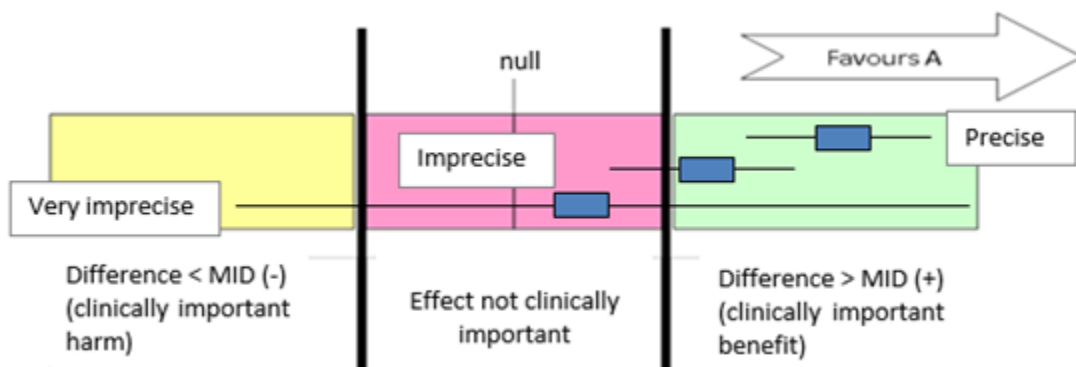
13 3.5.1.4 Imprecision

14 For intervention reviews, imprecision in guidelines concerns whether the uncertainty
15 (confidence interval) around the effect estimate means that it is not clear whether there is a
16 clinically important difference between interventions or not. This uncertainty is reflected in the
17 width of the confidence interval. Imprecision occurs when this confidence interval crosses a
18 clinical decision threshold that dictates recommending versus not recommending an
19 intervention

20 The 95% confidence interval (95% CI) is defined as the range within which we can be 95%
21 certain that the true effect lies. The larger the trial, the smaller the 95% CI and the more
22 certain the effect estimate.

23 Imprecision in the evidence reviews was assessed by considering whether the width of the
24 95% CI of the effect estimate was relevant to decision-making, considering each outcome in
25 isolation. This is explained in Figure 2, which considers a positive outcome for the
26 comparison of treatment A versus treatment B. Three decision-making zones can be
27 identified, bounded by the thresholds for clinical importance (minimal important difference,
28 MID) for benefit and for harm. The MID for harm for a positive outcome means the threshold
29 at which drug A is less effective than drug B by an amount that is clinically important to
30 patients (favours B).

Figure 2: Illustration of precise and imprecise outcomes based on the confidence interval of outcomes in a forest plot



31 When the confidence interval of the effect estimate is wholly contained in 1 of the 3 zones
32 (for example clinically important benefit), we are not uncertain about the size and direction of
33 effect (whether there is a clinically important benefit, or the effect is not clinically important, or
34 there is a clinically important harm), so there is no imprecision.

1 When a wide confidence interval lies partly in each of 2 zones, it is uncertain in which zone
2 the true value of effect estimate lies, and therefore there is uncertainty over which decision to
3 make (based on this outcome alone). The confidence interval is consistent with 2 possible
4 decisions and so this is considered to be imprecise in the GRADE analysis and the evidence
5 is downgraded by 1 level ('serious imprecision').

6 If the confidence interval of the effect estimate crosses into 3 zones, this is considered to be
7 very imprecise evidence because the confidence interval is consistent with 3 possible clinical
8 decisions, and there is therefore a considerable lack of confidence in the results. The
9 evidence is therefore downgraded by 2 levels in the GRADE analysis ('very serious
10 imprecision').

11 Implicitly, assessing whether the confidence interval is in, or partially in, a clinically important
12 zone, requires the Committee to estimate an MID or to say whether they would make
13 different decisions for the 2 confidence limits.

14 The literature was searched for established MIDs for the selected outcomes in the evidence
15 reviews, such as symptom measurement tools. In the absence of published MIDs, the
16 Committee was asked whether they were aware of any acceptable MIDs in the clinical
17 community. Finally, the Committee considered whether it was clinically acceptable to use the
18 GRADE default MID to assess imprecision: for binary outcomes a 25% relative risk increase
19 and the related relative risk reduction was used, which corresponds to clinically important
20 thresholds for a risk ratio of 0.8 and 1.25 respectively (due to the statistical characteristic of
21 this measure this means that this is not a symmetrical interval). This default MID for relative
22 effect was used for all the binary outcomes in intervention reviews unless the Committee
23 suggested a more appropriate value, such as an absolute risk difference criterion. For
24 continuous outcomes default MIDs were also used. These use half of the median standard
25 deviation of the control group.

26 For clinical prediction models (such as weight loss thresholds for concern) the Committee
27 first considered whether sensitivity or specificity would be given more weight in the decision-
28 making process. If one measure was given more importance than the other, then imprecision
29 was rated on this measure. If the Committee could not agree clinically relevant thresholds of
30 sensitivity or specificity then default values were used: less than 75% being low, 75% to 90%
31 moderate and above 90% high sensitivity or specificity.

32 MIDs for prognostic factors were derived through Committee discussion of the size of the
33 association between risk factor and outcome taking into account whether possible important
34 confounding factors were considered in the analysis.

35 **3.5.2 Assessing clinical significance (of intervention effects)**

36 The Committee assessed the evidence by outcome in order to determine if there was, or
37 potentially was, a clinically important benefit, a clinically important harm or no clinically
38 important difference between interventions. To facilitate this, where possible, binary
39 outcomes were converted into absolute risk differences (ARDs) using GRADEpro software:
40 the median control group risk across studies was used to calculate the ARD and its 95% CI
41 from the pooled risk ratio. For continuous outcomes, the mean difference between the
42 intervention and control arm of the trial was calculated. This was then assessed in relation to
43 the default MID (0.5 times the median control group standard deviation).

44 The assessment of clinical benefit or harm, or no benefit or harm, was based on the agreed
45 MID of the effect, taking into consideration the precision around the effect estimate.

46 This assessment was carried out by the Committee for each critical outcome, and an
47 evidence summary table (used in the Committee meetings, but not presented in this
48 guideline) was produced to compile the Committee's assessments of clinical importance per

1 outcome, alongside the evidence quality and the uncertainty in the effect estimate
2 (imprecision).

3 **3.5.3 Assessing clinical significance (of prognostic effects or clinical prediction** 4 **models)**

5 Absolute risk differences were not calculated for prognostic findings in this guideline. The
6 Committee considered the size of the relative effects and whether this was large enough to
7 constitute a sign or symptom predicting the outcome of interest. The usefulness of clinical
8 prediction models, such as weight loss thresholds for concern, was judged by combining
9 evidence about their accuracy with baseline risk to estimate the proportion who would be
10 misclassified, taking into consideration the consequences of false positive or false negative
11 classification.

12 **3.5.4 Evidence statements**

13 Evidence statements summarise the key features of the clinical evidence. The wording of the
14 evidence statements reflects the certainty or uncertainty in the estimate of effect.

15 The evidence statements for intervention reviews are presented by outcome, and
16 encompass the following key features:

- 17 • the quality of the evidence (GRADE rating)
- 18 • the number of studies and the number of participants for a particular outcome
- 19 • an indication of the direction of effect (for example, if a treatment is clinically significant
20 [beneficial or harmful] compared with another, or whether there is no difference between
21 the tested treatments).

22 The evidence statements for prognostic, prediction model or prevalence reviews include the
23 following

- 24 • the quality of the evidence (using modified GRADE rating for prediction models, or
25 otherwise based on the study level risk of bias)
- 26 • the number of studies and the number of participants for a particular risk factor, prediction
27 model or prevalence estimate
- 28 • a summary of the effect size of the prognostic factor, magnitude of the prevalence
29 estimate or accuracy of the prediction model.

30 **3.6 Evidence of cost effectiveness**

31 The aims of the health economic input to the guideline were to inform the Guideline
32 Committee of potential economic issues related to the management of faltering growth to
33 ensure that recommendations represented a cost-effective use of healthcare resources.
34 Health economic evaluations aim to integrate data on healthcare benefits (ideally in terms of
35 quality-adjusted life-years (QALYs)) with the costs of different care options. In addition, the
36 health economic input aimed to identify areas of high resource impact; recommendations
37 which – while nevertheless cost-effective – might have a large impact on CCG or Trust
38 finances and so need special attention.

39 The Committee prioritised a single economic model on service delivery where it was thought
40 that economic considerations would be particularly important in formulating
41 recommendations and a review of the health economic literature was undertaken. There
42 were concerns in the Committee that their recommendations might represent a high resource
43 impact, but the economic model suggested that savings in the healthcare system offset a
44 large part of this impact. For economic evaluations, no standard system of grading the quality
45 of evidence exists and included papers were assessed using the economic evaluations
46 checklist as specified in the NICE guidelines manual.

1 Economic modelling was undertaken for a review question on monitoring suspected faltering
2 growth. This was because it was thought that the Committee may want to make
3 recommendations which were high resource impact, although the clinical evidence base did
4 not support such recommendations. The Committee did not prioritise the health economic
5 mode for this question as a lack of input data meant it could only function as a 'what if'
6 analysis.

7 No economic analysis was undertaken for a question on interventions (nutritional or non-
8 nutritional). While such an economic model might be valuable in deciding on the allocation of
9 scarce NHS resources, no evidence was uncovered which might populate an economic
10 model which meant that no model could be constructed.

11 No economic evaluation was undertaken for questions on risk factors, information and
12 support, assessment, thresholds, differences between faltering growth and non-faltering
13 growth or prevalence as it was agreed with the Committee that these reviews would focus
14 primarily on the content and quality of information which is given to patients and clinicians
15 respectively rather than whether the provision of such information represented a cost-
16 effective use of NHS resources, which was thought to be clinically uncontroversial. Therefore
17 these questions were not primarily about competing alternative uses for NHS resources and
18 therefore were not considered suitable for economic analysis.

19 No economic analysis was undertaken for a question on referral to secondary care. This
20 question was of a high health economic importance as the potential quality of life impact for
21 misdiagnosing faltering growth and exposing a child to the potential harms of hospital is high,
22 and potentially lifelong. However in order to perform a reasonable economic analysis on this
23 question it would have been necessary to consider the cost-effectiveness of the treatment
24 pathway for each possible reason to refer, some of which would be sensible referrals but –
25 on further assessment – not turn out to be faltering growth. Some of these pathways have
26 existing NICE guidance but some do not, which would have required de novo modelling
27 (taking away resources from the main health economic guideline). For this question it was
28 agreed with the Committee that health economic input would be limited to resource impact
29 and analysis, with a full health economic evaluation being left until all possible referral
30 pathways had been costed in other NICE Guidelines.

31 **3.7 Developing recommendations**

32 Over the course of the guideline development process, the Guideline Committee was
33 presented with:

- 34 • evidence tables of the clinical and economic evidence reviewed from the literature: all
35 evidence tables are in Appendix H
- 36 • summary of clinical and economic evidence and quality assessment (as presented in
37 Chapters 4 to 11)
- 38 • forest plots (Appendix J)
- 39 • a description of the methods and results of the cost-effectiveness analysis undertaken for
40 the guideline (Appendix L).

41 Recommendations were drafted on the basis of the group's interpretation of the available
42 evidence, taking into account the balance of benefits, harms and costs between different
43 courses of action. This was either done formally, in an economic model, or informally. Firstly,
44 the net benefit over harm (clinical effectiveness) was considered, focusing on the critical
45 outcomes, although most of the reviews in the guideline were outcome driven. When this
46 was done informally, the group took into account the clinical benefits and harms when one
47 intervention was compared with another. The assessment of net benefit was moderated by
48 the importance placed on the outcomes (the group's values and preferences), and the
49 confidence the group had in the evidence (evidence quality). Secondly, the group assessed
50 whether the net benefit justified any differences in costs.

1 When clinical and economic evidence was of poor quality, conflicting or absent, the group
2 drafted recommendations based on their expert opinion. The considerations for making
3 consensus-based recommendations include the balance between potential harms and
4 benefits, the economic costs or implications compared with the economic benefits, current
5 practices, recommendations made in other relevant guidelines, patient preferences and
6 equality issues. The group also considered whether the uncertainty was sufficient to justify
7 delaying making a recommendation to await further research, taking into account the
8 potential harm of failing to make a clear recommendation.

9 The wording of recommendations was agreed by the group and focused on the following
10 factors:

- 11 • the actions healthcare professionals need to take
- 12 • the information readers need to know
- 13 • the strength of the recommendation (for example the word 'offer' was used for strong
14 recommendations and 'consider' for weak recommendations)
- 15 • the involvement of patients (and their carers if needed) in decisions about treatment and
16 care
- 17 • consistency with NICE's standard advice on recommendations about drugs, waiting times
18 and ineffective intervention.

19 The main considerations specific to each recommendation are outlined in the
20 'Recommendations and link to evidence' sections within each chapter.

21 **3.7.1 Research recommendations**

22 When areas were identified for which evidence was lacking, the group considered making
23 recommendations for future research according to the NICE process and methods guide for
24 research recommendations. Decisions about inclusion were based on factors such as:

- 25 • the importance to patients or the population
- 26 • national priorities
- 27 • potential impact on the NHS and future NICE guidance
- 28 • ethical and technical feasibility.

29 **3.7.2 Validation process**

30 This guidance is subject to a 6-week public consultation and feedback as part of the quality
31 assurance and peer review of the document. All comments received from registered
32 stakeholders are responded to in turn and posted on the NICE website when the pre-
33 publication check of the full guideline occurs.

34 **3.7.3 Updating the guideline**

35 Following publication, and in accordance with the NICE guidelines manual, NICE will
36 undertake a review of whether the evidence base has progressed significantly to alter the
37 guideline recommendations and warrant an update.

4 Weight loss in the early days of life

4.1 Normal limits of maximal weight loss

Review question: What are the normal limits of maximal weight loss in the first two weeks of life?

4.1.1 Introduction

The aim of this review was to address the topic of identifying infants with weight loss that ought to raise concerns and at which time point in the first weeks it should be measured to best capture those infants that may need an intervention. To do this the report summarised the normal range of weight loss in the first weeks of life and when weight reaches its lowest point (nadir).

For full details see review protocol in Appendix D.

4.1.2 Description of clinical evidence

Nine studies (N=171,562) were included in the review.

Most of these studies focus on the first 3 to 4 days of life only (Bertini, 2015; Davanzo 2013; Flaherman 2010, 2013, 2015; Martens 2007; Miller 2015). Two studies include weight loss measures beyond this follow-up, i.e. Macdonald 2003 up to 12 days, and Wright 2004 up to 6-8 weeks.

Due to differences in maximal weight loss, evidence was divided according to type of feeding:

- breastfed infants
 - formula fed infants
- as well as, mode of birth:
- vaginal birth
 - caesarean birth.

It was then determined what the time to maximal weight loss was and what percentage of weight loss was reported at this time point. We then reported the 50th, 95th and 97.5th centile points at times reported in the studies.

Evidence from these studies is summarised in the clinical evidence profiles below (Table 11, Table 12, and Table 13). See also the study selection flow chart in Appendix F, summary charts in Appendix I, study evidence tables in Appendix G and exclusion list in Appendix H.

4.1.3 Summary of included studies

A summary of the included studies is presented in Table 10.

Table 10: Summary of included studies

Study, country	Sample	Follow-up	Subgroups analysed	Outcomes
Bertini (2015) Italy	N=1760 Breastfed, vaginal birth	72 hours	Male, female	Maximal weight loss (%), percentile curves, nadir time point
Davanzo (2013)	N=1003	Median 72 hours (IQR 48 – 96)	Formula fed, Breastfed exclusive,	Maximal weight loss (%)

Study, country	Sample	Follow-up	Subgroups analysed	Outcomes
Italy			Breastfed partial, caesarean section, vaginal birth	
Flaherman (2010) USA	N=1049	39 hours	Breastfed exclusive, Breastfed partial	Maximal weight loss (%), nadir time point
Flaherman (2013) USA	N=47,687 Breastfed	Mean 48 hours (SD 24)	Breastfed exclusive, Breastfed partial	Maximal weight loss (%)
Flaherman (2015) USA	N=108,907 Breastfed	72 hours (vaginal delivery) to 96 hours (Caesarean)	Caesarean section, vaginal birth	Maximal weight loss (%), percentile curves, nadir time point, nomogram
Macdonald (2003) UK	N=971	288 hours	Formula fed, Breastfed exclusive, Breastfed partial	Maximal weight loss (%), nadir time point, time to regain birthweight
Martens (2007) Canada	N=812	Mean 59 hours (SD 30 hours)	Formula fed, Breastfed exclusive, Breastfed partial	Mean weight loss (%)
Miller (2015) USA	N=7075 Formula fed	Mean 46 hours (vaginal birth) to 72 hours (Caesarean)	Caesarean section, vaginal birth	Maximal weight loss (%), percentile curves, nadir time point, nomogram
Wright (2004) UK	N=961	Weights at birth, 5 days, 12 days and 6 - 8 weeks	-	Maximal weight loss (%)

1 IQR interquartile range; SD standard deviation.

2

3 4.1.4 Clinical evidence profile

4 The clinical evidence profiles for this review question (normal weight loss in the first two
5 weeks) are presented in Table 11, Table 12 and Table 13. These tables summarise results
6 for each outcome across studies. When several studies reported findings for the same
7 outcome, results were presented as ranges of percentages, hours or days. Quality was then
8 rated for each study.

9 **Table 11: Summary clinical evidence profile for weight loss in exclusively breastfed**
10 **infants**

Weight loss in exclusively breastfed infants							
Outcomes	Results					No of Participants (studies)	Quality of the evidence ³
Time of weight nadir ¹	Range 44 to 65 hours					N= 111,087 (3 studies)	Moderate for all studies ^{4,5}
Maximum weight loss ²	Birth type	50th centile	95th centile	97.5th centile	N babies	N= 137,495 (5 studies)	Moderate for all studies ⁴
	Vaginal	6.0% to 7.4%	8.8% to 10.6%	9.4%	85,193		

Weight loss in exclusively breastfed infants							
	Caesarean	8.6%	11.7%	-	25,474		
	Not specified	5.5% to 6.6%	9.7% to 12.5%	10.6% to 13.8%	26,828		
	All combined	5.5% to 8.6%	8.8% to 12.5%	9.4% to 13.8%	137,495		
Time to return to birth weight (days)	Birth type	Median	95th centile	97.5th centile	N babies	N=395 (1 study)	Low ⁶
	Not specified	8.3	18.7	21.0	395		

1 Mean time between birth and the lowest weight reached

2 Compared to birth weight

3 Assessed using the JBI prevalence checklist published by Munn 2014

4 Studies typically used weights routinely collected during hospital stay, detail about method of weighing was lacking, non-UK studies had potential demographic and maternity care differences to the UK population

5 Mothers and babies were often discharged before the weight nadir was reached.

6 Birth weight was not reported, method of delivery not reported. Time to regain birth weight was estimated in some infants who did not have a weight actually measured above birth weight.

Table 12: Summary clinical evidence profile for weight loss in partially breastfed infants

Weight loss in partially breastfed infants							
Outcomes	Results					No of Participants (studies)	Quality of the evidence ³
Time of weight nadir ¹	Range 39 to 60 hours					N= 1118 (2 studies)	Moderate for all studies ^{4,5}
Maximum weight loss ²	Birth type	50th centile	95th centile	97.5th centile	N Babies	N= 49,747 (5 studies)	Moderate for all studies ⁴
	Vaginal	-	-	-	-		
	Caesarean	-	-	-	-		
	Not specified	5.5% to 6.3%	9.5% to 12.0%	10.2% to 13.2%	49,747		
Time to return to birth weight (days)	Birth type	Median	95th centile	97.5th centile	N babies	N=116 (1 study)	Low ⁶
	Not specified	7.9	19.0	NR	116		

NR not reported.

1 Mean time between birth and the lowest weight reached

2 Compared to birth weight

3 Assessed using the checklist published by Munn 2014

4 Studies typically used weights routinely collected during hospital stay, detail about method of weighing was lacking, non-UK studies had potential demographic and maternity care differences to the UK population

5 Studies typically used weights routinely collected during hospital stay, mothers and babies were often discharged before the weight nadir was reached.

6 Birth weight was not reported, method of delivery not reported. Time to regain birth weight was estimated in some infants who did not have a weight actually measured above birth weight.

Table 13: Summary clinical evidence profile for weight loss in formula fed infants

Weight loss in formula fed infants							
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Weight loss in formula fed infants							
Outcomes	Results					No of Participants (studies)	Quality of the evidence ³
Time of weight nadir ¹	Range 48 to 65 hours					N= 7471 (2 studies)	Moderate for all studies ^{4,5}
Maximum weight loss ²	Birth type	50th centile	95th centile	97.5th centile	N Babies	N= 7915 (4 studies)	Moderate for all studies ⁴
	Vaginal	2.9%	6.3%	-	4525		
	Caesarean	3.7%	6.8%	-	2550		
	Not specified	2.4% to 7.5%	7.5% to 11.6%	8.5% to 12.2%	840		
	All combined	2.4% to 7.5%	6.3% to 11.6%	8.5% to 12.2%	7915		
Time to return to birth weight (days)	Birth type	Median	95th centile	97.5th centile	N babies	N=389 (1 study)	Low ⁶
	Not specified	6.5	14.5	16.7	389		

1 *1 Mean time between birth and the lowest weight reached*

2 *2 Compared to birth weight*

3 *3 Assessed using the checklist published by Munn 2014*

4 *4 Studies typically used weights routinely collected during hospital stay, detail about method of weighing was lacking, non-UK studies had potential demographic and maternity care differences to the UK population*

5 *5 Studies typically used weights routinely collected during hospital stay, mothers and babies were often discharged before the weight nadir was reached.*

6 *6 Birth weight was not reported, method of delivery not reported. Time to regain birth weight was estimated in some infants who did not have a weight actually measured above birth weight.*

10 4.1.5 Economic evidence

11 As this question does not concern the competing uses of NHS resources it was not
12 prioritised for health economic analysis. No health economic evidence was identified for this
13 topic from the overall health economic search.

14 4.1.6 Clinical evidence statements

15 The timing of maximal weight loss (the weight nadir) was reported by 6 studies including
16 119,676 infants. Moderate quality evidence suggested this weight nadir is typically reached
17 between 2 and 3 days after birth, regardless of method of delivery (vaginal birth versus
18 Caesarean section) or feeding type (exclusively breast fed, partially breast fed or formula
19 fed).

20 The maximal weight loss for exclusively breast fed infants was reported by 5 studies
21 including 137,495 infants. Moderate quality evidence indicated a mean or median maximal
22 weight loss ranging from 5.5% to 8.6%. The 95th percentile for maximal weight loss ranged
23 from 8.8% to 12.5%, and the 97.5th percentile ranged from 9.4% to 13.8%.

24 The maximal weight loss for partially breast fed infants was reported by 5 studies including
25 49,747 infants. Moderate quality evidence indicated a mean or median maximal weight loss
26 ranging from 5.5% to 6.3%. The 95th percentile for maximal weight loss ranged from 9.5% to
27 12.0%, and the 97.5th percentile ranged from 10.2% to 13.2%.

The maximal weight loss for formula fed infants was reported by 4 studies including 7915 infants. Moderate quality evidence indicated a mean or median maximal weight loss ranging from 2.4% to 7.5%. The 95th percentile for maximal weight loss ranged from 6.3% to 11.6%, and the 97.5th percentile ranged from 8.5% to 12.2%.

Low quality evidence from one study including 971 infants indicated that most had returned to their birthweight by 21 days. The 97.5th percentile for time taken to return to birthweight ranged from 16.7 days for formula fed infants to 21.0 days for exclusively breastfed infants.

4.1.7 Evidence to recommendation

The Committee agreed that the reviews for normal weight loss and adverse events related to weight loss thresholds are intrinsically the linked and one cannot be considered without the other in isolation. Evidence from both was discussed together to draft recommendations and therefore rationale for these is provided in section 4.3).

4.2 Percentage birth weight loss associated with adverse outcomes

Review question: In infants under 4 weeks what percentage of weight loss is associated with adverse outcomes?

4.2.1 Introduction

This review aimed to determine the thresholds of weight loss in babies under 4 weeks that would lead to adverse events. For full details see review protocol in Appendix D.

4.2.2 Description of clinical evidence

Two studies were identified. One retrospective cohort study (N=874) from Taiwan was included in the review (Chang 2010). There were only available data for a threshold of 8% weight loss at 2 days after birth and a threshold of 11% at 3 days after birth. Evidence for these outcomes is summarised in Table 15.

One retrospective cohort study (N=1003) from Italy was also found (Davanzo 2013), although the design of the study did not directly satisfy the protocol criteria. However, it provided directly relevant data for the number of hypernatraemic infants above and below a threshold of 8% birth weight loss during the hospital stay immediately after birth. Evidence for this outcome is summarised in the clinical GRADE evidence profile below (Table 15).

See also the study selection flow chart in Appendix F, study evidence tables in Appendix G, full modified GRADE profile in Appendix J, forest plots in Appendix I and exclusion list in Appendix H.

4.2.3 Summary of included studies

A summary of the included studies is presented in Table 14.

Table 14: Summary of included studies

Study, country	Sample	Follow-up	Subgroups analysed	Outcomes
Chang 2010 (Taiwan)	N=874 (219 infants with hyperbilirubinemia, 655 infants)	72 hours after birth	Above and below birth weight loss percentage at 2 and 3 days after	Development of hyperbilirubinemia at 72 hours after birth

Study, country	Sample	Follow-up	Subgroups analysed	Outcomes
	without) Exclusively breastfed, gestational age ≥ 35 weeks, birth weight >2500 g		birth	
Davanzo 2013 (Italy)	N=1003 Exclusively breastfed or caesarean section, discharge age ≥ 36 hours	Within 2-4 days after discharge	Above and below 8% birth weight loss at any time during hospital stay	Number of non-hypernatraemic infants [serum sodium concentration ≤ 150 mEq/L]

1 mEq/L milliequivalent per litre

2 4.2.4 Clinical evidence profile

3 The clinical evidence profile for this review question is presented in Table 15.

4 **Table 15: Summary clinical evidence profile for percent birth weight loss thresholds**
5 **on 2nd and 3rd days of life to predict adverse outcomes in exclusively**
6 **breastfed neonates**

No of studies	N	Sensitivity [95% CI]	Specificity [95% CI]	LR+ [95% CI]	LR- [95% CI]	Quality
Weight loss of 8% or more of birth weight on day 2 of life to predict hyperbilirubinemia measured with AAP-2004 criteria						
1	874	0.47 [0.40, 0.53]	0.62 [0.59, 0.66]	1.24 [1.04, 1.47]	0.86 [0.75, 0.98]	Low ^{1,2}
Weight loss of 11% or more of birth weight on day 3 of life to predict hyperbilirubinemia measured with AAP-2004 criteria						
1	874	0.12 [0.08, 0.17]	0.94 [0.92, 0.95]	1.90 [1.19 to 3.03]	0.94 [0.89, 0.99]	Low ^{1,2}
Weight loss of 8% or more of birth weight (median follow up 3 days) to predict hypernatraemia measured with sodium concentration level >145 mEq/L						
1	1001	1.00 [0.16, 1.00]	0.73 [0.70, 0.76]	3.73 [1.85, 5.20]	Cannot calculate	Very low ^{1,2,3}

7 AAP, American Academy of Pediatrics; CI, confidence interval; mEq/L, milliequivalent per litre; LR+, positive likelihood ratio; LR-, negative likelihood ratio

8 ¹ Downgraded one level for risk of bias – people evaluating outcomes knew the weight loss group

9 ² Downgraded one level for indirectness - not 10% birth weight loss threshold (as specified in the review protocol).

10 ³ Downgraded one level for imprecision - The judgement of precision was based on the confidence interval of sensitivity as this was considered to be the primary measure of interest. The 95% CI crosses both 75% and 90% thresholds defining moderate and high sensitivity, and the result was judged to be seriously imprecise

14 4.2.5 Economic evidence

15 As this question does not concern the competing uses of NHS resources it was not
16 prioritised for health economic analysis. No health economic evidence was identified for this
17 topic from the overall health economic search.

1 **4.2.6 Clinical evidence statements**

2 Low quality evidence from 1 retrospective cohort study (n=874) indicates that birth weight
3 loss thresholds of 8% at two days after birth and 11% at three days after birth have low
4 sensitivity for hyperbilirubinaemia. Most infants with hyperbilirubinaemia had lost less birth
5 weight than these threshold values and would be missed if weight loss was used as the sole
6 criterion for selecting those at risk of hyperbilirubinaemia.

7 Very low quality evidence from 1 retrospective cohort study (n=1003) suggests that a
8 percentage birth weight loss below a threshold of 8% at any time during hospital stay
9 immediately after birth has high sensitivity for hypernatraemia, although there is uncertainty
10 around this estimate. According to this evidence a weight loss of less than 8% during hospital
11 stay could be useful in ruling out hypernatraemia.

12 **4.3 Evidence to recommendations (based on evidence from** 13 **sections 4.1 and 4.2)**

14 **4.3.1 Relative value placed on the outcomes considered**

15 The aim of this review was to address the topic of identifying infants with weight loss that
16 ought to raise concerns and at which time point in the first weeks it should be measured to
17 best capture those infants who may need intervention.

18 For normal limits of maximal weight loss, the main outcomes that the Committee considered
19 for decision-making were the average weight loss observed in newborn babies in their first
20 two weeks of life, the variation of this weight loss and the timing of maximal weight loss.
21 Despite the outcomes were divided between breast fed, formula fed and breastfed partially
22 infants, the Committee decided that the pattern of weight-loss seen in breastfed babies
23 should be used as a guide for all babies, as it is explained in section 4.3.2. The Committee
24 also discussed that it is important to know how long it would take infants to regain weight.

25 For percentage of weight loss associated with adverse outcomes, no evidence was retrieved
26 for the outcomes listed as important and critical in the protocol. However, other adverse
27 events often seen in neonates under 4 weeks old above and below a birth weight loss
28 threshold of 10% such as hyperbilirubinemia and hypernatremia considered a proxy and
29 taken into consideration for decision-making. Along with these, the Committee also took into
30 account other adverse outcomes often seen in clinical practice.

31 **4.3.2 Consideration of clinical benefits and harms**

32 The Committee discussed the evidence that weight loss typically reaches its lowest point by
33 2 to 3 days after birth and 5% of breastfed babies lose 11% or more of their birth weight. The
34 Committee agreed that if healthcare workers were aware of usual weight loss patterns after
35 birth that they could reassure parents and avoid unnecessary interventions for the baby.
36 Potential harms associated with unnecessary interventions include, parental anxiety, harms
37 due to admission to hospital and harms due to supplementary feeding.

38 The Committee deliberated on the different thresholds of weight loss that were reported for
39 breastfed and bottle fed babies. The evidence indicated that bottle fed babies initially lose
40 less weight. This is perhaps to be expected given the normal volumes of colostrum in the
41 early days compared to volumes of formula milk often offered. The pattern of weight-loss
42 seen in breastfed babies should thus be used as a guide for all babies, as breastfeeding is
43 the physiological norm. The Committee therefore agreed to set the same recommendations
44 for all babies.

45 The Committee acknowledged that weight loss is usually due to body fluid shifts in the early
46 days of life. If this was associated with clinical evidence of dehydration it would be

1 pathological and a reason for intervention. The Committee agreed that it would be important
2 to evaluate an infant's feeding as recommended if the weight loss was sufficient to raise
3 some concern (more than 10%) and that the individual who observes the feeds has the
4 relevant and appropriate expertise to do this. Usually this would provide sufficient information
5 to plan care for the infant but in some circumstances further investigations may be needed.

6 The infant who has lost more than 10% of their birth weight or who had not returned to their
7 birth weight by 3 weeks should be assessed for signs of effective feeding, milk transfer, urine
8 and stool output, and signs of illness including jaundice and dehydration. In relation to the
9 time to regain weight the Committee agreed that the 3 weeks that were reported in the
10 evidence were a good estimate for the time after which concerns should be raised. The
11 Committee considered that appropriate interventions and support could then be offered.

12 The Committee recognised that weight loss is not the only indication of an unwell baby. They
13 thought it important that healthcare professionals had a clear pathway to seek advice and
14 medical or specialist feeding assessment if there were any concerns about weight loss or an
15 apparently unwell infant. The Committee thought that such a pathway would help minimise
16 the harms of delayed admission or assessment when it was necessary.

17 **4.3.3 Consideration of economic benefits and harms**

18 Any recommendation made in this area is likely to carry an indirect cost since identifying the
19 thresholds of normal weight loss implies that some babies might have abnormal weight loss
20 requiring treatment; this treatment is likely to carry an economic cost even if the baby is
21 perfectly healthy. The alternative, failing to identify when babies do in fact need treatment, is
22 likely to incur a significant financial and quality of life burden as these babies are unlikely to
23 receive appropriate management and thus might present with more significant conditions
24 later on in their life.

25 Committee opinion is that the indirect effects are likely to tend towards a saving to the NHS.
26 In their opinion having a clearly identified threshold of usual weight loss will help caregivers
27 identify when to suspect faltering growth, and health professionals will be able to reassure
28 parents more effectively that lesser amount of weight loss are within the expected range.
29 There is likely to be a quality of life improvement related to this cost saving, but there is no
30 economic evidence comparing the magnitude of this quality of life improvement with the size
31 of the cost saving, so it is not possible to determine which factor will ultimately be more
32 important to the NHS.

33 In terms of highly indirect costs, there is evidence that continued breastfeeding can reduce
34 overall healthcare spending by making certain illnesses less likely and promoting robust
35 health generally. As this effect is ongoing over the lifetime of the child, it is likely that
36 relatively small investments made in breastfeeding support early will be cost-effective given
37 the accumulation of QALYs and costs offset over the lifetime of the child.

38 If there is any direct resource impact associated with the first of these recommendations, it is
39 likely to be minimal; simply informing parents of the normal limits of weight loss and
40 answering questions they might have. Consequently the resource impact of these
41 recommendations will not be above the NICE 'high impact' threshold of £1 million per
42 recommendation. Subsequent recommendations – such as that to carry out a clinical
43 assessment - may carry a direct cost impact but it is thought unlikely that this would be 'high
44 impact' as these assessments are already carried out in the NHS and the recommendations
45 refine under what circumstances they should be offered.

46 **4.3.4 Quality of evidence**

47 The quality of the evidence about the normal limits of weight loss ranged from low to
48 moderate, using the Munn 2014 quality checklist. The included studies typically used

1 routinely collected measurements during hospital stay and detail about the method of
2 weighing was lacking. After vaginal birth, mothers and babies were often discharged from
3 hospital before maximum weight loss occurred. The largest studies were carried out in the
4 USA, with potential demographic and maternity care differences to the UK population.
5 Consequently, the Committee noted that there were some issues regarding indirectness of
6 the setting.

7 The quality of the evidence about percentage of weight loss associated with adverse
8 outcomes was very low to low as assessed by modified GRADE.

9 For the domain risk of bias, the studies were assigned 'serious risk of bias' since the
10 outcome assessors were aware of group allocation and the design of the studies was
11 retrospective. However, the Committee noted that the studies were well powered and that
12 the time between delivery and evaluation of adverse outcomes was appropriate.

13 No serious issues were found regarding inconsistency (heterogeneity), only single studies
14 were included.

15 Some issues regarding indirectness were also identified in this review question. The
16 Committee discussed that the participants in one of the studies did not present with the 10%
17 birth weight loss threshold and that the study was carried out in Japan, fact that raises
18 concerns about applicability of the study to the UK setting. Along with this, the Committee
19 noted that one of the studies did not control for confounding variables.

20 **4.3.5 Other considerations**

21 The Committee also discussed the need for consistent implementation of the
22 recommendations into local pathways for assessment, support and referral when concerns
23 about weight loss are raised.

24 It was agreed that early weight loss is not unusual and that therefore this is a distinct
25 population in this guideline. Infants losing weight during these first few days (early days)
26 would not be classified as having faltering growth. The Committee therefore agreed that
27 these reviews and recommendations should be separated from the rest of the faltering
28 growth guidance and that a preamble would be needed for this set of recommendations to
29 highlight the difference between these sections.

30 **4.3.6 Key conclusions**

31 Based on the available evidence the Committee extrapolated from indirect evidence of
32 normal weight loss to make recommendations. Even though evidence for adverse events
33 came from large data sets, it did not demonstrate an optimal weight loss threshold that
34 identifies babies at risk of adverse outcomes. They therefore extrapolated from these reviews
35 and their experience and expertise to provide a threshold (10% weight loss) that would not
36 identify too many babies whilst capturing those where concerns would be justified.

37 **4.4 Recommendations**

38 Some weight loss in the first days after birth (referred to in this guideline as the early days of
39 life) is normal and usually relates to body fluid adjustments. Sometimes there may be reason
40 for concern about weight loss in the early days of life, which may need assessment and
41 intervention. For this reason weight loss in the early days of life is dealt with separately in this
42 guideline from concerns about weight loss or inadequate weight gain in older infants and
43 children, which is often related to nutritional intake.

44 **1. Be aware that:**

- 45 • it is common for infants to lose some weight during the early days of life.

- this weight loss usually stops after about 3 or 4 days of life.
- most infants have returned to their birth weight by 3 weeks of age.

2. If infants in the early days of life lose more than 10% of their birth weight or they have not returned to their birth weight by 3 weeks of age:

- perform a clinical assessment, looking for signs of illness such as dehydration
- take a detailed feeding history (see NICE's guideline on Postnatal care up to 8 weeks after birth)
- consider direct observation of feeding
- ensure observation of feeding, if needed, is done by an individual with appropriate training and expertise (for example, in relation to breastfeeding and bottle feeding)
- perform further investigations only if they are indicated based on the clinical assessment.

3. If infants lose more than 10% of their birth weight in the early days of life or they have not returned to their birth weight by 3 weeks of age, consider:

- referral to paediatric services if there is evidence of illness, marked weight loss, or failure to respond to interventions (see recommendation 17)
- when to reassess if not referred to paediatric services.

4.5 Research recommendation

1. What is the effectiveness of feeding interventions compared to usual care/ advice for breastfed neonates (28 days) with weight loss of greater than 10%?

Why this is important

Weight loss in breastfeeding infants in the first month of life can cause anxiety for parents and health care professionals. It can also incur costs to the NHS from admissions of the infant to hospital, with the potential for cessation of exclusive breastfeeding with its associated long-term health benefits.

Practice varies across the UK. Robust evidence of outcomes can inform practice, potentially reducing unnecessary and costly interventions and supporting parent-infant relationships and physical and emotional health.

Table 16: Research recommendation rationale

Research question	What is the effectiveness of feeding interventions compared to usual care/ advice for breastfed neonates (28 days) with weight loss of greater than 10%?
Why this is needed?	
Importance to 'patients' or the population	New parents whose babies are admitted to hospital are likely to feel emotionally upset and may feel that they have caused their babies to become unwell. Admission to hospital risks exposure to infections. Infants who have been admitted will often receive artificial supplementation, which increases their risk of long term health problems and may undermine their parents' confidence in breastfeeding.
Relevance to NICE guidance	High: The effectiveness of feeding interventions is unknown for faltering growth.

Research question	What is the effectiveness of feeding interventions compared to usual care/ advice for breastfed neonates (28 days) with weight loss of greater than 10%?
Relevance to the NHS	The most cost effective way of providing feeding support is unknown. All interventions carry a cost. Pathways for feeding support vary in different areas. Infants can be admitted to a maternity unit, neonatal unit, or a paediatric ward. Some units operate a 'rapid response system' with the infant feeding team providing intensive support in the community. Admission in these cases may be avoided.
National priorities	Exclusive breastfeeding to six months is recommended by NHS England. The National service framework for children, young people and maternity services aims for long-term and sustained improvement in children's health, and sets standards for health and social care services for children, young people and pregnant women. The Healthy Child Programme describes standards of care for screening and providing advice during pregnancy and the first 5 years of life. It includes broad recommendations on monitoring growth in infants and children.
Current evidence base	The guideline identified that there is a gap in the evidence base. The systematic review of this topic did not find any comparative effectiveness studies addressing this topic.
Equality	Recognition assessment and management of faltering growth should take into consideration parents' and carers' socioeconomic, cultural, religious and ethnic environment, and potential language barriers. Access to appropriate nutrition may also differ across socioeconomic groups. Certain groups may be at greater risk of developing faltering growth, including preterm infants and children, children and infants born after intrauterine growth restriction. Those with learning-disabled parents or carers, asylum seekers, and looked-after children may find it more of a challenge to access services.
Feasibility	Study in two UK maternity units possible, preferably both UNICEF Baby-Friendly accredited. Ideally multi-centre to maximise recruitment opportunities.
Other comments	Consider stratified randomisation by unit type, e.g. in which the infant feeding team supports parents and babies at home, and comparing it to one in which babies are admitted to hospital.

1

Table 17: Research recommendation statements (PICO characteristics)

Criterion	Explanation
Population	Breastfed neonatal infants that have lost more than 10% of their birth weight. Specific data to consider – gestation, type of birth, mother's IV fluids
Intervention	Assessments of breastfeeds in neonates who have lost more than 10% of their birth weight, with advice given to improve attachment on the breast, hand-expressed and feed-expressed milk. Policy of Avoiding artificial milk supplements unless medically indicated.
Comparator	<ul style="list-style-type: none"> • Usual care
Outcome	<ul style="list-style-type: none"> • Number of neonates in whom excessive weight loss is prevented and the number of hospital admissions avoided. • The number of infants who have been exclusive breastfed at 6 months. • Weight, length, head circumference and arm measurements at 6 months
Study design	Randomised control trials (RCTs) which could be stratified in at least two types of setting. First, RCTs in UNICEF Baby-Friendly accredited centres. Second, RCTs in centres that do not have this accreditation.
Timeframe	3 years

5 Faltering growth after the early days of life

5.1 Introduction

Concerns about growth in childhood are common. Parents and carers may seek advice from health professionals about weight gain or linear growth (height) in children. In other situations, parents and carers may be concerned about appetite and eating and the impact this has on their child's growth. Weight is measured routinely as part of health surveillance in young children, which may also alert health professionals to potential growth concerns.

The term 'faltering growth' is widely used to refer to slower weight gain in infants and young children than expected for age and gender. The expression 'failure to thrive' was used in the past to describe the same observation. Estimates of the prevalence of faltering growth in the United Kingdom (UK) vary, depending on the definition used.

Growth should be measured in a standardised way to provide accurate comparison with reference ranges and to monitor patterns of growth over time. The World Health Organisation (WHO) has produced growth standards, based on longitudinal studies of healthy breastfed infants. These standards, along with UK term and preterm infant growth data, have been incorporated into UK-WHO growth charts for monitoring growth in UK children. Epidemiological studies have shown that healthy children usually progress relatively consistently along a growth centile.

5.2 Thresholds for concern and measurement of weight, height or length

Review question: In infants and children with growth concern defined by one particular criterion, what are the adverse outcomes compared to children who do not have growth concern by that criterion?

5.2.1 Introduction

The aim of the current review is to explore whether current definitions (thresholds) effectively identify children with faltering growth who require intervention.

For full details see review protocol in Appendix D.

5.2.2 Description of clinical evidence

Two studies have been included in this review. Both studies reported on various measures of potential faltering growth and on adverse outcomes for children with each particular threshold definition for growth concern (Olsen 2007; Ross 2005).

One study presented the sensitivity and positive predictive values (PPV) of seven different anthropometric criteria for faltering growth in detecting "significant undernutrition" (defined as a combination of slow conditional weight gain and low body mass index [BMI]) (Olsen 2007). The sample size included in this cohort study was 5624 participants recruited from the National Birth Registry in Denmark.

One study conducted in the US aimed to identify whether a slowing in weight gain during early infancy could be used to identify children at increased risk of faltering growth at some point during the first 2 years of life (Ross 2009). The sample size for this study was 1978 healthy, term infants.

Different definitions have been applied in the included studies to identify cases, as reported here:

- Ross and colleagues identified cases by looking at undernutrition which was defined as weight for length z score of ≤ -1.67 .
- Olsen et al. looked at the ability of seven clinically used criteria for 'moderate' failure to thrive to identify significant undernutrition defined as the combination of slow conditional weight gain and low BMI:
 - body mass index < 9th centile for chronological age
 - length < 9th centile for chronological age
 - weight <75% of median weight for chronological age
 - weight <80% of median weight for length
 - body mass index for chronological age <5th centile
 - length for chronological age <5th centile
 - weight deceleration crossing more than 2 major centile lines

The quality of each study was assessed using the CASP clinical prediction rule checklist. Please see the quality of the evidence section for more details.

See also the study selection flow chart in Appendix F, study evidence tables in Appendix G, and exclusion list in Appendix H.

5.2.3 Summary of included studies

A summary of the studies that were included in this review are presented in Table 18.

Table 18: Summary of included studies

Study	Sample	Objective	Definition of faltering growth	Outcomes
Olsen 2007	N=5624	To compare the prevalence and concurrence of different anthropometric criteria for FTT and test the sensitivity and positive predictive values of these in detecting children with "significant undernutrition", defined as the combination of slow conditional weight gain and low BMI.	Seven clinically used criteria applied to the cohort corresponding to 'moderate' FTT: <ul style="list-style-type: none"> • Weight <75% of median weight for chronological age • Weight <80% of median weight for length • Body mass index for chronological age <5th centile • Length for chronological age <5th centile • Weight deceleration crossing more than 2 major centile lines; centile lines used: 5, 10, 25, 50, 75, 90, 95, from birth until weight within the given age group • Conditional weight gain = lowest 5%, adjusted for 	"Significant undernutrition", defined as BMI and conditional weight gain below the 5 th centile

Study	Sample	Objective	Definition of faltering growth	Outcomes
			regression towards the mean from birth until weight within the given age group • Combination of conditional weight gain and BMI below the 5th centile	
Ross 2009	N=1939 in the 4 -to-6 month time period and N=1900 in the 2-to-4 time period	To identify whether early deceleration in weight gain could be used to predict subsequent early growth childhood growth faltering.	Undernutrition was defined as weight for length ≤ -1.67	Change in weight-for-age and odds of becoming a case, stratified by birthweight category Sensitivity, specificity and area under the ROC curve by category of birthweight using a negative change in weight-for-age (WAZ) of ≥ -0.85

1 *FTT failure to thrive, BMI body mass index, PPV positive predictive value, IQ intellectual quotient, UK-WHO*
 2 *United Kingdom- World Health Organization, GMS Gateshead Millennium Study, BMI body mass index*

3 5.2.4 Clinical evidence profile

4 The clinical evidence profiles for this review question are presented in Table 20 (Olsen 2007
 5 – 2 to 6 months), Table 21 (Olsen 2007 – 6 to 11 months), and Table 22 (diagnostic
 6 outcomes from Ross 2009).

7 Please see Table 19 for a definition of the Gomez and Waterlow criterion for their definitions
 8 of malnutrition. The differences in these are:

- 9 • Gomez criterion - the child's weight is compared to that of a normal child (50th percentile)
 10 of the same age. It is useful for population screening and public health evaluations.
- 11 • Waterlow criterion – refers to chronic malnutrition which could results in stunting.

12 **Table 19: Definitions of Malnutrition (according to the Gomez and Waterlow criteria)**

Classification	Definition	Grading	
Gomez criterion	Weight below % median WFA	Mild (grade 1)	75%–90% WFA
		Moderate (grade 2)	60%–74% WFA
		Severe (grade 3)	<60% WFA
Waterlow criterion	z-scores (SD) below median WFH	Mild	80%–90% WFH
		Moderate	70%–80% WFH
		Severe	<70% WFH

13 *SD standard deviation; WFA weight for age; WFH weight for height.*

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Table 20: Summary clinical evidence profile for accuracy of different thresholds in identifying significant undernutrition defined as the combination of slow conditional weight gain and low BMI, in infants aged 2 to 6 months.

No of studies	N	Sensitivity [95% CI]	Specificity [95% CI]	LR+ [95% CI]	LR- [95% CI]	Quality
Gomez criterion						
1	3789	0.40 [0.29, 0.52]	0.99 [0.99, 1.00]	60 [37,96]	0.60 [0.50,0.72]	moderate ¹
Waterlow criterion						
1	3789	0.29 [0.19, 0.40]	0.99 [0.99, 1.00]	53 [30,93]	0.72 [0.62,0.83]	moderate ¹
BMI < 5th centile						
1	3789	1.00 [0.95, 1.00]	0.97 [0.97, 0.98]	35 [28,41]	Cannot calculate	moderate ¹
Weight < 5th centile						
1	3789	0.68 [0.56, 0.78]	0.98 [0.97, 0.98]	32 [24,41]	0.33 [0.24, 0.46]	moderate ¹
Length < 5th centile						
1	3789	0.17 [0.09, 0.27]	0.97 [0.96, 0.97]	4.90 [2.90,8.27]	0.86 [0.78,0.95]	moderate ¹
Weight downward crossing ≥ 2 major centiles						
1	3789	0.71 [0.60, 0.81]	0.87 [0.85, 0.88]	5.32 [4.52,6.27]	0.33 [0.23,0.47]	low ^{1,2}
Conditional weight gain < 5th centile						
1	3789	1.00 [0.95, 1.00]	0.97 [0.97, 0.98]	37 [30,44]	Cannot calculate	moderate ¹

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BMI body mass index, CI confidence interval, LR+ positive likelihood ratio, LR- negative likelihood ratio

1 Downgraded by one level due to risk of bias because it was unclear whether the predictor variables and the outcome were evaluated in a blinded fashion.

2 Downgraded by one level due to imprecision because the confidence interval of sensitivity (the primary measure of interest) crosses the 75% threshold

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Table 21: Summary clinical evidence profile for accuracy of different thresholds in identifying significant undernutrition defined as the combination of slow conditional weight gain and low BMI, in infants aged 6 to 11 months.

No of studies	N	Sensitivity [95% CI]	Specificity [95% CI]	LR+ [95% CI]	LR- [95% CI]	Quality
Gomez criterion						
1	3692	0.17 [0.09, 0.28]	1.00 [0.99, 1.00]	50 [23,110]	0.84 [0.75,0.93]	moderate ¹
Waterlow criterion						
1	3692	0.17 [0.09, 0.28]	1.00 [1.00, 1.00]	76 [31,182]	0.84 [0.75,0.93]	moderate ¹
BMI < 5th centile						
1	3789	1.00 [0.95, 1.00]	0.97 [0.97, 0.98]	38 [31,45]	Cannot calculate	moderate ¹
Weight < 5th centile						
1	3789	0.76 [0.64, 0.85]	0.96 [0.96, 0.97]	21 [17,26]	0.25 [0.16,0.39]	low ^{1,2}
Length < 5th centile						
1	3789	0.02 [0.00, 0.08]	0.97 [0.96, 0.97]	0.44 [0.06,3.12]	1.02 [0.99,1.05]	moderate ¹

No of studies	N	Sensitivity [95% CI]	Specificity [95% CI]	LR+ [95% CI]	LR- [95% CI]	Quality
Weight downward crossing ≥ 2 major centiles						
1	3789	0.85 [0.74, 0.92]	0.80 [0.79, 0.82]	4.29 [3.80,4.84]	0.19 [0.11,0.33]	low ^{1,2}
Conditional weight gain < 5th centile						
1	3789	1.00 [0.95, 1.00]	0.97 [0.96, 0.97]	31 [35,36]	Cannot calculate	moderate ¹

BMI body mass index, CI confidence interval, LR+ positive likelihood ratio, LR- negative likelihood ratio

1 Downgraded by one level due to risk of bias because it was unclear whether the predictor variables and the outcome were evaluated in a blinded fashion.

2 Downgraded by one level due to imprecision because the confidence interval of sensitivity (the primary measure of interest) crosses the 75% threshold

Table 22: Summary clinical evidence profile for accuracy of negative change in weight for age during 4 to 6 months of age (defined as weight-for-age z score change of ≥ -0.85) to predict underweight during the first 2 years of life (defined as weight-for-length ratio z score ≤ -1.67)

No of studies	N	Sensitivity [95% CI]	Specificity [95% CI]	LR+ [95% CI]	LR- [95% CI]	Quality
Negative change in weight-for-age z score						
1	458	0.06 [0.04,0.09]	0.97 [0.96,-0.98]	2.00 [2.31, 124]	0.97 (0.07)	moderate ¹
Negative change in weight-for-age z score, in those with birth weight < 3.0 kilograms						
1	131	0.02 [0.0,0.07]	0.98 [0.96,1.00]	1.00 [3.28, 182]	1.00 [0.07, 3.62]	moderate ¹
Negative change in weight-for-age z score in those with birth weight ≥ 3.0 kilograms						
1	327	0.07 [0.05,0.10]	0.97 [0.96,0.98]	2.33 [2.24, 120]	0.96 [0.07, 3.66]	moderate ¹

BMI body mass index, CI confidence interval, LR+ positive likelihood ratio, LR- negative likelihood ratio

1 Downgraded by one level because the prediction rule was not validated in a separate population. It was also unclear whether the predictor variables and the outcome were evaluated in a blinded fashion.

5.2.5 Economic evidence

As this question does not concern the competing uses of NHS resources it was not prioritised for health economic analysis. No health economic evidence was identified for this topic from the overall health economic search.

5.2.6 Clinical evidence statements

Moderate quality evidence from one study with 5624 participants indicates that in infants aged 2 to 11 months the Gomez criterion, the Waterlow criterion and length < 5th centile all have low sensitivity but high specificity in identifying significant undernutrition (defined as the combination of slow conditional weight gain and low BMI).

Low to moderate quality evidence from one study with 5624 participants indicates that in infants aged 2 to 6 months weight < 5th has low sensitivity and high specificity in identifying significant undernutrition, but has moderate sensitivity and high specificity in those aged 6 to 11 months.

Low quality evidence from one study with 5624 participants indicates that in infants aged 2 to 6 months weight downward crossing ≥ 2 major centiles has low sensitivity and moderate specificity in identifying significant undernutrition, but has moderate sensitivity and specificity in those aged 6 to 11 months.

1 Moderate quality evidence from one study with 5624 participants indicates that in infants
2 aged 2 to 11 months BMI < 5th centile and conditional weight gain < 5th centile both have
3 high sensitivity and specificity in identifying significant undernutrition. This was by definition,
4 however: the study used these parameters to define undernutrition.

5 Moderate quality evidence from one study with 1939 participants indicates that a shift in
6 weight for age z score of ≥ -0.85 during 4 to 6 months of age has a low sensitivity but high
7 specificity in detecting underweight up to the age of 2 years (defined as weight for length z
8 score ≤ -1.67), regardless of the weight of the baby at the time of measurement.

9 **5.2.7 Evidence to recommendations**

10 **5.2.7.1 Relative value placed on the outcomes considered**

11 The aim of this review was to explore whether current definitions (thresholds) effectively
12 identify children with faltering growth who require intervention.

13 The Committee identified stunted growth and cognitive development as critical outcomes for
14 decision making and established the following important outcomes:

- 15 • persisting slow growth
- 16 • adverse events related to faltering growth such as infection
- 17 • duration of follow up for individual outcomes
- 18 • child protection instances / unrecognised underlying medical condition.

19 Given that no evidence was found for the critical nor the important outcomes, sensitivity and
20 specificity of measures of potential faltering growth and adverse outcomes for children with
21 each particular threshold definition for growth concern were retrieved in the evidence as a
22 proxy. The Committee used the results of these studies as a starting point for their
23 discussion, however the recommendations are based on consensus as well as on a
24 discussion of an additional study by Wright and Garcia 2012. This study reported on the
25 combination of weight or BMI and low absolute BMI for detecting undernutrition.

26 **5.2.7.2 Consideration of clinical benefits and harms**

27 The Committee acknowledged the evidence presented and used it together with their clinical
28 expertise and awareness of the current state of services to write recommendations on how
29 best to recognise faltering growth in infants and preschool children.

30 The Committee agreed that several factors have to be considered when using thresholds to
31 recognise faltering growth, and it should not usually be based merely on a single weight
32 measurement. Short-term fluctuations in weight are common in children due to minor
33 illnesses or measurement or plotting error and so it is important to repeat measurements that
34 cause concern. An infant's or child's initial weight and length centile and trajectories over
35 time, together with the child's growth potential, have all to be considered when assessing for
36 the presence of faltering growth. However, the Committee recognised the importance of
37 recommending a measure that is useful, sufficiently precise and practical for health care
38 professionals to put into practice confidently. The Committee decided to highlight the
39 importance of measuring length or height and assessing linear growth in their
40 recommendations in order to identify those with constitutional short or lean stature or those
41 with growth disorders.

42 The Guideline Committee recommended that a BMI less than the 2nd percentile be
43 recognised as suggesting undernutrition. This was based on the advice provided by the
44 RCPCH / Department of Health on the interpretation of BMI in children. That advice states
45 that a BMI below the 2nd centile is unusual and may reflect undernutrition, but may simply
46 reflect a small build.

1 5.2.7.3 Consideration of economic benefits and harms

2 Recognising faltering growth in infants and preschool children carries with it a clear economic
3 benefit in being able to offer that child appropriate treatment in a timely manner. This will
4 almost certainly improve the quality of life of that child, both in the immediate future and long-
5 term if the treatment helps the child – for example – do better at school. It is unclear whether
6 it carries a financial benefit to the NHS in terms of reduced future hospital appointments, but
7 it is likely that a fuller Personal and Social Services (PSS) perspective would find the
8 intervention represents a cost saving. If educational costs were taken into account (which is
9 not typical for NICE Guidelines) then the reduced requirements on schools and social
10 services might be expected to compensate for the cost of treatment.

11 The risks of treating are exposing a child to hospital who does not need to be there, and
12 potentially causing anxiety to parents for no corresponding benefit to the child. In addition,
13 there is an economic reason to prefer accurate diagnosis as treating a child who does not
14 have Faltering Growth will incur economic cost for no benefit. The Committee took this into
15 account when making their recommendations, suggesting that clinicians record potentially
16 concerning growth and consider the expected height of the child given the height of the
17 parents. Correctly identifying concerning growth should limit the economic costs of a false
18 positive.

19 As the Committee intend to improve the accuracy of recognising faltering growth generally, it
20 is unlikely that these recommendations will carry a significant cost impact, as the more
21 accurate the recognition the lower the expected cost to the NHS. The recommendations
22 around measurement, observation and referral will carry a direct cost to the NHS but the
23 Committee judged that this was already taking place in an ad hoc manner throughout the
24 country so their recommendations would bring healthcare providers in line with best practice.
25 This should lead to a neutral resource impact.

26 5.2.7.4 Quality of evidence

27 Quality of the studies was assessed using the CASP clinical prediction rule checklist and the
28 evidence was then appraised using an adapted GRADE approach. The protocol stated that
29 prospective population based studies would be the most appropriate study design to address
30 this review question. Therefore, this type of study design would initially be assigned high
31 quality and downgraded based on potential sources of bias. The quality of the evidence was
32 low to moderate; the Committee discussed that they had little confidence in this evidence
33 due to the main causes of bias were uncertainty around the effect estimates of the effect
34 related to the relatively small sample sizes of the studies.

35 Even though the evidence was considered, according to GRADE characteristics, to be of
36 moderate quality, the Committee agreed that the evidence was not strong and direct enough
37 to draw clear conclusions about the best threshold approach. They also discussed that the
38 studies provided evidence from research settings and that this was not necessarily
39 generalisable to wider clinical practice (for example, some measurements may not be
40 practical in routine clinical settings).

41 5.2.7.5 Other considerations

42 In addition to the studies included for this review, the Committee discussed the contents of a
43 paper by Wright and Garcia 2012, which although did not meet the criteria for the review,
44 was known by the group as demonstrating a possible pathway for the recognition of faltering
45 growth. This paper included data on the prevalence of downward centile crossings from birth
46 to ages 4, 8 and 12 months in the Gateshead Millennium cohort, compared with the UK–
47 WHO growth standard, broken down by centile position at birth. The Guideline Committee
48 recognised that it is common practice to advise that concerns about faltering growth should
49 be raised if a child crosses more than two centile spaces. The Guideline Committee

1 considered this approach, but took account of the fact that children born on a lower centile
2 are less likely to cross centile spaces than those born on a higher centile. They took account
3 of the Millennium cohort data to make a pragmatic recommendation that there should be
4 concern about possible faltering growth if children born below the 9th centile fell across one
5 or more spaces, if those born above the 91st centile space fell across three or more spaces
6 and if those born between the 9th and 91st centiles fell across two or more spaces. By
7 adopting this approach they agreed it was less likely faltering growth would be missed in
8 babies that are relatively light at birth and it was less likely that unnecessary concern about
9 faltering growth would be raised about those who are relatively heavy at birth.

10 The Committee agreed that both length and weight measurements were essential in order to
11 accurately identify and assess a child with faltering growth. This is because 'thinness',
12 presenting as low weight for height, is a potential indicator of undernutrition. Children may
13 cross centiles for weight during normal growth, but this pattern should be interpreted in light
14 of their birthweight centile and length measurements alongside weight. The Committee
15 acknowledged that length is not always measured, even when there is concern about weight.
16 This is partly because it is not always an easy measurement to take accurately, especially in
17 young infants. However, the Committee agreed that if there are concerns regarding weight,
18 length should be quantified in order to avoid misclassification. Equally it is important to
19 consider parental height when interpreting linear growth in a child where there are concerns
20 about faltering growth. A recommendation was made on this.

21 The Committee wanted the recommendations to reflect that different methods of measuring
22 linear growth are usually applied to children above and below 2 years old. Under 2 years,
23 infants and children are generally measured lying down; above 2 years of age, children are
24 generally measured when standing.

25 The Committee agreed that the UK WHO growth charts should be used to monitor growth in
26 children as they combine the WHO standards with the UK preterm and birth data in breast
27 fed children. They are readily and freely available, including online through the Royal College
28 of Paediatrics and Child Health ([http://www.rcpch.ac.uk/improving-child-health/public-
29 health/uk-who-growth-charts/uk-who-growth-charts-0-18-years](http://www.rcpch.ac.uk/improving-child-health/public-health/uk-who-growth-charts/uk-who-growth-charts-0-18-years)).

30 **5.2.7.6 Key conclusions**

31 The identification of faltering growth depends on a number of different observations,
32 including change in weight over time, length, and genetic growth potential (generally
33 interpreted from parental height). The evidence could not clearly identify a single threshold
34 that would reliably recognise children who have faltering growth. The Committee looked at
35 published data on normal weight gain in children (Wright and Garcia 2012) and the
36 associated algorithm and used this as the basis of the recommendations. The Committee
37 concluded that their draft recommendations form a pathway that will improve recognition of
38 faltering growth and should also be easily implemented in clinical practice.

39 **5.2.8 Recommendations**

40 **4. Consider using the following as thresholds for concern about faltering growth in 41 infants and children (a centile space being the space between adjacent centile 42 lines on the UK WHO growth charts):**

- 43 • a fall across 1 or more centile spaces, if birthweight was below the 9th
44 centile,
- 45 • a fall across 2 or more centile spaces, if birthweight was between the 9th
46 and 91st centiles,
- 47 • a fall across 3 or more centile spaces, if birthweight was above the 91st
48 centile,

- when current weight is below the 2nd centile for age, whatever the birthweight.

5. If there is concern about faltering growth (for example, based on the criteria in recommendation 4):

- weigh the infant or child
- measure their length (from birth to 2 years old) or height (if aged over 2 years)
- plot the above measurements and available previous measurements on the UK WHO growth charts to assess weight change and linear growth over time.

6. If there is concern about faltering growth or linear growth in a child over 2 years of age, determine the BMI centile:

- using the UK WHO centiles and the accompanying BMI centile 'look-up chart', **or**
- by calculating the BMI (weight in kg/height in metres squared) and plotting this on the BMI centile chart.

Then:

- if the BMI is below the 2nd centile, be aware this may reflect either undernutrition or a small build
- if the BMI is below the 0.4th centile, this suggests probable undernutrition that needs assessment and intervention.

7. If there are concerns about an infant's length or a child's length or height, obtain the parents' heights and work out the mid-parental height centile. If the child's length or height centile is below the range predicted from parental heights (more than 2 centile spaces below the mid-parental centile) be aware this could suggest undernutrition or a primary growth disorder.

8. Record all growth measurements in the parent or carer-held Personal Child Health Record.

5.3 Assessment

5.3.1 Differences in feeding and eating behaviour and practices

Review question: What are the differences in feeding and eating behaviour and practices in children with faltering growth compared to those without?

5.3.1.1 Introduction

When a child is identified in primary care as showing faltering growth, an understanding of the factors that may have contributed to the growth pattern is important in order to inform the advice offered and to decide on any further investigation, intervention or onward referral to specialist services. In some cases the factors leading to faltering growth may be clear, but in others it will not. Parents may fear that there is some underlying illness and struggle to understand how their child can have become undernourished. Successful feeding depends upon parents offering the right sort of foods, at a reasonable frequency, in a suitable setting and a form that the child can eat. Meanwhile successful child eating behaviour depends

1 upon the child acquiring the necessary oromotor and fine motor skills, having a good appetite
2 and not being exposed to adverse eating experiences.

3 The aim of this review was to identify possible behaviour and practices that may adversely
4 affect feeding and eating and may thus contribute to faltering growth. This information could
5 contribute to management strategies.

6 The Committee identified the following outcomes as critical for decision making:

- 7 • measurement of fluid and nutritional intake
- 8 • feeding eating and appetite behaviour and problems (e.g. refusal)
- 9 • parent-child interaction during feeding/mealtimes.

10 For full details see review protocol in Appendix D.

11 5.3.1.2 Description of clinical evidence

12 Six case-control studies (Drewett 2003; Kaese-Hara 2002; Wright 2000; Heptinstall 1987;
13 Kaese-Hara 2001, and MacPhee 1996) and three nested case-control studies (McDougall
14 2008; Parkinson 2004 and Robertson 2011) have been included in this systematic review for
15 assessing differences between faltering and non-faltering infants and preschool children.

16 Eight studies have been conducted in the UK (Drewett 2003; Black 1999; Kaese-Hara 2002;
17 Parkinson 2004; Robertson 2011; Wright 2000; Heptinstall 1987; Kaese-Hara 2001;
18 McDougall 2008) and one was conducted in the US (MacPhee 1996).

19 The sample size ranged between 23 and 127 infants and preschool children with faltering
20 growth and all studies used a sample of children without faltering growth (but matched in age
21 and gender) as a comparison.

22 Some variability has been encountered in the methods of data collection used, but most
23 studies used observations during meals; either directly observed or videotaped. One of the
24 studies (Wright 2000) used food diaries, whereas two studies used digital scales (Kaese-
25 hara 2001 and Kaese-Hara 2002).

26 The included studies reported on the following outcomes:

- 27 • Intakes for solid foods and fluids (Drewett 2003; Heptinstall 1987; Wright 2000)
- 28 • Feeding behaviour (Drewett 2003; Parkinson 2004; McDougall 2004)
- 29 • Parent-child interactions during mealtimes (Heptinstall 1987; Robertson 2011; MacPhee
30 1996)
- 31 • Energy compensation characteristics (Kaese-Hara 2002)
- 32 • Hedonic response to sweet tastes (Kaese-Hara 2001)

33 However, the following outcomes from the review protocol have not be reported by the
34 evidence:

- 35 • Health-related quality of life
- 36 • Parent or carer satisfaction

37 The variability found in the way studies reported on recognition of faltering growth is reported
38 in Table 23:

39 **Table 23: definitions for faltering growth used by the studies**

Study	Definition
Drewett 2003	Conditional weight gain criterion which identified the slowest gaining 5% (the 'Thrive index').
Kasese-Hara 2002	Weight gain in the slowest 5% for their age. This was established using a conditional weight gain criterion (the 'Thrive index'). Children identified as

Study	Definition
	cases using this criterion are low in weight-for-age and weight-for-height in spite of normal birth weight and show poor subsequent growth to at least 8 years.
Parkinson 2004	Weight gain was assessed using the 'Thrive index'. A 'Thrive index' is change in weight (Z-score), adjusted for the child's initial weight. The score that identifies the slowest-growing 5% at different ages has been established and was used to identify cases.
Robertson 2011	Conditional weight gain ('Thrive index').
Wright 2000	Children with a 'Thrive index' of <1.3 weight SDS. This criterion identifies the slowest gaining 5% of children, whatever their initial weight centile.
MacPhee 1996	Non organic failure to thrive was defined as persistent decline or lack of weight gain since birth in the absence of organic origin.
McDougall 2008	Infants with weight gain below the 5th centile over the first 6-8 weeks
Heptinstall 1987	The child must be below the 10th population centile for height and weight at 4 years on British Standard growth charts. Additionally, in order to be considered, the children's stature had to be under the 10 th centile in relation to mean parental height.
Kaese-Hara 2001	Conditional weight criterion ('Thrive index').

1 The quality of each study was assessed using the NICE checklist for cases-control studies.
2 Please see the quality of the evidence section for more details.

3 The main reason why studies were excluded from this review was due to either cases not
4 presenting with faltering growth or studies not presenting with a comparative or control
5 group.

6 See also the forest plots in Appendix I, study evidence tables in Appendix G and the
7 exclusion list in Appendix H.

8 5.3.1.3 Summary of included studies

9 A summary of the studies that were included in this review are presented in Table 24.

10 **Table 24: Summary of included studies**

Study	Objective	Cases	Controls	Assessment/ Methods	Outcome(s)
Drewett 2003	To compare feeding behaviour at the test meal in children who failed to thrive and appropriate controls and to examine the extent to which differences in their behaviour explained	N=27 children with failure to thrive defined as those children who presented in the slowest 5% compared with children of the same weight soon after birth. Children's age ranged	N=27 children with normal growth. Children's age ranged between 12 and 24 months.	For each child a standard lunchtime meal was videotaped. The authors of the study developed behaviour codes to assess the mealtime behaviour. Digital scales were also used for weighing food before and after the meal.	Intakes (mass and density) of solid foods and fluids Feeding behaviour (feedself, hand, give, accept, refuse, reject).

Study	Objective	Cases	Controls	Assessment/Methods	Outcome(s)
	differences in their energy intake.	between 12 and 24 months			
Heptinstall 1987	To assess the nutrition and mealtime behaviours in families of growth-retarded children in comparison with those children with normal weight gain	N=23 children with growth retardation. Children must be below the 10th population centile for height and weight at four year on British Standard growth charts. Children had a mean age of 4 years.	N=24 cases whose weight had been above the 10th centile at the last recorded health clinic attendance.	Direct observations of mother-child interactions. Mealtime observations, using an abbreviated set of codes from the scheme, expected to be specifically relevant to mealtimes. Feeding and mealtimes interview, including a food diary.	Children's nutritional intake Family attitudes to mealtimes and food Parent-child interactions during mealtimes
Kasese-Hara 2001	To investigate the possibility that failure to thrive is associated with a reduced hedonic response to sweet tasted	N=27 1-year-old children who failed to thrive in infancy diagnosed using the conditional weight criterion ('thrive index').	N=26 1-year-old children with normal growth.	Intakes of three fluids with 0.0 ml. (water), 0.2 ml. and 0.4 ml. of sucrose, each drink offered for 60 sec. with a 30 sec. interval between each.	Hedonic response to sweet tastes.
Kasese-Hara 2002	To compare the energy compensation characteristics of a group of children with failure to thrive with those of control children with normal	N=27 children with weight gain in the lowest 5% for their age. Children had a mean age of 17.4 months.	N=26 children with normal weight gain. Children had a mean age of 18.3 months.	Children were given standard ad libitum test meals on 2 days in the same week, at lunchtime in their own homes. Digital scales were used for all weightings, accurate to 0.001g. Energy contents were supplied by the manufacturers	Energy intake

Study	Objective	Cases	Controls	Assessment/ Methods	Outcome(s)
	weight gain. The prediction that the children who fail to thrive would show less precise energy compensation that the controls was tested.			and are given in kJ per 100g.	
MacPhee 1996	To design a feeding interaction checklist to improve observation and documentation of NOFTT feeding situations. The 2 specific aims were: (a) to develop a reliable and valid tool for use in busy inpatient and outpatient settings and (b) to demonstrate the tool's usefulness in clinical practice.	N=22 mother-child dyads. Children were hospitalized and presented with non-organic failure to thrive, defined as persistent decline or lack of weight gain since birth in the absence of organic origin. Children had an average age of 9.9 months.	N= 24 thriving dyads. Children had an average age of 9.85 months.	Videotaped feeding interactions. Feeding checklist Chatoor Feeding Scale	Parent-child interaction during feeding.
McDougall 2008	To identify infants with early weight faltering at the 6-8 week check and examine	N= 74 infants with weight gain below the fifth centile over the first 6-8 weeks	N= 86 infants nearest in birth date to each case on the same health visitor's list	Structured questionnaire, focussing on family details, feeding.	Feeding behaviour: slow feeding, weak sucking and amount of milk taken.

Study	Objective	Cases	Controls	Assessment/ Methods	Outcome(s)
	their family circumstances, feeding and behavioural development.				
Parkinson 2004	To examine the feeding behaviour and food intake of a cohort of children with failure to thrive	N=30 children with a weight gain below the 5th centile. Infant's age ranged between 13 and 21 months (mean 15.7, SD 1.4)	N= 57 children above the 10th percentile. Infant's age ranged between 13 and 21 months (mean 15.7, SD 1.4)	Direct observations over lunchtime meals. The video-tapes were coded for feeding behaviour using a behavioural coding inventory.	Counts of 5 feeding actions (give, accept, feedself, refuse, reject). Energy intake
Robertson 2011	To explore whether the Mellow Parenting assessment system can detect any difference in parent-child mealtime interaction between children with weight faltering and normally growing children	N=30 mother-infant dyads. Infants with weight faltering were defined as those with weight gain below the 5th percentile. Infant's age ranged between 13 and 21 months.	N=29 healthy controls	Video recording Mellow parenting assessment system	Parent-child mealtime interaction
Wright 2000	To address the following hypotheses: Children with failure to thrive, compared with normally-	N=42 children with a thrive index of <1.3 weight SDS. This criterion identifies the slowest gaining 5% of children, whatever	N=45 children identified from the district health child computer. Infants' age ranged between 7 and 33 months.	Standard health visitor proforma and 3 days food diary.	Energy consumption (kJ/kg) and feeding problems.

Study	Objective	Cases	Controls	Assessment/ Methods	Outcome(s)
	growing controls would: 1. Consume less food, with less variety 2. have been weaned significantly later and show an immature feeding pattern, 3. Has higher rates of early feeding difficulty as well as less current interest in food.	their initial weight centile. Infant's age ranged between 6 and 32 months.			

1 *Sec second; ml millimetre; kJ kilocalorie; g gram; NOFTT non-organic failure to thrive; Kg kilogram.*

2 5.3.1.4 Clinical evidence profile

3 The main findings reported in the evidence are summarised in Table 25 and Table 26. These
4 tables summarise results for each outcome across studies. When several studies reported
5 findings for the same outcome, results were presented as ranges. Quality was rated
6 individually for each study according to risk of bias.

7 **Table 25: Summary clinical evidence profile for differences in feeding and eating**
8 **behaviour and practices between children with and without faltering growth:**
9 **dichotomous outcomes**

Outcome	OR [95% CI] faltering growth vs normal growth	No of Participants (studies)	Quality of evidence ¹
Parent and child mealtime interaction			
Angry confrontations	OR 3.30 [0.91, 11.93]	46 (1 study)	Low
Pressure on child to eat	OR 3.65 [0.94, 14.20]	46 (1 study)	Low
Environmental factors			
Unsupervised meals	OR 4.59 [0.84, 25.16]	46 (1 study)	Low
Daily meal unpredictable	OR 9.63 [1.08, 86.18]	46 (1 study)	Low
Feeding, eating and appetite behaviour			

Outcome	OR [95% CI] faltering growth vs normal growth	No of Participants (studies)	Quality of evidence ¹
Infancy feeding problems	OR ranged from 3.59 [1.15, 11.18] to 4.29 [0.98, 18.72]	133 (2 studies)	Low to moderate
Child enjoys meals	OR 0.43 [0.14, 1.30]	87 (1 study)	Moderate
Mother enjoys meals	OR 0.54 [0.22, 1.32]	87 (1 study)	Moderate
Drinks from beaker	OR 0.34 [0.14, 0.82]	87 (1 study)	Moderate
Child is hungry	OR 0.12 [0.04, 0.33]	87 (1 study)	Moderate
Eats all	OR 0.41 [0.17, 0.98]	87 (1 study)	Moderate
Slow feeding at 2 months	OR 5.18 [2.31, 11.60]	160 (1 study)	Moderate
Weak sucking	OR 21.61 [2.78, 168.09]	160 (1 study)	Moderate
Small quantities of milk	OR 1.69 [0.90, 3.17]	160 (1 study)	Moderate
Slow feeding after 2 months	OR 4.83 [1.69, 13.85]	160 (1 study)	Moderate
Refused breast milk after 2 months	OR 65.07 [3.86, 1098.09]	160 (1 study)	Moderate
Refused other milk after 2 months	OR 1.02 [0.51, 2.04]	160 (1 study)	Moderate

CI: Confidence interval; OR: Odds ratio

¹ Assessed using checklist for case-control studies, appendix E in the NICE guidelines manual 2012

Table 26: Summary clinical evidence profile for differences in feeding and eating behaviour and practices between children with and without faltering growth: continuous outcomes

Outcomes	Mean (\pm SD) value with normal growth (NG) ¹	Mean (\pm SD) value with faltering growth (FG) ¹	Mean difference faltering growth versus normal growth ¹	No of Participants (studies)	Quality of evidence ²
Energy intake (kJ) - measured using test meal	Mean energy intake (kJ) in the NG group ranged from 199 (\pm 97) to 1066 (\pm 432)	Mean energy intake (kJ) in the FG group ranged from 241 (\pm 11) to 926 (\pm 420)	The mean energy intake (kJ) in the FG group ranged from 378.4 lower to 42 higher than the NG group	208 (3 studies)	Low to moderate
Energy intake (kJ) - measured using food diary	Mean energy intake (kJ) in the NG group ranged from 469 (\pm 109) to 1424 (\pm 323)	Mean energy intake (kJ) in the FG group ranged from 536 (\pm 205) to 1388 (\pm 356)	The mean energy intake (kJ) in the faltering growth group ranged from 36 lower to 67 higher than the NG group	133 (2 studies)	Low to moderate
Parent and child mealtime	The mean parent child early relational	The mean parent child early relational	The mean parent child early relational assessment score	225 (1 study)	Moderate

Outcomes	Mean (\pm SD) value with normal growth (NG) ¹	Mean (\pm SD) value with faltering growth (FG) ¹	Mean difference faltering growth versus normal growth ¹	No of Participants (studies)	Quality of evidence ²
interaction - Parent Child Early Relational Assessment:	assessment score in the NG group was 2.47 (\pm 0.78)	assessment score in the NG group was 2.18 (\pm 0.81)	in the FG group was 0.29 lower (0.5 to 0.08 lower) than the NG group		

CI Confidence interval, OR Odds ratio, SD standard deviation

1 Values are the range of means and standard deviations from the individual studies and were not pooled

2 Assessed using checklist for case-control studies, appendix E in the NICE guidelines manual 2012

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5.3.1.5 Economic evidence

As this question does not investigate competing alternative used of NHS resources, it was not prioritised for HE analysis. No health economic evidence was identified for this topic from the overall health economic search.

5.3.1.6 Clinical evidence statements

Low to moderate quality evidence from three case control studies including 155 young children suggests energy intake ranges from about 380 kJ less to 42 kJ more in young children with faltering growth than in those with normal growth when measured by directly observing a test meal. This difference ranged from 36 kJ less to 67 kJ more when measured using a food diary in two other case control studies including 133 participants.

Low to moderate quality evidence from three case control studies including 358 young children indicates differences in the mealtime interaction between parents and children when comparing faltering and normal growth groups. Pressure on the child to eat and angry confrontations at mealtimes were more likely if the child had faltering growth, however both were also commonly observed in the normal growth group. Differences were also observed in maternal nurturance score, mealtime environments (unsupervised and unpredictable meals being more likely in the faltering growth group) and feeding behaviours.

Moderate quality evidence from one nested case control study including 160 young children indicates that young children with faltering growth are more frequently described as feeding slowly, as taking small quantities of milk, with weak sucking, and as refusing breast milk more than young children without faltering growth.

Although the evidence suggests differences between the mealtimes of young children with faltering and normal growth it does not indicate these differences cause faltering growth. Nor does it indicate whether interventions targeting these differences (e.g. parent and child interaction at mealtimes) will be effective.

5.3.1.7 Evidence to recommendation

The Committee agreed that the reviews for differences in feeding and approaches to the assessment of faltering growth are closely linked and they cannot be discussed in isolation. Evidence from both was considered together to draft recommendations and therefore the rationale for these is provided in section 5.3.2.7).

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1 5.3.2 Approaches to the assessment of faltering growth

2 **Review question: What approaches are useful in assessing feeding and eating in**
3 **faltering growth in individual children, including formal feeding observations and**
4 **assessment?**

5 5.3.2.1 Introduction

6 The aim of this review is to identify the most useful approaches and tools to identify
7 mechanisms contributing to faltering growth in individual children.

8 The Committee identified the following outcomes as critical for decision making:

- 9 • measurement of fluid and nutritional intake
- 10 • behavioural problems (e.g. refusal).

11 For full details see review protocol in Appendix D.

12 5.3.2.2 Description of clinical evidence

13 One cohort study (Wright 2006) was included in this review for assessment of infants and
14 preschool children with faltering growth. The study was conducted in the UK.

15 The sample size of the included study ranged between 632 and 826 children with faltering
16 growth (depending on when the assessment was done). For more details about this study
17 see Table 27.

18 Methodological limitations were assessed using the CASP clinical prediction rule checklist.
19 Please see the quality of the evidence section for more details.

20 The main reason why studies were excluded from this review was due to cases not
21 presenting with faltering growth. See Appendix H for more details about the excluded
22 studies.

23 See also the study selection flow chart in Appendix F, study evidence tables in Appendix G
24 and modified GRADE profile in Appendix J.

25 5.3.2.3 Summary of included studies

26 A summary of the study that was included in this review are presented in Table 27.

27 **Table 27: Summary of the included study**

Study	Objective	Assessment methods	Comparison	Outcome(s)
Wright 2006	To study the influences of child and maternal feeding behaviour on weight gain and failure to thrive in the first year of life	The authors of the study developed a core pool of questions. These were grouped in advance in child factors and maternal factors.	Not applicable	Feeding and eating behaviour (appetite, oromotor dysfunction, avoidant eating behaviour, maternal feeding anxiety and response to food refusal) at 6 weeks, 8 months and 12 months.

Study	Objective	Assessment methods	Comparison	Outcome(s)
				Predictors of weight faltering to 12 months

1 5.3.2.4 Clinical evidence profile

2 The clinical evidence profiles for this review question are presented in Table 28 and **Error!**
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4 **Table 28: Summary clinical evidence profile for child and maternal feeding behaviour**
5 **for the prediction of sustained weight faltering in the first year**

No of studies	N	Sensitivity [95% CI]	Specificity [95% CI]	LR+ [95% CI]	LR- [95% CI]	Quality
Poor appetite (low appetite at 6 weeks or 12 months, or borderline appetite at both); assessed by questionnaire						
1	501	0.56 [0.35, 0.76]	0.71 [0.67, 0.75]	1.93 [1.33,2.81]	0.62 [0.40,0.97]	very low ^{1,2}
Low appetite at 6 weeks (versus borderline or normal appetite); assessed by questionnaire						
1	749	0.18 [0.07, 0.35]	0.98 [0.97, 0.99]	10.00 [4.06,24.65]	0.83 [0.71,0.98]	low ¹
Borderline or low appetite at 6 weeks (versus normal appetite); assessed by questionnaire						
1	749	0.55 [0.36, 0.72]	0.73 [0.69, 0.76]	2.00 [1.43,2.80]	0.62 [0.43,0.91]	low ¹
Low appetite at 12 months (versus borderline or normal appetite); assessed by questionnaire						
1	573	0.35 [0.17, 0.56]	0.88 [0.86, 0.91]	3.01 [1.69,5.35]	0.74 [0.56,0.98]	low ¹
Borderline or low appetite at 12 months (versus normal appetite); assessed by questionnaire						
1	573	0.69 [0.48, 0.86]	0.49 [0.45, 0.53]	1.36 [1.04,1.78]	0.63 [0.35,1.12]	very low ^{1,2}
Highly avoidant eating behaviour at 12 months (versus medium or low); assessed by questionnaire						
1	574	0.23 [0.09, 0.44]	0.91 [0.89, 0.93]	2.63 [1.24,5.59]	0.84 [0.68,1.04]	low ¹
Medium or highly avoidant eating behaviour at 12 months (versus low); assessed by questionnaire						
1	574	0.58 [0.37, 0.77]	0.70 [0.66, 0.74]	1.90 [1.34,2.71]	0.61 [0.39,0.95]	very low ^{1,2}
High maternal feeding anxiety at 12 months (versus borderline or normal); assessed by questionnaire						
1	574	0.54 [0.33, 0.74]	0.71 [0.67, 0.75]	1.86 [1.26,2.75]	0.65 [0.42,1.00]	low ¹
Borderline or high maternal feeding anxiety at 12 months (versus normal); assessed by questionnaire						
1	574	0.88 [0.68, 0.97]	0.25 [0.22, 0.29]	1.17 [1.00,1.38]	0.49 [0.17,1.43]	very low ^{1,2}
High response to food refusal at 8 months (versus medium or low); assessed by questionnaire						
1	598	0.35 [0.17, 0.56]	0.81 [0.78, 0.85]	1.83 [1.05,3.18]	0.81 [0.61,1.07]	low ¹

No of studies	N	Sensitivity [95% CI]	Specificity [95% CI]	LR+ [95% CI]	LR- [95% CI]	Quality
Medium or high response to food refusal at 8 months (versus low); assessed by questionnaire						
1	598	0.81 [0.61, 0.93]	0.39 [0.35, 0.43]	1.32 [1.08,1.61]	0.50 [0.22,1.10]	very low ^{1,2}
High response to food refusal at 12 months (versus medium or low); assessed by questionnaire						
1	477	0.61 [0.39, 0.80]	0.58 [0.54, 0.63]	1.46 [1.04,2.07]	0.67 [0.40,1.12]	very low ^{1,2}
Medium or high response to food refusal at 12 months (versus low)); assessed by questionnaire						
1	477	0.83 [0.61, 0.95]	0.17 [0.14, 0.21]	1.00 [0.82,1.21]	1.01 [0.41,2.52]	very low ^{1,2}

CI confidence interval, LR+ positive likelihood ratio, LR- negative likelihood ratio

1 Downgraded by two levels due to risk of bias: it was unclear whether outcome assessors or participants were blinded to the study outcome and the feeding behaviour parameters assessed in the study were not clearly defined

2 Downgraded by one level due to imprecision because the confidence interval of sensitivity (the primary measure of interest) crosses the 75% threshold

7 5.3.2.5 Economic evidence

8 As this question does not investigate competing alternative used of NHS resources, it was
9 not prioritised for HE analysis. No health economic evidence was identified for this topic from
10 the overall health economic search.

11 5.3.2.6 Clinical evidence statements

12 Low to very low quality evidence came from a cohort study of a population birth cohort
13 including 826 infants. Parents completed feeding questionnaires at six weeks, 8 months and
14 1 year and infants were routinely weighed. Low appetite at six weeks and highly avoidant
15 eating behaviour had low sensitivity but high specificity for sustained weight faltering in the
16 first year, indicating a potential association between appetite and weight faltering. Low
17 appetite at 12 months had had low sensitivity but moderate specificity for sustained weight
18 faltering.

19 5.3.2.7 Evidence to recommendations (related to both sections 5.3.1 and 5.3.2)

20 5.3.2.7.1 Relative value placed on the outcomes considered

21 The aim of this review was to identify possible behaviour and practices that may adversely
22 affect feeding and eating and may thus contribute to faltering growth.

23 The majority of outcomes listed by the Committee as critical or important were retrieved by
24 the evidence. For example, parent and child mealtime interaction, energy intake, feeding
25 behaviour or mealtime environment. No evidence was identified for health related quality of
26 life, measurement of fluid and nutritional intake or swallow function.

27 5.3.2.7.2 Consideration of clinical benefits and harms

28 The Committee acknowledged the multifactorial origin of faltering growth and the need to
29 adopt an individualised approach for the assessment of this condition, appropriate for the
30 developmental stage of each child.

31 The Committee discussed different factors that may influence children's eating behaviour
32 and agreed that there are some particular aspects that healthcare professionals should
33 explore when assessing children with faltering growth.

1 The Committee discussed that these assessments would differ depending on the mode of
2 feeding, i.e. milk fed or after the introduction of solid food. Therefore the Committee decided
3 to separate their recommendations into two different sections, although they recognised
4 certain aspects that are common for both groups. It was highlighted that healthcare
5 professionals should not make assumptions, but should assess a wide range of possible
6 contributing factors. Among these, the Committee discussed the following:

- 7 • Poor appetite, which may manifest as lack of interest in food or feeding, or absence of
8 hunger, may lead to reduced food intake. Furthermore, the Committee agreed that
9 parents may have good knowledge of this, therefore it is important to ask about the child's
10 observed behaviour during feeding.
- 11 • Sensory sensitivities (e.g. coping with variable food textures) may be unrecognised or
12 mislabelled as a passing phase in the child's development.
- 13 • In milk fed infants it is important to assess feeding cues, attachment to feed and milk
14 transfer.
- 15 • Infants with neurodevelopmental conditions may show altered feeding cues or sensitivity
16 to latching and feeding. The Committee acknowledged that parents of children with these
17 conditions should be offered extra support, e.g. support groups.
- 18 • Other infants may have physical conditions that may mean that they are less able to suck
19 (e.g. cleft palate).
- 20 • Parental responsiveness (including parent-child interaction). For this factor the Committee
21 highlighted the following:
 - 22 ○ Parents may not offer sufficient or appropriate food.
 - 23 ○ Parental food choices may not be nutritionally adequate.
 - 24 ○ Feeding cues in the child: It is sometimes difficult for parents to recognise whether a
25 child is hungry, has had enough food or would want more, which can lead to reduced
26 intake through early cessation of mealtimes.
 - 27 ○ This is a very complex issue, and is only present in a minority of cases, but should not
28 be missed. The Committee wanted to make clear that neglected children may present
29 with faltering growth, but most children with faltering growth are not neglected.
- 30 • When a child is diagnosed with faltering growth, parents often experience a sense of guilt
31 or blame which can originate from themselves or others. Healthcare professionals will
32 need to be aware of this and may need to provide reassurance and support.
- 33 • Feeding regime and environment: there are some specific factors that can only be
34 identified by direct observation; such as the emotional, physical or interactional feeding
35 environment.
- 36 • The Committee recognised the impact of the environment and atmosphere where children
37 would have their meal. Feeding is a social activity. The Committee highlighted the
38 importance of modelling, as parental or sibling behaviours may be copied by the child with
39 faltering growth. To promote the positive feeding experience, there are actions that should
40 be avoided at mealtime, such as force-feeding or confrontation.

41 **5.3.2.7.3 Consideration of economic benefits and harms**

42 The direct cost of any recommendation in this review is likely to be very small, and potentially
43 have zero direct economic impact. The only sources of direct cost are clinician time
44 discussing the recommendations with parents, and as the downside cost of failing to identify
45 potential feeding issues in faltering growth infants is likely to be high, the Committee
46 considered that the cost-effectiveness of these recommendations was almost certain.

47 As the resource impact is minimal, and potentially zero, these recommendations are unlikely
48 to carry a substantial impact to NHS resources.

1 **5.3.2.7.4 Quality of evidence**

2 Six case-control studies, 3 nested case-control studies and 1 cohort study have been
3 included in this review. The quality of the evidence ranged from low to moderate as
4 measured by the NICE case-control checklist. The main reason for bias of the included
5 studies were poor reporting of statistics and not controlling for confounding factors. Along
6 with these limitations, the Committee also noted that most of the studies were underpowered
7 and that several studies analysed outcomes from the same cohort which meant that the size
8 of the evidence base seemed perhaps larger and more convincing than it actually was.
9 It was also noted that one study provided slightly inconsistent results, i.e. differences in
10 energy intake when children were measured by direct observation (a test meal) but these
11 differences were not observed when using a food diary.

12 **5.3.2.7.5 Other considerations**

13 Recommendations came from the evidence, but also from the experience and expertise of
14 the Committee.

- 15 • Some specific details of the studies were discussed. For instance whether the videotaped
16 meals were done in a natural or experimental environment, whether the included children
17 in the studies had a previous history of poor eating or feeding behaviour, or the validity of
18 the measurement scale of anxiety in one of the studies.
- 19 • Reverse causality: The Committee highlighted that is difficult to differentiate whether
20 something is cause or effect, especially with factors such as response to food refusal.
21 Parents may be reacting in a specific negative way because child is not eating or vice
22 versa, i.e. the child is not eating because of parent's behaviour.
- 23 • Furthermore they discussed the issue of parental negative responses: if a parent has a
24 negative approach, it becomes a self-fulfilling prophecy that then impacts on the child's
25 behaviour.
- 26 • It was agreed that knowledge of the types and amounts of food that the infant or child eats
27 would help the discussion between parents or carers and healthcare professionals. This
28 could be facilitated by keeping a diary of what the infant or child eats which can then aid
29 assessment and decisions about management strategies.

30 **5.3.2.7.6 Key conclusions**

31 The Committee concluded that any assessment needs to be tailored to individual
32 circumstances and needs to be approached with an open mind. Having a child with faltering
33 growth causes concerns and anxieties and healthcare professionals need to be sensitive to
34 this. They should remain aware that most children with faltering growth are not neglected.
35 Support and reassurance is therefore important. Different assessments will have to be
36 applied to infants who are milk-fed and infants and children who receive solid food. The
37 Committee agreed that direct observation of feeding and mealtime can be helpful in the
38 assessment of faltering growth.

39 **5.3.2.8 Recommendations (based on evidence from sections 5.3.1 and 5.3.1.7)**

40 **9. Recognise that in faltering growth:**

- 41 • a range of factors may contribute to the problem, and it may not be
42 possible to identify a clear cause
- 43 • there may be difficulties in the interaction between an infant or child and
44 the parents or carers that may contribute to the problem, but this may
45 not be the primary cause.

1 **10. Based on the feeding history and any direct observation of feeding, consider**
2 **whether any of the following are contributing to faltering growth in milk-fed**
3 **infants:**

- 4 • ineffective suckling in breastfed infants
- 5 • ineffective bottle feeding
- 6 • feeding patterns or routines being used
- 7 • the feeding environment
- 8 • feeding aversion
- 9 • parent/carer-infant interactions
- 10 • how parents or carers respond to the infant's feeding cues
- 11 • physical disorders that affect feeding.

12 **11. Based on the feeding history and any direct observation of mealtimes, consider**
13 **whether any of the following are contributing to faltering growth:**

- 14 • mealtime arrangements and practices
- 15 • types of foods offered
- 16 • food aversion and avoidance
- 17 • parent/carer-child interactions, for example responding to the child's
- 18 mealtime cues
- 19 • appetite, for example a lack of interest in eating
- 20 • physical disorders that affect feeding.

21 **12. Consider asking the parents or carers of infants and children with faltering growth**
22 **to keep a diary recording food intake (types and amounts) and mealtime issues**
23 **(for example, settings, behaviour) to help inform management strategies and**
24 **assess progress.**

25 **5.3.3 Risk factors**

26 **Review question: What are the risk factors for faltering growth?**

27 **5.3.3.1 Introduction**

28 The aim of this review is to determine factors that could improve recognition and identify
29 management strategies for faltering growth.

30 The Committee prioritised the following as potential risk factors related to recognition of
31 faltering growth:

32 Infant or preschool child variables:

- 33 • born preterm
- 34 • family history of faltering growth
- 35 • intrauterine growth restriction
- 36 • small for gestational age at birth
- 37 • neurodevelopmental delay.

38 Family/social factors:

- 39 • maternal mental health (including depression, eating disorders)
- 40 • parental substance misuse, including postnatal smoking
- 41 • socioeconomic status

- 1 • parental educational status (particularly maternal)
- 2 • physical, emotional, sexual abuse and neglect (safeguarding issues).

3 Other potential factors:

- 4 • restricted intake (for example restricted diet)
- 5 • early weight loss (under 4 weeks of age)
- 6 • breastfeeding
- 7 • parity
- 8 • birth complications including caesarean section (neonate only)
- 9 • mother-child relationship/ attachment.

10 For full details see review protocol in Appendix D.

11 5.3.3.2 Description of clinical evidence

12 Ten studies have been included in this clinical review (Blair 2004; Bocca-Tjeerters 2011;
13 Drewett, 2004; Emond 2007, Karp 1989; Kelleher 1993; O'Brien 2004; Olsen 2007; Olsen
14 2010; Wright 2006).

15 Evidence from these studies is summarised in the clinical evidence profile below (Table 31
16 and Table 32).

17 Five studies have been conducted in the UK (Blair 2004; Drewett, 2004; Emond 2007,
18 O'Brien 2004; Wright 2006), two in the US (Karp 1989; Kelleher 1993), two in Denmark
19 (Olsen 2007; Olsen 2010), and one in The Netherlands (Bocca-Tjeerters 2011).

20 Some variability has been encountered in the methods of data collection used, but most
21 studies used self-reported questionnaires or reviews of clinical records. Two studies used a
22 national birth registry (Olsen 2007; Olsen 2010), whereas one study relied on the use of a
23 parent held child health record booklet (O'Brien 2004).

24 The following risk factors were assessed in the retrieved evidence:

- 25 • small for gestational age (Bocca-Tjeerters 2011; Kelleher 1993; Olsen 2007)
- 26 • abnormal or suspect neurological exam (Kelleher 1993)
- 27 • social class (Blair 2004; Wright 2006)
- 28 • parental education (Blair 2004; Bocca-Tjeerters 2011; Kelleher 1993)
- 29 • maternal smoking (Blair 2004; Olsen 2010; Bocca-Tjeertes 2011)
- 30 • alcohol and drugs consumption (Blair 2004)
- 31 • maternal depression (Drewett 2004; O'Brien 2004; Wright 2006)
- 32 • anxiety (O'Brien 2004)
- 33 • depression and anxiety (O'Brien 2004)
- 34 • breast-feeding (Emond 2007)
- 35 • abuse (Karp 1989)
- 36 • parity (Blair 2004; Olsen 2010)
- 37 • birth complications (Olsen 2010).

38 Some variability was also found in the way the studies reported on recognition of faltering
39 growth, as reported in Table 29:

40 Table 29: faltering growth definitions

Study	Definition
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Study	Definition
Blair 2004	FTT = Infants whose weight gain was below the 5th centile
Bocca-Tjeertes 2011	Growth restraint = >2SD scores below the median growth of the Dutch population
Drewett 2004	FTT=Slowest-gaining 5% of weight from birth until 9 months
Emond 2007	Cases of growth faltering were defined as those infants below the 5 th centile for weight gain, corresponding to a conditional growth score of -1.645.
Karp 1989	Stunting = Height x age x sex < 5th percentile, Wasting or underweight = weight x height x sex below the 5th percentile
Kelleher 1993	Children were required to have lower than average growth velocity to meet criteria. a) who were coded by the developmental clinician during a health assessment as having FTT (infants below the 5th percentile for gestational corrected age on the National Centre for Health Statistics growth grids, and if his/her growth status put him below that recorded at the last regular assessment visit); b) whose weight was less than the 5th percentile for GCA at 2 or more points in time and; c) Whose rate of weight growth during the preceding months was less than average for gender and GCA as determined by incremental (velocity) growth curves.
O'Brien 2004	Diagnostic criteria for FTT = a fall across 2 centile channels or a fall beneath the second centile on standardized growth charts for at least 3 months (to exclude weight loss secondary to an acute illness)
Olsen 2007	1. FTT=conditional weight Gain <5% from birth until 6-11 months 2. FTT= Combination of: conditional weight gain < 5% and BMI <5th Percentile 3. FTT= crossing ≥ two major weight centiles from birth until 6-11 months
Olsen 2010	Weight faltering was defined as the slowest weight gaining 5% of all children in the cohort with an available weight.
Wright 2006	Weight faltering: For any time interval, weight gain (TI) below the 5th centile for than interval. TI: is a measure of change in weight SD over time, conditional on initial weight, to allow for regression to the mean. The TI compares a child's actual weight SD to their expected weight SD.

1 *FTT failure to thrive; SD standard deviation; TI thrive index; CGA gestation-corrected age; BMI body mass index;*

2 The main reason why studies were excluded from this review was due to either not adjusting
3 for confounders or not carrying out multivariate analyses. For full details see excluded
4 studies list in Appendix H. See also the study selection flow chart in Appendix F and
5 evidence tables in Appendix G.

6 5.3.3.3 Summary of included studies

7 A summary of the studies that were included in this review are presented in Table 30.

8 **Table 30: Summary of included studies**

Study	Sample	Risk factor(s) studied	Adjustment for:	Quality of the study
Blair 2004	N= 11718	<ul style="list-style-type: none"> • Social class • Parental education • Maternal smoking • Alcohol consumption 	Not specified, but results reported separately for age.	Low

Study	Sample	Risk factor(s) studied	Adjustment for:	Quality of the study
		<ul style="list-style-type: none"> • Illegal drugs taken • Mother vegetarian • Mother dieting 		
Bocca-Tjeertes 2011	N=1123 children, of which N=50 were growth-restricted in height and N=48 were growth-restricted in weight.	<ul style="list-style-type: none"> • Small for gestational age • Maternal educational level 	<ul style="list-style-type: none"> • Gestational age • Ethnicity • Maternal education level (low versus moderate/high) • Family income (low versus moderate/high) • Smoking during pregnancy (categorical) • In vitro fertilization/intracytoplasmic sperm injection (no versus yes) • Gender • Being part of a multiple (singletons versus twins and versus triplets/quadruplets) • Breastfeeding during the first months of life (no versus yes) 	Moderate
Drewett 2004	N=12,391	<ul style="list-style-type: none"> • Postnatal depression (as measured by the EPDS low cut-off: >12; High cut-off: >15). 	<ul style="list-style-type: none"> • Weight gain over the first 9 months • Ordinal position of the child in the family • Crowding • Home ownership 	Moderate
Emond 2007	N=11900	<ul style="list-style-type: none"> • Breastfeeding (parent report): weak sucking (birth to 8 weeks), breastfeeding duration (>6 months) (from 8 weeks to 9 months) 	<ul style="list-style-type: none"> • Time between measurement of weight from birth to 6-8 weeks 	Low
Karp 1989	N=196; 53 (27%) were abused and 143 (73%) were not abused.	<ul style="list-style-type: none"> • Child abuse, including chronic mistreatment and neglect 	<ul style="list-style-type: none"> • Age • Sex • Ethnicity 	Low
Kelleher 1993	N=771	<ul style="list-style-type: none"> • Maternal education • Abnormal or suspect neurologic exam • Small for gestational age 	<ul style="list-style-type: none"> • Abnormal or suspect neurologic exam • Birth weight • Maternal age • Maternal education • Maternal height 	Low

Study	Sample	Risk factor(s) studied	Adjustment for:	Quality of the study
O'Brien 2004	N=196 index children and n=567 control mothers and children	<ul style="list-style-type: none"> • Postnatal depression as measured by the EPDS (cut-off= 13) • anxiety as measured by the HADS 	“Logistic regression with index/control as the dependant variable was used to correct the P value for the association of depression and FG for variables that showed significant difference for index and control groups”	Low
Olsen 2007	N= 3692 children	<ul style="list-style-type: none"> • Gestational age • Feeding problems 	<ul style="list-style-type: none"> • Sex • Ethnicity • Mother’s age • Social level of neighbourhood • Whether parents live together • Model also includes observations concerning psychomotor development, mother-child relationship and overall development of the child 	Low
Olsen 2010	N= 3638	<ul style="list-style-type: none"> • Mother smoking during pregnancy • Feeding problem (contemporary) 	<p>Each of the variables have been adjusted for possible confounders:</p> <ul style="list-style-type: none"> • For mother smoking during pregnancy [slow starters group-birth to 2 weeks only]: sex, ethnicity, parental cohabitation, living area, mother’s age • For feeding problem [slow starters group-birth to 2 weeks only]:sex, ethnicity, parental cohabitation, living area, parity, mother’s age, birthweight, gestational age, congenital disorder, birth complication, smoking during pregnancy • For feeding problem [early onset (2 weeks to 4 months only)]:sex, ethnicity, 	Low

Study	Sample	Risk factor(s) studied	Adjustment for:	Quality of the study
			parental cohabitation, living area, parity, mother's age, congenital disorder, preceding and contemporary somatic illness, contemporary mother-child relationship and activity & interest. • For feeding problem [late onset (4-8 months)]: ethnicity, parental cohabitation, living area, parity, mother's age, congenital disorder, preceding and contemporary somatic illness, preceding overall development, contemporary sleeping problems and mother-child relationship.	
Wright 2006	N = 774	<ul style="list-style-type: none"> • Socioeconomic factors • Postnatal depression as measured by the EPDS (cut off: >12) 	Unclear	Low

EPDS Edinburgh Postnatal Depression Scale; HADS Hospital Anxiety and Depression Scale; NS non-significant; SGA small for gestational age; FG faltering growth.

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1 **5.3.3.4 Clinical evidence profile**

2 A summary of the results by risk factor for faltering growth reported in the studies is presented in Table 31 and Table 32. The definitions for
3 faltering growth remain the same as in Table 32 unless specified. These tables summarise results for each risk-factor across studies. Quality
4 was assessed for each study individually based on risk of bias.

5 **Table 31: summary of results for faltering growth risk factors**

Risk factor	OR (95% CI), p-value for FTT, unless specified	Author/ Notes	Quality of evidence assessed by study with the CASP clinical prediction rule checklist
Infant or preschool child variables			
SGA	height at 4 years less than -2 SDs = 7.7 (2.9-20.4), p<0.01 weight at 4 years less than -2SDs = 9.3 (3.9-22.1),P<0.01	Boca-Tjeertes 2011	Moderate
	FTT= 2.62 (1.72,3.98), p<0.05	Kelleher 1993	Low
Prematurity	FTT = conditional weight Gain <5% from birth until 6-11 months gestational age (weeks)= 1.11 [0.96,1.27], NS FTT= Combination of: conditional weight gain < 5% and BMI <5th Percentile gestational age (weeks)= 1.15 [0.85,1.56], NS FTT= crossing ≥ two major weight centiles from birth until 6-11 months gestational age (weeks)= 1.13 [1.04,1.23], p<0.05	Olsen 2007	Low
Abnormal or suspect neurological exam	FTT= 1.82 [1.21,2.75], P <0.05	Kelleher 1993	Low

Risk factor	OR (95% CI), p-value for FTT, unless specified	Author/ Notes	Quality of evidence assessed by study with the CASP clinical prediction rule checklist
Breast feeding	<p>From birth to 8 weeks: weak sucking = 2.20 (1.74 to 2.78), p <0.001</p> <p>From 8 weeks to 9 months: breastfeeding duration (>6 months) = 2.54 (2.01 to 3.21), p <0.001</p>	Emond 2007	Low
Family/social factors:			
Social class	<p><i>From birth to 6-8 weeks:</i> FTT= 1.11 (0.87, 1.42), NS</p> <p><i>6-8 weeks to 9 months:</i> FTT= 1.03 (0.79 , 1.32) , NS</p> <p><i>Birth to 9 months:</i> FTT= 1.21 (0.96, 1.54),NS</p>	Blair 2004/ Results from univariate analysis	Low
Deprivation (Townsend score)	Thrive index (birth to 6 weeks) = p 0.005	Wright 2006	Low
Parental education	<i>From birth to 6-8 weeks:</i>		

Risk factor	OR (95% CI), p-value for FTT, unless specified	Author/ Notes	Quality of evidence assessed by study with the CASP clinical prediction rule checklist
	FTT= 1.04 (0.82, 1.32), NS <i>From 6-8 weeks to 9 months:</i> FTT= 1.09 (0.86 , 1.39),NS <i>From birth to 9 months:</i> FTT= 1.15 (0.92, 1.45), NS	Blair 2004/ Results from univariate analysis	Low
	<i>Some college:</i> FTT= 1.00 (ref) <i>< High school:</i> FTT= 1.52 (0.86,2.69), NS	Kelleher 1993	Low

Risk factor	OR (95% CI), p-value for FTT, unless specified	Author/ Notes	Quality of evidence assessed by study with the CASP clinical prediction rule checklist
	<p><i>High School Graduate:</i></p> <p>FTT= 1.51 [0.87,2.63], NS</p> <p><i>≥ College graduate:</i></p> <p>FTT= 2.12 [1.09,4.13], NS</p> <p>height at 4 y less than -2 SDs = 1.6 [0.9-2.9], NS</p> <p>weight at 4 y less than 2 SDs = 1.0 [0.5-1.9], NS</p> <p>head circumference at 1 y less than -2SDs= 5.3 (1.4-20.6), P<.05</p> <p>maternal, paternal education association with weight gain =NS</p>	<p>Boca-Tjeertes 2011/ Results from univariate analysis</p>	<p>Moderate</p>
Restricted intake	<p><u><i>Mother dieting</i></u></p> <p><i>From birth to 6-8 weeks:</i></p> <p>FTT = 1.45 (0.85, 2.44), NS</p> <p><i>From 6-8 weeks to 9 months:</i></p> <p>FTT = 1.06 (0.56 , 1.96), NS</p> <p><i>From birth to 9 months:</i></p> <p>FTT= 1.43 (0.84, 2.41), NS</p>	<p>Blair 2004/ Results from univariate analysis</p>	<p>Low</p>

Risk factor	OR (95% CI), p-value for FTT, unless specified	Author/ Notes	Quality of evidence assessed by study with the CASP clinical prediction rule checklist
	<p><i><u>Mother vegetarian</u></i></p> <p><i>From birth to 6-8 weeks:</i></p> <p>FTT= 1.32 (0.90, 1.94), NS</p> <p><i>From 6-8 weeks to 9 months:</i></p> <p>FTT= 0.98 (0.62 , 1.53), NS</p> <p><i>From birth to 9 months:</i></p> <p>FTT= 1.09 (0.72, 1.65), NS</p>		
Parental substance misuse			
Maternal smoking	<p><i><u>1st semester of pregnancy</u></i></p> <p><i>From birth to 6-8 weeks:</i></p> <p>FTT= 1.06 (0.85, 1.31), NS</p> <p><i>From 6-8 weeks to 9 months:</i></p> <p>FTT= 0.81 (0.64 , 1.03),NS</p> <p><i>From birth to 9 months:</i></p> <p>FTT= 0.96 (0.77, 1.20), NS</p>	Blair 2004/ Results from univariate analysis; all children included in this study presented with faltering growth	Low

Risk factor	OR (95% CI), p-value for FTT, unless specified	Author/ Notes	Quality of evidence assessed by study with the CASP clinical prediction rule checklist
	<p><u>3rd semester of pregnancy</u></p> <p><i>From birth to 6-8 weeks:</i></p> <p>FTT= 1.04 (0.82, 1.31), NS</p> <p><i>From 6-8 weeks to 9 months:</i></p> <p>FTT = 0.83 (0.64 , 1.08),NS</p> <p><i>From birth to 9 months:</i></p> <p>FTT= 0.92 (0.72, 1.17), NS</p>		
	weight faltering = 1.52 [1.06,2.18] p=0.0253	Olsen 2010	Low
	<p><i>1-5 cigarettes per day:</i></p> <p>height at 4 years less than -2 SDs = 0.9 [0.3-2.7], NS</p> <p>weight at 4 years less than 2 SDs = 1.4 [0.5-3.6], NS</p> <p>head circumference at 1 year less than = 1.3 (0.2-10.4), NS</p> <p><i>6-10 cigarettes per day:</i></p> <p>height at 4 years less than -2 SDs = 0.6 [0.2-2.7], NS</p> <p>weight at 4 years less than 2 SDs = 1.9 [0.7-5.1], NS</p>	Boca-Tjeertes/ Results from univariate analysis 2011	Moderate

Risk factor	OR (95% CI), p-value for FTT, unless specified	Author/ Notes	Quality of evidence assessed by study with the CASP clinical prediction rule checklist
	<p>head circumference at 1 year less than -2SDs, = 1.8 (0.2-14.6)</p> <p>>10 cigarettes per day:</p> <p>height at 4 years less than -2 SDs = 1.5 (0.5-4.4), NS</p> <p>weight at 4 years less than 2 SDs = 1.8 (0.6-5.2), NS</p> <p>head circumference at 1 year less than -2SDs = 2.1 (0.3-17.4), NS</p>		
Alcohol and drugs	<p><u>Alcohol consumption</u></p> <p><i>From birth to 6-8 weeks:</i></p> <p>FTT= 1.16 (0.68, 1.93), NS</p> <p><i>From 6-8 weeks to 9 months:</i></p> <p>FTT= 0.89 (0.48 , 1.62), NS</p> <p><i>From birth to 9 months:</i></p> <p>FTT= 1.11 (0.65, 1.88), NS</p> <p><u>Illegal drugs taken</u></p> <p><i>From birth to 6-8 weeks:</i></p> <p>FTT= 2.30 (1.39, 3.75) p<0.001</p>	<p>Blair 2004/</p> <p>Results from univariate analysis; all children included in this study presented with faltering growth</p>	<p>Low</p>

Risk factor	OR (95% CI), p-value for FTT, unless specified	Author/ Notes	Quality of evidence assessed by study with the CASP clinical prediction rule checklist
	<p><i>From 6-8 weeks to 9 months:</i></p> <p>FTT= 1.02 (0.49 , 2.07), NS</p> <p><i>From birth to 9 months:</i></p> <p>FTT= 1.41 (0.76, 2.56), NS</p>		
Maternal mental health			
Maternal depression	<p><i>Term births, postnatal depression at 8 weeks measured by the EPDS:</i></p> <p>EPDS >12; X2 =0.439, P=0.51</p> <p>EPDS >15; X2 =0.030, P=0.86</p> <p><i>Term births, postnatal depression at 8 months measured by the EPDS:</i></p> <p>EPDS >12; X2 =0.020, P=0.87</p> <p>EPDS >15; X2 =.120, P=0.729</p> <p>Adjusted effect of depression over a more extended period ; X2 =1.71, P=0.192</p> <p><i>Preterm births, postnatal depression at 8 weeks measured by the EPDS:</i></p> <p>EPDS >12; X2 =.896, P=0.344</p>	<p>Drewett 2004/</p> <p>All children included in this study presented with faltering growth</p>	Moderate

Risk factor	OR (95% CI), p-value for FTT, unless specified	Author/ Notes	Quality of evidence assessed by study with the CASP clinical prediction rule checklist
	<p>EPDS >15; X2 =1.939, P=0.164</p> <p><i>Preterm births, postnatal depression at 8 months measured by the EPDS :</i></p> <p>EPDS >12; X2 =1.744, P=0.187</p> <p>EPDS >15; X2 =.387, P=0.534</p> <p>Adjusted effect of depression over a more extended period; X2 =.784, P=0.376</p>		
	<p>EPDS ≥9 ,(32.7% index vs.21.5% control) = 1.71 (1.16-2.53), p≤0.01</p> <p>EPDS ≥13 = (14.8% index vs. 7.8% control) = 1.96 (1.13-3.38), p ≤ 0.02</p>	<p>O'Brien 2004/ All children included in this study presented with faltering growth</p>	<p>Low</p>
<p>Maternal depression</p>	<p>at 4 months, in deprived groups, depression (EPDS>12) was associated with lower TI</p> <p>at 4 months, in more affluent groups, depression (EPDS>12), was not associated with TI</p>	<p>Wright 2006</p>	<p>Low</p>
<p>Anxiety</p>	<p>HADS ≥8 = (24% index vs. 12.9% control) = 2.08 (1.33-3.25), p≤0.01</p>	<p>O'Brien 2004/ All children included in this study presented with faltering growth</p>	<p>Low</p>

Risk factor	OR (95% CI), p-value for FTT, unless specified	Author/ Notes	Quality of evidence assessed by study with the CASP clinical prediction rule checklist
Depression or anxiety	Number of women scoring EPDS ≥ 9 OR HADS ≥ 8 = (35.2% index vs. 23.6% control) = 1.74 (1.19-2.54), p = 0.01	O'Brien 2004/ All children included in this study presented with faltering growth	
Abused children	Stunting (Low wgt/hgt) = 16.6 (1.9-145.0), p<0.05 Wasting (Low hgt/age) = 2.2 (0.61-7.9)	Karp 1989	Low

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EPDS Edinburgh Postnatal Depression Scale; HADS Hospital Anxiety and Depression Scale; NS non-significant; FTT failure to thrive; TI Thrive Index; wgt = weight; hgt= height; FTT= failure to thrive; SD= standard deviation; SGA = small for gestational age; OR Odds ratio

Table 32: Summary of results for early weight loss risk factors

Risk factor	OR (95%), p-value for FTT, unless specified	Author	Quality of evidence assessed by study with the CASP clinical prediction rule checklist
Parity	From birth to 6-8 weeks: FTT= 1.13 (0.91,1.42) NS	Blair 2004	Low
	Weight faltering = Slow starters (0-2 weeks only) 0.75 (0.35,1.57), NS Weight faltering = Very early onset (0-2 weeks and later)	Olsen 2010	Low

Risk factor	OR (95%), p-value for FTT, unless specified	Author	Quality of evidence assessed by study with the CASP clinical prediction rule checklist
Birth complications	0.80 (0.40,1.70),NS Weight faltering = Slow starters (0-2 weeks only) 0.92 [0.51,1.69]NS Weight faltering = Very early onset (0-2 weeks and later) 1.70 [0.59,4.89]NS	Olsen 2010	Moderate

FTT failure to thrive, NS non-significant, OR odds ratio

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1 **5.3.3.5 Economic evidence**

2 As this topic does not deal with competing uses of resources the topic was not prioritised for
3 health economic analysis and no economic evidence was identified.

4 **5.3.3.6 Clinical evidence statements**

5 **Prematurity**

6 Low quality evidence from one study with 3629 participants showed that there was a
7 significant association between 'failure to thrive' defined as crossing \geq two major weight
8 centiles from birth until 6-11 months and decreased gestational age at birth.

9 **Family history of faltering growth**

10 No evidence was retrieved for this risk factor.

11 **Intrauterine growth restriction**

12 No evidence was retrieved for this risk factor.

13 **Small for gestational age**

14 Moderate quality evidence from two studies comprising a total of 1894 participants showed
15 that there was an association between 'small for gestational' age babies and risk of persisting
16 small stature. An association was found between low height and weight at 4 years and being
17 born small for gestational age.

18 **Neurodevelopmental and developmental delay**

19 Low quality evidence from one study with 771 participants showed that there was a
20 significantly increased risk of failure to thrive when abnormal or suspect neurological exam
21 was present.

22 **Breast feeding**

23 Low quality evidence from one study with 12428 participants showed that there was a
24 significant association between weak sucking difficulties at 4 weeks and growth faltering and
25 between breastfeeding duration (<6 months) and weight faltering.

26 **Depression**

27 There was inconsistent evidence for this risk factor with moderate quality evidence from one
28 study with 12391 participants showing no significant association between maternal postnatal
29 depression as measured by the Edinburgh Postnatal Depression Scale (EPDS) (EPDS scale
30 > 12 and >15) and faltering growth in the baby. However, low quality evidence from another
31 study with 774 participants showed a significant association between maternal depression
32 (EPDS >12) and thrive index at 4 months, but only in deprived groups. Further low quality
33 evidence from a third study with 196 participants showed an association between faltering
34 growth and maternal depression as measured by the EPDS (EPDS scale \geq 9 and \geq 13).

1 **Anxiety**

2 Low quality evidence from one study with 196 participants showed an association between
3 faltering growth and maternal anxiety as measured by the Hospital Anxiety and Depression
4 Scale (HADS) (HADS \geq 8).

5 **Parental substance misuse**

6 **Maternal smoking**

7 Low to moderate quality evidence from two studies comprising a total of 12841 participants
8 showed that there was no significant association between maternal smoking and failure to
9 thrive, weight, height, or head circumference.

10 Low quality evidence from one study with 3638 participants showed that there was an
11 association between weight faltering and mother smoking during pregnancy.

12 **Alcohol consumption**

13 Low quality evidence from one study with 11718 participants showed that there was no
14 significant association between parental alcohol consumption and failure to thrive.

15 **Illegal drugs use**

16 Low quality evidence from one study with 11718 participants showed that there was an
17 association between failure to thrive (from birth to 6-8 weeks) and parent reported use of
18 illegal drugs. However, the association was not significant for failure to thrive between 6-8
19 weeks and 9 months and at 9 months.

20 **Socioeconomic status**

21 Low quality evidence from one study with 11718 participants showed there was no significant
22 association between failure to thrive and social class. However, moderate quality evidence
23 from another study with 774 participants showed there was a significant association between
24 deprivation (Townsend score) and thrive index.

25 **Parental educational status (particularly maternal)**

26 Low to moderate quality evidence from three studies comprising a total of 12612 participants
27 showed no significant association between parental education and failure to thrive. No
28 association was found with height, weight, and weight gain as well. A significant association
29 was showed between head circumference at 1 year and lower maternal education.

30 **Physical, emotional, sexual abuse and neglect (safeguarding issues)**

31 Low quality evidence from one study with 196 participants showed an association between
32 stunting (low weight/height) and child abuse, but no association was found for wasting (low
33 height/age) in the same population.

34 **Restricted intake (for example restricted diet)**

35 Low quality evidence from one study with 11718 participants showed that there was no
36 significant association between mother dieting and failure to thrive for the baby. Similarly, the
37 same study showed no significant association between mother being vegetarian and
38 increased risk of failure to thrive for the baby.

1 **Risk factors related to early weight loss (under 4 weeks)**

2 **Parity**

3 Low quality evidence from two studies comprising a total of 15356 participants showed that
4 there was no significant association between parity and faltering growth.

5 **Birth complications including caesarean section (neonate only)**

6 Moderate quality evidence from one with 3638 participants showed that there was no
7 significant association between birth complications and faltering growth.

8 **Mother-child relationship/ attachment**

9 No evidence was retrieved for this risk factor.

10 **5.3.3.7 Evidence to recommendations**

11 **5.3.3.7.1 *Relative value placed on the outcomes considered***

12 The aim of this review was to determine factors that could improve recognition and identify
13 management strategies for faltering growth. The Committee identified the following as critical
14 outcomes for decision making based on the impact of the risk factor:

- 15
- 16 • Improved recognition
 - 17 • Measurement of growth

18 The different risk factors were grouped into those related to infant or preschool children as
19 well as those related to the family and social factors. Overall, evidence was identified for all
20 the risk factors classified as critical or important, with the exception of family history of
21 faltering growth; birth complications, including caesarean section; and mother-child
22 attachment. The Committee placed more importance on factors related to infant or preschool
23 children, such as small for gestational age or neurodevelopmental delay than to family and
social factors.

24 **5.3.3.7.2 *Consideration of clinical benefits and harms***

25 The Committee considered the evidence presented and agreed that the evidence for risk
26 factors for faltering growth is heterogeneous. Studies varied widely, for example, in
27 measurement and recognition of faltering growth, definition of risk factors and setting in
28 which the study was conducted.

29 The Committee was aware that the evidence presented used different definitions for the
30 population of interest, and considered this when drafting the recommendations.

31 The Committee discussed and agreed, based on the evidence and on their expertise, that
32 there are two independent risk factors that should be recognised: neurodevelopmental
33 concerns and prematurity. The term 'neurodevelopmental concern' replicates the wording
34 used in the literature, and reflects clinical concern about neurological abnormality on
35 examination rather than a proven delay or disorder. With regards to prematurity, the study
36 that covered this specific condition presented with mixed results. However, the Committee
37 recognised that babies born prematurely do follow different growth patterns than non-preterm
38 babies. This difference in growth may have lasting effects becoming a cause or a
39 contributory factor associated with faltering growth.

40 The Committee considered the evidence presented on socioeconomic status. The
41 consensus opinion was not to make any recommendation about socioeconomic status as a
42 risk factor for faltering growth, given the heterogeneity of definitions and findings reported in

1 the evidence presented. Socioeconomic status may be interrelated to other potential risk
2 factors that were explored (for example maternal education and poverty).

3 The Committee noted that, based on their experience and some evidence, there may be no
4 association between deprivation and faltering growth. If there is an association it may not be
5 a direct linear relationship, but may be 'U-shaped' with an increased incidence at either end
6 of the socioeconomic spectrum.

7 The evidence on maternal mental health problems as a risk factor for faltering growth was
8 mixed and inconsistent. Based on the Committee's experience it was decided by consensus
9 to make a recommendation that a maternal mental health problem may be a risk factor.
10 However, the Committee was not in complete agreement about the validity of the tools used
11 in the studies to assess maternal depression or anxiety.

12 The Committee wanted to explore characteristics commonly thought of as risk factors for
13 faltering growth. The group agreed that the evidence was convincing enough to suggest that
14 low maternal education is not a risk factor for faltering growth. They also discussed that
15 smoking is commonly recognised as a cause of IUGR. However there was no association
16 found between smoking and faltering growth in the evidence review.

17 The Committee is aware that the majority of children with faltering growth are not being
18 abused or neglected. However, families may have needs for additional support. In families
19 with safeguarding concerns children's growth should be monitored to look for evidence of
20 weight faltering.

21 **5.3.3.7.3 Quality of evidence**

22 The quality of the included studies ranged from low to moderate as assessed by the CASP
23 clinical prediction rule checklist. For prognostic risk factor reviews, observational studies
24 such as prospective cohort studies would be the most appropriate study designs for
25 addressing this question, which therefore would initially be assigned high quality and
26 downgraded based on potential sources of bias. The main sources of bias were the use of
27 non-validated clinical prediction rules for measuring the risk factors and insufficient data
28 about the confounders the study adjusted for. Additionally, one of the studies was likely to
29 present with selection bias as participants were referred to the study from a specific source in
30 a systematic manner, whereas other studies presented more robust evidence as they used
31 population based cohorts (i.e. Avon Longitudinal Study of Parents and Children [ALSPAC],
32 The Gateshead Millennium Baby Study and The Copenhagen County Child Cohort). The
33 Committee agreed that the low quality of the evidence for the association between risk
34 factors and faltering growth lowered their confidence in the findings and would therefore
35 mean that they would be unable to make a strong recommendations.

36 **5.3.3.7.4 Consideration of economic benefits and harms**

37 This topic does not carry a direct health economic impact; while it is clear that a child with
38 faltering growth will cost more overall than an otherwise healthy child, most of these risk
39 factors are not preventable and therefore the cost of subsequent weight faltering is an
40 inevitable cost to the NHS. In some cases the possible cause of faltering is preventable – for
41 example in rare situations where the cause is neglect – but in these cases the NHS / PSS
42 are already trying to prevent the underlying risk factor and so there is no change in practice
43 implied by these recommendations. Additionally the evidence in some of these causes is
44 quite weak, while it is known that preventing the cause is extremely difficult and expensive,
45 making the possibility of radical intervention in these areas unsupported on health economic
46 grounds.

47 However there may be an indirect economic impact if the information allows clinicians to
48 make diagnoses of faltering growth more quickly and confidently. In this case the
49 recommendations will likely carry a small cost of an increased number of referrals, but then a

1 subsequent cost and QALY benefit as babies and children have their faltering growth better
2 managed. As there is no evidence on the numbers of clinicians already following one or more
3 of the Committee's recommendations, the indirect effects cannot be calculated.

4 Finally there may be a highly indirect social and economic benefit of excluding maternal
5 education as a risk factor if clinicians were mistakenly referring on this basis (or partially on
6 this basis). This will have similar indirect effects to the above – if there are no subsequent
7 benefits to the referral it will limit the initial cost – but may also have a benefit of reducing
8 anxiety to mothers with low education of approaching healthcare professionals for advice,
9 which might have 'externality' effects in other areas.

10 This topic is highly unlikely to carry a significant resource impact.

11 **5.3.3.7.5 Other considerations**

12 The Committee recognised that being small for gestational age (SGA) at birth was
13 associated with smaller stature later in childhood. However, SGA was not reported in the
14 evidence as independent risk factor. The papers reporting on SGA and faltering growth did
15 not use widely accepted measures for faltering growth or failure to thrive. Many infants born
16 small for gestational age would be expected to demonstrate some 'catch-up' growth in
17 childhood, but may remain small compared to the general population.

18 **5.3.3.7.6 Key conclusions**

19 Guided by the evidence and the experience and expertise of the Committee, it was decided
20 to group recommendations on risk factors into those that were clearly related to the
21 recognition of faltering growth (neurodevelopmental concerns, and prematurity) others where
22 evidence was mixed or inconsistent but the Committee could agree on a possible association
23 with faltering growth (postnatal depression and anxiety), and a factor (maternal education)
24 that they wanted to highlight as not being linked to faltering growth.

25 **5.3.3.8 Recommendations**

26 **13. Be aware that the following possible causes or contributory factors may be**
27 **associated with faltering growth:**

- 28 • preterm birth
- 29 • neurodevelopmental concerns
- 30 • maternal postnatal depression or anxiety.

31 **5.3.4 Prevalence of specific causative conditions**

32 **Review question: What is the prevalence of the specific causative conditions (and of**
33 **no causative condition) identified in infants and preschool children who present with**
34 **faltering growth who have no other symptoms or signs pointing to such a condition?**

35 **5.3.4.1 Introduction**

36 The objective of this review as to determine what investigations and or referrals, if any, are
37 appropriate in primary care settings.

38 The Committee identified the following outcome as critical for decision making:

- 39 • Percentage/ proportion of children of specific causative conditions with the specific
40 organic disorder and with no identified specific organic disorder.

41 For full details see review protocol in Appendix D.

1 5.3.4.2 Description of clinical evidence

2 Four studies from three different cohorts were identified on prevalence of specific causative
3 conditions in faltering growth (Berwick 1982, Sills 1978, Wright 1998, and Wright 1996). Two
4 of the studies were carried out in the USA and the other two in the UK and identified the
5 prevalence of specific structural causes of faltering growth, including partial intestinal
6 obstruction, tuberculosis, neurological cause, urinary tract infections, coeliac disease and
7 hypercalcaemia. Two of the studies grouped the causes by organic and nonorganic. The
8 sample sizes ranged from 122 to 229 and variable percentage of children presented with a
9 specific structural disease, with numbers ranging from 10% to 18% of the population
10 included.

11 Evidence was not found on the following outcomes: on other outcomes listed in the review
12 protocol: hypothyroidism and chronic renal disease.

13 It is important to note that the generalisability of the included studies may be limited due to
14 the small sample size and, for one of the studies, only hospitalised children were selected to
15 participate, which may indicate that only severe cases were included.

16 See the study selection flow chart in Appendix F, evidence tables in Appendix G and
17 exclusion list in Appendix H.

18 5.3.4.3 Summary of included studies

19 A summary of the studies that were included in this review are presented in Table 33.

20 **Table 33: Summary of included studies**

Study	Objective	Definition for faltering growth	Outcomes	Limitations
Berwick 1982	To assess the diagnostic yield of children in the infant-toddler age group who are admitted to hospital to investigate the cause of FTT of obscure origin.	Failure to thrive' was defined as those children whose weight lies consistently below the 3rd centile for age, or whose growth is rapidly crossing centiles downwards.	Specific structural causes of faltering growth (N=122): <ul style="list-style-type: none"> • partial intestinal obstruction (N=3) • tuberculosis (N=1) • neurological cause (N=2) • coeliac disease (N=2) • hypercalcaemia (N=1) • others (N=3) 	Overall quality of the study: low
Sills 1978	To assess whether laboratory tests provide additional diagnostic information to clinical examination in children admitted to hospital for diagnostic evaluation of FFT.	Failure to thrive' was defined as those children whose weight lies consistently below the 3rd centile for age, or whose growth is rapidly crossing centiles	Cause of faltering growth (N=185) <ul style="list-style-type: none"> • organic (N=34) • nonorganic (N=106) • undetermined N=(45) <p>The usefulness of laboratory tests was also reported.</p>	Overall quality of the study: low – children may have had signs or symptoms of underlying conditions.

Study	Objective	Definition for faltering growth	Outcomes	Limitations
		downwards.		
Wright 1998, 1996	Children with FG were identified via population screening for recruitment to an RCT. As part of the trial most were assessed by a paediatrician.	Second weight SD score fall of at least 1.26 from baseline weight, after adjustment for regression to the mean.	Cause of faltering growth (N=229) <ul style="list-style-type: none"> solely organic (N=10) partly organic(N=27) 	Overall quality of the study: low – children may have had signs or symptoms of underlying conditions.

1 *FTT failure to thrive; FG faltering growth; SD standard deviation*

2 5.3.4.4 Clinical evidence profile

3 The clinical evidence profile for this review question is presented in Table 34. This table
4 summarises the prevalence of each condition across studies. Quality was assessed
5 individually for each study based on the risk of bias.

6 **Table 34: Summary clinical evidence profile**

Prevalence of specific conditions in infants and preschool children who present with faltering growth and no other symptoms or signs			
Condition	Prevalence	No of Participants (studies)	Quality of the evidence by study ¹
Partial intestinal obstruction: pyloric stenosis (N=2) or malrotation (N=1))	3/122 (2.5%)	122 (1 study)	Low ^{2,3}
Urinary tract infection	3/122 (2.5%)	122 (1 study)	Low ^{2,3}
Tuberculosis	1/122 (0.8%)	122 (1 study)	Low ^{2,3}
Neurological: Leigh’s disease (N=1) or cerebral palsy (N=1)	2/122 (1.6%)	122 (1 study)	Low ^{2,3}
Coeliac disease	2/122 (1.6%)	122 (1 study)	Low ^{2,3}
Hypercalcaemia	1/122 (0.8%)	122 (1 study)	Low ^{2,3}
No specific structural cause of FTT: unexplained (N=41), social-environmental (N=39), functional GI (N=26), not FTT (N=4)	110/122 (9%)	122 (1 study)	Low ^{2,3}

7 *FTT failure to thrive; GI gastrointestinal*

8 *1 Methodological limitations assessed using the JBI Munn 2014 Checklist*

9 *2 Participants were admitted to hospital with FTT and may represent more severe cases of faltering growth*

10 *3 Unclear how participants were selected for inclusion*

11 5.3.4.5 Economic evidence

12 As this question does not concern the competing uses of NHS resources it was not
13 prioritised for health economic analysis. No health economic evidence was identified for this
14 topic from the overall health economic search.

15 5.3.4.6 Clinical evidence statements

16 Low quality evidence came from one cross sectional study including 122 young children
17 admitted to hospital with failure to thrive of no obvious cause. Specific structural causes were

1 identified in 12/122 cases (9.8%). These conditions included: partial intestinal obstruction
2 (2.5% of cases), urinary tract infection (2.5%), tuberculosis (0.8%), neurological causes
3 (1.6%), coeliac disease (1.6%) and hypercalcaemia (0.8%).

4 No evidence was found about the prevalence of hypothyroidism and chronic renal disease in
5 this population.

6 Low quality evidence from one cross sectional study of 185 young children admitted to
7 hospital for investigation of failure to thrive (but who may have had signs or symptoms of
8 underlying organic disease) indicated that while organic disease was diagnosed as the
9 underlying cause in 18% of children, in all cases the combination of history and physical
10 examination indicated the likely final diagnosis rather than laboratory studies alone.

11 Low quality evidence from one cohort study including 229 young children with faltering
12 growth (but who may have had signs or symptoms of underlying organic disease) indicated
13 that in 4% of cases an underlying organic condition was the likely sole cause of faltering
14 growth and in 12% of cases an underlying organic condition was a contributory factor to
15 faltering growth. In most cases these organic conditions had already been diagnosed before
16 study entry, however a previously undiagnosed organic condition was identified in 2% of the
17 subset of children routinely assessed as part of the study.

18 **5.3.4.7 Evidence to recommendations**

19 **5.3.4.7.1 *Relative value placed on the outcomes considered***

20 The main aim of this review was to identify the prevalence of specific causative and non-
21 causative conditions in infants and preschool children who present with faltering growth but
22 have no other symptoms or signs of such condition.

23 The Committee identified the prevalence/proportion of children with coeliac disease, urinary
24 tract infections, hypothyroidism or chronic renal disease and no other identified causative
25 condition as important outcomes. The Committee anticipated that the largest proportion of
26 children would not have a particular causative condition. The only evidence was retrieved for
27 the prevalence of coeliac disease and urinary tract infections, and the Committee debated
28 whether other non-reported prioritised conditions should also feature in their
29 recommendations

30 **5.3.4.7.2 *Consideration of clinical benefits and harms***

31 The Committee considered the benefits and harms of testing and assessment of infants and
32 children with faltering growth. There are benefits to correctly identifying treatable causative
33 conditions. Recognising that such underlying conditions are rare, the Committee highlighted
34 the potential harms of invasive investigations and false positive results. In addition repeated
35 testing can raise anxiety and delay appropriate intervention.

36 For the initial assessment please see section 8. The evidence indicated that the prevalence of
37 these underlying conditions (without signs and symptoms) was very low in infants and
38 preschool children with faltering growth.

39 Based on their experience, the Committee thought that a clinical and neuro developmental
40 history and full physical examination was essential to establish whether there were any signs
41 or symptoms of underlying conditions. For this reason they recommended that clinicians
42 should be aware that if a child appears well and there are no suggestive symptoms or signs
43 further investigation is unlikely to reveal an unrecognised cause.

44 The Committee considered that (based on their experience) an underlying causative
45 condition would be more likely in children who present with sustained faltering growth not
46 responding to initial intervention, and that further tests or assessments could be justified in

1 this scenario. For this reason, they recommended clinical judgement should be used in these
2 cases when deciding on further investigation.

3 Although unlikely, some children with faltering growth could have an underlying causative
4 condition without other signs and symptoms. Not testing could result in a potential harmful
5 delay in diagnosis and treatment for these children. To mitigate this harm the Committee
6 recommended that clinicians should think about undertaking further investigations for a child
7 with sustained faltering growth, or if new symptoms or signs emerge during follow-up. The
8 Committee agreed that if there were signs or symptoms or sustained faltering growth, then
9 further investigations for conditions such as hypothyroidism and chronic renal disease may
10 be indicated according to clinical judgement.

11 The Committee, however, recommended screening for urinary tract infection due to the low
12 harms associated with the test. The Committee also recommended to consider assessment
13 for coeliac disease and cow's milk protein allergy in line with existing NICE guidance.

14 **5.3.4.7.3 Consideration of economic benefits and harms**

15 No cost-effectiveness evidence was found for this question. The Committee considered that
16 avoidance of futile testing could lead to cost savings, and potentially prevent parental
17 anxiety. However they added that performing necessary and clinically important tests could
18 help resolve the underlying condition and this might additionally reduce parental anxiety –
19 both of which would have an important health economic impact.

20 The cost of the tests the Committee considered is low, especially when undertaken at the
21 same time. Similarly the Committee judge some tests are routinely performed which are of
22 limited clinical benefit in the absence of other signs and symptoms, which the Committee
23 wished to prevent. Consequently there is no way to tell whether these recommendations will
24 lead to a net cost or saving for the NHS, but regardless of the direction of effect the overall
25 magnitude is likely to be small and there is unlikely to be a significant resource impact.

26 **5.3.4.7.4 Quality of evidence**

27 Four studies from 3 different cohorts were included in this review. The quality of the evidence
28 was assessed by the Joanna Briggs Institute Checklist for Prevalence Studies and the main
29 sources of bias were lack of valid methods for identification of the condition and lack of
30 information with regards to the method used for sampling the participants of the study. Other
31 issues noted by the Committee included indirectness – the participants were admitted to
32 hospital with 'Failure to Thrive' and it was unclear how participants were selected for
33 inclusion. Two of the studies included children who may have had signs or symptoms of
34 underlying organic disease.

35 **5.3.4.7.5 Other considerations**

36 It was discussed that in current practice children presenting with signs of faltering growth are
37 investigated with many different tests. The Committee noted that this is often carried out in
38 an attempt to reassure the parents or to be seen as being thorough. However, the available
39 evidence indicates that these tests are unlikely to reveal any causative conditions in the
40 absence of other clinical features.

41 **5.3.4.7.6 Key conclusions**

42 Based on the available evidence and the experience and expertise of the Committee it was
43 concluded that infants and children initially presenting with faltering growth and no signs and
44 symptoms of a particular underlying causative condition are unlikely to need further tests
45 because they are unlikely to find an unrecognised condition.

1 **5.3.4.8 Recommendations**

2 **14. If there is concern about faltering growth:**

- 3 • perform a clinical and developmental assessment, and take a detailed
- 4 feeding or eating history
- 5 • consider direct observation of feeding or meal times
- 6 • consider investigating for:
 - 7 o urinary tract infection (follow the principles of assessment in NICE's
 - 8 guideline on urinary tract infection in under 16s)
 - 9 o coeliac disease, if the diet has included gluten-containing foods
 - 10 (follow the principles of assessment in NICE's guideline on coeliac
 - 11 disease)
- 12 • perform further investigations only if they are indicated based on the
- 13 clinical assessment.

14 **15. Be aware that investigations (other than those recommended in 14) are unlikely to**
 15 **reveal an underlying disorder in a child with faltering growth who appears well**
 16 **with no other clinical concerns.**

17 **16. If a child with faltering growth develops new clinical symptoms or signs after the**
 18 **initial assessment, reconsider whether investigations are needed.**

19 **5.3.4.9 Research recommendations**

20 Are routine investigations for underlying medical or behavioural conditions effective and cost-
 21 effective in children with faltering growth?

22 **Why this is important**

23 Concern about growth in young children is common. Parents and health professionals often
 24 worry that there is an underlying reason for slow growth that has been missed or could be
 25 easily treated to improve growth. Children may be offered blood tests or other investigations
 26 looking for unrecognised illness.

27 The limited evidence available at the moment is inconclusive therefore further research is
 28 needed. This research recommendation aims to find out how commonly children with
 29 faltering growth have unrecognised medical problems.

30 This information would help families and health professionals to plan care for children with
 31 faltering growth, particularly in deciding whether tests should be offered to look for
 32 unrecognised illness.

33 **Table 35: Research recommendation rationale**

Research question	Are routine investigations for underlying medical or behavioural conditions effective and cost-effective in children with faltering growth?
Why this is needed	
Importance to 'patients' or the population	<p>Concern about growth in young children is common. Parents and health professionals often worry that there is an underlying reason for slow growth that has been missed or could be easily treated to improve growth. Children may be offered blood tests or other investigations looking for unrecognised illness.</p> <p>The limited evidence available at the moment suggests that children are unlikely to have an underlying causative condition for faltering growth without other signs or indications. This research recommendation aims to find out how commonly children with faltering growth have unrecognised medical</p>

Research question	Are routine investigations for underlying medical or behavioural conditions effective and cost-effective in children with faltering growth?
	<p>problems.</p> <p>This information would help families and health professionals to plan care for children with faltering growth, particularly in deciding whether tests should be offered to look for unrecognised illness.</p>
Relevance to NICE guidance	NICE guidance prioritises evidence based investigations to identify health problems and therefore guide effective intervention to improve health and wellbeing. An accurate understanding of the likely and possible aetiology of faltering growth is important to guide initial assessment and management.
Relevance to the NHS	Prioritise effective investigation and treatment to minimise waste of limited resources.
National priorities	<p>The National Service Framework for children, young people and maternity services aims for long-term and sustained improvement in children's health, and sets standards for health and social care services for children, young people and pregnant women.</p> <p>The Healthy Child Programme describes standards of care for screening and providing advice during pregnancy and the first 5 years of life. It includes broad recommendations on monitoring growth in infants and children.</p>
Current evidence base	Extremely limited data specifically looking at the prevalence of medical or behaviour conditions in a population of children presenting with faltering growth.
Equality	Recognition assessment and management of faltering growth should take into consideration parents' and carers' socioeconomic, cultural, religious and ethnic environment, and potential language barriers. Access to appropriate nutrition may also differ across socioeconomic groups. Certain groups may be at greater risk of developing faltering growth, including preterm infants and children, children and infants born after intrauterine growth restriction. Those with learning-disabled parents or carers, asylum seekers, and looked-after children may find it more of a challenge to access services.
Feasibility	A large cohort of children with faltering growth would be required given the expected low incidence of underlying medical conditions.
Other comments	There is a gap in the evidence base. However it may be difficult to address this since large number are required.

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Table 36: Research recommendation statement (diagnostic accuracy study characteristics)

Criterion	Explanation
Population	Infants after the first days of life and pre-school children with faltering growth, as defined by the thresholds in this guideline (see recommendation Error! Reference source not found.) without obvious signs or symptoms of an underlying condition (following clinical and neuro developmental history and full physical examination).
Index Test	Specific investigations as detailed in related guidelines (i.e. urine dipstick and screen for coeliac disease).
Reference standard	Diagnosis of underlying medical or behavioural conditions based on additional investigations undertaken by health professionals or clinical follow up in those who do not have further investigations.
Outcome	Prevalence of underlying conditions, diagnostic accuracy of routine investigations, factors associated with increased prevalence of unsuspected underlying conditions (e.g. severity of faltering growth, signs and symptoms), subsequent diagnosis during follow up (e.g. medical condition or social communication disorder).
Study design	Prospective multi-centre cohort study (faltering growth)
Timeframe	Follow up to school age (up to 5 years)

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5.4 Interventions for faltering growth

5.4.1 Breastfeeding support

Review question: What forms of breastfeeding support are effective in the management of faltering growth?

5.4.1.1 Introduction

The aim of this review is to identify effective interventions to support breastfeeding in the context of borderline or definite faltering growth.

For full details see review protocol in Appendix D.

5.4.1.2 Description of clinical evidence

No clinical study was identified for this review.

5.4.1.3 Economic evidence

Although this topic was considered important for health economic evaluation, a global evidence search did not identify any literature on this topic and consequently no evaluation could be supported.

5.4.1.4 Clinical evidence statements

No evidence was identified for any of the prioritised outcomes.

5.4.1.5 Evidence to recommendations

5.4.1.5.1 Relative value placed on the outcomes considered

The aim of this review is to identify effective interventions to support breastfeeding in the context of borderline or definite faltering growth.

The committee indicated the following as the critical outcomes for decision making:

- measures of growth
- continuation of breastfeeding
- health related quality of life.

No evidence was identified for this review and the Committee based their recommendations on consensus informed by the experience and expertise of its members as well as information from one Clinical Guideline (Postnatal Care, CG37) one Public Health Guideline (Maternal and Child Nutrition, PH11) and an Interventional Procedures Guideline (Division of ankyloglossia (tongue-tie) for breastfeeding, IPG149).

5.4.1.5.2 Consideration of clinical benefits and harms

The Committee acknowledged that there are many health benefits associated with breastfeeding, with positive long and short term effects on both mother and baby. Even though these benefits are well established, members of the Committee described how many women choose to switch to formula feeding in the first few months of infancy. The Committee agreed that health professionals should explain the benefits and support the continuation of breastfeeding wherever possible.

1 Where there are concerns about faltering growth it is important to carry out a breastfeeding
2 assessment and to provide support and advice based on this assessment. Further details on
3 assessments of faltering growth and information provision and support are provided in
4 chapters 5.3 and 7.

5 Even though not directly covering the faltering growth population, the Committee considered
6 the recommendations made in CG37 on postnatal care and PH11 on Maternal and Child
7 Nutrition. It was highlighted that the postnatal care guideline only covers the first 8 weeks of
8 life. This could be a time during which concerns about faltering growth are first raised. The
9 Committee agreed that the recommendations could continue to apply after the 8 week
10 period. Breastfeeding should be encouraged and promoted if there are concerns about
11 faltering growth. However, where there are growth concerns, breastmilk could be expressed
12 and given in addition to breast feeds. Where necessary, breastmilk could be supplemented
13 with formula milk. In these cases it is important to support the woman's choice whilst also
14 highlighting the benefits of continued breastfeeding.

15 The Committee discussed the use of galactagogues, which are commonly used (for
16 example, domperidone, fenugreek, metoclopramide). Without evidence of effectiveness and
17 with the theoretical risk of side effects, the Committee decided not to recommend them.

18 **5.4.1.5.3 Consideration of economic benefits and harms**

19 Breastfeeding support may have a small direct cost, associated with clinical time and follow
20 up which could be incurred if a woman needs – for example – a discussion with her midwife
21 about breastfeeding.

22 In terms of indirect costs, there is evidence that continued breastfeeding can reduce overall
23 healthcare spending on a baby by making certain illnesses less likely and promoting robust
24 health generally. As this effect is ongoing over the lifetime of the child, it is likely that
25 relatively small investments made in breastfeeding support early will be cost-effective given
26 the accumulation of QALYs and costs offset over the lifetime of the child.

27 As the Committee chose not to recommend galactagogues, none of the recommendations
28 represent a significant resource impact from what is already typically done in the NHS.

29 **5.4.1.5.4 Quality of evidence**

30 No evidence was retrieved for this review.

31 **5.4.1.5.5 Other considerations**

32 The Committee also discussed ankyloglossia (tongue-tie) and elected not to make a specific
33 recommendation for or against particular interventions for tongue tie. The NICE
34 Interventional Procedure Guideline (IPG149) Division of ankyloglossia (tongue-tie) for
35 breastfeeding featured in this discussion. The Committee's decision not to directly refer to
36 tongue-tie was based on the quality of the evidence in IPG149 and the fact that no evidence
37 related to tongue-tie in infants with faltering growth was identified.

38 **5.4.1.5.6 Key conclusions**

39 The Committee concluded that supporting and encouraging breastfeeding was an important
40 component in the care of mothers where there are concerns about their infant's growth. Due
41 to the lack of directly applicable evidence the Committee based their decision on other
42 related public, clinical and interventional procedure guidance as well as on their expertise
43 and experience. It was also highlighted and agreed by the Committee that the observation
44 and assessment of breastfeeding as well as information and support are important aspects in
45 the promotion of breastfeeding which are topics that are addressed separately in other parts
46 of this guideline (chapters 5.3 and 7).

1 **5.4.1.6 Recommendations**

2 **Weight loss in the early days of life**

3 **17. Provide feeding support (see recommendations in NICE's guideline on Postnatal**
4 **care up to 8 weeks after birth) if there is concern about weight loss in infants in**
5 **the early days of life, for example if they have lost more than 10% of their birth**
6 **weight or have not returned to their birth weight by 3 weeks of age.**

7 **18. Be aware that while supplementary feeding with infant formula in a breastfed**
8 **infant may help with weight gain, it often results in cessation of breastfeeding.**

9 **19. If supplementation with an infant formula is given to a breastfed infant:**

- 10 • support the mother to continue breastfeeding
- 11 • advise expressing breast milk to promote milk supply, which can then be
- 12 fed to the infant.

13 **Faltering growth after the early days of life**

14 **20. If an infant's or child's feeding or mealtimes needs to be observed because of**
15 **concerns about faltering growth, ensure this is done by an individual with**
16 **appropriate training and expertise.**

17 **21. Provide feeding support (see recommendations in NICE's guideline on postnatal**
18 **care up to 8 weeks after birth) if there is concern about faltering growth in the first**
19 **weeks of life. Consider whether such feeding support might be helpful in older**
20 **milk-fed infants, including those having complementary solid foods.**

21 **22. Be aware that while supplementary feeding with infant formula may increase**
22 **weight gain in a breastfed infant if there is concern about faltering growth, it often**
23 **results in cessation of breastfeeding.**

24 **23. If supplementation with an infant formula is given to a breastfed infant because of**
25 **concern about faltering growth after the early days of life:**

- 26 • support the mother to continue breastfeeding
- 27 • advise expressing breast milk to promote milk supply (the expressed
- 28 milk can then be fed to the infant).

29 **5.4.2 Dietary advice and supplementation**

30 **Review question: What is the effectiveness of providing dietary advice or**
31 **supplementation to families or carers in the management of infants and preschool**
32 **children with suspected or confirmed faltering growth when compared to no**
33 **intervention or compared to advice on feeding practices other than breastfeeding, or**
34 **family support?**

35 **5.4.2.1 Introduction**

36 Faltering growth results from a nutritional intake below that needed to support growth.
37 Health professionals often offer advice aimed at increasing or supplementing energy intake
38 in children where there are concerns about faltering growth.

1 The aim of this review is to identify what interventions are clinically and cost effective for
2 improving nutritional status in children with concerns regarding possible or actual faltering
3 growth.

4 **5.4.2.2 Description of clinical evidence**

5 Four parallel randomised controlled trials were included in this evidence review on the
6 effectiveness of nutritional interventions for faltering growth (Alarcon 2003; Clarke 2007;
7 Fewtrell 2001; Panahi 2010).

8 Evidence from these studies is summarised in the clinical GRADE evidence profile below
9 (Table 38, Table 39, Table 40, and Table 41). For full details, please see the full GRADE
10 profiles in Appendix J. See also the review protocol in Appendix D, forest plots in Appendix I,
11 study evidence tables in Appendix G and the exclusion list in Appendix H.

12 With regards to the population studied, one trial included children with faltering growth due to
13 what they described as picky-eater behaviour defined as refusal of all or certain type of food
14 (Alarcon 2003); one trial included infants with faltering growth, defined as poor growth
15 (Clarke 2007); one looked at term infants with weight below the 10th centile (Fewtrell 2001);
16 and finally one study included children with mild or moderate nonorganic failure to thrive
17 (Panahi 2010).

18 Sample size ranged from 23 to 299 infants and children.

19 The included studies compared the effectiveness of several nutritional interventions:

- 20 • nutritional supplementation in addition to counselling versus counselling alone (Alarcon
21 2003)
- 22 • nutrient-dense formula versus either energy supplemented formula (Clarke 2007), or
23 standard term formula (Fewtrell 2001)
- 24 • supplementary bovine colostrum in addition to routine treatments versus routine
25 treatments alone (Panahi 2010).

26 Growth measurements, including weight and length gain, were the most reported outcomes
27 (Alarcon 2003; Clarke 2007; Fewtrell 2001; Panahi 2010); most studies reported on adverse
28 effects, but none presented statistical analysis.

29 No data was found for the following other outcomes listed in the review protocol:

- 30 • health-related quality of life
- 31 • parent or carer satisfaction
- 32 • adherence to interventions
- 33 • cognition and neurodevelopment.

34 **5.4.2.3 Summary of included studies**

35 A summary of the studies that were included in this review are presented in Table 37.

36 **Table 37: Summary of included studies**

Study	Population	Intervention	Comparison	Outcomes	Other
Alarcon 2003	92 subjects aged 36 to 60 months who had picky-eater behaviour (defined as refusal of all or certain types of food) and evidence of FG	Nutritional counselling + nutritional supplement (Pediasure; a lactose-free supplement that provided	Nutritional counselling alone (a physician counselled parents at each visit on techniques to	Weight, weight for age, height, height for age - all measured at day 30, 60, 90. Weight for height was	Subjects were enrolled at three study sites in the Philippines and at one site in Taiwan. Not clear

Study	Population	Intervention	Comparison	Outcomes	Other
	(below the 25th percentile in weight for height)	1.0 kcal/mL, with 12% of calories as protein, 43.8% as carbohydrate, and 44.8% as fat)	enhance their child's eating behaviours, and these principles were reinforced at each visit - parents were encouraged to follow 10 key points, see full text)	measured as well.	definition of 'faltering growth'.
Clarke 2007	49 infants with faltering growth, defined as poor growth = an infant less than the third centile for weight and height for age, and/or a weight gain that was less than 50% of expected over the 1-week period prior to recruitment.	Nutrient-dense formula (4.2 kJ mL ⁻¹) for 6 weeks.	Energy-supplemented formula (4.2 kJ mL ⁻¹) for 6 weeks.	Weight z-score, increase in median MUAC between the two groups.	UK based
Fewtrell 2001	299 healthy term infants with weight below the 10th centile.	Nutrient-enriched formula.	Standard term formula	Weight, length, and occipitofrontal head circumference at 9 and 19 months.	UK based <10% is a bit of a less stringent criterion than usually used
Panahi 2010	120 children (1-10 years of age) with mild or moderate nonorganic FTT. The two groups were matched for sex, age, weight, and height at the time of entry.	Routine treatments + supplementary bovine colostrum at the dose of 40mg*kg ⁻¹ *day ⁻¹ for a three month period.	Routine treatments for FTT, such as parents' instructions regarding correct dietary programs, daily multivitamins and minerals, and zinc sulphate syrup.	Height for age, and weight for age.	Study from Iran

1 *BW* birth weight; *EF* enriched formula; *FG* faltering growth; *FTT* failure to thrive ;*kg* kilogram; *kJ* kilocalories; *ml*
2 millilitre; *MUAC* mid upper arm circumference; *mg* milligram; *OFC* occipital-frontal circumference; *TF* term
3 (standard) formula.

4 5.4.2.4 Clinical evidence profile

5 The clinical evidence profiles for this review question (nutritional interventions in faltering
6 growth) are presented in Table 38, Table 39, Table 40 and Table 41.

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Table 38: Summary clinical evidence profile Comparison 1: counselling + nutritional supplement versus counselling alone for faltering growth

Counselling + nutritional supplement versus counselling alone for faltering growth					
Outcomes	Illustrative comparative risks* (95% CI)		Relative effect (95% CI)	No of Participants (studies)	Quality of the evidence (GRADE)
	Assumed risk counselling alone (\pmSD)	Corresponding risk Counselling + nutritional supplement			
weight for age change from baseline Follow-up: 30 days	The mean change in weight for age at 30 days in the control group was 1.37 (\pm 4.04)	The mean weight for age in the intervention groups was 2.48 higher (0.53 to 4.43 higher)	-	104 (1 study)	Very low ^{1,2}
weight for age change from baseline Follow-up: 60 days	The mean change in weight for age at 60 days in the control group was 1.49 (\pm 4.40)	The mean weight for age in the intervention groups was 5.93 higher (3.12 to 8.74 higher)	-	104 (1 study)	Low ¹
weight for age change from baseline Follow-up: 90 days	The mean change in weight for age at 90 days in the control group was of 0.96 (\pm 4.93)	The mean weight for age in the intervention groups was 8.03 higher (4.86 to 11.2 higher)	-	104 (1 study)	Low ¹
height for age change from baseline Follow-up: 30 days	The mean change in height for age at 30 days in the control group was of 0.24 (\pm 3.36)	The mean height for age in the intervention groups was 1.85 higher (0.31 lower to 4.01 higher)	-	104 (1 study)	Very low ^{1,3}
height for age change from baseline Follow-up: 60 days	The mean change in height for age at 60 days in the control group was of -0.21 (\pm 4.24)	The mean height for age in the intervention groups was 3.17 higher (1.09 to 5.25 higher)	-	104 (1 study)	Very low ^{1,4}
height for age change from baseline	The mean change in height for	The mean height for age in the intervention groups was	-	104 (1 study)	Low ¹

Counselling + nutritional supplement versus counselling alone for faltering growth				
Follow-up: 90 days	age at 90 days in the control group was of -0.15 (± 4.20)	5.24 higher (2.82 to 7.66 higher)		

CI confidence interval, SD standard deviation

1 Evidence was downgraded by 2 due to unclear allocation sequence generation, unclear allocation concealment, significant difference in baseline characteristics, incomplete outcome data were not clearly addressed, and knowledge of the allocated interventions was not adequately prevented during the study.

2 Evidence was downgraded by 1 due to serious imprecision as 95%CI crossed one default MID ($\pm 0.5 \times 4.04 = \pm 2.02$)

3 Evidence was downgraded by 1 due to serious imprecision as 95%CI crossed one default MID ($\pm 0.5 \times 3.36 = \pm 1.68$)

4 Evidence was downgraded by 1 due to serious imprecision as 95% CI crossed one default MID ($\pm 0.5 \times 4.24 = \pm 2.12$)

Table 39: Summary clinical evidence profile Comparison 2: routine treatments + bovine colostrum versus routine treatments alone for faltering growth

routine treatments + bovine colostrum versus routine treatments alone for faltering growth					
Outcomes	Illustrative comparative risks* (95% CI)		Relative effect (95% CI)	No of Participants (studies)	Quality of the evidence (GRADE)
	Assumed risk routine treatments alone	Corresponding risk Routine treatments + bovine colostrum			
weight for age Gomez index Follow-up: 1 months	The mean weight for age was 75.2 (SD 6.67)	The mean weight for age in the intervention groups was 0.71 higher (1.68 lower to 3.1 higher)	-	120 (1 study)	Moderate ¹
weight for age Gomez Index Follow-up: 2 months	The mean weight for age was 75.85 (SD 7.05)	The mean weight for age in the intervention groups was 2.73 higher (0.21 to 5.25 higher)	-	120 (1 study)	Very low ^{1,2}
weight for age Gomez Index Follow-up: 3 months	The mean weight for age was 77.12 (SD 8.31)	The mean weight for age in the intervention groups was 4.6 higher (1.63 to 7.57 higher)	-	120 (1 study)	Very low ^{1,3}
height for age Waterlow index Follow-up: 1 months	The mean height for age was 91.06 (SD 3.62)	The mean height for age in the intervention groups was 0.08 higher (1.22 lower to 1.38 higher)	-	120 (1 study)	Moderate ¹
height for age Waterlow index Follow-up: 2 months	The mean height for age was 91.55 (SD 3.87)	The mean height for age in the intervention groups was 0.55 higher (0.83 lower to 1.93 higher)	-	120 (1 study)	Moderate ¹

routine treatments + bovine colostrum versus routine treatments alone for faltering growth					
months					
height for age Waterlow index Follow-up: 3 months	The mean height for age was 91.71 (SD 3.687)	The mean height for age in the intervention groups was 1.2 higher (0.19 lower to 2.59 higher)	-	120 (1 study)	Very low ^{1,4}

CI confidence interval, SD standard deviation

1 Evidence was downgraded by 1 due to unclear allocation concealment and knowledge of the allocated interventions was not adequately prevented during the study.

2 Evidence was downgraded by 1 due to serious imprecision as 95%CI crossed one default MID ($\pm 0.5 \times 4.05 = \pm 3.52$)

3 Evidence was downgraded by 1 due to serious imprecision as 95% CI crossed one default MID ($\pm 0.5 \times 8.31 = \pm 4.15$)

4 Evidence was downgraded by 1 due to serious imprecision as 95% CI crossed one default MID ($\pm 0.5 \times 3.89 = \pm 1.94$)

Table 40: Summary clinical evidence profile Comparison 3: nutrient-dense formula versus energy-supplemented formula for faltering growth

nutrient-dense formula versus energy-supplemented formula for faltering growth					
Outcomes	Illustrative comparative risks* (95% CI)		Relative effect (95% CI)	No of Participants (studies)	Quality of the evidence (GRADE)
	Assumed risk energy-supplemented formula	Corresponding risk Nutrient-dense formula			
median weight gain g kg ⁻¹ day ⁻¹ Follow-up: 6 weeks	The median weight gain was 7.6 g kg ⁻¹ day ⁻¹	The median weight gain was 7.2 g kg ⁻¹ day ⁻¹	-	49 (1 study)	Moderate ¹
median change weight z-score Follow-up: 6 weeks	The mean change in weight z-score was 0.49 (-0.9 lower to 2.3 higher)	The mean change in weight z-score was 0.29 (-0.6 lower to 1.5 higher)	-	49 (1 study)	Moderate ¹
median linear growth cm per week Follow-up: 6 weeks	The median linear growth was 0.60 cm week ⁻¹	The median linear growth was 0.67 cm week ⁻¹	-	49 (1 study)	Moderate ¹
median change in length z-score Follow-up: 6 weeks	The mean change in length z-score was -0.28 (-1.3 lower to 2.1 higher)	The mean change in length z-score was -0.18 (-1.7 lower to 1.2 higher)	-	49 (1 study)	Moderate ¹
median MUAC	The mean change in	The mean change in	Not estimable	49 (1 study)	Moderate ¹

nutrient-dense formula versus energy-supplemented formula for faltering growth

cm per week	MUAC was 0.26 cm wk ⁻¹	MUAC was 0.4 cm wk ⁻¹			
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CI confidence interval; MUAC mid upper arm circumference.

1 Evidence was downgraded by 1 due to unclear concealment of allocation and knowledge of the allocated interventions not clearly adequately prevented during the study.

Table 41: Summary clinical evidence profile Comparison 4: nutrient-enriched formula versus standard term formula for faltering growth

nutrient-enriched formula versus standard term formula for faltering growth

Outcomes	Illustrative comparative risks* (95% CI)		Relative effect (95% CI)	No of Participants (studies)	Quality of the evidence (GRADE)
	Assumed risk standard term formula (±SD)	Corresponding risk Nutrient-enriched formula			
weight (change from baseline) kg Follow-up: 9 months	The mean weight (change from baseline) in the control group was 5.66 kg (±0.21)	The mean weight (change from baseline) in the intervention groups was 0.21 higher (0.02 lower to 0.44 higher)	-	247 (1 study)	High
weight (change from baseline) g Follow-up: 18 months	The mean weight (change from baseline) in the control group was 7.52 g (±0.21)	The mean weight (change from baseline) in the intervention groups was 0.25 higher (0.03 lower to 0.53 higher)	-	240 (1 study)	Moderate ¹
weight g Follow-up: 9-18 months	The mean weight in the control group was 1.95 g (±0.61)	The mean weight in the intervention groups was 0.1 lower (0.26 lower to 0.06 higher)	-	240 (1 study)	High
length (change from baseline) cm Follow-up: 9 months	The mean length (change from baseline) was 22.2 cm (±1.4)	The mean length (change from baseline) in the intervention group was 1.1 higher (0.4 to 1.8 higher)	-	247 (1 study)	Moderate ²
length (change from baseline) cm Follow-up: 18 months	The mean length (change from baseline) was 32 cm (±1.4)	The mean length (change from baseline) in the intervention groups was 1 higher (0.23 to 1.77 higher)	-	240 (1 study)	Moderate ³

nutrient-enriched formula versus standard term formula for faltering growth					
length cm Follow-up: 9- 18 months	The mean weight in the control group was 9.84 cm (±1.94)	The mean length in the intervention groups was 0.33 lower (0.87 lower to 0.21 higher)	-	240 (1 study)	High
OFC (change from baseline) cm Follow-up: 9 months	The mean OFC (change from baseline) was 12 cm (± 0.8)	The mean ofc (change from baseline) in the intervention groups was 0.5 higher (0.1 to 0.9 higher)	-	247 (1 study)	Moderate ⁴
OFC (change from baseline) cm Follow-up: 18 months	The mean OFC (change from baseline) was 14 cm (± 0.8)	The mean ofc (change from baseline) in the intervention groups was 0.6 higher (0.18 to 1.02 higher)	-	240 (1 study)	Moderate ⁵
OFC cm Follow-up: 9- 18 months	The mean OFC was 2.36 cm (±0.80)	The mean ofc in the intervention groups was 0.01 lower (0.2 lower to 0.18 higher)	-	240 (1 study)	High

CI confidence interval; ofc occipital frontal circumference.

1 Evidence was downgraded by 1 due to serious imprecision as 95%CI crossed one default MID ($\pm 0.5 \times 1.13 = \pm 0.13$)

2 Evidence was downgraded by 1 due to serious imprecision as 95% CI crossed one default MID ($\pm 0.5 \times 3 = \pm 1.5$)

3 Evidence was downgraded by 1 due to serious imprecision as 95% CI crossed one default ($\pm 0.5 \times 3.2 = \pm 0.64$)

4 Evidence was downgraded by 1 due to serious imprecision as 95% CI crossed one default ($\pm 0.5 \times 1.8 = \pm 0.9$)

5 Evidence was downgraded by 1 due to serious imprecision as 95% CI crossed one default ($\pm 0.5 \times 1.8 = \pm 0.9$)

5.4.2.5 Economic evidence

No health economic evidence was identified for this topic from the overall health economic search, however some costing information was available for consideration by the Committee.

5.4.2.5.1 Oral supplementation

There are many oral supplementation products on the market. Some of these are 'artificial' in the sense of being created by a pharmaceutical company to offer a measured dose of a particular nutrient, while others are 'natural' in the sense of being available as a food product in the supermarket or other retailer. Table 42 gives indicative costs for some examples of such 'artificial' supplements.

Table 42: Indicative costs of 'artificial' oral supplements

Oral supplement	Type of nutrient	Quantity (ml or mg)	Price	kJ / 100ml or 100mg	kJ / £
Calogen® emulsion	Fat	200	£4.36	1850	849
Caloreen® powder	Carbohydrate	500	£3.69	1640	2222
ProSource® Jelly	Protein	118	£1.83	315	203
Duocal® Super Soluble	Fat and Carbohydrate	400	£18.09	2061	456

Oral supplement	Type of nutrient	Quantity (ml or mg)	Price	kJ / 100ml or 100mg	kJ / £
powder					
Dialamine® powder	Protein and Carbohydrate	400	£73.46	264	14
Calogen® Extra emulsion	Fat, Protein and Carbohydrate	200	£4.98	1650	663

(a) Note that the purpose of oral supplementation is not always to acquire the most calories for the least money – consequently Caloreen powder is not automatically the preferred option

The cost of supplements will also depend on the frequency those supplements are administered. If they were used to substitute rather than complement diet at home, the cost could be substantial, but the Committee do not recommend this. In general, oral supplementation with ‘artificial’ products is cheap, but not as cheap as ‘natural’ alternatives.

It might be appropriate to supplement a child’s diet with a ‘natural’ product, such as switching from semi-skimmed to full fat milk. This would not normally incur a cost to the NHS as typically food would be bought out of a family’s personal budget, however for completeness Table 43 gives some indicative costs should the NHS pay the difference between a lower energy-density food and a higher. These costs are not substantial, although switching from frying food in oil to frying in butter could be considered more expensive than some ‘artificial’ supplements if fried food is to be eaten regularly.

Table 43: Indicative costs of ‘natural’ oral supplements

Lower density foodstuff	Price	Higher density foodstuff	Price	Difference
Semi-skimmed milk	£1.00	Full fat milk	£1.00	£0.00
500g low fat natural yoghurt	£0.90	500g full-fat Greek yoghurt	£1.00	£0.10
1 l vegetable oil	£1.15	1 kg butter	£4.36	£3.21

Source: www.tesco.com, retrieved 28/02/17

5.4.2.5.2 Tube feeding

Tube feeding would be regarded as a more extreme (and costly) intervention to oral supplementation. There are a variety of methods of tube feeding an infant, however the costs associated with long-term nutritional supplementation via gastrostomy or nasogastric tube feeding are outside the scope of NHS Reference Costs. As an estimate of these costs, currency codes related to endoscopic insertions from NHS Reference Costs are presented in Table 44 as a proxy.

Table 44: Cost of tube feeding procedures

Procedure	Cost
Endoscopic Insertion of Gastrostomy Tube, 18 years and under, elective inpatient	£2,104
Endoscopic Insertion of Gastrostomy Tube, 18 years and under, day case	£1,108

(a) Source: NHS Reference Costs 2014/15

1 **5.4.2.6 Clinical evidence statements**

2 **5.4.2.6.1 *Nutritional supplementation in addition to counselling versus counselling alone***

3 Very low to low quality evidence from 1 randomised controlled study with 104 participants
4 found that there is a clinically significant beneficial effect of counselling and nutritional
5 supplementation on weight for age compared with supplementation alone at day 30, 60 and
6 90.

7 Low to very low quality evidence from 1 randomised controlled study with 104 participants
8 found that there may be a clinically significant beneficial effect of counselling and nutritional
9 supplementation on height for age compared with supplementation alone at day 30 but there
10 is uncertainty around the estimate. The same evidence however showed that there is a
11 clinically significant beneficial effect of counselling and nutritional supplementation on height
12 for age compared with supplementation alone at day 60 and 90.

13 **5.4.2.6.2 *Supplementary bovine colostrum in addition to routine treatments versus routine***
14 ***treatments alone***

15 Moderate to low quality evidence from 1 randomised controlled study with 120 participants
16 found that there is no clinically significant difference in weight for age between bovine
17 colostrum compared with routine treatments alone at 1 and 2 months. The same evidence
18 however showed a clinically significant beneficial effect of bovine colostrum on weight for age
19 compared to routine treatments alone at 3 months.

20 Moderate to low quality evidence from 1 randomised controlled study with 120 participants
21 found that there is no clinically significant difference in height for age between bovine
22 colostrum compared with routine treatments alone at 1, 2 and 3 months.

23 **5.4.2.6.3 *Nutrient-dense formula versus either energy supplemented formula***

24 Moderate evidence from 1 randomised controlled study with 49 participants found that there
25 is no significant difference in median weight gain between nutrient-dense formula and
26 energy-supplemented formula at 6 weeks.

27 Moderate evidence from 1 randomised controlled study with 49 participants found that there
28 is no significant difference in linear growth between nutrient-dense formula and energy-
29 supplemented formula at 6 weeks.

30 Moderate evidence from 1 randomised controlled study with 49 participants found that there
31 is no significant difference in median MUAC between nutrient-dense formula and energy-
32 supplemented formula at 6 weeks.

33 **5.4.2.6.4 *Nutrient-dense formula standard term formula***

34 High to moderate quality evidence from 1 randomised controlled study with 299 participants
35 found that there is no clinically significant difference in weight change from baseline between
36 nutrient-enriched formula and standard term formula at 9 months; however, the same
37 evidence found that there may be a clinically significant beneficial effect of nutrient-enriched
38 formula on weight change from baseline compared with standard term formula at 18 months,
39 but there is uncertainty around the estimate. When looking at weight change between 9 and
40 18 months, there is no clinically significant difference between nutrient-enriched formula and
41 standard term formula.

42 High to moderate quality evidence from 1 randomised controlled study with 299 participants
43 found that there is no clinically significant difference between length change from baseline
44 with nutrient-enriched formula or standard term formula at 9 months; however, there is a
45 clinically significant beneficial effect of nutrient-enriched formula compared with standard
46 term formula on length change from baseline to 18 months. When looking at change in

1 length between 9 and 18 months, there is no clinically significant difference between nutrient-
2 enriched formula and standard term formula.

3 High to moderate quality evidence from 1 randomised controlled study with 299 participants
4 found that there is no clinically significant difference between the occipital frontal
5 circumference with nutrient-enriched formula or standard term formula at 9, 18 months and
6 between 9 and 18 months.

7 **5.4.2.7 Evidence to recommendations**

8 **5.4.2.7.1 *Relative value placed on the outcomes considered***

9 The aim of this review is to identify what interventions are clinically and cost effective for
10 improving nutritional status in children with concerns regarding possible or actual faltering
11 growth. No evidence was identified for health related quality of life, parent/carer satisfaction,
12 adherence to interventions or adverse effects related to interventions on cognition and
13 neurodevelopment. Therefore, the only outcomes the Committee could rely for decision-
14 making from the outcomes retrieved by the evidence were measurements of growth.

15 **5.4.2.7.2 *Consideration of clinical benefits and harms***

16 The Committee agreed that the first line approach that should be considered when assessing
17 the needs of a child with faltering growth is to look at the nutritional content of the food he or
18 she eats. They discussed that the main objective of this would be to review the child's daily
19 intake and to enhance the energy and nutrient density of their normal diet, if required. These
20 adjustments should be appropriate for the child's age and should be reviewed on a regular
21 basis. Along with this approach, the Committee agreed on other factors that should be
22 considered and discussed with the parents of the child. For instance, usual liquid intake
23 should be reviewed, as drinking too much milk or too many energy-dense drinks, may be
24 suppressing the child's appetite and therefore, stopping the child from eating food at regular
25 times.

26 The Committee discussed recommending a trial of nutritional supplementation for those
27 cases in which assessing feeding practices was not possible (i.e. child refusing oral intake;
28 family poverty) or did not have an adequate effect. It was agreed that nutritional
29 supplementation should be considered on a case by case basis, reviewed at least monthly
30 and should be stopped at the first sign of seeing no benefit or undesirable effect.

31 During the assessment for nutritional supplementations, there are several factors that should
32 be reviewed regularly (depending on the severity of faltering growth), including weight gain
33 and growth; impact on developmentally appropriate oral intake; adherence to treatment and
34 planned cessation.

35 Finally, the Committee agreed that enteral tube feeding should be reserved and considered
36 for severe faltering growth with the aim to discontinue this as soon as possible. They
37 therefore agreed that a multi-disciplinary approach for tube feeding is necessary which
38 should include the goals to indicate that tube feeding is no longer needed.

39 **5.4.2.7.3 *Consideration of economic benefits and harms***

40 Direct interventions may carry large costs – especially the intervention of enteral tube
41 feeding. In general dietary supplements carry a low or zero cost to the NHS depending on
42 whether the supplements are 'artificial' or not – for example bovine colostrum vs switching to
43 full-fat milk. Dietary advice and support may have a small direct cost, associated with clinical
44 time and follow up which could be generated if a woman needs to have detailed discussion
45 with a clinician. However since most clinicians will already offer dietary advice to mothers,
46 the effect of these recommendations should only be to improve the advice given at these
47 sessions.

1 In terms of indirect costs, inadequate nutrition may cause downstream costs that are difficult
2 to capture in a conventional trial. As this effect is ongoing over the lifetime of the child, it is
3 likely that relatively small investments made in nutritional support early will be cost-effective
4 given the accumulation of QALYs and costs offset over the lifetime of the child – this is
5 similar to the justification for offering breastfeeding support.

6 Overall since these recommendations should do nothing more than improve what is already
7 offered by the NHS, it is thought unlikely that they will carry a significant resource impact.

8 **5.4.2.7.4 Quality of evidence**

9 The quality of the available evidence was of low to very low quality as assessed by GRADE
10 and was drawn from 4 randomised controlled trials. For the domain risk of bias, the studies
11 were assigned ‘very serious risk of bias’ and the main sources of bias were: lack of
12 information on the randomisation method used; concealment of allocation unreported or
13 unclear, and lack of blinding of investigators.

14 No serious issues were found regarding inconsistency (heterogeneity) as only single studies
15 were included in the comparisons. Some issues were raised regarding indirectness, for
16 instance the Committee considered that some of the evidence presented was indirect since
17 faltering growth was poorly defined (for example weight below the 10th centile). Other
18 comments included the effect size of the studies, as this was also imprecise due to the small
19 number of children that participated in these trials.

20 **5.4.2.7.5 Other considerations**

21 The Committee reviewed the evidence presented and used it together with their clinical
22 experience to make recommendations on dietary advice or supplementation in children and
23 young people with faltering growth. The Committee recognised the very heterogeneous
24 group of children that may present with faltering growth, therefore they discussed different
25 approaches according to degree of severity.

26 **5.4.2.7.6 Key conclusions**

27 Based on the clinical evidence and on their expert opinion, the Committee concluded that in
28 a child with faltering growth, nutritional density of the diet should be considered along with a
29 discussion about feeding practices and behavioural interventions. In situations where this
30 approach does not have an adequate effect, nutritional supplementation should be offered,
31 along with a referral to a paediatric dietitian. Enteral tube feeding is to be used under the
32 supervision of a multidisciplinary team and in cases of severe faltering growth.

33 **5.4.2.8 Recommendations**

34 **24. If necessary, based on the assessment, advise on food choices for infants and** 35 **children that:**

- 36 • are appropriate to the child's developmental stage in terms of quantity,
37 type and food texture
- 38 • optimise energy and nutrient density.

39 **25. In infants or children who need a further increase in the nutrient density of their** 40 **diet beyond that achieved through advice on food choices, consider:**

- 41 • referral to a paediatric dietitian
- 42 • short-term dietary fortification using energy-dense foods.

- 1 **26. Advise the parents or carers of infants or children with faltering growth that**
 2 **drinking too many energy-dense drinks, including milk, can reduce a child's**
 3 **appetite for other foods.**
- 4 **27. Consider a trial of an oral nutritional supplement for infants or children with**
 5 **continuing faltering growth despite other interventions (see recommendations 20,**
 6 **21, 22, 24, 25, 26 and 31).**
- 7 **28. Regularly reassess infants and children receiving an oral nutritional supplement**
 8 **for faltering growth to decide if it should be continued. Take into account:**
- 9 • weight change
 10 • linear growth
 11 • intake of other foods
 12 • tolerance
 13 • adherence
 14 • the views of parents or carers.
- 15 **29. Only consider enteral tube feeding for infants and children with faltering growth**
 16 **when:**
- 17 • there are serious weight concerns, **and**
 18 • an appropriate specialist multidisciplinary assessment for possible
 19 causes and contributory factors has been completed, **and**
 20 • other interventions have been tried without improvement.
- 21 **30. If enteral tube feeding is to be used in an infant or child with faltering growth,**
 22 **make a plan with appropriate multidisciplinary involvement, for:**
- 23 • the goals of the treatment (for example reaching a specific weight target)
 24 • the strategy for its withdrawal once the goal is reached (for example
 25 progressive reduction together with strategies to promote oral intake).

26 **5.4.2.9 Research recommendation**

27 Do high energy liquid feed supplements improve growth in children with faltering growth?

28 **Why this is important**

29 It seems logical to attempt to treat inadequate dietary intake with food of some kind, and high
 30 energy liquid dietary supplements appear to be effective when used in older adults. Although
 31 they are also widely promoted for use in children, little research on their efficacy has been
 32 done. Of the 2 published trials, neither were fully generalisable to healthy UK children: one
 33 found them to be ineffective, while the other found only a modest treatment effect.
 34 Experimental research suggests that high energy liquid feed supplements may suppress
 35 appetite and displace normal diet, and one case series found that when high energy liquid
 36 feed supplements were withdrawn appetite improved with no impact on weight. Further
 37 research is important to establish whether their effectiveness justifies their cost and the
 38 suppressant effect on appetite.

39 **Table 45: Research recommendation rationale**

Research question	Do high energy liquid feed supplements improve growth in children with faltering growth?
Why this is needed:	

Research question	Do high energy liquid feed supplements improve growth in children with faltering growth?
Importance to 'patients' or the population	High energy liquid nutritional supplements are widely used in children with low intake or poor weight gain but it is not clear if they are effective and they may have adverse effects on appetite, causing or exacerbating previously confirmed feeding behaviour problems.
Relevance to NICE guidance	The relevance to NICE is high. There is no clear priority for using high energy liquid feed supplements, or whether they should be used at all in children with inadequate intake or weight gain. It is also unclear whether they can cause adverse effects in such children.
Relevance to the NHS	If use of feed supplements was evidence based, there could be potential cost savings to the NHS. When supplements are used, the initial cost to the NHS of these and the additional treatment required if feeding behaviour problems are present will be offset by growth improvement. The improvements in quality of life in both the children and their families may also reduce the burden of care in primary and social care.
National priorities	The National Service Framework for children, young people and maternity services aims for long-term and sustained improvement in children's health, and sets standards for health and social care services for children, young people and pregnant women. The Healthy Child Programme describes standards of care for screening and providing advice during pregnancy and the first 5 years of life. It includes broad recommendations on monitoring growth in infants and children. This research would also address healthy-eating targets (as set out in the Department of Health obesity and healthy eating policy).
Current evidence base	The guideline identified that there is a gap in the evidence base. The systematic review of this topic did not find any comparative effectiveness studies addressing this topic. There are two published RCTs, both or indirect and one of low quality, the other only in a group of children with a specific condition (cystic fibrosis).
Equality	Recognition assessment and management of faltering growth should take into consideration parents' and carers' socioeconomic, cultural, religious and ethnic environment, and potential language barriers. Access to appropriate nutrition may also differ across socioeconomic groups. Certain groups may be at greater risk of developing faltering growth, including preterm infants and children, children and infants born after intrauterine growth restriction, those with learning-disabled parents or carers, asylum seekers, and looked-after children.
Feasibility	Due to the small prevalence of infants and preschool children with faltering growth this would have to be a multi-centre study to optimise recruitment.
Other comments	This might be a very heterogeneous population. It could result in difficulties in arriving at a clear case definition. A separate study would be useful for infants under 6 months of age looking at the use of high energy formula.

1

2

Table 46: Research recommendation statements (PICO characteristics)

Criterion	Explanation
Population	Infants or children aged 6-48 months with Faltering growth, where supplementary feeding is being considered, Exclusions: children with unsafe swallow, severe developmental delay (inability to eat), underlying medical condition (e.g. infection)
Intervention	High energy liquid feed supplements to supply equivalent of at least 20% of daily energy requirement
Comparator	<ul style="list-style-type: none"> dietetic assessment and advice about high energy oral diets

Criterion	Explanation
	(standard practice).
Outcome	<ul style="list-style-type: none"> • measurements of nutritional status (weight, length or height, head circumference, mid-arm circumference): for example change in weight SD score, change in length SD score. • parental health related quality of life • satisfaction with treatment
Study design	Randomised controlled trial (RCT). Stratified (or restricted) by age: 6-12 months, 12-24 months, 24-48 months
Timeframe	3 years Some of this would depend on age: 1-6 months follow up on treatment plus 1-3 months after treatment stopped

1 5.4.3 Non-nutritional interventions

2 **Review question: What is the effectiveness of providing advice on, and practical**
 3 **support for feeding practices other than breastfeeding to families or carers in the**
 4 **management of children with suspected or confirmed faltering growth when compared**
 5 **to no intervention or compared or dietary advice and supplementation?**

6 5.4.3.1 Introduction

7 Where a health professional recognises that factors other than nutritional intake may be
 8 leading to faltering growth, non-nutritional measures may be proposed to improve oral
 9 energy intake. The committee sought to review evidence for non-nutritional interventions in
 10 managing faltering growth.

11 5.4.3.2 Description of clinical evidence

12 The objective of this review was to identify what non-nutritional practices and interventions
 13 are effective for improving nutritional status in children with faltering growth. For full details
 14 see review protocol in Appendix D.

15 One randomised controlled trial has been included in this systematic review (Turner 1994).
 16 The main aim of this study was to examine the effects of a behavioural parent training (BPT)
 17 in comparison to a standard dietary education (SDE) in energy and nutrition intake. The
 18 study included 20 participants with persistent feeding difficulties (the majority of them
 19 displayed this type of difficulties for over 12 months) and the severity of these was assessed
 20 during a structured intake interview with the children's parents.

21 Evidence from these are summarised in Table 47. See also the study selection flowchart in
 22 Appendix F, forest plots in Appendix I, study evidence tables in Appendix G, exclusion list in
 23 Appendix H and full GRADE profiles in Appendix J.

24 5.4.3.3 Summary of included studies

25 **Table 47: Summary of the included study**

Study	Intervention/ Comparison	Population	Outcomes	Comments
Turner 1994	Behavioural parent training/ standard dietary education	20 children with severe feeding difficulties	<ul style="list-style-type: none"> • energy intake (% RDI) • protein intake (% RDI) 	Unclear sequence generation; unclear method to conceal the allocation; unclear whether the outcome assessors were blinded.

1 RDI reference daily intake.

2 5.4.3.4 Clinical evidence profile

3 **Table 48: Summary clinical evidence profile for BPT compared to SDE for persistent**
4 **feeding difficulties**

Outcomes	Illustrative comparative risks* (95% CI)		Relative effect (95% CI)	No of Participants (studies)	Quality of the evidence (GRADE)
	Assumed risk with SDE	Corresponding risk with BPT			
Energy intake (% RDI) Mealtime Record Form	The mean energy intake (% RDI) in the control groups was 6.1	The mean energy intake (% RDI) in the intervention groups was 1.60 lower (16.64 lower to 13.44 higher)	-	20 (1 study)	very low ^{1,2,3}
Protein intake (% RDI) Mealtime Record Form	The mean protein intake (% RDI) in the control groups was -17.7	The mean protein intake (% RDI) in the intervention groups was 25 lower (54.85 lower to 4.85 higher)	-	20 (1 study)	very low ^{1,2,4}

5 *CI: Confidence interval; RDI: reference daily intake*

6 *1 Generation of a randomised sequence, method used to conceal the allocation and blinding of outcome*
7 *assessors has not been reported.*

8 *2 Included participants presented with severe feeding difficulties and not with faltering growth*

9 *3 Evidence was downgraded by 2 due to very serious imprecision as 95% CI crossed 2 default MIDs (23.2 x ±0.5*
10 *= ± 11.6)*

11 *4 Evidence was downgraded by 1 due to serious imprecision as 95% CI crossed 1 default MID (34.9 x ± 0.5 =*
12 *±17.1)*

13 5.4.3.5 Economic evidence

14 One study of potential economic relevance was found: Karniski, 1986 entitled 'A treatment
15 program for failure to thrive: a cost/effectiveness analysis'. This study found that the price of
16 gaining 100g of weight in a neonate was \$1635 in a hospital and \$308 in a specialist Medical
17 Placement Home.

18 Locating direct costing sources for the interventions in the protocol is difficult as the time,
19 number of sessions and seniority of the clinicians involved can vary greatly depending on
20 various factors, including the severity of the weight faltering, skill and experience of the local
21 clinical team and availability of substituting alternatives. Estimates for these values can be
22 found in Table 49.

23 **Table 49 – Estimates for total cost of high health economic impact non-nutritional**
24 **interventions**

Intervention	Delivery	Cost
Sensory intervention (SOS)	Specialist Band 6/7 OT assessment of 1-2 hours followed by 6 hour-long groups sessions of 'messy play'	Assessment Community Occupational Therapist at £44 / hour (PSSRU Unit Costs, 2015) * 1.5 hours total = £66 Group Sessions Community Occupational Therapist at £44 / hour (PSSRU Unit Costs, 2015) * 6 sessions / 10 children per session (estimate) = £26.40

Intervention	Delivery	Cost
		Total £92.40
Video observation of mealtime	Health visitor required for 2 hours of travel and observation	Health Visitor at £76 / hour per hour of patient visiting (PSSRU Unit Costs, 2015) * 2 = £152
Oral motor therapy	Intervention is 5 minutes / day 5 days / week for 10 weeks, but contact estimated to be once per week for 30 minutes with Specialist Band 6/7 practitioner	Non-specified Band 6 Practitioner at £125 / hour per hour of patient contact (PSSRU Unit Costs, 2015) * 10 sessions * 0.5 hours per session = £625

1 These interventions therefore do not have a high cost impact in an absolute sense, but
2 relative to other interventions that might be tried first could be considered high cost. The
3 Committee discussed how these interventions should not generally be offered without
4 considering less time-intensive approaches first.

5 **5.4.3.6 Clinical evidence statements**

6 **Energy intake (% RDI)**

7 Very low quality evidence from one randomised controlled trial with 20 participants found that
8 there is no significant difference between the behavioural parent training and the standard
9 dietary education for this outcome.

10 **Protein intake (% RDI)**

11 Very low quality evidence from one randomised controlled trial with 20 participants found that
12 there is no significant difference between behavioural parent training and the standard
13 dietary education for this outcome.

14 **5.4.3.7 Evidence to recommendations**

15 **5.4.3.7.1 Relative value placed on the outcomes considered**

16 The Committee considered measurements of growth and adverse effects of any intervention
17 to be critical outcomes for this review topic. Other outcomes (relating to both the child with
18 faltering growth and parents or carers), such as health related quality of life, satisfaction and
19 adherence to interventions were also considered to be important. However, none of these
20 outcomes were reported. The Committee discussed whether energy and protein intake were
21 good proxy outcomes but agreed that they only provide indirect information on growth.

22 **5.4.3.7.2 Consideration of clinical benefits and harms**

23 The Committee acknowledged that the range of interventions in the protocol would require
24 different levels of involvement of parents or other family members and that adherence to
25 changes in routine are potentially challenging. The possible benefits of interventions using
26 detailed assessment methods, need to be weighed up against the impact they may have on
27 the day-to-day life of the family and the severity of the child's presentation. The Committee
28 therefore elected to recommend general principles of documenting feeding behaviour, rather
29 than specific interventions that may not be appropriate for the general population of children
30 with faltering growth (for instance applied behavioural analysis). It was discussed that
31 interventions need to be tailored to individual circumstances to be most beneficial for the
32 child.

1 The Committee discussed feeding behaviours or practices generally regarded as unhelpful
2 and counterproductive in the long term, such as coercive feeding, and felt that guidance
3 about this would be useful.

4 **5.4.3.7.3 Consideration of economic benefits and harms**

5 The one study that was reporting costs (Karniski, 1986) looked at 'Medical Placement Home'
6 which was not an intervention in scope or in the protocol, and it is not clear that the
7 population was in scope either. Additionally, the study was dated, based in the US and had a
8 small sample size (n=35). For these reasons the study was not considered by the Committee
9 to be appropriate evidence for making recommendations.

10 Many interventions listed in the protocol carry a minor or even zero cost. For example
11 offering mealtime advice might take less than a minute in the course of an ordinary
12 appointment and so is unlikely to cost more money than it generates in QALY benefits.
13 Similarly some interventions such as a feeding cup or age appropriate cutlery will represent a
14 very small one-time cost and be unlikely to cost more money than they generate in benefits.
15 Further, some interventions such as nursery placement or Applied Behavioural Analysis may
16 carry a cost that is not borne by the NHS or in the form of parental disutility, and so is not
17 relevant to the perspective of a NICE Guideline.

18 However some interventions that the Committee planned to look at require sustained
19 specialist intervention, and therefore are likely to cost a great deal of money. From the
20 protocol, these interventions include:

- 21 • Sensory interventions
- 22 • Video observation of mealtime
- 23 • Oral motor therapy

24 The Committee discussed how the high cost and lack of evidence of the effectiveness of
25 these interventions made it difficult to justify their inclusion in the recommendations.

26 The recommendations are largely focused on low or zero cost interventions, and so this is
27 unlikely to cause a significant resource impact on the NHS.

28 **5.4.3.7.4 Quality of evidence**

29 The available evidence was of very low quality according to GRADE considerations and was
30 drawn from a single well conducted randomised controlled trial that compared different
31 interventions for faltering growth.

32 For the domain risk of bias, the studies were assigned 'serious risk of bias' as generation of
33 randomised sequence, method used to conceal the allocation and blinding of outcome
34 assessors was not reported. No serious issues were found regarding inconsistency
35 (heterogeneity) since only one study was included in the review.

36 The Committee discussed that the low number of children who participated in the study
37 lowered their confidence in the findings particularly related to the wide confidence intervals
38 and the generalisability of the findings to the wider population of all infants and children with
39 faltering growth.

40 **5.4.3.7.5 Other considerations**

41 The Committee based the recommendations on the presented clinical evidence as well as
42 expert opinion and consensus.

43 The Committee discussed and agreed that observation of meal time behaviour can be a
44 useful assessment tool, but as there is no direct evidence regarding feeding and meal time
45 interventions for faltering growth, recommendations cannot be made.

1 The Committee was aware of research into interventions to improve feeding behaviour in
2 infants and children. However this research did not specify either the population of children
3 with faltering growth or measure growth as an outcome.

4 The Committee discussed the importance of providing individualised, developmentally
5 appropriate information about feeding and mealtime behaviour to parents and carers.

6 Based on their discussion, the Committee decided to recommend some principles to be
7 discussed between an individual with relevant expertise and parents and carers. The
8 Committee acknowledged that, based on their experience, active meal time management
9 has a positive impact on infant and child feeding. In some situations, the parent or carer
10 could be directed to a mealtime behavioural management programme, composed of
11 parenting education and support and nutritional intervention.

12 The importance of a number of recommendations were explored. Family meals, for instance,
13 offer the opportunity to model normal eating behaviour and address eating habits and the
14 outcomes of these are listed below:

- 15 • Family meals: offer the opportunity to model normal eating behaviour and address eating
16 habits, diet, expectations and beliefs. There should not be pressure on the child to eat and
17 the child should not be forced/ coerced into eating. Likewise, distractions should be
18 avoided. During the meal, families should talk about something not related with food.
- 19 • A diary can be useful to keep track of what the child is eating. This can help when parents
20 visit healthcare professionals in order for them to assess whether the child's food intake is
21 sufficient for their activity level, age and height for instance.
- 22 • Encourage self-feeding (for instance that children try to feed themselves rather than being
23 spoon fed by parents)
- 24 • Allow messy play and encourage the child to feel the texture of the food
- 25 • In cases of faltering growth with attentional difficulties, the developmental stage of the
26 child should be considered when providing advice.

27 The Committee agreed on making recommendations to help the parents gain an
28 understanding of feeding behaviours that can suppress appetite. In particular the group
29 identified intake of large volumes of fluids and grazing as potentially unhelpful feeding
30 practices because of their potential to suppress appetite for meals, and recommended
31 advising families about these.

32 In addition, the group also discussed the possibility of making research recommendations to
33 support work to improve the quality of evidence for this topic in future and in particular to
34 provide evidence on important outcomes such as growth. Due to the very limited research
35 evidence with inconclusive findings, the Committee agreed that it was important to bridge this
36 gap and provide evidence to inform future updates of this guideline. They highlighted that
37 there was a particular lack of knowledge about the effectiveness of behavioural as well as
38 oro-motor interventions in the management of faltering growth. Oro-motor interventions are
39 used by speech and language therapists in a sub-group of children with faltering growth
40 identified as having possible difficulties in oro-motor functions. However, this is not backed
41 up by evidence. Therefore two research recommendations were drafted.

42 **5.4.3.7.6 Key conclusions**

43 The recommendations are based on the limited evidence as well as the experience and
44 expertise of the Committee. It is acknowledged that there are steps that can be taken to
45 promote positive feeding and mealtime behaviours. The Committee chose to highlight
46 general principles regarding management of feeding and eating.

1 **5.4.3.8 Recommendations**

2 **31. When there are concerns about faltering growth, discuss the following, as**
3 **individually appropriate, with the infant's or child's parents or carers:**

- 4 • encouraging relaxed and enjoyable feeding and mealtimes
- 5 • eating together as a family or with other children
- 6 • encouraging young children to feed themselves
- 7 • allowing young children to be 'messy' with their food
- 8 • making sure feeds and mealtimes are not too brief or too long
- 9 • setting reasonable boundaries for mealtime behaviour while avoiding
- 10 punitive approaches
- 11 • avoiding coercive feeding
- 12 • avoiding grazing
- 13 • establishing regular mealtime schedules (for example 3 meals and 2
- 14 snacks in a day)
- 15 • offering limited food choices at each meal.

16 **5.4.3.9 Research recommendations**

17 **2. What is the effectiveness of behavioural interventions compared to usual care/**
18 **advice for children with faltering growth?**

19 **Why this is important**

20 Health visitors provide behavioural interventions for faltering growth in community settings.
21 This is carried out with the aim to optimise the Healthy Child Programme and provide support
22 and build relationships with parents and children. Behavioural interventions are time
23 consuming and therefore incur costs. Evidence for the specific components of behavioural
24 interventions are scarce and if found to be effective they could have short-term and longer-
25 term preventative results. A standardised approach to behavioural interventions could both
26 improve clinical practice and save costs.

27 **Table 50: Research recommendation rationale**

Research question	What is the effectiveness of behavioural interventions compared to usual care/ advice for children with faltering growth?
Why this is needed	
Importance to 'patients' or the population	A consultation does not always afford the opportunity to obtain information crucial to the choice of a specific behavioural intervention nor, more generally, to engage in an individualised assessment of the needs of a child with faltering growth. This is needed when planning individualised behavioural treatment. Observation of a meal or feed, preferably at home, may provide much of the information needed to choose an appropriate behavioural intervention, such as the quality of parent-child interaction, parental responsiveness, whether there is pressure to eat and the child or infant's feeding behaviour. Such an observation could be performed as part of universal home visits by the health visiting team. Other important information that can also inform the choice of behavioural strategies includes the meal/feed setting and length, variety of foods offered or accepted and the child's development and oro-motor skills.
Relevance to NICE guidance	High priority due to potential to minimise longer term adverse outcomes.
Relevance to the	Early assessment and intervention may reduce the need for referral to

Research question	What is the effectiveness of behavioural interventions compared to usual care/ advice for children with faltering growth?
NHS	secondary care in children diagnosed with faltering growth. If included as part of universal home visits, it may reduce the incidence of faltering growth by identifying issues at an early stage and planning appropriate interventions. This would could be cost effective for the NHS.
National priorities	The National service framework for children, young people and maternity services aims for long-term and sustained improvement in children's health, and sets standards for health and social care services for children, young people and pregnant women. The Healthy Child Programme describes standards of care for screening and providing advice during pregnancy and the first 5 years of life. It includes broad recommendations on monitoring growth in infants and children. This research would also address healthy-eating targets (as set out in the Department of Health obesity and healthy eating policy).
Current evidence base	The guideline identified that there is a gap in the evidence base. The systematic review of this topic did not find any comparative effectiveness studies addressing this topic.
Equality	Recognition assessment and management of faltering growth should take into consideration parents' and carers' socioeconomic, cultural, religious and ethnic environment, and potential language barriers. Access to appropriate nutrition may also differ across socioeconomic groups. Certain groups may be at greater risk of developing faltering growth, including preterm infants and children, children and infants born after intrauterine growth restriction. Those with learning-disabled parents or carers, asylum seekers, and looked-after children may find it more of a challenge to access services.
Feasibility	Could be added to the health visitors' High Impact Areas which therefore may increase uptake of the study.
Other comments	Intervention at an early stage may help prevent parental anxiety and benefit the family's future health.

1

Table 51: Research recommendation statements (PICO characteristics)

Criterion	Explanation
Population	Children with faltering growth
Intervention	Structured feedback, advice and care planning following mealtime observation (e.g. eating and feeding behaviour) at home
Comparator	Usual advice/care without structured feedback
Outcome	<ul style="list-style-type: none"> • measurements of nutritional status (weight, length or height, head circumference, mid-arm circumference) • Child Eating Behaviour Questionnaire (CEBQ) or • Behavioral Pediatrics Feeding Assessment Scale (BPFAS))
Study design	Parallel RCT, stratified by age and degree of undernutrition
Timeframe	Recruitment plus 2 year follow-up

2

3. What is the effectiveness of oro-motor interventions compared to usual care/ advice for children with faltering growth?

3

4

Why this is important

5

Difficulties in oro-motor skills can contribute to faltering growth, but not all children with faltering growth do have such difficulties. There is no evidence whether or not oro-motor interventions can help these children. If found to be effective oro-motor interventions could be used to a targeted group of children who would otherwise not necessarily benefit from other interventions for the overall population of children with faltering growth. Effective use of speech and language therapists' time for assessment and interventions related to oro-motor

6

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8

9

10

1 skills would contribute to an individualised approach to treatment and also a more targeted
2 and therefore likely to be more cost effective use of their time.

3 **Table 52: Research recommendation rationale**

Research question	What is the effectiveness of oro-motor interventions compared to usual care/ advice for children with faltering growth?
Why this is needed	
Importance to 'patients' or the population	Not all children with faltering growth have oro-motor difficulties (e.g. difficulties with swallowing or oro-motor muscle tone). However, such difficulties can contribute to faltering growth in children and are commonly associated with medical conditions such as cleft lip and palate and neurodevelopmental disorders. When oro-motor difficulties are identified in children with Faltering Growth specialist assessment and support is required.
Relevance to NICE guidance	High priority due to lack of evidence regarding the efficacy of oro-motor interventions.
Relevance to the NHS	Currently the provision of oro-motor interventions is based on little evidence. Further evidence could have a significant impact on current NHS resources by focusing on those Speech and language therapy (SALT) interventions that have an evidence base.
National priorities	The National Service Framework for children, young people and maternity services aims for long-term and sustained improvement in children's health, and sets standards for health and social care services for children, young people and pregnant women. The Healthy Child Programme describes standards of care for screening and providing advice during pregnancy and the first 5 years of life. It includes broad recommendations on monitoring growth in infants and children.
Current evidence base	There is no evidence to support the view that oro-motor therapy significantly improves feeding skills in children with Faltering Growth. Similarly, there is no evidence supporting the effectiveness of oro-motor interventions in children with faltering growth.
Equality	Recognition assessment and management of faltering growth should take into consideration parents' and carers' socioeconomic, cultural, religious and ethnic environment, and potential language barriers. Access to appropriate nutrition may also differ across socioeconomic groups. Certain groups may be at greater risk of developing faltering growth, including preterm infants and children, children and infants born after intrauterine growth restriction. Those with learning-disabled parents or carers, asylum seekers, and looked-after children may find it more of a challenge to access services.
Feasibility	The techniques are non-invasive and therefore a study would be feasible.
Other comments	Potentially recruitment may be difficult because not all infants with faltering growth have oro-motor difficulties

4 **Table 53: Research recommendation statements (PICO characteristics)**

Criterion	Explanation
Population	Children with faltering growth and oro-motor difficulties: Subgroup: Infants and preschool children with Faltering Growth and developmental delay.
Intervention	A specified oro-motor intervention, focusing for example on improvements in: <ul style="list-style-type: none"> • facial or oral muscle tone • tongue movement • swallowing • jaw movement • oro-motor sensitivity

Criterion	Explanation
Comparator	<ul style="list-style-type: none"> • usual advice/treatment • or oro-motor interventions compared to each other
Outcome	<ul style="list-style-type: none"> • measurements of nutritional status (weight, length or height, head circumference, mid-arm circumference) • parental satisfaction • schedule for Oral-Motor Assessment (SOMA) (to assess oromotor functioning)
Study design	Multicentre RCT study
Timeframe	5 years

1

2 5.5 Monitoring

3 Growth monitoring

4 **Review question: In children with suspected or confirmed faltering growth is an**
 5 **increased frequency of monitoring more effective compared to routine monitoring to**
 6 **improve outcome?**

7 5.5.1 Introduction

8 It is presently recommended (NICE PH 11) that infants and children be measured at the
 9 same time as receiving standard immunisations. Suspicion of faltering growth, or
 10 confirmation of it, might be made as a consequence of those measurements, or as a result of
 11 measurements made at other times in response to concerns raised by parent /carer, or
 12 health care professional.

13 It is not presently known at what time interval subsequent measurements should be made to
 14 most effectively confirm or refute the presence of faltering growth, or to assess the benefit of
 15 any intervention offered to correct faltering growth. Early confirmation of the existence of
 16 faltering growth and the benefit of any intervention is likely to see the severity of the condition
 17 minimised and growth corrected as early as possible.

18 The aim of this review is to identify whether an increased frequency of monitoring is
 19 beneficial compared to routine monitoring when faltering growth is suspected or confirmed.

20 For full details see review protocol in Appendix D.

21 5.5.2 Description of clinical evidence

22 No relevant clinical study comparing increased frequency with routine monitoring was
 23 identified.

24 See Excluded studies list in Appendix H.

25 5.5.3 Summary of included studies

26 Not applicable.

27 5.5.4 Clinical evidence profile

28 Not applicable.

1 **5.5.5 Economic evidence**

2 No health economic evidence was identified for this topic from the overall health economic
3 search.

4 A de novo health economic model was constructed to aid Committee decision making as a
5 'what if' analysis, but the data were too incomplete for the Committee to draw conclusions
6 from it about the health economic consequences of increasing or decreasing the frequency
7 of monitoring on the margin and consequently this model did not significantly influence
8 Committee in making their recommendations. As it was not used as evidence to underpin
9 recommendations, the details of the model are not provided here.

10 **5.5.6 Clinical evidence statements**

11 Not applicable.

12 **5.5.7 Evidence to recommendations**

13 **5.5.7.1 Relative value placed on the outcomes considered**

14 The Committee agreed that the critical outcomes for decision making were: measurements of
15 growth, health-related quality of life and parent or carer satisfaction. However, no study was
16 identified and therefore recommendations were based on the experience and expertise of the
17 Committee.

18 **5.5.7.2 Considerations of clinical benefits and harms**

19 The Committee considered the aim of growth monitoring in children with possible or definite
20 faltering growth was to identify those who might require some form of intervention and to
21 track their progress whether or not an intervention is employed. Recognition of worsening
22 faltering growth, or of failure to improve, would potentially reduce the risk of worse outcomes
23 for the child and family. The Committee recognised that if weight or length are measured too
24 frequently, minor fluctuations in the values recorded are likely, which could lead to
25 unwarranted anxiety for parents, carers and healthcare professionals and unnecessary
26 investigations or interventions. Excessively frequent weighing may lead to longer term trends
27 being missed. The Committee balanced the potential harms and benefits of growth
28 monitoring by recommending different frequencies of measurement depending on the age of
29 the child and the severity of faltering growth.

30 **5.5.7.3 Consideration of economic benefits and harms**

31 The economic benefit of growth monitoring is that it can function as an early detection and
32 diagnosis of a problem, which may then be corrected more easily and using fewer NHS
33 resources. The potential economic risk is twofold.

34 The first potential risk is that monitoring is carried out more frequently than is necessary.
35 Since monitoring carries a direct cost to the NHS, any monitoring which is done for no
36 substantial clinical reason, or for which the cost of the monitoring outweighs the potential
37 benefits of new information will not be of net value to the NHS. This will happen most often if
38 the monitoring is done very frequently, but may also happen if the metrics recorded are
39 incomplete or of limited clinical value.

40 The second potential risk is more indirect – if monitoring is carried out some percentage of
41 children will be found to have measurements/metrics which are a cause for concern, but who
42 are otherwise entirely healthy. This could lead to unnecessary treatment being offered to
43 these children, which carries a cost to the NHS, a potential harm to the child's quality of life

1 and potentially risks of treatment such as hospital acquired infections depending on the kind
2 of treatment suggested.

3 The Committee balanced these risks and benefits in making their recommendations,
4 especially in making clinicians aware of the risks and allowing for considerable clinical
5 judgement in the frequency of contact. It is the Committee's opinion that currently children
6 may be over-monitored for no clear clinical benefit, so they expect their recommendations to
7 reduce the amount of monitoring that takes place overall (although possibly increasing the
8 monitoring of the very highest-risk children). Consequently the Committee made
9 recommendations that will not lead to a substantial increase in spending of NHS resource,
10 and should lead to a small decrease in overall resource spend.

11 **5.5.7.4 Quality of evidence**

12 The literature searches identified no relevant studies comparing growth monitoring.

13 **5.5.7.5 Other considerations**

- 14 • The Committee acknowledged that it is important to record a baseline measurement of
15 weight and length or height and head circumference for children with suspected faltering
16 growth, to aid the interpretation of any subsequent measurements. It is important that
17 measurements are recorded accurately and compared to appropriate reference values, so
18 the Committee recommended that all measurements should be plotted on a current
19 growth chart and documented in the parent held child health record.
- 20 • The period when an infant is establishing feeding is a critical time to ensure sufficient
21 energy and fluid intake and the Committee considered monitoring up to once daily may be
22 necessary in those with weight loss in the neonatal period.
- 23 • The Committee considered that the frequency of growth measurement should be linked to
24 the typical rate of growth – for this reason more frequent measurements were
25 recommended in younger children with a lower frequency after 6 and 12 months of age.
- 26 • The Committee agreed that it is not possible to measure height in non-ambulant children
27 and that instead length should be measured and recorded.
- 28 • The Committee emphasised the importance of health care professional interpretation of all
29 measurements and that measurement alone does not constitute an intervention.

30 In the absence of comparative studies, these recommendations were based on the clinical
31 experience and opinion of the guideline committee.

32 **5.5.7.6 Key conclusions**

33 The Guideline Committee concluded that on-going measurement is essential for infants and
34 preschool children with Faltering Growth to guide decision making and action. The
35 Committee recognised wide variation in current practice and made recommendations based
36 on a review of potential benefits and harms from excessive or insufficient measurement.

37 **5.5.8 Recommendations**

38 **Weight loss in the early days of life**

- 39 **32. If an infant loses more than 10% of their birth weight in the early days of life,**
40 **measure their weight again at appropriate intervals depending on the level of**
41 **concern, but usually no more frequently than daily.**

1 Faltering Growth after the early days of life

2 **33. If there are concerns about faltering growth (see recommendation 4), measure the**
3 **weight at appropriate intervals taking account of factors such as age and the level**
4 **of concern, but usually no more often than:**

- 5 • daily if less than 1 month old
- 6 • weekly between 1-6 months old
- 7 • fortnightly between 6-12 months
- 8 • monthly from 1 year of age.

9 **34. Monitor weight if there are concerns about faltering growth (see recommendation**
10 **4), but be aware that weighing children more frequently than is needed (see**
11 **recommendation 33) may add to parental anxiety (for example, minor short-term**
12 **changes may cause unnecessary concern).**

13 **35. Be aware that weight loss is unusual except in the early days of life, and may be a**
14 **reason for increased concern and more frequent weighing than is recommended**
15 **(see recommendation 33).**

16 **36. If there are concerns about faltering growth monitor length or height at intervals,**
17 **but no more often than every 3 months.**

18 5.5.9 Research recommendation

19 4. How frequently should children be measured to identify faltering growth?

20 Why this is important

21 It is important that it be determined if a particular frequency or schedule of measurement of
22 infants and children would identify faltering growth at an earlier age or not, or once confirmed
23 to see whether additional monitoring may be necessary. Present practice suggests routine
24 measurements be taken at time of routine childhood immunisation. Is this schedule of
25 measurement the most likely to confirm whether an infant or child has faltering growth as
26 early as possible? It is unclear whether the present pattern of measurement is most effective
27 for children for whom there are concerns about their growth. If an altered schedule of routine
28 measurement was found to be identifying faltering growth at an earlier age and contribute to
29 an early catch-up in weight, it would be necessary to consider how best to deliver such a
30 schedule to the entire population of infants and children.

31 **Table 54: Research recommendation rationale**

Research question	How frequently should children be measured to identify faltering growth?
Why this is needed	
Importance to 'patients' or the population	It is uncertain if a particular frequency of measurement of infants and children will result in earlier identification of faltering growth. Earlier identification would increase the chance of earlier assessment and intervention to rectify faltering growth. No evidence exists to indicate the optimum frequency of measurement.
Relevance to NICE guidance	Current practice as detailed in NICE PH11 which is based upon measures being made at the same time as administration of immunisation. However, it is unclear whether it is beneficial to weigh infants where concerns about faltering growth have been raised more frequently to monitor growth.
Relevance to the	High. The result might indicate that measures be taken at time intervals that

Research question	How frequently should children be measured to identify faltering growth?
NHS	do not coincide with the current immunisation schedule and see considerable increase/alteration to the timing of sequential measurements of infants and children.
National priorities	The National Service Framework for children, young people and maternity services aims for long-term and sustained improvement in children's health, and sets standards for health and social care services for children, young people and pregnant women. The Healthy Child Programme describes standards of care for screening and providing advice during pregnancy and the first 5 years of life. It includes broad recommendations on monitoring growth in infants and children.
Current evidence base	The guideline identified that there is a gap in the evidence base. The systematic review of this topic did not find any comparative effectiveness studies addressing this topic.
Equality	Recognition assessment and management of faltering growth should take into consideration parents' and carers' socioeconomic, cultural, religious and ethnic environment, and potential language barriers. Access to appropriate nutrition may also differ across socioeconomic groups. Certain groups may be at greater risk of developing faltering growth, including preterm infants and children, children and infants born after intrauterine growth restriction. Those with learning-disabled parents or carers, asylum seekers, and looked-after children may find it more of a challenge to access services.
Feasibility	This is feasible because there already existing datasets that may allow secondary analysis
Other comments	This could be part of a secondary analysis of an existing long-term dataset such as the Avon Longitudinal Study of Parents and Children (ALSPAC)

1

Table 55: Research recommendation statements (PICO characteristics)

Criterion	Explanation
Population	New born infants and preschool children in high income countries with different screening regimes (followed until the age of 5 years)
Intervention	The standard regime of routine measurement of weight and length/height.
Comparator	More intensive regimes of routine measurement of weight and length/height.
Outcome	Faltering growth (including the age at which it is identified)
Study design	Prospective or retrospective cohort study
Timeframe	New born infants followed up until the age of 5 years

2

3 5.6 Referral

4 **Review question: Does the use of specific criteria or protocols for the referral of**
 5 **infants and preschool children with suspected or confirmed faltering growth to**
 6 **secondary care improve outcome?**

7 5.6.1 Introduction

8 The aim of this review is to provide guidance on criteria that may indicate that a child with
 9 faltering growth needs specialist services. Ideally, referral to secondary or tertiary care for
 10 infants and preschool children with suspected or confirmed faltering growth should avoid
 11 delays in commencing any necessary assessment, support or treatment not available in

1 primary care. It should also prevent unnecessary interventions, costs to the NHS or distress
2 to infant or preschool child and parents.

3 For full details see review protocol in Appendix D and excluded studies list in Appendix H.

4 **5.6.2 Description of clinical evidence**

5 No relevant clinical study evaluating referral criteria to secondary care was identified.

6 **5.6.3 Summary of included studies**

7 Not applicable.

8 **5.6.4 Clinical evidence profile**

9 Not applicable.

10 **5.6.5 Economic evidence**

11 **5.6.5.1 Introduction**

12 Referral to hospital was thought to be of high economic importance. The Committee gave a
13 variety of reasons for this, for example; the high cost of a hospital admission relative to
14 treatment delivered in a community setting, the added burden of anxiety on parents and the
15 risk of hospital acquired infection for the child. Because of the importance of this question
16 and the limited evidence base, it was prioritised for de novo modelling.

17 The decision problem for the economic model is that – by definition – there is some trade-off
18 clinicians must make between referring every case of faltering growth which requires
19 hospitalisation to hospital and not referring cases which do not require hospitalisation. The
20 schedule of these trade-offs forms an unknown ROC curve, which the model attempts to
21 duplicate. By identifying where on this curve current practice lies, the Committee are able to
22 estimate whether clinicians should behave in a way that is more sensitive (more referrals) or
23 specific (fewer referrals) at the margin.

24 **5.6.5.2 Economic literature**

25 One paper was found of potential economic relevance; Thompson et al 'Increased length of
26 stay and costs associated with weekend admissions for failure to thrive' (2013). This paper
27 considered whether scheduled admissions to US hospitals during weekdays (Monday to
28 Friday) cost more than scheduled admissions during weekends (Saturday and Sunday only).
29 The paper found that weekend admission cost an average of \$2195 (~£1907 2016 GBP)
30 more per admission after correcting for age, gender and insurance status.

31 However the study could not demonstrate that the case-mix between the two groups was the
32 same, nor that the effect would be persistent in a UK setting. Additionally the study assumed
33 that marginal effects were static, which is to say that the – for example – the Monday and
34 Sunday service both had spare capacity and could absorb more patients without increasing
35 the marginal cost of treating those patients.

36 For these reasons the paper was thought to be useful for costing information but unlikely to
37 be of high relevance to recommendations. Consequently a de novo cost-effectiveness model
38 was constructed to inform Committee discussions.

1 **5.6.5.3 Model design**

2 Owing to very limited evidence on the long term economic impact of a referral for faltering
3 growth, the model constructed is effectively a costing 'what if' analysis with some
4 assumptions about quality of life included. Because of well-established weaknesses of
5 models where significant parameters are based on assumptions, the output of the model was
6 used by the Committee to guide their discussions rather than as a simple cost per QALY
7 calculation as would be more typical of a health economic model.

8 The model is presented as a depth map, with specificity on the x-axis, sensitivity on the y-
9 axis and total cost per true positive (i.e. correct diagnosis of faltering growth) on the z-axis.
10 As the Committee were uncertain how sensitive and specific their referral criteria were, no
11 more detailed analysis was planned or conducted.

12 **5.6.5.3.1 Time horizon**

13 The model has a one year time horizon. As assumptions around how long the quality of life
14 burden of a false positive persist for are based on assumption rather than evidence, the time
15 horizon of the model is altered slightly in sensitivity analysis.

16 **5.6.5.3.2 Discount rate**

17 Where appropriate, a discount rate of 3.5% is applied.

18 **5.6.5.3.3 Comparisons**

19 The clinical evidence review did not identify any 'benchmark' tests which could give
20 sensitivity or specificity of signs of significant faltering growth such that referral would be
21 necessary. Consequently the model assumes that clinicians face a trade-off between
22 referring more children at the risk of referring healthy children versus referring fewer children
23 at the risk of failing to refer children with very severe faltering growth. The model compares
24 every possible point on this trade-off using a depth-map design.

25 This assumption has poor validity as in reality there is likely to be a spectrum of unwellness
26 due to faltering growth, with most mistaken referrals ('false positives') occurring around the
27 margin between an obvious need for referral and an obvious need for no referral. This
28 suggests a typical infant who is referred as a 'false positive' will derive some benefit from
29 having their condition looked at by an expert, even if it could have been managed adequately
30 in the community. Additionally, there may be some conditions which look like faltering growth
31 and which require an admission to hospital but which nevertheless are not formally
32 characterised as faltering growth. A 'misdiagnosis' of faltering growth in this situation is of no
33 real economic importance as the child would go to hospital anyway, but is still counted as a
34 'false positive' in the model. These two factors will cause the model to over-estimate the
35 importance of specificity (avoiding false positives), but since the conclusion on the
36 importance of specificity is so clear even under strong sensitivity analysis it was thought
37 appropriate to leave this assumption in the model.

38 **5.6.5.3.4 Prevalence**

39 The prevalence of faltering growth which might require treatment in a hospital was estimated
40 by the Committee at 2%. Olsen 2007 was identified in the clinical literature review and
41 suggested that anthropometric measurements under the 5th centile implied 'moderate' failure
42 to thrive, which could be expected to correlate quite closely with faltering growth severe
43 enough to require hospitalisation. The model uses Olsen's 5% figure, but this value is varied
44 in sensitivity analysis.

1 5.6.5.3.5 **Costs**

2 There are two major costs associated with a referral to secondary or tertiary services. The
3 first is the cost of whatever surveillance or diagnostic procedure is used in the first place in
4 order to generate the referral. The second is the cost of the referral itself.

5 It is assumed in this model that the diagnostic costs are extremely low. This is confirmed by
6 the Committee, who explain that the signs of a child who needs to be referred to hospital do
7 not require expensive diagnostic techniques to identify. Even if this were not the case, the
8 costs associated with identifying which children need to be referred to hospital are the same
9 across infants with and without faltering growth, so this does not generate an opportunity
10 cost.

11 The cost of hospital admission could be taken from Thompson 2013, but NHS costs are
12 usually more accurately reflected in the NHS Reference Cost document, which gives the
13 values for a faltering growth inpatient admission listed in Table 56. As the majority of infants
14 have a complications and comorbidities (CC) score of more than zero, an average of all CC
15 scores is taken for the model, giving the cost used in the model as £2783. It is assumed that
16 both false and true positives incur this cost, while false negatives incur the same cost a year
17 later when the mistake is realised by the clinician, at a discounted cost of £2689.

18 **Table 56: Cost of faltering growth inpatient admissions**

Currency code	Average cost	Number of admissions
Paediatric Faltering Growth (Failure to Thrive) with CC Score 2+	£3,654.21	208
Paediatric Faltering Growth (Failure to Thrive) with CC Score 1	£2,604.67	88
Paediatric Faltering Growth (Failure to Thrive) with CC Score 0	£1,382.01	118

19

20 5.6.5.3.6 **Health-related quality of life**

21 The Committee described two major sources of quality of life detriment of admission to
22 hospital. The first is hospital acquired illnesses for the child themselves (more specifically
23 any lasting disabilities relating to these illnesses), and the second increased anxiety for the
24 child's parents. The Committee also argued that parents of children with faltering growth who
25 were misdiagnosed ('false negatives') would have a greater level of increased anxiety. There
26 is no literature on either of these sources of quality of life decrement for children with faltering
27 growth or their parents specifically, and it was therefore thought appropriate to adopt
28 conservative assumptions on both issues.

29 In keeping with other NICE Guidelines, it is assumed the utility decrement for increased
30 anxiety is 0.07, representing a transition from 'mild' to 'moderate' anxiety on the standard
31 EQ-5D form. This may be an overestimate of the effect as parents of children who are
32 diagnosed with faltering growth may be very anxious to begin with. This utility decrement is
33 multiplied by 1.85, as it is assumed that in a two-parent household both the mother and the
34 father are equally anxious about the well-being of their child, and ONS figures indicate that
35 around 15% of families are lone parent
36 (<https://www.ons.gov.uk/peoplepopulationandcommunity/birthsdeathsandmarriages/families/bulletins/familiesandhouseholds/2016> - note that if 15% of families are lone parent this
37 means that only 8% of parents are in lone parent families). However the Committee noted
38 that some parents would not be made anxious by their child going to hospital – some parents
39 would be reassured by the healthcare system taking an interest in their children. They
40

1 estimated the proportion of these parents at around 50%, but cautioned that this was an
2 educated guess, and probably only right to the approximate order of magnitude.
3 Consequently the average QALY decrement for a false admission to hospital was 0.065 and
4 the average decrement for a false negative (a non-admission to hospital where one should
5 have taken place) is 0.13.

6 The model assumes that there is no quality of life decrement associated with hospital
7 acquired infections, as no value could be assigned to these by either the literature or the
8 Committee. The model further assumes that parents of correctly diagnosed children do not
9 become anxious. This assumption is justified because it is assumed their child will begin to
10 get better after treatment, and so while there may be a spike in anxiety for the few days or
11 weeks the child is at the hospital, there is no effect of the misdiagnosis 'hanging over' the
12 family resulting in hypersensitivity to normal variation in infant behaviour (for example, a
13 phase of picky eating).

14 The model uses the lower-bound of the standard NICE cost-effectiveness threshold of
15 £20,000 as the assumed willingness to pay for an additional QALY.

16 5.6.5.3.7 **Summary of model outcomes**

17 To summarise the outcomes of the model, a true negative is the best outcome since it costs
18 the NHS nothing and does not expose the child to the risks of hospital. A true positive is the
19 next best outcome as it costs a significant amount of money but incurs no QALY decrement
20 (as it is assumed that the treatment is clinically worthwhile). A false positive incurs both costs
21 and a QALY burden, whereas a false negative incurs slightly fewer costs as the treatment is
22 discounted into the future, but greater QALY burden. These are tabulated in Table 57. It is
23 possible to express these QALY decrements in monetary terms by assuming that society is
24 willing to pay £20,000 per QALY. In the table below this conversion is not performed, but
25 elsewhere this calculation is done without any intermediate step.

26 **Table 57: Summary of model inputs as they relate to model endpoints**

	Costs	QALYs
True Positive	£2783	No change
True Negative	No change	No change
False Positive	£2783	-0.065
False Negative	£2689	-0.130

27

28 5.6.5.4 **Sensitivity analysis**

29 A number of planned sensitivity analyses were undertaken. The rationale behind the choice
30 of these is given in Table 58. As the results are presented as a range of cost-per-diagnosis
31 costs depending on assumptions about the sensitivity and specificity of clinicians' ability to
32 refer accurately, one way deterministic sensitivity analysis would have been inappropriate;
33 instead scenario analysis was undertaken to better test extreme values of the model or
34 plausible alternate values of the key parameters.

35 **Table 58: Planned sensitivity analyses and their justification**

Analysis	Description	Justification
Base case	No variation from base case described above	For comparison
2% FG prevalence	Committee estimate of prevalence of genuinely concerning FG	Committee estimate probably more accurate than Olsen, who considered 'moderate' FG
9% FG prevalence	Committee estimate of	As extreme value to test results

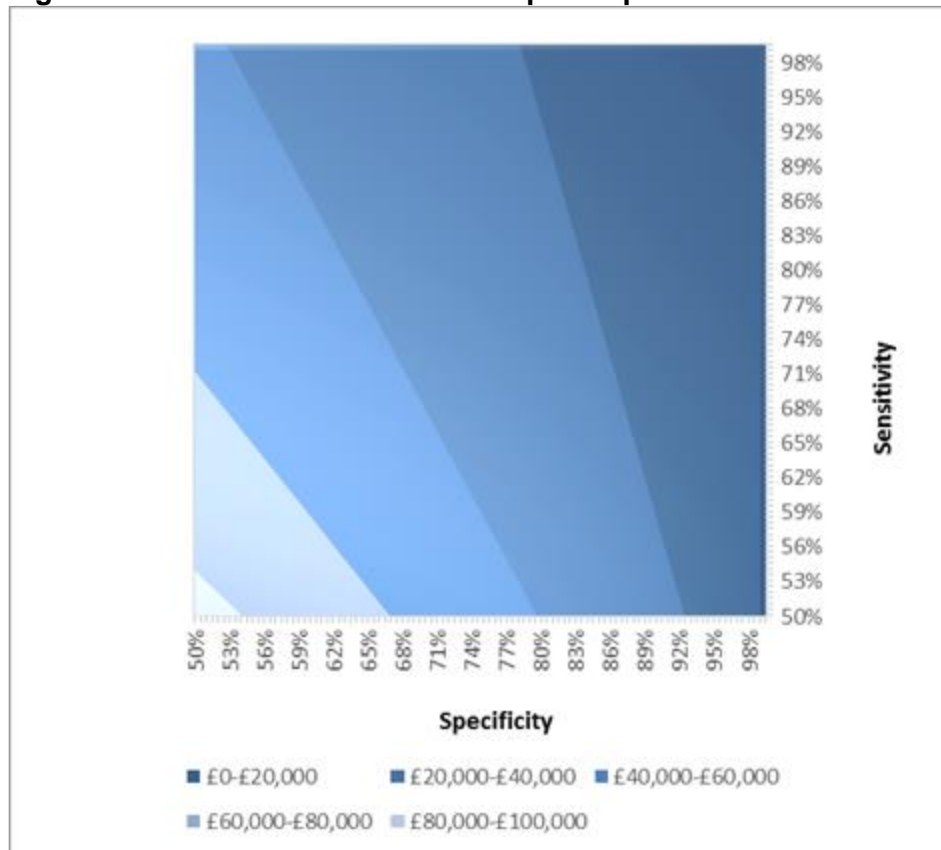
Analysis	Description	Justification
	prevalence of any FG whatsoever	
Single parent only	Assuming only one parent bares burden of hospitalisation-associated anxiety	This sensitivity analysis is in line with similar analysis is other NICE Guidelines
£30,000 / QALY threshold	Upper limit of conventional cost per QALY threshold considered by NICE	This sensitivity analysis is in line with similar analysis is other NICE Guidelines
False positive 0%	No QALY burden to parents for a false positive result	Pre-planned sensitivity analysis with Committee
False positive 25%	QALY burden to parents half as severe (25% of burden of false negative)	Pre-planned sensitivity analysis with Committee
False positive 100%	QALY burden to parents twice as severe (full burden of false negative)	Pre-planned sensitivity analysis with Committee
True positive carries anxiety cost	All true positives carry an anxiety cost equal to a false positive	Test assumption that parents of children who are faltering are already anxious and therefore anxiety not an opportunity cost in this group
False negative carries small cost	All false negative have additional cost of £100 added to represent future GP appointments	Unlikely that FN is 'free' from the point of view of later health costs, but difficult to assign a cost in the base case as it depends on highly specific factors about the case
False negative carries moderate cost	All false negative have additional cost of £1000 added to represent future emergency admission	Unlikely that FN is 'free' from the point of view of later health costs, but difficult to assign a cost in the base case as it depends on highly specific factors about the case
False negative carries large cost	All false negative have additional cost of £5000 added to represent future long-term disability	Unlikely that FN is 'free' from the point of view of later health costs, but difficult to assign a cost in the base case as it depends on highly specific factors about the case

1

2 5.6.5.5 Results

3 The results of the model are shown in Figure 3 (please note that the x-axis is truncated). This
 4 shows that costs decrease as both sensitivity and specificity improve, which is to be
 5 expected as true positives and negatives are preferable to errors.

Figure 3: Main schedule of results depth map



1 The key result which the Committee commented on was the relationship between specificity
 2 and sensitivity. In the base case at higher values of specificity the NHS would prefer
 3 clinicians to be one percentage point more specific even if this came at the cost of being
 4 nearly two and a half percentage points less sensitive. The Committee noted that this result
 5 had strong face validity, as they believed the importance of keeping healthy infants away
 6 from hospital was a significant issue in the management of faltering growth; they added that
 7 there was a strong case that over-referral was common.

8 The cost per true positive varies depending on the specificity and sensitivity of the measure
 9 used. Some example points are highlighted in Table 59. The Committee believed that the
 10 costs for the more accurate combinations were not excessive given the lifelong and
 11 important health gain associated with the proper treatment of faltering growth, and that
 12 therefore their recommendations were likely to be cost-effective

13 **Table 59: Cost per child with faltering growth in the population - at various possible**
 14 **sensitivity / specificity combinations**

Sensitivity	Specificity	Cost per child with faltering growth in the population
50%	50%	£86,486
50%	75%	£48,059
75%	50%	£57,223
50%	90%	£24,532
90%	50%	£47,214
75%	90%	£15,644
90%	75%	£14,450

1

2 As the Committee was unable to estimate a QALY gain from having treatment, the implicit
3 assumption of the model is that early treatment does not affect later health outcomes. The
4 Committee disagreed with this assumption, but understood that there was no consistent
5 modelling approach that could overcome the problem. Consequently they used the values
6 presented above as part of an assessment of the value of accurate diagnosis.

7 **5.6.5.6 Sensitivity analysis**

8 As described in Section 5.6.5.5, the important outcome to the Committee was whether any
9 planned sensitivity analysis altered the conclusion that specificity was to be preferred to
10 sensitivity at the margin. Consequently the metric reported for sensitivity analysis is the ratio
11 at which sensitivity would be traded away for specificity at a point where the test was 75%
12 sensitive and specific – that is to say the ratio at which the NHS is indifferent between more
13 sensitivity or more specificity. Values above 2.3 indicate that specificity has become more
14 than the base case, values below 2.3 indicate it has become less important. The critical point
15 for the Committee was whether the ratio ever fell below one, which would indicate that
16 sensitivity had become more important than specificity at the margin. The use of the point
17 75% sensitivity / 75% specificity is arbitrary, but the direction of the conclusion would not
18 change if a different point was chosen as the relationship between the components of the
19 model is linear. These results are displayed in Table 60.

20 **Table 60: Results of sensitivity analysis, expressed as indifference ratio between more**
21 **sensitivity and specificity**

Analysis	Indifference ratio
Base case	2.33
2% FG prevalence	2.67
9% FG prevalence	1.97
Single parent only	2.37
£30,000 / QALY threshold	2.30
False positive 0%	2.12
False positive 25%	2.24
False positive 100%	2.45
True positive carries anxiety cost	2.33
False negative carries small cost	2.32
False negative carries moderate cost	2.24
False negative carries large cost	1.95

22

23 Having considered the sensitivity analysis, the Committee agreed it did not change the
24 overall conclusion, and that the results of the sensitivity analysis were in line with what they
25 would have expected.

26 **5.6.6 Clinical evidence statements**

27 Not applicable.

28 **5.6.7 Evidence to recommendations**

29 **5.6.7.1 Relative value placed on the outcomes considered**

30 The Committee considered health-related quality of life, parent or carer satisfaction, adverse
31 effects of not being referred and hospital admission or readmission rates as the critical

1 outcomes for these recommendation. They considered that secondary care referral may be
2 based on measurements of growth or other primary healthcare professional concerns.
3 However, no study was identified and recommendations were drafted based on the
4 experience and expertise of the Committee.

5 **5.6.7.2 Consideration of clinical benefits and harms**

6 The Committee recognised that infants and children with signs or symptoms of an underlying
7 condition would benefit from further investigations, diagnosis and treatment of that condition
8 in secondary care. Children with an underlying condition may be subject to adverse effects
9 due to not being referred, including delayed diagnosis and treatment. However, in infants and
10 children without an underlying condition referral to secondary care may lead to unnecessary
11 investigations (for example blood tests) or interventions that are not needed.

12 The Committee was also particularly concerned that infants and children who lose weight
13 very quickly or who are severely undernourished should be referred promptly. How fast this
14 weight loss should be and how severe this undernutrition would depend on many different
15 factors such as age, initial weight, length and other possible contributing factors. The
16 Committee therefore agreed that this could not be clearly defined and should be left to
17 clinical judgement on a case by case basis. .

18 The Committee recognised that admission to hospital is rarely necessary and that it carries
19 risks for both the infant or child and the parents or carers. Such risks may include infections,
20 disruption of feeding or eating routines and raised parental anxiety.

21 The Committee balanced these potential harms and benefits by recommending targeted
22 referral to secondary care for those most likely to benefit.

23 **5.6.7.3 Consideration of economic benefits and harms**

24 Referral to secondary care carries and increased cost, especially for conditions or
25 investigations that require admission for prolonged periods. Thompson (2013) described
26 above finds an average cost of around £10,000 per admission (depending on whether it was
27 a weekday or weekend admission) although the cost in an NHS setting is likely to be closer
28 to £2000-£3000. Consequently the healthcare system has a strong incentive to use accurate
29 criteria to prioritise referral, if they are available.

30 The economic model suggests that ensuring that healthy children are not referred to
31 secondary or tertiary care is extremely important. The Committee commented that this was
32 their experience of managing the condition, as referrals to hospital can have a number of
33 negative consequences that clinicians would rather avoid. The Committee also discussed
34 how failing to refer children who needed it was an undesirable outcome, as delaying referral
35 could lead to serious permanent disability or death. The economic model did not incorporate
36 these outcomes as there was no robust evidence pointing to their likelihood given a missed
37 referral. The Committee used their clinical judgement to balance the two competing
38 rationales for more specificity and more sensitivity respectively. The Committee concluded
39 that even despite the risks associated with a missed diagnosis the model was correct when it
40 identified specificity as being the more important factor to consider because the number of
41 children with faltering growth was small relative to the general population, so the harms of a
42 false positive were multiplied across a much larger group of people.

43 The Committee considered the situation of a child with something sufficiently concerning that
44 clinicians would want to admit them to hospital for treatment, but which is misdiagnosed as
45 faltering growth by the clinician. While this might occur from time to time in clinical practice,
46 the results of the modelling were sufficiently strong that edge cases such as this were
47 unlikely to impact the Committee's conclusion.

1 Although each referral for faltering growth is potentially expensive and the absolute number
2 of referrals per year may be relatively high, the Committee are clear that their
3 recommendations should reduce the number of hospital referrals for faltering growth.
4 Therefore these recommendations are likely to have a low resource impact, in the direction
5 of saving the NHS money.

6 **5.6.7.4 Quality of evidence**

7 The literature searches did not identify any relevant evidence.

8 **5.6.7.5 Other considerations**

9 The Committee considered that although most infants and children with faltering growth
10 could be managed in primary care it was important to set goals to monitor the success of any
11 primary care interventions. If these goals were not met (or in the presence of sustained
12 faltering growth) the Committee agreed that referral to secondary care was appropriate.
13 These referral would only very rarely result in hospital admissions.

14 Although unlikely, some children with faltering growth could have an underlying causative
15 condition without other signs and symptoms. Not referring these children to secondary care
16 could result in a potential harmful delay in their diagnosis and treatment. To mitigate this
17 harm the Committee recommended that clinicians should think about undertaking further
18 investigations for a child with sustained faltering growth, or if symptoms or signs emerge
19 during follow-up.

20 These recommendations were based on the clinical experience and opinion of the
21 Committee.

22 **5.6.7.6 Key conclusions**

23 The Committee concluded that it was important to identify those children who had the highest
24 need or are most likely to benefit from referral. They also agreed that there should be
25 processes in place that allows for monitoring of goals in primary care and if these are not
26 achieved this would also be another reason for a referral to secondary care.

27 **5.6.8 Recommendations**

28 **37. Together with parents and carers, establish a management plan with specific**
29 **goals for every infant or child where there are concerns about faltering growth.**
30 **This plan could include:**

- 31
- 32 • assessments or investigations
 - 33 • interventions
 - 34 • clinical and growth monitoring
 - 35 • when reassessment to review progress and achievement of growth goals should happen.

36 **38. If an infant or child with faltering growth has any of the following discuss with, or**
37 **refer to, an appropriate paediatric specialist care service:**

- 38
- 39 • symptoms or signs that raise suspicion of an underlying disorder
 - 40 • a failure to respond to interventions delivered in a primary care setting
 - 41 • rapid weight loss or severe undernutrition
 - safeguarding concerns (see the NICE guideline on child maltreatment).

- 1
2
3
- 39. Do not admit children with faltering growth to hospital unless they are acutely unwell or there is a specific indication requiring inpatient care, such as a plan to begin tube feeding (see recommendation 29).**

6 Organisation of care

Review question: In the management of infants and preschool children what is the most effective service delivery with regard to the configuration and working arrangements of multidisciplinary teams?

6.1 Introduction

The aim of this review is to identify the most effective service design with regards to:

- How multidisciplinary teams are organised (including the role of midwives and health visitors)
- The level of intensity and workload of the team with regards to the management and assessment of faltering growth (e.g. how many hours per week individual healthcare professionals dedicate to this task).
- Care provided in varied settings (including primary, secondary and tertiary but excluding neonatal intensive care units).

For full details see review protocol in Appendix D. See also the study selection flow chart in Appendix F, full GRADE profiles in Appendix J, forest plots in Appendix I and exclusion list in Appendix H.

6.2 Description of clinical evidence

Three randomised controlled studies have been included in this systematic review for service configuration for children with faltering growth (Black 1995; Black 2007; Hutchenson 1997; Raynor 1999; Wright 1998). Two of these studies (Black 2007; Hutchenson 1997) are follow-up papers of the study conducted by Black 1995.

Two studies were conducted in the UK (Raynor 1999; Wright 1998), whereas the other studies have been conducted in the US.

The sample size ranged between 83 and 229 children with faltering growth; however, the definition of faltering growth varied as follows:

- Wright 1998 identified children as failing to thrive if the second weight standard deviation score showed a fall from the baseline weight at 6 weeks, after adjustment for regression to the mean using the thrive index method.
- Black 1995 (and consequently also Black 2007 and Hutchenson 1997) included children with weight for age below the fifth percentile.
- Raynor 1999 included all children with weight below the third percentile and referred to a failure to thrive clinic.

Three main comparisons of interventions were reported by the studies:

1. Structured health visitor management versus weight monitoring only (Wright 1998), where the intervention consisted of a structured health visitor management, with dietetic, paediatric, and social work input as required (a multidisciplinary group initially comprising a liaison health visitor and a research paediatrician, and a paediatric dietitian. The staff provided introductory training for health visitors in the intervention practices as well as twice yearly sessions thereafter).
2. Home intervention versus growth and nutrition clinic (Black 1995; Black 2007; Hutchenson 1997), where all children received nutrition intervention at the growth and nutrition clinic. The home intervention (HI) was based on an ecologic model with The Hawaii Early Learning Program as a curriculum. HI was scheduled weekly during 1 year and was conducted by lay-home visitors and supervised by a community health nurse. Home

visitors asked families about their strengths, needs and priorities, and developed an individualized family service plan with specific goals and objectives. The home visitors did not weigh the children or limit their attention to dietary intake or feeding. They addressed the parent-child relationship in multiple contexts, including feeding.

3. Specialised home visit versus outpatient clinic only (Raynor 1999), where children in both groups attended the consultant led outpatient clinic. In addition, the intervention group received intensive home visiting from a specialist health visitor for a period of 1 year. During the health visiting intervention, an initial assessment was carried out by weekly visits, lasting 60-90 minutes, over a 4 to 5 week period within the home. The assessment included a semi-structured interview, observation of a mealtime, and assessment of parent-child interactions.

Given the heterogeneity of the studies in population and interventions used, it was not possible to pool the data.

The three studies covered a number of outcomes, such as growth (weight and height), cognitive development, hospital admission rate, and parents' satisfaction with the intervention.

However, the following outcomes from the review protocol were not reported in the available evidence:

- health-related quality of life

6.3 Summary of included studies

A summary of the studies that were included in this review are presented in Table 61.

Table 61: Summary of included studies

Study	Intervention	Comparison	Population	Outcomes
Black 1995	The home intervention was scheduled weekly during 1 year and was conducted by lay-home visitors and supervised by a community health nurse. Home visitors asked families about their strengths, needs, priorities and they developed an individualized family service plan with specific goals and objectives.	Growth and nutrition clinic	Children with weight for age below the fifth percentile.	<ul style="list-style-type: none"> • anthropometric measurements (weight for age, weight for height, height for age) • cognitive development
Black 2007	Same as Black 1995	Same as Black 1995	Same as Black 1995	<ul style="list-style-type: none"> • anthropometric measurements when the child was 8 years old (weight for age, weight for

Study	Intervention	Comparison	Population	Outcomes
				<ul style="list-style-type: none"> height, height for age) • cognitive development when the child was 8 years old
Hutchenson 1997	Same as Black 1995	Same as Black 1995	Children with weight for age below the fifth percentile.	<ul style="list-style-type: none"> • anthropometric measurements when the child was 4 years old (weight for age, weight for height, height for age) • cognitive development when the child was 4 years old
Raynor 1999	Children in both groups attended the consultant led outpatient clinic. In addition, the intervention group received intensive home visiting from a specialist health visitor for a period of 1 year. During the health visiting intervention, an initial assessment was carried out by weekly visits, lasting 60-90 minutes, over a 4 to 5 week period within the home.	Children attended the consultant led outpatient clinic.	Children with weight below the third percentile and referred to a failure to thrive clinic.	<ul style="list-style-type: none"> • weight • height • mental and psychomotor development • referrals to a community dietitian • admission rates • adherence
Wright 1998	The intervention consisted of a structured health visitor management, with dietetic, paediatric, and social work input as required (a multidisciplinary group initially comprising a liaison health visitor and a research paediatrician, and a paediatric dietitian. The staff	Weight monitoring.	Failing to thrive children, defined as the second weight standard deviation score showed a fall from the baseline weight at 6 weeks, after adjustment for regression to the mean using the thrive index method.	<ul style="list-style-type: none"> • weight • parent/carer satisfaction

Study	Intervention	Comparison	Population	Outcomes
	provided introductory training for health visitors in the intervention practices as well as twice yearly sessions thereafter).			

6.4 Clinical evidence profile

The clinical evidence profiles for this review question are presented in Table 62, Table 63, and Table 64.

Table 62: Clinical summary for structured health visitor management compared to routine weighing only for faltering growth

Outcomes	Illustrative comparative risks* (95% CI)		Relative effect (95% CI)	No of Participants (studies)	Quality of the evidence (GRADE)
	Assumed risk routine weighing only	Corresponding risk Structured health visitor management			
Anthropometric measurements at home visit - Weight SD score Follow-up: 3 years	The mean anthropometric measurements at home visit - weight in the control group was -1.26 (± 0.94)	The mean anthropometric measurements at home visit - weight in the intervention groups was 0.33 higher (0.01 to 0.65 higher)	-	133 (1 study)	Low ^{1,2}
Anthropometric measurements at home visit - Weight deficit Follow-up: 3 years	The mean anthropometric measurements at home visit - weight deficit in the control group was -0.9 (± 0.85)	The mean anthropometric measurements at home visit - weight deficit in the intervention groups was 0.36 higher (0.07 to 0.65 higher)	-	133 (1 study)	Low ^{1,3}
Anthropometric measurements at home visit - Height SD score Follow-up: 3 years	The mean anthropometric measurements at home visit - height in the control group was -1.13	The mean anthropometric measurements at home visit - height in the intervention groups was 0.34 higher (0.03 to 0.65 higher)	-	133 (1 study)	Low ^{1,4}

Outcomes	Illustrative comparative risks* (95% CI)		Relative effect (95% CI)	No of Participants (studies)	Quality of the evidence (GRADE)
	Assumed risk routine weighting only	Corresponding risk Structured health visitor management			
	(±0.92)				
Anthropometric measurements at home visit - Height deficit Follow-up: 3 years	The mean anthropometric measurements at home visit - height deficit in the intervention groups was -0.58 (±0.92)	The mean anthropometric measurements at home visit - height deficit in the intervention groups was 0.3 higher (0.01 lower to 0.61 higher)	-	133 (1 study)	Low ^{1,5}
Weight (SD score) at last follow up SD score Follow-up: 3 years	The mean weight (SD score) at last follow up in the control group was -1.49 (±1.06)	The mean weight (SD score) at last follow up in the intervention groups was 0.33 higher (0.06 to 0.6 higher)	-	229 (1 study)	Low ^{1,6}
Weight deficit at last follow up Follow-up: 3 years	The mean weight deficit at last follow up in the control group was -1.17 (±0.93)	The mean weight deficit at last follow up in the intervention groups was 0.35 higher (0.11 to 0.59 higher)	-	229 (1 study)	Low ^{1,7}
Parents' ratings satisfaction at home interview of service received, and perceptions of child's early problems using Likert scales. Values are means (SD) - service received from the health visitor structured interviews Follow-up: 3 years	The mean parent or carer satisfaction - service received from the health visitor in the control group was 3.8 (±1.1)	The mean parent or carer satisfaction - service received from the health visitor in the intervention groups was 0.3 higher (0.05 lower to 0.65 higher)	-	134 (1 study)	Low ^{1,8}
Parents' ratings satisfaction at home interview of service received, and perceptions of child's early problems using Likert scales. Values are means (SD)- how	The mean parent or carer satisfaction - how often saw the health visitor in	The mean parent or carer satisfaction - how often saw the health visitor in the intervention groups was 0.2 higher (0.13 lower to 0.53	-	134 (1 study)	Low ^{1,9}

Outcomes	Illustrative comparative risks* (95% CI)		Relative effect (95% CI)	No of Participants (studies)	Quality of the evidence (GRADE)
	Assumed risk routine weighting only	Corresponding risk Structured health visitor management			
often saw the health visitor structured interviews Follow-up: 3 years	the control group was 3.2 (±0.98)	higher)			
Parents' ratings satisfaction at home interview of service received, and perceptions of child's early problems using Likert scales. Values are means (SD) - how did you feel about getting your child weighed? structured interviews Follow-up: 3 years	The mean parent or carer satisfaction - how did you feel about getting your child weighed? in the control group was 2.9 (±1.2)	The mean parent or carer satisfaction - how did you feel about getting your child weighed? in the intervention groups was 0.2 lower (0.68 lower to 0.28 higher)	-	134 (1 study)	Low ^{1,10}
Parents' ratings satisfaction at home interview of service received, and perceptions of child's early problems using Likert scales. Values are means (SD) - how would you describe your child's appetite - at 1 year? structured interviews Follow-up: 1 years	The mean parent or carer satisfaction - how would you describe your child's appetite - at 1 year? in the control groups was 2.9 (±1.9)	The mean parent or carer satisfaction - how would you describe your child's appetite - at 1 year? in the intervention groups was 0.4 lower (1.01 lower to 0.21 higher)	-	134 (1 study)	Low ^{1,11}
Parents' ratings satisfaction at home interview of service received, and perceptions of child's early problems using Likert scales. Values are means (SD) - how would you describe your child's appetite - at time of interview? structured interviews Follow-up: 3 years	The mean parent or carer satisfaction - how would you describe your child's appetite - at time of interview? in the control group was 2.9 (±2)	The mean parent or carer satisfaction - how would you describe your child's appetite - at time of interview? in the intervention groups was 0.5 higher (0.11 lower to 1.11 higher)	-	134 (1 study)	Low ^{1,12}

1 CI confidence interval; SD standard deviation; MID minimally important difference.
2 Evidence was downgraded by 1 due to unclear/unreported allocation concealment, unclear/unreported blinding,
3 and unclear/unreported incomplete outcome data.
4 2 Evidence was downgraded by 1 due to serious imprecision as 95%CI crossed one default MID ($\pm 0.50 \times 0.94 =$
5 ± 0.47)

- 3 Evidence was downgraded by 1 due to serious imprecision as 95%CI crossed one default MID ($\pm 0.50 \times 0.85 = \pm 0.425$)
- 4 Evidence was downgraded by 1 due to serious imprecision as 95%CI crossed one default MID ($\pm 0.50 \times 0.92 = \pm 0.46$)
- 5 Evidence was downgraded by 1 due to serious imprecision as 95%CI crossed one default MID ($\pm 0.50 \times 0.92 = \pm 0.47$)
- 6 Evidence was downgraded by 1 due to serious imprecision as 95%CI crossed one default MID ($\pm 0.50 \times 1.06 = \pm 0.53$)
- 7 Evidence was downgraded by 1 due to serious imprecision as 95%CI crossed one default MID ($\pm 0.50 \times 0.93 = \pm 0.46$)
- 8 Evidence was downgraded by 1 due to serious imprecision as 95%CI crossed one default MID ($\pm 0.50 \times 1.1 = \pm 0.55$)
- 9 Evidence was downgraded by 1 due to serious imprecision as 95%CI crossed one default MID ($\pm 0.50 \times 0.98 = \pm 0.49$)
- 10 Evidence was downgraded by 1 due to serious imprecision as 95%CI crossed one default MID ($\pm 0.50 \times 1.12 = \pm 0.6$)
- 11 Evidence was downgraded by 1 due to serious imprecision as 95%CI crossed one default MID ($\pm 0.50 \times 1.9 = \pm 0.95$)
- 12 Evidence was downgraded by 1 due to serious imprecision as 95%CI crossed one default MID ($\pm 0.50 \times 2 = \pm 1$)

Table 63: Clinical summary for specialised home visit + outpatient clinic compared to clinic only for faltering growth

Outcomes	Illustrative comparative risks* (95% CI)		Relative effect (95% CI)	No of Participants (studies)	Quality of the evidence (GRADE)
	Assumed risk clinic only	Corresponding risk Specialised home visit + outpatient clinic			
Weight SD score Follow-up: 1 year	The mean weight in the control group was 0.42 (± 0.63)	The mean weight in the intervention groups was 0.17 higher weight SD score (0.1 lower to 0.44 higher)	-	83 (1 study)	Moderate ¹
Height (SD score) Follow-up: 1 year	The mean height in the control group was -0.2 (± 0.85)	The mean height in the intervention groups was 0.13 height SD score higher (0.2 lower to 0.46 higher)	-	83 (1 study)	Moderate ²
Mental developmental index Bayley Scales of Infant Development Follow-up: 1 year	The mean mental developmental index in the control group was 3.8 (± 11.88)	The mean mental developmental index in the intervention groups was 1.6 lower (7.16 lower to 3.96 higher)	-	65 (1 study)	Moderate ³
Psychomotor developmental index Bayley Scales of Infant Development Follow-up: 1 year	The mean psychomotor developmental index in the control group was 5.6 (± 13.3)	The mean psychomotor developmental index in the intervention groups was 2.6 higher (4.6 lower to 9.8)	-	65 (1 study)	Moderate ⁴

Outcomes	Illustrative comparative risks* (95% CI)		Relative effect (95% CI)	No of Participants (studies)	Quality of the evidence (GRADE)
	Assumed risk clinic only	Corresponding risk Specialised home visit + outpatient clinic			
Referrals to a community dietitian Follow-up: 1 year	293 per 1000	12 per 1000 (0 to 170)	RR 0.04 (0 to 0.58)	83 (1 study)	High
Admissions to hospital Follow-up: 1 year	378 per 1000	163 per 1000 (64 to 367)	RR 0.43 (0.17 to 0.97)	74 (1 study)	Moderate ⁵
Adherence missed more than 3 outpatient appointment Follow-up: 1 year	378 per 1000	136 per 1000 (45 to 329)	RR 0.36 (0.12 to 0.87)	74 (1 study)	Moderate ⁶

CI confidence interval, RR risk ratio, MID minimally important difference.

1 Evidence was downgraded by 1 due to serious imprecision as 95%CI crossed one default MID ($\pm 0.5 \times 0.63 = \pm 0.315$)

2 Evidence was downgraded by 1 due to serious imprecision as 95%CI crossed one default MID ($\pm 0.5 \times 0.85 = \pm 0.425$)

3 Evidence was downgraded by 1 due to serious imprecision as 95%CI crossed one default MID ($\pm 0.5 \times 11.8 = \pm 5.94$)

4 Evidence was downgraded by 1 due to serious imprecision as 95%CI crossed one default MID ($\pm 0.5 \times 13.39 = \pm 6.69$)

5 Evidence was downgraded by 1 due to serious imprecision as 95%CI crossed one default MID (0.8)

6 Evidence was downgraded by 1 due to serious imprecision as 95%CI crossed one default MID (0.8)

Table 64: Clinical summary for lay home visit + growth and nutrition clinic compared to clinic only for faltering growth

Outcomes	Illustrative comparative risks* (95% CI)		No of Participants (studies)	Quality of the evidence (GRADE)
	Assumed risk clinic only	Corresponding risk Lay home visit + growth and nutrition clinic		
Weight for age - younger (< 12 months at recruitment) Follow-up: 1 year	The mean weight for age - younger (< 12 months at recruitment) in the control	The mean weight for age - younger (< 12 months at recruitment) in the intervention groups was 0.2 weight z score lower (0.76 lower to 0.36 higher)	54 (1 study)	Low ^{1,2}

	groups was -1.1 (± 1)			
Weight for age - older (> 12 months at recruitment) Follow-up: 1 year	The mean weight for age - older (> 12 months at recruitment) in the control group was -1.7 (± 0.7)	The mean weight for age - older (> 12 months at recruitment) in the intervention groups was 0.1 weight z score lower (0.42 lower to 0.22 higher)	62 (1 study)	Moderate ⁵
Weight for height Follow-up: 1 year	The mean weight for height in the control group was -1.5 (± 1)	The mean weight for height in the intervention groups was 0.2 weight z score lower (0.51 lower to 0.11 higher)	111 (1 study)	Moderate ⁶
Weight for height - younger (< 12 months at recruitment) Follow-up: 1 year	The mean weight for height - younger (< 12 months at recruitment) in the control group was -0.8 (± 1.1)	The mean weight for height - younger (< 12 months at recruitment) in the intervention groups was 0.2 weight z score lower (0.87 lower to 0.47 higher)	54 (1 study)	Moderate ⁷
Weight for height - older (> 12 months at recruitment) Follow-up: 1 year	The mean weight for height - older (> 12 months at recruitment) in the control group was -1.3 (± 0.6)	The mean weight for height - older (> 12 months at recruitment) in the intervention groups was 0.2 weight z score lower (0.47 lower to 0.07 higher)	62 (1 study)	Moderate ⁸
Weight for height Follow-up: 4 years	The mean weight for height in the control group was -1.5 (± 0.8)	The mean weight for height in the intervention groups was 0.2 weight z score lower (0.52 lower to 0.12 higher)	74 (1 study)	Moderate ⁹
Weight for height BMI Follow-up: 8 years	The mean weight for height in the control	The mean weight for height in the intervention groups was 1.28 higher	96 (1 study)	Moderate ¹⁰

	group was 15.7 (± 2.28)	(0.12 lower to 2.68 higher)		
Height for age Follow-up: 1 year	The mean height for age in the control group was -1.2 (± 1.1)	The mean height for age in the intervention groups was 0.4 height z score higher (0.01 lower to 0.81 higher)	111 (1 study)	Moderate ¹¹
Height for age - younger (< 12 months at recruitment) Follow-up: 1 year	The mean height for age - younger (< 12 months at recruitment) in the control group was -1 (± 1)	The mean height for age - younger (< 12 months at recruitment) in the intervention groups was 0.2 height z score higher (0.36 lower to 0.76 higher)	54 (1 study)	Moderate ¹²
Height for age - older (> 12 months at recruitment) Follow-up: 1 year	The mean height for age - older (> 12 months at recruitment) in the control group was -0.9 (± 1)	The mean height for age - older (> 12 months at recruitment) in the intervention groups was 0.2 height z score higher (0.33 lower to 0.73 higher)	62 (1 study)	Moderate ¹³
Height for age Follow-up: 4 years ³	The mean height for age in the control group was -1 (± 1)	The mean height for age in the intervention groups was 0.2 height z score higher (0.28 lower to 0.68 higher)	74 (1 study)	Moderate ¹⁴
Height for age (z score) Follow-up: 8 years ⁴	The mean height for age in the control group was -0.62 (± 0.93)	The mean height for age in the intervention groups was 0.4 height z score higher (0 to 0.8 higher)	96 (1 study)	Moderate ¹⁵
Cognitive development Bailey Scales of Infant Development Follow-up: 1 year	The mean cognitive development in the control group was 83.22 (± 16.22)	The mean cognitive development in the intervention groups was 2.93 height z score higher (3.12 lower to 8.98 higher)	111 (1 study)	Moderate ¹⁶

Cognitive development - younger (< 12 months at recruitment) Bailey Scales of Infant Development Follow-up: 1 year	The mean cognitive development - younger (< 12 months at recruitment) in the control group was 86.1 (±18.7)	The mean cognitive development - younger (< 12 months at recruitment) in the intervention groups was 3.2 higher (6.45 lower to 12.85 higher)	54 (1 study)	Moderate ¹⁷
Cognitive development - older (> 12 months at recruitment) Bailey Scales of Infant Development Follow-up: 1 year	The mean cognitive development - older (> 12 months at recruitment) in the control group was 80.8 (±15.2)	The mean cognitive development - older (> 12 months at recruitment) in the intervention groups was 1.1 higher (5.79 lower to 7.99 higher)	62 (1 study)	Moderate ¹⁸
Cognitive development Bailey Scales of Infant Development Follow-up: 4 years ³	The mean cognitive development in the control group was 74.81 (±14.9)	The mean cognitive development in the intervention groups was 6.39 higher (0.69 to 12.09 higher)	111 (1 study)	Moderate ¹⁹
Cognitive development IQ Follow-up: 8 years ⁴	The mean cognitive development in the control group was 78.66 (±14.8)	The mean cognitive development in the intervention groups was 2.35 lower (7.75 lower to 3.05 higher)	96 (1 study)	Moderate ²⁰

IQ intelligence quotient, MID minimally important difference.

1 Evidence was downgraded by 1 due to unclear incomplete outcome data.

2 Evidence was downgraded by 1 due to serious imprecision as 95% CI crossed one default MID (±0.5 x 1=± 0.5)

3 At child's age 4

4 At child's age 8

5 Evidence was downgraded by 1 due to serious imprecision as 95% CI crossed one default MID (±0.5 x 0.7 =± 0.35)

6 Evidence was downgraded by 1 due to serious imprecision as 95% CI crossed one default MID (±0.5 x 1 =± 0.5)

7 Evidence was downgraded by 1 due to serious imprecision as 95% CI crossed one default MID (±0.5 x 1.1 =± 0.55)

8 Evidence was downgraded by 1 due to serious imprecision as 95% CI crossed one default MID (±0.5 x 0.6 =± 0.3)

9 Evidence was downgraded by 1 due to serious imprecision as 95% CI crossed one default MID (±0.5 x 0.8 =± 0.4)

10 Evidence was downgraded by 1 due to serious imprecision as 95% CI crossed one default MID (±0.5 x 2.28 =± 1.14)

11 Evidence was downgraded by 1 due to serious imprecision as 95% CI crossed one default MID (±0.5 x 1.1 =± 0.55)

12 Evidence was downgraded by 1 due to serious imprecision as 95% CI crossed one default MID (±0.5 x 1 =± 0.5)

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13 Evidence was downgraded by 1 due to serious imprecision as 95% CI crossed one default MID ($\pm 0.5 \times 1 = \pm 0.5$)
14 Evidence was downgraded by 1 due to serious imprecision as 95% CI crossed one default MID ($\pm 0.5 \times 1.1 = \pm 0.55$)
15 Evidence was downgraded by 1 due to serious imprecision as 95% CI crossed one default MID ($\pm 0.5 \times 0.93 = \pm 0.465$)
16 Evidence was downgraded by 1 due to serious imprecision as 95% CI crossed one default MID ($\pm 0.5 \times 16.22 = \pm 8.11$)
17 Evidence was downgraded by 1 due to serious imprecision as 95% CI crossed one default MID ($\pm 0.5 \times 18.7 = \pm 9.35$)
18 Evidence was downgraded by 1 due to serious imprecision as 95% CI crossed one default MID ($\pm 0.5 \times 15.2 = \pm 7.6$)
19 Evidence was downgraded by 1 due to serious imprecision as 95% CI crossed one default MID ($\pm 0.5 \times 14.9 = \pm 7.45$)
20 Evidence was downgraded by 1 due to serious imprecision as 95% CI crossed one default MID ($\pm 0.5 \times 14.8 = \pm 7.4$)

6.5 Economic evidence

6.5.1 Introduction

The management of faltering growth is complex, and can range from informal advice given to a parent to requiring the skilled cooperation of multiple highly experienced practitioners.

The general health economic consideration is that the more specialists a child has access to, the better their life outcomes are likely to be. This will pay off in terms of both QALYs (for example, better educational outcomes) but also in direct economic savings by preventing admissions to hospital. In principle there is value in creating a health economic model looking at every speciality mentioned in the recommendations (for example, whether a child should have access to a GP or not). In practice, such a model would not significantly help inform Committee opinion as a GP is important for the healthcare of faltering growth infants and therefore it is not necessary to review the evidence on this uncontroversial point.

However, the use of health visitors is an area of genuine clinical uncertainty and potentially high economic impact, so a model based on the provision of additional health visitors on the margin was created to help guide Committee recommendations.

6.5.2 Review of the literature

No economic evidence was found looking at the cost and effectiveness of different service delivery models.

As this question was of high importance to the Committee and could potentially carry a high resource impact, it was prioritised for de novo modelling.

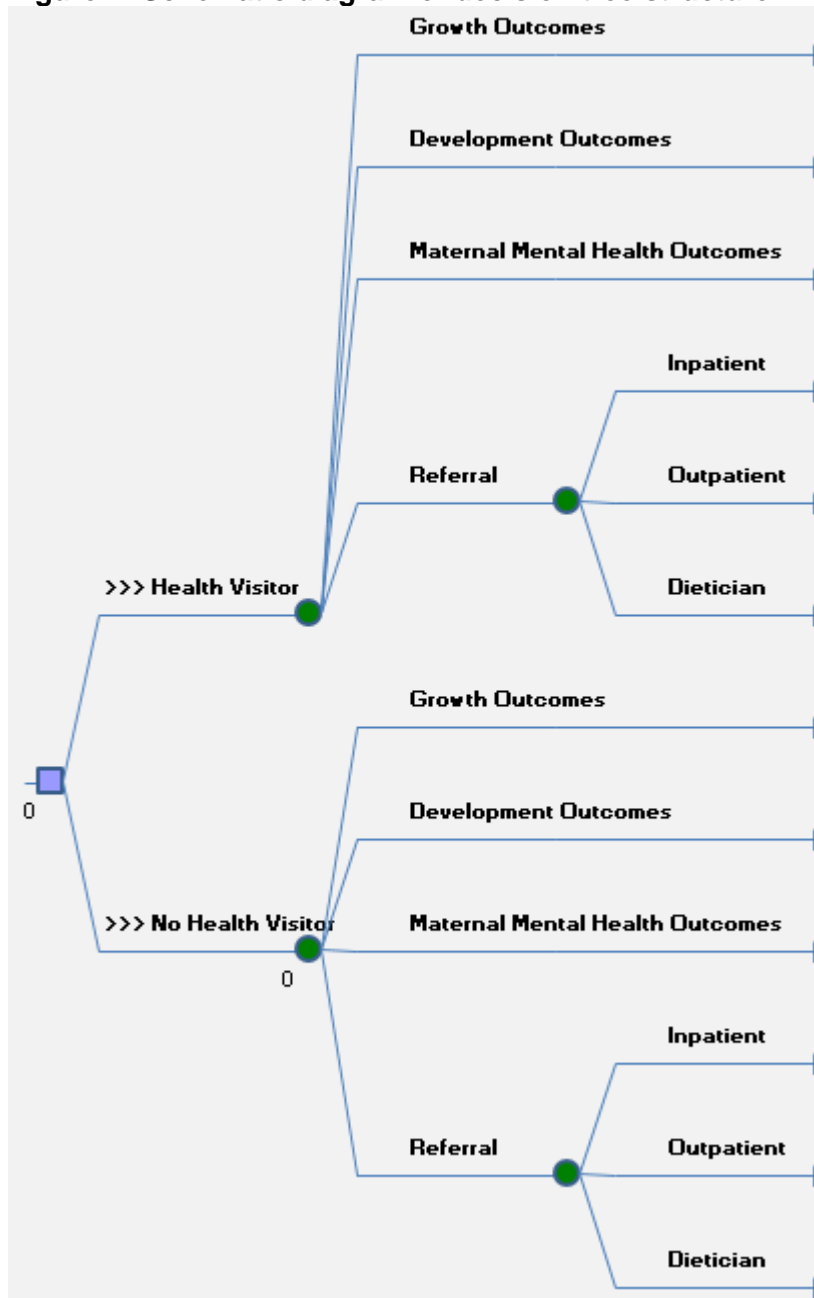
6.5.3 Methods

6.5.3.1 Basic model structure

The model is a basic decision tree structure, where infants can be provided with health visitors or not and this health visitor will cause a difference in various outcomes of importance to the healthcare system, for example improving growth or maternal mental health measures. Figure 4 summarises the structure of this model. The economic question is whether the cost of a health visitor programme is outweighed by the benefits of such an intervention, which can be taken to mean whether the ICER of the two branches of the

1 decision tree is below the NICE cost-effectiveness threshold (currently around £20,000 /
2 QALY).

Figure 4: Schematic diagram of decision tree structure



3 **6.5.3.2 Time horizon**

4 The time horizon is one year, which is a limitation of the design of the model imposed by the
5 availability of evidence. It is therefore assumed any positive benefit of the intervention
6 ceases after one year.

7 **6.5.3.3 Discount rate**

8 As the time horizon is one year or less, no discount rate was specified in keeping with the
9 NICE reference case.

1 **6.5.3.4 Intervention and comparison**

2 The intervention is described in three papers identified in the evidence review, Black 2007,
3 Raynor 1999, and Wright 1998. Where there is disagreement about the exact method
4 followed (and for the purposes of costing analysis), Wright 1998 is taken as the primary
5 source, owing to having the clearest description of the methodology and sources of costs.

6 In Wright 1998, the intervention is described as being 2.5 days of health visitor and research
7 paediatrician and 0.25 days of paediatric dietitian per week. Every family in the intervention
8 group received a standardised health visitor assessment. Thereafter the intervention was
9 intended to reflect real-world practice as much as possible, so input from the multidisciplinary
10 team was only offered if deemed appropriate by the health visitor after assessment.

11 Control families were offered frequent weighing of their child from an independent research
12 assistant, but otherwise the study did not change the standard of care they received.

13 In both arms if there were concerns about the baby raised then these concerns were dealt
14 with in a conventional manner – it is implied that this did not alter subsequent management
15 on the trial.

16 **6.5.3.5 Outcome modelling assumptions**

17 **6.5.3.5.1 'Incalculable' costs**

18 The principles of health economic evaluation are to include all relevant sources of costs and
19 benefits. Usually benefits are captured with a global quality of life (QoL) instrument such as
20 an EQ-5D, which allows for the aggregate benefit of an intervention to be parameterised.
21 However in paediatric interventions this is not possible – children cannot fill out an EQ-5D
22 and therefore we cannot capture all relevant benefits in a single QoL instrument. A solution
23 to this issue is to list all outcomes reported in the academic literature and estimate the QoL
24 for each outcome. However, this is not possible for all outcomes; the QoL impact of some is
25 too complex to measure in infancy and the QoL impact of others has simply not yet been
26 measured. Consequently there are some outcomes for which we have very strong anecdotal
27 evidence of being important to high quality of life but which it is not possible to assign a
28 particular QALY value. These parameters are referred to throughout as being 'incalculable',
29 and synthesis of these values was performed by the Committee in discussion rather than by
30 the health economist through a modelling approach.

31 It might be possible to argue that the benefits of a paediatric intervention could be captured
32 by offering a global quality of life instrument to children in the experiment when they reach
33 adulthood, on the argument that we are only interested in paediatric QALYs insofar as they
34 lead to a lasting improvement in adult QALYs. This is a hypothetical question which
35 nonetheless would be outside the method most commonly adopted by NICE, which is to
36 assume that we are interested in children's QALYs for their own sake (while not discounting
37 the fact that we are also interested in those children's adulthood QALYs too). The point is
38 academic, however, as the closest we have to these data is Hutchenson 1997 which follows
39 up until early school age and not close enough to adulthood to consider taking this approach.

40 **6.5.3.5.2 Parental Mental Health**

41 An important outcome in the model is that of maternal mental health, accounting for a
42 significant amount of the justification for providing the service. In many guidelines mental
43 health is included as an assumption, for example by assuming some fraction of parents will
44 become distressed or anxious at the news their child is ill. Generally, such an assumption
45 would be gender-independent; that mothers and fathers would react similarly to the news
46 and therefore the only relevant figure to consider is how many one- and two-parent families
47 exist in the UK. However as there is a direct source for maternal mental health and no
48 corresponding source for paternal mental health it was thought inappropriate to include an

1 assumption that paternal mental health would be similarly affected. Nonetheless, for obvious
2 reasons it should not be concluded from this that in a single-parent family where the father is
3 the primary caregiver that the intervention should not be provided on the basis that there is
4 no maternal mental health factor.

5 This assumption can be tested in sensitivity analysis by varying the scaling of various
6 parameters connected to maternal mental health. For example if paternal mental health
7 where the father is not the primary caregiver is assumed to be equally important as maternal
8 mental health then this could be modelled as an improvement in mental health of twice the
9 magnitude the model initially assumes (alternatively a doubling of societal willingness to pay
10 for a maternal mental health QALY). As the sensitivity analysis shows that even a small
11 improvement in these parameters make the intervention cost-effective paternal mental health
12 is not modelled separately.

13 6.5.3.5.3 Costs

14 The most significant costs associated with the intervention are the salaries of the clinicians
15 delivering the intervention and excess hospital contact days which are prevented.

16 Salaries are taken from the standard source of the PSSRU Unit Cost of Health and Social
17 Care document, while the time each provider spends with a child is described in the Wright
18 1998 paper, based on 7.5 working hours / day, 5 working days / week and 48 working weeks
19 / year. The values in Wright 1998 are based on a year-long intervention in a population of 95
20 children, and are 2.5 days / week for the health visitor and paediatrician and 0.25 days /
21 week for the paediatric dietician. Additionally, faltering growth could lead to a referral to a
22 community dietician and it is estimated each referral takes around 1.5 hours.

23 Costs of referrals are taken from the standard source of the NHS Reference Costs, with
24 some calculations made as indicated in Table 66. The impact of the intervention on the
25 number of such referrals is taken from Raynor 1999.

26 **Table 65: Cost of salaries**

Parameter	WTE Salary (including oncosts)	Source	Cost per child on intervention
Health visitor	£46,994	PSSRU Unit Costs 2015, 'Health Visitor'	£454.15
Research Paediatrician	£163,650	PSSRU Unit Costs 2015, 'Consultant: Medical'	£1229.85
Paediatric Dietitian	£163,650	PSSRU Unit Costs 2015, 'Consultant: Medical'	£122.99
Community Dietitian	£37,439	PSSRU Unit Costs 2015, 'Hospital Dietitian'	N/A – Cost depends on referrals, not a fixed amount, but in base case is likely to be around £179.35

27 **Table 66: Cost of referrals**

Parameter	Cost	Source
Cost per day of admission to hospital	£634.23	NHS Reference Costs, 2015, Elective Inpatient Excess Bed Days, Paediatric Faltering Growth (Failure to Thrive) with CC Score X ^a
Cost of outpatient attendance	£223.37	NHS Reference Costs, 2015, Paediatric Outpatient ^b

- 1 (a) *CC = Complications and Comorbidities. It would be usual to assume a CC score of 0, but in the case of*
2 *Paediatric Faltering Growth 72% of admissions have 2+ CCs noted. Consequently the average of all CC*
3 *scores was taken for this costing*
4 (b) *No specific tariff for Faltering Growth, so weighted average of every paediatric outpatient attendance*

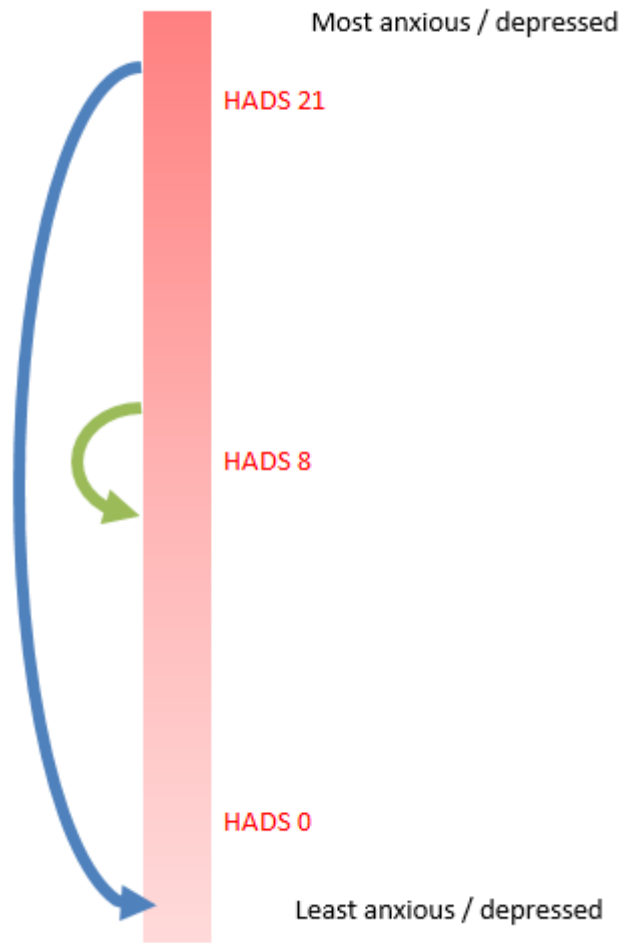
5 **6.5.3.6 Health-related quality of life**

6 The studies record several outcomes we can be fairly certain impact on a child's quality of
7 life. For example, height and weight might impact on Quality of Life indirectly (through acting
8 as a proxy for physical development) and directly (through causing depression or anxiety if
9 the child is short for age). A large population-based study (Coste, 2012) found a negligible
10 effect on height on QoL but this was in a population with no pre-existing reason to worry
11 about their height and might not be representative of children with Faltering Growth. It can be
12 inferred that height and weight are of critical importance to those in the field of faltering
13 growth, as all included studies (Raynor 1999, Wright 1998 and Black 2007) describe these
14 parameters, although Black 2007 could not be included as the data were presented in the
15 wrong format.

16 We might expect something similar for cognitive and motor development, although it is better
17 demonstrated that cognitive underdevelopment has a direct impact on life expectancy and so
18 lifetime QALYs. However, the measure used (Bayley) is not cross-checked against a
19 standard QoL instrument such as the EQ5D so it is difficult to assign a QALY value to these
20 improvements. Black 2007 uses the standard Bayley while Raynor 1999 uses a combined
21 mental development index and a separate physical development index. Wright 1998 uses a
22 survey of parental experience as a proxy which could not be included in the model.

23 The QoL improvement which can be costed is improvements to maternal mental health. The
24 measure in Raynor 1999 is the fraction of mothers reporting a Hospital Anxiety and
25 Depression Scale (HADS) score <8. This is the cut-off (on the HADS) of moving from 'mild' to
26 'no' anxiety which – assuming the language is the same on the two instruments – would
27 correspond to a QoL decrement of 0.07. Although this would be a standard method of
28 assessing maternal mental health, it comes with a number of caveats; on a technical level we
29 might be concerned that the EQ-5D tariff carries an additional QALY decrement if the move
30 from 'no' to 'mild' anxiety represents the only less-than-perfect health state the woman
31 experiences and on a more conceptual level we might be concerned that a move from HADS
32 21 to HADS 0 would be treated the same as a move from HADS 9 to HADS 7, despite the
33 former representing an almost unbelievable transformation in the outlook of the mother
34 (demonstrated in Figure 5).

Figure 5: Diagrammatic representation of weakness of HADS <8 approach



1 At NICE's standard lower-bound threshold of £20,000 / QALY, this 0.07 improvement would
2 be worth £1400.

3 **6.5.4 Results**

4 **6.5.4.1 Main schedule of results**

5 As described in section 6.5.3.6, these results are split into those effects which are 'calculable'
6 and those effects which are not. By 'calculable' it is meant that it was possible to assign a
7 specific cost or monetary benefit to the parameter, whereas by 'incalculable' it is meant that
8 the parameter is clearly of importance to society but it was not possible to assign a specific
9 number to use in later equations. This might be because of lack of evidence or might be
10 because the parameter is resistant in principle to being handled in this manner; for example
11 cognitive development might have extremely complex and nonlinear interactions in adult life
12 with healthcare outcomes such as self-care, and therefore even in principle it is not possible
13 to assign a particular number to this parameter. The 'incalculable' effects were considered by
14 the Committee as part of their recommendations, but are not considered anywhere else in
15 the health economic analysis.

16 **Table 67: Main Schedule of Results – 'Incalculable' Effects**

Outcome	Expected Effect	Unit
Weight	0.27	Average weight for height z

Outcome	Expected Effect	Unit
		score (higher better)
Height	0.13	Average height for age z score (higher better)
Cognitive Development	-1.60	Average mental development index (from Bayley, higher better)
Motor Development	2.70	Average psychomotor development index (from Bayley, higher better)
Behavioural Questionnaire	-9.00	Raynor et al (1999) developed specifically for trial (lower better)
Diet	-0.02	>85% expected energy intake (lower better)

1 **Table 68: Main schedule of results – ‘Calculable’ effects**

Unit	Expected Effect	Unit (per 100 children / mothers)	Value at £20,000 / QALY (per 100 children / mothers)
Maternal Mental Health	40.90	Mothers scoring 8 or less on HAD scale (higher better)	£58,078
Outpatient Appointments	-5.40	Outpatient appointments (not days, lower better)	£1,207
Hospital Admissions	-94.56	Inpatient admissions (not days, lower better)	£59,973
Referred to Community Dietitian	23.10	Referrals to community dietitians (lower better)	£1,346

2 The net cost of the intervention is £117,815 per 100 children, or around £1200 per child. This
3 cost is comprised of £180,698 of direct costs of the intervention (mostly staff costs) subtract
4 £62,884 of various direct cost savings, such as fewer hospital days. Additionally, society has
5 an interest in paying to promote good health for children and mothers. As there is an estimate
6 of the QALY gain of improving maternal mental health we can use the formula for net
7 monetary benefit to calculate the net effect of the intervention:

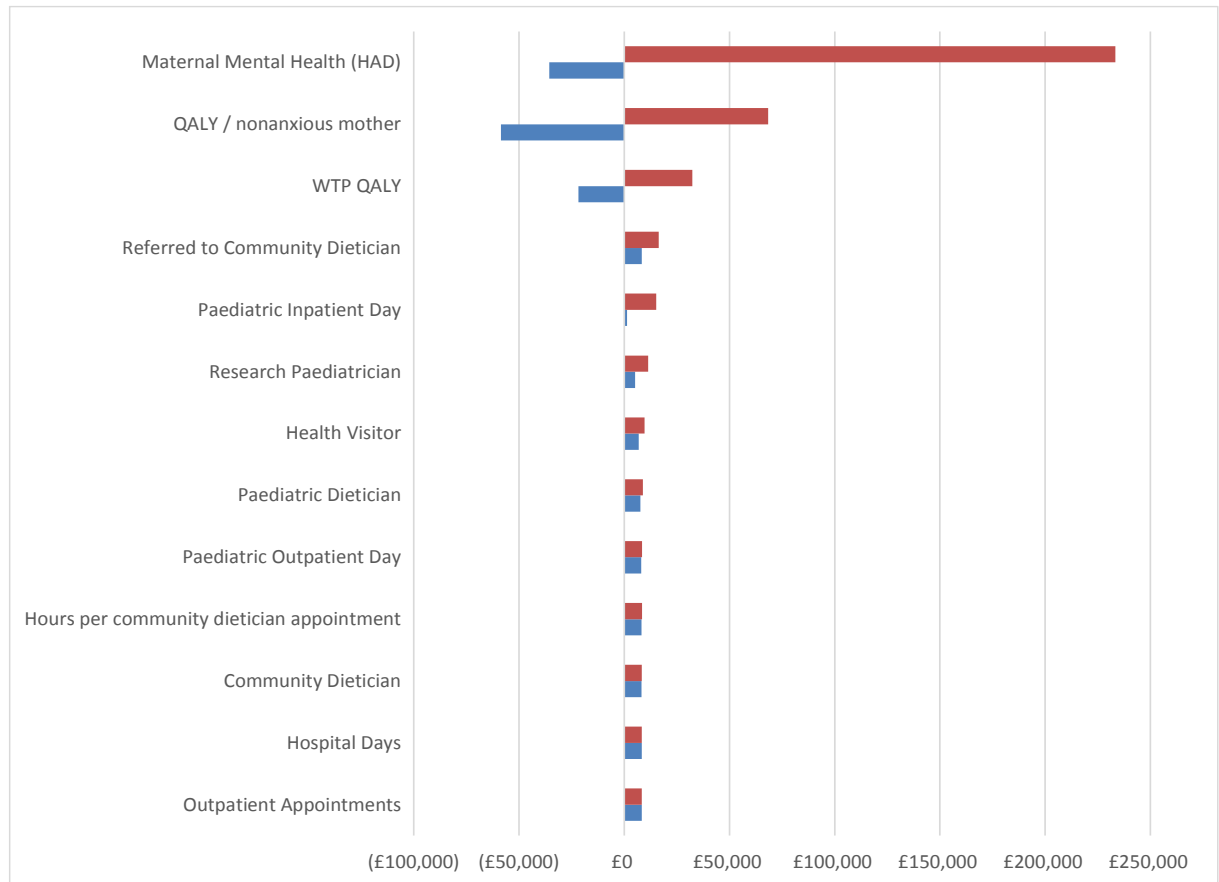
$$8 \quad NMB = \Delta QALYs * £20,000 - \Delta Costs$$

9 An estimated 40.9 mothers will receive a benefit on the HAD scale worth 0.07 QALY, which
10 is a total QALY gain of 2.9. At £20,000 / QALY society would value this QALY gain at
11 £58,079. This indicates that the intervention costs society £597 per child and that therefore
12 the value of the ‘incalculable’ effects must be worth at least this to justify recommending the
13 intervention.

14 **6.5.4.2 Sensitivity analysis**

15 Figure 6 shows a ‘tornado’ diagram of the change to the net cost of the intervention vs a 10%
16 change in various named parameters. A ‘tornado’ diagram is intended to show for which
17 parameters uncertainty would have the biggest likelihood of altering a recommendation, with
18 larger bars (approximately) representing more important outcomes to the cost-effectiveness
19 of the intervention.

Figure 6: Tornado diagram of 10% change in named parameter vs net total cost of intervention



1 The interpretation of Figure 6 is that the red bar indicates the net total cost of the intervention
 2 if the parameter moves in the direction of the intervention becoming more expensive / less
 3 effective and the blue bar if the parameter moves in the direction of the intervention
 4 becoming less expensive / more effective. The x-axis is the net total cost of the intervention,
 5 such that a value of £0 indicates that society would be indifferent between the intervention
 6 and no intervention based on the effects to which it is possible to assign a cost alone.
 7 Therefore if two values lie very close together on the right-hand side of the £0 line, the
 8 interpretation is that the intervention has a net cost to society based on the calculable effects,
 9 and that even quite significant changes to this parameter are unlikely to affect this
 10 conclusion.

11 It is clear that even quite large changes in some parameters are unlikely to change our
 12 judgement about the cost-effectiveness of the intervention; the change in overall cost brought
 13 about by a 10% change in the cost of employing a dietitian and cost of an outpatient or
 14 hospital day are almost invisible to the naked eye.

15 While changes to the rate of referral to a community dietitian, the cost of a paediatric
 16 inpatient day and salary of the research paediatricians and health visitor also do not change
 17 our overall judgement as the direction of the net cost-effect of the intervention, changes to
 18 these parameters do produce swings in the results that do not quite cross the line of no
 19 effect.

20 Three parameters might change our view on the effectiveness of the intervention
 21 significantly. These are; the proportion of mothers scoring under 8 on the HADS index, the
 22 QALY gain per non-anxious mother and the social willingness to pay per QALY. These

1 parameters are all thematically linked, in the sense of dealing with ‘the effect on mothers’
2 mental health’ (WTP QALY is linked by the fact that only maternal mental health has a direct
3 QALY impact). This is especially important as the effect of the intervention on maternal
4 mental health is somewhat uncertain, as explained in Figure 5 in section 6.5.3.6. Note
5 specifically that the extreme results still do not indicate that the intervention has a negative
6 effect on mother’s mental health, only that the effect it does have is so small that society is
7 unwilling to use the money that it could save by not offering the intervention to purchase the
8 improvement in maternal mental health.

9 Excluding maternal mental health entirely would indicate that the cost of the intervention per
10 child was £1178. This would translate to requiring 0.06 QALY additionally from the
11 ‘incalculable’ costs over the course of the child’s lifetime. Committee opinion is that such an
12 improvement is plausible, and so the lack of specific sensitivity around maternal mental
13 health outcomes appears justifiable.

14 **6.5.4.3 Conclusions**

15 Results indicate that if the small benefits to weight, height, cognitive and motor development
16 are worth at least £597.36, then the intervention is cost-effective at NICE’s standard
17 threshold of £20,000 / QALY. This is - based on the effects to which it is possible to assign a
18 direct cost alone. Alternatively, if these benefits are worth 0.03 QALY over the lifetime of the
19 child then the NHS would consider the intervention cost-effective. Judgement on whether this
20 is likely to be the case is a matter of detailed clinical opinion, as the studies upon which this
21 model was based did not find a statistically significant effect for any of these parameters.

22 The total cost of the intervention per child is £1807, though with cost savings from various
23 sources such as reduced hospital attendance this would bring the net cost to £1178. This
24 indicates that the change is highly likely to be considered ‘high cost impact’ by NICE
25 standards if the intervention is applied in a community with no pre-existing follow-up care.
26 However the expectation of the Committee is that most children should already be receiving
27 a service somewhat like they describe, and the principal change in the recommendations will
28 be standardisation. Consequently the Committee think it is unlikely that the
29 recommendations – taken together or individually – will have a high resource impact,
30 although they are likely to affect different healthcare geographies differently depending on
31 local provision. As the underpinning evidence for the model is three RCTs, it is thought the
32 justification for the recommendation is sufficient to support the recommendation even in
33 areas where resource impact will be greater.

34 **6.6 Clinical evidence statements**

35 **6.6.1 Structured health visitor management compared to routing monitoring only**

36 **Weight**

37 Low quality evidence from one study with 229 participants found that there is no clinically
38 significant difference between the two interventions for improving weight (when measured as
39 SD score or weight deficit) in children with faltering growth.

40 **Height**

41 Low quality evidence from one study with 229 participants found that there is no clinically
42 significant difference between the two interventions for improving height (when measured as
43 SD score or weight deficit) in children with faltering growth.

1 **Parent or carer satisfaction**

2 Low quality evidence from one study with 229 participants found that there is no clinically
3 significant difference between the two groups for parent satisfaction with the intervention.

4 **6.6.2 Specialised home visit + outpatient clinic compared to outpatient clinic only**

5 **Weight**

6 Moderate quality evidence from one study with 83 participants found that there is no clinically
7 significant difference between the two interventions when measuring weight at 1 year follow
8 up.

9 **Height**

10 Moderate quality evidence from one study with 83 participants found that there is no clinically
11 significant difference between the two interventions when measuring height at 1 year follow
12 up.

13 **Mental development**

14 Moderate quality evidence from one study with 83 participants found that there is no clinically
15 significant difference between the two interventions when measuring mental development at
16 1 year follow up.

17 **Psychomotor development**

18 Moderate quality evidence from one study with 83 participants found that there is no clinically
19 significant difference between the two interventions when measuring psychomotor
20 development at 1 year follow up.

21 **Admissions and referrals**

22 Moderate quality evidence from one study with 83 participants found that there is no clinically
23 significant difference between the two interventions in both referral rates to a community
24 dietitian and admission rates to hospital.

25 **Adherence**

26 Moderate quality evidence from one study with 83 participants found that there is no clinically
27 significant difference between the two interventions when measuring adherence to
28 intervention (measured as missing ≥ 3 outpatient appointments).

29 **6.6.3 Lay home visit + growth and nutrition clinic compared to clinic only**

30 **Anthropometric measurements**

31 Low to moderate quality evidence from three studies with 130 participants found that there is
32 no clinically significant difference between the two interventions when measuring weight for
33 age, weight for height, and height for age at 1 year follow up and when the child is 4 and 8
34 years old.

35 However, moderate evidence from one study found that there may be a clinically beneficial
36 effect of the intervention for BMI measured when the child is 8 years old, but there is
37 uncertainty around the estimate.

1 **Cognitive development**

2 Moderate quality evidence from three studies with 130 participants found that there is no
3 clinically significant difference between the two interventions when measuring cognitive
4 development at 1 year follow up and when the child is 4 and 8 years old.

5 **6.7 Evidence to recommendations**

6 **6.7.1 Relative value placed on the outcomes considered**

7 The aim of this review is to identify the most effective service with regards to:

- 8 • How multidisciplinary teams are organised (including the role of midwives and health
9 visitors)
- 10 • The level of intensity and workload of the team with regards to the management and
11 assessment of faltering growth
- 12 • Care in varied settings (including primary, secondary and tertiary but excluding neonatal
13 intensive care units)

14 The presented evidence covered most of the critical and important outcomes identified by the
15 Committee, such as health related quality of life, parent or carer satisfaction, adherence to
16 interventions, as well as growth, cognition and neurodevelopment, and admission and re-
17 admission to hospital. The only outcome that was not reported in the evidence was adverse
18 effects of interventions.

19 The Committee focused on measurement of growth, health related quality of life and
20 parent/carer satisfaction should be the critical outcomes for decision-making since the child's
21 growth and parental satisfaction with treatment were crucial for a successful organisation of
22 care.

23 **6.7.2 Consideration of clinical benefits and harms**

24 The Committee reviewed the evidence presented and used it together with their clinical
25 experience and the health economic evidence to make recommendations on service
26 configuration and service delivery for children with faltering growth and their families.

27 The Committee firstly agreed that assessment, support and intervention should be delivered
28 at the community level. The potential positive effects of having a health visitor visit the home
29 were discussed and taken into consideration. Some of the benefits that were discussed by
30 the Committee were: visits can provide detailed observation and assessment, visits can
31 inform tailored advice / information, visits may reduce anxiety for the parent or carer through
32 monitoring of growth over time, and also may reduce stress and save time for the child and
33 family. The Committee recognised the key role of anxiety reduction in the family, as this is
34 likely to have an effect on the child's health and emotional well-being in the long term. They
35 agreed that community interventions may prevent admissions to hospital and may therefore
36 reduce the emotional impact.

37 In addition, the Committee discussed the importance of multidisciplinary team working
38 (MDT). A recommendation was made on which key professionals or skills/expertise would
39 usually be involved. The key coordinators of this MDT would usually include someone from
40 the midwifery team or a health visitor or the GP mainly depending on the age of the infant or
41 child. This MDT should be able to access advice from a district level team that will include:
42 an infant feeding specialist (who could be a health visitor or a trained person – usually with
43 Baby Friendly Initiative accreditation), a paediatric dietitian, and a paediatrician. Although the
44 Committee decided not to be over-prescriptive, they aimed to provide guidance on minimum
45 expertise, and highlighted the need for training in some cases where this minimum expertise
46 was not yet met. They agreed that the pathway of care should be specific, and the role of

1 each of the healthcare professionals should be clear. This should take into account the
2 needs of the individual infant or preschool child with faltering growth and the parents or
3 carers and their circumstances.

4 When discussing health services at secondary care level, the Committee agreed that access
5 to additional expertise may be needed, for example occupational therapy and psychological
6 services and speech and language therapist (with expertise in paediatric eating and
7 drinking). Based on the health economic model (see below), the Committee discussed that it
8 would be cost effective to have the psychologist and occupational therapist expertise linked
9 to secondary care. The importance of effective communication with primary care was
10 highlighted, and the Committee agreed that routes of access to specialist services should be
11 clear.

12 **6.7.3 Economic considerations**

13 There was robust evidence linking enhanced community based care to positive health
14 outcomes in a number of areas. Some of these outcomes, such as hospital admissions and
15 outpatient appointments, are assigned a definitive cost in conventional sources of health
16 economic information, such as the NHS Reference Costs. Others, such as weight gain or
17 cognitive function, are not possible to assign a cost to as evidence linking these outcomes to
18 a specific cost base does not exist.

19 Of particular economic interest is the strong evidence linking enhanced care to positive
20 maternal mental health outcomes. While the quality of life value for reducing anxiety in
21 mothers was costed using standard quality of life measurement tools, Committee opinion is
22 that this may be an underestimate of societal willingness to pay for this outcome, as it did not
23 take into account paternal anxiety and did not take into account mothers who were already
24 highly anxious being prevented from becoming more so. As maternal mental health
25 outcomes were the key drivers of the cost effectiveness of the model the fact that these
26 benefits are likely to be underestimated by the evidence reinforces the strength of the
27 Committee's recommendation.

28 The intervention is cost-effective at £20,000 per QALY with high certainty, as economic
29 modelling suggests that when considering the calculable effects alone the intervention is
30 almost cost neutral, meaning that if there is a positive clinical value to the outcomes which
31 were not costed in the model the entire intervention is likely to be cost-effective.

32 Different models of service delivery – especially considering factors such as frequency of
33 service, linkages with other services and training / seniority of staff would likely have an
34 effect on the cost of delivering the service in practice. Nevertheless, the Committee argued
35 that – although there was high variation in current clinical practice – the models of service
36 delivery implied by the recommendations were unlikely to represent so significant a
37 departure from current practice as to imply a high resource impact.

38 **6.7.4 Quality of evidence**

39 Three randomised controlled trials were included in this evidence review. The quality of the
40 evidence for this review ranged from low to high. Main risks of bias were: lack of information
41 on the randomisation method used; concealment of allocation unreported or unclear, and
42 lack of blinding of investigators.

43 The Committee also agreed that results from the Black 1995 study should be interpreted with
44 attention to the length of the intervention that was provided. They acknowledged, based on
45 their expertise and experience that very lengthy interventions were not effective, and that
46 prolonged intervention has the potential to increase anxiety rather than be reassuring.

1 **6.7.5 Other considerations**

2 The Committee agreed that communication with parents should be optimised at all levels,
3 and recommended having a key person as point of contact for the family. Often this key
4 contact would be the health visitor. Furthermore the Committee observed that the family
5 should know how to get in touch with this key person to coordinate the care that is provided
6 for them.

7 Finally the Committee also discussed whether this topic should be prioritised for further
8 research. They agreed that the evidence did not provide sufficient detail on the emotional
9 cost of looking after a child with faltering growth and the impact that good services could
10 have on the costs of service provisions for the NHS. Based on this uncertainty they thought
11 that future guidance would benefit from further research in this area.

12 **6.7.6 Key conclusions**

13 Based on the clinical and health economic evidence the Committee concluded that health
14 visitors and other services in the Community should be the first line approach for the care of
15 infants and preschool children with faltering growth. They agreed that this would not only
16 benefit the child but would also have a positive impact on the parents or carers, for example
17 by helping to reduce levels of anxiety. The Committee also agreed that a multidisciplinary
18 approach should be used in the community as well as in secondary care settings. They
19 therefore drafted guidance on the professionals and skill sets that should be represented in
20 such teams.

21 **6.8 Recommendations**

22 **40. Ensure there is a pathway of care for infants and children where there are**
23 **concerns about faltering growth. Clarify the role of healthcare professionals in the**
24 **community setting and the process for referral to specialist care in the pathway.**

25 **41. Provide community-based care for infants and children where there are faltering**
26 **growth concerns with a team (the 'primary care team') that includes:**

- 27 • a midwife
- 28 • health visitor
- 29 • GP.

30 **42. Ensure that the primary care team has access to the following healthcare**
31 **professionals:**

- 32 • infant feeding specialist
- 33 • consultant paediatrician
- 34 • paediatric dietitian
- 35 • speech and language therapist with expertise in feeding and eating
36 difficulties
- 37 • clinical psychologist
- 38 • occupational therapist.

7 Information and support

Review question: What is the effectiveness of information and support intervention for faltering growth?

What are the barriers and facilitators in the provision of information and support to successfully address the needs of families with an infant or preschool child in whom concerns about growth have been raised?

7.1 Introduction

Within this chapter, the Committee sought to define the effectiveness and value of providing support and information to families when their child is diagnosed with faltering growth. The committee acknowledged that provision of relevant and useful information is an important part of any clinical practice and is valued by parents and carers. It is essential that the information is clear, and given in simple, non-medical language and should be provided both verbally and in writing.

The Committee also discussed the possible areas of support that parents would value when caring for a child with faltering growth. The committee agreed that possible areas requiring support may include the difficulties of recognising faltering growth, the care plans available for management, the potential stigma of having a child with faltering growth, and the expected longer term outcomes.

7.2 Description of clinical evidence

The objective of this review was to discover what information and support interventions were effective or perceived as making a positive difference to families with infants or preschool children in whom concerns about growth had been raised. For full details see review protocol in Appendix D.

No relevant evidence was identified. For details see excluded clinical studies in Appendix H.

7.3 Summary of included studies

No study was identified for this systematic review.

7.4 Clinical evidence profile

No evidence was identified.

7.5 Economic evidence

Owing to the expected low resource impact, this question was not prioritised for health economic analysis. No economic evidence was found in the global review of the economic literature.

7.6 Clinical evidence statements

No relevant study addressing the question of this systematic review was identified.

1 **7.7 Evidence to recommendations**

2 **7.7.1 Relative value placed on the outcomes considered**

3 The objective of this review was to discover what information and support interventions were
4 effective or perceived as making a positive difference to families with infants or preschool
5 children in whom concerns about growth had been raised. We looked for quantitative or
6 qualitative evidence that addressed this topic. For the quantitative part of the review the
7 Committee considered measurements of growth and health related quality of life to be critical
8 outcomes for this review topic. Other outcomes, such as parent or carer satisfaction,
9 adherence to information or support intervention; cognition or hospital admissions were also
10 considered to be important.

11 In the qualitative review the Committee anticipated a number of themes, such as potential
12 stigma attached to having a child with faltering growth, difficulties in the recognition of
13 faltering growth, experience with healthcare professionals or perceptions about peer group
14 support (direct or online). However, the Committee also acknowledged that there may be
15 other themes that would come from the literature which would also be considered.

16 However, neither quantitative nor qualitative evidence was identified and the Committee
17 based their recommendations on consensus informed by the experience and expertise of its
18 members.

19 **7.7.2 Consideration of clinical benefits and harms**

20 The NICE patient experience guideline was considered as a starting point when drafting the
21 recommendations. However, it was acknowledged that these should be extrapolated with
22 caution, as the Patient Experience Guideline is directed to the patient experience of adults
23 only.

24 There was an overall agreement that both content and method of information delivery should
25 be predominantly reflected in the recommendations. It was acknowledged that good
26 information provision was an important part of clinical practice and that is a particular part of
27 care that parents value. The Committee also agreed that information is most helpful if
28 individualised and tailored to the particular circumstances and cultural background of the
29 parents and child. When sharing information, any potential difficulties in understanding or
30 communication should be anticipated and taken into account. This may include, for example,
31 cognitive or hearing impairment, or learning difficulties. Information shared in both written
32 and verbal forms may be helpful.

33 It was recognised that excessively technical information or jargon can be a barrier to effective
34 information provision.

35 The Committee agreed that the parents' understanding about their child's growth should be
36 explored by health care professionals, to promote parent involvement in assessment and
37 management. Additionally, health care professionals should ensure that families and carers
38 are aware of where relevant, reliable information is available and how to access it.

39 The Committee acknowledged that parents or carers of a child with faltering growth are
40 concerned about the child's wellbeing. Because of this, getting varying perspectives and
41 explanations from different health care professionals can be distressing. There may be
42 occasions through the assessment and management pathway when parents and carers
43 need to deal with uncertainty. For this reason, making sure that parents have the correct
44 information and feel fully supported is vital to maintaining engagement.

1 **7.7.3 Consideration of economic benefits and harms**

2 Information provision rarely carries a large direct economic cost, especially in conditions like
3 faltering growth where some information is already provided and the Committee are required
4 only to improve the accuracy and quality of that information. The principal cost of information
5 provision is the clinical time to explain the information (and possibly the printing costs of
6 booklets / leaflets etc.). This clinical time will be the same whether the information is of a high
7 or low quality, so there is no economic reason to ever prefer lower-quality information to
8 higher-quality information.

9 Supporting interventions can be more expensive depending on what form the support takes,
10 especially if it involves committing clinical time to children who are faltering but otherwise
11 healthy (i.e. on the basis of parental desire for support alone). Owing to a lack of evidence
12 about the benefits of such interventions and in recognition of the high opportunity cost of
13 these supportive interventions the Committee could not make strong recommendations in
14 this area.

15 Good information may have indirect economic benefits. If patients feel under-informed they
16 may use healthcare services more often as they are unsure what is 'normal' in their condition
17 and what they should worry about. The reverse of this is also true; if patients are not well
18 informed about what is a potentially worrying development in their condition they may neglect
19 to see a clinician until the condition has progressed. This is especially true in the case of
20 faltering growth where the primary patient (the child) is usually unable to articulate the state
21 of their own condition and parents and carers must make the decision for them on the basis
22 of the information they have been provided. In cases where the information provided
23 encourages seeking more treatment, it is understood that if this information is of a high
24 quality then the treatment sought should be cost-effective, and so of a net benefit to the
25 NHS.

26 As information provision carries a low or zero cost to the NHS, the Committee's
27 recommendations will not carry a high resource impact.

28 **7.7.4 Quality of evidence**

29 No study was identified to address the review question.

30 **7.7.5 Other considerations**

31 The Committee recognised the following areas as important for health professionals to
32 discuss with parents and carers of children with faltering growth:

- 33 • Information on growth (and how to interpret a growth chart). The Committee agreed that
34 health care professionals should inform parents and carers that monitoring growth may
35 take time and that further tests may be required.
- 36 • Information on potential implications for future health, such as prognosis and timescale of
37 faltering growth. Health care professionals should not be afraid of sharing this information;
38 the Committee recognised that this topic may cause concern and anxiety in parents and
39 carers, but should be tackled as soon as possible.
- 40 • Information on possible underlying causes of faltering growth.
- 41 • Information on available peer support, and where to access it.
- 42 • Information about how to tackle difficulties and concerns that parents and carers may be
43 having.
- 44 • The Committee discussed that advice on mealtime management could be part of the
45 information provided, based on assessment of current family practices.

46 Equally, exploring the concerns and the parents' understanding of the condition should be
47 done prior to information sharing.

1 7.7.6 Key conclusions

2 Due to the lack of evidence, the recommendations are based on the experience and
3 expertise of the Committee.

4 The Committee discussed that information and support provided to parents and carers and
5 the preschool child (where possible) is central to good clinical practice. Information should be
6 individualised to each person, taking into account their circumstances. This includes
7 consideration of whether there are any issues that may hinder an individual understanding of
8 information or where special support needs have to be addressed (such as learning
9 disabilities, mental health needs or physical disabilities). The focus of the information should
10 be on the current condition of the child, but also on prognosis and future health.

11 7.8 Recommendations

12 **43. Follow the principles in the NICE guideline on patient experience in NHS services**
13 **in relation to communication (including different formats and languages),**
14 **information and shared decision-making.**

15 **44. Provide information on faltering growth or weight loss in the first days of life, to**
16 **parents or carers that is:**

- 17 • specific to them and their child
- 18 • clearly explained and understandable to them
- 19 • spoken and in writing.

20 **45. If there is concern about faltering growth in an infant or child, discuss with the**
21 **parents or carers:**

- 22 • the reasons for the concern, and how the growth measurements are
23 interpreted
- 24 • any worries or issues they may have
- 25 • any possible or likely causes or factors that may be contributing to the
26 problem
- 27 • the management plan (see recommendation 37).

28 **46. Recognise the emotional impact that concerns about faltering growth can have on**
29 **parents and carers and offer them information about available:**

- 30 • professional support
- 31 • peer support.

32 7.9 Research recommendation

33 **5. What are the experiences and concerns of parents of children with faltering**
34 **growth (or what is the impact of faltering growth)?**

35 **Why this is important**

36 Having a child with faltering growth can be distressing experience. Parents can feel blamed
37 or unheard. Faltering growth happens when children are young so can have a long-term
38 impact on the child-parent relationship. Understanding the experiences, expectations and
39 needs of parents should help design effective intervention strategies that are tailored to the
40 family. Importance of child-parent dyad in addressing faltering growth.

1 The concerns of parents are not consistently addressed or recognised, so further research
2 may provide evidence for a framework for support and information.

3 **Table 69: Research recommendation rationale**

Research question	What are the experiences and concerns of parents of children with faltering growth?
Why this is needed	
Importance to 'patients' or the population	The identification of Faltering Growth in children can cause anxiety in parents. There is a limited time-frame in which this issue can be managed (childhood, before puberty and growth ends) and concerns over a child's nutrition, general health and final stature are far-reaching and can affect many other aspects of parenting. Many parents want to know possible outcomes of FG and what it will mean in the long-term, as well as what they need to do in the present with managing/monitoring their child's health.
Relevance to NICE guidance	High: There is very little evidence for how best to inform and support parents of children with Faltering Growth.
Relevance to the NHS	The relevance to the NHS is high, because improving the parental experience has the potential to improve the quality of life of the child and parents.
National priorities	The National Service Framework for children, young people and maternity services aims for long-term and sustained improvement in children's health, and sets standards for health and social care services for children, young people and pregnant women. The Healthy Child Programme describes standards of care for screening and providing advice during pregnancy and the first 5 years of life. It includes broad recommendations on monitoring growth in infants and children.
Current evidence base	The guideline identified that there is a gap in the evidence base. The systematic review of this topic did not find any comparative effectiveness or qualitative evidence addressing this topic. Currently information on this is anecdotal.
Equality	Recognition assessment and management of faltering growth should take into consideration parents' and carers' socioeconomic, cultural, religious and ethnic environment, and potential language barriers. Access to appropriate nutrition may also differ across socioeconomic groups. Certain groups may be at greater risk of developing faltering growth, including preterm infants and children, children and infants born after intrauterine growth restriction. Those with learning-disabled parents or carers, asylum seekers, and looked-after children may find it more of a challenge to access services.
Feasibility	Access to children and their families would be needed at a sensitive time. This could be managed by using practitioners known to the families, or through a network of support groups.
Other comments	Parental support was recognised as extremely important in the

4

5 **Table 70: Research recommendation statements (characteristics of this qualitative**
6 **study)**

Criterion	Explanation
Population	Parents of children who are identified as having faltering growth. The population of children with FG considered should be from 0-5, include both sexes and be as ethnically diverse as possible (so as to capture issues in the wider family context). Recruitment strategy should include patients in acute and community settings, and ideally be comprised of multiple centres within different regions nationally.
Phenomena of interest	Concerns, experiences and treatment expectations (both in terms of outcomes and service delivery) of parents of children with faltering

Criterion	Explanation
	growth in order to derive variables most important to this population.
Context	Support for parents whose child is identified with faltering growth.
Study design	<p>Utilizing person centred methodology, this information can be used to construct a standardized checklist with high face validity that could be used by clinicians to optimize tailored support and intervention. The study aims to explore the factors that facilitate the management and parenting of a child with faltering growth and how best to support the parents.</p> <p>The semi-structure interview may have some descriptive (closed-ended) and some open-ended questions.</p> <p>Descriptive questions:</p> <ul style="list-style-type: none"> • Who did you best want to support you in the management of your child (health visitor, GP, peer support etc.)? • Did you feel satisfied with the monitoring? <p>Qualitative topics (open ended questions) – these will be piloted to be developmental age appropriate and will vary according to child or parent/carer. Examples of these may be:</p> <ul style="list-style-type: none"> • Describe how healthcare professional advised you on the management of the FG? • What options were you provided with? <p>How did you feel when your child was identified with FG?</p> <p>A multi-phase study using person centred methodology, commencing in Phase 1 with an open survey of views and experiences of parents in four areas related to FG:</p> <ol style="list-style-type: none"> 1. Parental concerns related to the FG 2. Impact of the FG on all family members 3. Desired outcomes of intervention 4. Desired aspects of service delivery <p>Themes to be grouped and investigated in depth via focus groups and individual interview.</p> <p>Preliminary questionnaire based on the themes to be used in Phase 2 survey with emphasis on assessing salience across different age ranges and types of population (e.g. in terms of severity) as well as ensuring saturation of themes.</p> <p>Phase 3 Finalization and testing of measure in terms of acceptability and feasibility; if possible to be linked to specified preferred outcomes. Measure can be used as baseline and follow up measure at different time points as required.</p>
Timeframe	3 years

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9 Glossary and abbreviations

9.1 Glossary of terms

Table 71: Glossary

Term	Definition
Abstract	Summary of a study, which may be published alone or as an introduction to a full scientific paper.
Arm (of a clinical study)	Subsection of individuals within a study who receive one particular intervention, for example placebo arm.
Association	Statistical relationship between 2 or more events, characteristics or other variables. The relationship may or may not be causal.
Attrition bias	Systematic differences between comparison groups for withdrawal or exclusion of participants from a study.
Available case analysis (ACA)	Analysis of data that is available for participants at the end of follow-up.
Baseline	The initial set of measurements at the beginning of a study (after run-in period where applicable) with which subsequent results are compared.
Before-and-after study	A study that investigates the effects of an intervention by measuring particular characteristics of a population both before and after taking the intervention, and assessing any change that occurs.
Bias	Influences on a study that can make the results look better or worse than they really are. Bias can occur by chance, deliberately or as a result of systematic errors in the design and execution of a study. It can also occur at different stages in the research process, for example during the collection, analysis, interpretation, publication or review of research data. For examples see Confounding factor, Performance bias, Publication bias Selection bias.
Carer (caregiver)	Someone who looks after family, partners or friends in need of help because they are ill, frail or have a disability.
Case-control study	A study to find out the cause(s) of a disease or condition. This is done by comparing a group of patients who have the disease or condition (cases) with a group of people who do not have it (controls) but who are otherwise as similar as possible (in characteristics thought to be unrelated) to the causes of the disease or condition). This means the researcher can look for aspects of their lives that differ to see if they may cause the condition. Such studies are retrospective because they look back in time from the outcome to the possible causes of a disease or condition.
Case series	Report of a number of cases of a given disease, usually covering the course of the disease and the response to treatment. There is no comparison (control) group of patients.
Child	Pre-school children from 1 year of age.
Clinical audit	A systematic process for setting and monitoring standards of clinical care. Whereas 'guidelines' define what the best clinical practice should be, 'audit' investigates whether best practice is being carried out. Clinical audit can be described as a cycle or spiral. Within the cycle there are stages that follow a systematic process of establishing best practice, measuring care against specific criteria, taking action to improve care and monitoring to sustain improvement. The spiral suggests that as the process continues, each cycle aspires to a higher level of quality.
Clinical effectiveness	How well a specific test or treatment works when used in the 'real world' (for example when used by a doctor with a patient at home), rather than in a carefully controlled clinical trial. Trials that assess clinical

Term	Definition
	effectiveness are sometimes called management trials. Clinical effectiveness is not the same as efficacy.
Clinical efficacy	The extent to which an intervention is active when studied under controlled research conditions.
Clinician	A healthcare professional who provides patient care. For example a doctor, nurse or physiotherapist.
Cochrane Review	The Cochrane Library consists of a regularly updated collection of evidence-based medicine databases including the Cochrane Database of Systematic Reviews (reviews of RCTs prepared by the Cochrane Collaboration).
Cohort study	A study with 2 or more groups of people – cohorts – with similar characteristics. One group receives a treatment, is exposed to a risk factor or has a particular symptom and the other group does not. The study follows their progress over time and records what happens.
Comorbidity	A disease or condition that someone has in addition to the health problem being studied or treated.
Concealment of allocation	The process used to ensure that the person deciding to enter a participant into an RCT does not know the comparison group into which that individual will be allocated. This is distinct from blinding and is aimed at preventing selection bias. Some attempts at concealing allocation are more prone to manipulation than others and the method of allocation concealment is used as an assessment of the quality of a trial.
Confidence interval (CI)	<p>There is always some uncertainty in research. This is because a small group of patients is studied to predict the effects of a treatment on the wider population. The confidence interval is a way of expressing how certain we are about the findings from a study, using statistics. It gives a range of results that is likely to include the 'true' value for the population. The CI is usually stated as '95% CI', which means that the range of values has a 95 in 100 chance of including the 'true' value. For example, a study may state that "based on our sample findings, we are 95% certain that the 'true' population blood pressure is not higher than 150 and not lower than 110". In such a case the 95% CI would be 110 to 150.</p> <p>A wide confidence interval indicates a lack of certainty about the true effect of the test or treatment – often because a small group of patients has been studied. A narrow confidence interval indicates a more precise estimate (for example if a large number of patients have been studied).</p>
Confounding factor	Something that influences a study and can result in misleading findings if it is not understood or appropriately dealt with. For example, a study of heart disease may look at a group of people who exercise regularly and a group who do not exercise. If the ages of the people in the 2 groups are different, then any difference in heart disease rates between the 2 groups could be because of age rather than exercise. Therefore age is a confounding factor.
Continuous outcome	Data with a potentially infinite number of possible values within a given range. Height, weight and blood pressure are examples of continuous variables.
Control group	A group of people in a study who do not receive the treatment or test being studied. Instead, they may receive the standard treatment (sometimes called 'usual care') or a dummy treatment (placebo). The results for the control group are compared with those for a group receiving the treatment being tested. The aim is to check for any differences. Ideally, the people in the control group should be as similar as possible to those in the treatment group, to make it as easy as possible to detect any effects due to the treatment.
Cost–benefit analysis	Cost–benefit analysis is one of the tools used to carry out an economic

Term	Definition
(CBA)	evaluation. The costs and benefits are measured using the same monetary units (for example UK pounds) to see whether the benefits exceed the costs.
Cost–consequence analysis (CCA)	Cost-consequence analysis is one of the tools used to carry out an economic evaluation. This compares the costs (such as treatment and hospital care) with the consequences (such as health outcomes) of a test or treatment with a suitable alternative. Unlike cost–benefit analysis or cost-effectiveness analysis, it does not attempt to summarise outcomes in a single measure (such as the quality adjusted life year) or in financial terms. Instead, outcomes are shown in their natural units (some of which may be monetary) and it is left to decision-makers to determine whether, overall, the treatment is worth carrying out.
Cost-effectiveness analysis (CEA)	Cost-effectiveness analysis is one of the tools used to carry out an economic evaluation. The benefits are expressed in non-monetary terms related to health, such as symptom-free days, heart attacks avoided, deaths avoided or life years gained (that is, the number of years by which life is extended as a result of the intervention).
Cost-effectiveness model	An explicit mathematical framework which is used to represent clinical decision problems and incorporate evidence from a variety of sources in order to estimate the costs and health outcomes.
Cost–utility analysis (CUA)	Cost–utility analysis is one of the tools used to carry out an economic evaluation. The benefits are assessed in terms of both quality and duration of life, and expressed as quality adjusted life years (QALYs). See also Utility.
COX proportional hazard model	In survival analysis, a statistical model that asserts that the effect of the study factors (for example the intervention of interest) on the hazard rate (the risk of occurrence of an event) in the study population is multiplicative and does not change over time.
Credible interval (CrI)	The Bayesian equivalent of a confidence interval.
Decision analysis	An explicit quantitative approach to decision-making under uncertainty, based on evidence from research. This evidence is translated into probabilities, and then into diagrams or decision trees which direct the clinician through a succession of possible scenarios, actions and outcomes.
Dichotomous outcomes	Outcome that can take one of 2 possible values, such as dead/alive, smoker/non-smoker, present/not present (also called binary data).
Discounting	Costs and perhaps benefits incurred today have a higher value than costs and benefits occurring in the future. Discounting health benefits reflects individual preference for benefits to be experienced in the present rather than the future. Discounting costs reflects individual preference for costs to be experienced in the future rather than the present.
Dominance	A health economics term. When comparing tests or treatments, an option that is both less effective and costs more is said to be 'dominated' by the alternative.
Drop-out	A participant who withdraws from a trial before the end.
Economic evaluation	An economic evaluation is used to assess the cost effectiveness of healthcare interventions (that is, to compare the costs and benefits of a healthcare intervention to assess whether it is worth doing). The aim of an economic evaluation is to maximise the level of benefits – health effects – relative to the resources available. It should be used to inform and support the decision-making process; it is not supposed to replace the judgement of healthcare professionals. There are several types of economic evaluation: cost–benefit analysis, cost–consequence analysis, cost-effectiveness analysis, cost-minimisation analysis and cost–utility analysis. They use similar methods

Term	Definition
	to define and evaluate costs, but differ in the way they estimate the benefits of a particular drug, programme or intervention.
Effect (as in effect measure, treatment effect, estimate of effect, effect size)	A measure that shows the magnitude of the outcome in 1 group compared with that in a control group. For example, if the absolute risk reduction is shown to be 5% and it is the outcome of interest, the effect size is 5%. The effect size is usually tested, using statistics, to find out how likely it is that the effect is a result of the treatment and has not just happened by chance.
Effectiveness	How beneficial a test or treatment is under usual or everyday conditions.
Efficacy	How beneficial a test, treatment or public health intervention is under ideal conditions (for example in a laboratory).
Epidemiological study	The study of a disease within a population, defining its incidence and prevalence and examining the roles of external influences (for example infection, diet) and interventions.
EQ-5D (EuroQol 5 dimensions)	A standardised instrument used to measure health-related quality of life. It provides a single index value for health status.
Equivalence study	A trial designed to determine whether the response to 2 or more treatments differs by an amount that is clinically unimportant. This is usually demonstrated by showing that the true treatment difference is likely to lie between a lower and an upper equivalence level of clinically acceptable differences.
Evidence	Information on which a decision or guidance is based. Evidence is obtained from a range of sources including RCTs, observational studies, expert opinion (of clinical professionals or patients).
Exclusion criteria (clinical study)	Criteria that define who is not eligible to participate in a clinical study.
Exclusion criteria (literature review)	Explicit standards used to decide which studies should be excluded from consideration as potential sources of evidence.
Extended dominance	If Option A is both more clinically effective than Option B and has a lower cost per unit of effect when both are compared with a do-nothing alternative, then Option A is said to have extended dominance over Option B. Option A is therefore more cost effective and should be preferred, other things remaining equal.
Extrapolation	An assumption that the results of studies of a specific population will also hold true for another population with similar characteristics.
False negative	A diagnostic test result that incorrectly indicates that an individual does not have the disease of interest, when they do actually have it.
False positive	A diagnostic test result that incorrectly indicates that an individual has the disease of interest, when they actually do not have it.
Fixed-effect model	In meta-analysis, a model that calculates a pooled effect estimate using the assumption that all observed variation between studies is caused by random sample variability. Studies are assumed to be estimating the same overall effect.
Follow-up	Observation over a period of time of an individual, group or initially defined population whose appropriate characteristics have been assessed in order to observe changes in health status or health-related variables.
Forest plot	A graphical representation of the individual results of each study included in a meta-analysis together with the combined meta-analysis result. The plot also allows readers to see the heterogeneity among the results of the studies. The results of individual studies are shown as squares centred on each study's point estimate. A horizontal line runs through each square to show each study's confidence interval. The overall estimate from the meta-analysis and its confidence interval are shown at the bottom, represented as a diamond. The centre of the

Term	Definition
	diamond represents the pooled point estimate, and its horizontal tips represent the confidence interval.
Generalisability	The extent to which the results of a study hold true for groups that did not participate in the research.
Gold standard	A method, procedure or measurement that is widely accepted as being the best available to test for or treat a disease.
GRADE, GRADE profile	A system developed by the GRADE Working Group to address the short-comings of present grading systems in healthcare. The GRADE system uses a common, sensible and transparent approach to grading the quality of evidence. The results of applying the GRADE system to clinical trial data are displayed in a table known as a GRADE profile.
Harms	Adverse effects of an intervention.
Hazard ratio	A hazard is the rate at which events happen, so that the probability of an event happening in a short time interval is the length of time multiplied by the hazard. Although the hazard may vary with time, the assumption in proportional hazard models for survival analysis is that the hazard in one group is a constant proportion of the hazard in the other group. This proportion is the hazard ratio.
Health economics	Study or analysis of the cost of using and distributing healthcare resources.
Health-related quality of life (HRQoL)	A measure of the effects of an illness to see how it affects someone's day-to-day life.
Heterogeneity	The term is used in meta-analyses and systematic reviews to describe when the results of a test or treatment (or estimates of its effect) differ
Imprecision	Results are imprecise when studies include relatively few patients and few events and thus have wide confidence intervals around the estimate of effect.
Incidence	The incidence of a disease is the rate at which new cases occur in a population during a specified period.
Inclusion criteria (clinical study)	Specific criteria that define who is eligible to participate in a clinical study.
Inclusion criteria (literature review)	Explicit criteria used to decide which studies should be considered as potential sources of evidence.
Incremental cost	The extra cost linked to using one test or treatment rather than another. Or the additional cost of doing a test or providing a treatment more frequently.
Incremental cost effectiveness ratio (ICER)	The difference in the mean costs in the population of interest divided by the differences in the mean outcomes in the population of interest for one treatment compared with another.
Incremental net benefit (INB)	The value (usually in monetary terms) of an intervention net of its cost compared with a comparator intervention. The INB can be calculated for a given cost-effectiveness (willingness to pay) threshold. If the threshold is £20,000 per QALY gained then the INB is calculated as: (£20,000×QALYs gained) minus incremental cost.
Indirectness	The available evidence is different to the review question being addressed, in terms of population, intervention, comparison and outcome (PICO).
Infant	A baby up to 1 year of age
Intention-to-treat analysis (ITT)	An assessment of the people taking part in a clinical trial, based on the group they were initially (and randomly) allocated to. This is regardless of whether or not they dropped out, fully complied with the treatment or switched to an alternative treatment. Intention-to-treat analyses are often used to assess clinical effectiveness because they mirror actual practice: that is, not everyone complies with treatment and the treatment people

Term	Definition
	receive may be changed according to how they respond to it.
Intervention	In medical terms this could be a drug treatment, surgical procedure, diagnostic or psychological therapy. Examples of public health interventions could include action to help someone to be physically active or to eat a more healthy diet.
Kappa statistic	A statistical measure of inter-rater agreement that takes into account the agreement occurring by chance
Length of stay	The total number of days a patient stays in hospital.
Licence	See Product licence.
Life years gained	Mean average years of life gained per person as a result of the intervention compared with an alternative intervention.
Likelihood ratio	The likelihood ratio combines information about the sensitivity and specificity. It tells you how much a positive or negative result changes the likelihood that a patient would have the disease. The likelihood ratio of a positive test result (LR+) is sensitivity divided by (1 minus specificity).
Linear Growth	This is the increase in length (under 2 years of age) or height (2 years or older) over time in infants and children
Loss to follow-up	Patients who have withdrawn from the clinical trial at the point of follow-up.
Markov model	A method for estimating long-term costs and effects for recurrent or chronic conditions, based on health states and the probability of transition between them within a given time period (cycle).
Mean	An average value, calculated by adding all the observations and dividing by the number of observations.
Mean difference	In meta-analysis, a method used to combine measures on continuous scales (such as weight), where the mean, standard deviation and sample size in each group are known. The weight given to the difference in means from each study (for example how much influence each study has on the overall results of the meta-analysis) is determined by the precision of its estimate of effect.
Median	The value of the observation that comes half-way when the observations are ranked in order.
Meta-analysis	A method often used in systematic reviews. Results from several studies of the same test or treatment are combined to estimate the overall effect of the treatment.
Minimal important difference (MID)	Threshold for clinical importance which represents the minimal important difference for benefit or for harm; for example the threshold at which drug A is less effective than drug B by an amount that is clinically important to patients.
Monte Carlo	A technique used to approximate the probability of certain outcomes by running multiple simulations using random variables.
Multivariate model	A statistical model for analysis of the relationship between 2 or more predictors, (independent) variables and the outcome (dependent) variable.
Net monetary benefit (NMB)	The value (usually in monetary terms) of an intervention net of its cost. The NMB can be calculated for a given cost-effectiveness (willingness to pay) threshold. If the threshold is £20,000 per QALY gained then the NMB is calculated as: (£20,000×QALYs gained) minus cost.
Non-inferiority trial	A trial designed to determine whether the effect of a new treatment is not worse than a standard treatment by more than a pre-specified amount. A one-sided version of an equivalence trial.
Number needed to treat (NNT)	The average number of patients who need to be treated to get a positive outcome. For example, if the NNT is 4, then 4 patients would have to be

Term	Definition
	treated to ensure 1 of them gets better. The closer the NNT is to 1, the better the treatment. For example, if you give a stroke prevention drug to 20 people before 1 stroke is prevented, the number needed to treat is 20.
Observational study	Individuals or groups are observed or certain factors are measured. No attempt is made to affect the outcome. For example, an observational study of a disease or treatment would allow 'nature' or usual medical care to take its course. Changes or differences in one characteristic (for example whether or not people received a specific treatment or intervention) are studied without intervening. There is a greater risk of selection bias than in experimental studies.
Odds ratio (OR)	<p>Odds are a way to represent how likely it is that something will happen (the probability). An odds ratio compares the probability of something in one group with the probability of the same thing in another.</p> <p>An odds ratio of 1 between 2 groups would show that the probability of the event (for example a person developing a disease, or a treatment working) is the same for both. An odds ratio greater than 1 means the event is more likely in the first group. An odds ratio less than 1 means that the event is less likely in the first group.</p> <p>Sometimes probability can be compared across more than 2 groups – in this case, one of the groups is chosen as the 'reference category' and the odds ratio is calculated for each group compared with the reference category. For example, to compare the risk of dying from lung cancer for non-smokers, occasional smokers and regular smokers, non-smokers could be used as the reference category. Odds ratios would be worked out for occasional smokers compared with non-smokers and for regular smokers compared with non-smokers.</p> <p>See also Confidence interval, Relative risk.</p>
Opportunity cost	The loss of other healthcare programmes displaced by investment in or introduction of another intervention. This may be best measured by the health benefits that could have been achieved had the money been spent on the next best alternative healthcare intervention.
Outcome	The impact that a test, treatment, policy, programme or other intervention has on a person, group or population. Outcomes from interventions to improve the public's health could include changes in knowledge and behaviour related to health, societal changes (for example a reduction in crime rates) and a change in people's health and wellbeing or health status. In clinical terms, outcomes could include the number of patients who fully recover from an illness or the number of hospital admissions, and an improvement or deterioration in someone's health, functional ability, symptoms or situation. Researchers should decide what outcomes to measure before a study begins.
p value	The p value is a statistical measure that indicates whether or not an effect is statistically significant. For example, if a study comparing 2 treatments found that one seems more effective than the other, the p value is the probability of obtaining these results by chance. By convention, if the p value is below 0.05 (that is, there is less than a 5% probability that the results occurred by chance) it is considered that there probably is a real difference between treatments. If the p value is 0.001 or less (less than a 1% probability that the results occurred by chance), the result is seen as highly significant. If the p value shows that there is likely to be a difference between treatments, the confidence interval describes how big the difference in effect might be.
Performance bias	Systematic differences between intervention groups in care provided apart from the intervention being evaluated. Blinding of study participants (both the recipients and providers of care) is used to protect against performance bias.

Term	Definition
Placebo	A fake (or dummy) treatment given to participants in the control group of a clinical trial. It is indistinguishable from the actual treatment (which is given to participants in the experimental group). The aim is to determine what effect the experimental treatment has had over and above any placebo effect caused because someone has received (or thinks they have received) care or attention.
Placebo effect	A beneficial (or adverse) effect produced by a placebo and not due to any property of the placebo itself.
Post-hoc analysis	Statistical analyses that are not specified in the trial protocol and are generally suggested by the data.
Power (statistical)	The ability to demonstrate an association when one exists. Power is related to sample size; the larger the sample size, the greater the power and the lower the risk that a possible association could be missed.
Prevalence	The prevalence of a disease is the proportion of a population that are cases at a point in time.
Primary care	Healthcare delivered outside hospitals. It includes a range of services provided by GPs, nurses, health visitors, midwives and other healthcare professionals and allied health professionals such as dentists, pharmacists and opticians.
Primary outcome	The outcome of greatest importance, usually the one in a study that the power calculation is based on.
Product licence	An authorisation from the Medicines and Healthcare Products Regulatory Agency (MHRA) to market a medicinal product.
Prognosis	A probable course or outcome of a disease. Prognostic factors are patient or disease characteristics that influence the course. Good prognosis is associated with low rate of undesirable outcomes; poor prognosis is associated with a high rate of undesirable outcomes.
Prospective study	A research study in which the health or other characteristic of participants is monitored (or 'followed up') for a period of time, with events recorded as they happen. This contrasts with retrospective studies.
Protocol (review)	A document written prior to commencing a review that details exactly how evidence to answer a review question will be obtained and synthesised. It defines in detail the population of interest, the interventions, the comparators/controls and the outcomes of interest (PICO).
Publication bias	Publication bias occurs when researchers publish the results of studies showing that a treatment works well and don't publish those showing it did not have any effect. If this happens, analysis of the published results will not give an accurate idea of how well the treatment works. This type of bias can be assessed by a funnel plot.
Quality of life	See Health-related quality of life.
Quality adjusted life year (QALY)	A measure of the state of health of a person or group in which the benefits, in terms of length of life, are adjusted to reflect the quality-of-life. One QALY is equal to 1 year of life in perfect health. QALYS are calculated by estimating the years of life remaining for a patient following a particular treatment or intervention and weighting each year with a quality-of-life score (on a scale of 0 to 1). It is often measured in terms of the person's ability to perform the activities of daily life, and freedom from pain and mental disturbance.
Random effect model	In meta-analysis, a model that calculates a pooled effect estimate using the assumption that each study is estimating a different true treatment effect due to real differences between studies. Observed variation in effects are therefore caused by a combination of random sample variability (within-study variation) and heterogeneity between studies

Term	Definition
	(between-study variation). The overall effects is an average of the estimated true study effects.
Randomisation	Assigning participants in a research study to different groups without taking any similarities or differences between them into account. For example, it could involve using a random numbers table or a computer-generated random sequence. It means that each individual (or each group in the case of cluster randomisation) has the same chance of receiving each intervention.
Randomised controlled trial (RCT)	A study in which a number of similar people are randomly assigned to 2 (or more) groups to test a specific drug or treatment. One group (the experimental group) receives the treatment being tested, the other (the comparison or control group) receives an alternative treatment, a dummy treatment (placebo) or no treatment at all. The groups are followed up to see how effective the experimental treatment was. Outcomes are measured at specific times and any difference in response between the groups is assessed statistically. This method is also used to reduce bias.
Reference standard	The test that is considered to be the best available method to establish the presence or absence of the outcome – this may not be the one that is routinely used in practice.
Relative risk (RR)	The ratio of the risk of disease or death among those exposed to certain conditions compared with the risk for those who are not exposed to the same conditions (for example the risk of people who smoke getting lung cancer compared with the risk for people who do not smoke). If both groups face the same level of risk, the relative risk is 1. If the first group had a relative risk of 2, subjects in that group would be twice as likely to have the event happen. A relative risk of less than 1 means the outcome is less likely in the first group. Relative risk is sometimes referred to as risk ratio.
Reporting bias	See Publication bias.
Resource implication	The likely impact in terms of finance, workforce or other NHS resources.
Retrospective study	A research study that focuses on the past and present. The study examines past exposure to suspected risk factors for the disease or condition. Unlike prospective studies, it does not cover events that occur after the study group is selected.
Review question	The plan or set of steps to be followed in a study. A protocol for a systematic review describes the rationale for the review, the objectives and the methods that will be used to locate, select and critically appraise studies, and to collect and analyse data from the included studies.
Secondary care	Care provided in hospitals.
Secondary outcome	An outcome used to evaluate additional effects of the intervention deemed a priori as being less important than the primary outcomes.
Selection bias	Selection bias occurs if: <ul style="list-style-type: none"> • The characteristics of the people selected for a study differ from the wider population from which they have been drawn; or • There are differences between groups of participants in a study in terms of how likely they are to get better.
Sensitivity	How well a test detects the thing it is testing for. If a diagnostic test for a disease has high sensitivity, it is likely to pick up all cases of the disease in people who have it (that is, give a 'true positive' result). But if a test is too sensitive it will sometimes also give a positive result in people who don't have the disease (that is, give a 'false positive').
Sensitivity analysis	A means of representing uncertainty in the results of an analysis. Uncertainty may arise from missing data, imprecise estimates or methodological controversy. Sensitivity analysis also allows for exploring

Term	Definition
	<p>the generalisability of results to other settings. The analysis is repeated using different assumptions to examine the effect on the results.</p> <ul style="list-style-type: none"> • One-way simple sensitivity analysis (univariate analysis) – each parameter is varied individually in order to isolate the consequences of each parameter on the results of the study. • Multi-way simple sensitivity analysis (scenario analysis) – 2 or more parameters are varied at the same time and the overall effect on the results is evaluated. • Threshold sensitivity analysis – the critical value of parameters above or below which the conclusions of the study will change are identified. • Probabilistic sensitivity analysis – probability distributions are assigned to the uncertain parameters and are incorporated into evaluation models based on decision analytical techniques (for example Monte Carlo simulation).
Significance (statistical)	A result is deemed statistically significant if the probability of the result occurring by chance is less than 1 in 20 ($p < 0.05$).
Specificity	<p>The proportion of true negatives that are correctly identified as such. For example, in diagnostic testing the specificity is the proportion of non-cases correctly diagnosed as non-cases. In terms of literature searching a highly specific search is generally narrow and aimed at picking up the key papers in a field and avoiding a wide range of papers.</p> <p>See also Sensitivity.</p>
Spontaneous pregnancy	Pregnancy that was not assisted by reproductive treatment.
Stakeholder	<p>An organisation with an interest in a topic on which NICE is developing a clinical guideline or piece of public health guidance. Organisations that register as stakeholders can comment on the draft scope and the draft guidance. Stakeholders may be:</p> <ul style="list-style-type: none"> • manufacturers of drugs or equipment • national patient and carer organisations • NHS organisations • organisations representing healthcare professionals.
Standard deviation (SD)	A measure of the spread or dispersion of a set of observations, calculated as the average difference from the mean value in the sample.
Subgroup analysis	An analysis in which the intervention effect is evaluated in a defined subset of the participants in a trial, or in complementary subsets.
Systematic review	A review in which evidence from scientific studies has been identified, appraised and synthesised in a methodical way according to predetermined criteria. It may include a meta-analysis.
Thrive index	Measure of change in weight standard deviation over time, conditional on initial weight, to allow for regression to the mean. The thrive index compares a child's actual weight SD to their expected weight SD
Time horizon	The time span over which costs and health outcomes are considered in a decision analysis or economic evaluation.
Townsend Score	The Townsend index is a measure of material deprivation within a population. The measure incorporates four variables: employment, car ownership, home ownership and household overcrowding.
Treatment allocation	Assigning a participant to a particular arm of a trial.
True negative	A diagnostic test result that correctly indicates that an individual does not have the disease of interest when they actually do not have it.
True positive	A diagnostic test result that correctly indicates that an individual has the disease of interest when they do actually have it.
Undernutrition	This is what happens when nutrition is not sufficient. An infant or child with undernutrition may be abnormally thin, may weigh less than expected for their length or height, and if prolonged undernutrition can

Term	Definition
	lead to stunting (length or height less than expected for age).
Univariate	Analysis which separately explores each variable in a data set.
Utility	In health economics, a utility is the measure of the preference or value that an individual or society places upon a particular health state. It is generally a number between 0 (representing death) and 1 (perfect health). The most widely used measure of benefit in cost-utility analysis is the quality-adjusted life year, but other measures include disability-adjusted life years (DALYs) and healthy year equivalents (HYEs).
Weight nadir	This is the lowest weight point.

1 9.2 Acronyms and abbreviations

2 **Table 72: Acronyms and abbreviations**

Abbreviation	Definition
ACA	Available case analysis
aHR	Adjusted hazard ratio
ALSPAC	Avon Longitudinal Study of Parents and Children
AMED	Allied and complementary medicine
aORs	Adjusted odds ratios
ARD	Absolute risk difference
aRRs	Adjusted risk ratios
BMI	Body mass index
BPFAS	Behavioral Pediatric Feeding Assessment Scale
BPT	Behavioural Parent Training
BW	Birthweight
BWL	Birthweight loss
CASP	Critical Appraisal Skills Programme
CBA	Cost- benefit analysis
CCA	Cost-consequence analysis
CCTR	Cochrane Controlled Trials Register
CDSR	Cochrane Database of Systematic reviews
CEBP	Child Eating Behaviour Questionnaire
CHQ	Child Health Questionnaire
CI	Confidence interval
CINAHL	Cumulative index of nursing and allied health literature
CrI	Credible interval
CUA	Cost utility analysis
DALYs	Disability adjusted life years
DARE	Database of Abstracts and reviews
DH	Department of Health
EF	Enriched Formula
EPDS	Edinburgh Postnatal Depression Scale
EPHPP	Effective public Health Practice Project
FG	Faltering growth
FTT	Failure to thrive
g	Gram
GCA	Gestational corrected age

Abbreviation	Definition
GI	Gastrointestinal
GMS	Gateshead Millennium Study
GP	General practitioner
GRADE	Grading of Recommendations Assessment, Development and Evaluation
HADS	Hospital Anxiety and Depression Scale
HCP	Healthcare professional
HI	Home intervention
HR	Hazard ratio
HRG	Healthcare resource group
HRQoL	Health-related quality of life
HTA	Health technology assessment
HYEs	Healthy year equivalents
ICER	Incremental cost-effectiveness ratios
IQ	Intellectual quotient
IQR	Interquartile range
ITT	Intention to treat
IUGR	Intrauterine growth restriction
KG	Kilogram
kJ	Kilocalorie
LETR	Linking evidence to recommendations
mEq/L	Milliequivalent per litre
MID	Minimally important difference
ml	Millimetre
MR	Means ratio
MUAC	Mid upper arm circumference
N/A	Not applicable
N/R	Non reported
NC	Not calculable
NG	Normal growth
NGA	National Guideline Alliance
NHS	National Health Service
NHS EED	NHS Economic Evaluation Database
NICE	National Institute for Health and Care Excellence
NICU	Neonatal intensive care unit
NIHR	National Institute for Health Research
NMB	Net Monetary Benefit
NMB	Net monetary benefit
NNT	Number needed to treat
NOFFT	Non organic failure to thrive
NPV	Negative predictive value
OFC	Occipital-frontal circumference
ORs	Odds ratios
OT	Occupational therapist
P	P-value
PICO	Population, intervention, comparison, outcome

Abbreviation	Definition
PPV	Positive predictive value
PRISMA	Preferred reporting items for systematic reviews and metal-analyses
PsycINFO	Psychological information database
QALY	Quality adjusted life year
QOL	Quality of life
RCOG	Royal College of Obstetricians and Gynaecologists
RCT	Randomised controlled trial
RDI	Reference Daily intake
RR	Risk ratio/relative risk
RRs	Risk Ratios
RTFR	Response to food refusal
SD	Standard deviation
SDE	Standard Dietary Education
SE	Standard error
SGA	Small for gestational age
SMD	Standardised mean differences
SOMA	Schedule of oral-motor assessment
SOS	Sensory intervention
SRs	Systematic reviews
TF	Term (standards) Formula
UK	United Kingdom
USA	United States of America
UTI	Urinary tract infection
VAS	Visual Analogue Scale
VLBW	Very low birthweight
WHO	World Health Organization
Wk	Weeks
WTP	Willingness to pay