National Institute for Health and Care Excellence

Centre for Guidelines

Surveillance programme

Surveillance review consultation document

10-year surveillance review of PH6 Behaviour change: general approaches

Background information

Guideline issue date: October 2007

This guideline provides a set of principles that can be used to help people change their behaviour. The aim is for practitioners to use these principles to encourage people to adopt a healthier lifestyle by, for example, stopping smoking, adopting a healthy diet and being more physically active. The principles cover the planning, delivery and content of individual, community and population level interventions and programmes, evaluation of their effectiveness and cost effectiveness, education and training requirements to deliver interventions. The principles are applicable to a broad range of public health topics.

Surveillance proposal for consultation

- We will not update the guideline at this time.
- Refresh with reference to relevant NICE guidelines published since October 2007 (<u>Behaviour change: individual approaches</u> (2014) NICE guideline PH49) and other Medical Research Council guidance.

Reason for proposal

We found a total of 61 new studies, 2 reports and 4 pieces of on-going research through surveillance of this guideline:

- A literature search for randomised controlled trials and systematic reviews published between 1st July 2012 to 14th June 2016 for behaviour change interventions that identified behaviour change techniques found 8 new studies with relevance to PH6 (an additional 49 studies were identified that were directly relevant to the related NICE guideline Behaviour change: individual approaches (PH49)). The evidence related to behaviour change interventions involving children. None of this new evidence was thought to have an effect on current recommendations in PH6.
- A focused literature search for any study published between 1st July 2012 and 8th June 2016 on choice architecture interventions¹ found 45 new studies. While there is a sufficient body of evidence to develop recommendations on the use of choice architecture interventions for diet, there is insufficient evidence on the effectiveness of these interventions for any other behaviours. A previous surveillance review of the NICE guideline Preventing excess weight gain (NG7) in 2017 recommended a partial update which should include consideration of evidence on choice architecture interventions such as packaging, individual unit or tableware size on consumption of food by adults and children. Because the evidence base on choice architecture interventions is specific to diet we recommend that this evidence contributes to the update of NICE guideline Preventing excess weight gain (NG7) rather than an update of PH6 as the latter provides recommendations on interventions that are applicable across a range of behaviours.
- We considered all evidence highlighted by topic experts for any potential impact on the guideline scope and remit, with 7 studies (including 1 on-going piece of research) meeting inclusion criteria.
- We checked for ongoing and newly published research from NIHR and Cochrane and new policy developments. Two published studies were

¹ Interventions which change the context in which someone will make a decision in order to influence how they act. For example, placing healthier snacks closer to a shop checkout and putting sugary and high-fat options out of reach may influence people to make a healthier choice because it is more accessible. Behaviour change approaches based on choice architecture are also referred to as 'nudge' or 'nudging' interventions. Surveillance Report Consultation document September 2017

Behaviour change: general approaches (2007) NICE guideline PH6

included as evidence, and 3 pieces of on-going research were identified. Two reports were also identified.

- Ongoing research was identified on choice architecture interventions [64], understanding the mechanisms of change in group-based behaviour change interventions [65], effectiveness of mass media behaviour change campaigns [66] and the costs and effects of public health programmes [67]. Publications of this work will be looked at when PH6 undergoes its next surveillance review, if available.
- We found some new evidence related to a gap in the evidence that noted few studies explicitly addressing the comparative effects that behaviour change interventions can have on health inequalities, particularly in relation to cultural differences. This new evidence was not considered to fully address the gap in the evidence or affect current recommendations. We did not find any new evidence that related to other gaps in the evidence or research recommendations.

Previous surveillance review:

- The first surveillance review in <u>2010</u> lead to the development of <u>Behaviour</u> <u>change: individual approaches</u> (PH49). This updated (but did not replace) recommendations in PH6 that covered individual-level interventions (Principle 4: individual-level interventions and programmes).
- At the last surveillance review in <u>2014</u> it was recommended that the whole guideline should be reviewed and refreshed for terminology and contextual issues and that Principle 6: population-level interventions and programmes should be fully updated to include new and emerging evidence on:
 - o choice architecture interventions (see above)
 - o mass media and social marketing
 - o social environments including the digital environment
 - o changes to the physical environment
 - changes to the economic environment.

At the time of the 10 year surveillance review, the update of PH6 proposed in 2014 had not yet been scheduled. As such, the above areas recommended for update were considered in 10 year surveillance review:

- The literature search for the current surveillance review was not designed to specifically identify all population level behaviour change interventions. However initial intelligence gathering identified 2 Cochrane reviews on mass-media behaviour change interventions which broadly support the use of mass-media but indicates that the evidence-base on mass-media campaigns currently appears limited. An on-going piece of research on the effectiveness of mass-media behaviour change campaigns was also identified [66]. It is therefore recommended that this is not an area for update at this time.
- Literature relevant to technology and the digital environment was identified as part of the on-going surveillance review for the NICE guideline Behaviour change: individual approaches (PH49).
- Evidence supporting the modification of the physical environment as a means of encouraging physical activity is being reviewed as part of the indevelopment NICE guideline <u>Physical activity and the environment (update)</u>, due to publish in March 2018.
- Policy level evidence concerning the economic environment that relates to the influence on behaviour from changes in pricing through minimum pricing and/or taxes of alcohol, high sugar/high fat foods, and tobacco products is no longer the remit of NICE.

We considered the views of topic experts, including those who helped to develop the guideline and other correspondence we have received since the publication of the guideline. Two topic experts responded, both thought the guideline should be updated in line with the previous 2014 surveillance review recommendation. The following additional issues were highlighted by topic experts:

 New ways of evaluating evidence and cost-effectiveness, the importance of assessing intervention fidelity and Medical Research Council (MRC) guidance on process evaluation of complex interventions and on using natural

experiments were highlighted. It is recommended that principle 7 on evaluating effectiveness refers to Behaviour change: individual approaches (PH49), <u>recommendation 16</u> which provides detailed recommendations on evaluating behaviour change interventions, including reference to intervention fidelity and reference to the MRC guidance on complex evaluations. The MRC reference on using natural experiments should also be added to principle 7.

 New theory concerning the impact of interventions on widening and narrowing inequalities was referenced. These were relevant to principle 2 on assessing social context and a gap in the evidence on the comparative effects that behaviour change interventions can have on health inequalities, however there remains a lack of evidence in this area.

None of the new evidence considered in surveillance of this guideline was thought to have an effect on current recommendations.

Overall decision

After considering all the new evidence, views of topic experts and related work in development within NICE, we are proposing that this guideline is not updated.

Further information

See <u>Appendix 1</u> for further information.

For details of the process and update decision that are available, see <u>ensuring that</u> <u>published guidelines are current and accurate</u> in 'Developing NICE guidelines: the manual'.

Appendix 1: summary of new evidence

Summary of new evidence from 4-year surveillance	Summary of new intelligence from 4-year surveillance (from topic experts or initial internal intelligence gathering)	Impact
PH6 – 01. Recommendation 1 - Principle 1: planning inter evidence statements: please note that explicit is developed	ventions and programmes inks between evidence statements and recommendations	s were not provided when this guideline was
No evidence identified	Initial intelligence gathering identified the following: <u>Behaviour change: individual approaches</u> (2014) NICE guideline PH49, provides recommendations on planning behaviour change interventions.	 New evidence was identified that may change the recommendation: refresh. Principle 1 provides guidance on partnership working between individuals, communities, organisations and populations in order to plan and prioritise interventions and programmes to change health-related behaviour and when to consider disinvestment. The importance of evidence-based interventions is highlighted here and throughout the guideline. Recommendations within Behaviour change: individual approaches (2014) NICE guideline PH49 cover and extend the current recommendation on planning interventions and programmes. While PH49 is for individual level interventions, recommendations 1 to 6 are applicable to planning community and population level interventions, as such it is recommended that the content of principle 1 is removed and replaced with a cross reference to recommendations 1 to 6 in PH49.
PH6 – 02. Recommendation 2 - Principle 2: assessing soci	al context	
See principle 6 for evidence on choice architecture interventions	s. Initial intelligence gathering identified the following:	New evidence was identified that does not have an impact on the recommendation.

Games legacy Feb 2014. Cabinet Office, DH, DCMS, the recommendations within principle 2. The DfE & DfT supports structural improvements to help people who find it difficult to change, or who are not appears limited.	Summary of new intelligence from 4-year surveillance (from topic experts or initial internal intelligence gathering)	Impact
<u>Changing Behaviour, Improving Outcomes: A new</u> effect of interventions on inequalities but there is	 people most at risk of dying early (2008) NICE guideline PH15 provides recommendations on improving access to services and considering barriers to access. It is also principle based. Moving More, Living More: Olympic and Paralympic <u>Games legacy</u> Feb 2014. Cabinet Office, DH, DCMS, DfE & DfT supports structural improvements to help people who find it difficult to change, or who are not motivated.[54] <u>Changing Behaviour, Improving Outcomes: A new</u> social marketing strategy for public health April 2011 DH provides some evidence in favour of social marketing.[55] A Cochrane review on <u>Mass media interventions for</u> smoking cessation in adults identified 11 campaigns; there was evidence that 'comprehensive tobacco control programmes that include mass media campaigns can be effective in changing smoking behaviour in adults, but the evidence comes from a heterogeneous group of studies of variable methodological quality'.[56] A Cochrane review on <u>Targeted mass media</u> interventions promoting healthy behaviours to reduce risk of non-communicable diseases in adult, ethnic minorities identified 6 studies, all within the US, and the authors concluded that 'available evidence is inadequate for understanding whether mass media interventions targeted toward ethnic minority populations are more effective in changing health behaviours than mass media interventions intended for the population at large.'[57] A topic expert noted that there is new theory concerning the impact of interventions on widening 	addressing social, financial and environmental barriers that prevent people from making positive changes in their lives. NICE guidelines and government publications identified via the intelligence gathering are in line with the recommendations within principle 2. The evidence-base on mass-media campaigns currently appears limited. There remains a lack of evidence concerning the effect of interventions on inequalities but there is some indication that certain 'upstream' interventions may decrease inequalities, while 'upstream' ones

Summary of new evidence from 4-year surveillance	Summary of new intelligence from 4-year surveillance (from topic experts or initial internal intelligence gathering)	Impact
	A study on What types of interventions generate inequalities? Evidence from systematic reviews found that media campaigns and workplace smoking bans showed some evidence of increasing inequalities between socioeconomic status groups, while provision of resources and fiscal interventions, such as tobacco pricing may reduce health inequalities. The authors noted that for many intervention types, data on inequalities are lacking.[58]	
	A later study <u>Are interventions to promote healthy</u> <u>eating equally effective for all? Systematic review of</u> <u>socio-economic inequalities in impact</u> reported that 'Upstream' Price interventions (e.g. taxes and subsidies) appeared to decrease inequalities, and 'downstream' Person interventions, in particular dietary counselling seemed to increase inequalities. However the authors noted that the 'vast majority of studies identified did not explore differential effects by socioeconomic position'.[59]	
PH6 – 03. Recommendation 3 - Principle 3: education and training	ng	
No evidence identified	No evidence identified	No new evidence was identified, no changes Principle 3 provides guidance on training and support for those involved in changing people's health-related behaviour in order to develop required competencies and advises that national organisations should consider developing standards for these competencies and skills.
PH6 – 04. Recommendation 4 - Principle 4: individual-level inter	ventions and programmes	
Eight studies (7 SRs and 1 RCT) were identified that reported on BCTs/intervention elements in behaviour change interventions for children/adolescents :	Initial intelligence gathering identified the following:	New evidence was identified that may change the recommendation: refresh.
	Behaviour change: individual approaches (2014) NICE guideline PH49, provides guidance on	Principle 4 provides guidance on individual level interventions and identifies some behaviour change

Summary of new evidence from 4-year surveillance	Summary of new intelligence from 4-year surveillance (from topic experts or initial internal intelligence gathering)	Impact
A systematic review and meta-analysis of 15 studies of interventions involving motivational interviewing to target sex, physical activity or diet behaviour in adolescents found that these interventions produced a small but significant effect compared to a control condition, which was sustained at a follow-up period averaging 34 weeks. [1] A systematic review of 37 SRs of school-based sexual-health interventions found weak and inconsistent evidence of behaviour change in many of the SRs but did report that comprehensive interventions, those targeting HIV prevention, and school-based clinics were effective in improving knowledge and changing attitudes, behaviours and health-relevant outcomes; while abstinence-only interventions were not effective. A list of 32 design, content and implementation characteristics that may enhance effectiveness of school-based sexual-health interventions was generated.[2] A systematic review including 5 meta-analyses and 6 qualitative reviews of successful HIV prevention interventions for adolescents found that the following factors were linked to evidence of success: BCTs such as cognitive-behaviour and motivation enhancement skills training, recipient characteristics (e.g. age, vulnerability to contracting STIS/HIV), prominent design features (e.g. supportive school environment).[3] A systematic review of interventions to prevent obesity or improve obesity related behaviours in children aged 0-5 years from socioeconomically disadvantaged (30 studies) or Indigenous families (2 studies) was identified. Interventions for under two year olds had a positive impact on obesity related behaviours but few measured the longer-term impact on healthy weight gain (significance not reported); for interventions with 3 to 5 year olds effectiveness was mixed, but the 'more successful interventions' were reported to have high levels of parental engagement, use of BCTs (not specified), a focus on skill building and links to community resources. [4] A systematic review of 17 RCTs for the prevention (n		techniques to use within such interventions (including understanding consequences of behaviours for oneself and others, recognising how social contexts and relationships may affect behaviour, goals and planning). This recommendation should include a reference to recommendation 7 in PH49. There were 7 SRs and 1 RCT reporting on BCTs/intervention elements in behaviour change interventions for children/adolescents. One SR reported that motivational interviewing techniques across sex, physical activity or diet produce small significant changes in behaviour [1]. There is mixed evidence of effectiveness of safe sex interventions and reports that the evidence base is weak; SRs have reported that the following BCTs/intervention elements are related to success: comprehensive interventions, those targeting HIV prevention, school-based clinics, socio-ecological features such as a supportive school environment, BCTs such as cognitive-behaviour and motivation enhancement skills training and prominent design features (e.g. use of theory, formative research) [2, 3]. Two SRs reporting on the effectiveness of interventions to prevent or manage obesity identified the following BCTs/elements as associated with effectiveness: provide information on the consequences of behaviour to the individual, focus on building skills, prompt practice, prompt identification as role model/position advocate, stress management/emotional control training and general communication skills training, prompting generalisation of a target behaviour, environmental restructuring, high levels of parental engagement and links to community resources [4, 5]; and the following

Summary of new evidence from 4-year surveillance	Summary of new intelligence from 4-year surveillance (from topic experts or initial internal intelligence gathering)	Impact
information on the consequences of behaviour to the individual, environmental restructuring, prompt practice, prompt identification as role model/position advocate, stress management/emotional control training and general communication skills training. For prevention, only prompting generalisation of a target behaviour was identified as an effective BCT. Non-effective BCTs for management included providing information on the consequences of behaviour in general. Non-effective BCTs for prevention included information on the consequences of behaviour in general, facilitating social comparison, rewards contingent on successful behaviour.[5] A systematic review of RCTs investigating the effectiveness of using smartphones in the treatment of child and adolescent overweight or obesity found only 2 RCTs, both of which were not effective in achieving weight loss. The trials did report that smartphone usage was linked to improved engagement and reduced dropout rates during sustainability phases of these long-term interventions.[6]		BCTs were identified as non-effective for obesity management and prevention: providing information on the consequences of behaviour in general and non-effective for prevention only: facilitating social comparison, rewards contingent on successful behaviour. One SR reported that smartphone-based interventions were not effective in addressing childhood obesity [6]. A SR of school-based physical activity interventions found that having a higher number of BCTs and using specific BCTs such as goal setting, action planning and self-monitoring were associated with increased physical activity in adolescents [7]. No studies were identified on smoking and only 1 RCT was identified that addressed alcohol use, which reported that the use of motivation techniques to form
A systematic review of 10 RCTs and cluster RCTs in 15-19 year olds investigating the effectiveness of school-based interventions to increase physical activity and decrease sedentary behaviour found that interventions which increased physical activity included a higher number of BCTs and highlighted specific BCTs such as goal setting, action planning and self-monitoring.[7]		plans can reduce alcohol intake in adolescents [8].
An RCT with adolescents (n=67) randomised to an intervention involving forming a self-affirming implementation intention (motivation techniques to form plans) or to complete a distractor task while exposed to a threatening message concerning the health risks of alcohol consumption, found that subsequent alcohol intake was significantly decreased in the intervention group.[8]		
PH6 – 05. Recommendation 5 - Principle 5: community-level inte	erventions and programmes	
Four SRs were identified that reported on BCTs/intervention elements in school-based behaviour change interventions for children/adolescents:	Initial intelligence gathering identified several NICE guidelines related to community-level behaviour change, including:	New evidence was identified that does not have an impact on the recommendation.
A systematic review of 37 SRs of school-based sexual-health interventions found weak and inconsistent evidence of behaviour		Principle 5 provides guidance on investing in interventions and programmes that identify and build on the strengths of individuals and communities and

Summary of new evidence from 4-year surveillance	Summary of new intelligence from 4-year surveillance (from topic experts or initial internal intelligence gathering)	Impact
change in many of the SRs but did report that comprehensive interventions, those targeting HIV prevention, and school-based clinics were effective in improving knowledge and changing attitudes, behaviours and health-relevant outcomes; while abstinence-only interventions were not effective. A list of 32 design, content and implementation characteristics that may enhance effectiveness of school-based sexual-health interventions was generated. [2] A systematic review including 5 meta-analysis and 6 qualitative reviews of successful HIV prevention interventions for adolescents found that the following factors were linked to evidence of success: BCTs such as cognitive-behaviour and motivation enhancement skills training, recipient characteristics (e.g. age, vulnerability to contracting STIs/HIV), prominent design features (e.g. use of theory, formative research), and socio-ecological features (e.g. use of theory, formative research), and socio-ecological features (e.g. supportive school environment). [3] A systematic review of interventions to prevent obesity or improve obesity related behaviours in children aged 0-5 years from socioeconomically disadvantaged (30 studies) or Indigenous families (2 studies). Interventions for under two year olds had a positive impact on obesity related behaviours but few measured the longer- term impact on healthy weight gain (significance not reported); for interventions with 3 to 5 year olds effectiveness was mixed, but the 'more successful interventions' were reported to have high levels of parental engagement, use of BCTs (not specified), a focus on skill building and links to community resources. [4] A systematic review of 10 RCTs and cluster RCTs in 15-19 year olds investigating the effectiveness of school-based interventions to increase physical activity and decrease sedentary behaviour found that interventions which increased physical activity included a higher number of BCTs and highlighted specific BCTs such as goal setting, action planning and self-monitoring. [7]	Alcohol interventions in schools. Publication expected Jan 2019 [GID-NG10030]) Physical activity and the environment (update). Publication expected: Mar 2018 [GID-PHG97] Preventing excess weight gain Mar 2015 NG7 Smoking prevention in schools Feb 2010 PH23 Physical activity for children and young people January 2009 PH17	the relationships within communities, including those that support parenting skills, relationship building, and self-efficacy. The SRs of behaviour change interventions within school-settings supports the current recommendation around the importance of relationship building and a supportive (school) environment [2-4, 7]. As indicated in the previous surveillance review, there is a large amount of existing NICE guidance on community-level interventions and programmes which can support stakeholders in deciding on which interventions to implement across different behavioural areas.
PH6 – 06. Recommendation 6 - Principle 6: population-level inter	rventions and programmes	
Forty-four studies (12 SRs, 1 meta-analysis, 4 RCTs [.] 5 non-RCTs, 13 BA and 9 with other study designs) were identified that assessed	Initial intelligence gathering identified the following:	New evidence was identified that does not have an impact the recommendation.

Summary of new evidence from 4-year surveillance	Summary of new intelligence from 4-year surveillance (from topic experts or initial internal intelligence gathering)	Impact
the effectiveness of choice architecture interventions. The majority of these were in the area of diet (37/44), with only 3 on physical activity, 3 on smoking and 2 on alcohol. Diet Within diet, studies (9-45) looked at the effectiveness of information on the 'healthiness' of foods via labels with calorie/macronutrient information presented in various formats (e.g. traffic light labels), point of purchase information, mostly in cafeteria or restaurant/fastfood outlets. Other diet studies focussed on the placing of foods and the impact of size of portion, packages and tableware on energy consumption: Diet –multiple/generic interventions A systematic review with a narrative synthesis of findings from 12 studies on choice architecture interventions that aim to change eating behaviour in self-service eating settings reported that: health labelling at point of purchase is associated with healthier food choice; manipulating plate and cutlery size has an inconclusive effect on consumption volume; assortment manipulation and payment option manipulation (latter out of scope) was associated with healthier food choices. The authors reported that most studies were of very weak quality.[9] A systematic review of 15 studies evaluating food environment interventions targeting dietary behaviour in young adults in college and university settings reported that nutrition messages/nutrient labelling, providing healthy options, and portion size control of unhealthy foods may be useful interventions. The authors reported that 'increased availability of healthy options combined with nutrition information resulted in improvements in dietary habits' (no data reported).[10] A systematic review of 22 studies on the effectiveness of environmental interventions targeting eating behaviour in young out only one study produced a small significant improvement in weight/body mass index. The authors reported that studies had high or unknown risk of		Principle 6 provides guidance on delivering population-level policies, interventions and programmes tailored to change specific, health- related behaviours that are based on information gathered about the context, needs and behaviours of the target population(s). This includes choice architecture interventions : Interventions which change the context in which someone will make a decision in order to influence how they act. For example, placing healthier snacks closer to a shop checkout and putting sugary and high-fat options out of reach may influence people to make a healthier choice because it is more accessible. Behaviour change approaches based on choice architecture are also referred to as 'nudge' or 'nudging' interventions. While there is a sufficient body of evidence to develop recommendations on the use of choice architecture interventions for diet, there are some important gaps in knowledge, in particular around compensatory behaviour. It should be noted that there is insufficient evidence on the effectiveness of these interventions for physical activity, smoking or alcohol intake and as such it is recommend that these behavioural areas are not currently considered in an update. Instead it is recommended that this research informs the update of <u>Preventing excess</u> weight gain (2015) NICE guideline NG7 (see <u>surveillance review, 2017</u>). Diet: There is mixed evidence concerning the effectiveness of labels with calorie information to reduce energy intake from food; but systematic reviews indicate that overall, on their own, calorie labels are not effective at significantly reducing energy intake [13-16,26]. One SR reported that when calorie labels add contextual or interpretive nutrition

Summary of new evidence from 4-year surveillance	Summary of new intelligence from 4-year surveillance (from topic experts or initial internal intelligence gathering)	Impact
bias, intervention reporting was poor and that the 1 study reporting on compensatory behaviours reported that intervention participants who ate less during the intervention ate more out with the workplace later in the day.[11] A systematic review of 26 studies (13 articles) using 'nudge' or choice architecture interventions that influenced adult food and beverage choices reported that 'combined 'salience' and 'priming' nudges showed consistent positive influence on healthier food and beverage choices' but that only two interventions were of a high level of evidence, and the majority of articles received average or poor quality rating. The authors concluded that there was 'limited ability to determine effectiveness of nudging due to various populations and settings tested and the use and reporting of incomparable outcome		information this leads to a significant reduction in calories purchased/consumed [15] and 1 RCT indicated that labels with exercise information rather than calorie information may be effective [18]. There were a few studies that reported that calorie labels reduce calories consumed/purchased [20,21,25,28]; and 2 studies indicated that there can be unintended consequences of labelling products as low fat/energy – with such labels leading to an increase in calorie consumption [22,34]. There is some indication that traffic light labels may lead to an increase in selection of green ('healthy') items and reduction in red ('unhealthy') items
measures'[12] Labels providing calorie information		[29,31,32], but this is based on BA or cohort studies. Some studies report that 'noticing' labels
A systematic review of 7 studies on the effect of calorie-labelling on calories purchased in young adults, with a meta-analysis based on 6 of the studies, found no overall effect on calories purchased but some indication that it may be more effective among those who report noticing the labels (result still NS).[13]		(calorie/nutritional/traffic-light presentation) may increase effectiveness [13,30], while others indicate that it is reporting 'using' a label which leads to a significant reduction in energy purchased [19,24,30,38].
A systematic review and meta-analysis of 25 studies evaluating the relationship between menu calorie labelling and calories ordered or purchased found no significant reduction in calories ordered with menu calorie labelling.[14]		There is mixed evidence concerning the impact of health information at the point of purchase , with 1 systematic review indicating that it does not affect food sales [33] and another reporting that it is associated with healthier food choices in self-service
A systematic review and meta-analysis of experimental or quasi- experimental studies (n=NR) that tested the effect of providing nutrition information in a restaurant or other foodservice setting on calories selected or consumed found no effect of menu labelling with calories only on decreasing calories selected or consumed, but a significant effect was found with the addition of contextual or		settings [46]; the latter conclusion is also supported by several studies which indicate that the provision of health information, in particular in dining/cafeteria facilities, does lead to a significant increase in the purchase of healthy food and/or significant reduction in purchasing unhealthy foods [35-39,46].
interpretive nutrition information. Women were more likely than men to use the information to select and consume fewer calories.[15] A systematic review of 18 papers on the effectiveness of menu energy labelling at point of purchase by socioeconomic status (SES) found evidence of effectiveness was limited in quantity and quality:		Socio-economic status was reported in 3 studies, with a SR [16] concluding that the positive effect on SES seemed to be limited to high SES populations/neighbourhoods, which was also reported in a cross-sectional study [19], while a RCT

Summary of new evidence from 4-year surveillance	Summary of new intelligence from 4-year surveillance (from topic experts or initial internal intelligence gathering)	Impact
only 1 of 6 studies reporting on purchase outcome in low SES populations reported a positive effect; 2 of 5 studies comparing menu labeling across SES groups reported that the policy was effective overall, but the SR authors note that the effect seemed to be limited to high SES populations/neighbourhoods.[16] A non-RCT (n=NR) investigating the effect of displaying of calorie information at fast-food restaurants in Philadelphia before and after implementation and compared with a control comparison city found no change in calories purchased and number of fast food visits over time.[17] A RCT with university students (n=300) found that lunch menus with no label (control), labelled with energy content of food (Kcal-label) or exercise equivalent (exercise label) resulted in significantly less energy ordered and consumed in the exercise label vs control but not compared to Kcal-label; there was no significant difference in energy ordered or consumed between kcal-label and control groups.[18] A cross-sectional study on the use of calorie menu labels in McDonald's restaurants (n=29) located in low- and high-income neighbourhoods (n=329 participants) found that higher-income individuals were significantly more likely than low income participants to notice and use calorie labels; with a significant positive association found between individuals with a bachelor's degree or higher and use of calorie menu labels. There was no association between vasion galorie information purchased significantly fewer calories but those who reported using calorie information purchased significantly fewer calories than those who did not report using them.[19] A non-RCT study reporting on sales data of food items at catering outlets at 2 university campuses with calorie-labelling vs a control site found that calorie-labelling led to reduced sales of high calorie foods, without any compensatory changes in unlabelled alternative items'.[20]		 indicated a complex relationship between SES and weight concern and consumption of food labelled as green/low fat or red/high fat [34]. The majority of evidence (including a Cochrane review) on the effect of portion size, package size and tableware size or shape on energy consumption, reported that people consume significantly more food and drink when offered larger sized portions, packages and tableware than when offered smaller sized versions [40,42-44]. These findings are in line with recommendation 3 in Preventing excess weight gain (2015) NG7 which states that practical ways to reduce overall energy density within a diet may include 'choosing smaller portions or avoiding additional servings of energy dense foods'. Two systematic reviews indicate that manipulating food position (proximity or order) of healthy and unhealthy food can increase healthy food choice [45, 48]. There is an absence of studies which measure compensatory behaviour, hence it is unclear whether reductions in reported energy consumption following interventions is then compensated for at a later time by a (compensatory) increase in energy intake. Several systematic reviews also noted that many studies were of poor quality. Physical activity: Only 3 studies (2 BA studies [46,47] and an ITT study [48]) were identified on choice architecture interventions aiming to increase physical activity, all of which focussed on increase in stair use. While all studies reported an increase in stair use following an intervention, there remains a paucity of studies in this area.

Summary of new evidence from 4-year surveillance	Summary of new intelligence from 4-year surveillance (from topic experts or initial internal intelligence gathering)	Impact
estimated energy requirements, reported that calorie labelling resulted in significant reductions in calories. [21]		Smoking:
A BA study with normal and overweight adults (n=47) on consumption of identical meals with no labels (baseline), 'high fat/energy' or 'low fat/energy' found that food and energy intake was significantly increased in the low fat/energy condition compared to both of the other conditions.[22]		Current evidence indicates that changing cigarette length does not have an effect on smoking behaviour, however it should be noted that there have only been a few studies in this area [40]. While there is evidence to indicate that pictorial cigarette pack warnings are better than written labels at increasing
A longitudinal study investigating the effect of displaying of calorie information on menu boards via register receipts in fast-food restaurants in New York City on consumer behaviour at 4 fast-food restaurants (n=7,699) found no statistically significant changes over time (2008 when calorie information was mandated, and 3 times points in 2013-14) in levels of calories or frequency of visits to fast- food restaurants. Survey data indicated customers used the information more often than their counterparts at fast-food restaurants without menu labelling but that noticing and using the information declined over time.[23]	intentions to change lack of research on on reducing or quitt study identified that a significant increas [50]. There is limite of plain packaging of paucity of research architecture interve	intentions to change smoking behaviour, there is a lack of research on the effects of these interventions on reducing or quitting smoking [49], with only 1 study identified that indicates pictorial warnings led to a significant increase in 'forgoing cigarettes' in the UK [50]. There is limited evidence concerning the impact of plain packaging on smoking [51]. There is a paucity of research on other potential choice architecture interventions (such as shelf placement, visibility of cigarette packs) on smoking behaviour.
A cross-sectional study to determine whether purchase decisions at full-service restaurants varies depending on the presence of labelling of values for calories, sodium, fat, and carbohydrates for each menu item, collected transaction receipts and customer survey data (n=648) from 7 full-service restaurant chains (2 with menu labelling and 5 control sites). Customers at labelled restaurants purchased food with 151 fewer kilocalories (stats=NR) and customers who reported that nutrition information affected their order purchased 400 fewer food calories (stats=NR).[24]		Alcohol: There is a lack of research on choice architecture interventions aiming to influence alcohol intake, with only 1 study identified that assessed the impact of glass size on alcohol consumption [53] and a SR evaluating the impact of alcohol warning labels on adolescent drinking that reported little change in participation in risky alcohol-related behaviours [52].
A cross-sectional survey of people (n=1,003) using a hospital cafeteria with or without energy (calorie), sodium and fat content on digital menu boards and a health logo for 'healthier' items found that people at the intervention site consumed significantly less energy that those at the control site.[25]		
Traffic light labelling		
A systematic review and meta-analysis of randomised studies (n=NR) assessing the effectiveness of food labelling in increasing the selection of healthier products and in reducing calorie intake		

Summary of new evidence from 4-year surveillance	Summary of new intelligence from 4-year surveillance (from topic experts or initial internal intelligence gathering)	Impact
reported that while food labelling increases the amount of people selecting a healthier food product by about 18% and decreases calorie intake/choice by about 3.6%, this is not significant. They reported that traffic light schemes are marginally more effective in increasing the selection of healthier options.[26]		
A non-RCT of sales data (n=2.6 million) from university cafeterias serving as control sites, receiving a 'choice architecture' intervention (how choices are presented to consumers) or 'choice architecture' + traffic-light labelling and 'healthy-plate' tray stickers found no significant changes from baseline in 'red' or 'green' items served at intervention sites vs control sites.[27]		
A RCT with adults (n=635) asked to order a free meal in one of four conditions: no nutritional information shown, calorie amounts only, calorie amounts in "traffic lights", or calorie, fat, sodium, and sugar shown in "traffic lights" found that the calorie content of meals was not significantly different across conditions; however, calorie consumption was significantly lower among participants in the Calorie-only condition compared to the No information condition.[28]		
A BA study of food purchases (n=2101 transactions) at a recreation and sport facility concession before and after implementation of traffic light labelling (TLL) of menu items found a significant increase in sales of 'green' items and decrease in 'red' items from baseline to 1 week post-implementation of intervention.[29]		
A BA study of traffic light food labelling in a hospital cafeteria (n=389) found that respondents who noticed labels during the intervention and reported that labels influenced their purchases were significantly more likely to purchase healthier items than respondents who did not notice labels.[30]		
A BA study of sales of food and beverage items (n=977,793) following a 1st phase introducing traffic light labelling within a hospital cafeteria, followed by a choice architecture phase that increased the visibility and convenience of some green items found that sales of red items significantly decreased in both phases, and green item sales significantly increased in phase 1, with the largest changes occurring among beverage sales.[31]		

Summary of new evidence from 4-year surveillance	Summary of new intelligence from 4-year surveillance (from topic experts or initial internal intelligence gathering)	Impact
A cohort study (n=2285) assessing the effectiveness of a traffic-light labelling and choice architecture hospital cafeteria intervention found a significant reduction in sales of 'red' food and beverage items from baseline to 24 months and a significant increase in sales of 'green' items.[32]		
Labels (non-specific)		
A systematic review of studies (n=NR) reporting on the effect of health information at point of purchase on sales found no strong evidence for the effectiveness of product health information, but reported that interventions were more likely to be effective when they lasted for a longer time, included additional intervention components, and targeted the absence of unhealthy nutrients instead of, or in addition to the presence of healthy nutrients.[33]		
An RCT with cinema goers (n=287) offered a large tub of salted or toffee popcorn and randomised to receive their selected flavour with either a green low-fat label, a red high-fat label or no label found no main effect of nutritional labelling on consumption, nor impact of BMI or weight concerns but did find an interaction with weight concern and socio-economic status (SES): weight-concerned participants of higher SES who saw a low-fat label consumed significantly more than weight unconcerned participants of similar SES and weight-concerned participants of lower SES seeing either type of label, consumed less than those seeing no label.[34]		
A non-RCT assessing purchases (n=3,680) in a store at a large hospital under 5 conditions differing in labelling and taxation (latter out of scope) found that consumers were significantly more likely to purchase a healthier item when some products were labelled as 'less healthy'.[35]		
A non-RCT of a healthy labelling certification program at a worksite canteen compared to control site (n=270) found a significant reduction in energy consumed in the intervention site from baseline to end of intervention and 6 months follow-up (difference compared to control site = NR).[36]		
A BA study using weekly sales data before and after nutritional labels were introduced on a sample of pre-packaged foods in		

Summary of new evidence from 4-year surveillance	Summary of new intelligence from 4-year surveillance (from topic experts or initial internal intelligence gathering)	Impact
university dining facilities found that food labels resulted in a significant reduction in calories purchased per week from the labelled foods; and that percent of sales from low-calorie and low-fat foods significantly increased, and sales from high-calorie and high-fat foods significantly decreased.[37]		
A cohort study investigating US Army soldiers (n=299) use of the 'Go-for-Green' nutrition labelling system in dining facilities found that 47% reported 'always' or 'sometimes' using the labels when choosing food and those people were significantly more likely to be on a special diet/taking dietary supplements; a comparison of 'users' vs 'non-users' found that users consumed significantly less energy from fat that non-users after adjusting for reported use of special diets.[38]		
An cross-sectional study undertaken in a coffee shop with consumer's (n=128) purchases assessed during an intervention using point-of-purchase signs to influence choosing a healthy food purchase compared to a control condition, found that the proportion of high calorie snack purchases were significantly lower in intervention vs control weeks and high calorie drink purchases were non-significantly lower.[39]		
Impact of size of portion, packages and tableware on energy consumption		
A Cochrane review of 72 RCTs assessing the effects of choice architecture interventions on food, alcohol or tobacco products in adults and children reported that most studies (69/72) manipulated food products (portion size, package size and tableware size or shape). More studies investigated effects among adults (n=55) than children and all studies were conducted in high-income countries – mostly in the USA (58/72). A meta-analysis indicated that people consistently consumed significantly more food and drink when offered larger-sized versions.[40]		
A BA study with overweight/obese women (n=20) given a small (19.5 cm) or large (26.5 cm) diameter plate size at a free choice lunch		

Summary of new evidence from 4-year surveillance	Summary of new intelligence from 4-year surveillance (from topic experts or initial internal intelligence gathering)	Impact
meal found no significant difference in energy intake between conditions.[41]		
A BA study in which participants (n=88) could freely eat M&Ms from either a small container with medium portion, large container with medium portion or large container with large portion found that the large container increased intake 'despite holding portion size constant, while controlling for different confounding variables'.[42]		
A BA study (n=60) investigating the effects of the amount of pasta entree available (275 vs 550g) and serving spoon size (teaspoon vs tablespoon) on children's self-served entree portions and intakes at dinner meals found that children served themselves significantly more entree when the amount available was doubled and when the serving spoon size was tripled; and larger self-served portion sizes related to significantly greater entree intakes.[43]		
A BA study with 8-13 year olds (n=255) presented in class with cucumber that varied in unit size (one piece vs pre-sliced) and portion size (1/3 vs 2/3 of a cucumber) found that significantly more cucumber was eaten when it was served as a large vs smaller portion, but there was no significant difference in consumption between different unit sizes.[44]		
Placing of foods		
A systematic review of 18 studies on the effect of interventions that manipulated food position (proximity or order) to generate a change in food selection, sales or consumption, among normal-weight or overweight individuals reported that manipulation of food product order or proximity can influence food choice (no data or stats reported).[45]		
Physical activity		
The 3 studies on choice architecture interventions aimed to increase physical activity all focus on increasing stair use [46-48]:		
A BA study comparing the effects of 2 messages differing in complexity about the health outcomes obtainable from stair climbing on use in a train station (n =48,697) found that there was an increase		

Summary of new evidence from 4-year surveillance	Summary of new intelligence from 4-year surveillance (from topic experts or initial internal intelligence gathering)	Impact
in the use of stairs for both interventions, and that simple messages were significantly more effective during periods of high traffic volume.[46]		
A BA study (n=16,583 observations) of a 18 month stair climbing campaign that made use of point-of-choice prompts in an office found that stair use significantly increased amongst men and women during months 1-3 of the campaign and was maintained in more than half of women and 60% of men during the campaign period.[47]		
An ITT study (n=300) testing a poster outlining the amount of calorific expenditure obtainable from stair climbing and a conventional point-of-choice prompt in a worksite (Poster alone site) and the effect of additional messages in the stairwell about calorific expenditure in another worksite (Poster + Stairwell messages site) found that the campaign increased stair climbing, with greater effects at the Poster + Stairwell messages site than Poster alone (CI data indicates a significant difference).[48]		
Smoking		
The 4 studies on choice architecture interventions aiming to influence smoking focussed on manipulation of cigarette length [40], the effect of pictorial or text-based warnings on cigarette packs [49, 50] and effect of plain packaging [51].		
A Cochrane review of 72 studies assessing the effects of choice architecture interventions on food, alcohol or tobacco products in adults and children reported that only a few studies manipulated cigarettes. A meta-analysis of six independent comparisons from three studies (108 participants) indicated there was no effect of cigarette length on smoking consumption.[40]		
A meta-analysis of 37 experimental studies testing the impact of pictorial and text-based cigarette pack warnings found that pictorial warnings were significantly more effective than text-only warnings for 12 of 17 effectiveness outcomes - relative to text-only warnings, pictorial warnings were more effective at increasing intentions to not start smoking and to quit smoking. Impact on smoking behaviour not		

Summary of new evidence from 4-year surveillance	Summary of new intelligence from 4-year surveillance (from topic experts or initial internal intelligence gathering)	Impact	
reported – the authors noted a lack of studies assessing smoking behaviour.[49]			
A longitudinal study of the impact of pictorial warning labels on the back of cigarette packs found that 'forgoing cigarettes' significantly increased in the UK following their introduction in 2008.[50]			
An RCT with smokers (n=128) randomised to smoke their usual UK brand or a plain Australian brand closely resembling their usual brand for 24 hours found no evidence of an effect of plain cigarette packaging on number of cigarettes smoked.[51]			
Alcohol			
Only 2 studies [52, 53] report on evidence on the impact of choice architecture interventions aiming to influence alcohol intake and 1 SR notes the lack of evidence in this area [40].			
A Cochrane review of 72 studies assessing the effects of choice architecture interventions on food, alcohol or tobacco products in adults and children reported that none manipulated alcohol products.[40]			
A systematic review of studies (n=NR) evaluating the impact of alcohol warning labels on adolescent drinking found little change in participation in risky alcohol-related behaviours.[52]			
A BA study (n=NR) of the impact of wine glass size (standard; larger; smaller) on wine sales for on-site consumption, keeping portion size constant found that daily wine volume purchased was higher when sold in larger compared to standard-sized glasses.[53]			
PH6 – 07. Recommendation 7 - Principle 7: evaluating effectiveness			
No evidence identified	Initial intelligence gathering identified the following:	New evidence was identified that may change the recommendation: refresh.	
	Behaviour change: individual approaches (2014) NICE guideline PH49, recommendation 16 provides detailed recommendation on evaluating behaviour change interventions.	Principle 7 provides guidance on the importance of evaluation and monitoring for new behaviour change interventions and programmes	

Summary	of new evidence from 4-year surveillance	Summary of new intelligence from 4-year surveillance (from topic experts or initial internal intelligence gathering)	Impact
		Topic experts highlighted that they thought this recommendation should be updated with reference to Process evaluation of complex interventions: Medical Research Council guidance,[62] the importance of intervention fidelity and the use of natural experiments in evaluation, with reference to Using natural experiments to evaluate population health interventions: new Medical Research Council guidance.[63]	Behaviour change: individual approaches (2014) NICE guideline PH49, recommendation 16 provides detailed recommendation on evaluating behaviour change interventions, including reference to intervention fidelity and reference to the MRC guidance, it is recommended that principle 7 is refreshed with a link to recommendation 16 in PH49. The MRC reference on using natural experiments should be added to principle 7.
PH6 – 08.	Recommendation 8 - Principle 8: assessing cost effe	ctiveness	
No evidend	ce identified	Initial intelligence gathering identified the following:	No new evidence was identified, no changes
		Topic experts noted that there is ongoing work exploring the challenges of evaluating public health interventions where the costs and benefits fall in different sectors.	Principle 8 provides guidance on collecting data for cost-effectiveness analysis, including quality of life measures.
Research	n recommendations		
RR – 01	Provides a recommendation to research councils, national and local research commissioners and funders, research workers and journal editors on standardised information expected in research reports on behaviour change interventions, and what is considered good research.		
No evidend	ce identified	No evidence identified	No new evidence was identified, no changes
RR – 02	Provides a recommendation to research commissioners and funders on encouraging research that takes into account the social and cultural contexts in which people adapt or change their behaviour and the factors that encourage or inhibit change.		
No evidence	ce identified	No evidence identified	No new evidence was identified, no changes
RR – 03	Provides a recommendation to policy makers, resear	ch commissioners and local service providers on ev	aluation of interventions or policy changes.
No evidend	ce identified	No evidence identified	No new evidence was identified, no changes
RR – 04	Provides a recommendation to policy makers, resear change interventions.	ch funders and health economists on commissioning	g research on the cost-effectiveness of behaviour
No evidend	ce identified	No evidence identified	No new evidence was identified, no changes

	of new evidence from 4-year surveillance	Summary of new intelligence from 4-year surveillance (from topic experts or initial internal intelligence gathering)	Impact
Gaps in t	the evidence		
Gap – 01	Evidence about the cost-effectiveness of behaviour change evaluations is lacking, in particular, in relation to specific sub-groups (for example, 19–30 yea olds, low-income groups and particular ethnic and disadvantaged groups).		
No eviden	ce identified	No evidence identified	No new evidence was identified, no changes
Gap – 02	Evaluations of behaviour change interventions freque developing.	ently fail to make a satisfactory link to health outcom	nes. Clear, consistent outcome measures need
No eviden	ce identified	No evidence identified	No new evidence was identified, no changes
Gap – 03	3 Evaluations of interventions based on specific psychological models tend not to relate the outcome measures to the model. As a result, it is difficult to assess the appropriateness of using the model as a means of describing behaviour change.		
No eviden	ce identified	No evidence identified	No new evidence was identified, no changes
Gan = 04			J
Gap - 04	differences.	that behaviour change interventions can have on he	ealth inequalities, particularly in relation to cultura

Summary of new evidence from 4-year surveillance	Summary of new intelligence from 4-year surveillance (from topic experts or initial internal intelligence gathering)	Impact	
than weight unconcerned participants of similar SES and weight- concerned participants of lower SES seeing either type of label, consumed less than those seeing no label.[30] A cross-sectional study on the use of calorie menu labels in McDonald's restaurants (n=29) located in low- and high-income neighbourhoods (n=329 participants) found that higher-income individuals were significantly more likely than low income participants to notice and use calorie labels; with a significant positive association found between individuals with a bachelor's degree or higher and use of calorie menu labels. There was no association between noticing calorie labels and purchasing fewer calories but those who reported using calorie information purchased significantly fewer calories than those who did not report using them.[15]	subsidies) appeared to decrease inequalities, and 'downstream' Person interventions, in particular dietary counselling seemed to increase inequalities. However the authors noted that the 'vast majority of studies identified did not explore differential effects by socioeconomic position'.[59] Adams J, et al. <u>Why are some population</u> <u>interventions for diet and obesity more equitable and</u> <u>effective than others? The role of individual agency</u> (2016) which discusses the personal resources/agency individuals have and states that more effort is required to develop, evaluate, and implement population interventions that require low levels of agency for individuals to benefit.[61]		
Gap – 05 There is a need for more information on the links between knowledge, attitudes and behaviour. Conflation between them should be avoided.			
No evidence identified	No evidence identified	No new evidence was identified, no changes	
Gap – 06 There is a lack of reliable data from which to extrapolate the long-term health outcomes of behaviour change interventions.			
No evidence identified	No evidence identified	No new evidence was identified, no changes	

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